

1 **Coexisting in the Peruvian Amazon: Interactions between fisheries and**
2 **river dolphins**

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23
24 **Abstract** The freshwater tucuxi (*Sotalia fluviatilis*) and the Amazon River dolphin (*Inia*
25 *geoffrensis*) are endemic to the Amazon-Orinoco river basin. Their conservation is hindered
26 by human disturbance and uncertainty about total population size and distribution. In this
27 study, we used rapid assessment questionnaires to identify threats to river dolphins found in
28 Peru and to identify priority areas for their further study and conservation. We administered
29 questionnaires to fishers (surveyed 2010 n=162, 2015 n=251) and community members
30 (surveyed 2015 only; n=118) at 12 landing ports of the Peruvian Amazon, asking questions
31 about their knowledge, perception and interactions with river dolphins. Dolphins were
32 observed by interviewed fishers based across all ports except for Aguaytia port, which was
33 subsequently excluded from further analysis. Across the sampled ports in 2010, an average
34 of 86% of fishers (range: 59-100%; n=8 ports) associated dolphins with negative economic
35 impacts, largely due to net damage, with similar findings in the more extensive survey in

36 2015 (74%, 27-100%; n=11 ports). Bycatch of dolphins was also reported in 11 ports, with
37 a higher incidence in the state of Loreto, where up to 10 bycaught individuals per fisher per
38 year were reported for both time periods. The use of dolphins as bait has been practised
39 from at least 2010 (2010: 31% of fishers, 11-57%; 2015: 31%, 0-63%) and is prevalent
40 (>40%) in four of the surveyed ports (Caballococha, Bagazan, Requena and Manantay). Our
41 study can be used as a first reference to guide monitoring of river dolphin populations in
42 priority areas. Future efforts should revisit and extend this survey to other ports in Peru.
43 Doing so will enable detection of trends in fisheries conflicts with river dolphins and improve
44 the estimation of bycatch and direct take of dolphins in the Peruvian Amazon.

45

46 **Keywords** Bycatch, Bait, Small cetacean, Dolphin, Conservation, Small-scale fisheries

47

48 **Introduction**

49 Fishing is one of the leading economic activities in the Peruvian Amazon basin, with
50 landings of up to 80,000 tonnes and revenue of 80 million USD annually (Tello & Bayley,
51 2001; Garcia et al., 2009). Amazon fisheries can be divided into subsistence and commercial
52 fisheries (RM No 147-2001-PE, 2001). Subsistence fishing is an activity practiced by most
53 families living in riverside settlements (Tello-Martin & Montreuil-Frias, 1994) where they
54 capture resources to meet their basic needs and sell the surplus of fresh fish in local markets,
55 or salt and dry it for sale to merchants that operate in larger cities (Vargas et al., 2012). A
56 total of 75% of the landings are for subsistence, as fish is the primary source of animal protein
57 in local communities (Tello & Bayley, 2001; Vargas et al., 2012). The other 25% of landings
58 is from the commercial fleet, dominated by fisheries for three target species (boquichico
59 *Prochilodus nigrians*, llambina *Potamorhina altamazonica*, ractacara *Curimata spp*),
60 supplying regional markets in cities of the states of Loreto and Ucayali (Garcia et al., 2009).
61 Despite their importance to the local and regional economy, these freshwater fisheries remain
62 under-studied in comparison with Peruvian marine fisheries (Alfaro Shigueto et al., 2010;
63 FAO, 2010; Fréon et al., 2014).

64 Fisheries interactions are a severe threat to many long-lived and slowly reproducing species
65 (Crowder et al., 2008; Alfaro Shigueto et al., 2011; Crawford et al., 2017). Marine mammals,
66 specifically, are vulnerable to targeted fisheries and as bycatch within industrial and small-
67 scale fisheries (Read et al., 2006; Reeves et al., 2013; Avila et al., 2018). Cetaceans that have
68 limited distributions and small population sizes are particularly vulnerable to the impacts of

69 human activities (Avila et al., 2018). An example of this is the vaquita (*Phocoena sinus*), a
70 porpoise found exclusively in the Gulf of Mexico, now close to extinction, with estimates of
71 fewer than 30 individuals remaining (Jaramillo-Legorreta et al., 2019; Rojas-Bracho et al.,
72 2019).

73 Another vulnerable group of aquatic mammals are the freshwater dolphins inhabiting large
74 rivers systems. Their freshwater habitats are among the most threatened ecosystems in the
75 world (Pavanato et al., 2016; Anderson et al., 2018) and, as human populations grow, the
76 strain on rivers and lakes increases. Factors such as pollution, infrastructure (e.g. dams,
77 artificial waterways) and fisheries pressure can diminish freshwater habitat quality (Revenge
78 et al., 2005; Pavanato et al., 2016; Latrubesse et al., 2017). The baiji (*Lipotes vexillifer*) was
79 endemic to the Yangtze River and was proposed functionally extinct in 2007 (Turvey et al.,
80 2007). Its decline was attributed to the high incidence of bycatch in fishing gear and the
81 industrialization of the Yangtze river ecosystem (Turvey et al., 2007, 2013). The Ganges
82 River dolphin (*Platanista gangetica*) and the Indus River dolphin (*Platanista gangetica ssp.*
83 *minor*) are both listed as Endangered by the International Union for Conservation of Nature
84 (IUCN), while the Irrawaddy dolphin (*Orcaella brevirostris*) is considered Vulnerable
85 (Reeves et al., 2008; Braulik et al., 2012; Smith et al., 2012). These three species overlap
86 with fisheries in their habitats and are reported to occur as bycatch (Sinha, 2002; Baird &
87 Beasley, 2005; Smith et al., 2006; Brownell et al., 2019). Additionally, there is a direct take
88 of Indus and Ganges dolphins driven by the use of blubber oil as bait in catfish fisheries
89 (Sinha, 2002).

