

1 **Marine turtle harvest in a mixed small-scale fishery: evidence for revised**
2 **management measures**

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24 **Abstract**

25 Small-scale fisheries (SSF) account for around half of the world's marine and inland
26 fisheries, but their impact on the marine environment is usually under-estimated owing to
27 difficulties in monitoring and regulation. Successful management of mixed SSF requires

28 holistic approaches that sustainably exploit target species, consider non-target species and
29 maintain fisher livelihoods. For two years, we studied the marine turtle fishery in the Turks
30 and Caicos Islands (TCI) in the Wider Caribbean Region, where the main export fisheries
31 are queen conch (*Strombus gigas*) and the spiny lobster (*Panulirus argus*); with fin-fish,
32 green turtles (*Chelonia mydas*) and hawksbill turtles (*Eretmochelys imbricata*) taken for
33 domestic consumption. We evaluate the turtle harvest in relation to the other fisheries and
34 recommend legislation and management alternatives. We demonstrate the connectivity
35 between multi-species fisheries and artisanal turtle capture: with increasing lobster catch-
36 per-unit-effort (CPUE), hawksbill catch increased whilst green turtle catch decreased. With
37 increasing conch CPUE, hawksbill catch declined and there was no demonstrable effect on
38 green turtle catch. We estimate 176-324 green and 114-277 hawksbill turtles are harvested
39 annually in TCI: the largest documented legal hawksbill fishery in the western Atlantic. Of
40 particular concern is the capture of adult turtles. Current legislation focuses take on larger
41 individuals that are key to population maintenance. Considering these data we recommend
42 the introduction of maximum size limits for both species and a closed season on hawksbill
43 take during the lobster fishing season. Our results highlight the need to manage turtles as
44 part of a broader approach to SSF management.

45

46

47 **Key Words:**

48 Small-scale fishery, marine turtle harvest, queen conch, spiny lobster, Wider Caribbean
49 Region

50

51 **1. Introduction**

52 Small-scale fisheries (SSF) are estimated to account for more than half of the world's marine
53 and inland fish catch (FAO, 2010). The majority of the world's fishers are located in
54 developing countries and operate using small boats of <12m in length (FAO, 2010). The
55 terms 'small-scale' and 'artisanal' are often used interchangeably. However, SSF are
56 generally commercial fisheries even when they retain traditional aspects (Chuenpagdee et
57 al., 2006). Definitions aside, 'small-scale' does not necessarily mean small impact
58 (McCluskey and Lewison, 2008; Alfaro-Shigueto et al., 2010); catch by individual fishers
59 might not always be substantial, but fleets can be sizeable and have large impacts on
60 coastal wildlife (Alfaro-Shigueto et al., 2011; Mangel et al., 2010; Peckham et al., 2007;
61 Soykan et al., 2008). With SSF dominating the continental shelf (Stewart et al., 2010),
62 environmental impact is likely to be concentrated in coastal areas that are already likely to
63 be subject to other human pressures (Dunn et al., 2010).

64 SSF are generally managed by biologically based control measures for single
65 species, e.g. catch quotas, gear restrictions, effort limits, fishing seasons. Most SSF,
66 however, operate as multi-species or mixed fisheries (Salas et al., 2007) and as such single-
67 species based management approaches tend to fail, having indirect effects on other
68 fisheries and fisher behaviours (Béné and Tewfik, 2001). Multi-species or ecosystem-based
69 management approaches that assess multiple biological stocks and their interactions and
70 account for the complexities of fisher behaviours, fleet dynamics, socioeconomic drivers and
71 maintain livelihoods are badly needed for mixed SSF (Andrew et al., 2007; Béné and Tewfik,
72 2001; FAO, 2010; Fanning et al., 2011). Knowledge of the dynamics of the whole SSF is key
73 to managing healthy coastal ecosystems and supporting communities that rely on them.

74 Understanding the impacts of SSF on coastal ecosystems, however, is hindered by a
75 paucity of quantitative information on catches, fishery effort and employment in SSF
76 because of their complexity and the generally poor institutional capacity in developing
77 countries to collect relevant data (Dunn et al., 2010; FAO, 2010; Salas et al., 2007). This in

78 turn hinders the formulation of appropriate policies and management in the SSF sector
79 (Andrew et al., 2007; FAO, 2010).

80 In this paper, we assess a multi-species SSF in the Turks and Caicos Islands (TCI),
81 a UK Overseas Territory (UKOT) in the Wider Caribbean Region (WCR). We examine the
82 artisanal take of two sympatric sea turtle species, the green turtle (*Chelonia mydas*) and
83 hawksbill turtle (*Eretmochelys imbricata*), alongside two of the most important and valuable
84 fisheries in the Caribbean - the Queen Conch (*Strombus gigas*) and the Spiny Lobster
85 (*Panulirus argus*) (FAO, 2007). Lobster and conch represents almost all of the TCI fishery
86 export, principally to USA markets (Department of Environment and Maritime Affairs - TCI,
87 unpublished data; FAO, 2007). Lobster catch-per-unit-effort (CPUE: kg/fisher/day) has been
88 steadily declining (Tewfik and Béné, 2004) and despite claims that the TCI conch fishery is
89 at maximum sustainable yield (currently 760 metric tonnes; FAO, 2007), signs of overfishing
90 have been reported since the early 1990s (Medley and Ninnés, 1999; Ninnés, 1994). Green
91 and hawksbill sea turtles are largely harvested for personal consumption, and although the
92 TCI turtle fishery can be considered artisanal and incidental to the lobster and conch
93 fisheries, it is thought to be the largest regulated and legitimate turtle fishery in the UKOTs
94 (Richardson et al., 2009), and possibly second, in magnitude, only to Nicaragua (Lagueux et
95 al., 2003). A minor artisanal fin-fish fishery also exists in TCI for local consumption, and is
96 likely to develop in the coming years; reliable information on this fishery is absent at present
97 and is therefore unable to be assessed here. The fisheries operate together as a multi-
98 species or mixed SSF, catching lobster, conch, fin-fish and sea turtles during single trips.
99 The mixed SSF is characterised by artisanal free-diving fishers usually operating in crews of
100 two or three from ca. 6m fibreglass powerboats. Most catch is landed at various fish
101 processing plants within the TCI, with a relatively small quantity being marketed directly to
102 local restaurants for local consumption.

103 There is a paucity of up-to-date published information on contemporary small-scale
104 marine turtle fisheries, data from which inform relevant management practices. Current data

105 on the size and structure of this fishery are scarce (Richardson et al., 2009; Rudd, 2003).
106 With recent turtle fishery closures in the neighbouring Bahamas (Fisheries Resources
107 (Jurisdiction and Conservation) Regulations, 2009) and in Trinidad and Tobago (Protection
108 of Turtle and Turtle Eggs (Amendment) Regulations, 2011), and a prevailing protectionist
109 approach to marine turtle conservation within the WCR (see Brautigam and Eckert, 2006;
110 Fleming, 2001; Eckert, 2010), there is a clear need to better contextualise and manage the
111 TCI turtle fishery. At the invitation of the local government, we undertook a two-year study to
112 assess the harvest of marine turtles in TCI. Here we set out to gather data that would inform
113 meaningful suggested changes to current management of the turtle fishery.

114

115 **2. Material and methods**

116 *2.1. Study site*

117 The Turks and Caicos Islands (TCI) is a UK Overseas Territory in the WCR, situated at the
118 southern end of the Bahamas (21° 45N, 71° 35W). Intensive monitoring was carried out at
119 South Caicos, the main fishing centre of the TCI, with regular visits made to the two most
120 populated islands of Grand Turk and Providenciales (Fig. 1).

121

122 *2.2. Study species*

123 The green turtle (*Chelonia mydas*) and hawksbill turtle (*Eretmochelys imbricata*) are listed as
124 endangered and critically endangered respectively (IUCN, 2010). Although the TCI turtle
125 fishery is regulated by the Fisheries Protection Ordinance (1998), this legislation only
126 protects turtle eggs and nesting females on the beaches and turtles at sea that are smaller
127 than 20 inches (51cm) carapace length (Richardson et al., 2006).

