

1 **Nutritional Screening and Assessment of Paediatric Cancer Patients: A Quality**
2 **Improvement Project (Baseline results).**

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32 Research Location: Edinburgh, Scotland, United Kingdom.

33

34 **Abstract**

35 **Background:** The department of Haematology and Oncology at the Royal Hospital for Sick
36 Children (RHSC) in Edinburgh have developed their own nutritional standards specific to paediatric
37 cancer. We aimed to audit the current nutritional practice in anthropometry, nutritional biochemistry
38 and malnutrition screening for paediatric cancer patients against nutritional standards to identify
39 areas for nutritional-practice improvement and progress nutrition-related clinical outcomes.

40 **Methods:** A Clinical audit was conducted >20 weeks between 2015 and 2017 in three data
41 collection locations (inpatient (IP), day-care (DC), or outpatient (OP)) at the RHSC. We included
42 patients aged 0-18 years and undergoing treatment for diagnosed malignant childhood cancer
43 (ICCC-3 or Langerhans cell histiocytosis). Data were collected by analysing documentation and
44 observing clinical practice for frequency and mode of administration of anthropometry, malnutrition
45 screening, nutritional biochemistry and resulting documentation completion. Results were presented
46 as descriptive statistics and stratified by percentage of standard met (100%, 99-70%, <70%).

47 **Results:** 185 audited patient records (22 IP, 54 DC and 109 OP) were analysed. The areas which
48 were <70% of the standard were: height and weight documentation for DC; head-circumference for
49 IP; arm anthropometry assessment for all locations; initial PYMS screening and re-screening in IP;
50 malnutrition screening in DC and OP; and initial assessment and re-assessment for serum vitamins
51 D, A, E, B₁₂ and parathyroid hormone levels.

52 **Conclusion:** Baseline nutritional practice was successfully established, identifying areas for
53 practice improvement in the RHSC paediatric Oncology and Haematology Department to be
54 implemented in the next step of the audit to optimise patients care.

55 **Introduction**

56 Paediatric cancer remains the most common cause of disease-related childhood mortality in
57 industrialised societies(1); however, due to advances in diagnosis and treatment, the overall cure
58 rate has risen to 70-82%, with 76% of patients surviving for 10 years or more(2). The improvement
59 in survival rates has highlighted the long-term side effects of treatment, particularly in paediatrics
60 when the child is still growing and developing(3), and emphasising the importance of improving
61 care to minimise long-term health consequences(4).

62 Malnutrition, defined as “a state of nutrition in which a deficiency, excess, or imbalance of energy,
63 protein, and other nutrients causing measurable adverse effects on tissue/body shape, size,
64 composition and function, and clinical outcome”(5), is multifactorial within paediatric oncology(6).
65 Sufficient nutritional status at diagnosis and during treatment has been shown to have significant
66 positive effect on treatment-response and survivorship(7).

67 Paediatric oncology patients are at risk of malnutrition due to a range of multifactorial elements
68 including cancer type, treatment side-effects, and nutritional status at diagnosis(6). For all ICC-
69 3(8) paediatric cancer patients, roughly 10-20% of patient are under-nourished(7,9,10) and 7-57%
70 are over-nourished(7) at time of diagnosis. Both forms of malnutrition have been shown to increase
71 in prevalence during treatment(7,10). Waning nutritional status contributes to impaired immune
72 function, delayed wound healing, altered drug metabolism and response(11,12), and increases the
73 risk of morbidity and mortality(6,7,13). Overnutrition may disguise lean mass weight, sarcopenic
74 obesity, and micronutrient depletion(6); and incorrect lean mass weight may impact drug response
75 and compound treatment side-effects(14). Long-term side effects of treatment (as seen in survivors
76 of childhood cancer) include metabolic syndrome, cardiac complications, reduced bone mass
77 density, secondary cancers(15,16), and premature death in adulthood(3). Nevertheless, some of the
78 observed health consequences in survivors may be modifiable (i.e. metabolic syndrome)(17)
79 highlighting a need for nutritional care and monitoring. When patients receive adequate nutritional

80 care, clinical outcomes such as treatment response, quality of life and cost of care improve(9).
81 Appropriate nutritional screening, dietetic assessment and implementation of nutritional care plans
82 can aid in the timely identification and therapy of nutritionally at-risk patients(6,7,14).
83 Currently, there are no paediatric oncology-specific nutrition guidelines, nor standardised
84 nutritional practice(6,7,9,13). And while the scientific literature is relatively consistent with their
85 nutritional care recommendations, these are not yet expressed in clinical practice(18,19). As a
86 result, best practice is currently relied upon(6,7,12), highlighting the need to establish evidence-
87 based childhood cancer-specific nutritional guidelines(20). The Oncology and Haematology
88 department at the Royal Hospital for Sick Children (RHSC) in Edinburgh (Scotland), is currently
89 conducting an ongoing quality improvement project (QIP) to develop and implement standardised
90 nutritional guidelines to maximise their provision of effective and safe nutritional patient care. A
91 pilot study established local nutritional practice in the Oncology and Haematology Department at
92 the RHSC(21) and these results were used to develop department-agreed evidence-based nutritional
93 standards.

94 The aim of this audit was to identify and assess the current baseline nutritional practice in
95 anthropometry, nutritional screening and nutritional biochemistry of paediatric oncology and
96 haematology patients at the RHSC and compare the observed practice to the nutritional
97 standards(21); thereby aiding in the development of nutritional guidelines and improving clinical
98 nutritional practice in this patient group.

99 **Methods**

100 The audit was a cross-sectional study conducted in the paediatric Oncology/Haematology
101 department at the RHSC (NHS South East Scotland service covering NHS Lothian/NHS
102 Borders/NHS Fife). The audit followed the clinical audit cycle by Healthcare Quality Improvement
103 Partnership(22).

