

1 **Sea Trial on Deterministic Sea Waves Prediction Using Wave-**  
2 **Profiling Radar**

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## 1 ABSTRACT

2 Short-term deterministic sea wave prediction (DSWP) can facilitate the safe implementation  
3 of a number of maritime operations. Wave profile radars, based on the conventional X-band  
4 radars, have recently been proven to provide a low-cost convenient source of two-dimensional  
5 wave measurement for DSWP. The Golden Arrow sea trial in the North Atlantic was dedicated  
6 to collecting wave and vessel motion data for DSWP purposes, particularly under the large sea  
7 conditions that are of practical interest in marine operations. The field data were collected using  
8 a wave profiling radar and other measurement technologies for validation. This paper reports  
9 on the successful application of a recently developed technique by Al-Ani et al. in DSWP to  
10 the dedicated sea trial.

11 *Keywords* - Deterministic sea wave prediction (DSWP); quiescent period prediction (QPP);  
12 sea trial; wave profiling radar

## 13 **1. Introduction**

14 Short-term deterministic sea wave prediction (DSWP) can potentially play a useful role in  
15 extending the sea states under which many critical maritime operations can be safely  
16 undertaken (Crossland et al., 2009; Giron-Sierra and Esteban, 2010; Ferrier et al., 2013).  
17 DSWP as a branch of marine science had its roots in the early 1990's and has recently evolved  
18 into a rapidly growing discipline, an illustrative sample of the literature being Morris et al.  
19 (1992), Pourzanjani et al. (1992), Blondel et al. (2008), Belmont et al. (2014), Hilmer and  
20 Thrinhill (2014), and Connell et al. (2015). Unlike traditional statistical estimation of sea wave  
21 properties (Tucker and Pitt, 2001), the discipline of DSWP aims to predict (few tens of seconds  
22 in advance) the actual profile of the sea surface and its evolution. Typical prediction timescales  
23 are in the range of 60-120 s ahead. Two common elements make DSWP an attractive tool in  
24 safely operating many launch and recovery tasks including: the launch and recovery of fixed

1 and rotary wing aircraft; small, surface or submersible vehicles, such as RHIBs; and cargo,  
2 fuel, and personnel transfer systems between vessels, and vessels onto offshore structures.  
3 Firstly, while the overall execution of such tasks may take a significant amount of time, the  
4 key wave-height-critical sub-tasks that actually limit the sea state under which they can be  
5 carried out are short, typically less than one minute. Secondly, a fundamental property of most  
6 sea conditions encountered that are of relevance to ships is that sets of large waves alternate  
7 with sets of smaller waves, the exception being highly multidirectional large wind-wave seas  
8 encountered within very strong storm systems when the wind conditions themselves typically  
9 prohibit most launch and recovery operations. The smaller waves present in otherwise large  
10 seas are, by definition, of lower height than the standard sea statistics for the prevailing  
11 conditions, and consequently the intervals in which these lower height waves occur are referred  
12 to as *quiescent periods*. Combining the above two elements, i.e. the relatively short duration  
13 of the wave-height critical sub-tasks and the presence of quiescent periods, suggests that if the  
14 smaller waves could be deterministically predicted then a range of maritime operations could  
15 be safely undertaken under conditions whose overall sea state statistics would prohibit the  
16 execution of the task. Hence, there is an increasing interest in the capabilities, such as quiescent  
17 period prediction (QPP), that are based upon DSWP (Pourzanjani et al., 1992; Ferrier et al.,  
18 2000; Crossland et al., 2009; Ferrier et al., 2013).

19 As discussed in Belmont et al. (2014), wave profiling radars are the only realistic sensing  
20 technology for shipborne applications of DSWP. Conventional vessel-mounted wave radar  
21 returns statistical wave data (sea surface roughness). In contrast, the OceanWaveS WaMoS II  
22 wave profiling radar (Reichert et al., 1999) offers the prospect of measuring the actual sea  
23 surface profile over an area of a few square kilometres around a vessel, based on the standard  
24 X-band radar carried by ships for safe navigation purposes. In Hilmer and Thornhill (2014),  
25 the accuracy of WaMoS II at rendering sea surface elevation was evaluated against a wave

1 buoy, where the majority of correlation coefficients exceeded 0.87. Due to the commercial  
2 nature of the source, full details of all the processing algorithms employed in extracting wave  
3 profiles from radar backscatter data are not available to users and their details are  
4 understandably not fully disclosed in the company's published material (Hilmer and Thornhill  
5 2015).

6 Using experimental wave profiling radar, Belmont et al. (2014) explored two forms of  
7 DSWP techniques. The first was so called "multiple fixed point" system based upon setting up  
8 a system of equations that described a sea composed of a modest number of wave directions.  
9 This approach had some success. Secondly a fully two-dimensional method in the form classic  
10 discrete time Fourier transform was employed that was slightly less effective. The WaMoS II  
11 system was deployed during a sea trial, designated as Golden Arrow, undertaken expressly to  
12 gather data for DSWP purposes. A fundamental challenge inherent in the use of WaMoS II is  
13 that it employs standard navigation radars whose antenna are typically mechanically rotated  
14 with scan times of few seconds. This means that the radar backscatter data evolves in both time  
15 and space and hence simple spatial Fourier techniques are only valid where the scan period is  
16 very small compared to the shortest period of interest. In the Golden Arrow trial, the rotational  
17 period of 2.52 s is considerable compared to the dominant wave periods of 11 s and 13 s for  
18 the prevailing westerly and south-westerly wave systems.

19 In order to address the above problem, a new two-dimensional approach was developed in  
20 Al-Ani et al. (2019). This technique can accept the mixed space-time data arising from the  
21 rotating radar antenna and furthermore is not susceptible to the condition number problems that  
22 usually arise with any form of inversion into prediction models (e.g., Alford et al. (2015),  
23 Belmont et al. (2014) and Connell et al. (2015)). When applied to simulated wave data, the new  
24 technique produces very promising results (Al-Ani et al., 2019). In the present report, the

1 authors focus on applying this approach to the field data of the Golden Arrow and report on  
 2 the wave prediction accuracy achieved.