128 The spiny lobster (*Panulirus argus*) fishery opens on the 1st August each year and is
129 locally known as “the big grab” when maximum landings are made followed by a gradual
130 decline until closure, usually on 31st March (Tewfik and Béné, 2004). No quota system
131 operates for this fishery.

132 The queen conch (*Strombus gigas*) fishing season runs from 15 October to 15 July or
133 until the export quota (currently 1.6 million lb / 0.72 million kg) is reached. The queen conch
134 is listed in Appendix II of the Convention on International Trade in Endangered Species of
135 Wild Fauna and Flora (CITES) and in order for TCI to engage in international trade, the
136 fishery must be managed sustainably.

137

138 *2.3. Monitoring the artisanal turtle fishery and SSF*

139 Collaboration with fishers facilitated direct counts of hawksbill and green turtles landed for
140 local consumption at key fish landing sites, e.g. fish processing plants and public boat docks
141 or jetties. Several, but not all personal jetties used by one or two fishermen were
142 opportunistically monitored. During a two-year survey period (1 December 2008 - 30
143 November 2010) dockside observations were made for 544 days at South Caicos, 77 days
144 at Grand Turk and 68 days at Providenciales (Table 1, Appendix Fig A.1). A typical dockside
145 observation would last for about 4 hours, usually in the afternoon between 14:00 and sunset
146 or until the last boat had returned to dock. Only counts of turtles that were butchered are
147 included in the analyses; any that were landed and returned to the sea, e.g. perhaps
148 because they were undersize and intercepted by government enforcement officers, were
149 excluded. Associated information about butchered landings, e.g. location and method of and
150 reason for capture, was obtained by informally interviewing fishers. Monthly export fishery
151 records of catch (kg) and effort (boat days) of lobster and conch were collected by
152 government enforcement officers on workday afternoons at the fish processing plants of
153 South Caicos.

154

155 *2.4. Turtle harvest estimation*

156 We surveyed all key landing sites in South Caicos (n=4) on 75% of days during the survey
157 period (Table 1, Appendix Fig. A.1). To compile a complete dataset of turtle harvest for each
158 species in South Caicos, missing values - days with no dockside coverage - were manually
159 interpolated. To preserve any structure in harvest seasonality and yearly differences that

160 might exist in the South Caicos data, we used the mean number of butchered landings for a
161 particular day of the week for each month in each year. If there were fewer than 2 days of
162 observations we used the mean number of butchered landings for that day of the week
163 during its quarterly period (in that year) and if there were fewer than 2 days on which data
164 were recorded in its quarterly period (e.g. Sundays during parts of the year) we extended the
165 search to its half-year period. Interpolations were carried out in MATLAB® (version 2008a).
166 Other interpolation methods were trialled, e.g. linear interpolation and cubic-splines, but
167 these did not preserve the inherent seasonality. The estimated harvest at South Caicos is
168 the sum of interpolated values and direct counts.

169 We surveyed the key landing sites on Providenciales (n=3) and Grand Turk (n=1) for
170 9% and 11% of the survey period respectively (Table 1, Appendix Fig. A.1), so interpolating
171 missing values for these data was not appropriate. Instead, the data from South Caicos were
172 used to inform the likely harvests at these other islands. Harvest estimates for these two
173 additional sites were calculated by dividing the sum of turtles landed there by the sum of the
174 proportions of interpolated harvest at South Caicos on the 68 and 77 days of survey at
175 Providenciales or Grand Turk respectively. The estimated TCI harvest is the sum of the
176 three island estimates. All 95% confidence intervals of harvest estimates were taken from
177 the percentiles of the distribution of 10,000 randomised bootstrap estimates, and calculated
178 using R v 2.13 (R Development Core Team, 2011).

179

180 *2.5. Size classes of the harvest*

181 Carapace length of 765 animals (green turtles n=453; hawksbill turtles n=312) from the
182 fishery and our in-water surveys was measured to the nearest mm using a flexible tape
183 measure along the carapace mid-line from the nuchal notch to the longest caudal tip
184 (Curved Carapace Length – CCL, Bolten, 1999). The size of harvested turtles combined
185 from throughout TCI was compared (Mann-Whitney U test) to those captured during our in-
186 water catch-mark-recapture surveys (see Richardson et al., 2009 for details of in-water
187 survey methods and context). We estimate minimum adult carapace size to be 97cm for

188 green turtles, and 78cm for hawksbill turtles based on mean minimum sizes of nesting
189 females recorded in the region (Hirth, 1997; Witzell, 1983).

190 Harvested turtles were weighed prior to slaughter (green turtles n=120; hawksbill
191 turtles n=79) using Kern digital scales for turtles under 50kg (± 0.05 kg) or Salter analogue
192 scales for those weighing over 50kg (± 0.5 kg). Where turtle weight was unknown but size
193 was measured (n=39 green turtles, n=29 hawksbills), CCL was converted to weight using
194 power curve parameters (weight = $8.0 \times 10^{-5} \cdot \text{CCL}^{3.07}$, $r^2=0.98$ for green turtles and $6.0 \times 10^{-5} \cdot \text{CCL}^{3.14}$, $r^2=0.93$ for hawksbills). For each species, total annual landing biomass was
195 estimated using an Horvitz-Thompson-like estimator (Horvitz and Thompson, 1952) by
196 dividing the sum weight of the observed and converted harvest by the proportion of these to
197 the estimated annual TCI harvest (ie green turtles: 159 of 239=0.665; hawksbill turtles: 108
198 of 167=0.647). Confidence limits were calculated by multiplying the average harvested
199 (observed and converted) turtle weight $\pm 1.96 \cdot \text{SE}$ by the estimated annual TCI harvest $\pm 95\%$
200 CI. Edible mass (kg of meat etc.) of a subsample of green turtles (n=7) and hawksbill turtles
201 (n=12) was measured by weighing body parts that were going to be consumed. Edible mass
202 was plotted against total body weight and the parameters from the line of best fit used to
203 estimate edible mass of green (n=159) and hawksbill turtles (n=108) of known and
204 converted weight. The edible mass of the annual harvest was calculated as above, by
205 scaling up the average and 95% confidence limits of edible mass to the annual harvest
206 estimates.
207

208

209 *2.6. Seasonality of turtle harvest*

210 Yearly, monthly and daily patterns of interpolated totals of green and hawksbill turtles landed
211 at South Caicos were assessed statistically against the null hypotheses that average turtle
212 catch is approximately the same on every day of the week in each month and year.

213 Research year, month and day of week were included as fixed factors with their two-way
214 interactions in three-way crossed Permutational Analyses of Variance (PERMANOVAs)
215 using PERMANOVA+ in PRIMER v6 (Anderson et al., 2008). Models were carried out on

216 Euclidean distance with 9999 permutations of residuals under a reduced model and Type III
217 (partial) sums of squares.

218

219 *2.7. Small-scale fishery interactions*

220 We compared mean turtle catch at South Caicos with lobster and conch fishing seasons,
221 survey year and their interactions using two-way PERMANOVAs. Fishing seasons were
222 categorised as: both fisheries open, both closed, lobster fishery open (conch closed), and
223 conch fishery open (lobster closed). We used generalised linear models (GLMs) with
224 negative binomial errors (using the MASS package in R: Venables and Ripley, 2002).

225 Interpolated monthly totals of hawksbill and green turtle landings were used as response
226 variables ($n=24$) and related to explanatory variables: survey year, fishing season, conch
227 and lobster fishery CPUE, and catch in the other turtle species. CPUE ($\text{kg}\cdot\text{boatday}^{-1}$) was
228 used as an explanatory variable because catch and effort were strongly collinear (Pearson's
229 correlation: Lobster $r=0.92$; Conch $r=0.96$). Minimally adequate GLMs were derived by
230 model simplification and Information Criterion (IC) model selection (Akaike's (AIC) and
231 Bayesian (BIC)) following stepwise deletion and sequential Chi-squared likelihood-ratio
232 tests. Model residuals were checked for autocorrelation and conformity to assumptions.

