

1 **A synthesis of the environmental response of the North and South**  
2 **Atlantic Sub-Tropical Gyres during two decades of AMT**

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15 **Abstract**

16 Anthropogenically-induced global warming is expected to decrease primary productivity in  
17 the subtropical oceans by strengthening stratification of the water column and reducing the  
18 flux of nutrients from deep-waters to the sunlit surface layers. Identification of such changes  
19 is hindered by a paucity of long-term, spatially-resolved, biological time-series data at the  
20 basin scale. This paper exploits Atlantic Meridional Transect (AMT) data on physical and  
21 biogeochemical properties (1995-2014) in synergy with a wide range of remote-sensing (RS)  
22 observations from ocean colour, Sea Surface Temperature (SST), Sea Surface Salinity (SSS)  
23 and altimetry (surface currents), combined with different modelling approaches (both  
24 empirical and a coupled 1-D Ecosystem model), to produce a synthesis of the seasonal  
25 functioning of the North and South Atlantic Sub-Tropical Gyres (STGs), and assess their  
26 response to longer-term changes in climate. We explore definitive characteristics of the STGs  
27 using data of physical (SST, SSS and peripheral current systems) and biogeochemical  
28 variables (chlorophyll and nitrate), with inherent criteria (permanent thermal stratification

29 and oligotrophy), and define the gyre boundary from a sharp gradient in these physical and  
30 biogeochemical properties. From RS data, the seasonal cycles for the period 1998-2012 show  
31 significant relationships between physical properties (SST and PAR) and gyre area. In  
32 contrast to expectations, the surface layer chlorophyll concentration from RS data (CHL)  
33 shows an upward trend for the mean values in both subtropical gyres. Furthermore, trends in  
34 physical properties (SST, PAR, gyre area) differ between the North and South STGs,  
35 suggesting the processes responsible for an upward trend in CHL may vary between gyres.  
36 There are significant anomalies in CHL and SST that are associated with El Niño events.  
37 These conclusions are drawn cautiously considering the short length of the time-series (1998-  
38 2012), emphasising the need to sustain spatially-extensive surveys such as AMT and  
39 integrate such observations with models, autonomous observations and RS data, to help  
40 address fundamental questions about how our planet is responding to climate change. A small  
41 number of dedicated AMT cruises in the keystone months of January and July would  
42 complement our understanding of seasonal cycles in the STGs.

43

44 **Key words:** Atlantic Meridional Transect, oligotrophic, subtropical gyres, *in situ*, remote-  
45 sensing, modelling, chlorophyll, phytoplankton

46

## 47 **1. Introduction**

### 48 1.1 Global warming

49 The ocean and atmosphere are tightly coupled in the Earth's climate system. The oceans  
50 absorb anthropogenically produced CO<sub>2</sub> (Le Quéré et al. 2014) and heat produced by global  
51 warming (Bindoff et al. 2007). Data from the International Panel on Climate Change (IPCC)  
52 report and International Geosphere-Biosphere Programme (IGBP) show a steady rise in the  
53 Earth's temperature from the 1880s to present, in line with increases in atmospheric CO<sub>2</sub>  
54 concentration, with considerable inter-annual to decadal variability and recently (1996-2014),  
55 periods of little or no warming (Pörtner et al. 2014; Table 1 lists Acronyms and  
56 Abbreviations). Ocean biogeochemistry has been impacted by climate change with rising sea  
57 surface temperature (SST) and acidification (Pörtner et al. 2014; Kitidis et al. Submitted this  
58 issue). Changing climate patterns, such as increased hurricane intensity and longevity, are  
59 linked to high SST (>25°C) in the tropical oceans (Goldenberg et al. 2001); increased  
60 evaporation leads to higher energy and turbulence in the atmosphere and increased frequency  
61 of tropical storms. There is evidence that, in a warmer world with warmer oceans, events

62 such as El Niño (an irregular large-scale ocean-atmosphere climate interaction linked with  
63 periodic ocean warming) are more frequent (Wara et al. 2005).

64 The oceans are ~72% of the Earth's surface and the Sub-Tropical Gyres (STGs) and  
65 tropical equatorial regions (TER) consist of ~50% of the Earth surface. The ocean heat  
66 capacity (OHC) for the upper 700 m (and 300m) approximately tracks the rise in the Earth's  
67 temperature 1948-2008, for the World Ocean, Atlantic, Pacific and Indian Oceans and their  
68 sub-basins (Levitus et al, 2000, 2001, 2005). In recent decades (1995-present) a hiatus in  
69 rising OHC for the upper 700 m has been observed despite increased atmospheric warming,  
70 which has been attributed to the deep ocean (>700m) taking up a greater proportion of the  
71 OHC (Meehl et al. 2011; Tollefson 2014). Figure 1 highlights global temperature  
72 observations and atmospheric CO<sub>2</sub> concentration from 1978 to present, coincident with the  
73 era of remote sensing (RS) observations of ocean colour and SST, and the concurrent period  
74 of Atlantic Meridional Transect (AMT) cruises.

75

## 76 1.2 The Atlantic Meridional Transect (AMT)

77 The Atlantic Meridional Transect (AMT) programme consists of a time-series of  
78 oceanographic stations along a 13,500km north-south transect (50°N-50°S) in the Atlantic  
79 Ocean (Aiken et al. 2000; Robinson et al. 2006). The AMT was created from two NERC  
80 'PRIME' projects, 'Holistic Biological Oceanography' (Aiken, Holligan & Watson) and  
81 'Optical characterisation of Zooplankton' (Robins, Harris & Pilgrim). Together they  
82 exploited the passage of the RRS James Clark Ross (JCR) from the United Kingdom to the  
83 Falkland Islands (Phase 1 1995-2000), southward in September, returning northward the  
84 following April or May after the Antarctic summer. Project objectives were to integrate  
85 shipboard measurements of physical and biogeochemical variables (e.g. SST, salinity (SAL),  
86 Chlorophyll (Chla), and nitrate (NO<sub>3</sub>)), and air-sea exchange of bio-gases (e.g. CO<sub>2</sub>), with RS  
87 data (e.g. surface chlorophyll from RS (CHL) and SST) and modelling, to test and refine  
88 hypotheses on the impact of anthropogenically-forced environmental change on ocean  
89 ecosystems and air-sea interactions in the Earth Climate System (Aiken et al. 2000).

90 Subsequent phases of the AMT cruises followed Phase 1, but with only one cruise per  
91 year (September-November), including: Phase 2 from 2002-2005; and Phase 3 and 4, from  
92 2008-present. Figure 2 shows the annual and seasonal coverage of AMT-1 through to AMT-  
93 25 (1995-2015). Cruises lack detailed seasonal coverage, but have depth resolution captured  
94 in >1500 CTD casts (typically to 300m, some 1000 to 5000m); >1000 bio-optical profiles;  
95 and data for co-related biogeochemical variables and process rates (productivity, zooplankton

96 biomass, air-sea exchange of CO<sub>2</sub> and other biogenic gases), as described in detail in the  
97 online cruise reports (<http://www.amt-uk.org/Cruises>). AMT is one of a few spatially-  
98 extensive surveys acquiring multiple datasets of oceanographic variables over two decades  
99 using state of the art instrumentations and methodologies.

100

### 101 1.3 Remote sensing (RS)

102 The first AMT cruise (AMT-1) was scheduled to coincide with the delayed launch of  
103 the SeaWiFS (NASA) ocean-colour sensor in September 1995. However, the launch was  
104 delayed to September 1997, coinciding with the start of AMT-5. In the interim the OCTS  
105 ocean-colour sensor (NASDA, Japan) provided partial coverage for AMT-3 and good  
106 coverage for AMT-4 before mal-functioning. SeaWiFS provided coverage from 1997 until  
107 2010, when the instrument sensitivity diminished but ocean-colour remote-sensing coverage  
108 was maintained with MODIS (2002-present) and MERIS (2002-2012) sensors, and more  
109 recently VIIRS (2012-present). Ocean-colour sensors CZCS (1978-86), OCTS (1996-97),  
110 SeaWiFS, MERIS and MODIS-Aqua, have provided time-series CHL, monitoring changes of  
111 ocean biogeochemistry that have led to significant advances in our understanding of marine  
112 ecosystems (McClain et al. 2009). The merging of ocean-colour data sets within the Ocean  
113 Colour Climate Change Initiative (OC-CCI) project (Müller et al. 2015a; 2015b; Brewin et al.  
114 2015) is a key attribute utilised here, and provides enhanced coverage of ocean colour data in  
115 the Atlantic Ocean.

116 Successive satellites carrying AVHRR sensors for SST (NOAA; since 1981) have  
117 been supplemented by ATSR and AATSR (ESA since 1991) to produce a long-term  
118 integrated data set of SST that continues to the present. Satellite data shows rising SST to the  
119 mid 90's with a noticeable hiatus over recent two decades (Merchant et al. 2012).  
120 Additionally, RS altimetry products such as sea-surface height (SSH) have been available  
121 since 1993, allowing the calculation of geostrophic velocities that offer a synoptic picture of  
122 the stronger geostrophic currents that constrain the boundaries of the STGs, and the lower-  
123 velocity currents within (McClain et al. 2004). Sea Surface Salinity (SSS) from SMOS have  
124 provided novel insight into surface salinity patterns, but only for brief periods (Font et al.  
125 2010).

126 Satellite RS observations of several ocean and atmosphere variables (including: SST,  
127 CHL, photosynthetically available radiation (PAR), SSS and geostrophic currents) provide  
128 data at daily, annual and decadal time periods. Though lacking information on vertical  
129 structure, RS data provides detailed seasonal coverage not available on AMT cruises.

130

#### 131 1.4 Ecosystem Modelling

132 Ecosystem modelling techniques have been used to understanding sub-surface  
133 properties not observable from RS data. This has included establishing empirical links  
134 between surface-layer and sub-surface properties (Morel & Berthon 1989; Uitz et al. 2006)  
135 and developing coupled physical-biogeochemical ecosystem models (Holt et al. 2014).  
136 Hardman-Mountford et al. (2013) used the 1D European Regional Sea Ecosystem Model  
137 (ERSEM) to simulate coupled physical-ecosystem processes at the centre of the South  
138 Atlantic Gyre (SAG), capturing all the main features of this oligotrophic gyre, including a  
139 surface chlorophyll maximum in mid-winter. Their results suggest that the total water  
140 column chlorophyll (vertically integrated Chla) is relatively quasi-constant over a season, but  
141 can change with inter-annual fluctuations of PAR, which may respond to anthropogenic  
142 changes of atmospheric transparency, and effects of global warming, such as increased  
143 evaporation, water vapour and cloudiness. Ecosystem models have the capability to integrate  
144 and extrapolate *in situ* data and RS observations to decadal scales, pre-AMT and into the  
145 future.

146

#### 147 1.5 Sub-Tropical Gyres (STGs)

148 The oligotrophic Sub-Tropical Gyres (STGs), and the Tropical Equatorial Region  
149 (TER), also oligotrophic, cover approximately 50% of the Earth's surface. The North Atlantic  
150 Gyre (NAG) and South Atlantic Gyre (SAG) are each ~5% of the Earth's surface area. The  
151 unique biogeochemistry of the STGs results from permanent thermal stratification (all year,  
152 every year) and a quasi-isothermal surface mixed layer (SML, 50m to >150m, nutrient  
153 depleted and oligotrophic). Below the SML there is a thermocline that supports a deep  
154 chlorophyll maximum (DCM) fertilised by nutrients from deeper waters; both SML and  
155 DCM have variable seasonal characteristics (McClain et al. 2004). The physical structure  
156 leads to light driven biological production in the DCM, which controls nutrient fluxes, with  
157 maximum production and Chla in the DCM occurring at mid-summer when solar insolation  
158 (SI) is greatest and least when light is lowest in mid-winter (Hardman-Mountford et al. 2013).  
159 Conversely production and Chla in the surface layer (CHL) are least when SI is greatest at  
160 mid-summer and greatest at mid-winter when SI is least (McClain et al. 2004). Thus, surface  
161 Chla and SI are approximately six months out of phase. The winter surface Chla maximum  
162 partly results from lower SI (less stratification), less production in the DCM and less usage of  
163 nutrients therein, allowing upward nutrient diffusion to fertilise the mixed layer (this has been

164 termed the ‘Light Effect’, see Taylor, Harris & Aiken, 1986). A deepening of the mixed layer  
165 by convectonal cooling in winter may also erode the thermocline, nutracline and DCM,  
166 releasing nutrients to fertilise the surface layer (Signorini et al. 2015). Contraction of the  
167 gyres in winter may also add nutrients at the gyre edges, impacting seasonal cycles in Chla.

168 The spatial area of the STG has been quantified previously using surface chlorophyll  
169 concentrations (CHL). Research by McClain et al (2004), Polovina et al (2008), and Signorini  
170 et al (2015) have chosen a concentration of  $0.07 \text{ mg m}^{-3}$  Chla, to define the gyre edge. This  
171 value encompasses only the core of the gyres. Aiken et al. (2000, 2009) suggested values of  
172  $0.15$  to  $0.2 \text{ mg m}^{-3}$  (see data on CHL and accessory pigments in Figs. 34 and 35 of Aiken et  
173 al. (2000), and a comparison of CHL by HPLC and from SeaWiFS in Fig 36 of Aiken et al.  
174 (2000) and in Fig. 2 of Aiken et al (2009)). Hirata et al, (2008) and Brewin et al. (2010) show  
175 the switch from pico-plankton dominance (pro-chlorophytes and pico-eukaryotes) occurs at  
176 around  $>0.15$ - $0.2 \text{ g m}^{-3}$ , this could indicate that pico-eukaryotes still dominate at higher  
177 nutrient concentrations at the gyre edge. It is important to construct a robust definition of the  
178 gyres, to facilitate our understanding of how the gyres may be changing with climate change.

179 In this paper, we combine *in situ* data from AMT with RS datasets and ecosystem  
180 modelling, to develop a holistic understanding of NAG and SAG processes, and their spatial  
181 (both horizontal and vertical), seasonal and inter-annual variability. We develop a robust  
182 definition of the gyre area, based on their distinct physical and biological properties. Finally,  
183 we explore changes in the physics and biogeochemistry of the gyres over the past two  
184 decades.

185

## 186 **2. Methods**

### 187 2.1 AMT sampling strategy

188 AMT cruises transect the North and South Atlantic from nominally  $50^{\circ}\text{N}$  to  $50^{\circ}\text{S}$  (~13,500  
189 km). Cruises have been either: south-bound from the UK (September, October and  
190 November) sampling the NAG during the boreal fall and transecting the SAG during the  
191 austral spring (denoted BFAS cruises); or north bound from either the Falkland Islands or  
192 Cape Town (typically April and May), sampling the South Atlantic in the austral fall and the  
193 North Atlantic in spring (denoted AFBS cruises). In general, seasonal coverage is poor (see  
194 Fig 2). There have been no AMT cruises in mid-winter or mid-summer (December, January,  
195 February, March, July and August), with partial sampling in April (5 cruises), May (7  
196 cruises) and June (4 cruises), and most frequent sampling in September and October (15  
197 cruises) and November (8 cruises). BFAS cruises have coincided with the maximum SST in

198 the NAG (September) and the minimum SST in the SAG (September and October). AFBS  
199 cruises have occurred a few weeks after maximum SST in the SAG and minimum SST in the  
200 NAG (April and May). Between AMT phases there have also been gaps in sampling (e.g.  
201 2001, 2002, 2006 and 2007, see Fig. 2). With only 12.5% of days sampled between 1995 and  
202 2014, synergistically combining AMT data with other datasets capable of sampling at finer  
203 temporal scales (such as RS data and modelling) is crucial to understanding the Atlantic  
204 ecosystem.