3 The remainder of the paper is organised as follows. In Section 2, the authors summarise the  
 4 key elements of the new technique. The performance metrics that are used to assess the quality  
 5 of prediction are detailed in Section 3. In Section 4, we describe the sea trial data and illustrate  
 6 the accuracy of the wave profiles prediction. In Section 5, conclusions are drawn.

## 7 2. DSWP Technique

8 The prediction model is based on the standard linear oceanographic wave model of the sea  
 9 surface elevation  $h(x, y, t)$  at the spatial coordinate  $(x, y)$ , and temporal coordinate  $t$  that is  
 10 given by

$$11 \quad h(x, y, t) = \sum_{r=1}^R \sum_{n=1}^N [a_{n,r} \cos(k_n x \cos(\theta_r) + k_n y \sin(\theta_r) - \omega_n t) \\ 12 \quad \quad \quad + b_{n,r} \sin(k_n x \cos(\theta_r) + k_n y \sin(\theta_r) - \omega_n t)], \quad (1)$$

13 where  $N$  is the number of spectral components in the propagation direction  $\theta_r$ ,  $R$  is the number  
 14 of directions,  $(a_{n,r}, b_{n,r})$  is the spectral coefficient pair at the wavenumber  $k_n$  and its angular  
 15 frequency  $\omega_n$  in the direction  $\theta_r$ . With this technique, use is made of the standard  
 16 oceanographic assumption (Tucker et al., 1984) that in the Fourier transform of a particular set  
 17 of wave profile data the spectral coefficients are considered to be statistically independent. (It  
 18 is important to distinguish the spectrum of a particular set of sea data from spectral models,  
 19 such as Pierson Moskowitz or JONSWAP, which represent the average of a large number of  
 20 such individual spectra.)

21 For a more compact representation, let

$$22 \quad \alpha_{n,r}(x, y, t) \triangleq \cos(k_n x \cos(\theta_r) + k_n y \sin(\theta_r) - \omega_n t), \quad (2)$$

$$23 \quad \beta_{n,r}(x, y, t) \triangleq \sin(k_n x \cos(\theta_r) + k_n y \sin(\theta_r) - \omega_n t). \quad (3)$$

1 Furthermore, we let  $z = (x, y) \in \mathbb{R}^2$ . The sea elevation discrete measurements from one radar  
 2 scan is denoted by  $\{h_s(z_m, t_{s,m})\}_{m=1}^M$ ,  $z_m = (x_m, y_m)$ , where  $M$  is the size of the discrete data  
 3 per scan  $s$  (or a subdomain of the scan used in building the prediction model). For a single  
 4 scan  $s$ , the sequence  $\{t_{s,m}\}_{m=1}^M$  range over the period  $(\tau_s, \tau_s + \Delta t]$ , where  $\tau_s$  is the start time  
 5 of the scan and  $\Delta t$  is the rotation time of the radar antenna, and  $\{(x_m, y_m)\}_{m=1}^M$  range over the  
 6 radar scan spatial area.

7 The coefficients  $a_{n,r}$  and  $b_{n,r}$  can be determined by solving the following minimization tasks

$$8 \quad \min_{a_{n,r}, b_{n,r}} \sum_{m=1}^M [a_{n,r} \alpha_{n,r}(z_m, t_{s,m}) + b_{n,r} \beta_{n,r}(z_m, t_{s,m}) - h_s(z_m, t_{s,m})]^2. \quad (4)$$

9 The solution is given by

$$10 \quad \begin{bmatrix} \hat{a}_{n,r} \\ \hat{b}_{n,r} \end{bmatrix} = \mathbf{H}^{-1} \mathbf{f}, \quad (5)$$

11 where

$$12 \quad \mathbf{H} = \sum_{m=1}^M \begin{bmatrix} \alpha_{n,r}(z_m, t_{s,m}) \\ \beta_{n,r}(z_m, t_{s,m}) \end{bmatrix} \begin{bmatrix} \alpha_{n,r}(z_m, t_{s,m}) & \beta_{n,r}(z_m, t_{s,m}) \end{bmatrix}, \quad (6)$$

$$13 \quad \mathbf{f} = \sum_{m=1}^M \begin{bmatrix} \alpha_{n,r}(z_m, t_{s,m}) \\ \beta_{n,r}(z_m, t_{s,m}) \end{bmatrix} h_s(z_m, t_{s,m}). \quad (7)$$

14 We can see that  $\mathbf{f}$  is of size  $2 \times 1$ ,  $\mathbf{H}$  is  $2 \times 2$ , and the solution in (5) is  $2 \times 1$  which represents  
 15 a single pair of spectral coefficients at wavenumber  $k_n$  in direction  $\theta_r$ . The solution (5) is  
 16 determined for each of the  $RN$  spectral pairs in a one-by-one manner. This technique is related  
 17 to the single-frequency least-squares estimation in nonuniform sampling theory, which is also  
 18 known under other names, such as the Lomb-periodogram, depending on the application  
 19 (Stoica et al., 2011).

1 The solution in (5) requires inverting  $\mathbf{H}$  - a matrix of size  $2 \times 2$ . This is more numerically stable  
2 than inverting potentially large ( $R \times R$ ) matrices involved in the Multiple Fixed Points method  
3 (Belmont et al., 2014) and even larger ( $RN \times RN$ ) matrices involved in the classical least-  
4 squares fitting of the data to all the spectral components *simultaneously*, which are equivalent  
5 to solving Vandermonde systems that are well-known to be poorly conditioned with condition  
6 numbers that rise with their ranks (Bagchi and Mitra, 1996; Bilinskis, 2007; Belmont et al.,  
7 2014). We can also observe that the technique accounts for the varied time instants of the  
8 measurements across the scan (unlike the standard two-dimensional Fourier transform, used  
9 for example in Wijaya et al. (2015), where a single time instant is associated with each scan).  
10 We note that the spectral components along with their coefficients in the prediction model can  
11 represent the sea surface elevation in the measurement and predictable zone at defined temporal  
12 points. This means that the prediction model is not a general representation of the prevailing  
13 sea and the coefficients derived from different scans are in fact independent.