233

234

235 **3. Results**

236 *3.1. Turtle harvest estimation*

237 We recorded 194 green turtles and 109 hawksbill turtles landed at the South Caicos docks
238 during 544 days of observation in this 2-year study; turtles were landed on 32% (173 of 544)
239 of the observation days. By interpolating the missing days when data were not gathered
240 (186 days over two years), we estimate that 119 (95% CI: 98 - 140) green and 65 (95% CI:
241 53 - 77) hawksbill turtles yr^{-1} are harvested in South Caicos annually (Table 1). At
242 Providenciales, turtles were landed on 18% (12 of 68) of the days of observation and we

243 estimate the annual harvest to be 38 (95% CI: 0 – 109) green and 72 (95% CI: 26 – 177)
244 hawksbill turtles yr⁻¹. For Grand Turk where turtles were landed on 21% (16 of 77) of the
245 days of observation, an estimate of 82 (95% CI: 38 – 128) green and 30 (95% CI: 11 – 61)
246 hawksbill turtles are harvested yr⁻¹ (Table 1; Fig. 1). The total annual TCI harvest is
247 estimated at 239 (95% CI: 176 - 324) green turtles, and 167 (95% CI: 114 – 277) hawksbill
248 turtles.

249

250 3.2. Size classes of the harvest

251 Harvested turtles were significantly larger (CCL) than those captured during our in-water
252 surveys (Fig. 2 a & b) (green turtles: n=453, W=12949, P<0.0001; hawksbills: n=312,
253 W=4194, P<0.0001). Although harvested green turtles during the 2-year study were all
254 below the estimated minimum breeding size recorded at nearby nesting grounds (>98cm
255 Hirth, 1997), 11% (n=12) of harvested hawksbill turtles were within the size of breeding
256 individuals (>78cm Witzell, 1983). Fifty percent (n=77) of harvested green turtles and 33%
257 (n=36) of harvested hawksbill turtles were below the current legal size limit of 51cm CCL;
258 this does not include those released alive by government enforcement officers, as records of
259 these were not always kept.

260 Harvested turtles that were weighed ranged between 2.4-67.1kg (n=120) and
261 between 5.0-93.0kg (n=79) for green turtles and hawksbills respectively. The mean weight
262 (including those converted from CCL) of harvested green and hawksbill turtles was 18.8kg
263 (SE=1.2, n=159) and 23.8kg (SE=1.9, n=108) respectively and represents 66.5% and 64.7%
264 of the estimated green turtle and hawksbill harvest. Approximately 4.48 (between 2.90-6.82)
265 metric tonnes of green turtles and 3.98 (between 2.30-7.61) metric tonnes of hawksbill
266 turtles were therefore landed annually. There was a linear relationship between edible mass
267 and total weight ($r^2 = 0.96$, hawksbills; $r^2 = 0.85$, green turtles: Appendix Fig. A. 2). The mean
268 proportion of edible mass for green turtles and hawksbills was 0.67 and 0.52 respectively
269 and smaller turtles yielded proportionally more edible mass than larger turtles (Appendix Fig.
270 A. 2). This artisanal fishery produced between 1.91-4.29 (mean 2.88) metric tonnes of green

271 turtle edible mass and between 1.14-3.87 (mean 2.00) metric tonnes of hawksbill edible
272 mass.

273

274 3.3. Seasonality of harvest

275 Fewer hawksbills were landed in South Caicos in the second year (Pseudo- $F_1=5.76$,
276 $P_{perm}=0.017$) and the harvest differed significantly by month (Pseudo- $F_{11}=3.68$, $P_{perm}=0.001$)
277 and day of the week (Pseudo- $F_6=5.01$, $P_{perm}=0.001$). The structure in hawksbill harvest is
278 driven by low catches on Sundays (see Appendix Fig. A. 3a) and high catches in March,
279 June and August (Fig. 4) and contributes to the seasonality consistently between years: 2-
280 way interactions were not significant. Numbers of green turtle captures were not significantly
281 different between years but there was significant structure by month (Pseudo- $F_{11}=2.24$,
282 $P_{perm}=0.015$) and day of week (Pseudo- $F_6=2.28$, $P_{perm}=0.04$) which were not consistent
283 between years: all 2-way interactions were significant ($P_{perm}<0.05$) (Appendix Fig. A. 3b).

284

285 3.4. Small-scale fishery interactions

286 Hawksbill catch was higher when the lobster fishery was open and the conch fishery closed
287 than in other levels of season (Fig. 3: Pseudo $F_3=4.49$, $P_{perm}=0.009$) and there was no
288 significant effect of year or interaction. Green turtle catch was largely driven by significant
289 differences between seasons in the first year when highest catch occurred with the conch
290 fishery open and lobster fishery closed (season: Pseudo $F_3=6.82$, $P_{perm}=0.007$). This pattern
291 was not consistent across years (year; Pseudo $F_1=12.84$, $P_{perm}=0.003$; interaction:
292 Pseudo $F_3=5.76$, $P_{perm}=0.007$) and in year 2 no apparent differences occurred between
293 seasons.

294 In both years, peak lobster CPUE (kg.boatdays⁻¹) occurred at the opening of the
295 lobster fishery (1 August) and declined and stabilised until it closed on 31 March (Fig. 4 a &
296 b; see Appendix Fig. A 4 for separate catch and effort plots). Parsimonious GLM models
297 indicated that as lobster CPUE increased so did hawksbill catch (GLM: χ^2 LR₁=3.73,
298 P=0.05), but green turtle catch declined (GLM: χ^2 LR₁=3.56, P=0.06) (Appendix Fig. A. 5). In

299 2009 (Year 1: Fig. 4a), the conch export fishery closed on 6 April because the quota was
300 reached. In this year both fisheries therefore closed at around the same time and remained
301 so for 4 months until August. A large peak in green turtle catch in April 2009 was coincident
302 with this closure. In 2010 (Year 2: Fig. 4b) the conch export quota was not reached and the
303 fishery remained open until 15 July creating a period of only 2 weeks when both fisheries
304 were closed. No corresponding peak in turtle catch of either species was observed during
305 this time. There is a suggestion that with increasing conch CPUE hawksbill catch declines
306 (GLM: χ^2 LR₁=3.09, P=0.08) but no evidence of a relationship with green turtle catch (GLM:
307 χ^2 LR₁=1.53, P=0.22) (Appendix Fig. A. 5).

308

309 **4. Discussion**

310 The mixed SSF of TCI is characterised by the targeted fishing of lobster and conch for the
311 export market and the opportunistic catch of several hundred green and hawksbill turtles
312 each year for domestic consumption. Our work in TCI illustrates the connectivity between
313 multi-species fisheries and artisanal turtle capture, and the need to manage turtles as part of
314 a broader approach to SSF management. Seasonality of the turtle harvest appears to be
315 driven primarily by fishery interactions. For example, hawksbill catch is positively dependent
316 on increasing lobster CPUE and inversely related to increasing conch CPUE, and green
317 turtle landings decrease with increasing lobster CPUE. This is almost certainly a result of the
318 different habitats in which these species are found: lobster and hawksbill turtles are most
319 commonly associated with reef habitat, and conch and green turtles with shallow seagrass
320 habitats. Peak hawksbill landings occurred in August and coincided with the opening of the
321 lobster fishing seasons, and in 2009, peak green turtle landings coincided with the closure of
322 both lobster and conch fisheries, demonstrating the potential impact that these fisheries
323 have on marine turtle catch. Our study is the first, of which we are aware, that empirically
324 relates lobster and conch fishing to sea turtle capture. Hawksbill catch in particular is
325 significantly dependent on the catch and effort of these fisheries and legislative measures
326 need to embrace this dependency in order to be effective.