104 Four researchers (DG, OM, FO, RRI) collected data by analysing patient documentation and by
105 observing clinical practice pertaining to the nutritional care of all eligible patient records seen in the
106 inpatient (IP) ward (Ward 2, RHSC Edinburgh), day-care (DC) unit and outpatient (OP) clinic. The
107 audit was performed over 20 weeks from May 2015-August 2017.

108 Inclusion criteria were records from children aged >0 to <18 years diagnosed and treated for cancer
109 (diagnosis via ICC3-3, OR Langerhans Cell Histiocytosis(8)). Exclusion criteria were records of
110 palliative patients, patients with non-malignant haematological conditions and those diagnosed with
111 brain tumours (treated with surgery alone).

112 To establish current nutritional practice, frequency and mode of administration of nutritional
113 parameters and completion of documentation was gathered in the three settings (IP, DC and OP).

114 Each patient record was only represented once within each location; patient readmissions were not
115 added as new patient records. However, a patient record pertaining to one patient could be analysed
116 separately in each location if the patient was using each clinical service.

117 The following nutritional parameters were assessed:

118 (i) anthropometry; weight (kg), height/body length (m)(23), head circumference (cm)(24),
119 upper arm anthropometry (mid-arm upper circumference (MUAC, cm) and tricep
120 skinfold thickness (TSF; mm)(25)(26), and plotted growth charts (written and electronic)
121 with body mass index (BMI; kg/m²) centiles(24)(27);

122 (ii) malnutritional screening by Paediatric Yorkhill Malnutrition Score (PYMS)(28) and
123 appropriate referral to and follow-up by the dietitian;

124 (iii) assessment and management of nutritional bloods for all patients; plasma statuses were
125 assessed for: vitamin D, vitamin E, vitamin A, vitamin B12, potassium, magnesium,
126 phosphate, calcium, and albumin. Reference ranges for vitamin D(29) and remaining
127 nutritional biochemistry(30) assessed by the used by the Royal Infirmary Laboratory of
128 Glasgow.

129 These were then compared to RHSC nutritional standards (table 1) (see **supplementary material**).

130 In total, there are 50 different audit criteria discussed in this report (anthropometry: six criteria per
 131 location; malnutrition screening: 11 criteria for IP and one criterion for DC and OP each; nutritional
 132 bloods: 19 criteria in total (locations are grouped together)). Data was obtained from nursing notes,
 133 medical notes, and the online patient data system *Trak Care* (TrakCare). The researchers observed
 134 the weighing and measuring of patients in each location as able.

135 All data was recorded on one of three data-collection location specific audit. RHSC nutritional
 136 standards were to be met 100% of the time, except for upper arm anthropometry (50% standard set),
 137 as this was not part of regular clinical practice.

138 Statistical analysis was conducted using the Statistical Package for the Social Sciences (SPSS)
 139 Statistics Inc. (IMB 2012), Chicago, USA. All continuous variables were tested for normality
 140 (Shapiro-Wilk ($n < 50$) and Kolmogorov-Smirnov ($n > 50$)); all data was normally distributed and
 141 presented as mean (+SD)(31). All remaining statistical analysis was descriptive. Results have been
 142 presented as percentage of RHSC nutritional standard met and colour coded accordingly (100%
 143 met: green; 99-70% met: amber; $\leq 69\%$ met: red) to aid in highlighting areas requiring the greatest
 144 improvement. Ethical approval for the ongoing QIP was granted from NHS Scotland on the 1st of
 145 June 2007 (NHS REC 06-51104-52).

Table 1 Audit: Summary of Audit Sections Represented in the Audit Tool

Section	Criteria	Assessment Details	Applicable Data Collection Locations			RHSC Standard
			IP	DC	OP	
1	Anthropometry	Completion and Documentation of Height, Weight, and Head Circumference	✓	✓	✓	100%
		Completion and Documentation of Mid Upper Arm Circumference and Tricep Skinfold Thickness	✓	✓	✓	50%
		PYMS Completion and Documentation, Documentation of Nutritional Status by BMI centile	✓	✓	✓	100%
2	Paediatric Yorkhill Malnutrition Score (PYMS)	Paediatric Yorkhill Malnutrition Score (PYMS) Completion and Documentation	✓	✓	✓	100%
3	Documented Clinical Notes	Completion of Documented Clinical Notes including Anthropometry Documentation	✓	✓	✓	100%
4	Nutritional Review Documentation	Completion of Nutritional Review Documentation in Dietetic Notes	×	✓	✓	100%
5	Nutritional Support at Home	Completion of Documentation of Nutritional Support at Home	×	✓	✓	100%

6	Physical Activity Advice	Completion of Verbal and Documented Physical Activity Advice	✓	✓	✓	100%
7	Nutritional Bloods	Documentation and Follow-up of Nutritional Bloods	✓	✓	✓	100%
8	Refeeding Syndrome Risk	Documentation of Refeeding Syndrome Risk Assessment	✓	✓	✓	100%
9	Supplementation	Documentation of Vitamin or Mineral Supplementation Prescriptions	✓	✓	✓	100%
10	Mealtimes	Observation of Ward Meal-Time Practices	✓	×	×	100%
11	Nutritional Advice for Neutropenic Patients	Completion of Documentation of Nutritional Advice for Neutropenia given to Neutropenic Patients	✓	×	×	100%
12	Nutritional Support on the Ward	Completion of Documentation of Nutritional Support on the Ward	✓	×	×	100%
13	Food and Fluid Record Charts	Completion of Food and Fluid Record Chart Documentation	✓	×	×	100%
14	RD Referral Process	Completion of Verbal and Documented RD Referrals and RD follow-up	✓	×	×	100%

146 Table 1 presents the RHSC nutritional standards

147 *Full nutritional bloods only recorded for “on treatment” patients. Any patient in survivorship or late effects will only be audited on
148 vitamin D testing. *Abbreviations: registered Dietitian, RD; Royal Hospital for Sick Children in Edinburgh, Scotland, RHSC.*

149 This report only covers sections 1, 2, 3, 7, and 14 of the wider audit and QIP

150 Results

151

152 Population Demographics: The Audited Patient Records

153 Over half of patient records stemmed from OP and were on treatment at time of the audit (62%,
154 $n=114$). The researchers recorded all documented RD input from all patient records (current care for
155 IP and DC, and current and past care for OP) and found that **57% ($n=84$)** of all audited patient
156 records had documented RD input.