205 Figure 3 shows tracks for six AMT cruises (two from each phase) overlaid on CHL  
206 composites from contemporary RS data processed by the National Earth Observation Data  
207 Acquisition and Analysis Service (NEODAAS), with AMT-4 CHL data from the OCTS  
208 sensor and other cruises using OC-CCI CHL data (see section 2.3 below for details on the RS  
209 data). In phase 1 (Fig. 3a and 3b, AMT-4 and AMT-5) there was limited sampling in the  
210 NAG, with cruise tracks avoiding the centre of the gyres to sample the high CHL zone of the  
211 NW African Upwelling ( $\sim 20^{\circ}\text{N}$  to  $\sim 10^{\circ}\text{N}$ ). The SAG was transected from  $\sim 8^{\circ}\text{S}$  to  $\sim 30^{\circ}\text{S}$  in  
212 Phase 1, exiting at the western edge of the gyre close to Brazil. The north-bound cruise tracks  
213 in Phase 1 were similar but in reverse, except for AMT-6 which departed from Cape Town  
214 with a course through the Benguela Upwelling. In general, Phase 1 only partially sampled the  
215 NAG and SAG. In Phases 2 and 3, the cruise tracks transected the centres of both gyres (Fig.  
216 3c-3f, AMT-14 through to AMT-22): along  $35^{\circ}\text{W}$  or  $40^{\circ}\text{W}$  in the NAG, crossing the pole-  
217 ward edge at  $\sim 40^{\circ}\text{N}$  and the equatorial edge at  $\sim 15^{\circ}\text{N}$ ; along the  $25^{\circ}\text{W}$  meridian in the SAG,  
218 crossing the equatorial edge at  $\sim 5^{\circ}\text{S}$  and the pole-ward at  $\sim 33^{\circ}\text{S}$ . For further information on  
219 the AMT sampling strategy, refer to cruise reports on the AMT website ([http://www.amt-  
220 uk.org/Cruises](http://www.amt-uk.org/Cruises)). Many cruise reports contain along track and *in situ* data from station casts.  
221 Quality assured data are held by the British Oceanographic Data Centre (BODC: see  
222 <http://www.bodc.ac.uk/>).

223

## 224 2.1 AMT data

225 To illustrate changes in surface biological and physical properties along a typical  
226 AMT transect, AMT-22 along-track *in situ* data for SST, SSS and CHL were utilised,  
227 measured from pumped surface-layer water at a nominal depth of 5 m, using conductivity and  
228 temperature sensors, and a fluorometer calibrated with discrete water samples following  
229 Welschmeyer (1994). The surface CHL data from a fluorometer is often ‘noisy’ due to air  
230 bubbles in the water stream when the vessel is at high speed between stations, or erratic due  
231 to bio-fouling of the flow-through cell. Therefore, in addition, discrete water samples (2-4

232 litres) were collected along the AMT-22 transect from the underway flow-through system.  
233 The water samples were filtered onto Whatman GF/F filters ( $\sim 0.7\mu\text{m}$ ) and stored in liquid  
234 nitrogen. Phytoplankton pigments were determined after the cruise in the laboratory using  
235 High Performance Liquid Chromatography (HPLC) analysis. CHL was determined by  
236 summing the contributions of monovinyl chlorophyll-a, divinyl chlorophyll-a and  
237 chlorophyllide-a. For AMT-22, CHL was also estimated from an ACS attached to the ship's  
238 flow-through system, following the methods of Slade et al. (2010), as described in Dall'Olmo  
239 et al. (2012) and Brewin et al. (2016), with ACS CHL estimates averaged over a 20 minute  
240 period centred on the time of the discrete HPLC water samples.

241 To illustrate vertical sections in biological, chemical and physical properties along a  
242 typical AMT transect, we made use of plots of vertical sections of nitrate, Chla, temperature  
243 and salinity for AMT-14 and AMT-17, extracted from AMT cruise reports. These were based  
244 on bottle and CTD data from the pre-dawn, late morning and dusk stations, measuring  
245 temperature, salinity, density, Chla and nitrate. Uncertainties can arise from the contouring  
246 (gridding) of station data. The transit time between pre-dawn and mid-day stations was  
247 typically  $\sim 4$  h ( $\sim 80$  km), with the pre-dawn station next day  $\sim 18$ h later ( $\sim 320$  km); though  
248 occasionally there was a mid-afternoon station  $\sim 2$  h after mid-day ( $\sim 40$  km). On both cruises  
249 concentrations of nitrate were determined using the Bran+Luebbe Autoanalyser and Liquid  
250 Waveguide Capillary Cell methods, and concentrations of Chla were determined from the  
251 CTD fluorometer, calibrated against discrete measurements for water bottle samples  
252 following Welschmeyer (1994). For further details on methods used for *in situ* data  
253 collection, the reader is referred to AMT-14, AMT-17 and AMT-22 cruise reports, available  
254 through the Atlantic Meridional Transect website (<http://www.amt-uk.org/Cruises>).

255

### 256 2.3 Remote Sensing Data (RS)

257 In this study we use several methods for oceanographic satellite remote sensing (RS),  
258 each occupying different wavelengths of the electromagnetic spectrum, including both  
259 passive and active sensors, and covering: visible radiometry (ocean-colour); infra-red  
260 radiometry (SST); microwave radiometry (SSS); and altimetry (geostrophic currents).

261 For ocean-colour, we mainly use CHL derived from the OC-CCI project (v1.0  
262 dataset). The OC-CCI focuses on creating a consistent, error-characterised time-series of  
263 ocean-colour products, for use in climate-change studies (Muller et al. 2015a; 2015b; Brewin  
264 et al. 2015). The dataset consists of a time-series (1997-2012) of merged and bias-corrected  
265 MERIS, MODIS-Aqua and SeaWiFS data, at 4km-by-4km resolution. Satellite data from



266 these three sensors show good temporal consistency in monthly products at seasonal and  
267 inter-annual scales (Brewin et al., 2014). Monthly CHL composites from the period 1997-  
268 2012 were used (available at <http://www.oceancolour.org/>), together with monthly  
269 climatology CHL data, derived from averaging each month in the time-series. For further  
270 information on OC-CCI processing, extensive documentation can be found on the ESA OC-  
271 CCI website <http://www.esa-oceancolour-cci.org/>. We also made use of monthly ocean-  
272 colour CHL data pre-1997, derived from the Japanese OCTS sensor and processed by  
273 NEODAAS, and monthly PAR products from SeaWiFS (9km-by-9km resolution)  
274 downloaded from the NASA ocean-colour website (<http://oceancolor.gsfc.nasa.gov/>).

275 For infra-red radiometry, we used global monthly SST data from NOAA OISST V2  
276 (<http://www.esrl.noaa.gov/psd/data/gridded/data.noaa.oisst.v2.html>). For microwave  
277 radiometry, we used SSS data derived from the ESA Soil Moisture Ocean Salinity (SMOS)  
278 Earth Explorer mission. SMOS works at microwave wavebands and is capable of picking up  
279 faint microwave emissions from ocean salinity. Monthly climatology data on SSS from  
280 SMOS were obtained via <http://www.smos-bec.icm.csic.es> for the period 2010 to 2013. For  
281 altimetry, we analysed version5 of the SSALTO/DUACS merged, delayed-time, mean  
282 absolute dynamic topography (MADT) and geostrophic velocity products, sourced from the  
283 Archiving, Validation and Interpretation of Satellite Oceanographic Data (AVISO) website  
284 <http://www.aviso.oceanobs.com/>.

285

#### 286 2.4 Ecosystem modelling

287 To aid our interpretation of seasonal and vertical variability in the NAG and SAG we  
288 used two different modelling approaches. Brewin et al. (Submitted this issue) developed an  
289 algorithm, adapted from the work of Platt and Sathyendranath (1988) and Uitz et al (2006) to  
290 estimate the vertical profile of chlorophyll biomass using a shifted Gaussian curve model.  
291 The approach estimates the vertical chlorophyll profile as a function of CHL estimated from  
292 RS, and was parameterised using HPLC pigment data collected on AMT transect cruises (see  
293 Brewin et al. Submitted, for further details). We used the model to illustrate seasonal changes  
294 in the ratio of chlorophyll at the DCM relative to that at the surface, and how this ratio  
295 changes with variations in PAR and mixed-layer depth (extracted from monthly  
296 climatological data; see de Boyer Montégut et al. 2004).

297 In addition to the empirical approach, we used recent simulations of seasonal cycles in  
298 chlorophyll and physical variables from a mechanistic 1D coupled ERSEM–GOTM model  
299 (where GOTM refers to the General Ocean Turbulence Model) designed to simulate

300 biogeochemical processes at the centre of the SAG (Hardman-Mountford et al. 2013).  
301 ERSEM is a biomass and functional group-based biogeochemical and ecosystem model  
302 describing nutrient and carbon cycling within the lower trophic levels of the marine  
303 ecosystem (up to mesozooplankton, see Blackford et al. 2004 and Polimene et al. 2012).  
304 GOTM is a one-dimensional water column model which dynamically simulates the evolution  
305 of temperature, density and vertical mixing (Burchard et al. 1999). Hardman-Mountford et al.  
306 (2013) forced the 1D coupled ERSEM–GOTM models with physical data at the centre of the  
307 SAG (18.53°S and 25.1°W) using local environmental variables (ECWMF) and assimilating  
308 the vertical temperature structure. The resulting simulations are used here to understand  
309 seasonal cycles in chlorophyll at the surface and DCM, which are not available from AMT or  
310 RS data. For further details on the model description and set-up used, the reader is referred to  
311 Hardman-Mountford et al. (2013).

312

### 313 **3. Results and Discussion**

#### 314 3.1 Properties, seasonal characteristics and definition of the gyres.

315 The gyres constitute a large fraction of the global ocean, yet many of their characteristic  
316 properties are not well known. The boundaries of the STGs are ill-defined, constrained by  
317 variable surface currents that enclose large relatively static water masses (Tomczak &  
318 Godfrey, 1994; see also Fig. 1 of Aiken et al, 2000). These regions are permanently thermally  
319 stratified, with low inorganic nutrients and low biomass in the surface layer, i.e. oligotrophic.  
320 The RS CHL data (Fig. 3) show the STGs are quasi-ellipsoid, major axis roughly east to west  
321 and minor axis roughly north to south. The gyres appear as inclusive blue to blue-green  
322 regions ( $\text{CHL} > 0.15 \text{ mg m}^{-3}$ ), ill-defined because of eddy-shedding by the boundary currents.  
323 The NAG combines three biogeochemical provinces (as defined by Longhurst et al. 1998),  
324 the NATL, NAST (E) and (W); the SAG consists of the SATL alone. These zones are  
325 consistent with the oligotrophic biomes identified by Hardman-Mountford et al. (2008).

326

##### 327 *3.1.1 Physical properties*

328 The NAG is bounded on the pole-ward edge by the strong, easterly-flowing Gulf  
329 Stream (GS) and North Atlantic Current (NAC), on the eastern edge by the moderate,  
330 southerly Canary Current (CC), on the equatorial edge by the strong and low-salinity North  
331 Equatorial Current (NEC) and to the western edge by the weak Antilles Current (AntC). In  
332 the TER, between the NEC and the equator, is the west-to-east flowing Equatorial Counter-  
333 Current (ECC), an important retro flow to the NEC. It has no influence on the gyre equatorial

334 edge. The SAG is bounded on the equatorial edge by the moderate, westerly, low-salinity  
335 South Equatorial Current (SEC), to the western edge by the weak Brazil current (BC), at the  
336 pole-ward edge by the strong easterly South Atlantic Current (SAC) and to the eastern edge  
337 by the moderate Benguela Current (BenC). Monthly composites of surface geostrophic  
338 currents, derived from altimetry are shown in Fig. 4, for January, July, March, September,  
339 May and November. Away from the periphery, the images show that the core of the gyres  
340 are largely static, with geostrophic current speeds mostly  $<0.025 \text{ m s}^{-1}$ , though there are  
341 internal features such as the Azores current in the NAG (at  $\sim 33^\circ\text{N}$ ) that have speeds of  $\sim 0.1$   
342  $\text{m s}^{-1}$ . The GS and NAC at the pole-ward edge of the NAG have geostrophic current speeds  
343 of  $0.5$  to  $>0.7 \text{ m s}^{-1}$ , which have quasi-consistent locations for all months, but vary in strength  
344 seasonally. The same is true of the SAC at the pole-ward edge of the SAG. On its southern  
345 edge, the SAC merges with the strong easterly Antarctic Circumpolar Current (ACC).

346 Figure 5 shows monthly composites of Sea Surface Salinity (SSS) derived from  
347 SMOS data (2010-2012), for January, July, March, September, May and October. Both the  
348 NEC and SEC are low salinity currents. The NEC has lowest salinity in mid-winter (January)  
349 and highest in September (July to October, SSS drops to  $< 35 \text{ PSU}$ ), consistent with the  
350 maximum intensity of rainfall and location of the intertropical convergence zone (ITCZ)  
351 which is predominantly north of the equator. The SEC is much lowest salinity by comparison  
352 (rarely  $< 36 \text{ PSU}$ ). These observations are consistent with comparisons of AMT *in situ*  
353 measurements of SSS and SST on southbound (September to November) and northbound  
354 cruises (April to May).

355 Figure 6 shows the SST climatology (OISST) of the NAG and SAG for the months of  
356 March and September (the warmest and coldest months in each gyre), and for the mid-winter  
357 and mid-summer months of January (minimum SI in the NAG, and maximum SI in the SAG)  
358 and July (maximum SI in the NAG, and minimum SI in the SAG), with the boundary currents  
359 overlain. January and July are also key months for CHL (highest in the winter and lowest in  
360 the summer, Fig. 7). SST increases in summer and the gyre area (GA) expands, driven by the  
361 heat budget (McClain et al. 2004). SST rises by  $4^\circ\text{C}$  to  $5^\circ\text{C}$  from the pole-ward edge to  
362 equatorial edge, in both the NAG and SAG. SST and GA are maximum close to the  
363 autumnal equinox (September in the NAG and March in the SAG), lagging the solar  
364 maximum by  $\sim 2$  to 3 months, with minimum SST and GA close to the vernal equinox.

365 North of  $40^\circ\text{N}$  (NAG poleward edge) the isotherms show an east to west alignment  
366 for January and March, consistent with deeply-mixed water in winter which stratifies in  
367 spring. South of the SAG poleward edge, the isotherms are predominantly east to west and

368 tightly bunched for all seasons, indicative of the strength of the SAC all year long and its  
369 impact on the physical oceanography of the region.

370

### 371 3.1.2 Biological properties

372 Viewed from space (Fig. 3), the STGs (both NAG and SAG) are quasi-ellipsoid but  
373 their size and shape changes with season and with inter-annual variability. Figure 7 shows the  
374 monthly climatology of CHL (OC-CCI data) for: a) January; b) March; c) May; d) July; e)  
375 September; and f) October, with the oligotrophic gyres (low CHL waters) highlighted in blue.  
376 Minimum and maximum SST and CHL occur in the months of January, March, July and  
377 September, opposite for each gyre (NAG and SAG), while May and October are the months  
378 (with September) most frequently sampled by AMT. These monthly climatologies conceal  
379 year-to-year variability.

