**1** How effective is direct seeding to restore the functional composition of neotropical

2 savannas?

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- 4 **Running head:** Restored neotropical savannas have acquisitive traits
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- 25 **Conflict of interest**
- 26 The authors have no conflict of interest to declare.
- 27

#### 28 Abstract

29 Species loss leads to changes in ecosystem function and services, impacting human well-being. Although biodiversity restoration is pivotal to circumvent this 30 situation, the techniques for restoring old-growth savannas are still limited and the 31 restoration outcomes remain unpredictable. Here, we use a trait-based approach to 32 33 understand the functional outcomes of ecological restoration via direct seeding in a Brazilian savanna (cerrado, hereafter neotropical savanna). We compared the functional 34 35 composition from woody and non-woody component, total biomass and biomass 36 allocation of a restored relative to a degraded savanna (abandoned pasture) dominated by exotic grasses and a well-preserved old-growth native savanna. We found that the 37 functional composition of restored communities was very similar to those dominated by 38 exotic grasses, both characterized by a greater dominance of species with acquisitive 39 traits, higher above-ground biomass, and lower investment in root biomass. In contrast, 40 the native vegetation exhibited a dominance of conservative traits and higher investment 41 42 in belowground rather than aboveground biomass. Even though the acquisitive traits in 43 the restored savanna allow a fast aboveground biomass accumulation and soil cover, the lower belowground biomass investment in the restored savanna may limit its resistance 44 45 and resilience to droughts and fires. Our findings suggest that restoration efforts in neotropical savanna should focus on fostering the establishment of slow-growing 46 47 species to recover the ecosystem properties provided by the high biodiversity in neotropical savannas. 48 Key words: Acquisitive; Cerrado; Conservative; Resprouters; Restoration practice; 49

50 Seeders.

51 Implications for Practice

- Current large-scale techniques for restoring neotropical savannas may not allow
  for the recovery of the slow-growth component of old-growth savannas.
- Savanna restoration using fast-growth species may threaten long-term
   restoration success, through generating low ecosystem resilience.
- Fast aboveground biomass and soil cover recovery do not fully prevent the risk
  of exotic invasion.
- To restore functional diversity from old-growth savanna the direct seeding may
   need to be combined with other techniques, for example bud banks transplant.

60

## 61 Introduction

The process of human occupation in the tropics led to the conversion of hyper-62 63 diverse native vegetation into degraded ecosystems (Barlow et al., 2018, IBPES 2019). Especially in this decade, the United Nations will support a "Decade on Ecosystem 64 65 Restoration" from 2021 to 2030, an attempt to restore lost ecosystem services and stem the rapid decline of biodiversity (UNEP & FAO 2020, Strassburg et al., 2020). Most 66 restoration knowledge is focused on forest successional trajectories, involving mainly 67 woody plants (Silveira et al. 2020; Veldman, Buisson, et al. 2015). However, the old-68 69 growth savannas occupy >40% of the land surface (Veldman, Buisson, et al., 2015). 70 These old-growth ecosystems include neotropical savannas with open-canopy where >50% of the ground layer is covered by grasses (Veldman, Buisson, et al., 2015). 71 72 Although most of the vegetation is composed of grass and herbs, these components of 73 vegetation have been widely neglected for conservation (Veldman, Overbeck, et al. 74 2015; Parr et al. 2014; Bond 2016). Thus, studies seeking to understand how to 75 effectively restore neotropical savannas are imperative. Achieving restoration success in 76 neotropical savannas depends on adequate context-specific knowledge to restore functional diversity, ecosystem functions and resilience (Buisson et al. 2020). 77