Table 2 Population Demographics

	Data Collection Location			Total
	<i>n</i> (%)			
	Inpatient	Day-Care	Outpatient	
	22 (12)	54 (29)	109 (59)	185 (100)
On treatment	22 (100)	54 (100)	38 (35)	114 (62)
Survivorship (<5years)	-	-	61 (56)	61 (33)
Late effects (>5years)	-	-	10 (9)	10 (5)
Documented RD input	10 (46)	13 (76)*	61 (56)	84 (57)^o

157 Table 2 presents the location of data collection, patient stage of treatment, and documented RD input.

158 *37 DC records had missing data on RD input and were excluded from the percentage of documented RD input ($n=17$); ^otherefore
159 impacting the final total ($n=148$) instead of $n=185$. *Abbreviations: RD, registered dietitian*

160

161 **Anthropometry**

Table 3 Anthropometry Results by Data Collection Location

Anthropometry Criteria	Location of Data Collection					Total •	RHSC Anthropometry Standards
	Inpatient						
	yes	no	other	n/a			
<i>n</i>	<i>n (%)</i>	<i>n (%)</i>	<i>n (%)</i>	<i>n (%)</i>			
Weight	22	20 (91)	0 (0)	2 (9)	-	22 (100)	100%
Height	22	15 (68)	4 (18)	3 (10)	-	18 (81)	100%
HC	22	0 (0)	4 (10)	-	18 (90)	0 (0)	100%
MUAC	22	0 (0)	22 (100)	-	0 (0)	0 (0)	50%
TSF	22	0 (0)	22 (100)	-	0 (0)	0 (0)	50%
Anthropometry Criteria	Location of Data Collection					Total •	RHSC Anthropometry Standards
	Day-Care						
	yes	no	other	n/a			
<i>n</i>	<i>n (%)</i>	<i>n (%)</i>	<i>n (%)</i>	<i>n (%)</i>			
Weight	54	28 (52)	25 (46)	-	1 (2)	28 (52)	100%
Height	54	11 (20)	42 (78)	-	1 (2)	11 (20)	100%
HC	54	0 (0)	0 (0)	-	54 (100)	-	100%
MUAC	54	0 (0)	54 (100)	-	0 (0)	0 (0)	50%
TSF	54	0 (0)	54 (100)	-	0 (0)	0 (0)	50%
Anthropometry Criteria	Location of Data Collection					Total •	RHSC Anthropometry Standards
	Outpatient						
	yes	no	other	n/a			
<i>n</i>	<i>n (%)</i>	<i>n (%)</i>	<i>n (%)</i>	<i>n (%)</i>			
Weight	109	96 (88)	1 (1)	12 (11)	-	108 (99)	100%
Height	109	94 (86)	3 (3)	12 (11)	-	106 (97)	100%
HC	109	1 (1)	0 (0)	-	108 (99)	1 (100)	100%
MUAC	109	0 (0)	109 (100)	-	0 (0)	0 (0)	50%
TSF	109	0 (0)	109 (100)	-	0 (0)	0 (0)	50%

162 Table 3 presents the Anthropometry results by data collection location.

163 •Total n is all "yes" and "other" answers; Total % = (Total n / all "no")*100; "n/a" answers have been excluded from the total n and
 164 total %; "n/a" answers have been excluded from the total n and total %.

165 Abbreviations: HC, head circumference; MUAC, mid-upper arm circumference; TSF, tricep skinfold thickness; n/a, not applicable;

166 RHSC, Royal Hospital for Sick Children Edinburgh.

167

168 Weights and heights were measured on Mondays and Thursdays in inpatients, where patient weight
 169 and height was measured and documented in accordance with standards for 81% (n=18) of records.

170 Where staff were unable to take both weight and height ($n=3$) appropriate reasons were
171 documented, and height was taken at the next suitable time.

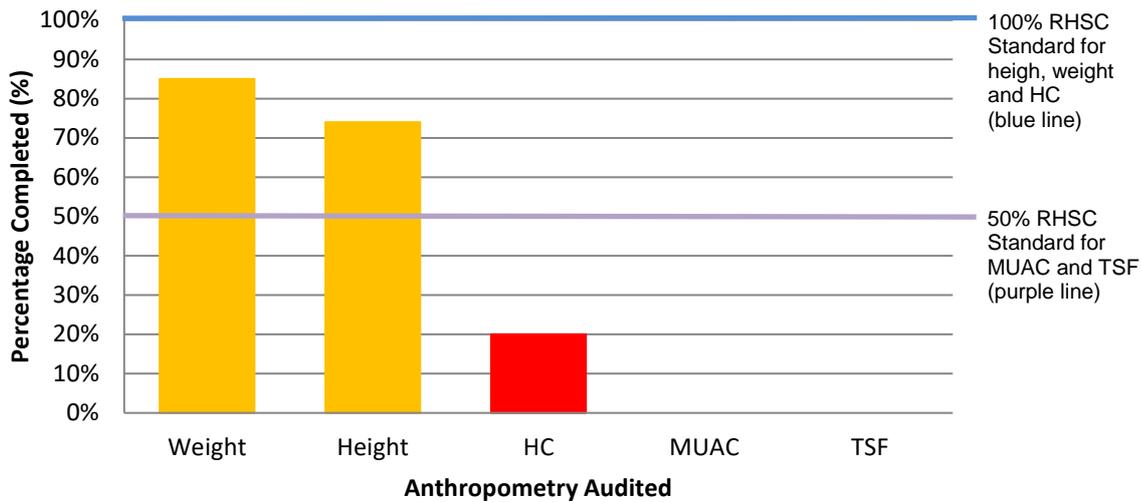
172 For DC patients ($n=54$), only 11 patient records had a correctly documented height and weight.
173 Documentation showed that patients could go months without height being documented; for one
174 patient an updated height had not been recorded for seven months. At the time of the audit all
175 recorded DC anthropometry was documented on weight and height lists; only two of the 43 records
176 without height or weight had documented reasons for lack of recording. There were no TrakCare
177 anthropometry entries made by DC although a computer was available in the DC assessment room.
178 If patients did have TrakCare anthropometry entries it was due to them being documented in either
179 IP or OP.