90 The freshwater tucuxi dolphin (*Sotalia fluviatilis*) (hereafter referred to as *Sotalia*) and the
91 Amazon River dolphin, also known as boto (*Inia geoffrensis*) (hereafter referred to as *Inia*)
92 are endemic to the Amazon-Orinoco river basin (Jefferson et al., 2008). Currently *Inia* is
93 listed as Endangered and *Sotalia* as Data Deficient by the IUCN (Secchi, 2012; Da Silva,
94 Trujillo, et al., 2018). South American river dolphins have been recorded as having been
95 used as bait in the catfish (commonly known as piracatinga or mota; *Calophysus*
96 *macropterus*) fisheries in Brazil (Loch et al., 2009; Mintzer et al., 2013; Brum et al., 2015),
97 Colombia (Mosquera-Guerra & Trujillo, 2015) as well as in Bolivia and Venezuela (Aliaga-
98 Rossel, 2003; Bolaños-Jiménez et al., 2015). The illegal harvest of Amazon river dolphins
99 for this purpose has undoubtedly contributed to their population decline (Williams et al.,
100 2016; da Silva et al., 2018; Mintzer et al., 2018). Additionally, traditional beliefs of dolphins
101 enchanting, kidnapping and impregnating women have created an image of *Inia* as a
102 mischievous being, and as such, people harvest their body parts to use as love charms and

103 amulets in Brazil (Alves & Rosa, 2008; Siciliano et al., 2018). To date, research has primarily
104 focused on the utility of protected areas for conserving dolphin populations (e.g. McGuire,
105 2010; McGuire et al., 2014) and in generating population estimates, distribution and density
106 maps in Brazil and Colombia (Martin & da Silva, 2004; Gomez-Salazar et al., 2012). Data
107 on the status and threats faced by these two legally protected species in Peru are particularly
108 lacking (Anon., 1996; Campbell et al., 2017).

109 Here we report the results of two surveys undertaken five years apart, using a rapid,
110 interview-based method modified from studies applied in other marine and riverine locations
111 (Moore et al., 2010; Turvey et al., 2015). Our aims were to: (1) generate information on the
112 perceptions and the interactions of Peruvian fishers and river dolphins, (2) to determine the
113 practice of using dolphins as bait in Peruvian fisheries, and (3) to assess other factors (e.g.
114 bycatch, traditional use) that may affect the conservation of these species.

115 **Methods**

116 *Study area*

117 Our study was conducted from April-June, 2010 and May-July, 2015 in ports and landing
118 sites in the states of Loreto and Ucayali in the Peruvian Amazon (Fig 1). Loreto and Ucayali
119 yield most of the continental fish products of Peru, with 28 054 tonnes and 8635 tonnes
120 landed in 2015 in the two states, respectively (PRODUCE, 2015). Landings in these regions
121 may come from the Amazon and Ucayali rivers as well as the Marañon, Huallaga, Napo,
122 Tigre, Putumayo, Nanay, Yavari and Morona rivers. Sampled ports in Loreto state were:
123 Nauta, Requena, Bagazan, Nanay, and Puerto Pesquero and Productores in Iquitos city. In
124 Ucayali state, we sampled Calleria, and Yarinacocha ports (Fig 1). We chose these ports
125 because they are the main landing sites for fish products, and they provide a wide spatial
126 coverage of Peruvian Amazon fisheries. In 2015, we extended the study to include the
127 following sites: Caballococha and Puerto Masusa in Loreto, and Manantay and Aguaytia in
128 Ucayali state, thus covering 46% of major landing sites in the Peru Amazon (PRODUCE,
129 2015).

130 Questionnaires were administered to fishers who lived and fished near each landing site. We
131 surveyed between 6 and 12% of fishers registered in each sampled area. The total number of
132 fishers from each port was obtained from national census data (PRODUCE, 2013) or for
133 ports that were not included in census data, we visited local government agencies for current
134 estimations. We interviewed a total of 162 (81% Loreto, 19% Ucayali) and 251 (69% Loreto,

135 31% Ucayali) fishers in 2010 and 2015, respectively. In 2015, we also interviewed 118
136 community members (79% Loreto, 21% Ucayali).