327

328 *4.1. Seasonality of harvest: closed season*

329 The day-to-day structure of turtle harvest likely reflects the general weekly fishing pattern of
330 the mixed fishery and is likely driven by cultural influences e.g. Christianity, such that there
331 are low catches of hawksbills on Sundays. The seasonality results of this study indicate that
332 time-based management controls will affect turtle species differently. The presence of all
333 hawksbill class-sizes in TCI waters throughout the year, hawksbill nesting dynamics and the
334 effect of TCI's lobster fishery provide support for a closed season as an appropriate and
335 additional integrated measure that would optimally safeguard threatened hawksbill stocks in
336 the region. Regional peak nesting periods for hawksbill turtles (Beggs et al., 2007; McGowan
337 et al., 2008; Moncada et al., 1999) broadly coincided with peak landings of the species, but
338 not for green turtles (Bell et al., 2006; McGowan et al., 2008; Troeng and Rankin, 2005).
339 Breeding adult hawksbills are present in TCI waters throughout the year and around October
340 during the peak reproductive season, and breeding green turtles are present seasonally
341 around August (Author's unpublished data). The capture of turtles during their reproductive
342 seasons is of conservation concern, and is regulated against in several extant turtle fisheries
343 of the WCR by implementing harvest restrictions during these periods (e.g. Bell et al., 2006;
344 McGowan et al., 2008; Richardson et al., 2006).

345 We therefore suggest prohibition on all take of hawksbill turtles during the eight-
346 month lobster open season (August to March inclusive). This would more-or-less align TCI
347 legislation with that of other UKOTs in the WCR (Richardson et al., 2006). However,
348 although May to October presents an obvious time period for a potential closed season on
349 green turtles, breeding size adults are rarely taken in the harvest (see also Richardson et al.,
350 2009). A closed season on green turtle capture during this period may not be necessary in
351 terms of fishery protection, and is unlikely to be supported by fishers (Campbell et al., 2009).
352 At this time, we do not propose a closed season on green turtle take, and the introduction of,
353 and compliance with the proposed maximum size limit should protect breeding adults from
354 the fishery.

355

356 *4.2. Turtle harvest estimation*

357 The artisanal marine turtle fishery in TCI is the largest of the UK OTs (Godley et al., 2004b),
358 and our work confirms it as the largest documented legal hawksbill turtle fishery in the
359 western Atlantic. Our harvest estimates are of the few derived by direct observations (Table
360 2) while most regional estimates are nearly a decade old, and come from fisher interviews,
361 market surveys and logbooks, and as such, may be less accurate (Lunn and Dearden,
362 2006). For example, previous harvest estimates for TCI that used fisher interviews
363 (Fletemeyer, 1983; Godley et al., 2004a; Richardson et al., 2009) had wider uncertainty and
364 much higher upper estimates (Table 2), as is typical of such studies. Although we are
365 confident in our harvest estimates, we acknowledge that these are likely to be conservative
366 and minimum estimates because not all fishing docks, especially personal jetties, could be
367 systematically surveyed. For example, fishers at North Caicos, Middle Caicos, and Salt Cay
368 undoubtedly contribute further to the annual harvest, although the fishing communities here
369 are not nearly as large as those of the three main islands surveyed. Additionally, we know
370 that some fishers butcher turtles at sea (Authors' unpublished data), and there is likely to be
371 an unknown level of foreign poaching in TCI waters, especially from neighbouring Dominican
372 Republic (Fleming, 2001; Richardson et al., 2009); these catches are not included in our
373 estimates because we cannot confidently ascertain the extent of these practices.

374

375 *4.3. Size classes of the harvest: maximum size limits*

376 From our data, the capture of subadult and adult turtles is of conservation concern, in
377 particular for the hawksbill turtle given its critically endangered status (IUCN, 2010) and
378 remnant state of nesting populations in the WCR (Blumenthal et al., 2009; Bowen et al.,
379 2007). Eleven percent (n=12) of hawksbills landed in TCI's fishery were of adult size (>78cm
380 Witzell, 1983) (Fig. 2b) and foraging adult hawksbills are present in TCI waters year-round
381 since nesting activity has been observed throughout the archipelago in every month of the
382 year (Author's unpublished data). Large-sized hawksbill capture is likely to be driven by

383 fisher choice and effort allocation, for example, they are easier to catch than green turtles
384 because they are generally less likely to quickly flee from interaction with humans and are
385 frequently encountered at rest under reef ledges where fishermen dive for lobsters (Authors'
386 pers. obs.).

387 Despite being the largest green turtle fishery of the UK OTs (Godley et al., 2004b),
388 there were few subadults and no adults captured in the two years of our survey period. The
389 paucity of adult green turtles in the harvest is most likely to be a result of a combination of
390 fisher choice and turtle behaviour; fishermen may be unwilling to pursue large, fast
391 swimming adult green turtles because they are difficult to catch and handle, are possibly
392 costly to catch with respect to fuel used, and presumably compete for boat space with more
393 desirable or profitable catches. Additionally, the scarcity of adults in the harvest may be due
394 to low abundance of foraging adults, and the limited time of the year when breeding adults
395 are present in TCI waters: the green turtle nesting season in TCI is highly seasonal (May-
396 October) (Author's unpublished data). Together with the recovery of major green turtle
397 nesting rookeries in the region (see Broderick et al., 2006, for review), the impact of the TCI
398 fishery on regional green turtle populations is of less concern than that of hawksbills.

399 Our in-water surveys tended to catch smaller turtles on average than the fishery,
400 probably because our sampling is restricted by safety and logistical constraints to shallower
401 habitats where smaller turtles are typically found: fishermen often fish on outer reefs and in
402 deeper water habitats. These data probably reflect size-class partitioning in the taxa, where
403 increasing body size is coupled with increasing depth (Musick and Limpus, 1997).
404 Nevertheless, it is clear that fishers most frequently select juvenile turtles of approximately
405 20kg (or 55cm CCL) and this may be due to several factors: abundance of these size
406 classes and rates of encounter, capture effort, and fisher choices - taste, processing time
407 and optimal yield of edible mass. Our data suggest that turtles of this size yield
408 proportionally more edible mass than larger turtles (Appendix Fig. A. 2), and that
409 proportionally more of the green turtle is consumed than that of the hawksbill. The take of

410 juveniles of this size, however, is likely to be absorbed by the population dynamics without
411 detriment to the populations involved (Heppell and Crowder, 1996).

412 The current TCI sea turtle fishery legislation (Fisheries Protection Ordinance, 1998:
413 see Richardson et al., 2006, 2009 for reviews) permits the harvest of both species >51cm
414 length and does not adequately safeguard the survivorship of large juvenile (sub-adult) and
415 reproductive adults, the key life stages in population maintenance for late-maturing, slow-
416 growing species (Carr et al., 1982; Crouse et al., 1987; Crowder et al., 1994; Heppell and
417 Crowder, 1996). Minimum size limits such as these focus take on large individuals and may
418 impede turtle population recovery, even in small but highly regulated turtle fisheries, e.g.
419 Cayman Islands (Bell et al., 2006). The Cayman Islands recently adopted a maximum size
420 limit of 60cm (Cayman Islands Government, 2008), the first protection measure of its kind in
421 the WCR (Dow et al., 2007). Clearly, in the TCI, a biologically relevant management
422 measure is also needed that discourages the capture of large juveniles (sub-adults) and
423 adult turtles in both species. Moncada et al. (1999) reports that 7% of hawksbill turtles
424 captured in Cuba's historic turtle fishery were sexually mature at 61-65cm straight carapace
425 length and 100% at >81cm. We propose an upper size limit of 24 inches (61cm) shell length
426 for both green and hawksbill turtles, similar to that of the Cayman Islands and deliberately
427 precautionary to protect the age classes of most conservation concern: sub-adults and
428 adults of both species (Crouse et al. 1987, Crowder et al. 1994, Heppell and Crowder 1996).
429 The suggested size limit received 88% (n=66) support from the 75 fishers interviewed in
430 September 2011 (Authors, unpublished data). Additionally, because TCI fishers still use
431 imperial measures, it would be relatively practical in terms of compliance and enforcement.
432 Although, approximately 50% of green turtles and 33% of hawksbills landed in the fishery
433 were undersize (Fig. 2) - implying either a disregard, a misunderstanding or a sense of
434 biological inappropriateness (e.g. Raakjær Nielsen, 2003) of the present minimum size limits
435 - consultations with fishers to generate understanding of proposed turtle fishery measures
436 indicated almost unanimous support for maintaining a minimum size limit and introducing a
437 maximum size limit (Richardson, unpublished data).