180 OP weight and height was recorded for 99% ($n=108$) and 97% ($n=106$) of patients respectively and
181 almost meeting the 100% standard. When staff were unable to document weight or height (“other”),
182 appropriate reasons were documented. All recorded OP anthropometry was documented directly
183 onto TrakCare records.

184 HC is to be measured in centimetres for all patients ≤ 2 years of age; this only applied to five patient
185 records (IP=4 and OP=1); IP measurements were not recorded; however, outpatient met the RHSC
186 standard. No reasons were documented for the missing IP HC measurements.

187 TSF and MUAC measurements are currently not part of regular nutritional care in the Oncology
188 and Haematology Department at the RHSC, and 0% of all IP, DC and OP patients were measured.

IP, DC and OP Anthropometry documentation vs. RHSC Standard



189

190 **Figure 1:** Bar-chart of the Anthropometry Results (all data collection locations combined) vs. expected RHSC Anthropometry
 191 Standards. Weight measurements were taken in 85% of patients (n=158), height measurements were taken in 74% of patients
 192 (n=136), and HC was recorded for 20% (n=1) of the applicable patients. *Abbreviations: HC, head circumference; MUAC, mid-upper*
 193 *arm circumference; TSF, tricep skinfold thickness; RHSC, Royal Hospital for Sick Children Edinburgh.*

194

Table 4 Nutritional Status according to Body Mass Index Results

Nutritional Status classified by BMI centile*	Data collection Location n (%)			Total 131 (100)*	° Nutritional Status Assessed n=113 (86%)
	Inpatient	Day-Care	Outpatient		
Under-nourished	0 (0)	0 (0)	5 (4)	5 (4)	
Well-nourished	5 (56)	6 (35)	53 (58)	64 (49)	
Over-nourished	0 (0)	3 (18)	20 (18)	23 (17)	
Obese	0 (0)	0 (0)	21 (18)	21 (16)	
Unknown (due to lack of documentation)	4 (44)	8 (47)	6 (2)	18 (14)	

RHSC Nutritional Status Completion Standard for 100% Completion (n=131 (100%)) including all under-nourished, well-nourished, over-nourished, and obese; with 0% Unknown (n=0 (0%)).

195 Table 4 presents the nutritional status of all audited patient records according to BMI centile across all data collection locations. 54
 196 patient records were excluded due to missing data, they were not included in calculating the percentage standard met (IP=13, DC=37,
 197 and OP=4 excluded).

198 •BMI centile definitions: undernourished: <2nd centile, well-nourished: 2nd- 91st centile, over-nourished: >91st - 98th centile, obese:
 199 >98th centile;*one patient record was not-applicable due to BMI centiles being not age appropriate for the patient (<2years); ° Total
 200 % (total number of patients with a nutritional status) to be compared to RHSC Standard (100%).

201 Abbreviations: HC, head circumference; BMI, Body Mass Index; RHSC, Royal Hospital for Sick Children Edinburgh

202

203 In regard to RD input in relation to BMI centile nutritional status, 100% of patients documented as
 204 underweight had RD input (n=5), 19% of well-nourished patients (n=12) had documented input,
 205 26% of over-nourished (n=6) and 14% of obese patients (n=3) had documented RD input.

206

207 Paediatric Yorkhill Malnutrition Score (PYMS) and the RD Referral Process

Table 5 PYMS Results and RD referral

	Location of Data Collection			RHSC Standard
	n (%)			
	Inpatient	Day-Care	Outpatient	(%)
	19 (100)*	54 (100)	109 (100)	
PYMS in Place•	yes	no	no	yes
PYMS screened	16 (84)	0 (0)	0 (0)	100
PYMS completed (of those screened)	16 (100)	0 (0)	0 (0)	100
Average PYMS score (μ (\pm SD))°	1.7 (1.1)°	-	-	-
PYMS of 0	2 (11)	-	-	-
PYMS of 1	6 (32)	-	-	-
PYMS of 2	4 (21)	-	-	-
PYMS of 3+	4 (21)	-	-	-
PYMS score unknown*	3 (15)	-	-	-
<i>Following data for total number of PYMS screened patients (n=16)</i>				
Weight recorded on PYMS	16 (100)	-	-	100
Height recorded on PYMS	15 (94)	-	-	100
If PYMS 0, appropriate re-screening	1 (50)	-	-	100
If PYMS 1, appropriate re-screening	4 (67)	-	-	100
If PYMS 2+, appropriate re-screening	4 (50)	-	-	100
PYMS Referral to RD (n=8 (100%))				
If PYMS 2+, RD referral (within 24 hr)	8 (100)	-	-	100
Patient seen by RD (within 72 hr)	6 (75)	-	-	100
If PYMS 3+, regular RD review	3 (75)	-	-	100

208 Table 5 presents the PYMS documentation and execution results by data collection location; none of the data collection locations
 209 fully met the PYMS standards.