137 Questionnaires were conducted by trained local scientists with previous experience relevant
138 to this study. The survey was designed to evaluate fishing habits, fisher interactions with
139 dolphins, and fisher perceptions of *Sotalia* and *Inia*. Specifically, the 33 questions (see SOM
140 1) addressed: Fishery practices and areas, areas of presence/absence of river dolphins,
141 conflicts between fisheries and dolphins, and traditional uses and beliefs related to dolphins.
142 Each questionnaire took approximately 30 minutes to complete. Twenty-three of the
143 questions were closed-ended. Participants were approached at ports, close to their boats, or
144 at shops close to piers. At the beginning of each interview, respondents were informed about
145 the general objectives of the study and were assured that the data would be collected and
146 stored anonymously. Surveys were administered once participants gave their verbal consent
147 and confirmed they were boat captains. The questionnaires were carried out 1:1 to the
148 captains of each vessel to assure that only one fisher per vessel participated. As fishing is
149 practised almost exclusively by men, all interviewed fishers were male and no particular age
150 group or type of fisher (commercial, subsistence, or type of fishing gear used) was targeted.
151 No problems were identified with fisher participation in surveys (zero refusal rate). In 2015,
152 in addition to fishers, we also surveyed community members who were not directly involved
153 in fishing activities at each sample site to better understand what residents of local
154 communities know about river dolphins. These participants were approached in markets and
155 city plazas, in the early hours of the afternoon. No gender or age group was targeted
156 specifically. These surveys had 12 questions addressing river dolphins, beliefs and
157 commerce of dolphin body parts, and perceptions relating to these species. These surveys
158 took about 20 minutes and were also anonymous. We aimed to have at least ten participants
159 at each site.

160 All responses from fisher and community interviews were annotated on printed survey sheets
161 and entered into a spreadsheet database. For open-ended questions, we initially read through
162 all respondents' answers and identified where a similar response was repeated by multiple
163 participants. These responses were categorised into selected themes and assigned a code.
164 Close-ended questions had multiple choices where each answer represented a code. Codes
165 from both questions were then analysed as percentages. To gain a synthetic view of bycatch
166 a minimum estimate was created per landing site by summing the estimates for all surveyed
167 fishers.

168

169 **Results**

170 *Fishery and fisher description*

171 Most respondents were under 50 years of age (2010: 67% on average across all ports, range
172 32-93% at individual ports; 2015: 77%, range 57-100%) (from herein, average value for all
173 ports is shown first, followed by a range of averages across the individual ports), most were
174 between 30 to 50 years of age with less than 20 years of experience in the fishing sector
175 (2010: 68% 32-86%; 2015: 59% 18-90%). Fishers most often reported using "peque peque"
176 boats, canoes with outboard motors of up to 12 horsepower (HP) (2010: 72.5%, 28-100%;
177 2015: 60.3%, 0-100%). The boats used by fishers included larger vessels, which
178 simultaneously transport food, construction materials, passengers and other resources to the
179 ports from other riverine communities. These boats have engines with a maximum of 20 HP
180 (2010: 24.6% range 0-64%; 2015: 31.3% 0-100%). Fishers also used boats without motors
181 (2010: 2.9%, 0-10%; 2015: 8.3%, 0-100%).

182 The most commonly used fishing gear recorded in both survey years were gillnets "agallera"
183 (Table 1, 2010: 30%, 4-54%; 2015: 56%, 0-100%) or "honderas", similar to a purse seine
184 (2010: 31%, 9-42%; 2015: 32%, 0-100%). Other frequently reported gears were hooks
185 (2010: 8%, 0-19%; 2015: 10%, 0-27%) and traps (2010: 24%, 0-42%; 2015: 2%, 0-11%).
186 Most respondents reported being opportunistic fishers (2010: 23%, 13-33%; 2015: 38%, 0-
187 100%), meaning they catch what they can find. A variety of target catch species were
188 recorded, the most frequently mentioned species was the boquichico (*Prochilodus nigricans*)
189 (2010: 20%, 11-31%; 2015: 30%, 0-50%), followed by the palometa (*Mylossoma sp.*) (2010:
190 13%, 5-19%; 2015: 18%, 0-50%) and the catfish zúngaro (*Brachyplatystoma spp.*) (2010:
191 11%, 2-25%; 2015: 5%, 0-23%). A minority of fishers from all ports responded that they
192 targeted catfish piracatinga specifically (2010: 2.4%, 0-6%; 2015: 3%, 0-15%). Ports such
193 as Pesquero and Productores contained higher concentrations of fishers who targeted
194 piracatinga (12% and 15% of interviewed fishers, respectively) in 2015, in contrast to results
195 from 2010 where the port with the highest percentage was Productores, at 6% of interviewed
196 fishers.

197 In 2015, we added questions to the survey about the number of crew members and duration
198 of fishing trips. Respondents reported fishing alone (SOM 2, 31%, 0-100%), with up to three
199 crew members (2015: 26%, 0-100%), or larger crews of up to 10 members (24%, 0-81%).
200 Trips lasted from one day (2015: 33%, 0-100%), up to five days (2015: 31%, 0-71%) or

201 longer than 10 days (18%, 0-95%). These longer trips with more crew members were
202 concentrated in Pesquero, Productores in Loreto and Calleria, Ucayali.

203 *Dolphin-fisher interactions*

204 We initially asked if the fishers had observed dolphins and if they knew how to differentiate
205 between the two species, *Inia* and *Sotalia* (Table 2). Only the fishermen interviewed in
206 Aguaytia answered that they had not seen dolphins in that region and therefore could not
207 distinguish between the two species. Therefore, values from Aguaytia are excluded from all
208 following analyses. In the other ports, most fishermen reported seeing both species in their
209 lifetimes (2010: 94%, 67-100%; 2015: 97%, 80-100%) and were able to distinguish between
210 them (2010: 91%, 65-100%; 2015: 99%, 89-100%). This was confirmed by asking fishers
211 what characteristics they use to differentiate species (size and/or coloration).