380 The pole-ward edges of both gyres (Fig. 7) are tightly constrained by the strong  
381 boundary currents, as discussed in the previous section. At each boundary, RS CHL changes  
382 sharply ( $<0.15 \text{ mg m}^{-3}$  in gyre and  $>0.15$  out of gyre), in support of *in situ* measurements of  
383 fluorescence and HPLC from AMT cruises (see Fig. 8). The Tropical Equatorial Region  
384 (TER,  $\sim 15^\circ\text{N}$  to  $\sim 8^\circ\text{S}$ ) between the NAG and SAG is generally oligotrophic (CHL generally  
385  $\sim 0.15$  to  $0.2 \text{ mg m}^{-3}$ ), but shows elevated CHL (Longhurst, 1993, Aiken et al. 2000)  
386 consistent with seasonal (and inter-annual) changes in equatorial currents (NEC and SEC, see  
387 previous section), and fluctuations in the Mauritanian upwelling (Pradhan et al. 2006), the  
388 Amazon and Orinoco outflow (Signorini et al. 1999) and the Congo River (Hardman-  
389 Mountford et al. 2003; Hopkins et al. 2013). The boundary currents to the east (CanC in the  
390 NAG and BenC in the SAG) constrain the gyres tightly. Western currents (AntC in the NAG  
391 and BraC in the SAG) are weaker and offer less constraint, such that oligotrophy extends to  
392 the western edge of the Caribbean in the NAG and close to the coast of Brazil in the SAG. In  
393 both these regions, the water depth is  $>1000\text{m}$  so it is possible these areas are permanently  
394 thermally stratified. The sharp gradients of CHL at the polar edges in both the NAG and  
395 SAG, dropping from  $>0.2 \text{ mg m}^{-3}$  (out) to  $<0.15 \text{ mg m}^{-3}$  (in), constrained by the strong  
396 boundary currents (GS in the NAG and SAC in the SAG), indicate that the gyre edges are  
397 within this CHL range.

398 Given the focus on biogeochemistry and carbon cycling, it is appropriate to define the  
399 areal extent of the STG by their inherent biological property, oligotrophy (low surface CHL),  
400 as a result of low macro-nutrient concentrations. AMT surface and station *in situ* data (to  
401 300m) have been analysed for most AMT cruises, along with contemporary RS composite

402 data of SST and CHL for all cruises, to locate the gyre boundaries. Additionally, we have  
403 analysed monthly climatology data (RS) of SST and CHL along a meridional section mid-  
404 gyre (40°W in NAG, 25°W in SAG) which show sharp gradients of change at the locations of  
405 the gyre edge. Collectively these data are used to define the gyre periphery in the next  
406 section.

407

### 408 3.1.3 Definition of Gyre periphery

409 AMT surface and sub-surface data of temperature, salinity, Chla, and NO<sub>3</sub> (among  
410 other variables) are useful for defining the edges of the gyres. The poleward edge of the NAG  
411 and SAG shows a sharp rise in SST, salinity and a reduction in CHL (Figs. 8, 9 and 10), with  
412 this edge shifting with season (Fig. 9 AMT-17 BFAS and Fig. 10 AMT-14 AFBS). Surface  
413 nutrients, principally nitrate, fall sharply to <1 μM at these boundaries, below the limit for  
414 photometric analysers (Figs. 9 and 10). The step change of surface CHL generally occurs at  
415 around 0.15 mg m<sup>-3</sup>, consistent with Aiken et al. (2009, see their Figs. 2 and 5), and seen in  
416 both *in situ* AMT and RS data (Figs. 7 and 8). The equatorial edges of the NAG and SAG are  
417 less distinct when compared with the pole-ward edges. In the TER the surface CHL is  
418 typically 0.15 to 0.25 mg m<sup>-3</sup> (Figs. 7, 8, 9 and 10). The equatorial edges of the two gyres are  
419 characterised by sharp gradients in salinity (Figs. 8, 9 and 10, see also Fig. 5).

420 Vertical sections of temperature, salinity, Chla, and NO<sub>3</sub> (Figs. 9 and 10) show abrupt  
421 changes of all the main variables with depth as a result of the changes in water masses at both  
422 polar and equatorial edges of the NAG and SAG. Figure 9 and 10 show the 0.1 to 0.15 mg m<sup>-3</sup>  
423 Chla band (azure-blue) outcrops at the surface, co-located with sharp changes in  
424 temperature, salinity, and nitrate through the water column. The azure-blue band also defines  
425 the depth of the oligotrophic layer; from ~40m at the pole-ward edges to ~80m to ~100m in  
426 the centre of the NAG and SAG, depending on season (Figs. 9 and 10). Vertical sections of  
427 AMT-17 and AMT-14 data (Figs. 9 and 10, also seen in other cruise data sets), show  
428 variations in the depth of the oligotrophic layer (the chloro-cline), and the depth of the DCM.  
429 Both these depths have significant empirical relationships with CHL (from RS data, see  
430 Brewin et al. (Submitted this issue)). These relationships are exploited in the modelling  
431 section below.

432 At the pole-ward edge of the gyre, the water masses are not permanently thermally  
433 stratified but stratified seasonally (spring to fall). Once the surface integrated daily heat flux  
434 becomes persistently negative the surface layer cools and induces convection. This  
435 convection erodes the seasonal thermocline along with wind driven mixing. When the heat

436 budget goes positive in the spring, thermal stratification is re-established with a warm surface  
437 layer that deepens through the spring-summer.

438 In the TER, two low salinity currents (the NEC and SEC, north and south of the  
439 equator) define the edges of the gyres. The TER is salinity-stratified and mostly oligotrophic  
440 ( $\text{Chla} < 0.2$ ) but fails to satisfy the STG criteria of thermal stratification. At the equator the  
441 EEC and SEC induce a divergent upwelling of nutrient rich water, supporting a CHL peak at  
442 the surface (Aiken et al. 2000), varying seasonally and annually (typically  $> 0.15$  to  $< 1.0$   $\text{mg}$   
443  $\text{m}^{-3}$ ), as illustrated in Fig. 8. *In situ* analysis along AMT cruise tracks (Figs. 8, 9 and 10) is  
444 consist with analysis of RS data of SST, SSS and CHL along a meridional section mid-gyre  
445 ( $40^\circ\text{W}$  in NAG,  $25^\circ\text{W}$  in SAG).

446 Consolidating all the analyses, we set the criterion that the gyre edge is the ‘zone’  
447 where the gradient of change is greatest. This ‘zone’ is arbitrary but with a quantifiable  
448 uncertainty. This gradient appears greatest at the boundary of  $0.15$   $\text{mg m}^{-3}$  CHL, though we  
449 also use a  $0.10$   $\text{mg m}^{-3}$  CHL boundary for comparison in some analysis.

450

#### 451 *3.1.4 Seasonal changes in vertical properties of the NAG and SAG*

452 Figure 11a shows RS climatological monthly averages of surface Chla (CHL) and  
453 PAR, and average mixed-layer depth derived from de Boyer Montégut et al. 2004, all  
454 averaged within each gyre (using a  $0.15$   $\text{mg m}^{-3}$  boundary in CHL). Figure 11b shows  
455 seasonal cycles in estimates of the ratio of Chla at the DCM to that at the surface together  
456 with climatological monthly averages of PAR, and Figure 11c shows seasonal cycles in  
457 integrated Chla (vertically integrated within 1.5 times the euphotic depth) and depth of DCM.  
458 The ratios of Chla at the DCM to that at the surface, integrated Chla and depth of DCM in  
459 Fig. 11 were estimated by forcing the empirical model of Brewin et al. (Submitted, this issue)  
460 with climatological monthly averages of CHL within each gyre (Fig. 11a). Over the seasonal  
461 cycle, the ratio of Chla at the DCM to that at the surface varies from about 3 to 5 (Fig. 11b,  
462 note that it can be  $< 3$  close to the gyre edge and  $> 5$  towards the centre of the gyre), and the  
463 average depth of the DCM (Fig. 11c) is shown to vary between 80 to 100m ( $< 80\text{m}$  at the  
464 gyre periphery and  $> 100\text{m}$  toward the centre of the gyre). Seasonal variations in the ratio of  
465 Chla at the DCM to that at the surface, and the depth of the DCM, are positively correlated  
466 with PAR and inversely correlated with CHL and mixed-layer depth. The empirical model  
467 predicts a  $\sim 5\%$  change in integrated Chla in the SAG and NAG (Fig. 11c), in contrast to a  
468  $\sim 25\%$  change in surface Chla (CHL, see Fig. 11a).

469 Figure 12 shows simulations of SST (Fig. 12a), depth of the DCM (Fig. 12b), surface  
470 Chla (averages in the top 40m, Fig. 12c) and DCM Chla (Fig. 12d) from the coupled  
471 ERSEM-GOTM model simulations at the centre of the SAG over the period 1997 to 2004.  
472 The ERSEM-GOTM simulations (Fig. 12) are generally consistent with the empirical model  
473 results in Fig. 11, and show consistent seasonal cycles in SST when compared with RS data  
474 (see Fig. 14). The depth of the DCM is deeper in the summer months (Fig. 12b) and  
475 shallower in the winter, consistent with the empirical model (Fig. 11), and varies between  
476 about 85m in the winter to about 115m in the summer. The model produces a seasonal cycle  
477 in CHL (Fig. 12c) that is in agreement with RS estimates for the SAG (Fig. 11a), reproducing  
478 the characteristic seasonal cycles in CHL in the SAG (Fig. 12c), with surface concentrations  
479 higher in the winter (July) and lower in the summer (January). However, the ERSEM-GOTM  
480 simulations predict lower surface Chla (Fig. 12c) than RS (Fig. 11a), likely due to the fact the  
481 ERSEM-GOTM was implemented at the centre of the gyre where Hardman-Mountford et al.  
482 (2013) observed a small bias ( $\sim 0.02 \text{ mg m}^{-3}$ ) between modelled surface Chla and RS.  
483 Averaged integrated Chla concentrations from ERSEM-GOTM simulations agree with the  
484 empirical model (Fig. 11c) averaging  $\sim 20 \text{ mg m}^{-2}$  over the year, and are relatively stable  
485 (standard deviation  $0.8 \text{ mg m}^{-2}$ ). Chla at the DCM is maximum during the summer  
486 (December) and minimum in the winter (May, see Fig. 12d), and is inversely correlated with  
487 surface chlorophyll (Fig. 12c).

488 Simulations from the two contrasting modelling approaches (Fig. 11 and 12) indicate  
489 enhanced stratification (shallow mixed-layer), lower surface attenuation (lower surface CHL)  
490 and increased solar insolation (increased PAR) in summer months (November to February).  
491 In this period, light penetrates deeper into the water column, allowing the phytoplankton at  
492 the DCM to produce more Chla relative to that at the surface, and photosynthesize at deeper  
493 depths where nutrient concentrations are higher. Furthermore, the modelling results suggest  
494 that in the STGs, seasonal changes in physical forcing (e.g. PAR and mixed-layer) principally  
495 act to re-distributed Chla in the water column (Fig. 11b, 12c and 12d), with only a relatively  
496 small influence on integrated Chla, despite large relative changes in surface Chla (Hardman-  
497 Mountford et al. 2013). These two modelling approaches emphasise the importance of  
498 considering changes in Chla throughout the water column, for a more holistic understanding  
499 the impact of environmental change on marine ecosystems. Future work incorporating bio-  
500 Argo data together with RS and modelling (Mignot et al. 2014) should shed further light on  
501 seasonal changes in the vertical properties of the NAG and SAG.

502

## 503 3.2 Seasonal and inter-annual changes in gyre area, SST, CHL and PAR

### 504 *3.2.1 Seasonal changes between 1998 and 2012*

505         Figures 13 and 14 show the seasonal cycles of SST, gyre area (GA), CHL and PAR  
506 (PAR data incomplete after 2008) in NAG and SAG over the period 1998 to 2012,  
507 determined from RS using gyre boundary limits of 0.10 and 0.15 mg m<sup>-3</sup>. Mean values of  
508 SST and PAR are comparable for both boundaries (e.g. SST minimum 23.1°C, mean 25.4°C,  
509 and max 27.5°C). This implies mean values of SST and PAR are representative of those close  
510 to the gyre centres. SST, driven by the heat budget, lags PAR by 2-3 months. SST is warmest  
511 in September (NAG) and coldest in March (NAG), three months after the winter solstice  
512 (vice versa in the SAG, Fig. 13 and 14). The GA changes considerably for each boundary  
513 (boundary limit of 0.10 mg m<sup>-3</sup> and 0.15 mg m<sup>-3</sup>), with a minimum of 4.5 x 10 km<sup>2</sup> to 9.2 x  
514 10 km<sup>2</sup>, mean 10.7 x 10 km<sup>2</sup> to 15.0 x 10 km<sup>2</sup>, and maximum 15.8 x 10 km<sup>2</sup> to 19.4 x 10 km<sup>2</sup>.  
515 The gyres expand only slightly at the poleward edge and equatorial edge in summer, but there  
516 is a large expansion on the east-west axis. The GA is directly correlated with SST and PAR  
517 (Fig. 13 and 14). Typically, SST lags GA by a month as a result of the decline of CHL from  
518 mid-winter high, before the SST minimum. CHL is max in January (NAG) and July (SAG),  
519 inversely correlated with PAR, and out of phase with SST. The sharp peak of CHL in mid-  
520 winter results from the dependence on the flux of nutrients out of the nutracline zone,  
521 controlled by declining productivity in the DCM.

522

### 523 *3.2.1 Inter-annual variations and trends*

524         Figures 15 and 16 show monthly anomalies of GA, CHL, SST and PAR, for the NAG  
525 and SAG, with the Multivariate ENSO Index (MEI) for the same period. In the NAG there is  
526 an upward trend for CHL and SST (both significant at the 99% percent level), slight  
527 downward trend for PAR (significant at the 83% percent level) and upward trend for GA  
528 (significant at the 81% percent level). Increasing CHL with decreasing PAR could be a  
529 manifestation of the 'Light Effect' (Taylor, Harris and Aiken 1986), or possibly changes in  
530 photoacclimation (Behrenfeld et al. 2015). It is possible that increased aerosols (water  
531 vapour, dust input and clouds) from anthropogenic and natural sources in the northern  
532 hemisphere over this period (Tan et al. 2011), may have impacted PAR and CHL.

533         In the SAG, CHL shows an upward trend (significant at the 99% percent level) with  
534 slight upward trend for PAR (significant at the 87% percent level), and no significant trend in  
535 GA and SST. For both NAG and SAG, the anomalies for CHL and SST show traits that  
536 reflect the El Niño and La Niña (MEI) episodes. Considering the relatively short length of



537 satellite time-series data used in this study (1998-2012), one need to be cautious when  
538 relating changes to longer term global warming trends, considering one requires >40 year of  
539 CHL data to distinguish a global warming trend from natural variability, depending on region  
540 (Henson et al. 2010). Increases in CHL in both the NAG and SAG over the 1998-2012 period  
541 are consistent with other trend analysis methods (Vantrepotte and Mélin, 2011) conducted  
542 using OC-CCI data over the same time period and in the regions of the NAG and SAG  
543 (Sathyendranath & Krasmann et al. 2014, see their Fig 5-9).

544

#### 545 **4. Summary**

546 The prime objectives of AMT were to exploit *in situ* measurements, RS observations of key  
547 physical and biogeochemical variables, combined with modelling, to address issues of the  
548 impact of global warming and climate change on the ecosystems of the Atlantic Ocean 50°N  
549 to 50°S. A supplementary goal was to acquire high quality bio-optical and biological data to  
550 assist the calibration and validation of RS ocean-colour products in a wide range of ocean  
551 ecosystems. To this goal the AMT activities have played a substantive role and enhanced RS  
552 data validation by exploiting precision in-water optical systems and new techniques for  
553 validation (e.g. Dall’Olmo et al. 2012; Brewin et al. Submitted), and will likely continue this  
554 role in the future as new ocean-colour missions are launched (e.g. ESA Sentinel-3).

