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A Feasibility Randomised Controlled Trial of a Brief Early Intervention for Adolescent Depression that Targets Emotional Mental Images and Memory Specificity (IMAGINE trial)

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Author contributions

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1 **A Feasibility Randomised Controlled Trial of a Brief Early Intervention for Adolescent**
2 **Depression that Targets Emotional Mental Images and Memory Specificity (IMAGINE**
3 **trial)**

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24

Abstract

25 Brief, evidence-based interventions for adolescent depression are urgently required,
26 particularly for school-settings. Cognitive mechanisms research suggests dysfunctional
27 mental imagery and overgeneral memory could be promising targets to improve mood. This
28 feasibility randomised controlled trial with parallel symptomatic groups (n=56) compared a
29 novel imagery-based cognitive behavioural intervention (ICBI) to non-directive supportive
30 therapy (NDST) in school settings. Blind assessments (of clinical symptoms and cognitive
31 mechanisms) took place pre-intervention, post-intervention and follow-up three months later.
32 The trial aimed to evaluate the feasibility and acceptability of the methodology and
33 interventions, and estimate the likely range of effects of the intervention on self-reported
34 depression. The pre-defined criteria for proceeding to a definitive RCT were met: full
35 recruitment occurred within eleven months; retention was 89%; ICBI acceptability was above
36 satisfactory; and no harm was indicated. Intention-to-treat analysis found large effects in
37 favour of ICBI (relative to NDST) at post-intervention in reducing depressive symptoms ($d=-$
38 1.34, 95% CI [-1.87, -0.80]) and improving memory specificity ($d=0.79$ [0.35, 1.23]), a key
39 cognitive target. The findings suggest that ICBI may not only improve mood but also
40 strengthen abilities associated with imagining and planning the future, critical skills at this
41 life stage. A fully powered evaluation of ICBI is warranted.

42 **Trial Registration:** <https://www.isrctn.com/>; ISRCTN85369879

43

44 **Keywords:** Depression; Adolescence; Mental imagery; Imagery rescripting;
45 Autobiographical memory; Memory specificity training

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47

48

Introduction

49 Gold-standard interventions for adolescent depression are difficult to access and
50 expensive, requiring experienced therapists and several months of one-to-one sessions
51 (National Institute for Health and Care Excellence, 2019; Pile, Shamma, & Smith, 2019).
52 When depression begins in adolescence, rather than adulthood, it is associated with more
53 recurrences and an increased risk of chronicity (de Girolamo, Dagani, Purcell, Cocchi, &
54 McGorry, 2012; Richards, 2011). The long-lasting and severe outcomes associated with
55 adolescent depression might be prevented through early intervention (de Girolamo et al.,
56 2012), i.e. targeting symptoms of depression in young people at an early stage of the care
57 pathway. Yet, as many as 75% of young people with depression do not receive an
58 intervention (Pile et al., 2019). Short duration interventions that can be readily and widely
59 deployed are essential to address poor access; schools have been identified as central in
60 efforts to prevent problems deteriorating (Secretary of State for Health and Secretary of State
61 for Education, 2017). Furthermore, evidence-based psychotherapies for youth only show a
62 modest advantage over usual care (Weisz et al., 2013) and a recent large-scale trial indicated
63 that currently recommended intensive psychological interventions (cognitive behavioural
64 therapy and short-term psychoanalytical psychotherapy) are not more effective than a
65 psychosocial intervention (Goodyer et al., 2016). There are two explanations for this finding,
66 both of which we aim to address. The first is that all psychological interventions target
67 common factors, this would mean that intervention development should focus on making
68 interventions briefer and easier to deploy/administer by non-specialists. The second
69 explanation is that these interventions may not successfully target differential and/or
70 specific mechanisms that lead to depression. Basic science improves our understanding about
71 the underlying cognitive mechanisms that drive and maintain depression (Holmes et al.,

72 2018). Translating this knowledge into clinical interventions offers promise to reduce
73 depression more effectively (Dunn, Mahen, Wright, & Brown, 2019). Here, we evaluate in a
74 feasibility randomised controlled trial (RCT), a novel and brief early intervention for
75 adolescent depression that targets specific mechanisms.

76 There is evidence that dysfunctional mental imagery (of the past and future) and
77 maladaptive autobiographical memory processes are associated with depression across the
78 age range (Dalgleish & Werner-Seidler, 2014; Holmes, Blackwell, Burnett Heyes, Renner, &
79 Raes, 2016; Hitchcock, Nixon, & Weber, 2014; Pile & Lau, 2018). Adolescence is a key
80 period to target these processes, given that depressive symptoms commonly begin in
81 adolescence, cognitive factors are likely to stabilise during this time and adolescents may
82 harness imagery techniques more readily than verbal approaches (Burnett Heyes, Lau, &
83 Holmes, 2013).

84 Mental imagery is similar to a weak form of sensory perception and occurs when
85 perceptual information is accessed from memory (Kosslyn, Ganis, & Thompson, 2001;
86 Pearson, Naselaris, Holmes, & Kosslyn, 2015). Being able to imagine clearly is important for
87 a variety of skills, including planning and goal-setting (Pearson et al., 2015). Unhelpful
88 mental imagery, in particular distressing intrusive negative memories and the absence of
89 positive future images, is implicated in depression (Holmes et al., 2016). Intrusive negative
90 images are very common in depression (44-87% prevalence) and associated with severity
91 across the age range (Meiser-Stedman, Dalgleish, Yule, & Smith, 2012; Williams & Moulds,
92 2007; Williams et al., 2007). Imagery rescripting (IR) for negative intrusive images has been
93 applied to adults with depression with promising results (Brewin et al., 2009; Wheatley et al.,
94 2007) and a meta-analysis indicates good effect sizes of using imagery rescripting across
95 disorders (Morina, Lancee, & Arntz, 2017). In addition, vividness of positive future imagery
96 is inversely associated with depression in youth (Pile & Lau, 2018). Experimental evidence

97 suggests that the generation of positive images can increase positive affect and reduce
98 negative interpretation bias in adolescents (Burnett Heyes et al., 2017) and studies targeting
99 positive imagery in depressed adults show promise for reducing depressive symptoms
100 (Ekkers et al., 2011; Korrelboom, Maarsingh, & Huijbrechts, 2012; Torkan et al., 2014).
101 Furthermore, a recent study investigated future specificity training (enhanced with mental
102 imagery) in unselected adults (Hallford et al., 2020). The intervention improved ability to
103 mentally simulate specific episodic future thinking, as well as mental imagery and pleasure,
104 relative to a waitlist control.

105 Autobiographical memory is important for the individual's sense of self and ability to
106 generate images of future events (Williams et al., 1996). Adolescence is a period in which
107 self-concept develops and begins to consolidate (Conway & Pleydell-Pearce, 2000; Kuyken
108 & Dalgleish, 2011) and depression is associated with having reduced self-concept clarity
109 (Chang, 2001). Overgeneral memory (OGM) is a phenomenon where individuals have
110 difficulty retrieving specific autobiographical memories (unique events, occurring at a
111 particular time and place) and instead generate repeated events (categorical memories) or
112 events that last longer than a day (extended memories) (Williams et al., 2007). Increased
113 OGM has been consistently implicated in youth depression, being not only associated with
114 current symptoms but also with the onset, maintenance and relapse of depression (Hitchcock
115 et al., 2014; Warne, Caseras, & Rice, 2020). A recent meta-analysis indicated that, compared
116 to control groups, memory specificity training (MEST, generating specific memories to cue
117 words e.g. happy to increase memory specificity) can improve memory specificity, reduce
118 depressive symptomatology, improve problem-solving abilities and reduce hopelessness
119 (Barry, Sze, & Raes, 2019) however the benefit of MEST was mostly lost at follow-up. One
120 suggestion to enhance MEST is by learning to hold specific memories alongside more
121 general categories and flexibly shift between them (Hitchcock et al., 2018). This has

122 similarities with therapeutic techniques to generate an individual's values for living (i.e.
123 general categories) and associating specific examples (i.e. memories) with them.

124 The novel intervention developed here (based on Holmes, Hales, Young, & Di
125 Simplicio, 2019) combines techniques of imagery rescripting/generation and memory
126 specificity training to target: (1) images of stressful negative events; (2) images of positive
127 future events and (3) memory specificity. The intervention is brief (4 sessions), manualised
128 and clearly structured which will facilitate future scalability through delivery by practitioners
129 without extensive training. The methodology also incorporated technology to provide
130 multiple measures of evaluating treatment outcomes and to deliver homework tasks.
131 Delivering homework tasks via a mobile app could potentially enhance efficacy (without
132 adding to face-to-face therapist time) and generalise intervention techniques outside of
133 therapy.