212 Most fishers interviewed reported conflicts with dolphins in their fishing areas (2010: 86%,
213 59-100%; 2015: 74%, 27-100%) (no difference between study years, Wilcoxon test $P > 0.05$).
214 When asked what the problem was, in order of frequency the responses were entanglements
215 in nets (dolphins break or damage fishing gear, 2010: 79%, 54-93%; 2015: 87%, 67-100%)
216 followed by dolphins stealing fish (2010: 12%, 0-30%; 2015: 6%, 0-14%). Both options
217 affect fishers economically. The third most frequent response was that *Inia* are aggressive
218 towards boats (2010: 8%, 0-23%; 2015: 7%, 0-24%). Regarding this response, one
219 participant noted that when many *Inia* were aggregated, they "*try to turn the boats, hit the*
220 *boat or follow us on our return to port*".

221 When asked about river dolphin bycatch, approximately half of fishers reported having at
222 least one incident of river dolphin bycatch, either released dead or alive, during their fishing
223 trips within the last year (2010: 58%, 5-100%; 2015: 68%, 45-100%) (Fig 2a). Respondents
224 from some ports had higher reported incidence of bycatch: Loreto: Nauta (2010: 68%; 2015:
225 75%) Pesquero (2010: 68%; 2015: 63%) Productores (2010: 56%; 2015: 80%) Requena
226 (2010: 100%; 2015: 60%) and Ucayali: Calleria (2010: 50%; 2015: 75%). We asked fishers
227 how many individuals were bycaught per year. For both periods of the study, one capture
228 per year was the most common answer (2010: 27%, 6-61%; 2015: 25%, 0-100%). The
229 number of fishers that reported more than 3 dolphins a year was small (2010: 19%, 3-34%;
230 2015: 11%, 0-40%), but still at a level important for overall dolphin conservation.
231 Respondents indicated that most entangled dolphins were found alive (2010: 72%, 43-88%;
232 2015: 89%, 77-100%). Also, the majority of respondents answered that *Inia* is caught more
233 frequently than *Sotalia* (2010: 59% 17-88%; 2015: 64% 27-92%).

234 Calculating the minimum estimate from our 2015 questionnaire results, we can roughly
235 estimate that the 251 fishers we surveyed from the studied ports (encompassing
236 approximately 10% of vessels) have an approximate annual bycatch of 182 dolphins (Table
237 3).

238 *Use of river dolphins*

239 Regarding the fates of the entangled dolphins, most of the respondents reported that dolphins
240 were released, either alive or dead (2010: 84%, 55-100%; 2015: 81%, 67-100%). However,
241 some fishers did reply that in some cases when dolphins are found entangled alive, they are
242 killed and sold (2010: 5%, 0-18%; 2015: 7%, 0-16%) or killed and discarded (2010: 4%, 0-
243 18%; 2015: 3%, 0-17%). Both in 2010 and in 2015, approximately a third of fishers (2010:
244 31%, 11-57%; 2015: 31%, 0-63%) reported that they knew of someone using dolphin parts
245 as bait, with considerable variation in the frequency of dolphin bait among sites (Fig 2b). No
246 significant difference was found comparing between years for use of dolphins as bait
247 (Wilcoxon test, $P > 0.05$), but some ports are worth highlighting as having high frequency
248 of use of dolphin bait: Caballococha (2015: 46%), Bagazan (2015: 41%) Requena (2015:
249 63%) and Manantay (2015: 50%).

250 *Community surveys*

251 In 2015, we also surveyed community members. Aguaytia was again excluded from further
252 analysis as dolphins were not known in the area. Ninety percent of respondents knew of river
253 dolphins (range: 60-100%), and 76% reported seeing dolphins in their locality (60-100%).
254 When asked where they had learned about river dolphins, 37% (0-72%) of respondents
255 answered community surroundings, followed by family (30%, 7-100%), media and press
256 (23% 0-60%), and at educational institutions (14%, 0-40%). When asked about the sale of
257 dolphin parts, 56% (20-100%) of respondents indicated that they knew where dolphin parts
258 were sold. When asked what the parts were used for, the most frequent answers were for bait
259 (49%, 0-100%) and for traditional use (31%, 0-100%). In terms of their conservation, 81%
260 (50-100%) of respondents thought that river dolphins are endangered and 26% (0-84%)
261 reported knowing that they are legally protected species.

262 **Discussion**

263 This study is the first in Peru to assess and analyse perceptions of fishers and local
264 community members regarding river dolphin occurrence and fishery interactions and our
265 findings offer valuable insights into the current status of threats that both dolphin species
266 face. Our research shows that fishers from the Peruvian Amazon are well acquainted with

267 river dolphins. They correctly identified how to differentiate between species. In general,
268 respondents had a more negative perception of *Inia*, which they considered to be an
269 aggressive species. These perceptions could be related to legends of enchantment and
270 kidnapping shared with other Amazon regions that lead to the use of dolphin body parts as
271 love charms (Alves & Rosa 2008, Mintzer et al. 2015, Siciliano et al. 2018).