555 In this study, we provide a synthesis of the key physical and biogeochemical  
556 properties on the North and South Atlantic sub-tropical gyres (NAG, SAG), providing insight  
557 for other studies of process rates and air-sea exchange of biogenic gases. Surface and sub-  
558 surface data of physical variables (temperature and salinity) and biogeochemical variables  
559 (Chla, Nitrate) to >300m, coupled with RS data of SST, SSS, CHL, PAR and surface  
560 geostrophic currents (from altimetry), and two modelling approaches (Brewin et al.  
561 Submitted this issue; Hardman-Mountford et al. 2013), are used to describe the basic physical  
562 and biological characteristics of the NAG and SAG.

563 At the surface of the gyres, the limited seasonal coverage by AMT cruises are  
564 augmented by RS data for weekly, monthly and annual composites and decadal time series.  
565 The AMT *in situ* data have helped define gyre boundaries. These data have been  
566 complemented by RS for observations of SST and CHL that provide data for the whole gyre  
567 area. Surface geostrophic currents show the very low velocity flow (<0.03 m s<sup>-1</sup>) for the  
568 internal gyre entity and highlight the high velocity flow at the gyre edges (NAC, NEC, SEC,  
569 SAC, velocity >0.7 m/s) that constrain the gyre zones. SSS measurements show the location  
570 and velocity of the equatorial boundary currents (NEC, SEC) and the low salinity zone of the

571 ITCZ that feed these systems. The defining inherent characteristics of the STGs are their  
572 permanent thermal stratification and oligotrophy (low macro-nutrient concentration, and low  
573 surface Chla biomass). The analyses of AMT data provide strong evidence that the gyre  
574 boundaries occur at a value close to  $0.15 \text{ mg m}^{-3}$  Chla with some uncertainty, coinciding with  
575 the sharpest gradient of the main variables. AMT *in situ* data show abrupt changes of all the  
576 main variables with depth as a result of changes in water masses at both polar and equatorial  
577 edges of the NAG and SAG (Figs. 9 and 10). RS surface data of SST, SSS and distinctively  
578 CHL, also provide robust location of the gyre edges, agreeing with *in situ* data estimates.  
579 Meridional sections of SST, CHL and geostrophic currents along pseudo-transects through  
580 the centres of the gyres at  $40^\circ\text{W}$  (NAG) and  $25^\circ\text{W}$  (SAG) further support our definition of  
581 gyre boundaries (available as supplementary data on request). RS data highlight significant  
582 increases in CHL within the gyre over the duration of the AMT transect.

583 Two modelling approaches are described that provide means for extrapolating RS  
584 observations to greater depths using AMT observations and empirical relationships. From  
585 RS CHL we can determine Chla in the DCM and throughout the water column and other  
586 properties (e.g. the chloro-cline, which aligns with the nutrient depleted surface layer). The  
587 coupled ecosystem/physical model can provide simulated seasonal cycles at all locations and  
588 aid deficiencies in AMT sampling from temporal coverage and spatial aliasing of similar  
589 cruise tracks. Modelling results illustrate that seasonal changes in physical forcing (e.g. PAR  
590 and mixed-layer) act to re-distributed Chla in the water column over the season.

591 The synthesis of AMT data, RS observations and modelling provides a  
592 comprehensive insight into the coupled physical and bio-optical processes controlling the  
593 seasonal dynamics of productivity and biomass in the STGs. In essence the STGs are two-  
594 layer systems: the surface layer (quasi-mixed) is nutrient depleted (N-limited) but in light  
595 luxury; the DCM is relatively nutrient replete, but light limited. Both change seasonally and  
596 counter intuitively the highest surface Chla in both gyres is in mid-winter when SI is least.  
597 This is a manifestation of the Light Effect (Taylor, et al, 1986), where SI regulates the  
598 vertical distribution of productivity, nutrient supply and Chla in a stratified ecosystem.  
599 Productivity and Chla in the DCM are maximum in mid-summer but decline thereafter as SI  
600 diminishes, releasing nutrients to the surface layer and enhancing surface production and  
601 Chla. The effect is amplified by positive feedback; increased Chla in the surface layer  
602 absorbs light, diminishing DCM production and nutrient consumption. After the winter  
603 solstice, SI increases, production in the DCM increases, reducing the flux of nutrients to the  
604 surface layer, surface productivity and Chl.

605 Despite similarities in the general functioning of the NAG and SAG (e.g. changes in  
606 chlorophyll in response to seasonal forcing), the two gyres are recognised as having distinct  
607 differences in some biogeochemical characteristics not investigated here. For example, the  
608 NAG has significant dust input which is thought to encourage nitrogen-fixation and the draw-  
609 down of phosphate to lower levels than seen in the SAG (Reynolds et al. 2007, Mather et al.  
610 2008). Furthermore, despite both gyres showing significant increases in CHL during the  
611 study period, differences in trends for physical properties were not always consistent (Figs.  
612 15 and 16). For instance, the NAG shows an upward trend for SST ( $> 99\%$  level), a slight  
613 upward trend for GA ( $p = 0.19$ ), and a slight downward trend in PAR ( $p = 0.17$ , Fig. 15). This  
614 is likely indicative of global warming leading to gyre expansion, and increased atmospheric  
615 attenuation (e.g. from increases in either: evaporation; water vapour (a greenhouse gas);  
616 cloudiness due to global warming; anthropogenic aerosols (fossil fuel burning); or natural  
617 aerosols (e.g. Saharan dust)). Alternatively, in the SAG no significant trends were seen in  
618 SST and GA (Fig. 16), though ocean heat content is known to increase in the SAG (Levitus et  
619 al. 2012). These results suggest the physical processes responsible for an increase in CHL  
620 may differ between gyres, which may further inform the debate on the  
621 autotrophic/heterotrophic status of the surface layer the gyres. Such research might benefit  
622 from reference to monthly, seasonal and decadal time series data sets exploited in this study.  
623 Synergistically combining AMT data, RS observations and modelling allow for 3D  
624 visualizations of gyre basins, that in the future, may be complimented by the ever expanding  
625 Argo and bio-Argo network. Nonetheless, caution needs to be taken when extrapolating *in*  
626 *situ* empirical relationships derived at specific times of the year on an AMT cruise (Spring /  
627 Autumn) to the whole year. For a truly robust basis, *in situ* data are also required for the  
628 keystone months of January and July, and a small number of dedicated cruises targeting the  
629 NAG and SAG during these months could help solve this issue.

630

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650

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849

850 **Table 1 Glossary of abbreviations and acronyms.**

851

852 **Agencies, Missions, Ships, Satellites**

853	AMT	Atlantic Meridional Transect; NERC (UK) Oceanographic research programme covering the Atlantic Ocean from 50N to 50S
854		
855	NERC	Natural Environment Research Council, UK
856	PRIME	Plankton Reactivity in the Marine Environment (NERC Special Topic research theme)
857		
858	IPCC	International panel on Climate Change (Intergovernmental)
859	IGBP	International Geosphere-Biosphere Programme
860	NASA	National Atmospheric and Space Administration (USA)
861	NOAA	National Oceanic and Atmospheric Administration (USA)
862	ESA	European Space Agency (EU)
863	NASDA	National Space Development Agency (Japan)
864	ECWMF	European Centre for Medium-Range Weather Forecasts
865	NEODAAS	NERC Earth Observation Data Acquisition and Analysis Service
866	RS	Remote Sensing (sensors in space or data from satellite sensors)
867	AVHRR	Advanced Very High Resolution Radiometer
868	ATSR	Along Track Scanning Radiometers
869	AATSR	Advanced Along-Track Scanning Radiometer
870	CZCS	Coastal Zone Color Scanner
871	OCTS	Ocean Color and Temperature Sensor on Advanced Earth Observing Sensor
872		(Japan)
873	SeaWiFS	Sea-Viewing Wide Field-of-View Sensor
874	MODIS	Moderate Resolution Imaging Spectroradiometer
875	MERIS	MEDIUM Resolution Imaging Spectrometer
876	SMOS	Soil Moisture and Ocean Salinity
877	OC-CCI	Ocean Colour Climate Change Initiative
878	OISST	NOAA Optimum Interpolation (OI) SST V2 data
879	ERSEM	European Regional Seas Ecosystem Model
880	JCR	RRS James Clark Ross (NERC, BAS Research Vessel)
881	JC	RRS James Cook (NERC Research Vessel)
882	Disco	RRS Discovery (NERC Research Vessel)

883

884 **Physical and biogeochemical variables (and units)**

885	T	Temperature (°C or K)
886	Temp	Temperature (°C or K)
887	C	Conductivity, used to calculate Salinity with Temp
888	D	Depth as in CTD profiling instrument assemblage (m or db)
889	Sal	Salinity (PSU)
890	SST	Sea Surface Temperature (measured on research vessel or from RS) (°C or K)
891	SSS	Sea Surface Salinity (derived from RS radiometry) (PSU)
892	OHC	Ocean Heat Content (Joules)
893	GA	Gyre Area (Km <sup>2</sup> )
894	Chla	Chlorophyll-a photosynthetic pigment in phytoplankton, measured by filtering plankton water sample (surface or selected depths) extracted in solvent (acetone or methanol) and measured in vitro by fluorometer (calibrated with standard sample) or High Performance Liquid Chromatograph (HPLC, calibrated with standard sample) (mg m <sup>-3</sup> )
895		
896		
897		
898		

899	Chlf	Chla measured by flow through fluorometer, in vitro (on board vessel) or in vivo
900		(profiled or towed instrument) and vicariously calibrated with discrete samples
901		of Chla ( $\text{mg.m}^{-3}$ )
902	CHL	Surface Chla determined either <i>in situ</i> (HPLC or extracted in solvent) or by
903		vicariously calibrated algorithm from RS radiometer in space measuring
904		Ocean Colour in several visible bands ( $\text{mg.m}^{-3}$ )
905	ACS	Absorption and Attenuation Coefficients sensor
906	PAR	Photosynthetically Active Radiation, calculated from RS data (or measured)
907		( $\mu\text{E m}^{-2} \text{s}^{-1}$ )
908	SI	Solar Insolation (total UV, visible. Near IR and far IR) ( $\text{W.m}^{-2}$ )
909	DCM	Deep Chlorophyll Maximum (depth of) (m)
910	SML	Surface Mixed Layer (m)
911	SL	Surface Layer, above thermocline when layer not totally homogeneously
912		mixed (m)
913	MLD	Mixed Layer Depth (m)
914	MADT	Mean absolute dynamic topography (m)

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**General abbreviations**

918	STG	Sub-Tropical Gyre
919	NAG	North Atlantic STG
920	SAG	South Atlantic STG
921	TER	Tropical Equatorial Region
922	GS	Gulf Stream
923	NAC	North Atlantic Current, NW extension of the GS
924	SAC	South Atlantic Current
925	NEC	North Equatorial Current
926	SEC	South Equatorial Current
927	EUC	Equatorial Under Current
928	CC	Canaries Current
929	BC	Brazil Current
930	BenC	Benguela Current
931	AntC	Antilles Current
932	AC	Azores Current
933	BFAS	South-bound AMT cruises from the UK (September, October and November)
934		sampling the NAG during the boreal fall and transecting the SAG during the
935		austral spring.
936	AFBS	North bound AMT cruises from either the Falkland Islands or Cape Town
937		(typically April and May), sampling the South Atlantic in the austral fall and
938		the North Atlantic in spring (hereafter denoted AFBS cruises)
939		

940 Figure 1. Global temperatures and atmospheric CO<sub>2</sub> concentrations from 1978 – 2010 at  
941 Mona Loa, Hawaii (Northern hemisphere); time spans of Remote Sensing (RS) data sets  
942 and AMT cruises. GISS refers to the analysis by NASA’s Goddard Institute for Space  
943 Studies; HadCRUT3 refers to the third revision of analysis by the UK Met Office Hadley  
944 Centre and Climate Research Unit of the University of East Anglia; and NCDC refers to  
945 analysis by NOAA’s National Climatic Data Centre. The plot was adapted from  
946 [https://ourchangingclimate.wordpress.com/2010/04/11/recent-changes-in-the-sun-co2-  
947 and-global-average-temperature-little-ice-age-onwards/](https://ourchangingclimate.wordpress.com/2010/04/11/recent-changes-in-the-sun-co2-and-global-average-temperature-little-ice-age-onwards/) (accessed 05/05/15)

948  
949 Figure 2. Annual and seasonal coverage of AMT cruises from AMT-1 through to AMT-25  
950 (1995-2015). Green indicates cruise sector in the northern hemisphere (mostly  
951 NAG) and blue indicates cruise sector in the southern hemisphere (mostly SAG).

952  
953 Figure 3. Atlantic CHL composites from OCTS (AMT-4) and OC-CCI (AMT-5 to AMT22)  
954 with AMT cruise tracks overlain. Including: AMT-4 (AFBS, 21/04/97 to  
955 27/05/97); AMT-5 (BFAS, 14/09/97 to 17/10/97); AMT-14 (AFBS, 26/04/04 to  
956 2/06/04); AMT-17 (BFAS, 15/10/05 to 28/11/05); AMT-19 (BFAS, 13/10/09 to  
957 1/12/09); and AMT-22 (BFAS, 10/10/12 to 24/11/12).

958  
959 Figure 4. Monthly climatology of sea-surface height (SSH) and surface-geostrophic-current  
960 derived from AVISO altimetry data for the Atlantic Ocean for: a) January; b)  
961 March; c) May; d) July; e) September; and f) November. The magnitude speed  
962 (background shading on a log scale) is overlaid with SSH contours at 0.2 m  
963 intervals. Grey (blue) contours show regions of positive (negative) SSH, with the  
964 zero SSH line shown in black. Current direction is shown in the green, arrow-  
965 annotated, streamlines.

966  
967 Figure 5. The monthly composites of Sea Surface Salinity (SSS) derived from SMOS in the  
968 Atlantic Ocean for: a) January; b) March; c) May; d) July; e) September; and f)  
969 October.

970  
971 Figure 6. Monthly climatology of Sea Surface Temperature, derived from OISST data, for  
972 January, July, March and September, with a schematic of main current systems  
973 overlain, including: 1 = North Atlantic Current (NAC); 2 = Canaries Current

974 (CC); 3 = North Equatorial Current (NEC); 4 = Antilles Current (AntC); 5 =  
975 South Equatorial Current (SEC); 6 = Brazil Current (BC); 7 = South Atlantic  
976 Current (SAC); and 8 = Benguela Current (BenC). Breadth of arrows represents  
977 strength of flow with purple infill for low salinity currents.

978

979 Figure 7. Monthly climatology of CHL (OC-CCI data 14 year composite) in the Atlantic  
980 Ocean for: a) January; b) March; c) May; d) July; e) September; and f) October.

981

982 Figure 8. Along-track AMT-22 data on: surface temperature (SST, denoted Temp in the  
983 figure); Salinity (SSS); surface Chla fluorescence (CHL); and surface Chla (CHL)  
984 derived from HPLC from discrete surface water samples taken along-track, and  
985 from measurements from an ACS. Measurements are from pumped surface-layer  
986 water (nominally 5 m depth) measured continuously by shipboard instruments,  
987 illustrating the sharp change of all variables at the gyre edges. Dashed lines show  
988 the approximate locations of the gyres edges (North Atlantic Gyre (NAG) and  
989 South Atlantic Gyre (SAG)), the South Atlantic Current (SAC), South Equatorial  
990 Current (SEC), North Equatorial Current (NEC) and North Atlantic Current  
991 (NAC). Black horizontal line on the bottom plot shows the  $0.15 \text{ mg m}^{-3}$  CHL  
992 boundary.