### 14 3. Performance Metrics

15 The  $S$  scans collected over the sea trial are denoted by  $\mathcal{h}_s = \{h_s(z_m, t_{s,m})\}_{m=1}^M, s = 1, \dots, S$   
16 and used respectively to generate predictions of the sea surface over the *prediction horizon*  
17  $\mathcal{T} = (\tau_s, \tau_s + T]$ , where  $T$  is the total prediction time (it is important to clarify that the term  
18 ‘prediction horizon’ here is used to indicate a time period as opposed to a single time instant).  
19 The prediction of the sea surface based on  $\mathcal{h}_s$  at prediction time instant  $t_{s+p,m} \in$   
20  $(\tau_s, \tau_s + T], p = 1, \dots, P$ , where  $P = T/\Delta t$ , is denoted by  $\{\hat{h}_s(z_m, t_{s+p,m})\}_{m=1}^{\hat{M}}$ , where  $\hat{M}$  is the  
21 size of the spatial *predictable zone*. The relationship between the available prediction horizon,  
22 predictable zone, and the properties of the measurements can be described by the so called  
23 Space-Time diagrams (Edgar et al., 2000; Abusedra and Belmont, 2011; Qi et al., 2018) which

1 yield all the necessary information. In the multidimensional seas, the Space-Time diagrams are  
 2 applied in all propagation directions with the final results being the intersection of the diagrams  
 3 from all directions, see for example Qi et al. (2018) for an illustration.

4 The first metric for assessing the prediction accuracy is the (temporal) correlation between  
 5 the predicted and true wave profiles. In maritime applications such as helicopter recovery at  
 6 sea, it is more valuable from a practical point of view to evaluate the correlation in the temporal  
 7 domain at a single spatial location (as opposed to the spatial correlation at a single time instant).  
 8 Therefore, formally speaking, we determine the normalised correlation between the predicted  
 9 wave profile for the prediction horizon  $\mathcal{T} = (\tau_s, \tau_s + T]$ , at a spatial location and the “future”  
 10 radar data available to us within the same time horizon and location. Assuming that the  
 11 predicted and true wave profiles,  $\{\hat{h}_s(z_m, t_{s+p,m})\}_{p=1}^P$  and  $\{h_{s+p}(z_m, t_{s+p,m})\}_{p=1}^P$  respectively,  
 12 are zero-centred, their correlation coefficients based on  $h_s$  are given by

$$14 \quad Q_s(z_m) = \frac{\frac{1}{P} \sum_{p=1}^P \hat{h}_s(z_m, t_{s+p,m}) h_{s+p}(z_m, t_{s+p,m})}{\hat{\sigma}_s(z_m) \sigma_s(z_m)}, \quad s = 1, \dots, S, \quad m = 1, \dots, \hat{M} \quad (8)$$

13 where

$$15 \quad \hat{\sigma}_s(z_m) = \left[ \frac{1}{P} \sum_{p=1}^P |\hat{h}_s(z_m, t_{s+p,m})|^2 \right]^{1/2}, \quad (9)$$

$$16 \quad \sigma_s(z_m) = \left[ \frac{1}{P} \sum_{p=1}^P |h_{s+p}(z_m, t_{s+p,m})|^2 \right]^{1/2}. \quad (10)$$

17 For overall illustration of the results of (8), we average the correlation coefficients at each  
 18 location  $z_m$  across the scans, i.e.  $A(z_m) = \frac{1}{S} \sum_{s=1}^S Q_s(z_m)$ . To further analyse the prediction  
 19 accuracy within the prediction horizon, we obtain a second form of temporal correlation where  
 20 we evaluate the inner product along the trial duration for a single time *instant* ( $t_{s+p} \in$   
 21  $(\tau_s, \tau_s + T]$ ) in the prediction horizon:

$$22 \quad Q_p(z_m) = \frac{\frac{1}{S} \sum_{s=1}^S \hat{h}_s(z_m, t_{s+p,m}) h_{s+p}(z_m, t_{s+p,m})}{\hat{\sigma}_p(z_m) \sigma_p(z_m)}, \quad p = 1, \dots, P, \quad m = 1, \dots, \hat{M} \quad (11)$$



1 where

$$2 \quad \hat{\sigma}_p(z_m) = \left[ \frac{1}{S} \sum_{s=1}^S |\hat{h}_s(z_m, t_{s+p,m})|^2 \right]^{1/2}, \quad (12)$$

$$3 \quad \sigma_p(z_m) = \left[ \frac{1}{S} \sum_{s=1}^S |h_{s+p}(z_m, t_{s+p,m})|^2 \right]^{1/2}. \quad (13)$$

4 Nonetheless, the correlation coefficient demonstrates the accuracy of predicting the shape of  
5 the wave profile and not its amplitude (Taylor 2001). Therefore, as an additional performance  
6 metric, we determine the relative RMS errors

$$7 \quad E_s(z_m) = \left[ \frac{1}{P} \sum_{p=1}^P |\varepsilon_s^p(z_m)|^2 \right]^{1/2}, \quad s = 1, \dots, S, \quad m = 1, \dots, \hat{M} \quad (14)$$

8 where,

$$9 \quad \varepsilon_s^p(z_m) = \frac{\hat{h}_s(z_m, t_{s+p,m}) - h_{s+p}(z_m, t_{s+p,m})}{H_s(z_m)} \quad (15)$$

10 where  $H_s(z_m)$  represents the maximum wave height at location  $z_m$  in the specified prediction  
11 horizon.

#### 12 **4. The Sea Trial and Results**

13 The illustrative data presented here was obtained during an interval of long-period high sea  
14 state during the Golden Arrow sea trial on the 24<sup>th</sup> November 2014 between 9:20 and 14:00  
15 UTC off the western coast of Scotland, approximately 100 km west of the Isle of Mull. The  
16 development of the wave system during this part of the trial as reflected by the vessel's wave  
17 driven heave motion is shown in Fig. 1, where the standard deviation of the ship heave is  
18 calculated over a window of ten minutes.