134 Development of the experimental intervention has followed recommendations for the
135 phase-based development of novel interventions (Campbell et al., 2000; Craig et al., 2008,
136 2013). An initial case series (Pile et al., 2020) with young people with depression
137 demonstrated promising pre to post intervention effects in reducing depression ($d = -1.32$,
138 95% CI [-2.41, -0.22]; 67% showed reliable improvement) and improving memory specificity
139 ($d = -1.80$, 95% CI [0.62, 2.98]; 67% showed reliable improvement) and allowed refinement
140 of the intervention and methodology. As the case series demonstrated preliminary proof of
141 concept (Pile et al., 2020), the next step is to compare the intervention to an active
142 intervention that controls for non-specific therapist factors (such as empathy and active
143 listening). Here, the control intervention is a NICE recommended intervention for adolescent
144 depression: non-directive supportive therapy (NDST; National Institute of Clinical
145 Excellence, 2015).

146 The primary objective of the IMAGINE (Integrating Memories and Generating
147 Images of New Experiences) trial was to evaluate the feasibility, acceptability, and safety of
148 the trial methodology and interventions in order to establish whether to proceed to a
149 definitive RCT (using a set of continuation rules on recruitment, retention, acceptability and
150 safety). The secondary objective was to provide a controlled estimate of the between group
151 effect on both clinical and cognitive outcomes (at post intervention and at follow-up) in order
152 to assess whether the intervention demonstrates clinical promise and prepare for a fully
153 powered RCT (Campbell et al., 2000; Craig et al., 2008, 2013). The third objective was to
154 explore the feasibility and acceptability of incorporating technology into assessment and in
155 delivering some of the intervention.

156 **Methods**

157 **Trial design**

158 This study consisted of a feasibility randomised controlled trial with parallel groups,
159 conducted across multiple schools in the United Kingdom (UK), with an embedded process
160 evaluation [reported elsewhere (Pile, Schlepper, Lau, & Leamy, under review.)]. The trial
161 compared a novel intervention (imagery-based cognitive behavioural intervention, ICBI) to
162 the control intervention (non-directive supportive therapy, NDST). A CONSORT diagram of
163 study participation is presented in **Figure 1**.

164 The methods are based on the IMAGINE trial protocol (version 1; 1st April 2017),
165 approved by the trial steering committee. The trial was prospectively registered on ISCTRN
166 registry (<https://www.isrctn.com/>; ISRCTN85369879) and the trial protocol was published
167 before recruitment was completed (Pile et al., 2018). The protocol paper provides additional
168 information about the trial methodology and interventions. There were no changes to the
169 methodology or trial outcomes after trial commencement.

170 **Continuation rules**

171 The criteria for proceeding to a future definitive trial were prespecified (Pile et al.,
172 2018). They are: (Rule 1) recruitment was achievable within a reasonable amount of time
173 (two years for full recruitment); (Rule 2) retention rates for the trial were at least 80% at post-
174 intervention and 70% at three-months; (Rule 3) average acceptability of the ICBI intervention
175 was rated as satisfactory or above; and (Rule 4) there was no harm associated with the trial.
176 Any serious adverse events, serious adverse reactions or suspected unexpected serious
177 adverse reactions that arose were carefully evaluated by the trial steering committee to
178 determine whether these were related to the intervention/trial and would preclude proceeding
179 to a definitive trial.

180 In terms of the intervention showing clinical promise, the primary clinical outcome
181 was between-group differences in changes in symptoms of depression at T2 (from T1). We
182 did not specify a minimum clinically important difference (MCID) to proceed to a definitive
183 trial *a priori*. However, the literature suggest an appropriate cut off for a standardised mean
184 difference of 0.24 in treating major depression (Cuijpers, Turner, Koole, Van Dijke, & Smit,
185 2014) and others recommend between 0.3 and 0.5 for self-reported continuous outcomes
186 (Bell, Whitehead, & Julious, 2018; Norman, Sloan, & Wyrwich, 2004). The between group
187 effect size on depressive symptoms (controlling for baseline depression score) is also
188 compared to effect sizes from RCTs evaluating similar interventions.

189 **Participants**

190 Eligibility criteria

191 Inclusion criteria were: aged 16 to 18; being able to provide informed consent; being
192 willing and able to engage in psychological therapy and complete assessments; and scoring
193 above cut-off for depression (score of 20) on the Mood and Feelings questionnaire (Angold et
194 al., 1995). A narrow age range was chosen for two reasons: (1) to reduce heterogeneity within

195 the groups, for example to reduce the influence of individual differences in maturational and
196 experiential factors; (2) because it would have been challenging to create a detailed
197 manualised intervention that was able to competently cover a broad age range, both in terms
198 of language and cognitive demands of the intervention. Exclusion criteria were: diagnosis of
199 intellectual disability or significant head injury, neurological disorder or epilepsy; unable to
200 fluently communicate in spoken English; unable to give informed consent; factors contra-
201 indicating imagery rescripting (verbally assessed with the participant at first interview, e.g.
202 high levels of current risk); currently receiving another psychological intervention (including
203 school counselling); experiencing distressing psychotic symptoms or depressed in the
204 postnatal period (participants with comorbid physical illness or non-psychotic disorders, such
205 as anxiety, were not excluded).

206 Sample size

207 A power calculation to determine a sample size was not appropriate as the purpose of
208 the trial was not to establish efficacy. The target recruitment for this feasibility trial was
209 N=56 (28 in each arm) as this was projected to provide sufficient numbers to estimate likely
210 efficacy and acceptability for informing the methodology of a later trial. This was determined
211 with reference to existing studies in the field (e.g. MEST RCT in adults; Hitchcock et al.,
212 2018) and to be consistent with good practice recommendations for such trials, which
213 recommend sample sizes of between 24 and 50 (Julious, 2005; Lancaster, Dodd, &
214 Williamson, 2004; Sim & Lewis, 2012). The sample size of 50 was inflated to allow for drop-
215 out following randomisation, which was estimated to be 10% based on previous trials in this
216 population (Goodyer et al., 2016). Recruitment took place between April 2017 and February
217 2018, with the last follow-up data collected in June 2018. The trial ended when the target
218 sample size was reached.

219 **Procedure, randomisation and blinding**

220 Secondary schools and sixth form colleges were approached, and pupils aged 16-18
221 invited to complete screening. Assessments were completed at pre-intervention (T1, prior to
222 randomisation), post-intervention (T2) and at the three-month follow-up (T3). T1 was
223 completed two weeks after screening and only participants scoring above cut-off at both
224 assessments were invited to participate. T1 included a clinical interview to assess risk and to
225 check inclusion/exclusion criteria. Following T1, eligible participants were randomised to
226 one of the interventions. Both arms received an active intervention that aimed to improve
227 mood and self-esteem. The interventions were designed to be completed within a school term
228 so that sessions could be completed weekly without disruption by the school holidays.

229 Participants were randomised by the Kings Clinical Trials Unit (KCTU) in a 1:1 ratio
230 using block randomisation via a web-based system. The sample was stratified by school.
231 Randomly varying block sizes were employed to reduce the predictability of the sequence
232 and ensure allocation concealment. The control intervention was a recommended Tier 2
233 intervention, which helps to address potential ethical issues related to randomisation. The
234 randomisation system was accessed by the chief investigator (VP) via the web interface in the
235 time period between T1 and the first intervention session.

236 The T2/T3 assessors were blind to treatment allocation but a full double-blind design
237 was not possible due to the nature of the intervention under investigation (the trial therapist
238 was aware of which intervention group participants were allocated to). As both experimental
239 and control interventions were credible therapeutic interventions, this should reduce any
240 potential bias associated with expectations of the benefits of the intervention. The two
241 interventions were referred to as intervention 1 and intervention 2, and both described as
242 'programmes aiming to improve low mood and self-esteem' in all participant and staff
243 literature to promote equal intervention credibility between the conditions. That is,
244 participants were not informed as to what the 'new' intervention was, in order to avoid

245 potential imbalances in expectancy. All reasonable attempts were also made to keep school
246 staff blind as to which condition participants were randomised to in order to reduce any
247 potential differences between the groups. There were no known incidents of unblinding either
248 for the assessors or the school staff.

249 VP was primarily responsible for gathering the data and conducting both therapeutic
250 interventions. Assessments were completed by appropriately trained individuals, independent
251 from the clinical team (e.g. research assistants). For clinical data collection, risk of assessor
252 bias was also reduced by choosing self-report measures that are less susceptible to bias and
253 by using multiple measures.