993

994 Figure 9. Contoured vertical sections of Nitrate, Chla, Temp, Salinity for AMT-17, with the  
995 approximate locations of the gyres edge with the South Atlantic Current (SAC),  
996 South Equatorial Current (SEC), North Equatorial Current (NEC) and North  
997 Atlantic Current (NAC). Figures were adapted from AMT cruise report 17,  
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999

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1005

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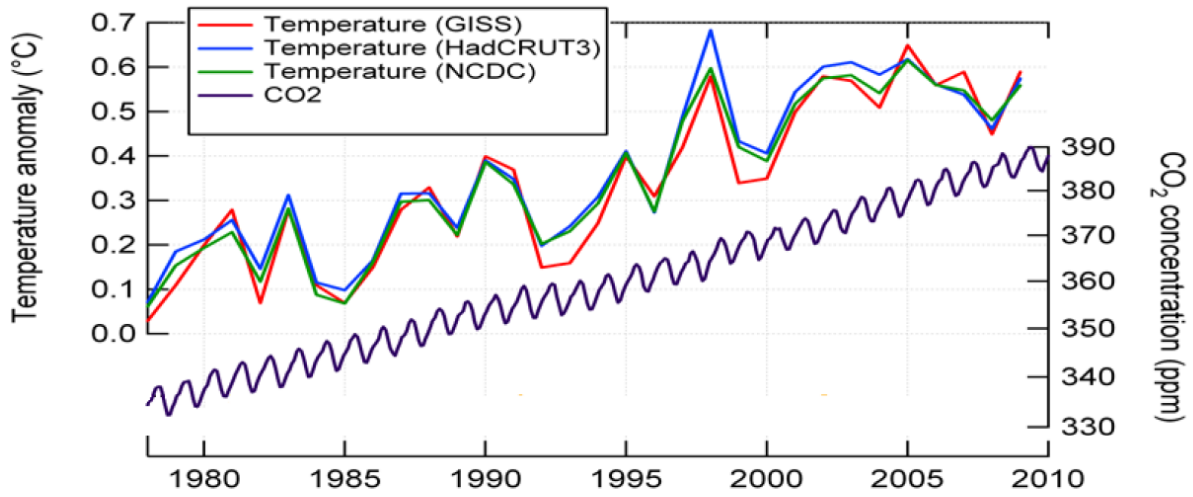
1031

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1039



**CZCS 1978-86**

**AMT, 1995-2014, 25 cruises**

**OCTS / SeaWiFS / CCI (V1)**

**1996 /1997-2010 /1997-2012**

**AVHRR / ATSR / AATSR (1981- 2014)**

Figure 1. Global temperatures and atmospheric CO<sub>2</sub> concentrations from 1978 – 2010 at Mona Loa, Hawaii (Northern hemisphere); time spans of Remote Sensing (RS) data sets and AMT cruises. GISS refers to the analysis by NASA’s Goddard Institute for Space Studies; HadCRUT3 refers to the third revision of analysis by the UK Met Office Hadley Centre and Climate Research Unit of the University of East Anglia; and NCDC refers to analysis by NOAA’s National Climatic Data Centre. The plot was adapted from <https://ourchangingclimate.wordpress.com/2010/04/11/recent-changes-in-the-sun-co2-and-global-average-temperature-little-ice-age-onwards/> (accessed 05/05/15)



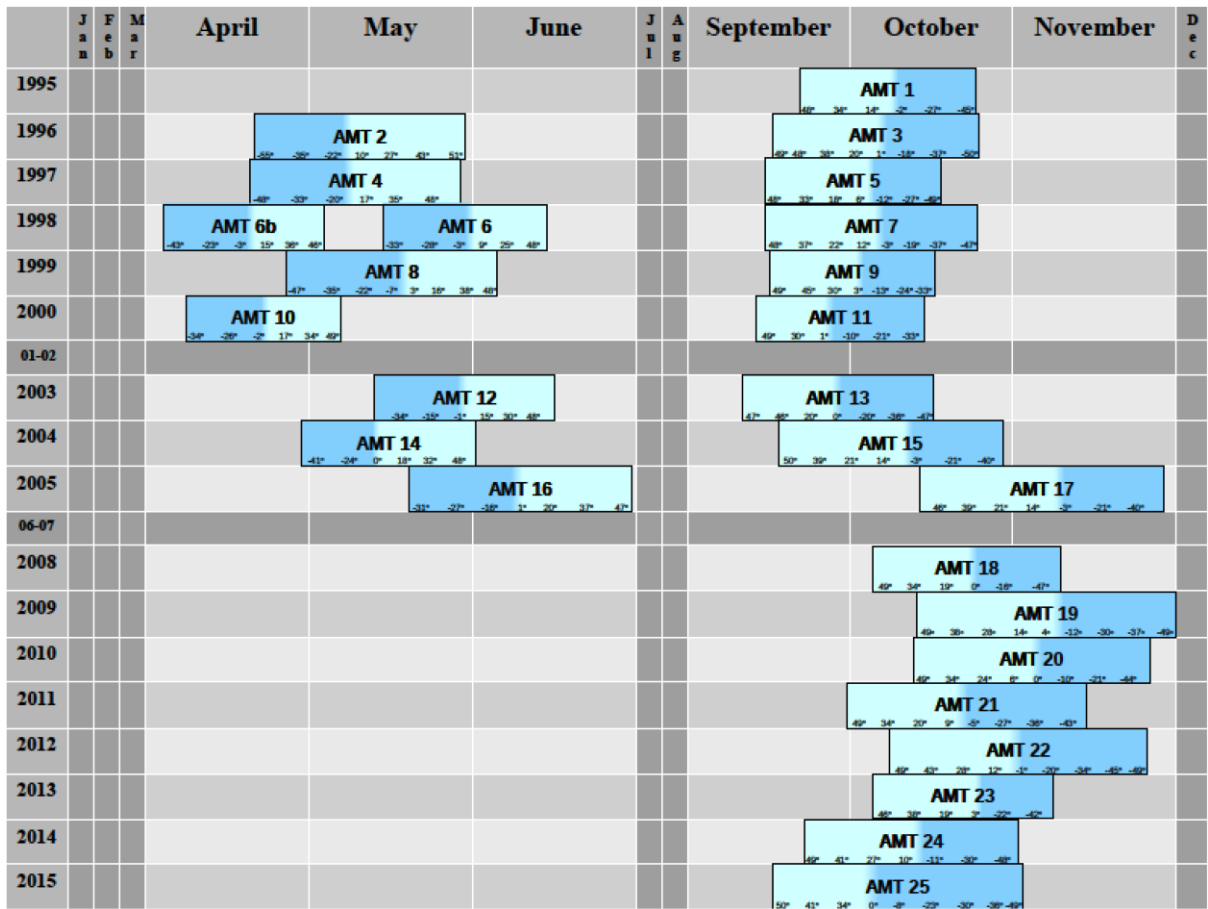


Figure 2. Annual and seasonal coverage of AMT cruises from AMT-1 through to AMT-25 (1995-2015).

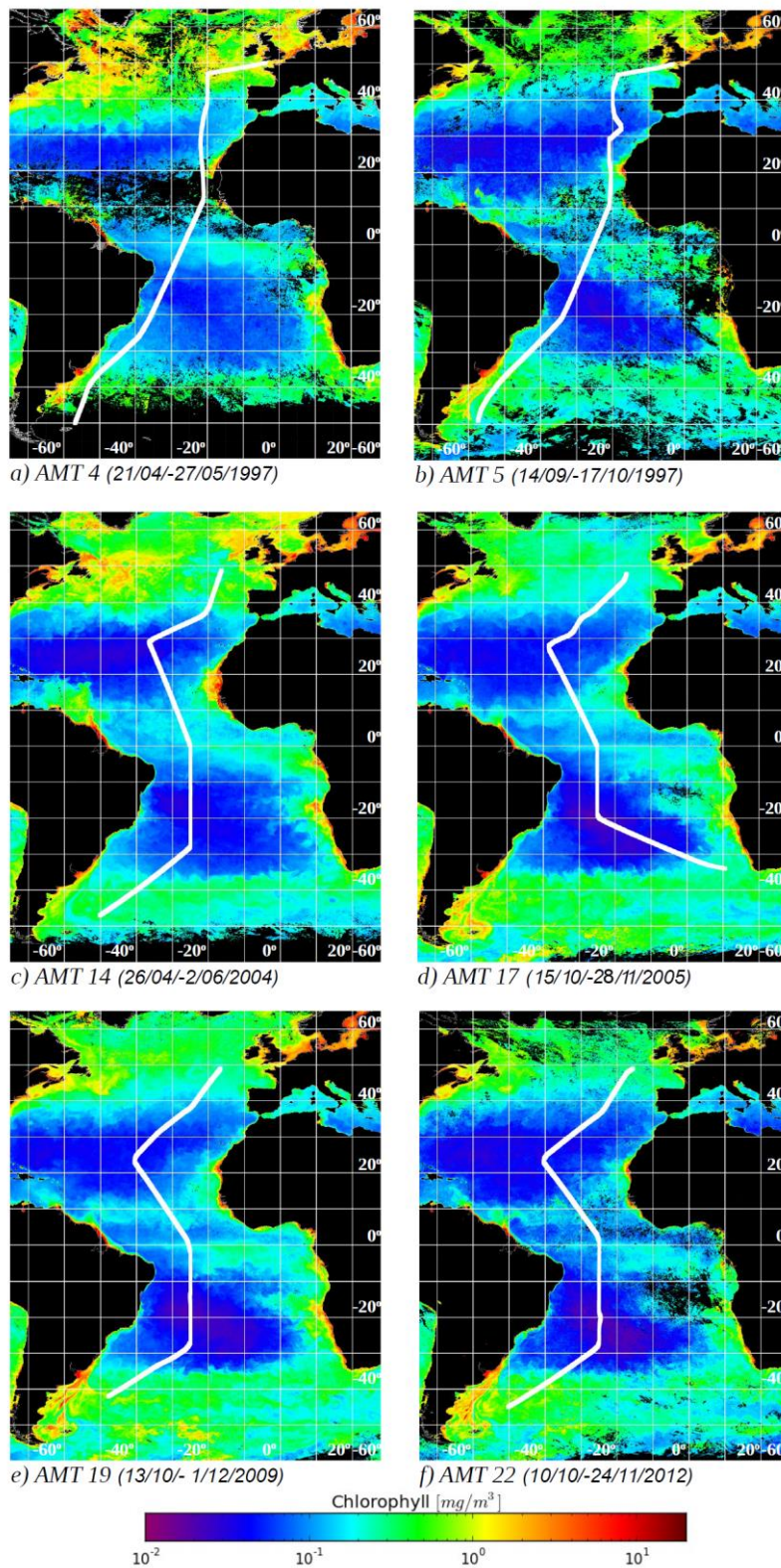


Figure 3. Atlantic CHL composites from OCTS (AMT-4) and OC-CCI (AMT-5 to AMT22) with AMT cruise tracks overlain. Including: AMT-4 (AFBS, 21/04/97 to 27/05/97); AMT-5 (BFAS, 14/09/97 to 17/10/97); AMT-14 (AFBS, 26/04/04 to 2/06/04); AMT-17 (BFAS, 15/10/05 to 28/11/05); AMT-19 (BFAS, 13/10/09 to 1/12/09); and AMT-22 (BFAS, 10/10/12 to 24/11/12).

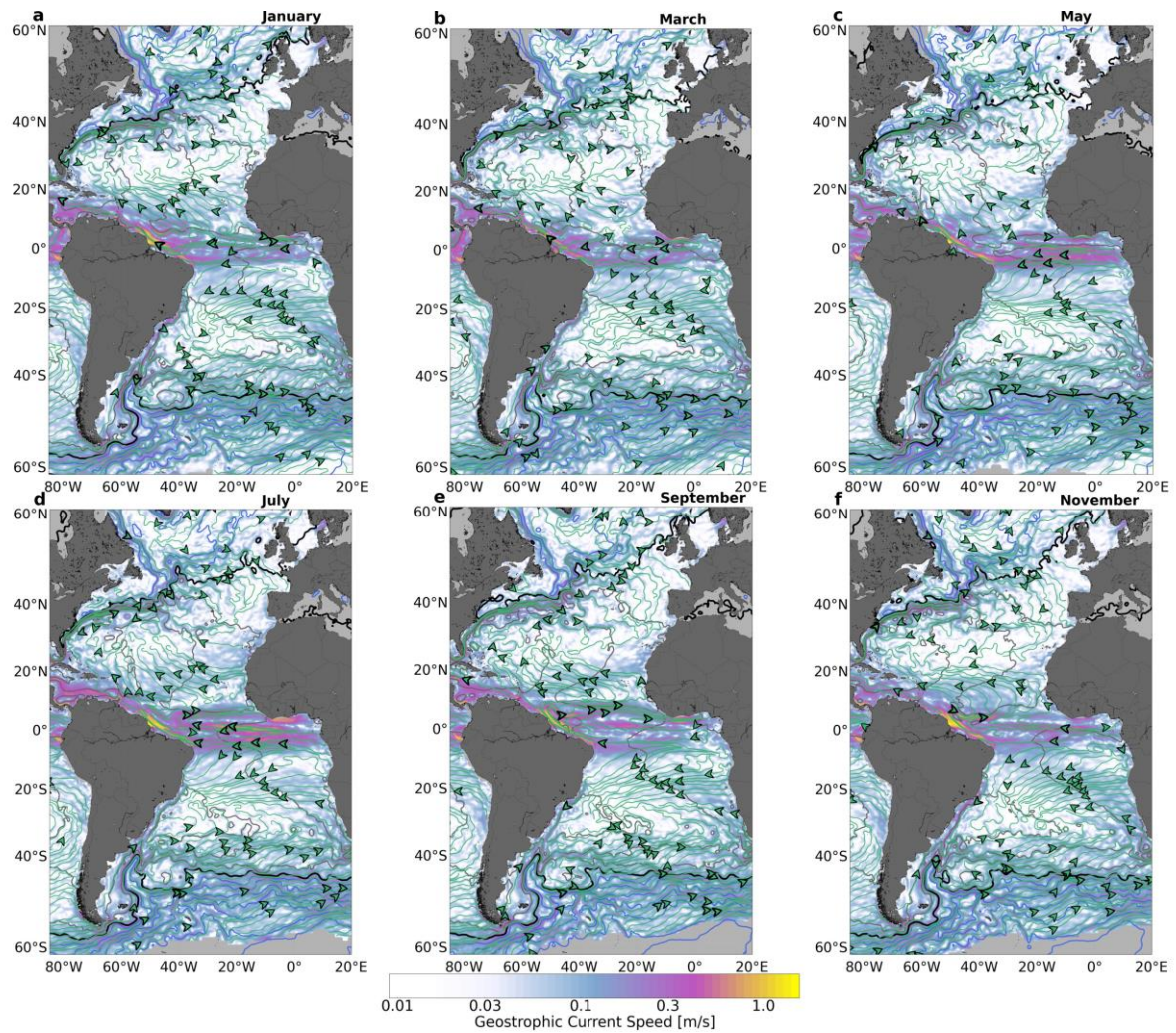


Figure 4. Monthly climatology of sea-surface height (SSH) and surface-geostrophic-current derived from AVISO altimetry data for the Atlantic Ocean for: a) January; b) March; c) May; d) July; e) September; and f) November. The magnitude speed (background shading on a log scale) is overlaid with SSH contours at 0.2 m intervals. Grey (blue) contours show regions of positive (negative) SSH, with the zero SSH line shown in black. Current direction is shown in the green, arrow-annotated, streamlines.