438

439 *4.4. Quota management*

440 The fishing community understands the concept of quota because the conch fishery is quota
441 managed (Total Allowable Catch) (Béné and Tewfik, 2001). However, implementing,
442 administering, enforcing and monitoring turtle quota would require considerable capacity –
443 something that is unlikely to be tenable in an already stretched and presently downsizing
444 fisheries department (Forster et al., 2011). A licensing system with personal quota, e.g.
445 Cayman Islands (Bell et al., 2006), may be an option given that all fishermen apply for
446 fishing licences annually, but declaring compliance with personal quota would be unlikely.
447 Supporting biological evidence for turtle quota is not currently available and the impact of
448 such quota on other fisheries is unknown. Therefore, at present we do not advocate quota-
449 based management control measures. Further work is needed to address this possibility.

450

451 *4.5. Closure of the turtle fishery*

452 In many cases where turtle fisheries have been closed, population recovery has resulted
453 (Balazs and Chaloupka, 2004; Beggs et al., 2007; Broderick et al., 2006; McGowan et al.,
454 2008; Troeng and Rankin, 2005). However, in several WCR states, e.g. Anguilla (Godley et
455 al., 2004b), Montserrat (Richardson et al., 2006), BVI (McGowan et al., 2008), monitoring
456 the biological and social consequences of moratoria or fishery closure has been fiscally
457 challenged and not based on detailed study of the turtle fishery itself or as part of a wider
458 multispecies SSF. This is also the case for recent turtle fishery closures in the WCR, e.g.
459 Bahamas (Fisheries Resources (Jurisdiction and Conservation) Regulations, 2009); and
460 Trinidad and Tobago (Protection of Turtle and Turtle Eggs (Amendment) Regulations, 2011).
461 Our work with the fishing community over the study period found that communities
462 throughout the TCI strongly contest a ban on both species, expressing particular concern
463 over their removal of artisanal/traditional rights to consume turtles. Compliance with a fishery
464 closure that is unacceptable to the local community, would present significant enforcement
465 challenges (Raakjær Nielsen, 2003; Campbell et al., 2009; Silver and Campbell, 2005). A

466 fishery closure may also criminalise fishers and drive turtle harvest 'underground' and
467 increase butchering at sea, making monitoring catch rates impossible. Furthermore, a
468 permanent closure of the turtle fishery may impact other fisheries, for example, by increasing
469 the capture of lobster, conch, and fin-fish for personal consumption. Further work is needed
470 to establish convincing evidence that, in place of other control measures, a closure of the
471 turtle fishery would be biologically relevant and socially acceptable.

472

473 **5. Conclusions**

474 In the WCR, the majority of fishers and fisheries are from the SSF sector (Salas et al.,
475 2007). It is therefore important to recognise and mitigate the potential environmental impacts
476 of SSF in this region, consider the complex socio-ecological system associated with SSF
477 (Ostrom, 2009; Liu et al 2007), and to follow the building trend to develop ecosystem-based
478 management strategies that promote sustainability (Belgrano & Fowler 2011). Our results
479 indicate that incorporating the interactions of turtle harvests with mixed SSFs is important to
480 the management of turtle fisheries. We demonstrate that the turtle fishery in TCI is closely
481 tied with the mixed SSF, which is strongly influenced by fisher behaviour, choices and their
482 social environment, an aspect frequently disregarded in fishery management and resource
483 exploitation (Hilborn et al., 1995; Ostrom, 2009). We present empirical biological evidence
484 that support simple management measures already used by other turtle fisheries in the
485 WCR: the introduction of maximum size limits for both species and a closed season on
486 hawksbill take during the lobster fishing season. These measures are suggested in addition
487 to the existing provisions and are currently being considered by the TCI Government as part
488 of a revision of the Fisheries Protection Ordinance.

489 Future work could explore a variety of management aspects and tools applicable to
490 this SSF, e.g. Total Allowable Catch quotas for sea turtles and their use in an adaptive
491 management framework, financial management tools such as fines and incentives, multi-
492 species and multi-scale marine management, knowledge use in fisheries management,
493 integrated coastal zone management, spatial management (MPAs for sea turtles), and

494 adaptive governance and participatory strategies. A full discussion of these are beyond the
495 scope of this paper and outwith the data. However, work is currently underway to facilitate a
496 culture of compliance with the new suggested management measures. Work with fishers
497 and other stakeholders in TCI to explore co-management or community-based management
498 options *sensu* Campbell et al. (2009), has been set up to integrate fishing community
499 concerns and opinion in the design and proposed implementation of recommended turtle
500 fishery management measures, including those mentioned here. It is envisaged that
501 stakeholder participation will be key to effective sustainable management of these
502 resources. If these and other measures are incorporated, TCI will become one of the most
503 highly regulated sea turtle fisheries in the WCR and one that has strongly involved the
504 relevant stakeholders in fishery reform.

505

506 **Acknowledgements**

507 The Turks and Caicos Islands Turtle Project is a collaborative project between the
508 Department of Environment and Maritime Affairs, TCI (DEMA, formerly the Department of
509 Environment & Coastal Resources, DECR); Marine Conservation Society (MCS), UK;
510 University of Exeter, UK; Duke University, USA; and The School for Field Studies, Center for
511 Marine Resource Studies, TCI (SFS). It was established in November 2008 to assess
512 marine turtle populations and their use in TCI with a view to improving the management of
513 the Islands' turtle fishery. This work was funded by: MCS, Natural Environment Research
514 Council (NERC) CASE PhD studentship to T.B. Stringell, with the MCS as CASE partners
515 (Ref: NE/F01385X/1), and Simon & Anne Notley. DEMA and SFS gave in-country support.
516

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698

699 Table 1. Annual harvest estimates green turtles (A) and hawksbill turtles (B) landed at South Caicos (SC), Providenciales and Grand Turk
700 between 1 December 2008 – 30 November 2010 (Total survey period =730 days). The Turks and Caicos Islands (TCI) estimate is the sum of
701 each island estimate. 95% confidence intervals (CI) are percentiles of the distribution of bootstrapped estimates. Data are from direct dockside
702 observations. ‘Interpolated no. turtles captured concurrently at SC’ represents the number of turtles (count plus interpolated) captured at South
703 Caicos at the same time as observations were made at Providenciales or Grand Turk. These values are used in calculating the island harvest
704 estimates (see Methods section 2.4 for details).

	No. survey days	No. survey days when turtles landed	Green turtles				Hawksbill turtles			
			Observed count from all survey days	Interpolated total (count + interpolated)	Interpolated no. turtles captured concurrently at SC	Annual estimate and 95% CI	Observed count from all survey days	Interpolated total (count + interpolated)	Interpolated no. turtles captured concurrently at SC	Annual estimate and 95% CI
South Caicos	544	173	194	237.02	-	119 (98-140)	109	129.31	-	65 (53-77)
Providenciales	68	12	8	-	25.12	38 (0-109)	13	-	11.62	72 (26-177)
Grand Turk	77	16	16	-	23.14	82 (38-128)	7	-	14.89	30 (11-61)
TCI	-	-	218	-	-	239 (176-324)	129	-	-	167 (114-277)

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706

707

Table 2. Comparative reported, legal and substantial (>100) annual turtle harvest estimates from several nations in the Wider Caribbean. Harvest estimates for other Caribbean nations can be found in Brautigam and Eckert (2006), Fleming (2001), and Godley et al. (2004b). * denotes a historical quota.