272 *Bycatch*

273 We can conclude that there is river dolphin bycatch in all the ports surveyed, with the
274 exception of Aguaytia. For 2015, we estimate that a minimum of 182 dolphins were bycaught
275 annually in surveyed ports. In these ports we surveyed the captains of 251 fishing vessels
276 with approximately 3 fishers per boat. Given there are an estimated 9735 fishers working
277 across in Ucayali and Loreto (PRODUCE, 2013), bycatch numbers could, therefore, be at
278 least an order of magnitude higher. This is a conservative estimate given fisheries census
279 data are seven years old. Also, as catching river dolphins is forbidden, it is also possible that
280 the number of dolphins captured was underreported by respondents. This tendency to under-
281 report is common in cases where the study species are protected (Turvey et al., 2013). Our
282 results demonstrate that bycatch occurs (and likely at higher levels than reported here) and
283 point to potential conservation priority areas, where higher rates of bycatch occur.

284 River dolphin bycatch was first reported in Peru by Leatherwood and Reeves (1994) and was
285 highlighted as the primary conservation concern at that time, demonstrating that pressure
286 from fishing interactions has existed at least for the past two decades. There is no information
287 on abundance available for either of the dolphin species in this part of the Peruvian Amazon
288 basin (Secchi, 2012; Da Silva, Trujillo, et al., 2018). Therefore, it is not possible for us to
289 conclude whether the reported differences in bycatch incidence are related to variations in
290 river dolphin abundance. There were higher rates of bycatch reported in the state of Loreto
291 than in Ucayali, specifically in locations far from urban areas, such as Bagazán, Requena,
292 and Cabalcocha. Loreto sees the landing of most of the freshwater hydrobiological
293 resources of Peru (PRODUCE, 2015), this could indicate that there is greater fishing pressure
294 in Loreto, which in turn could result in a higher bycatch rates. Freshwater fisheries have also
295 changed in the last decade. Between 2005 and 2015, commercial species such as the pirarucu
296 *Arapaima gigas* or the dorado *Brachyplatystoma rousseauxii* went from 7% to less than 1.5%
297 of the total landings, with new species now dominating landings (García Dávila et al., 2018).
298 The widespread subsistence fisheries have also shifted, going from more selective gears such
299 as harpoons or hook and line to less selective small mesh nets (Sueiro & De la Puente, 2015).
300 The proliferation of nets in the Amazon could also be related to the frequency of bycatch.

301 Most of the fishers interviewed in this study used either gillnets or purse-seines. Previous
302 studies on river dolphin bycatch (Whitty, 2015, 2016; Dewhurst-Richman et al., 2019) have
303 shown higher incidence of bycatch in areas that overlap with gillnet fishing areas.

304 *Use as bait & the piracatinga fishery*

305 Regarding the use of river dolphins as bait for the piracatinga fishery, our results show that,
306 in 2010, the practice was already occurring in some areas of Peru and this continued in 2015.
307 Using river dolphins as bait is illegal in Peru and we suspect that some of the participants
308 feared legal repercussions if they confirmed the use of these protected species in their fishing
309 communities. The use of river dolphins as bait is consistent with reports from other countries
310 in the region, including Colombia and Brazil, where *Inia* and caimans have been reported as
311 used as bait in the piracatinga fishery over the last decade (Salinas et al., 2014; Cunha et al.,
312 2015; Mosquera-Guerra & Trujillo, 2015). Mintzer et al. (2015) found that 98% of
313 interviewed fishers knew of the use of dolphins as bait, and 67% of them could identify at
314 least one community, theirs or elsewhere, where directed take was occurring. A study
315 developed in the western Brazilian Amazon monitored the piracatinga fishery and found that
316 both dolphin species were used as bait in 30% of the fishing events (Iriarte & Marmontel,
317 2014). These results are higher than those reported in our study for Peru, which could be
318 caused by underreporting or actual differences in the frequency of use of dolphin bait. The
319 Brazilian government announced a 5-year moratorium on the commerce and trade of
320 piracatinga effective January 2015 (Instrução Normativa Interministerial n° 6, of July 17th,
321 2014). As the effects of this moratorium in Peru are unknown, close monitoring of these
322 issues in Peru could help generate more data to support our findings and generate actions to
323 prevent this problem from increasing in frequency or expanding to other areas.

324 In the last 10 years there has been an increase in piracatinga landings, with consistently high
325 landings reported between 2008 and 2011 averaging 216 tons a year (Garcia Dávila et al.,
326 2018). These landings continue to increase, with 331 tons registered in 2016 for Loreto
327 (Garcia Dávila et al., 2018). Among our respondents, there were a few who reported
328 piracatinga as their main target fish and indicated the use of dolphins as bait. This could
329 suggest that there is a growing market for piracatinga. Two respondents commented that
330 these specialized fishers were foreigners, that "*came to instruct local fishers on piracatinga*
331 *fishing techniques*" (pers. comm.) and that the catch was exported. The Peruvian customs
332 authority (SUNAT) has not yet assigned codes to differentiate piracatinga from other species
333 of catfish, making it impossible to track its importation or exportation.

334 *Research in global context and next steps*

335 Surveys with fishers and community members have helped us develop a first assessment of
336 the incidence of river dolphin bycatch events in Peruvian Amazon fisheries. Our results
337 suggest that fishery interactions in the forms of dolphin bycatch and deliberate take should
338 be prioritized as a main conservation threats to *Sotalia* and *Inia* in the Peruvian Amazon.
339 The use as bait was the main reason that IUCN red list status for *Inia* was changed to
340 endangered (Da Silva, Trujillo, et al., 2018), with steep population declines seen within
341 protected areas in Brazil (Da Silva, Freitas, et al., 2018). If bycatch and aquatic mammal bait
342 are combined with other existing (Mosquera-Guerra & Trujillo, 2015; Pavanato et al., 2016)
343 and potential threats such as infrastructure development (Finer & Jenkins, 2012; Alfaro
344 Shigueto et al., 2018), the negative effect on population numbers could be substantial
345 (Williams et al., 2016; Da Silva, Freitas, et al., 2018).