210 *IP records (n=3) were excluded from the total because they were non-applicable (height was unavailable with a documented reason;
 211 therefore, the document was excluded; two other individuals were too unwell to be assessed). °PYMS Score results are normally
 212 distributed (n<50; Shapiro-Wilk test for normality, p=0.161). • PYMS was not available on Day-Care or in Outpatients. Therefore, it
 213 was not possible to audit its completion. *Abbreviations: RD, registered dietitian; PYMS, Paediatric Yorkhill Malnutrition Score; μ ,*
 214 *mean; SD, Standard deviation; RHSC, Royal Hospital for Sick Children Edinburgh*

215

216 None of the data collection locations fully met the PYMS standards. The lowest IP standard
 217 compliance was in relation to appropriately re-screening a patient. In DC and OP, patients were not
 218 screened for malnutrition using PYMS and no alternative malnutrition screening tool was used in its
 219 place. When asked, staff explained that clinical judgement was used to refer to the RD. Of the 18
 220 patient records who had an unknown nutritional status (table 4), only 11 were screened using
 221 PYMS, resulting in 7 patients with no manner of anthropometric assessment or malnutrition
 222 screening (data not shown). The IP ward staff met initial screening standards except for three
 223 PYMS re-screening criteria; one patient with a PYMS score of 2+ was not re-screened with no
 224 documented reason at the time of the audit. The other two audit criteria which did not meet the
 225 100% standard involved RD care; one patient was not seen within 72 hours of a referral and one
 226 patient did not receive appropriate RD follow-up (there were no reasons documented for either of
 227 the criteria).

228

229 Nutritional Biochemistry

Table 6 The Assessment and Reassessment of Nutritional Bloods from audited patient records for all patients "on treatment".

Nutritional Blood	RHSC Standard		Assessed		Total n (%)	Appropriately Reassessed			Total • n (%)
	n	%	Yes n (%)	No n (%)		Yes n (%)	No n (%)	n/a n (%)	
Vitamin D	182	100	33 (18)	149 (82)	33 (18)	2 (6)	31 (94)	0 (0)	2 (6)
Vitamin A	185	100	11 (6)	174 (94)	11 (6)	1 (9)	10 (91)	0 (0)	1 (9)
Vitamin E	112	100	11 (10)	101 (90)	11 (10)	2 (18)	8 (73)	1 (9)	2 (20)
Vitamin B12	112	100	26 (23)	86 (77)	26 (23)	3 (11)	22 (85)	1 (4)	3 (12)
Potassium	112	100	112 (100)	0 (0)	112 (100)	104 (93)	7 (0)	1 (4)	104 (94)

Magnesium	112	100	110 (98)	2 (2)	110 (98)	101 (96)	5 (2)	1 (2)	101 (93)
Phosphate	112	100	111 (99)	1 (1)	111 (99)	100 (90)	11 (10)	0 (0)	100 (90)
Calcium	112	100	112 (100)	0 (0)	112 (100)	103 (92)	9 (8)	0 (0)	103 (92)
PTH	106	100	9 (8)	97 (92)	9 (8)	0 (0)	9 (100)	0 (0)	0 (0)
Albumin	112	100	112 (100)	0 (0)	112 (100)	-	-	-	-

230 Table 6 presents the assessment and reassessment results of all nutritional bloods (except vitamin D) from all “on treatment” audited
 231 patient records and vitamin D assessment and reassessment results for all patient records regardless of treatment stage.

232 • N/A removed from total; total taken from those who were assessed to the nutritional blood in question.

233 *Abbreviations: N/A, not applicable; RHSC, Royal Hospital for Sick Children Edinburgh*

234

235 Vitamin D, A, E, B₁₂, and PTH assessment did not meet the RHSC nutritional standard; and none of
 236 the nutritional bloods were reassessed according to the standards. Vitamin D status (29) of all
 237 assessed patients (n=33) were documented; 27% (n=9) of patients had optimal vitamin D levels
 238 (>75 µmol/L), 24.5% (n=8) had sub-optimal levels (50-75 µmol/L), 24.5% (n=8) had insufficient
 239 levels (25-50 µmol/L), and 15% (n=5) were vitamin D deficient (<25 µmol/L). Three patients (9%)
 240 had unknown levels as lab results were never obtained. 94% (n=31) of the patients had no follow-
 241 up regardless of vitamin D status or failed results; however, current laboratory practice requires
 242 clinicians to wait 340 days for a re-request (30). There was no way for healthcare professionals to
 243 attach a note to the biochemistry results on TrakCare as to why an assessment was not carried out.

244 In total, 50 criteria were audited across all data collection locations; 18% met the 100% RHSC
 245 nutritional standard, 28% were between 99-70% of the RHSC nutritional standard and 52% were
 246 69% or below the RHSC nutritional standard. The areas which were 69% or below were height and
 247 weight for DC, HC for IP, MUAC and TSF for all locations, BMI documentation for IP and DC,
 248 PYMS screening for DC and OP, PYMS rescreening for IP and Vitamin D, E, A, B₁₂ and PTH
 249 assessment (and reassessment) for all appropriate patients.