Country	Green turtle	Hawksbill turtle	Year of survey	Method of survey	Source
TCI	176-324	114-277	2008-2010	Direct survey	Present study
TCI	236-1128	184-907	2001-2004	Fisher interview	Godley et al. (2004a), Richardson et al. (2009)
British Virgin Islands	150-450	50-150	2001-2004	Fisher interview	Godley et al. (2004b)
Cuba	280*	500*	1997*	Fishery statistics	Carrillo et al. (1999) Fleming (2001)
St Vincent and the Grenadines	148-214	251-347	1995-1999	Fisher interview	Grazette (2002) in Brautigam and Eckert (2006)
Grenada	488	294	2001	Fisher interview / market survey	Grazette et al. (2007)
Nicaragua	11,000	180-280	1993-2002	Direct survey	Lagueux et al. (2003)

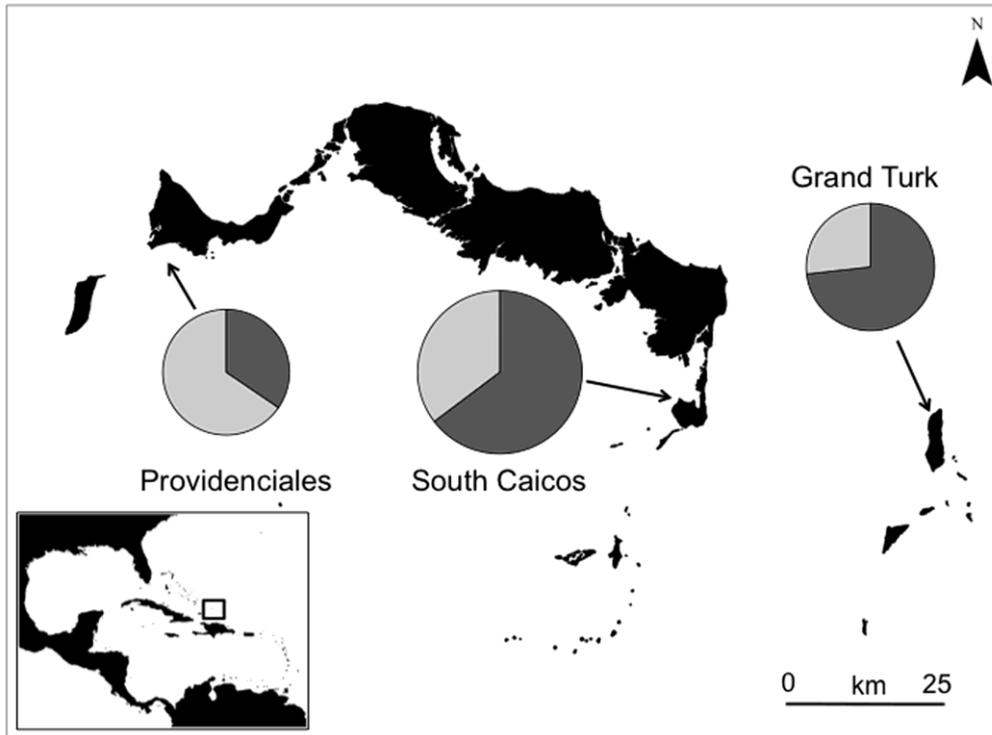


Fig. 1. Map and location of the Turks and Caicos Islands. Pie charts show the proportion of the estimated annual harvest of hawksbill turtles (light grey) and green turtles (dark grey) at each surveyed island and are scaled relative to the estimated harvest of both species combined (see Table 1 for values).

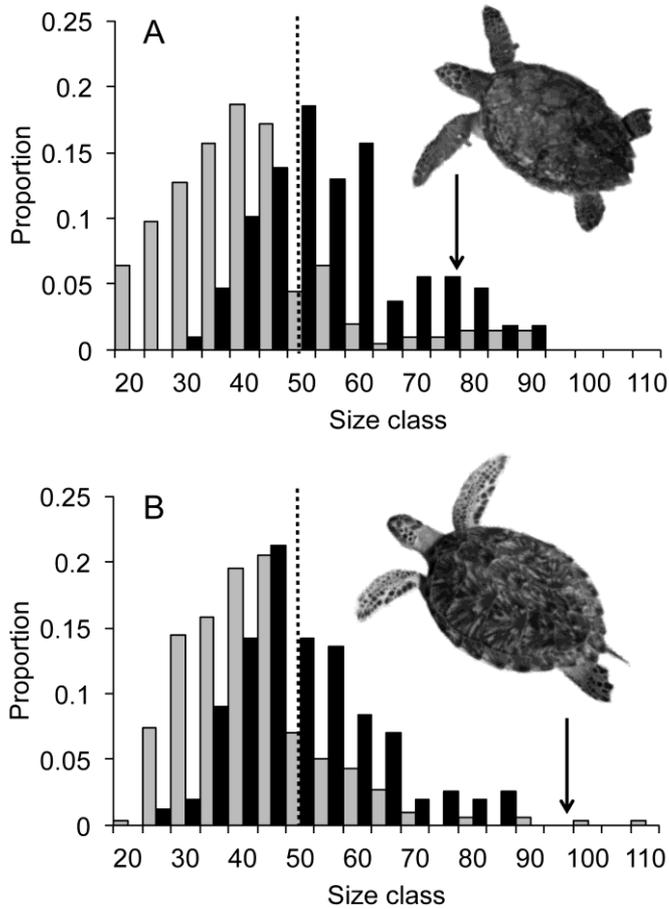


Fig. 2. Size-class (CCL, cm) histograms of curved carapace length of A) hawksbill (n= 312) and B) green turtles (n=453) sampled during the 2 year study (December 2008 to November 2010). Turtles sampled from in-water surveys (light grey) and harvested turtles (dark grey) are combined from all islands. Minimum legal size limit (51cm CCL) is shown with a dashed line, and likely minimum breeding sizes (see text) are indicated with arrows. Photos show juvenile hawksbill (A) and green turtles (B) (courtesy of T. Stringell and P. Richardson respectively).

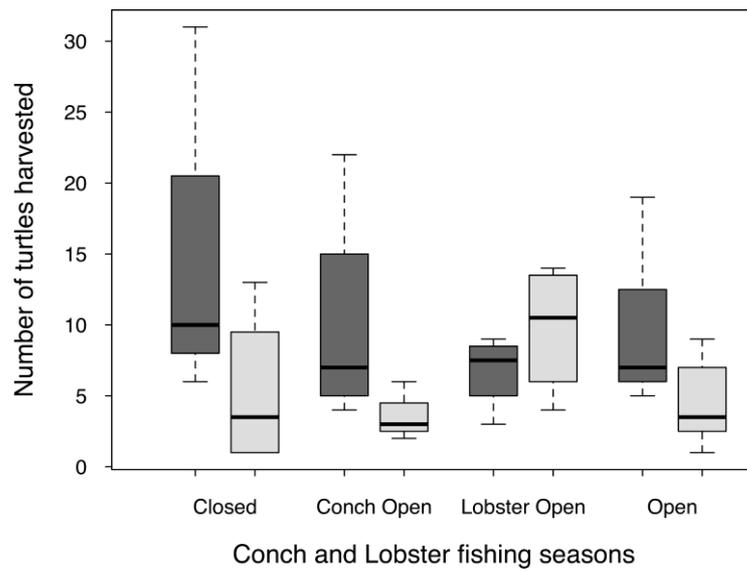


Fig. 3. Green turtle (dark grey) and hawksbill turtle (light grey) harvest at each of 4 categories of conch and lobster fishery seasons at South Caicos. Closed and Open categories refer to both fisheries together. 'Conch Open' represents periods when the conch fishery is open and lobster fishery closed, and *vice versa* for 'Lobster Open'. Data from December 2008 to November 2010 (24 months).