346 An important next step will be to more accurately define bycatch rates and overall numbers
347 of dolphins killed as bycatch. This would be best accomplished with a more intensive
348 monitoring program. For example, onboard observer and community landing site observer
349 programmes have been successfully implemented in artisanal fisheries elsewhere for marine
350 vertebrates (Mangel et al., 2010; Humber et al., 2011) and could potentially be implemented
351 in the Amazon. Bycatch mitigation techniques should be tested and implemented in areas
352 with high bycatch. Pingers have been successful for reducing interactions between fishing
353 gear and other cetacean species (Barlow & Cameron, 2003; Dawson et al., 2013). Studies
354 focusing on pingers in freshwater habitats are limited, but they were tested on *Sotalia* in
355 Brazil and individuals were found to be responsive to the acoustic alarms (Avila & Andrade,
356 2004). Further work could be done to see if this mitigation technique is viable in freshwater
357 ecosystems.

358 We recommend that interviews with Amazon fishers be revisited in the near future. In
359 addition, these could be expanded to other ports of Peru as well as administered during the
360 dry season to see if our responses were affected by retrospective bias caused by the very
361 different water levels during the wet season. The Brazilian moratorium on piracatinga fishing
362 expired in January 2020 and through similar questionnaires we could obtain insights into
363 how this legislation has affected fisheries in Peru. New legislation prohibiting piracatinga
364 commerce and trade in Colombia (R1710-August 2017) could also affect demand and
365 feasibility of exportations from Peru (e.g. legal, illegal or underreported commerce). By
366 administering these questionnaires, we will be able to detect longer-term trends in the use of
367 dolphins as bait and of the piracatinga fishery.

368 **Author contributions** E.C., J.C.M., and J.A.S. designed and performed the study. All
369 authors interpreted data and contributed to writing the manuscript and gave final approval
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590

ographic and fishing activity characteristics of fishers who participated in the study.

Masusa, Manantay and Aguaytia ports were not included in the 2010 study. Gear

Honderas (Hond), Agalleras (Agall).

	2010								2015					
	% of Fishers >50 years old	% of fishers fishing >20 years	% of fishers with vessels			% of fisher using			% of fishers >50	% of fishers fishing >20 years	% of fishers with vessels			% of fishers
			No engine	≤12 HP	>12 HP	Hond	Agall	Hook s			No engine	≤12 HP	>12 HP	Hond
	59	86	0	77	23	48	4	0	59	54	0	80	20	15
	59	86	0	77	23	30	37	19	69	18	0	19	81	100
	78	63	5	69	26	42	32	16	83	44	0	78	22	22
	71	68	0	92	8	18	38	18	96	54	0	83	17	21
res	56	56	0	100	0	31	23	0	100	60	0	53	47	47
	32	32	10	90	0	9	36	5	80	74	0	93	7	23
ocha									75	71	0	70	30	36
									87	80	0	91	9	13
	92	76	0	47	53	29	54	4	70	50	0	0	100	85
ocha	93	75	8	28	64	41	12	0	57	68	0	57	43	21
y									60	90	0	100	0	0
t									90	50	100	0	0	0
	68	68	3	73	25	31	30	8	77	59	8	60	31	32
n	32	32	0	28	0	9	4	0	57	18	0	0	0	0
m	93	86	10	100	64	42	54	19	100	90	100	100	100	100

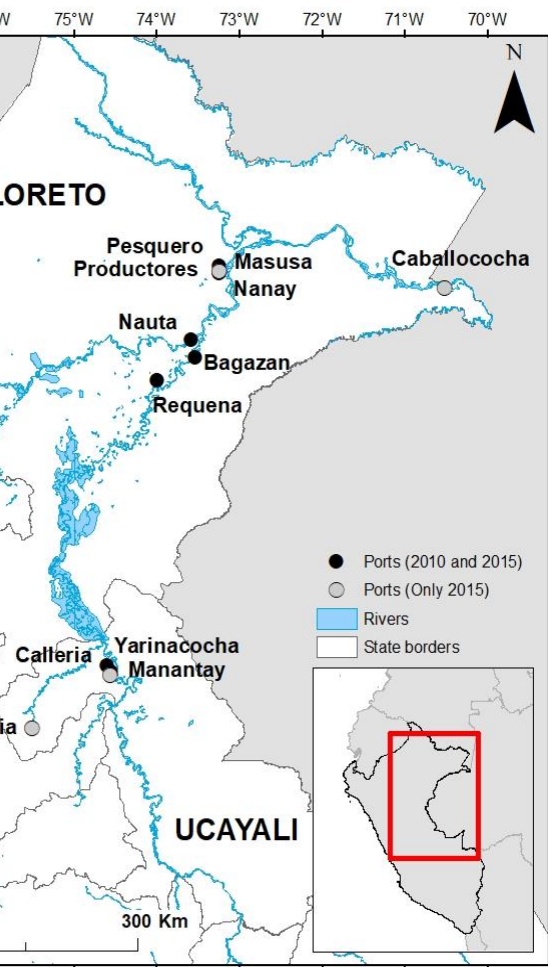
primary results of fishers interactions with river dolphins. All values are the percentage that responded to that option, with the exception of the column describing bycaught per year. Caballococha, Masusa, Manantay and Aguaytia ports were not included in the study.