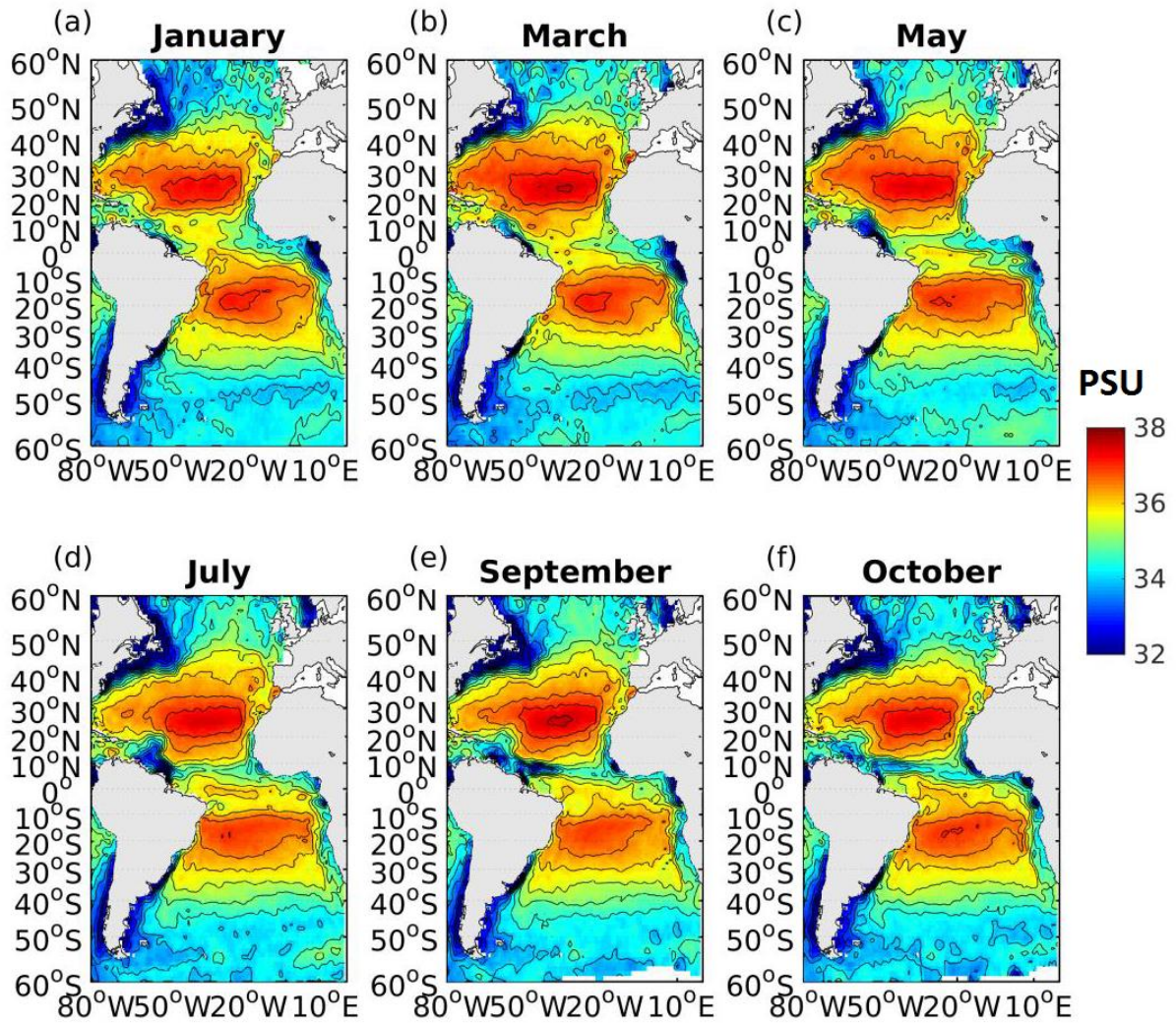


Figure 5. The monthly composites of Sea Surface Salinity (SSS), derived from SMOS in the Atlantic Ocean for: a) January; b) March; c) May; d) July; e) September; and f) October.

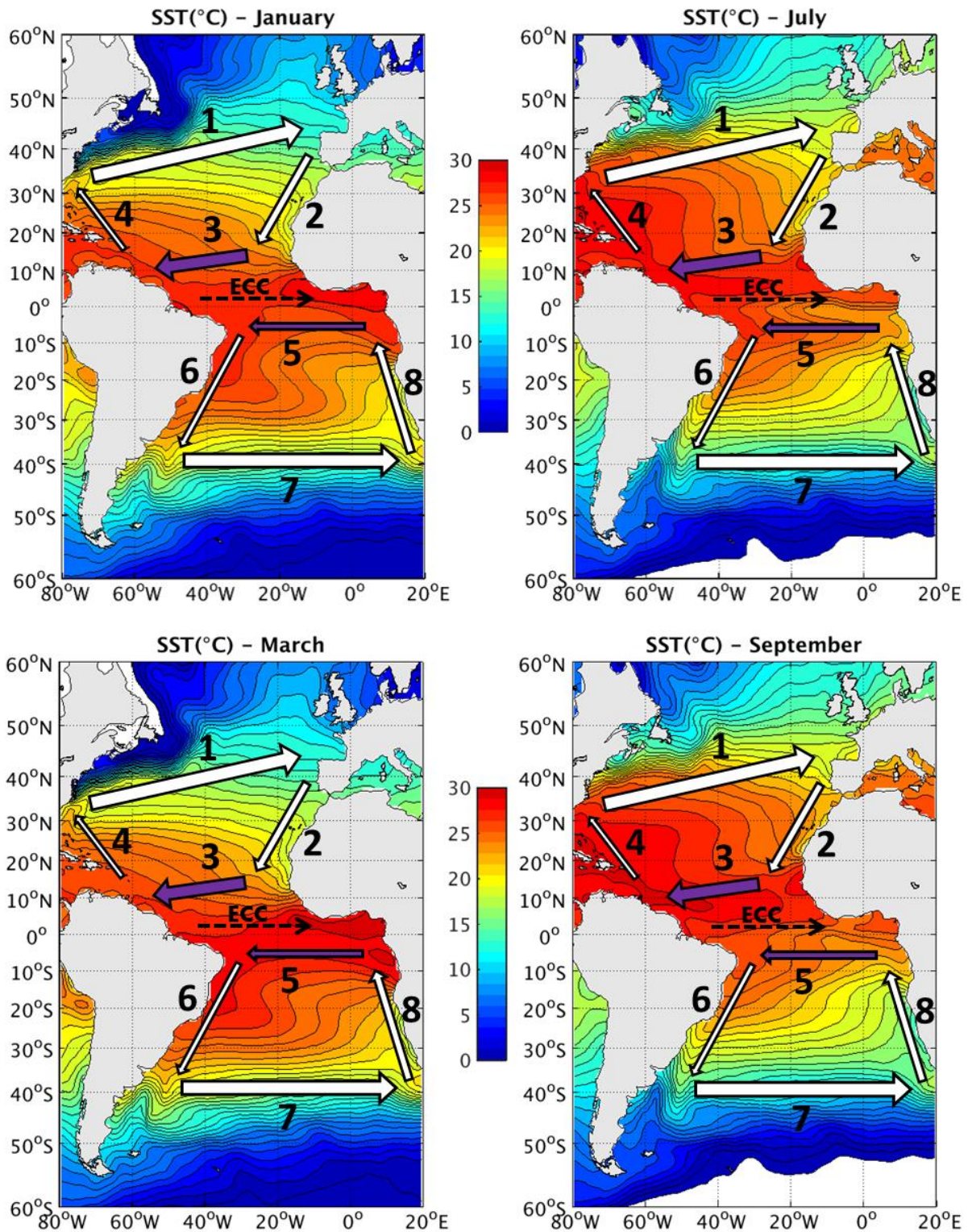


Figure 6. Monthly climatology of Sea Surface Temperature, derived from OISST data, for January, July, March and September, with a schematic of main current systems overlain, including: 1 = North Atlantic Current (NAC); 2 = Canaries Current (CC); 3 = North Equatorial Current (NEC); 4 = Antilles Current (AntC); 5 = South Equatorial Current (SEC); 6 = Brazil Current (BC); 7 = South Atlantic Current (SAC); and 8 = Benguela Current (BenC). Breadth of arrows represents strength of flow with purple infill for low salinity currents.

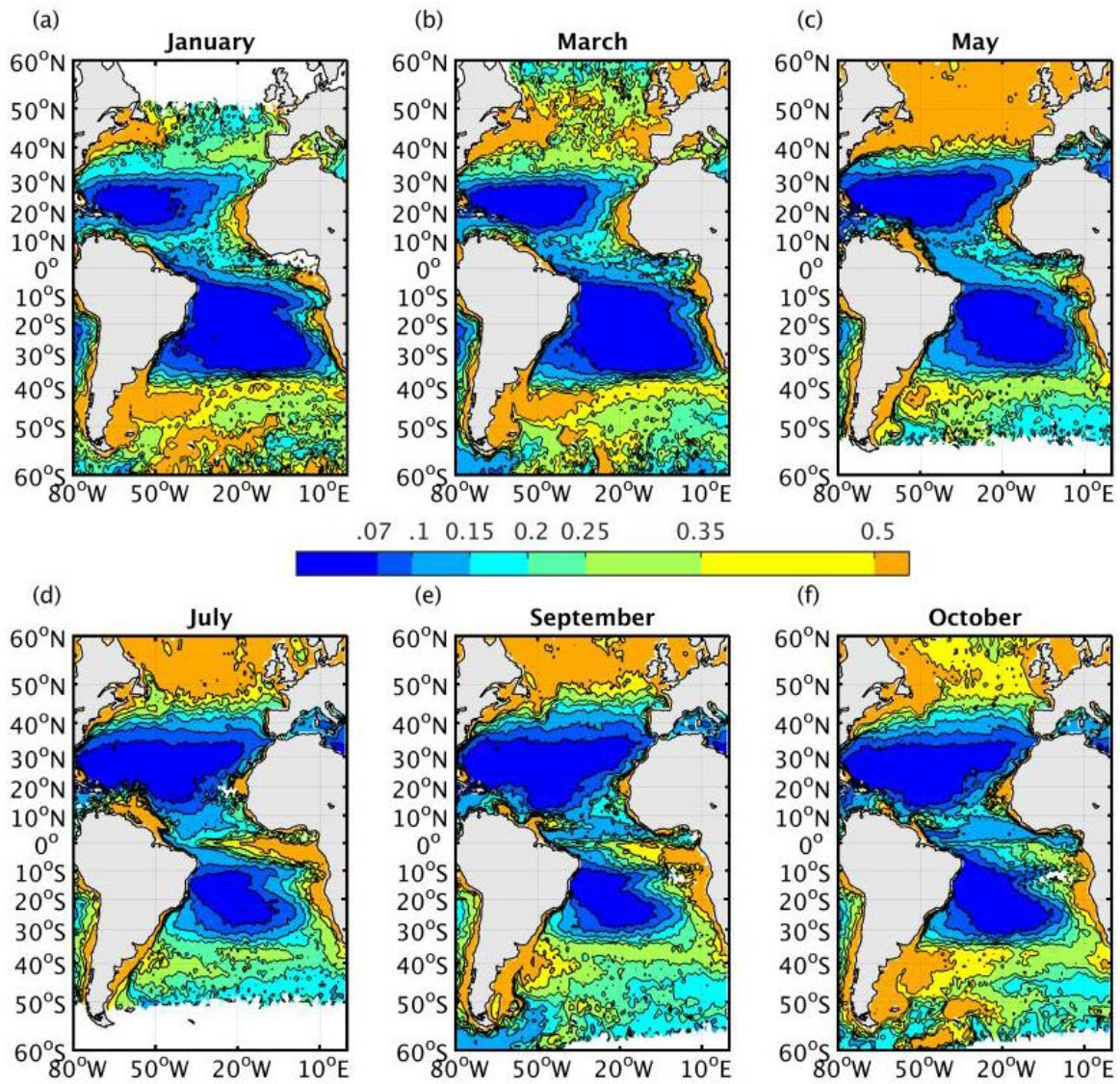


Figure 7. Monthly climatology of CHL (OC-CCI data 14y composite) in the Atlantic Ocean for: a) January; b) March; c) May; d) July; e) September; and f) October.

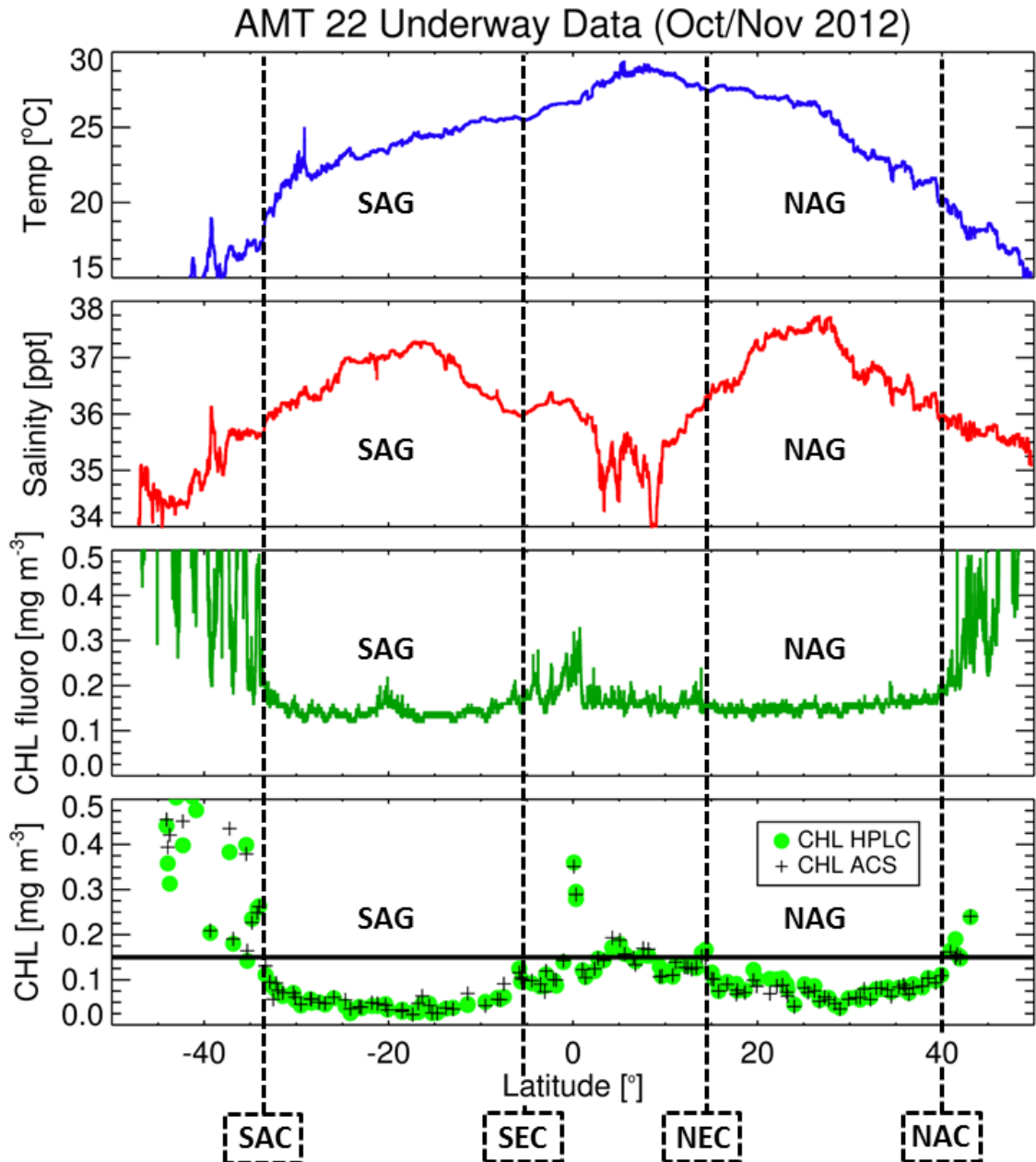


Figure 8. Along-track AMT-22 data on: surface temperature (SST, denoted Temp in the figure); Salinity (SSS); surface Chla fluorescence (CHL); and surface Chla (CHL) derived from HPLC from discrete surface water samples taken along-track, and from measurements from an ACS. Measurements are from pumped surface-layer water (nominally 5 m depth) measured continuously by shipboard instruments, illustrating the sharp change of all variables at the gyre edges. Dashed lines show the approximate locations of the gyres edges (North Atlantic Gyre (NAG) and South Atlantic Gyre (SAG)), the South Atlantic Current (SAC), South Equatorial Current (SEC), North Equatorial Current (NEC) and North Atlantic Current (NAC) regions of NAG and SAG. Black horizontal line on the bottom plot shows the 0.15 mg m<sup>-3</sup> CHL boundary.