254 **Monitoring and ethical considerations**

255 A trial steering committee (TSC) was formed and provided oversight of the trial
256 progress and conduct. Two service user consultants provided consultation throughout the trial
257 and were part of the TSC. Ethical approval was obtained from the Psychiatry, Nursing and
258 Midwifery Research Ethics Committee at Kings College London (ref: HR-16/17-3548). All
259 participants provided their written and informed consent. Whilst parental consent was not
260 sought, since all participants were over age 16, we did follow each school's individual
261 recommendations for contacting parents and discussing participation.

262 **Interventions**

263 Both interventions comprised four face-to-face, individual sessions lasting up to
264 ninety minutes. The sessions took place in a small quiet room within each school. Successful
265 completion of the intervention is defined as completing three out of four sessions. Both
266 interventions follow a written treatment manual (available from the corresponding author).
267 All sessions were delivered by the first author (Clinical Psychologist with experience of
268 working with adolescents with depression) with the second author providing clinical

269 supervision (Consultant Clinical Psychologist). No modifications to the intervention were
270 made. Guidelines for reporting interventions have been followed (TIDieR; Hoffmann,
271 Glasziou, Barbour, & Macdonald, 2014).

272 Experimental intervention: Imagery-based cognitive behavioural intervention (ICBI).

273 The intervention combines (A) imagery rescripting to reduce the distress associated
274 with certain negative images and enhance positive future images with their associated
275 positive affect (adapted from Holmes et al., 2019) and (B) memory specificity training to
276 increase specificity and access to memories (adapted from Raes, Williams, & Hermans,
277 2009). The manualised intervention uses cognitive behavioural procedures (e.g. an agenda
278 and homework) and is accompanied by a workbook.

279 Session one provides a rationale for ‘training memories’ and using mental imagery,
280 including concepts such as: memories competing with one another for retrieval (Brewin,
281 2006); the encapsulated meaning of memories; and the relationship between memories, mood
282 and behaviour. This includes practice for making memories more specific and setting up the
283 homework tasks that are delivered using daily prompts (e.g. participants are asked to generate
284 a memory to a cue word). Session two focusses on imagery rescripting for a negative past
285 image that is associated with school (e.g. a bullying experience in school). The procedure
286 follows three steps, recalling the image in a different way in each step; it was adapted for
287 adolescents based on previous adult literature (Frets, Kevenaar, & Van Der Heiden, 2014;
288 Holmes et al., 2019; Wild & Clark, 2011; Wild, Hackmann, & Clark, 2008). The aim of
289 session three is to script a positive future imagery (e.g. graduating from university). The
290 procedure was developed based on experimental literature (Werner-Seidler & Moulds, 2012),
291 literature on positive image generation (Blackwell et al., 2015; Blackwell & Holmes, 2017;
292 Holmes et al., 2019) and the imagery rescripting principles used in session two. The fourth
293 session provides a review of the intervention and highlights links between specific memories

294 and more general value-based categories. Throughout the imagery exercises, participants are
295 asked to generate as much detail as possible (including sensory information) as well as
296 thoughts, feelings and the meaning of the images to them. In summary, the exercises aim to
297 both target problematic emotional mental imagery and concurrently increase specificity of
298 these memories, a skill also key to the target of boosting positive future imagery. Homework
299 tasks are delivered via a mobile phone application, Metricwire, which the participants
300 download onto their phones and prompts them to complete the task at 6pm each evening

301 Control intervention: ‘non-directive supportive therapy’ (NDST)

302 NDST involves the planned delivery of individual sessions with an empathic professional
303 for monitoring (e.g. depressive symptoms), emotional support and discussion of participant-
304 initiated options for addressing problems. It is a NICE recommended treatment for depression
305 (National Institute of Clinical Excellence, 2015) and has been used as a control intervention
306 in similar trials (e.g. Birmaher et al., 2000; for meta-analysis in adults see Cuijpers et al.,
307 2012). It includes non-specific aspects of therapy (e.g. speaking to an empathic therapist) that
308 could contribute to symptom reduction and so was an appropriate control condition to assess
309 whether the active components of experimental intervention were leading to change.

310 **Outcome measures**

311 Feasibility and acceptability (Objective 1).

312 Recruitment and retention rates were recorded throughout, including number of
313 schools approached and agreeing to take part; number of young people eligible to complete
314 and then completing the screening questionnaire; number of eligible (and ineligible)
315 participants following screening and T1; number consenting to take part and number
316 randomised; number of participants successfully completing intervention and reasons for
317 non-completion/dropout; numbers retained at each time point (T1, T2 and T3) with reasons

318 for drop-out. Data completeness was also summarised for each time point. The range and
319 average number of sessions completed (including number of sessions attended as a proportion
320 of those offered) as well as total contact time were measured to provide an indication of
321 therapy compliance for each intervention.

322 To measure acceptability, participants completed a questionnaire. Three rating scale
323 questions asked about: overall satisfaction, how much the intervention had helped them and
324 whether they would recommend it. Participants were asked to respond using a five-point
325 Likert scale, from one being a negative response (e.g. 'very dissatisfied') to five being a
326 positive response (e.g. 'very satisfied'), and three being a neutral response (e.g. 'neither
327 satisfied or dissatisfied'). A final question asked about the number of sessions, with a rating
328 of three being "I was happy with the number of sessions"; one and two indicated preferring
329 fewer sessions (1 being '2+ less' and 2 being '1-2 less') and 4 and 5 preferring more sessions
330 (4 being '1-2 more' and 5 being '2+ more'). In addition, a purposive sample of twelve
331 participants from the ICBI group were invited to complete semi-structured interviews
332 following a topic guide. The main purpose of these interviews was to understand the active
333 ingredients and valued outcomes of the intervention for participants and is reported
334 elsewhere. Please see **supplementary material A** for the methods and analysis of these
335 interviews that related to feasibility and acceptability of the intervention and for a summary
336 of the written responses on the feedback questionnaire (**supplementary material B**).

337 Therapist adherence

338 To measure therapist adherence to each intervention, a random sample of 20% of the
339 therapy sessions (40 sessions) were rated by an independent rater (clinical psychologist with
340 experience of working with young people with depression) using a modified version of the
341 cognitive therapy scale (Vallis, Shaw, & Dobson, 1986). There were 3 sub-scales to the
342 adherence and competency scale: Scale A consisted of non-specific therapy factors (present

343 in both interventions); Scale B was on ICBI-specific components and Scale C on NDST-
344 specific components. The competency rating ranges from zero (poor) to six (excellent) with a
345 score of three being satisfactory. This evaluation also indicated whether there had been
346 contamination between the conditions from the therapist having knowledge of both
347 interventions.

348 Safety (Objective 1)

349 All adverse events were recorded and are reported here. Please see **supplementary**
350 **material C** (or Pile et al., 2018) for a full explanation of the definition of adverse events.

351 Symptom measures (Objective 2)

352 The *Mood and Feelings Questionnaire* (MFQ; Angold et al., 1995) was used to
353 measure depression. The long version of the MFQ (33-items rated on a 3-point Likert scale
354 from zero to two) was used at each of the assessment time points and is the primary clinical
355 outcome measure for this trial. A clinical cut-off score of 20 on the MFQ was used as the
356 inclusion criteria, this is considered to be an efficient cut-off to identify mood disorders
357 (Daviss et al., 2006) and is consistent with similar studies (Smith et al., 2015; Wright et al.,
358 2014). For the screening stage, the four risk items were removed from the MFQ due to ethical
359 considerations in mass testing conditions and so the cut-off score was correspondingly
360 reduced at screen. The *Short MFQ* (12 items) was administered at the beginning of each
361 intervention session alongside a risk item to monitor any change in risk. The *Screen for Child*
362 *Anxiety Related Disorders* (Birmaher et al., 1997) (*SCARED*) is a 41-item scale used to
363 measure anxiety. The 13-item *Child Revised Impact of Event Scale* (Perrin, Meiser-Stedman,
364 & Smith, 2005) (*RIES-C*) measured post-traumatic stress symptoms (PTSS) in reference to a
365 negative event. The *Rosenberg Self Esteem Scale* (Rosenberg, 1965) (*RSES*) is a ten-item
366 measure of self-worth.