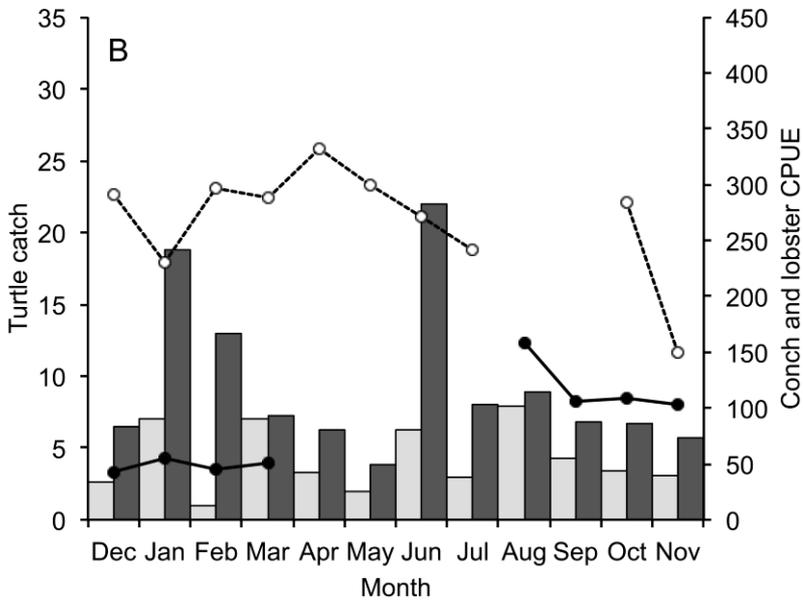
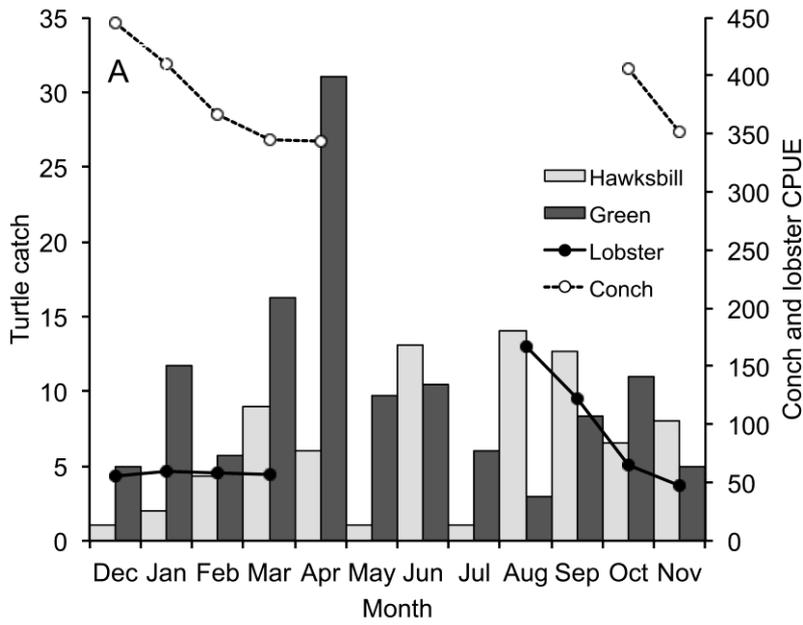


Fig. 4. Hawksbill (light grey) and green turtle (dark grey) interpolated monthly landings during A) year 1: 1 December 2008 - 30 November 2009, and B) year 2: 1 December 2009 - 30 November 2010. Fishing CPUE ($\text{kg}\cdot\text{boat days}^{-1}$) for lobster (filled circles and solid line) and conch (open circles and dashed line) export fisheries at South Caicos are superimposed.

Appendix A: Supporting Information

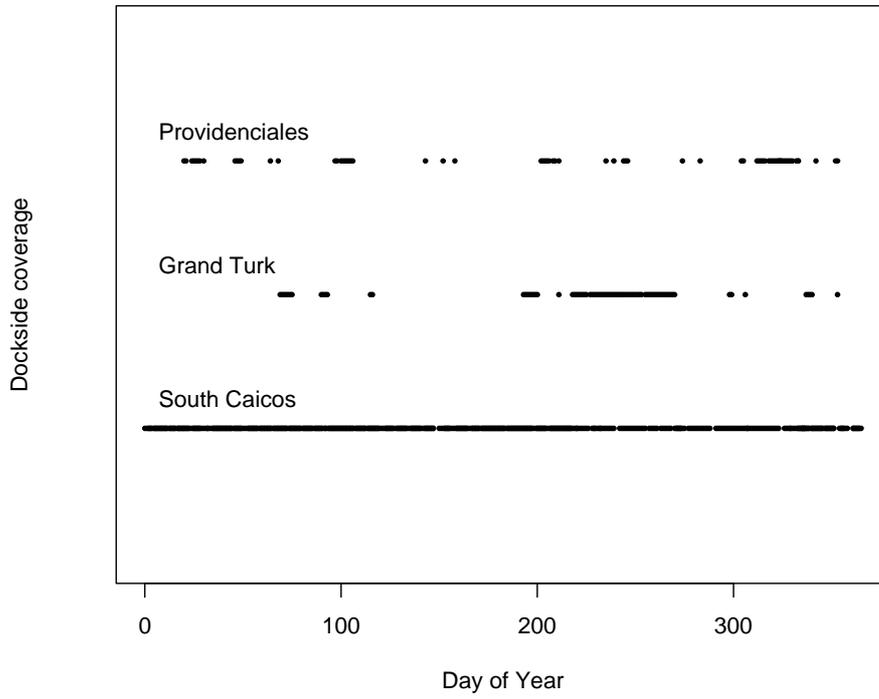


Fig. A. 1. Dockside survey coverage (days) of South Caicos, Grand Turk and Providenciales.

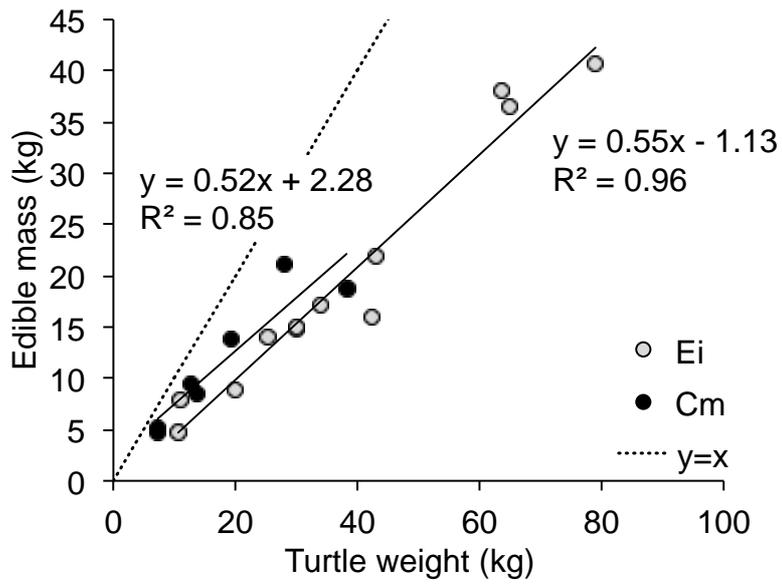


Fig. A. 2. Turtle edible mass and total weight relationships. Equation on left refers to green turtles (black filled circles, n=7) and the equation on right for hawksbill turtles (grey filled circles, n=12). Slope and intercept values were used to calculate the edible mass from the total harvest. The dashed line ($y=x$) is shown for comparison.

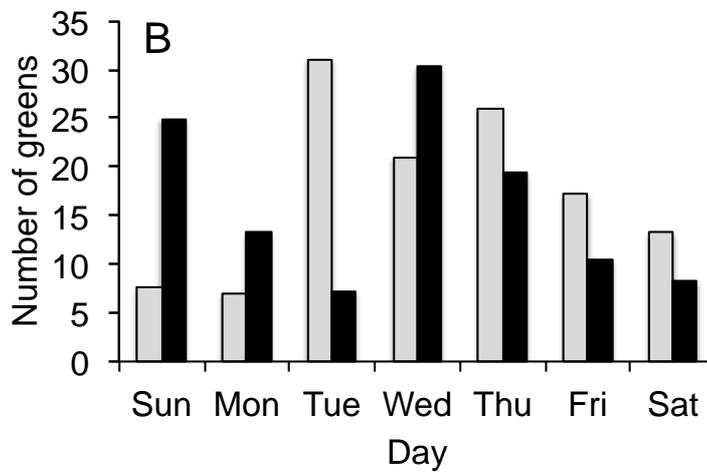
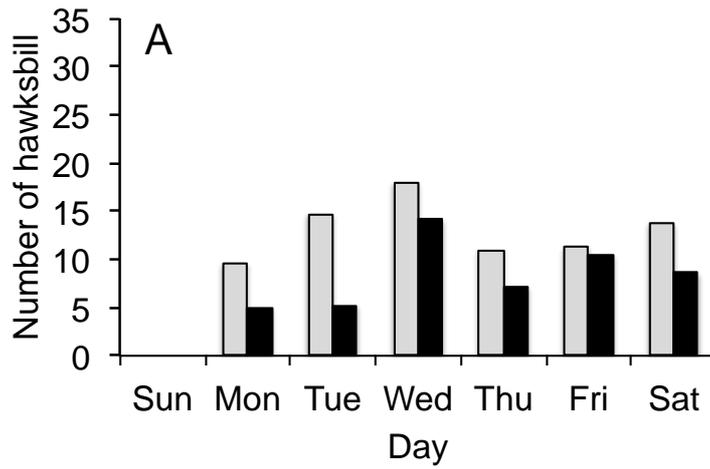


Fig. A. 3. Interpolated sum of hawksbill turtles (A) and green turtles (B) harvested in South Caicos by day of the week. Year 1: 1 December 2008 – 30 November 2009 (light grey); Year 2: 1 December 2009 – 30 November 2010 (dark grey).