	2010											Use as b
	Do dolphins cause problems?	Type of problems			Bycatch during 2010	Dolphin is found alive	<i>Sotalia</i> is more frequent as bycatch	<i>Inia</i> is more frequent as bycatch	Bycaught dolphins per year			
		Yes	Net damage	Steal fish					Aggressive	1	2-3	
Agazán	100	92	4	4	5	50	0	22	NR	NR	NR	11
Quero	100	93	0	7	68	79	67	17	61	6	11	37
Manantay	92	88	0	12	80	83	8	88	39	0	30	15
Agayta	88	71	13	6	68	72	8	83	36	9	15	32
Productores	78	86	14	0	56	43	42	42	8	0	3	43
Quena	86	61	30	9	100	77	26	53	13	0	34	31
Merla	84	54	23	23	50	88	12	88	22	0	22	57
Caballococha	59	86	14	0	35	85	8	77	6	0	21	19
Mean	86	79	12	8	58	72	21	59	26	2	19	31
Minimum	59	54	0	0	5	43	0	17	6	0	3	11
Maximum	100	93	30	23	100	88	67	88	61	9	34	57
	2015											
Agazán	100	92	8	0	67	88	56	44	12	12	19	41
Quero	94	86	7	7	50	77	7	79	38	38	15	38
Manantay	72	67	13	20	67	88	12	88	12	12	6	17
Agayta	88	76	0	24	75	96	25	75	17	17	8	17

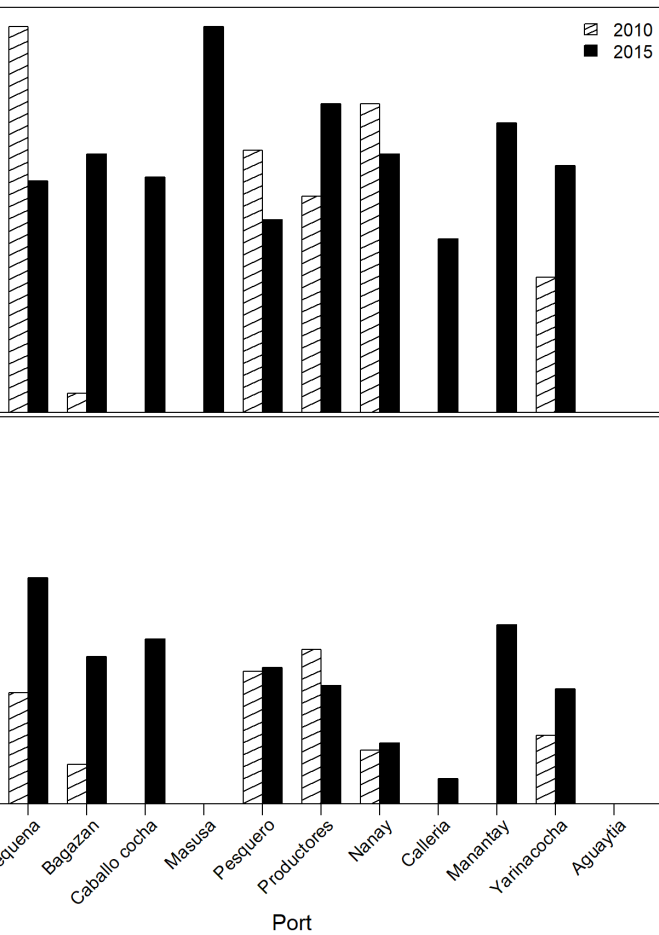
ductores	40	83	0	17	80	100	8	92	8	8	0	33
quena	73	93	7	0	60	91	27	73	14	14	18	63
ballococha	82	91	9	0	61	92	10	45	16	16	40	46
susa	27	100	0	0	100	87	22	67	0	100	0	0
leria	55	93	7	0	45	85	27	27	28	28	6	7
rinacochoa	82	82	14	4	64	85	47	53	33	33	7	32
nantay	100	95	5	0	75	88	44	56	0	0	0	50
uaytia	0	0	0	0	0	0	0	0	0	0	0	0
an	74	87	6	7	68	89	26	64	25	12	11	31
imum	27	67	0	0	45	77	7	27	0	0	0	0
ximum	100	100	14	24	100	100	47	92	100	21	40	63

l number of fishers, interviewed fishers at each port in 2010 and 2015. Percentages
er of participants from each port from total participants, totalling 100% vertically.
g the minimum estimate of bycatch of river dolphins (both species) in surveyed ports
esented.