367 Measures of cognitive targets (Objective 2)

368 The *Autobiographical Memory Task* (Williams & Broadbent, 1986) (AMT) was
369 administered to measure memory specificity to ten cue words (five positive; five negative),
370 following Williams & Broadbent (1986) procedure and coding scheme. Participants were
371 given 60 seconds to respond to each cue word. The AMT was audio-recorded and the
372 responses co-rated. Responses were coded as specific, general categoric, general extended,
373 semantic association or omission. In the current study, inter-rater consistency (across all
374 categories) was excellent (93% agreement at T1; 92 % at T2; 96% at T3). The adult version
375 of the *Prospective Imagery Task* (Holmes, Lang, Moulds, & Steele, 2008; Stober, 2000)
376 (PIT) was adapted for use in young people (Pile & Lau, 2018) to measure vividness of
377 positive and negative future images. In addition to the adult version, participants were asked
378 to specify how often they have had this image before on a five-point scale. The *Self-Concept*
379 *Clarity scale* (Campbell et al., 1996; SCCS) is a twelve-item self-report measure of a
380 participant's confidence in being able to define themselves clearly. This was included as
381 memory specificity (and depression) is linked to having a clear sense of self. The *Children's*
382 *Response Style Questionnaire* (Abela, Vanderbilt, & Rochon, 2004) (C-RSQ) measured
383 cognitive responses to low mood, using twenty-five items across three subscales: ruminative
384 responses; distracting responses; and problem-solving responses. As response styles were not
385 directly targeted in the intervention, this was included to assess whether changes in cognitive
386 targets were unique to those targeted.

387 Incorporating technology (Objective 3)

388 The feasibility and acceptability of two tasks using technology was assessed. The
389 tasks were included at T1 and T2 (but not at T3 to limit burden on participants). The *Memory*
390 *Recall Task* measured participants' emotional response to a positive autobiographical

391 memory pre-intervention and a matched memory post-intervention (adapted from Gadeikis,
392 Bos, Schweizer, Murphy, & Dunn, 2017). Emotional response was measured using subjective
393 ratings of mood before and after recall, where participants were asked to rate four subscales
394 for positive affect (happy, joyful, excited, energetic) and four for negative affect (sad, angry,
395 nervous, and upset) on a Likert scale from 1 (not at all) to 9 (extremely). Heart rate variability
396 (HRV, recorded with Polar RCS800CX) was also recorded during this task. This was
397 administered using the software package, PsychoPy. Participants were asked to complete
398 *daily ratings of mood and social connectedness* for one week before and after the
399 intervention. They were asked to rate positive and negative affect (using same scales as
400 above) and to specify who they were with (family, friends, on my own, other: please specify)
401 each day at 6pm using a mobile phone app. Participants were asked to install an app on their
402 phone and prompted to complete the questions once per day (with a reminder) for seven days
403 pre-intervention and seven days post-intervention. If the app did not work for certain
404 participants' phones, then alternative methods were used that best suited the participant (for
405 example, text messages or providing them with a phone). In addition, homework tasks for the
406 ICBI intervention were delivered via mobile phones. Feasibility and acceptability were
407 assessed by the number of participants consenting to complete the assessment and
408 intervention tasks and data completeness.

409 **Data analysis**

410 Feasibility data is presented descriptively and flow through the trial is presented in a
411 standard CONSORT diagram. Descriptive statistics are reported for all other relevant
412 outcomes at each time-point by trial arm. These statistics are presented for the two follow-up
413 time points, using the intention-to-treat population (all participants randomised regardless of
414 adherence to treatment). Last observation carried forward was used for missing follow up
415 data. If any of the self-report measures had missing items, scales were pro-rated for an

416 individual if 20% or fewer items are missing. For all scales at all time-points, no participants
417 missed more than one item (for further details please see data completeness section). To
418 assess data entry quality, the data was checked using range checks and a small proportion of
419 the entered data (10%) was compared to the raw data by a member of the team blind to
420 participant allocation. All statistical analysis was performed in IBM SPSS Statistics, version
421 24 (Arbuckle, 2016). Formal statistical testing was not conducted as recent guidance
422 identifies that it is not appropriate as this is a feasibility trial and not powered for testing
423 hypotheses about effectiveness (Eldridge et al., 2016). Data for this study are available in
424 Mendeley Data [dataset](Pile, 2020).

425 Additionally, for the clinical and mechanistic outcomes, we estimated between-group
426 mean differences using ANCOVA with 95% confidence intervals (CI). The dependent
427 variable in each case was score at T2 or T3, with 2 independent variables: treatment condition
428 (ICBI vs. NDST) as a fixed factor and score at T1 (baseline score) as a co-variate. Between
429 group effect sizes were estimated using Cohen's d. This was calculated by dividing the mean
430 difference at T2 or T3 (from the relevant ANCOVA model) by the pooled standard deviation
431 at T1 (baseline), where pooled standard deviation = $\text{SQRT}[\frac{(n_1-1)SD_1^2 + (n_2-1)SD_2^2}{(n_1+n_2-2)}]$.
432 Similarly, 95% confidence intervals for d were calculated by dividing the unstandardized
433 95% CIs by the pooled baseline SD. Suggested interpretation for Cohen's d is small = 0.20;
434 medium = 0.50 and; large = 0.80 (Cohen, 1988). Effects are commented upon if $d > 0.2$. All
435 results presented use the intention-to-treat population, results were similar when analyses
436 were repeated using the per protocol population (only participants adhering to treatment
437 which is defined as completing at least three sessions, $n = 50$; see **supplementary material**
438 **D**).

439 In addition, the within group effect sizes (both for pre to post-intervention and pre-
440 intervention to follow-up) were calculated, using the formula: Cohen's d = $(M_{\text{POST/FU}}$ -

441 M_{PRE}/SD_{PRE} based on previous literature (Cohen, 1988; Ritter & Stangier, 2016). The 95%
442 confidence intervals for this effect size were calculated using the formula $d \pm$
443 $1.96 * \text{SQRT}(\text{Var})$ where variance is $[(n_1 + n_2 / n_1 * n_2) + (d^2 / 2(n_1 + n_2 - 2))] [n_1 + n_2 / (n_1 + n_2 - 2)]$.
444 (For all within group effect sizes please see **supplementary material E**). This was
445 calculated, first, to compare the change in depression score and memory specificity in the
446 trial with the case series (to check for replication) and, second, to describe whether the
447 control condition reduced symptoms of depression (although interpretation is limited by
448 potential confounding). For depression, we will also summarise individual MFQ scores
449 according to the reliable change index [(Jacobson & Truax, 1991), operationalised using
450 Morley & Dowzer (2014) guidelines] and the percentages of participants whose scores
451 reduced by the suggested clinically meaningful difference (10 points).

452 **Results**

453 **Sample characteristics.**

454 Fifty-six participants were randomly assigned to one of the two interventions (ICBI,
455 $n=29$; NDST, $n=27$). Baseline means for participant demographics, primary clinical and
456 cognitive measures are presented in **Table 1 and Table 2**. The majority of participants had
457 not been previously diagnosed with depression. Two had diagnoses of depression and anxiety
458 ($n=1$ ICBI; $n=1$ NDST) and two participants had a diagnosis of Autism Spectrum Conditions
459 ($n=1$ ICBI; $n=1$ NDST). In addition, seventeen participants had at least one other medical
460 diagnosis including Asthma ($n=9$); learning difficulties ($n=3$); Turner syndrome ($n=1$);
461 Irritable bowel syndrome ($n=2$); and sickle cell anaemia ($n=1$). Eleven participants were
462 taking medication, but none were taking medication for mental health difficulties. Thirteen
463 participants had previously visited their GP with concerns about depression ($n=6$, ICBI; $n=7$,
464 NDST). Eighteen participants had previously had a psychological intervention ($n=12$, ICBI;

465 n=6, NDST), with the majority having received counselling (n=16) with the remainder
466 receiving CBT (n=2).

467 AT T2 and T3, no participants had received new mental health diagnoses. Following
468 recommendation from the trial therapist, one participant sought help from their GP for sleep
469 difficulties, one participant was referred to CAMHS and one participant began school
470 counselling.

471 **Feasibility and acceptability**

472 Feasibility and adherence.

473 Our main feasibility outcomes are found in the consort diagram (**Figure 1**). Twenty-one
474 schools were contacted and five (24%) agreed to take part in the trial. 1020 young people
475 were potentially eligible to complete the screening questionnaire and 839 (82%) completed it.
476 Fifty-six participants were recruited into the trial over eleven months, therefore meeting
477 continuation rule 1. [In addition, 101 potentially eligible participants were not contacted by
478 the research team as the recruitment target was met (at the screening stage, it was explained
479 to participants that a random sample would be contacted)]. Continuation rule 2 was also met
480 as retention rates for the trial were 89% at T2 and T3. All participants completed all
481 questionnaire measures and the AMT at T1, except two participants who did not complete the
482 RIES-C at baseline (one due to a photocopying error and one because they were unable to
483 identify a negative life event). All participants who completed therapy (n=50, 89%)
484 completed all questionnaire measures and the AMT at T2 and T3.