19 Statistical directional wave spectra were determined from both: the WaMoS II wave radar  
20 (operating in traditional statistical mode as opposed to wave profiling mode) and free floating  
21 directional multi-axis wave buoys. Both data sources indicated that there were two significant  
22 wave directions, one from the west and one from the south west. (Here the wave directions  
23 represent the direction the waves are propagating from.) The dominant periods of these two

1 wave systems were up to 11 s and 13 s, respectively. These timescales were significant as the  
2 3dB point of the vessel frequency response in heave corresponds to 9 s period waves. Thus, to  
3 a zeroth-order approximation, the vessel acted as a wave follower in heave as will be discussed  
4 later. Between 13:00 and 14:00 UTC the ship was manoeuvring slowly and the waves were at  
5 their highest, and therefore the study is focused in this hour. The speed, course and heading of  
6 the ship from 12:58 to 14:00 UTC is shown in Fig. 2.

#### 7 *4.1 Wave profiles predictions*

8 A subdomain from each WaMoS II scan is chosen, where the measurements are most  
9 reliable. The size and location of the reliable *measurement zone*, also known as the “sweet  
10 spot” in the literature, depends on the sea waves properties, radar height and characteristics,  
11 and the prediction requirements. It is usually located in the directions where waves are traveling  
12 towards the radar, beyond the dead zone around the ship where the radar backscatter is  
13 saturated, and therefore it usually follows the shape of a section of a ring (see, for example, Qi  
14 et al. (2016) for a discussion). For easy illustration, we restrict the measurement zone here to a  
15 trapezium, west of the ship. The dimensions and location of the measurement trapezium are  
16 illustrated in Fig.3 a. The axes in Fig. 3 are labelled according to the ship location that is set  
17 at the spatial location (0,0) m.

18 The wavenumbers  $\{k_n\}_{n=1}^N$  in (1) are set to uniformly span over the longest and shortest  
19 waves to represent. The angular directions  $\{\theta_r\}_{r=1}^R$  are evenly distributed over a half plane The  
20 wavenumber resolution in (1) is defined by  $\pi/\gamma$ , where  $\gamma$  is the radius of the scan that is 3 km  
21 here. The directional resolution depends on the choice of the maximum wavenumber  $k_N$  and  
22 is defined by  $2\pi/\gamma k_N$ . Given that the dominant wavenumbers for the two dominant storm  
23 systems are 0.033 rad/m, and 0.024 rad/m, it was deemed reasonable to limit the maximum  
24 wavenumber to 0.09 rad/m in (1). Thus, the number of directions  $R$  and the number of spectral  
25 components  $N$  per direction are 135 and 85, respectively. To determine all the coefficients in

1 the prediction model (1), the presented technique requires  $O(MRN)$  operations, where the  
2 number of signal samples  $M$  in the measurement zone is  $150 \times 10^3$  per scan. The computations  
3 are more demanding than an FFT, which typically requires  $O(M \log M)$  operations. However,  
4 an interpolation into a Cartesian grid is prerequisite in order for an FFT to be deployed, unlike  
5 the presented method that can handle data of any distribution with no extra computations. We  
6 note that increasing the number of wave components in (1) does not improve the prediction  
7 performance. Whereas, using the full resolution of the data inside the measurement zone offers  
8 an improvement in the prediction quality as the effect of measurements noise and jitter reduces  
9 with the number of data points used.

10 The data from the measurement zone of the  $s$ -th scan is used to build the prediction model  
11 which in turn is used to yield the sea surface motion over the corresponding predictable zone  
12 for prediction horizons  $\mathcal{T}_1 = [\tau_s, \tau_s + 30]$  s,  $\mathcal{T}_2 = [\tau_s, \tau_s + 60]$  s,  $\mathcal{T}_3 = [\tau_s, \tau_s + 90]$  s,  $\mathcal{T}_4 =$   
13  $[\tau_s, \tau_s + 120]$  s,  $\mathcal{T}_5 = [\tau_s, \tau_s + 150]$  s. It was adequate for illustration to simply identify the  
14 predictable zones by a single boundary from the west which we set to 5 m/s. It is important to  
15 note that in the correlation and prediction error calculations, we use the radar measurements  
16 available to us as the “true and future” waves. Therefore, the errors in the latter contribute to  
17 the performance results presented here. To minimise this effect, we illustrate the prediction  
18 accuracy in the areas where the reliable measurement zone and the predictable zones overlap.  
19 In Fig. 3. b – f, we show the mean correlation coefficients  $A(z_m)$  in these overlapping areas.  
20 We can see that  $A(z_m)$  vary around 0.95 for  $\mathcal{T}_1$ , and mainly around 0.92 for  $\mathcal{T}_2$ , i.e. one minute  
21 ahead. For  $\mathcal{T}_3$ , we can see  $A(z_m)$  to range between 0.85 and 0.95. The mean correlation  
22 coefficients are around 0.85 for  $\mathcal{T}_4$ , i.e. two minutes ahead. Finally, the mean correlation  
23 coefficients go mostly down around 0.8 for  $\mathcal{T}_5$ . We can observe that the correlation degrades  
24 with increasing the time horizon even with the conservative predictable zones set here, which  
25 is somehow expected. However, the high values of correlations are evident in the plots

1 especially for time horizons shorter than two minutes. To show the reliability of prediction  
 2 across the hour, we include Fig. 4 which represents box plots that illustrate the distributions of  
 3 the correlation coefficients. We can observe that the correlation degrades at 1– 3 min, 18 min,  
 4 25 – 37 min, and 47-50 min past 13:00 UTC. These times can, to an extent, be linked to times  
 5 when the ship had been changing its speed in Fig. 2. A possible explanation is that the change  
 6 in speed, heading and course can introduce measurements jitter (i.e., errors in georeferencing  
 7 the scans) which in turn reduces the accuracy of wave profiling and wave prediction.  
 8 Nonetheless, the complete reasons for the variation in the prediction accuracy in the field data  
 9 observed in Fig. 4 remain a question for future work. In Fig. 5 we show box plots of the relative  
 10 RMS error across the hour for time horizons  $\mathcal{T}_1, \mathcal{T}_2, \mathcal{T}_3, \mathcal{T}_4,$  and  $\mathcal{T}_5$ . Our first observation is that  
 11 the error is within reasonable limits, and it is only higher during the dropout periods in the  
 12 correlation observed in Fig. 4, i.e. there are no additional dropouts in the prediction quality.