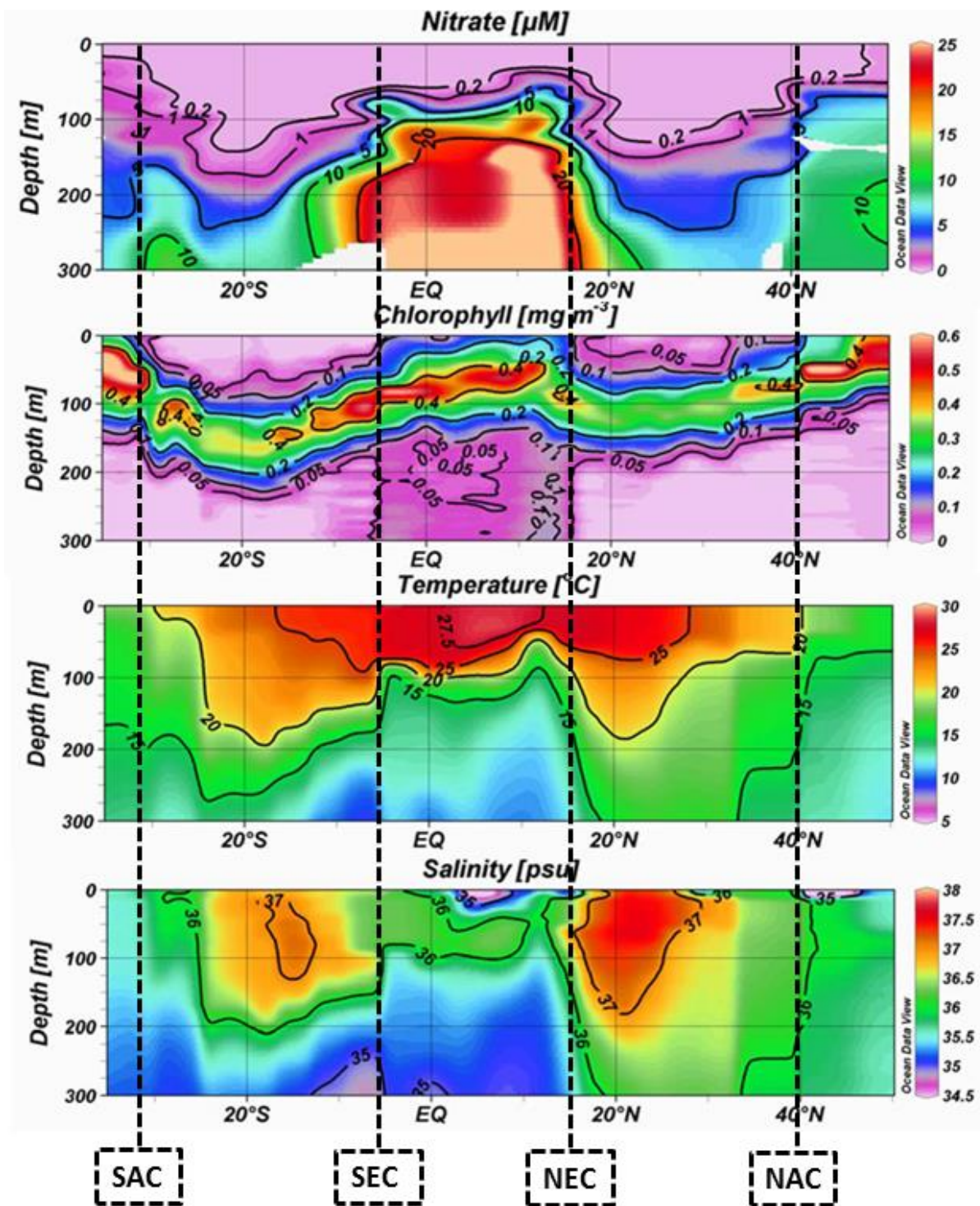


Figure 9. Contoured cross-sections of Nitrate, Chla, Temp, Salinity for AMT-17, with the approximate locations of the South Atlantic Current (SAC), South Equatorial Current (SEC), North Equatorial Current (NEC) and North Atlantic Current (NAC). Figures were adapted from AMT cruise report 17, available at [http://www.amt-uk.org/pdf/AMT17\\_report.pdf](http://www.amt-uk.org/pdf/AMT17_report.pdf).



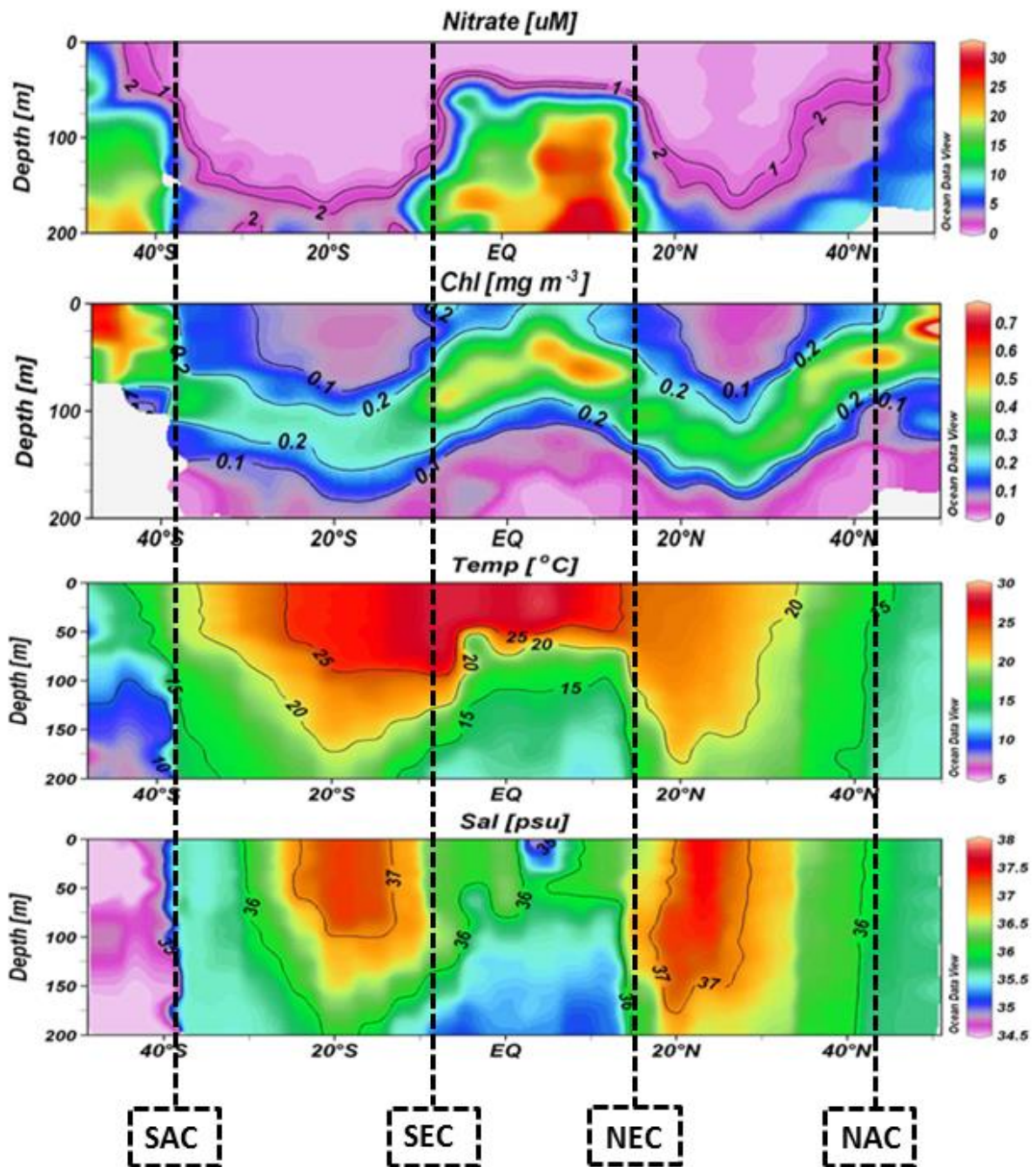


Figure 10. Contoured cross-sections of Nitrate, Chla, Temp, Salinity for AMT-14, with the approximate locations of the South Atlantic Current (SAC), South Equatorial Current (SEC), North Equatorial Current (NEC) and North Atlantic Current (NAC). Figures were adapted from AMT cruise report 14, available at [http://www.amt-uk.org/pdf/AMT14\\_report.pdf](http://www.amt-uk.org/pdf/AMT14_report.pdf).

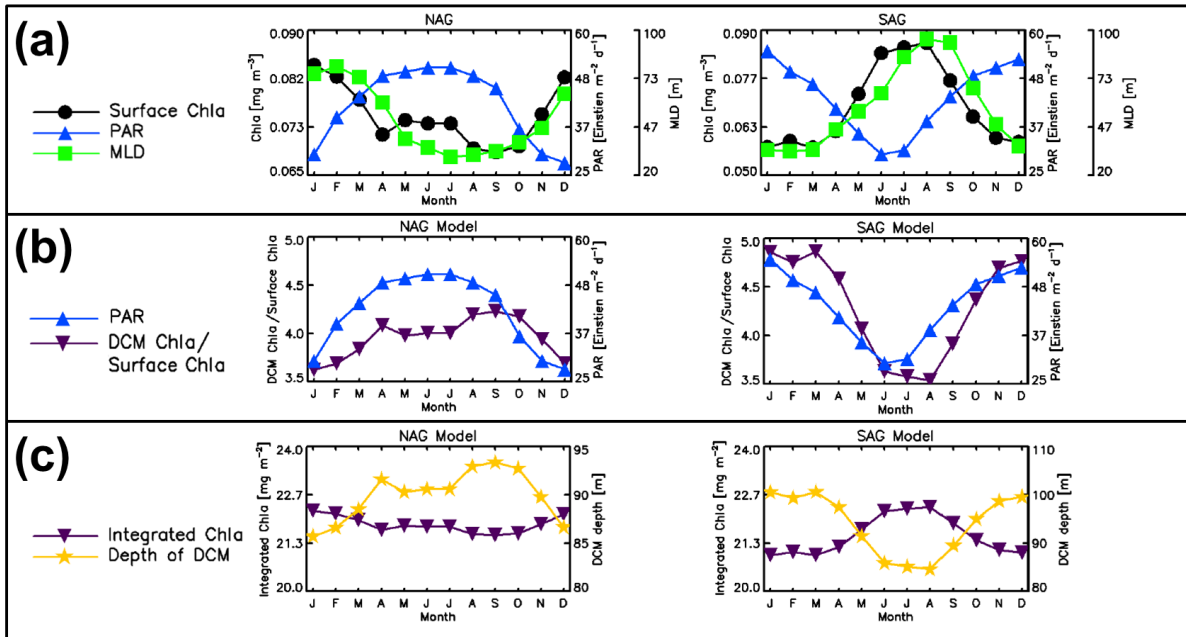


Figure 11. (a) Shows RS climatological monthly averages of surface Chla (CHL) and PAR, and average mixed-layer depth, all averaged within each gyre (using a  $0.15 \text{ mg m}^{-3}$  boundary in CHL). (b) Shows seasonal cycles in estimates of the ratio of Chla at the DCM to that at the surface together with climatological monthly averages of PAR, and (c) shows seasonal cycles integrated Chla (vertically integrated within 1.5 times the euphotic depth) and depth of DCM. The ratio of Chla at the DCM to that at the surface, integrated Chla and depth of DCM were estimated by forcing the empirical model of Brewin et al. (Submitted, this issue) with climatological monthly averages of CHL within each gyre.