485 In terms of therapy compliance, the groups were not dissimilar for the average number of
486 sessions completed, average number of sessions offered by the therapist and total contact
487 time (see **Table 1**; all $p>0.05$).

488 Acceptability.

489 Acceptability was measured by the feedback questionnaire (see **Table 3**). Overall,
490 participants were satisfied with both interventions, felt that the intervention they received had
491 helped them, and would recommend the interventions to a friend. The average acceptability
492 of the ICBI intervention was rated as 4.26 (out of 5) therefore meeting continuation rule 3.

493 Most participants felt happy with the length of the interventions (this is a score of three on
494 the scale). However, looking at the frequencies of responses in each group, the majority of
495 those in the ICBI group were “happy with the number of sessions” (n=21) with few asking
496 for “1-2 less” (n=3) or “1-2 more” (n=2) and one participant asking for “2+ more”. The
497 distribution was different in the NDST group with nine participants being “happy with the
498 number of sessions”; eight participants would have liked “1-2 more”; three “2+ more”; two
499 participants wanting “1-2 less” and one participant saying they would have liked “2+ less”.

500 Adherence

501 Independent rating of adherence to the intervention model (ICBI or NDST) indicated high
502 adherence to the interventions across all sessions rated (100% on 17 of 21 scales with
503 remaining scales being 89% or above) and there was no evidence of contamination across
504 interventions (i.e. intervention specific components were only found in the appropriate
505 interventions). Competency was at least satisfactory for all therapy components and the
506 average competence score for the vast majority of scales (80%) was above 5 (very good).

507 Safety of the intervention.

508 There were no serious adverse events, serious adverse reactions or suspected unexpected
509 serious adverse reactions during the trial. There were no high-risk acts of self-harm (requiring
510 medical attention, but not medical hospital admission). There were some risk issues reported
511 during the trial and safeguarding procedures were followed, including one participant
512 reporting physical abuse by parents; one reporting emotional abuse by parents; and one

513 reporting non-suicidal self-injury (unrelated to intervention and not requiring medical
514 attention). These events had all begun before the participant started the trial but were reported
515 within therapy rather than during the baseline assessment. As none of these events were
516 deemed to be related to the trial by the TSC, continuation rule 4 was met.

517 **Symptom measures**

518 Descriptive statistics are presented in **Table 2** and estimates of between-group mean
519 differences in **Table 4**. All symptom measures showed change in the expected direction (i.e.
520 decreases in symptoms of depression, anxiety, PTSS and increases in self-esteem) or no
521 change for both groups.

522 For depressive symptoms, both groups showed a decrease in depressive symptoms from
523 T1 to T2 and a further decrease at T3. For group differences, there were large effect sizes in
524 favour of ICBI at T2 ($d = -1.34$, 95% CI [-1.87, -0.80]) and at T3 ($d = -0.96$, 95% CI [-1.59, -
525 0.33]) with 95% CIs not including zero. The within group effect sizes indicated large effect
526 sizes for decreases in depression score in the ICBI group (T2: $d = -1.94$ [-2.58, -1.30]; T3: -
527 2.07 [2.73 to -1.42]); the NDST group showed small effect sizes at T2 and large effect size at
528 T3 (T2: $d = -0.45$ [-1.00 to 0.10]; T3: -0.92 [-1.49 to -0.35]). In the ICBI group, 86% at T2
529 and 76% at T3 of participants showed reliable change; 72% at T2 and 69% at T3 of
530 participants reduced their scores by ten or more points. In the NDST group, 33% at T2 and
531 63% at T3 of participants showed reliable change; 19% at T2 and 41% at T3 of participants
532 reduced their scores by ten or more points. Depression scores also decreased in both groups
533 each session according to the Short MFQ questionnaire (see **Figure 2**) with decreases
534 appearing larger in the ICBI group.

535 There was a decrease in anxiety symptoms for both groups across the time points. There
536 was a medium effect ($d = -0.51$, [-0.89, -0.12]) at T2 and a small effect at T3 ($d = -0.40$ [-0.88,

537 0.08]) in favour of ICBI for reducing anxiety symptoms, the 95% CIs at T2 did not include
538 zero but did at T3. Post-traumatic stress symptoms (PTSS) showed a decrease across time
539 points in the ICBI group. There was little change in PTSS from T1 to T2 in the NDST group
540 but a decrease at T3. Self-esteem showed a small increase for both groups across time-points.
541 There was a small group effect, in favour of the ICBI group, for reducing PTSS at both time-
542 points (T2: $d = -0.35 [-0.82, -0.12]$; T3: $d = -0.34 [-0.86, 0.18]$) and increasing self-esteem at
543 T2 ($d = 0.34 [-0.05, 0.73]$), however 95% CIs included zero.

544 **Measures of cognitive targets**

545 Please refer to **Table 2** for descriptive statistics and **Table 4** for estimates of between-
546 group mean differences. For memory specificity as measured by the AMT, change was in the
547 expected direction for ICBI (i.e. improvement) with little change in the NDST group. For
548 group differences, there was a medium/large effect at T2 ($d = 0.79 [0.35, 1.23]$) and a
549 medium effect at T3 ($d = 0.63 [0.19, 1.06]$) in favour of ICBI for increasing memory
550 specificity. The 95% CIs did not include zero. The within group effect sizes indicated a large
551 increase in memory specificity in the ICBI group (T2: $d = 0.91 [0.35, 1.46]$; T3: $0.89 [0.34$ to
552 $1.44]$). The NDST group showed very little change at T2 and a small change at T3 (T2: $d =$
553 $0.09 [0.46$ to $0.63]$; T3: $0.23 [-0.31$ to $0.78]$).

554 For all other measures, the CIs included zero. Positive image detail and frequency was
555 expected to increase whilst negative image detail and frequency to decrease. For positive
556 image detail, the ICBI group showed an increase from T1 to T2 (and little difference between
557 T1 and T3) whereas the NDST group showed little change. For positive image frequency, the
558 ICBI group showed a small increase across the time points whilst the NDST showed a small
559 decrease. At T2, there was a small group effect in favour of ICBI for positive image vividness
560 ($d = 0.44 [-0.03, 0.92]$) and for positive image frequency ($d = 0.31, [-0.14, 0.77]$).

561 Change was also in the expected direction for negative imagery with (small) decreases in
562 negative image detail and frequency for both groups from T1 to T2 and from T1 to T3. There
563 were small group effects in favour of ICBI for reducing negative image frequency at T2 ($d =$
564 $-0.37 [-0.85, 0.11]$) and at T3 ($d = -0.23 [-0.77, 0.31]$).

565 Self-concept clarity showed increases (as expected) for both groups across time-points
566 and no between group effects were observed. For more adaptive responses to low mood, it is
567 considered to be positive to see decreases on the rumination scale and increases on the
568 distraction and problem-solving scales. Rumination showed a decrease for both groups at
569 both time-points. There was little change for distraction or problem-solving in either group.
570 There was a medium between-group effects at T3 ($d = -0.45 [-0.94, 0.04]$) for rumination in
571 favour of ICBI. For distraction, there were small between-group effects at T2 ($d = -0.20 [-$
572 $0.52, 0.11]$) in favour of the NDST group. There was a small between-group effect for use of
573 problem-solving at T3 ($d = 0.30 [-0.10, 0.70]$) in favour of the ICBI group.

574 **Feasibility and acceptability of incorporating technology**

575 For the memory recall task, at T1 all participants completed the subjective mood ratings
576 ($n=56$) and heart rate data was collected for 52 of these participants. At T2, subjective mood
577 ratings were collected for 49 participants (equipment failure meant data was not collected for
578 one participant). Heart rate data was obtained for 46 participants. At both time points, the
579 heart rate equipment did not work for three participants and one participant did not consent to
580 wear the monitor. For the daily ratings of mood at T1, 52 participants (27 in ICBI and 25 in
581 NDST) completed at least 3 days of ratings and 46 completed at least 5 days of ratings (25 in
582 ICBI and 21 in NDST). At T2, 31 participants (15 in ICBI and 16 in NDST) completed at
583 least 3 days of ratings and 23 completed at least 5 days of ratings (10 in ICBI and 13 in
584 NDST).

585 Compliance with completing the memory specificity training on the mobile application
586 was highly variable (\bar{x} =12.52; SD= 7.95; range 0-21). The mobile application was not
587 compatible with several of the participant's phones (n=10 in IBCI group). These participants
588 were provided with a phone to complete these tasks on, but this may have impacted on
589 compliance (participants completing MEST on their own phone \bar{x} =14.65; SD= 5.82; range 2-
590 21; participants completing MEST on trial phone: \bar{x} =8.9; SD= 9.48; range 0-21).