78 In recent decades, the Brazilian savanna (*cerrado*, hereafter neotropical savanna) lost 88 Mha (46%) of its original cover, and only 20% remains well-preserved 79 (Strassburg et al., 2017). The invasion of non-native plant species is among the main 80 causes of species loss and ecosystem degradation (Veldman et al., 2014). These 81 invasions occur by conversion of native vegetation to livestock pasture or agricultural 82 83 fields that deplete the seed and especially the underground bud bank, trapping the system in a degraded state. Therefore, these areas have slow natural regeneration and 84 requires active restoration practices (Ferreira et al. 2017). Meanwhile, neotropical 85 savanna restoration is still in its infancy relative to forest restoration and the success of 86 87 most existing techniques remain uncertain at a large scale. The restoration of these ecosystems requires very different approaches than major forest restoration based on 88 tree plantation and successional trajectories (Veldman et al. 2019; Andrade et al. 2015). 89 90 In fire-prone ecosystems, natural plant populations persist mostly through clonal 91 reproduction (Pausas et al. 2018), and the investment in belowground structures (e.g., roots and bud banks) is the main mechanism by which plants re-sprout after 92 93 disturbances, boosting savanna resilience over disturbances (Ott et al. 2019). Even 94 though there is an increasing number of neotropical savanna restoration studies, just a

small number of successful models applies to the broad scale (Bustamante et al. 2019;
Schmidt et al. 2019). Furthermore, the main challenge in restoration practices of
neotropical savannas is the recovery of the belowground component of these
communities as a way to ensure higher resilience.

99 Restoration practices that aim to convert abandoned pastures into biodiverse 100 savannas need to deal with the potential invasion from persistent seed- and/or bud-bank of exotic grasses (Gorgone-barbosa et al. 2016; Dantas-Junior et al. 2018). After land 101 102 clearing and soil liming, alien grasses are often introduced as forage to feed the 103 livestock or disperse from former pastures in the vicinity. Alien grasses frequently 104 outcompete the native species when the soil is disturbed through liming, altering the 105 ecosystem to a degraded state which also limits savannas' restoration success 106 (D'Antonio & Meyerson 2002; Damasceno et al. 2018; Zenni et al. 2019). The potential 107 restoration activity to reduce non-native invasion is to strategically increase the 108 abundance of native species that are functionally similar to the non-native species 109 (D'Antonio & Chambers, 2011; Funk, Cleland, et al. 2008). Invasive grasses are usually 110 fast-growing species and exhibit high aboveground productivity (Milbau & Nijs 2004). Generating fast soil cover by native grasses is a restoration practice which could 111 112 potentially hamper the invasive potential of exotic grasses (Sampaio et al. 2019). 113 However, invasive plants often generate strong priority effects, self-induced soil 114 modifications that can persist after their removal or death, commonly termed "soil legacies" (Hess et al. 2019, Wolfsdorf et al 2021), and prevent the establishment of 115 116 native species. Evaluating the functional composition of restored communities may offer insights about restoration success and help to predict vegetation trajectories. 117

Trait-based approaches have contributed to our understanding of the 118 119 mechanisms driving community assembly (HilleRisLambers et al. 2012) and have been 120 extended to explain ecosystem functions and processes (Funk et al. 2008). This 121 approach considers the ecological niche as a result of the knowledge of different axes of plant-trait variation. One of the most comprehensive trait variation axes is the fast-slow 122 continuum (Wright et al. 2004). Environments with high resources are characterized by 123 the dominance of plants with traits associated with fast resource acquisition and the 124 125 maximum allocation of resources to growth (Wright et al. 2004). Conversely, in 126 environments where soil nutrients or climate limits plant growth, we expect dominant 127 species to exhibit traits that reflect the conservation of long-lived tissues (Oliveira et al. 128 2021; Wright et al. 2004; Jardine et al. 2020). Several studies have used a trait-based

approach for improving ecological restoration outcomes (Funk et al. 2008; Laughlin 129 130 2014) and a growing number of empirical studies have linked specific traits to plant establishment, survival, and persistence in restored systems (Larson & Funk 2016; 131 132 Zirbel & Brudvig 2020). However, trait-based approaches of neotropical savannas 133 restoration practices remain unknown, especially field-based studies with a focus on individual traits (Laughlin 2014). Several studies use traits from literature databases, not 134 taking into account the trait-dependent context (Passaretti et al. 2020; Coutinho et al. 135 136 2019; Cava et al. 2018).