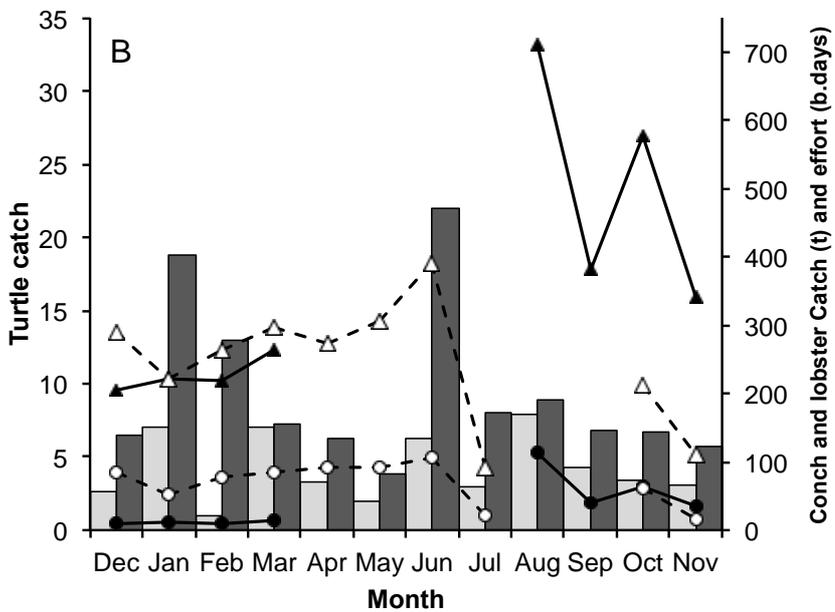
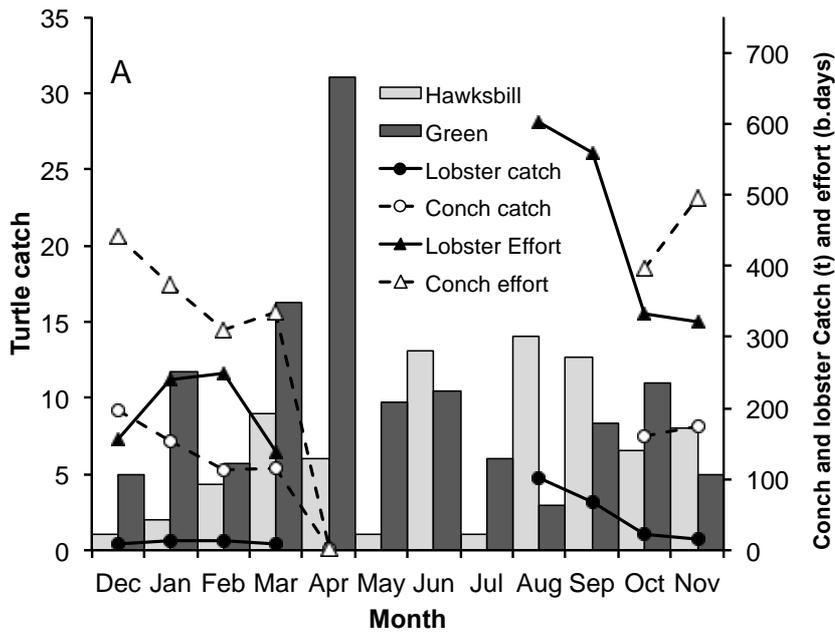


Fig. A 4. Hawksbill (light grey) and green turtle (dark grey) interpolated monthly landings during A) year 1: 1 December 2008 - 30 November 2009, and B) year 2: 1 December 2009 - 30 November 2010. Fishing catch (metric tonnes; circles) and effort (boat days; triangles) for lobster (filled symbols and solid line) and conch (open symbols and dashed line) export fisheries at South Caicos are superimposed.

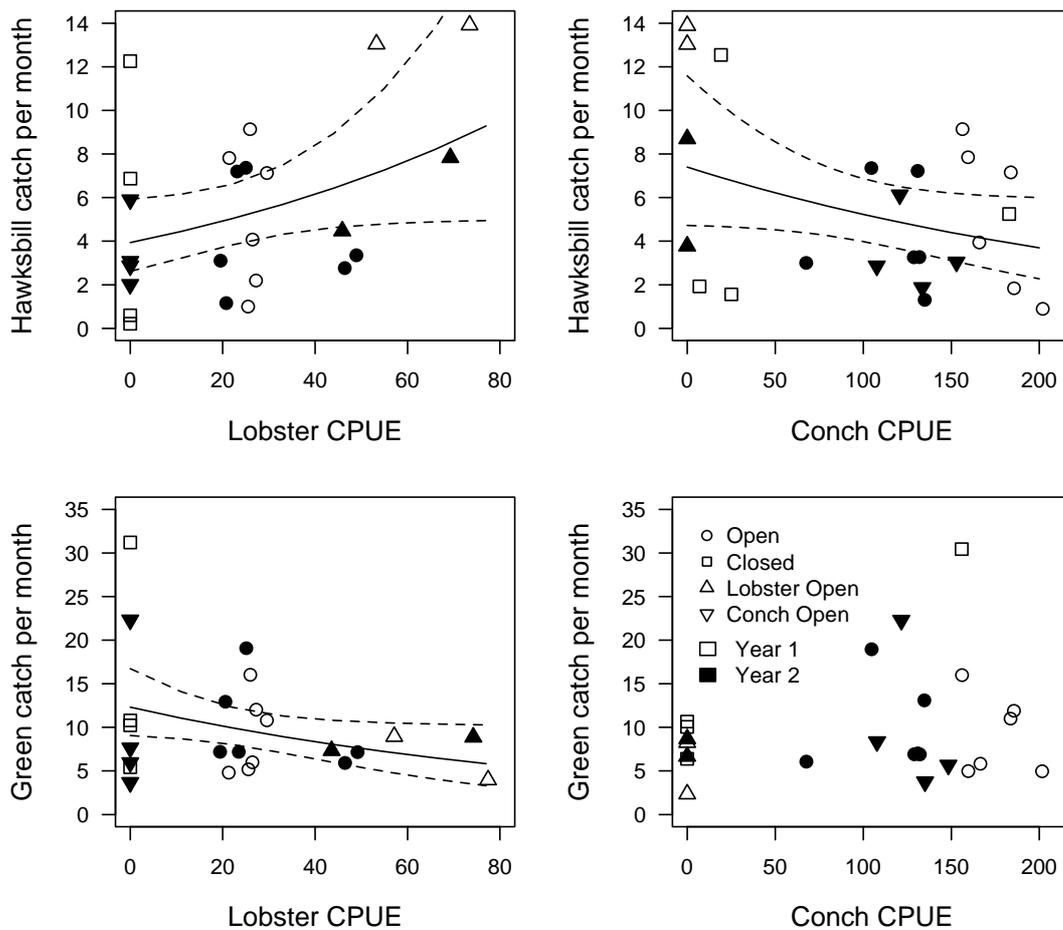


Fig. A. 5. The number of hawksbill (A and B) and green turtles (C and D) harvested per month during the 2-year study period against lobster and conch CPUE (kg.boat days⁻¹) at South Caicos. Lines indicate marginally significant negative binomial GLM fits and 95% confidence intervals (A, P=0.05; B, P=0.08; C, P=0.06; D lines not shown, P=0.22). Point shape and colour represent fishing season and survey year factors.