591 Discussion

592 The primary aim of this early-phase RCT was to investigate the feasibility, acceptability
593 and safety of the trial methodology and two interventions (imagery-based cognitive
594 behavioural intervention, ICBI, and non-directive supportive therapy, NDST). Our key
595 criteria for proceeding to a definitive RCT were satisfied: we recruited 100% of the target
596 sample in eleven months; retention rates were high (89% at T2 and T3); average acceptability
597 of the interventions was above satisfactory and; there were no indications of harm arising
598 from the trial or interventions. Another key aim was to estimate the likely effect size of ICBI
599 on depressive symptoms, relative to a matched control intervention currently endorsed in
600 NICE guidelines for adolescent depression. The results suggest that ICBI, relative to NDST,
601 may have a large effect on reducing depressive symptoms and in leading to changes in a key
602 risk factor for relapse (OGM; Sumner et al., 2011; Sumner, Griffith, & Mineka, 2010). The
603 depression score at T2 (primary clinical endpoint) suggests large effect size superiority at
604 both the lower and upper end of the 95% CI. Encouragingly, this large effect was maintained
605 at follow-up. These differences suggest that the intervention has clinical potential as d (and
606 the lower band of the 95% CI) was greater than the minimum clinically important difference
607 identified in previous literature (0.24-0.5; Bell et al., 2018; Cuijpers et al., 2014) In general,
608 changes in the symptoms and in the cognitive mechanism were in the expected direction from

609 pre to post intervention. Finally, incorporating technology into assessment and treatment
610 garnered mixed success with further consideration of how to best deliver these techniques
611 required. The results suggest that the intervention has clinical potential and now requires
612 evaluation in a definitive trial.

613 Primarily, our results indicate that the trial methodology and interventions are feasible to
614 deliver in a school-setting, acceptable to participants and that there were no safety concerns
615 associated with the trial or interventions. Therapy compliance was similar for both
616 interventions with all participants who completed the interventions attending at least three
617 sessions. Adherence to the therapy model by the therapist was at least satisfactory with no
618 evidence of contamination. Acceptability ratings for both interventions were also good, and
619 participants were mostly satisfied with the number of therapy sessions. This is encouraging as
620 most school-based prevention and early intervention programs for depression are
621 significantly longer (Calear & Christensen, 2010; Werner-seidler, Perry, Calear, Newby, &
622 Christensen, 2017).

623 Both interventions produced reductions in depressive symptoms, however there were
624 large between group effect sizes indicated for ICBI relative to NDST. These large beneficial
625 effects were maintained at follow-up. On average, the ICBI group demonstrated an 11-point
626 decrease on the depression measure (MFQ) relative to the NDST group. Previous studies
627 have considered a difference of ten points clinically meaningful and important (Smith et al.,
628 2015) and other studies have stipulated that only five points on the MFQ represents a
629 clinically important difference (Goodyer et al., 2016). Treatment effect sizes for early
630 interventions for depression range greatly (e.g. a review of school-based early intervention
631 programmes for depression identified that around half of the trials demonstrated a significant
632 reduction in depressive symptoms, and these trials had effect sizes of between $d = 0.21$ and d
633 $= 1.40$; Calear & Christensen, 2010) and the vast majority of these trials have employed only

634 a wait-list control group. The effect sizes in the current study are at the top end of this
635 spectrum and relative to an active control. This is important as a large study comparing CBT
636 with a brief psychosocial intervention found no superiority effect on depressive symptoms
637 (Goodyer et al., 2016) and some suggest that much of the effect of therapy for (adult)
638 depression is due to non-specific factors (Cuijpers et al., 2012). There was also a reduction in
639 symptoms of anxiety in both groups, with a medium effect at T2 and a small effect at T3,
640 both in favour of ICBI. It would perhaps be unsurprising if the intervention had trans-
641 diagnostic effects. Having an excess of negative past images and higher vividness of negative
642 images has been linked with anxiety in adults (Hirsch, Clark, Mathews, & Williams, 2003;
643 Morina, Deeprose, Pusowski, Schmid, & Holmes, 2011) and adolescents (Pile & Lau, 2018,
644 2020) and imagery procedures have also successfully been used to target self-images in
645 adults with social anxiety (Wild et al., 2008).

646 The within group effect sizes give some indication of whether the results from the case
647 series (Pile et al., 2020) can be replicated and whether symptoms of depression decrease with
648 NDST, although these should be interpreted with caution as within group effects may be
649 subject to confounding. For the ICBI group, the within group effect sizes at T2 for reducing
650 depressive symptoms in the trial ($d = -1.94$, 95% CI [-2.58, -1.30]) were in keeping with the
651 large effect found in the case series ($d = -1.32$, 95% CI [-2.41, -0.22]) and large effects were
652 found for increasing memory specificity in both (trial, $d = 0.91$, 95% CI [0.35, 1.46]; case
653 series: $d = 1.80$, 95% CI [0.62, 2.98]). For NDST, there was a small/large within group effect
654 on depression symptoms (T2: $d = -0.45$, 95% CI [-1.00 to 0.10]; T3: -0.92 , 95% CI [-1.49 to -
655 0.35]) but a much smaller effect of memory specificity (T2: $d = 0.09$ [0.46 to 0.63]; T3: 0.23
656 [-0.31 to 0.78]). This suggests that NDST reduces depressive symptoms and is a valid active
657 control yet does not ameliorate a key cognitive mechanism targeted in ICBI. However,
658 identifying the most appropriate control intervention is challenging. NDST was chosen as it is

659 recommended by NICE guidelines, is as close as possible to what youth with depression
660 would receive in schools and controls for non-specific therapist factors. There is the
661 possibility that it under-performed, especially given that the number of sessions of NDST (i.e.
662 four sessions) was determined by the format of the experimental intervention. Given the huge
663 range of effect sizes generated by previous studies (e.g. $d = 0.21$ to $d = 1.40$; Callear &
664 Christensen, 2010), it is difficult to know what effect size to expect from the control group.
665 There is the possibility that we might find smaller between-group effect sizes if we had
666 compared the imagery treatment to another therapy that targetted specific cognitive
667 mechanisms (e.g. CBT).

668 In terms of cognitive targets, results indicated improvements in memory specificity for
669 the ICBI group and a medium/large between-group effect size in favour of ICBI. The changes
670 in the self-rated measures of negative and positive imagery vividness (and frequency) were
671 small but in the expected direction for the ICBI group. There were some group differences
672 observed for improving positive imagery (vividness and frequency at T2) and reducing
673 negative imagery frequency (at T2 and T3) in favour of the ICBI group but these were small
674 (with the 95% confidence intervals including zero). A future trial would benefit from careful
675 consideration of how best to measure and observe changes in these complex psychological
676 processes in adolescents, for example evaluation may benefit from the development of an
677 experimental measure of imagery vividness (Pearson, Deeproose, Wallace-hadrill, Burnett, &
678 Holmes, 2013). We have not investigated associations between changes in symptomatology
679 and changes in cognitive targets as this was a feasibility RCT and so statistical testing is
680 considered not appropriate and is likely to be underpowered (Eldridge et al., 2016). Similarly,
681 we adopted an integrative approach to developing this intervention, so do not know which
682 techniques or mechanisms are driving the observed symptom changes. Meta-analyses in
683 adults have indicated that memory specificity alone only produces small effects on depression

684 (Hitchcock, Werner-Seidler, Blackwell, & Dalgleish, 2017). Imagery rescripting has
685 demonstrated much larger effects on symptoms across different disorders (Morina et al.,
686 2017) although there has been no prior research in adolescent depression (except our case
687 series (Pile et al., 2020)). OGM and dysfunctional emotional mental imagery are inherently
688 linked and likely to have a reciprocal relationship [e.g. many ascribe a central role of
689 imagery-based processes in remembering specific autobiographical events (Conway &
690 Pleydell-Pearce, 2000; Holmes et al., 2016)]. They, therefore, may influence each other to
691 maintain symptoms of depression. We suggest that using IR and MEST in combination may
692 target dysfunctional mental imagery and OGM more powerfully than either used in isolation.
693 We also suggest value in targeting both negative and positive imagery, rather than either
694 alone. For example, to first use imagery rescripting to reduce the impact of intrusive images
695 and free up cognitive capacity to imagine a positive future, which is then enhanced in
696 therapy. A future trial would benefit from including a more extensive embedded mechanism
697 study to clearly clarify the underlying processes contributing to therapeutic change.