137 Several savanna species tend to grow slowly and have high tissue construction 138 costs, which allow them to persist in habitats with low nutrient and water availability (Maracahipes et al., 2018; Viani, Rodrigues, Dawson, & Oliveira, 2011). Early stages of 139 140 forest restoration are known for their trees with acquisitive traits and even old-growth 141 forests have more acquisitive traits than the woody-component of neotropical savannas (Maracahipes et al. 2018). However, savannas and grasslands most likely do not follow 142 143 successional trajectories because these ecosystems are dominated by resprouters (Pilon 144 et al. 2020; Pausas et al. 2018; Bond & Midgley 2001a). Principally in these fire-prone 145 ecosystems, the fast-slow strategy is also linked to specific reproductive strategies 146 (Enright et al. 2014). Overall, acquisitive species tend to reproduce through seeds, that are usually small and with high germination rates, while rapidly growing aboveground 147 148 (Power et al. 2011; Verdú 2000). In contrast, conservative species tend to resprout as a 149 main reproductive strategy, they grow more slowly and allocate a greater proportion of 150 their resources belowground (Eller & Oliveira 2017; Power et al. 2011; Verdú 2000). In 151 the neotropical savanna vegetation, the main technique known for large-scale 152 restoration is direct seeding (Schmidt et al. 2019; Pellizzaro et al. 2017; Sampaio et al. 2019). By using an expressive number of seeds to quickly cover the soil, this technique 153 154 is likely to favor mostly seeders/acquisitive species, which may be a problem if the goal 155 is to restore an ecosystem where resprouters/conservative species are prevalent in the 156 community (Pilon et al. 2020).

In this study, we used a trait-based approach to understand the functional composition of savanna communities and offer insights into the functional outcome of current restoration practices in the neotropical savanna. We evaluated the functional composition of a 3-years-old restored savanna by direct-seeding when compared to areas dominated by exotic grasses (abandoned pastures) and well-preserved old-growth vegetation. We evaluated multiple leaf traits (see Table 1) of 61 native species, and species from restored and invaded savanna communities. Additionally, we measured
exotic cover, bare soil cover and below- and aboveground biomass as biomass
allocation has major implications for ecosystems resilience. We addressed the following
questions and hypotheses:

- What is the functional composition of restored savanna vegetation via direct
   seeding compared to pastures and native savannas? Because direct seeding uses
   mostly seeders/fast growing plants, we expect the restored vegetation through
   this technique to be dominated by acquisitive traits, thus occupying the same
   functional space as abandoned pastures (H1).
- 172 Does the restored neotropical savanna recover the functional diversity and biomass allocation of an old-growth state? We expect restored savanna to have 173 higher Functional dispersion (FDis) and Functional richness (FR) than 174 abandoned pasture, and exhibit higher soil cover and higher aboveground 175 176 investment that might avoid invasive grasses. Besides, the higher aboveground 177 biomass allocation in restored communities in comparison to higher belowground allocation in native communities may have implications to 178 179 resilience to disturbance (H2).

## 180 Methods

## 181 *Study site*

The study was conducted in the Chapada dos Veadeiros National Park (14°07'03" S, 47°38'31" W), located in Central-Western Brazil in the municipality of Alto Paraíso de Goiás – Goiás; mean altitude: 1240 m (Pellizzaro et al. 2017). Mean annual precipitation is 1453 mm, 60% of which occurs between October and May and the mean annual temperature is 21°C (Pellizzaro et al. 2017). We selected three areas with different vegetation states, old-abandoned pasture (hereafter abandoned pasture), native old-growth vegetation (hereafter native), and restored vegetation.

The areas that are being restored in the park have generally been used for extensive livestock grazing before the park establishment in 1961 (ICMBIO 2009). In these areas, the soil was ploughed and limed, exotic grasses were sown, and the areas were constantly burned to stimulate grass growth (ICMBIO 2009). These areas were traditionally used for extensive livestock production, but have since been abandoned in two main periods: 1985 and 1995 (ICMBIO 2009). Our sites of abandoned pasture are dominated by the invasive exotic species: *Urochloa eminii* (Stapf) R.D.Webster and *Melinis minutiflora* P. Beauv. (Pellizzaro et al. 2017).