Port	Total fishers per port	Fisher interviews		Minimum bycatch estimate
		2010 n (%)	2015 n (%)	
Bagazan	87	22 (14%)	27 (11%)	23
Pesquero	72	11 (7%)	16 (6%)	16
Nanay	143	27 (16%)	18 (7%)	5
Nauta	107	30 (19%)	24 (10%)	10
Productores	116	20 (12%)	15 (6%)	6
Requena	13	21 (13%)	30 (12%)	29
Caballococha	276		28 (11%)	41
Masusa	28		15 (6%)	12
	<i>842</i>	<i>131</i>	<i>173</i>	<i>140</i>
Calleria	18	14 (9%)	20 (8%)	10
Yarinacocha	84	17 (10%)	28 (11%)	23
Manantay	52		20 (8%)	100
Aguaytia	17		10 (4%)	Not Included
	<i>171</i>	<i>31</i>	<i>78</i>	<i>42</i>
		162	251	182



Map of ports visited for survey administration in the states of Loreto and Ucayali.



of response from fishers interviews of A) river dolphin bycatch during study year
 dolphin as bait for the catfish fishery in all sampled ports. No significant difference
 comparing between years for use of dolphins as bait (Wilcoxon test, $P > 0.05$).

Nombre entrevistador _____ Fecha _____ Lugar desembarque _____

Soy parte del equipo técnico de la ONG ProDelphinus. Estamos investigando la actividad pesquera azoónica y como esta se relaciona con los mamíferos acuáticos. Si usted es voluntaria y anónima. No necesitamos su nombre ni compartiremos su información a ninguna persona fuera del equipo de investigación. Asimismo, no le daremos su nombre a ninguna persona que no quiera y puede terminar la entrevista en el momento que usted desee por su participación.

SECCION PESCA

¿Cuántos días de pesca tiene un viaje en promedio? _____
¿Qué tipo de motor utilizas (caballos de fuerza)? _____
¿En qué zonas de pesca más común? _____
¿Cuántos pescadores salen con usted al pescar? _____
¿Qué tipo de pesca utiliza? _____
¿Cuál es el objetivo? _____

PREGUNTAS DELFINES

¿Hay delfines en tu zona de pesca? Sí No
Si Si ¿Qué tipo? Colorado__ Gris__ Ambos__
¿Se usan las especies? Sí No
¿Los delfines causan problemas en tu pesca? Sí No
¿Hay algún problema? _____
¿Hay algún bifeo? Sí No
¿Cuántos le caen al año? _____

- 17. ¿Cual tipo cae más?
Colorado__ Gris__ Igual__ No se__
- 18. ¿En qué mes/temporada caen más?

- 19. ¿Caen vivos o muertos? Vivos__ Muertos__
- 20. ¿Qué se hace con el animal luego? _____
- 21. Si **contesto se vende**, ¿Como se vende y cuánto cuesta?
- 22. ¿Sabes si lo utilizan para camada? Sí No
- 23. ¿Qué tipo se usa más como camada?
Colorado__ Gris__ Igual__ No se__
- 24. ¿Sabes si se usa su cuerpo o partes para medicinas, u otras cosas?

- 25. ¿Hay una zona donde el enredo de delfines sea mas común?

Interviewer Code _____ Date _____ Port _____

I am researching with the NGO ProDelphinus. We want to know about you and your relationship with aquatic mammals. This is a voluntary, confidential survey. We don't need your name or share your response with anyone outside the organization. Please understand that you can omit any questions you don't feel like answering. We will schedule the interview whenever you like.

FISHING SECTION

1. What type of engine do you use (HP)? _____

2. How many frequent fishing areas? _____

3. How many people go out to fish with you? _____

4. How many hours/days does a fishing trip take (average)? _____

5. What gear (s) do you use? _____

6. What are your target species? _____

DOLPHINS

7. Do you catch dolphins in your fishing areas? Yes No

8. If yes, what kind? Colorado ___ Grey ___ both ___

9. How do you differentiate species? _____

10. Do you have any problems in your fishing activity? Yes No

11. What is the main problem? _____

12. How many dolphins have been entangled in your fishing gear? Yes No

13. How many individuals per year? _____

17. What kind of dolphin is entangled more?
Colorado ___ Grey ___ Equal ___ Don't know ___

18. In what month/season do they entangle more?

19. Do you find them alive or dead? Alive ___ Dead ___

20. What is the dolphins fate? _____

21. If sold, how do you sell it and how much does it cost?

22. Do you know if they use dolphins? Yes No

23. What kind of dolphin species is used more frequently as bait?
Colorado ___ Grey ___ Equal ___ Don't know ___

24. Do you know if it is used for medicinal or traditional uses?

25. Is there an area where dolphin entanglement is more common?

... questionnaire in Spanish and a version translated to English that was administered in 2 ports of the Peru Amazon in 2010 and 2015.

	2015							
	Number of days fishing				Crew members			
	1 day	2-5 days	6-10 days	>10 days	Alone	2-3	4-6	6- 10
zan	78	15	7	0	37	33	15	15
uero	0	6	13	81	0	0	19	81
y	39	50	6	6	11	50	11	28
a	13	71	17	0	37	33	13	17
uctores	7	43	50	0	7	40	7	47
ena	37	33	27	3	30	27	23	20
llococha	32	14	25	29	54	21	14	0
usa	33	60	7	0	20	47	13	20
ria	0	0	5	95	0	0	93	7
macocha	21	39	18	4	21	25	11	43
antay	30	35	35	0	50	40	5	5
aytia	100	0	0	0	100	0	0	0
n	33	31	18	18	31	26	19	24
mum	0	0	0	0	0	0	0	0
mum	100	71	50	95	100	50	93	81

Additional fisher characteristics from the 2015 survey.