698 A third aim was to incorporate technology to enhance assessment and intervention.
699 Unfortunately, technology complicated the assessment with it being difficult to fit the heart
700 rate monitors and the mobile application sometimes being incompatible with participants'
701 phones. Almost all participants consented to wear the heart rate monitor and complete the
702 daily mood ratings. However, compliance for the mood ratings with mixed and much lower
703 post intervention (46% of those finishing therapy completed at least 5 days of ratings) than
704 pre-intervention (82% completed at least 5 days). Completing the homework tasks on mobile
705 phones may be of benefit, with most participants completing over half of the memories and
706 some participants reporting finding the process valuable. However, several adjustments need
707 to be made to the technology in order to enhance the user experience. The relationship
708 between compliance and therapy outcomes would be interesting to explore in a future study,

709 given that some research in youth with anxiety disorders suggests no link between them
710 (Arendt, Thastum, & Hougaard, 2016).

711 A major limitation is that both interventions were delivered by the same person who
712 developed ICBI and this represents a risk of allegiance. Another risk is contamination as the
713 therapist may employ additional techniques, for example cognitive behavioural techniques in
714 response to risk issues. To reduce the risk of allegiance bias and of contamination, sessions
715 were recorded and a random sample of sessions were independently rated by a clinical
716 psychologist for adherence to each protocol and for competence of delivery. Furthermore,
717 contact time and participant rated acceptability was similar for the interventions. This
718 methodology is appropriate as a first test of efficacy, as it enabled us to reduce any
719 heterogeneity that may be introduced by having several therapists and increase sensitivity by
720 delivering the interventions optimally (Ioannidis, 2016). However, future trials should have a
721 broader range of therapists and ultimately replication by an independent group would be
722 useful. The intervention also needs to be delivered by the target workforce to see whether
723 similar effects can be generated. Whilst, the workbook and therapist manual style of the
724 intervention lends itself to delivery by individuals without extensive training in psychological
725 therapy, this remains to be tested. Another limitation is that we do not know whether
726 participants would meet diagnostic criteria for depression. Participants were required to be
727 scoring above clinical cut-off for depression for two weeks before starting the intervention,
728 but a diagnostic interview was not completed. This decision was made following consultation
729 with lived experience representatives and teachers and reflects clinical services in the UK,
730 where self-reported symptom severity rather than diagnoses guide clinical decision making
731 (e.g. <https://cypiapt.com/>; Gyani, Shafran, Layard, & Clark, 2013).

732 In terms of clinical implications, this feasibility RCT suggests that ICBI could be an
733 effective brief intervention for those experiencing high symptoms of depression (e.g. scoring

734 above clinical cut-off and meeting criteria for child and adolescent mental health services).
735 As the intervention targets robust maintaining factors for depression (e.g. intrusive imagery,
736 overgeneral memory) and both the case series and current RCT included young people with a
737 range of depression severity (i.e. there was no exclusion criteria for high severity), it may also
738 be usefully deployed as an adjunct to other therapies or as standalone intervention for more
739 severe depression. However, this requires further investigation and future studies could
740 investigate whether depression severity at baseline is a predictor of treatment response.

741 Here, we have demonstrated feasibility, acceptability and safety of the methodology
742 and interventions. Initial estimates of the effect size in reducing depressive symptoms suggest
743 that the intervention has clinical potential. This was an early stage trial aiming to estimate
744 likely effect sizes to adequately power a larger later stage trial which would determine the
745 statistical and clinical significance of treatment effects. The range of effect size estimates
746 may now be used alongside other considerations to inform power calculations for a fully
747 powered definitive RCT evaluating the efficacy of ICBI as an early intervention for
748 adolescent depression. This mental imagery-based intervention (tackling both negative and
749 positive future imagery, in a relatively brief and simple way that can be delivered in a school
750 setting) has been translated from basic science and informed by current frontline
751 interventions to provide an alternative to current interventions for adolescent depression.

752

753

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1069 **Table 1:** Baseline sample characteristics and measures of intervention compliance

	ICBI (n=29)	NDST (n=27)
Age	\bar{x} =17.093 (SD = 0.570)	\bar{x} =17.044 (SD = 0.512)
Percentage female	62.1%	59.3%
Percentage Caucasian	27.6%	22.2%
Number of sessions completed	\bar{x} =3.66	\bar{x} =3.59
Range	0-4	0-4
Number of sessions offered	\bar{x} = 4.24	\bar{x} = 4.37
Range	0-6	3-6
% of offered sessions attended	86.18%	84.07%
Average contact time (minutes)	\bar{x} =215.83	\bar{x} =200.19
Range	0-306	0-305

Table 2: ITT Means and standard deviations for measures of clinical symptoms and cognitive targets (n=29 for ICBI; n=27 for NDST)

	T1				T2				T3			
	ICBI		NDST		ICBI		NDST		ICBI		NDST	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
MFQ	33.69	7.58	32.78	8.60	19.00	11.05	28.93	11.21	17.97	11.77	24.88	12.17
SCARED	40.86	12.73	36.55	12.10	32.68	14.44	34.95	14.71	30.38	15.86	32.06	12.66
RIES-C*	39.59	15.44	34.95	11.32	32.24	15.28	34.59	12.80	25.34	15.55	27.59	14.24
RSES	23.10	4.81	22.52	4.27	25.17	4.74	23.19	4.70	25.38	4.56	24.33	3.52
AMT	5.55	2.40	5.56	2.53	7.72	1.77	5.78	2.83	7.69	1.63	6.15	2.82
PIT Positive	23.29	5.01	23.47	4.09	24.79	6.21	22.93	5.70	23.69	5.51	24.07	5.55
PIT Negative	25.54	5.81	24.81	2.77	23.95	5.48	23.67	3.58	24.33	5.88	23.06	3.89
PIT Freq Positive	20.80	4.32	22.41	4.31	20.91	5.73	21.20	6.23	21.29	5.92	21.98	5.65
PIT Freq Negative	22.60	4.61	21.81	3.14	20.05	4.97	21.04	3.36	19.94	4.62	20.38	4.51
SCCS	29.79	6.43	30.63	5.53	31.48	7.30	33.11	6.92	32.34	8.07	32.85	7.06
Rumination	37.93	6.89	37.13	6.22	35.62	8.45	35.70	6.75	32.38	8.42	34.85	5.63
Distraction	15.24	3.83	15.41	3.26	14.72	3.51	15.56	2.68	15.55	3.74	16.30	4.27
Problem solving	10.69	3.36	11.37	2.48	10.38	3.20	10.96	2.67	11.52	3.39	11.11	2.62

*Please note that for RIES-C, n=28 for ICBI and n=26 for NDST. T1 = assessment point prior to intervention; T2 = assessment point after intervention; T3 = three months following the post assessment. ICBI = Imagery –based cognitive behavioural intervention; NDST = non-directive supportive therapy. SD = standard deviation. MFQ = Mood and Feelings Questionnaire; SCARED = Screen for Child Anxiety Related Disorders; RIES-C = Child Revised Impact of Event Scale: child version; RSES = Rosenberg Self Esteem Scale; AMT = Autobiographical Memory Task; PIT = Prospective Imagery Task; Pos = Positive; Neg= Negative; Freq = Frequency; SCCS = Self-Concept Clarity scale.

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Table 3: Quantitative feedback on the acceptability of the intervention (means and standard deviations). Data is only from participants who completed T2 (ICBI, n=27; NDST, n=23). The scales are 1-5 with 5 being the most positive answer (e.g. very satisfied) unless otherwise specified.

	Satisfaction	Extent to which intervention has helped	Recommend to a friend	Number of sessions <small>Alternative scale used¹</small>
ICBI	4.26 (0.66)	4.26 (0.59)	3.96 (0.90)	3.04 (0.59)
NDST	3.96 (0.88)	4.04 (0.71)	4.17 (0.58)	3.44 (0.99)

1. For this scale, 3 is the most positive answer indicating that they are happy with the number of sessions. 1 and 2 indicate preference for fewer sessions and 4 and 5 indicate preference for more sessions. ICBI = Imagery – based cognitive behavioural intervention; NDST = non-directive supportive therapy.

Table 4: Effect of group for clinical and cognitive measures using intention-to-treat analysis. Unstandardized parameter estimates from the ANCOVA and Cohen's d for each variable are reported.