13 Fig. 4 and 5 illustrate how the prediction accuracy deteriorates with increasing the prediction  
 14 horizon. To further investigate the behaviour of the error in the predictable zones within the  
 15 prediction horizons, we plot the second form of temporal correlation (11) in Fig. 6. The  
 16 correlation (11) is evaluated at single time *instants* in the prediction horizons: 30 s, 60 s, 90 s,  
 17 120 s, and 150 s, across the trial duration over the corresponding predictable zone. We also  
 18 plot the relative error  $\epsilon_s^p(z_m)$  in (15) versus the prediction time instants in Fig. 7. We can see in  
 19 Fig. 6 that the correlation is rather poor beyond 120 s, which is not clearly observed in the  
 20 correlation calculations shown in Fig. 4. It is clear from Fig. 6 and 7 that the prediction quality  
 21 within the predictable zone monotonically decreases with the prediction time instant. With such  
 22 simple relationship along with the Space-Time diagram, it will be a straightforward task for a  
 23 DSWP intelligent system to determine the maximum available prediction horizon for given  
 24 predictable zone and prediction reliability.

25

## 1        *4.2 The vessel heave motion prediction*

2        Most applications of DSWP will involve determining the predicted wave-induced motions  
3 of some floating body. In linear ship theory, vessels are typically modelled by 6 weakly-  
4 coupled ordinary linear differential equations that are typically Fourier transformed to produce  
5 a system of Frequency Response functions that are acted upon by the Fourier Transform of the  
6 wave system. Alternatively (and equivalently), the response of a narrow strip of vessel to an  
7 impulsive wave force input is integrated over the vessel hull profile (strip theory), giving rise  
8 to the so called response amplitude operators (RAO) coefficients. In either case, below  
9 resonance, the resulting heave Frequency Response function is crudely speaking a low pass  
10 filter. Consequently, at low wave frequencies relative to the ship's dynamics as prevail in the  
11 present situation (the resonance is at approximately a 9 s period with dominant wave systems  
12 centred on 11 s and 13 s), the heave transfer function is at least to a zeroth-order approximation  
13 constant. Similarly, the phase shift between wave forcing functions and vessel motion is again  
14 to a zeroth-order approximation zero. Hence, for heave motions, the vessel approximately  
15 follows the wave profile and to a first-order approximation there is no requirement to  
16 compensate for vessel motions. This is not the case in pitch where the response changes rapidly  
17 with frequency below resonance which is why only heave is considered here.

18        A high precision vessel motion sensor was present on the vessel. However, this was mounted  
19 14 m forward and 2 m above the vessels nominal dynamic centre (as supplied by the vessel  
20 operators), with the vessel being 90 m in length. The sensor's location meant that other modes  
21 of motion made some contributions to the measured heave. Unfortunately the vessel had been  
22 "cut and extended" and in addition had considerable extraneous deck equipment all of which  
23 meant that the exact location of the motion centre was not well defined. This made it difficult  
24 to confidently decouple pure heave motion so the authors were forced to use the uncorrected  
25 motion sensor data as a "raw heave" motion estimate. Thus, Fig. 8 shows the correlations

1 between the wave predictions (taken to represent heave) and the raw measured vessel heave  
2 motion that was available to us in the time span 13:21 – 13:43 UTC, for prediction horizons  
3  $[\tau_s, \tau_s + 60]$  s and  $[\tau_s, \tau_s + 120]$  s. Given the very crude nature of the heave assessment, the  
4 results shown are surprisingly good.

5 The drops in the prediction quality seen in Fig. 8 appear to correlate to some extent with  
6 changes in the vessel's heading and speed. Fortunately, the onset and the return to good  
7 prediction occur over a sufficiently long timescale for them to be recognised during operations.  
8 These drops are not present in the simulation work in Al-Ani et al. (2019) and as with the wave  
9 prediction estimates they would appear to be associated with the actual wave profile  
10 measurements of real seas rather than being fundamental artefacts of the prediction technique.

## 11 **5. Conclusions**

12 The level of errors encountered in the prediction based on the data obtained in the Golden  
13 Arrow sea trial indicates that in applications such as QPP (e.g., for operations such as launch  
14 and recovery of small craft and air vehicles), DSWP has real potential as a practical maritime  
15 tool. Drops occur in the prediction accuracy whose detailed cause remains a subject for further  
16 work. The absence of these in simulation studies indicates they are associated with aspects of  
17 the wave radar determination of actual sea profiles. However, from an application perspective,  
18 the onset of these occurs sufficiently slowly for them to be easily identified and thus not  
19 represent a barrier for future operations exploiting DSWP.

## 20 **Acknowledgments**

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23 presented here.

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## 1 **Figure Caption List**

2 Fig. 1 The standard deviation of the ship's heave over the trial period, as recorded by the  
3 Differential GPS sensor.

4 Fig. 2. The ship's heading, course and speed over the duration 12:58–14:00 UTC of the trial.

5 Fig. 3. The measurement zone in (a). The mean correlation coefficients in the corresponding  
6 predictable zone for prediction horizon  $\mathcal{T}_1 = [\tau_s, \tau_s + 30]$  s in (b),  $\mathcal{T}_2 = [\tau_s, \tau_s + 60]$  s in (c),  
7  $\mathcal{T}_3 = [\tau_s, \tau_s + 90]$  s in (d),  $\mathcal{T}_4 = [\tau_s, \tau_s + 120]$  s in (e), and  $\mathcal{T}_5 = [\tau_s, \tau_s + 150]$  s in (f).

8 Fig. 4. The correlation coefficients across the hour at the labelled time horizon in the  
9 corresponding predictable zone. Each box marks the upper and lower quartiles, with the centre  
10 being the median; the black dashed lines indicate the full range of values.