250 Discussion

251 The audit successfully established current nutritional practice in Oncology and Haematology
 252 department; identifying areas of both good and sub-optimal practice and setting a baseline for the

253 next stage of the audit. Good practices included PYMS screening in IP, height and weight
254 documentation in OP, and potassium, magnesium, phosphate, calcium and albumin assessment and
255 re-assessment. Areas for improvement included anthropometric assessment in DC, malnutrition
256 screening in DC and OP, and the incorporation of arm anthropometry and Vitamins E, A, B₁₂, D
257 and PTH nutritional bloods as a part of routine practice. These results are not surprising considering
258 the lack of national or world-wide agreed nutritional standards and variable nutritional practice
259 within paediatric oncology(32). While there has been a long interest in improving oncological
260 outcomes, focusing on the nutritional status of patients to improve health outcomes has become a
261 more recent focus, with an interest in establishing basic standards of nutritional
262 assessment(7,20,32,33). In lieu of no nutritional standards, a minimum of recommended British
263 Dietetic Association nutritional practice should be met in the UK(14). Currently, there are no other
264 published projects assessing the implementation of paediatric oncology specific nutritional
265 standards in the UK.

266 Anthropometry

267 Linear growth and weight assessment are critical in nutritional care(24); regular and accurate
268 measurements are used to assess and monitor nutritional status(7,34), and body weight are required
269 for chemotherapy/treatment dose calculations(14). With regular measurements, height, weight and
270 height for weight z-scores can be tracked and discrepancies can be highlighted, examined and
271 action taken(24,27,35). Patients who are at risk of poor linear growth(36) or at risk of protein energy
272 malnutrition(12) may go unrecognised if unmonitored. This is particularly important in paediatric
273 oncology because different tumour types have different effects on the child's body composition,
274 fluid shifts and development(6). Regular anthropometric assessment throughout treatment allows
275 clinicians to monitor development and changes(6). Patients diagnosed with Acute Lymphoblastic
276 Leukaemia (ALL) have been observed to have a slower height growth during treatment, whereas
277 the height growth of patients with solid tumour diagnosis do not seem to be affected(36). This is

278 mirrored in survivorship and late effects where body composition varies between the different
279 diagnosis' and treatments, and monitoring anthropometry is critical for catch-up growth(7). While
280 height and weight anthropometry documentation were achieved in IP and OP, DC documentation
281 (TRAK or patient records) left the majority of patients without appropriate anthropometric
282 monitoring. Furthermore, patient records without a calculated BMI and no other means of
283 anthropometric assessment provided limited means of tracking growth or weight stability
284 throughout treatment. Head circumference (cm) for age is used to assess growth in children aged <2
285 years and used to detect severe PEM, faltering growth or extreme chronic malnutrition in the first
286 few months after birth(37). Only one of the five applicable patients were measured (IP); however,
287 there is no documentation prompt for HC, increasing the chances of incompleteness.

288 Arm anthropometry is not currently part of RHSC regular clinical practice. There is strong scientific
289 evidence that arm anthropometry should be included in regular anthropometric assessment, as BMI
290 and weight for height can be affected by oedema and tumour weight, disguising changes in body
291 composition(6,20,38). Arm anthropometry is also recommended as a part of appropriate dietetic
292 practice in paediatric oncology(14,39,40) and a means of assessing those where weight and height
293 are unavailable(41). MUAC and TSF measurements in relation to population reference ranges(24)
294 have been shown to be more consistent at measuring undernutrition and overnutrition prevalence in
295 relation to body composition than BMI in paediatric cancer patients at diagnosis, throughout
296 treatment and into survivorship(6,40,42). Where the gold standard dual-energy X-ray
297 absorptiometry (DEXA) assessment is unavailable, MUAC and TSF are an effective and cheaper
298 evaluation of body composition changes and the detection of sarcopenic obesity(6,7,14,18,43,44);
299 which are currently undetectable with BMI and height for weight alone. Arm anthropometry is
300 currently recommended as a nutritional assessment method for paediatric oncology patients world-
301 wide(18,39,40,44–46); particularly when resources are limited. However, more research in
302 establishing updated reference ranges(47–49) is critical to accurate assessment and monitoring.

303 Nutritional Screening

304 Quality Improvement Scotland state that all patients should be screened for malnutrition risk with a
305 validated tool appropriate to the patient population in accordance with NICE guidelines(50,51) on
306 admission and re-screened weekly for maximal effectiveness. Nutritional screening tools are
307 designed to alert non-dietetically trained clinical staff of malnutrition risk and provide a clear path
308 for referral to dietetic services(52). IP currently use PYMS(28); a validated tool (which uses the
309 patients' BMI, recent weight loss, current nutrient intake and risk of future reduced nutrition intake
310 to calculate the patients' nutritional risk) to detect energy/protein undernutrition in inpatients aged
311 1-18 years. Designed for inpatients, PYMS is suitable for this specific population, and in lieu of an
312 alternative tool, should be used in all locations. The inclusion of anthropometric measures of body
313 composition (i.e. MUAC and TSF) or estimation of nutritional risk by diagnosis, cancer type and
314 treatment intensity (ITR-3)(14) into nutritional screening could result in a more thorough and
315 accurate screening(21,53–55). The un-met 72 hr RD follow-up standard may be indicative of
316 incomplete documentation or that dietetic department requires more staff to meet these standards of
317 practice; however, this is speculation and requires further investigation to be conclusive. Both DC
318 and OP do not complete PYMS as a part of regular clinical practice. Instead, if a patient is seen in
319 DC or OP alone, and is not currently known to the dietetic service, clinical staff will refer the
320 patient on to the RD if they feel input is required. However, this risks a patient going
321 unrecognised(14) and potentially compromising early malnutrition detection, particularly if they do
322 not have updated anthropometry and no means of tracking changes. A means of improving practice
323 could be to include a digitised PYMS (or a population specific(54)) tool on TRAK, such as in the
324 adult services. This would allow for all TRAK authorised users to follow their patient's nutritional
325 care more closely, and for the system to flag changes in nutritional status as they appear.