In restored areas, the vegetation was restored using direct-seeding of native 197 species applied in 2016. This area was previously dominated by invasive grasses. To 198 199 reduce the biomass of invasive grasses, these sites were burnt, and then ploughed five 200 times (250 mm deep) followed by inversion tillage (400 mm deep) to reduce the seed 201 bank and regeneration of exotic grasses (Coutinho et al. 2019; Sampaio et al. 2019). Seeds of 75 species of native herbs, shrubs, and trees were collected at undisturbed sites 202 203 in the surroundings of the restoration plots (Pellizzaro et al. 2017; Coutinho et al. 2019; Sampaio et al. 2019). The restored area in 2016 corresponded to a total of 40 ha. Sixty-204 two out of the 75 seeded species were established, and the areas presented 60% cover by 205 206 native species two years after seeding in a previous experiment at the same site (Pellizzaro et al. 2017). 207

208 Finally, we also selected an undisturbed native area adjacent to the restoration and an abandoned pasture, yet to undergo restoration. We consider this native area as a 209 210 vegetation target because it is the native area closest to the restored area (<100m) and it is characterized by the dominance of non-woody species with <20% woody species 211 212 cover (similar proportion achieved by direct-seeding;  $78 \pm 6\%$  grass cover; Sampaio et 213 al., 2019). These systems are ancient ecosystems characterized by slow-growth species, high herbaceous species richness, high endemism, and unique species compositions, 214 215 commonly called old-growth savannas (Veldman, Buisson, et al. 2015). Therefore, the reference ecosystem selected is well preserved and consequently have a representative 216 217 functional composition to neotropical savanna. While the abandoned pasture is characterized by a degraded state of vegetation with dominance of fast-growth species, 218 219 which has remained in a similar state for the last 30 years, with livestock production 220 abandoned in1985.

221 *Vegetation survey* 

To determine vegetation composition, we installed 10 plots of 4 m<sup>2</sup> in each vegetation type, 40 m apart from each other. In each plot, we measured all woody and non-woody individuals over 10 cm in height. We separated the sampled species in shrub-tree layer (hereafter woody component) and the herb-layer (hereafter non-woody component), and determined the vegetation cover by species based on the vertical projection of the aerial part of the plant in each plot (Mueller-Dombois; Ellenberg
1974). We surveyed the vegetation at the peak of the wet season during the year 2019
(Jan/2019).

We sampled soils to measure multiple chemical properties, which might drive 230 231 differences in community functional composition. We took soil samples with 20 cm 232 deep soil core around each plot per vegetation type. We measured the concentration of total soil N, available P, and soil organic matter (SOM), Aluminum concentration, and 233 soil pH, as a proxy of the nutrient pool. The soil N concentration was determined by 234 235 sulfuric acid digestion followed by Kjeldahl distillation (Bremner 2016); soil P and potassium (K) concentrations were determined following a Mehlich-1 extraction (Raij et 236 al. 2001); and SOM was determined by the Walkley-Black reaction (Nelson 1996). 237 Separately, we assessed soil water holding capacity at the 3 soil samples with 3 depth 238 (surface, 50 cm, 100cm) at plots level in each area to estimate the slope of water-239 240 saturation by the Van Genuchten method (Genuchten 1980).

We measured biomass during the peak of wet season, and exotic cover and bare 241 soil cover during the peak of the dry season (Jul 2018) and the peak of the wet season 242 (Jul 2018 for the dry and Jan 2019 for the wet season). To measure aboveground 243 244 biomass production, we clipped all plant material in a 50 x 50 cm plot around each vegetation plot at the peak of the dry season and the peak of the wet season in around 245 each plot. To measure belowground biomass, we took 3 cm<sup>2</sup> by 10 cm deep soil cores 246 247 from the corner of each plot at the end of the growing season. We sorted root samples to remove litter and oven-dried them at 65 °C for at least 48 h before weighing. We took 248 249 10 cm<sup>2</sup> by 10 cm deep soil cores until 100 cm. All samples were taken from the corner of each plot in the same area where the aboveground biomass sample was collected. We 250 251 sieved all soil samples (< 2 mm) to collect all roots which were then washed and dried 252 at 65°C for 48 h before weighing. We calculated the root:shoot ratio (Dry weight for 253 roots/dry weight for aboveground biomass) for each plot and for each treatment. To measure exotic cover and bare soil cover we use the grid of 4m<sup>2</sup> separated into 64 254 subplots of 6.25 cm<sup>2</sup> and visually estimated the number of subplots covered by exotic 255 species, native species, and bare soil cover. 256

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## 258 Community trait measurement