	T2						T3					
	B	95% CI of B		d	95% CI of d		B	95% CI		d	95% CI of d	
MFQ	-10.80	-15.13	-6.48	-1.34	-1.87	-0.80	-7.75	-12.83	-2.67	-0.96	-1.59	-0.33
SCARED	-6.33	-11.12	-1.55	-0.51	-0.89	-0.12	-4.98	-10.92	0.96	-0.40	-0.88	0.08
RIES-C	-4.74	-11.17	1.70	-0.35	-0.82	0.12	-4.61	-11.68	2.46	-0.34	-0.86	0.18
RSES*	1.56	-0.24	3.35	0.34	-0.05	0.73	0.76	-1.11	2.64	0.17	-0.24	0.58
AMT	1.95	0.87	3.03	0.79	0.35	1.23	1.54	0.47	2.62	0.63	0.19	1.06
PIT Pos	2.03	-0.15	4.21	0.44	-0.03	0.92	-0.24	-2.36	1.89	-0.051	-0.51	0.41
PIT Neg	-0.25	-1.97	1.50	-0.055	-0.43	0.33	0.71	-1.18	2.60	0.15	-0.26	0.56
PIT PosFreq	1.44	-0.65	3.53	0.31	-0.14	0.77	0.90	-1.29	3.08	0.19	-0.28	0.67
PIT NegFreq	-1.47	-3.37	0.43	-0.37	-0.85	0.11	-0.91	-3.05	1.23	-0.23	-0.77	0.31
SCCS	-0.98	-3.90	1.94	-0.16	-0.65	0.32	0.27	-2.51	3.06	0.046	-0.42	0.51
Rumination	-0.65	-3.95	2.65	-0.099	-0.60	0.40	-2.97	-6.20	0.26	-0.45	-0.94	0.04
Distraction	-0.72	-1.84	0.40	-0.20	-0.52	0.11	-0.64	-2.39	1.12	-0.18	-0.67	0.31
Problem solving	-0.16	-1.43	1.10	-0.056	-0.48	0.37	0.89	-0.30	2.08	0.30	-0.10	0.70

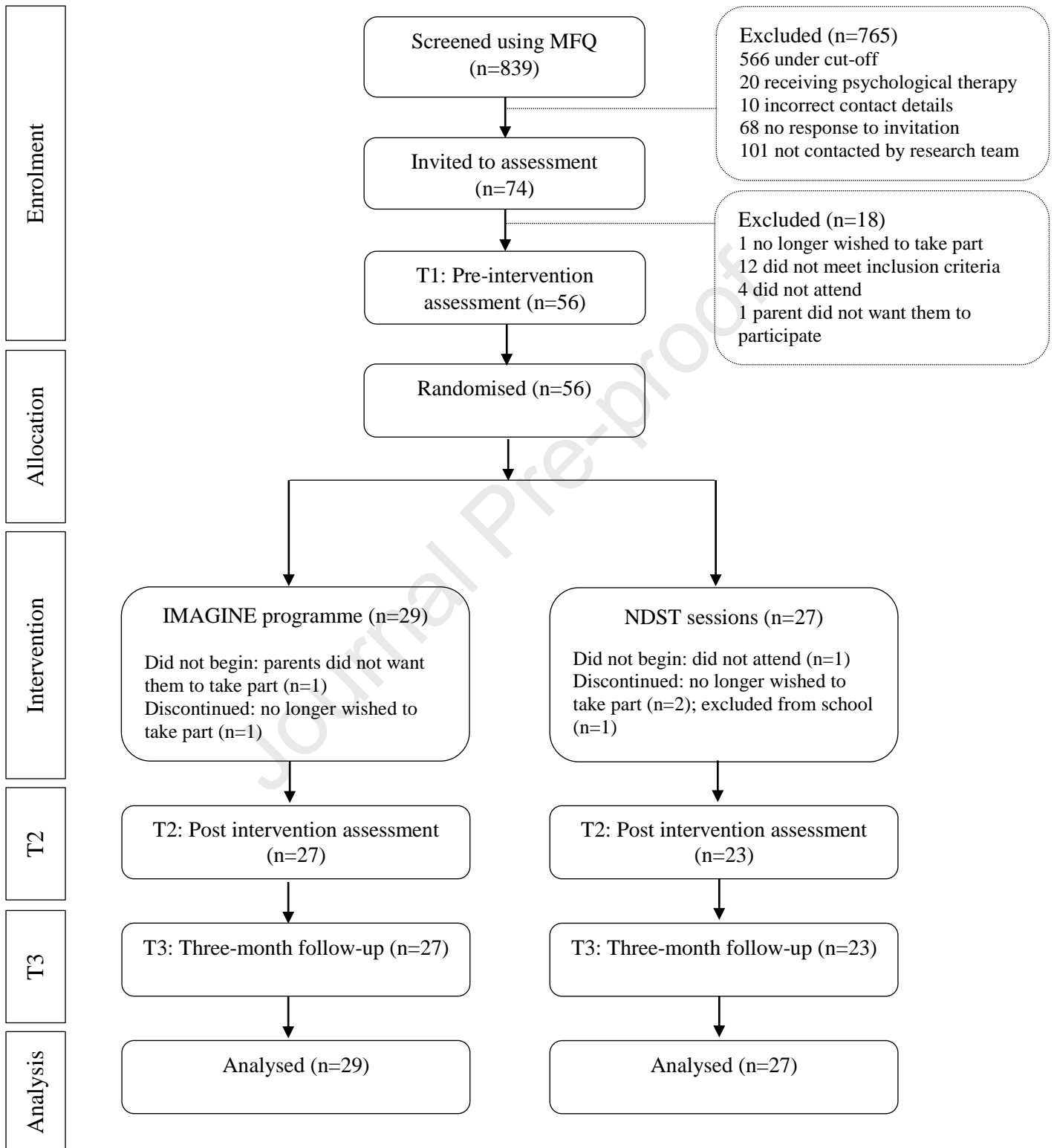
*Please note that for RIES-C, n=28 for ICBI and n=26 for NDST. T2 = assessment point after intervention; T3 = three months following the post assessment. MFQ = Mood and Feelings Questionnaire; SCARED = Screen for Child Anxiety Related Disorders; RIES-C = Child Revised Impact of Event Scale: child version; RSES = Rosenberg Self Esteem Scale; AMT = Autobiographical Memory Task; PIT = Prospective Imagery Task; Pos = Positive; Neg= Negative; Freq = Frequency; SCCS = Self-Concept Clarity scale.

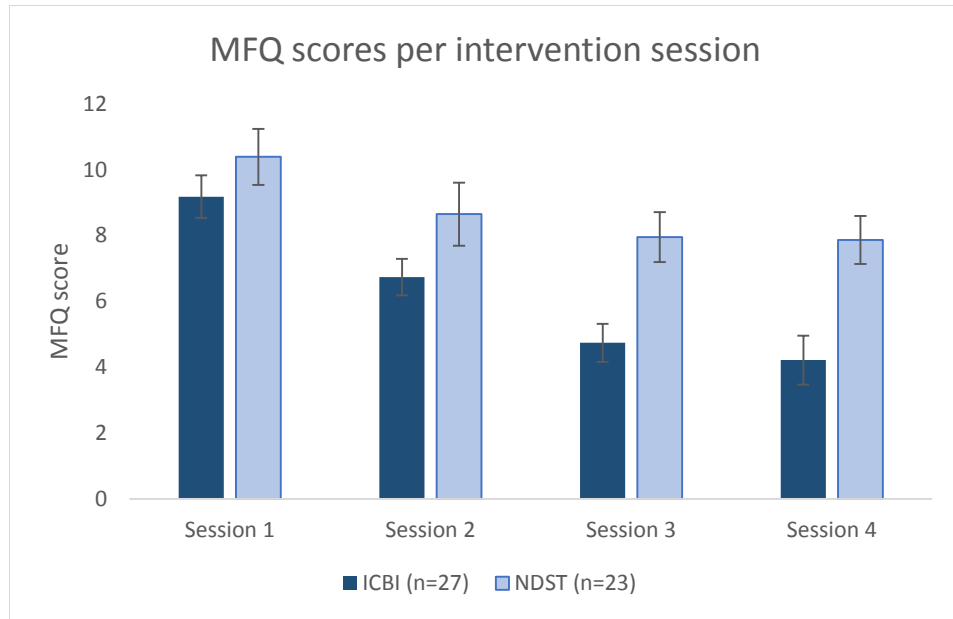
Figure 1: Flow through trial in CONSORT diagram

Figure 2: Mean MFQ scores for each group (ICBI, n=27; NDST, n=23) for those completing the intervention session with error bars indicating standard error (please note that n=23 for ICBI in session 4). The MFQ was completed at the beginning of each intervention session.

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Figure 1: Flow through trial in CONSORT diagram





Highlights

- Trial evaluated a mechanistically focused intervention for adolescent depression.
- Imagery-based intervention (ICBI) compared to non-directive supportive therapy.
- All continuation rules were met for feasibility and acceptability.
- Large between group differences in depressive symptoms and memory specificity.
- Definitive trial indicated to determine treatment efficacy of ICBI.

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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