326 Nutritional Blood Test Monitoring

327 The audit indicated that current assessment of select plasma and serum parameters are more closely
328 associated with monitoring electrolytes and traditional markers (i.e. albumin) than to assess
329 nutritional abnormalities. Contrary to current vitamin D public health(56) and population
330 specific(57) concerns, serum/plasma vitamin D assessment is not part of routine practice. Of the
331 patients who were measured and received results, vitamin D status varied, with just under half being
332 either insufficient or deficient with no follow-up assessment. This distribution echoes the results
333 found by a systematic review investigating the same clinical population, where 14% of the
334 population was deficient and 23% insufficient(57,58). However, the review highlighted the current
335 lack of evidence for specific cancer/treatment type and stage. There is a demand for further vitamin
336 D assessment in this patient group to fully establish the prevalence of vitamin D insufficiency and
337 deficiency, to minimise the known long-term consequences of rickets, increased risk of bone
338 fractures and osteomalacia later in life(29,56). PTH, calcium and phosphate status are all
339 confounding factors for bone turnover when assessing vitamin D status and should be assessed
340 alongside Vitamin D status(29). While calcium and phosphate assessment were above 90% of the
341 standard, PTH assessment was not, potentially further obstructing the available vitamin D results.
342 Vitamins A, E, and B₁₂ did not meet RHSC nutritional standards; however, their assessment is not
343 currently part of routine practice. This is particularly perilous for vitamin A, as it appears to be the
344 most abnormal assessed-nutritional-blood. While there is limited research on plasma micronutrient
345 concentrations and clinical paediatric oncology outcomes, there is a call for an increase in
346 monitoring of nutritional bloods after finding that low vitamin A and antioxidant intake in patients
347 with ALL was associated with adverse chemotherapy side effects(59). Particularly when
348 considering that observed paediatric cancer patients' anti-oxidant (vitamins A, E, C, etc.) intakes
349 are low(60) and oxidative stress is high(61). In addition, several studies have indicated that plasma
350 levels of vitamins A, E and B₁₂ are lower in children with cancer and undergoing treatment than in
351 healthy controls(62,63), and micronutrient insufficiencies may potentially be cancer specific(61).
352 Most plasma micronutrient levels appear to be sub-optimal for this patient group(59), however,

353 patients may also be at risk of excessive plasma concentrations during treatment due to a suspected
354 clearing impairment(7).

355 Abnormal nutritional plasma concentrations in paediatric oncology patients could compound
356 existing complications; exacerbating cancer and treatment side-effects, such as reduced peak bone
357 mass in patients with undetected Vitamin D(57,64) or oxidation damage in patients with anti-
358 oxidant (vitamins A, and E) deficiencies(61,65). Patients suffering from side-effects which affect
359 dietary intake may have greater difficulties in replenishing micronutrient deficiencies or
360 inadequacies(7). Additionally, micronutrient deficiency/excess can be masked by a patient's
361 phenotypic nutritional status, placing both normally-nourished and over-nourished patients at risk of
362 micronutrient malnutrition if micronutrient assessment is not a part of routine practice(9). Since
363 micronutrient concentrations are rarely assessed within paediatric oncological research, the
364 prevalence of plasma micronutrient levels at diagnosis and throughout treatment are relatively
365 unknown. This could be due to non-standardised assessment, lack of nutrient specific research
366 and/or a scarcity of incorporating regular nutritional blood assessment into clinical practice.
367 Whether abnormal plasma micronutrient concentrations are due to cancer aetiology or other factors,
368 it highlights a nutritional risk and a need for intervention in this population. Routine assessment and
369 monitoring of nutritional blood tests is an important aspect of providing a complete nutritional
370 assessment to paediatric cancer patients(7,61).

371 Improving Practice

372 The first thing to consider is that this is the first stage of an audit. It is neither unexpected for the
373 standards to have not met the 100% compliance target, nor are these results indicative of "poor"
374 nutritional care; this is the first time such an audit has been carried out on this ward. The staff and
375 department's desire to both assess and improve their clinical practice is exemplary. The next stage
376 of the audit is to implement changes so that the standards are met in the future(22). Changes should
377 not increase current work load yet should minimise complications, maximise efficacy, and take

378 current routine into consideration; such measures will help ensure their long-term sustainability(66).
379 Changes should be implemented systematically with planned checks and support along the way; it
380 may be advisable to use a guide or model designed specifically for NHS institutions(67). While
381 conducting the audit, communication difficulties were observed between different specialties (i.e.
382 doctors, nurses, HCPs) and between different locations (i.e. IP vs. OP); these and further barriers
383 need to be identified and amended so that the suggested changes can be effectively
384 implemented(68). A critical factor dictating the success of an audit is the leader(ship)s' ability to
385 adapt solutions and strategies to implement the improvements(22). The clinical staff who will be
386 implementing the changes need to have the power to act and receive the appropriate support from
387 all applicable disciplines; to ensure that this is possible further clinical training may be
388 required(66,68).