11 Fig. 5. The relative RMS prediction error across the hour at the labelled time horizon in the  
12 corresponding predictable zone. Each box marks the upper and lower quartiles, with the centre  
13 being the median; the black dashed lines indicate the full range of values.

14 Fig. 6. The correlation coefficients in the corresponding predictable zone for prediction time  
15 instant 30 s in (a), 60 s in (b), 90 s in (c), 120 s in (d), and 150 s in (e).

16 Fig. 7. The relative prediction error in the predictable zone versus the prediction time instants.  
17 Each box marks the upper and lower quartiles, with the centre being the median; the black  
18 dashed lines indicate the full range of values.

19 Fig. 8. The correlation coefficients between the WaMoS II based prediction and the “raw”  
20 vessel heave data.

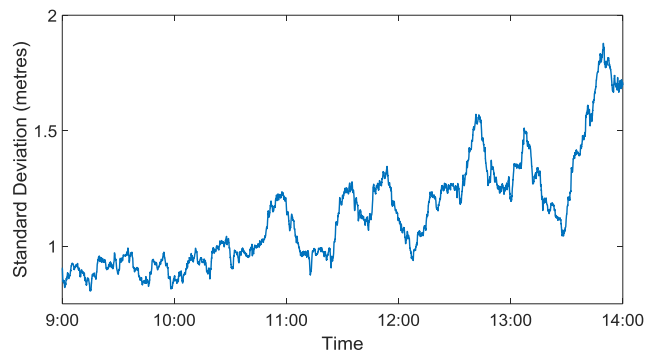


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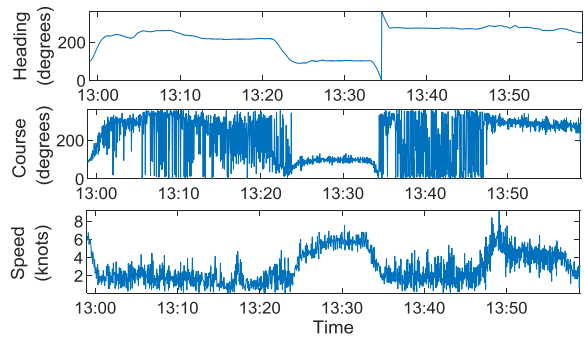


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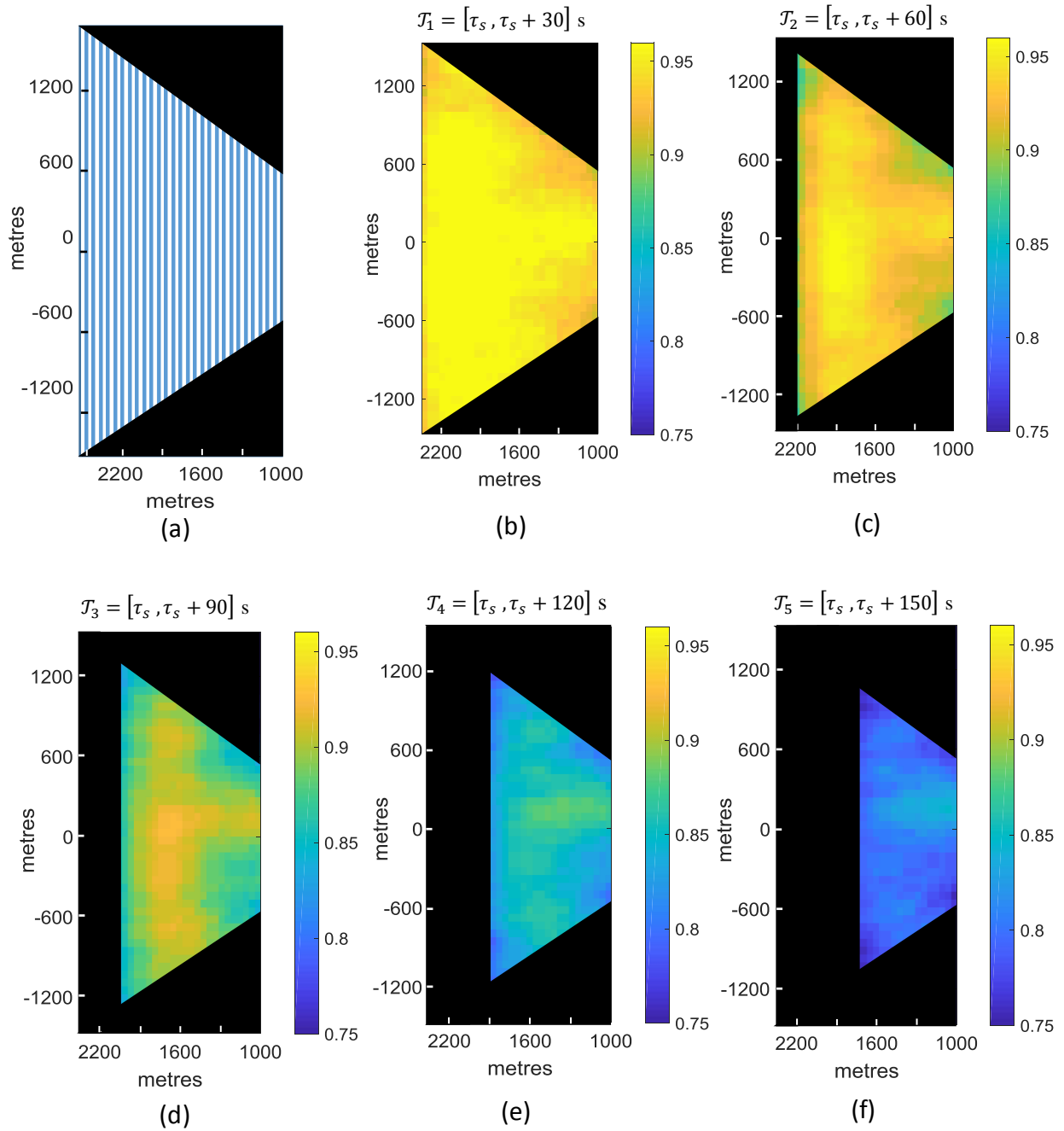