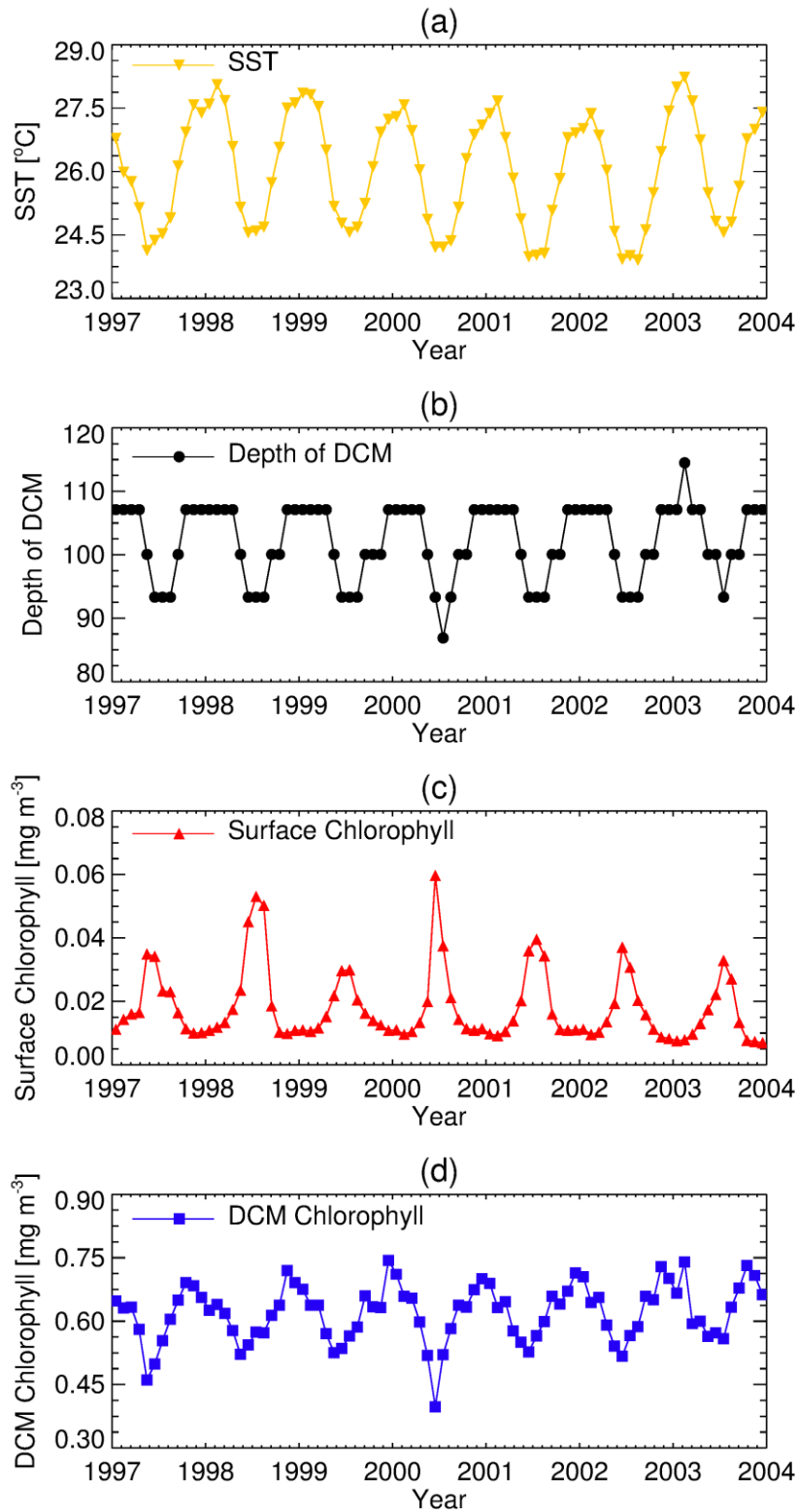


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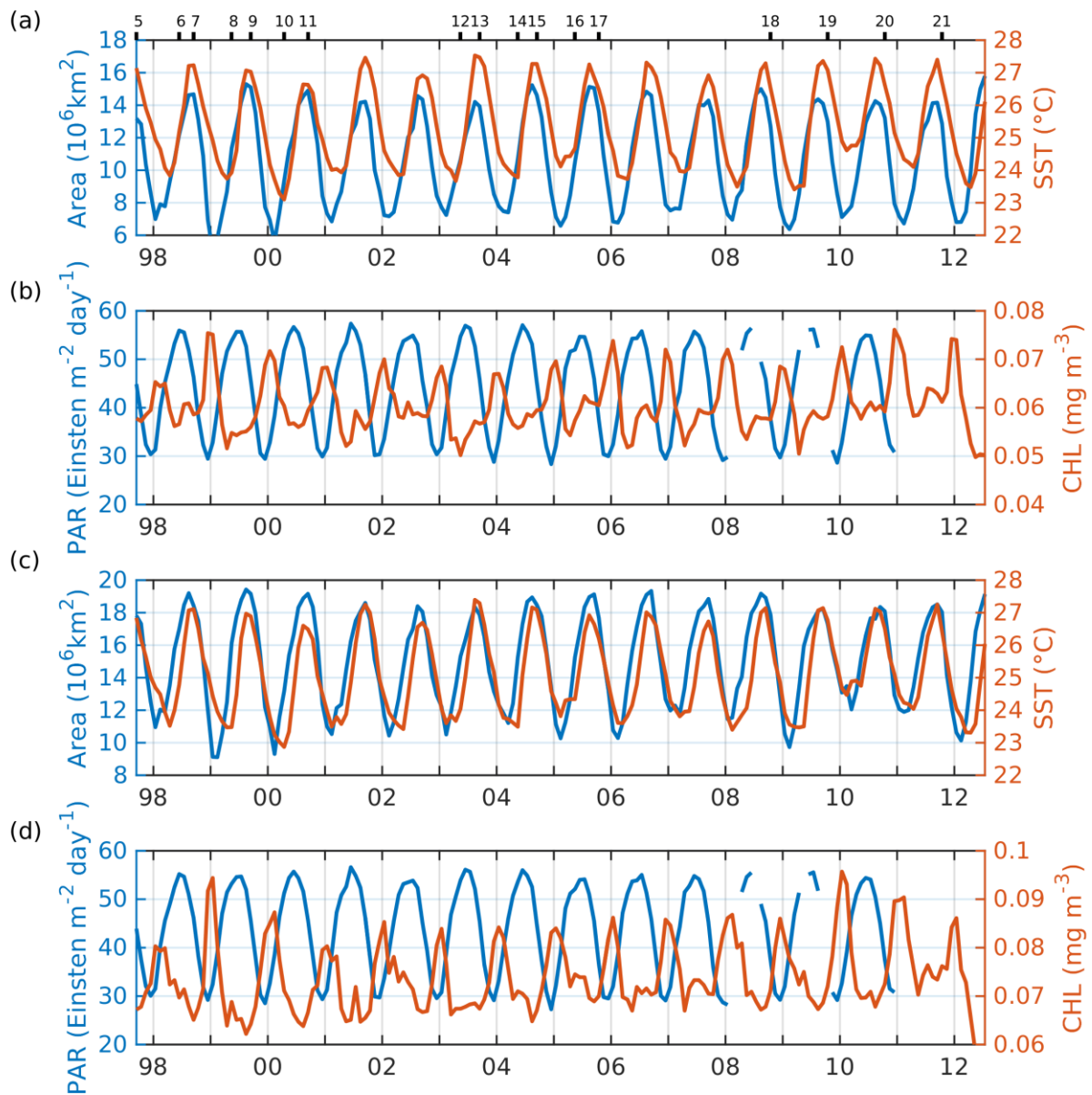


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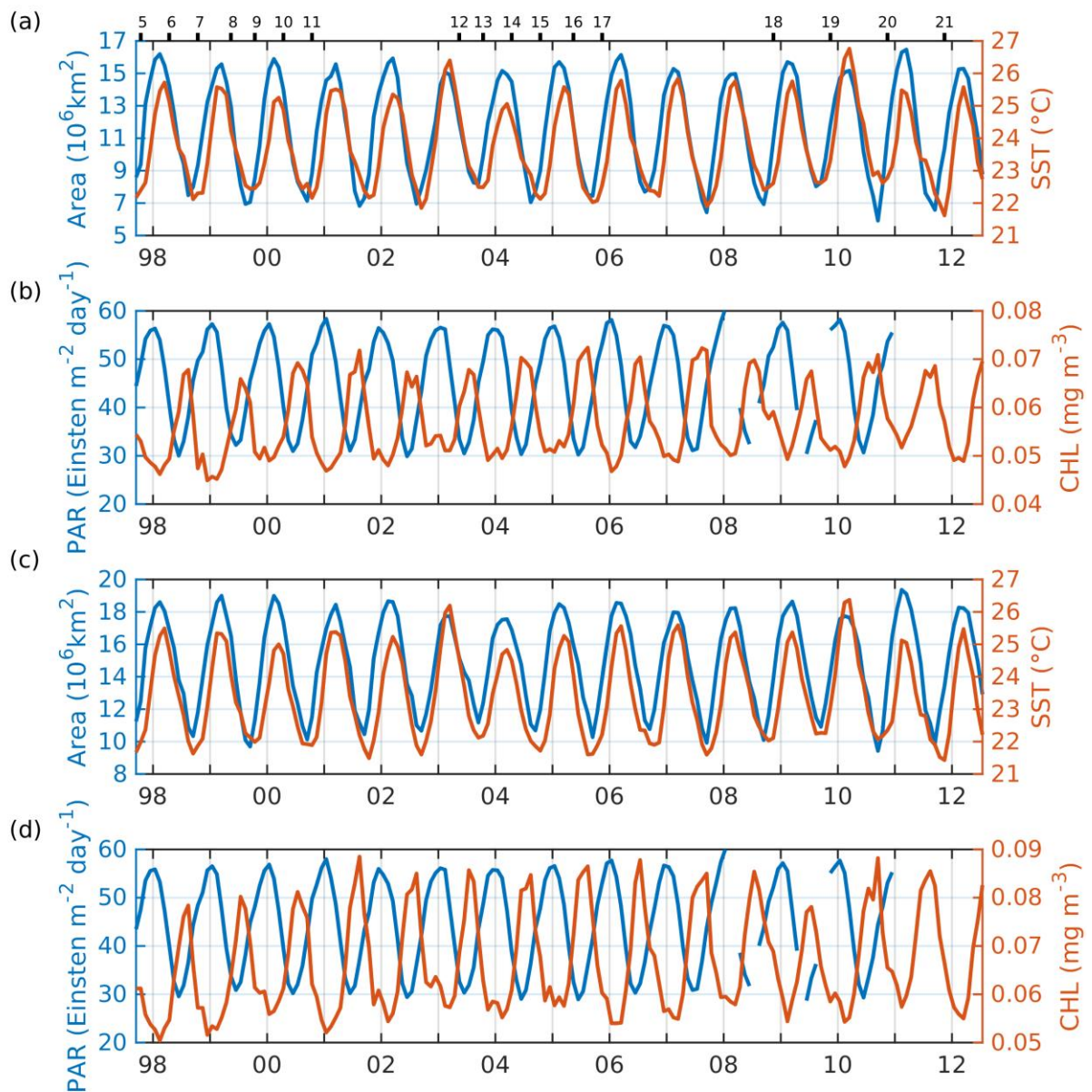


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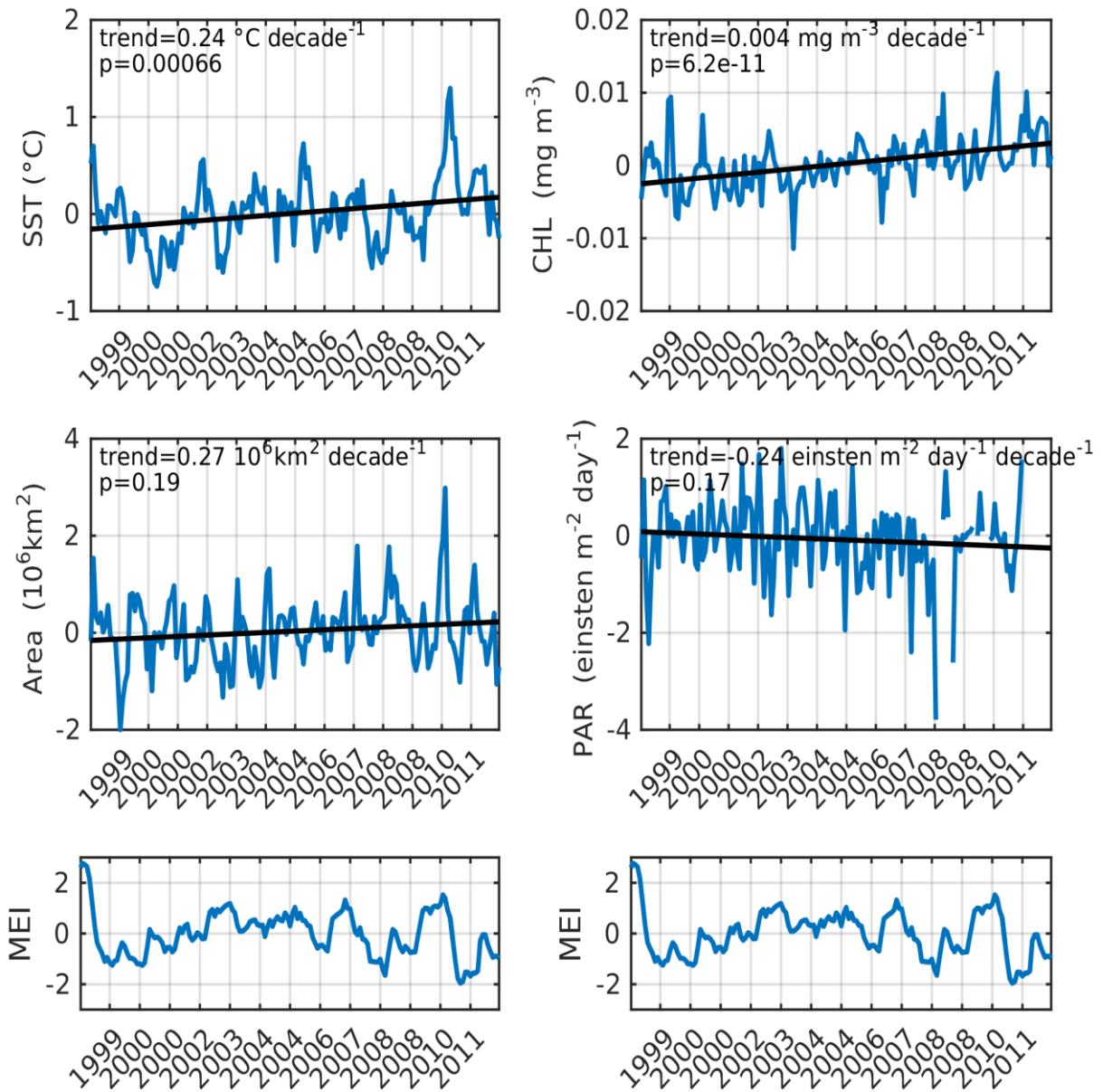


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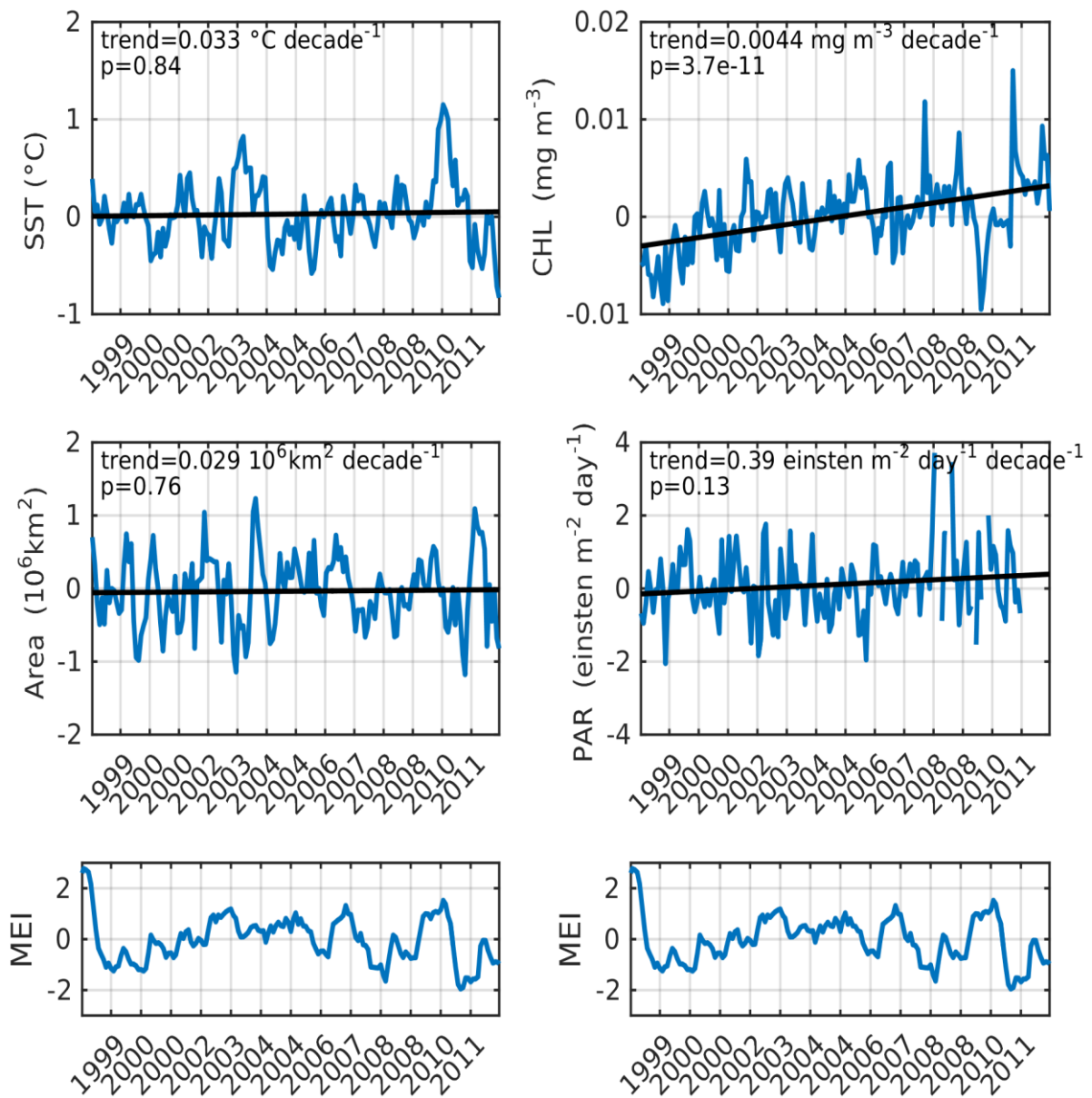


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