389 The main staff-perceived barrier to meeting the standards was time and staffing. Open discussion
390 amongst team leads is required to establish why certain standards (i.e. anthropometry in DC) were
391 not met. Three general recommendations are made to improve the clinical practice highlighted
392 through this audit: development of more nutrition standard friendly documentation, incorporation of
393 digitised versions of all amended documentation onto TrakCare and improvement in documentation
394 compliance of all RHSC nutritional standards (Table 7). It is of utmost importance that the
395 identified issues are addressed, and improvements are incorporated/implemented into clinical
396 practice to the highest possible standard. To aide in this endeavour, the locations where practice met
397 the standards could be observed to find a solution for other locations (i.e. anthropometry in OP).
398 Several standards could improve when the transition to digital documentation is complete and all
399 anthropometry is entered onto TrakCare, as seen in OP where all measurements were recorded.
400 There were mixed feelings of willingness to incorporate arm anthropometry into routine practice.
401 Those who did express enthusiasm felt that they would benefit from further training and those who
402 were more uncertain felt as if they were not qualified to conduct these measurements. These areas
403 would need to be addressed when implementing changes. One way of improving documentation

404 could be to include a digitised version of the PYMS tool on TRAK, such as in the adult services
 405 with the digitised version of MUST (BAPEN’s Malnutrition Universal Screening Tool)). This
 406 would allow for all TRAK authorised users to follow their patient’s nutritional care more closely,
 407 and any anthropometry entries to automatically calculate the patient’s malnutrition score; raising
 408 dietetic awareness sooner and reducing staff workload. Finally, the lack of achieved anthropometry
 409 standards could be as a result of a lack of understanding of the importance and sensitivity of these
 410 measurements in this vulnerable patient population; this could be rectified by additional training.
 411 However, this would need to take current staff workload into consideration. If standards are not
 412 met, current patient care can become compromised, potentially affecting short- and long-term
 413 outcomes. In addition, a medical institution which does not meet their standards, reduces their focus
 414 on furthering clinical care and evidence based practice; thereby compromising future patient
 415 care(69).

Table 7 Suggested Clinical Changes to Meet RHSC Nutritional Standards

Audit Criteria not meeting RHSC Nutritional Standard	Location	Suggested Clinical Change	Clinical Staff to Implement Change	Training Required
Lead staff member responsible for implementing changes and ensuring appropriate training (or re-training) is received: ward consultant on QIP.				
Height and Weight Assessment and Documentation (Section 1)	DC	Ensure all appropriate patients have their height and weight measured and documented; enter all measurements onto TrakCare.	Nursing Staff	×
	DC/IP	As long as not entered on TrakCare: Introduce appropriate growth charts to document, plot and track patient's height and weight.	Department/ Profession Leads and Nursing Staff	?
Head Circumference (Section 1)	IP	Ensure all appropriate patients have their HC measured and documented; enter all measurements onto TrakCare.	All Department Staff	?
	ALL	Amend documentation (include on malnutrition tool) to avoid in-complete assessment.	Department Leads	✓*
Arm Anthropometry (Section 1)	ALL	Incorporate arm anthropometry into routine practice.	Nursing Staff and RD	✓
	ALL	Amend documentation (include on malnutrition tool/anthropometry charts) to avoid in-complete assessment.	Department Leads and IT Department (TrakCare)	✓*
	ALL	Amend TrakCare Anthropometry Chart to include Arm Anthropometry fields (MUAC and TSF).	Department Leads and IT Department (TrackCare)	✓*
PYMS completion (Section 2)	DC/OP	Incorporate PYMS into routine practice.	Nursing Staff	?

	ALL	Digitise PYMS and add tool to TrakCare.	IT Department (TrakCare)	✓*
Nutritional Bloods (Section 7)	ALL	Incorporate assessment of Vitamins D, E, A, B ₁₂ and PTH into routine practice.	Department/ Profession Leads, Consultants, relevant Technicians	?
	ALL	Ensure all nutritional bloods are re-assessed.	Relevant Clinical Staff	×
General Recommendations	ALL	Develop Checklist to ensure all diagnosed patients receive standardised basic care.	All relevant authorities and affected staff	✓*
	ALL	Ensure full documentation of all nutritional standards until documentations amendments made.	All relevant authorities and affected staff	?
	ALL	All clinical staff involved in patient assessment should have access to TrakCare and ensure all documentation available on TrakCare.	All relevant authorities and affected staff	✓*

416 Table 7 presents the suggested clinical changes based on the audit shortcomings so that RHSC nutritional standards are met in the
417 future. Training Required Answer Key: ✓, training required; ×, no training required; ?, potential re-training required; ✓*, training
418 required if proposed change is implemented. *Abbreviations: IP, Inpatient, DC; Day-care; OP, Outpatient; RHSC, Royal Hospital for*
419 *Sick Children in Edinburgh; Registered Dietitian, RD; IT, Information Technology*

420

421 Limitations

422 Study limitations included that the hospital was converting from paper to digital record keeping,
423 and that the RHSC is relocating to a new location; added confusion to clinical practice and the
424 auditing process. In addition, there were staff shortages and the ward staff suffered an unexpected
425 loss during the 2017 audit, placing an even greater demand on an already strained workload.

426 Conclusion

427 The audit successfully compared current paediatric RHSC oncology nutritional practice to internal
428 RHSC nutritional standards and established baseline practice. 82% of the 50 audit criteria did not
429 meet the 100% standard, highlighting areas for improvement and the next step in the audit cycle.
430 The audit areas requiring improvement were appropriate height and weight assessment and
431 documentation in DC; head-circumference measurements in IP; incorporating arm anthropometry
432 assessment into routine clinical dietetic practice; introduction of malnutrition screening in DC and
433 OP; and routine nutritional biochemistry assessment throughout the department. Appropriate
434 recommendations will be made so that RHSC nutritional standards are met in the future. If

435 successfully executed, these changes could progress clinical nutritional practice and thereby
436 improve short and long term clinical and nutritional outcomes in paediatric Oncology and malignant
437 Haematology patients.
438

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445

446 **Transparency declaration:**

447 The lead author affirms that this manuscript is an honest, accurate, and transparent account of the
448 study being reported. The reporting of this work is compliant with STROBE guidelines. The lead
449 author affirms that no important aspects of the study have been omitted and that any discrepancies
450 from the study as planned (audit was registered with the NHS Scotland Ethics committee (NHS
451 REC 06-51104-52)) have been explained. This work has not been submitted has not been published
452 previously nor is it under consideration for publication elsewhere.

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