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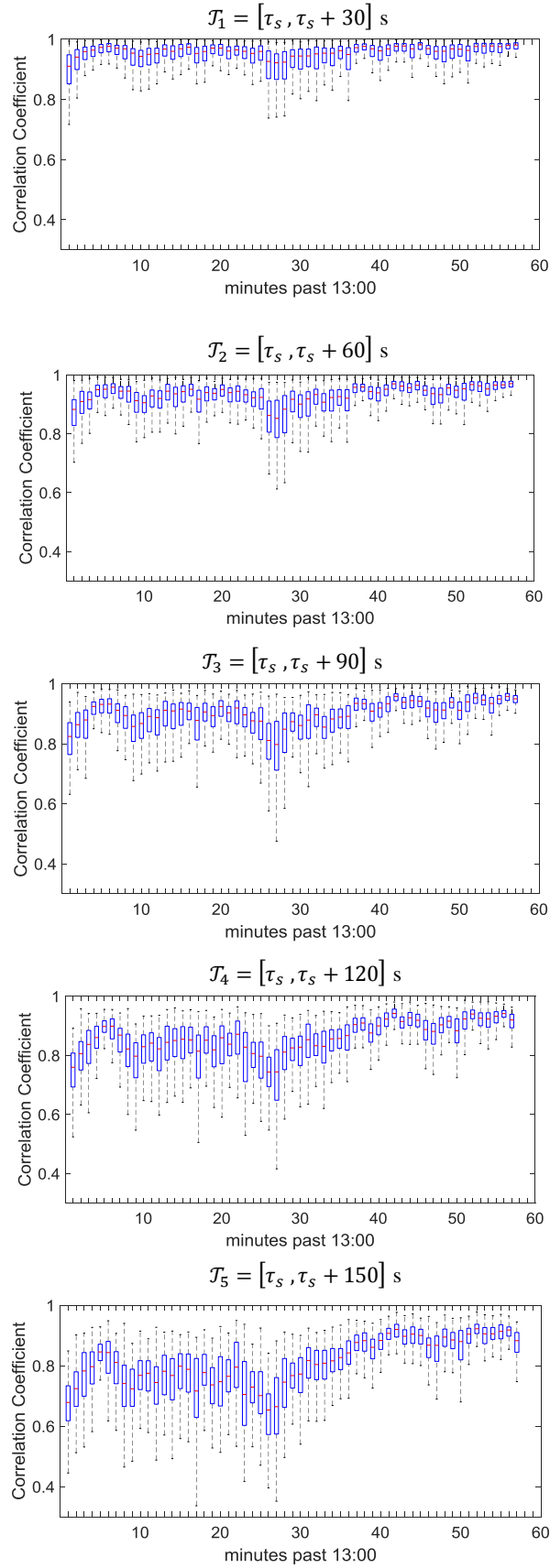


Fig. 4. The correlation coefficients at the labelled time horizon in the predictable zone. Each box marks the upper and lower quartiles, with the centre being the median; the dashed lines indicate the full range of values.

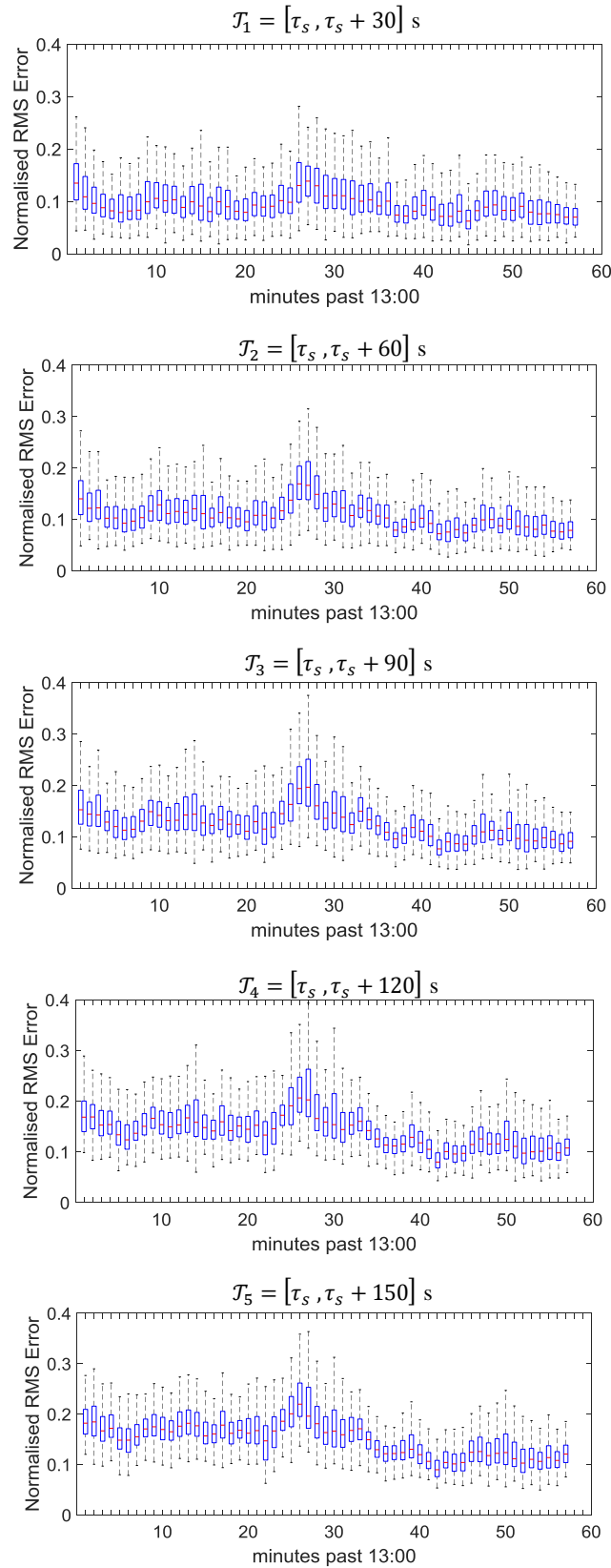
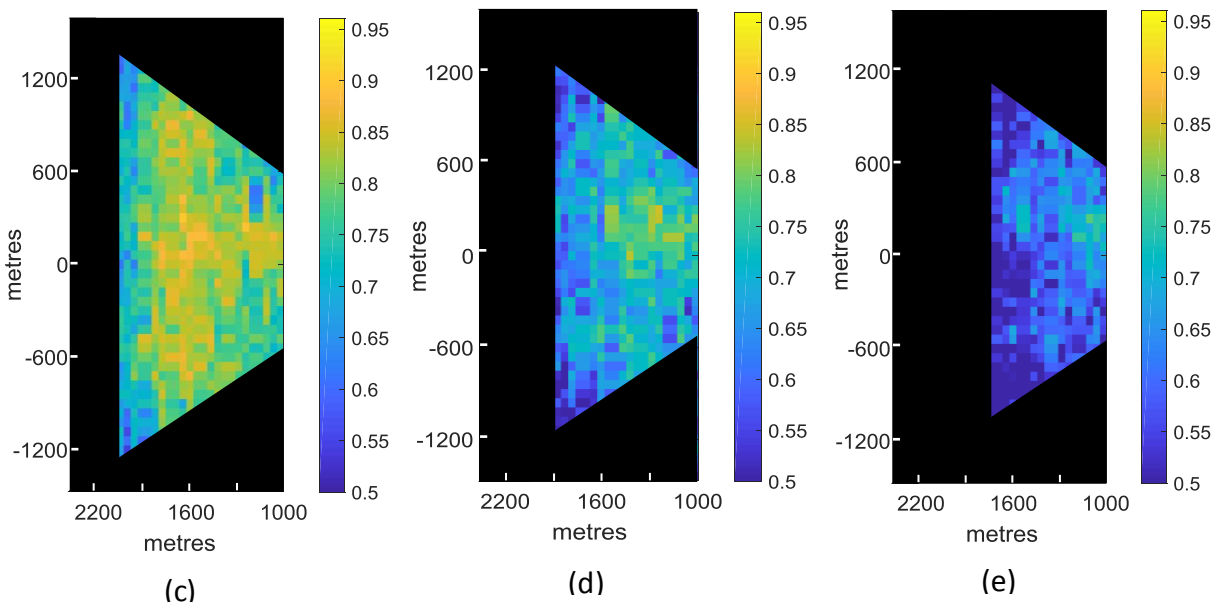
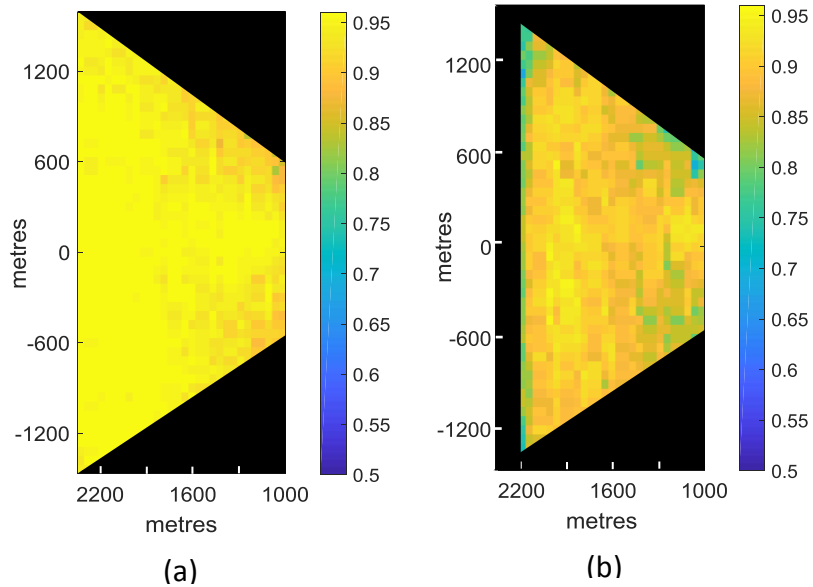


Fig. 5. The relative RMS prediction error across the hour at the labelled time horizon in the corresponding predictable zone. Each box marks the upper and lower quartiles, with the centre being the median; the black dashed lines indicate the full range of values.





1 Fig. 6. The correlation coefficients in the corresponding predictable zone for prediction time instant  
 2 30 s in (a), 60 s in (b), 90 s in (c), 120 s in (d), and 150 s in (e).

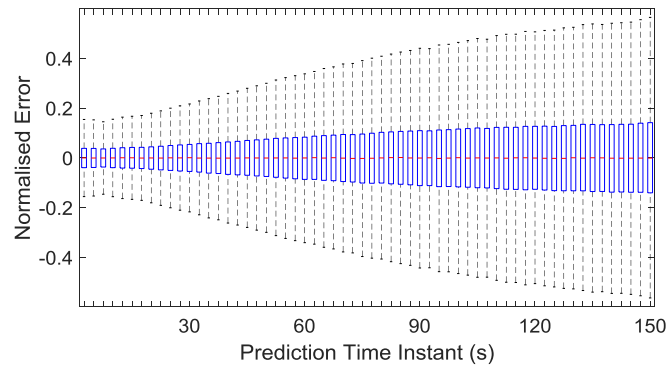


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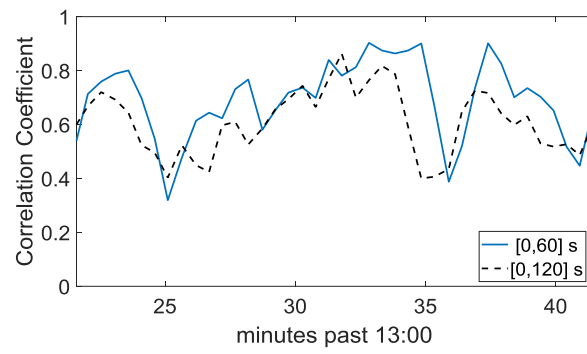


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