To determine plant community functional composition we collected trait data for 259 260 species that were present during the vegetation survey using standard methods (Pérez-Harguindeguy et al. 2013). We sampled traits of 61 species, 13 in restored, 15 in 261 abandoned pasture, 44 in native savannas, covering 80% of species dominance. We 262 focused on leaf traits - leaf area (cm<sup>2</sup>) (LA), Specific leaf area (g.cm<sup>-2</sup>) (SLA), leaf 263 thickness (mm) (LT), Leaf dry matter content containing (mg.g<sup>-1</sup>) (LDMC), leaf 264 265 nitrogen (LNC), and leaf phosphorus concentration (LPC), and plant maximum height (H<sub>max</sub>). Vegetative height is related to a species' competitive ability with taller species 266 267 better adapted to compete for light (Weiher et al. 1999). We chose these traits because 268 in the neotropical savannas community most of the aboveground biomass consists of 269 leaves from non-woody species. Added to that, leaf traits are a good predictor of acquisitive and conservative strategies using the leaf economic spectrum (Poorter, 270 271 Niinemets, Poorter, Wright, & Villar, 2009; Wright et al., 2004). Acquisitive foliar traits include high SLA, leaf area, small LT and LDMC (Lohbeck et al., 2015; see Table 1). 272 273 We obtained trait data from 3 individuals at each vegetation site. While we recognize the important role that intraspecific trait variation can play in community assembly 274 275 (Jung et al. 2010; Laughlin et al. 2012), we could not measure it. These traits represent important facets of the leaf economic spectrum, a known gradient between fast and 276 277 slow-growing species that can be associated with ecosystem processes, successional 278 trajectories and reproductive strategies after disturbance (Reich 2014; Van Der Sande et 279 al. 2016). Mean values of each trait for each species are available in Table S3 in supplementary information. 280

281 We measured the Community Weighted Means (CWM) for all functional traits 282 by species dominance through the species cover in each plot per treatment (Laliberté & Legendre 2010). We conducted the CWM analyses considering life forms separately 283 (woody and non-woody components). We considered as woody component, traits from 284 shrubs and trees species and as a non-woody component, traits from grass, graminoids 285 (Cyperaceae) and other herbaceous species. The CWM is suggested as a predictor of the 286 287 functional composition in the plant community, taking into account the dominant 288 species in a community. We used a functional diversity index, functional richness (FR), 289 that indicated the breadth of the niche based on the variation in a set of traits within a community. This is calculated as the minimum convex hull (minimal intersections of 290

the convex sets containing the trait data) which includes all the species considered; the 291 convex hull volume is then the volume inside this hull (Villéger et al. 2008). In 292 293 addition, we calculated functional dispersion (FDis) that is the mean distance of 294 individual species to the centroid of all species in the community. This index takes into 295 account species relative abundances weighting distances of individual species by their 296 relative abundances. In this study, we use FDis as an indicator of the niche complementary and as estimate the community functioning in each vegetation type (See 297 (Laliberté & Legendre, 2010, for more details). Vegetations with similar index values 298 299 probably have similar functioning. All functional diversity indexes were calculated using package "FD" (Laliberté et al. 2010). 300

#### 301 Statistical analyses

302 To evaluate how leaf traits of species were associated, a principal component analysis (PCA) was done with leaf traits, using CWM values in each plot per treatment 303 304 and then using species (n = 61) as data points. We conducted generalized linear mixed 305 models (GLMM), considering all vegetation types (restored, abandoned pasture, native) 306 as fixed factors and plot as random factors. The GLMM were fitted separately for each 307 CWM traits, using Gamma distribution with the nlme or glmmtmb R packages (Brooks et al., 2017). In all CWM models we use life form (Woody and non-woody) as an 308 309 interaction factor in each vegetation type. A one-way ANOVA with multi-comparison and Tukey post hoc comparisons were performed with the *lsmeans* package (Lenth, 310 311 2016) and estimated marginal means (EMMs) using *emmeans* package. Tukey post-hoc tests was used to evaluate whether leaf traits between species belonging to different 312 313 vegetation types and life forms. We checked model assumptions graphically by plotting 314 residuals and running likelihood ratio tests. All data was log<sub>10</sub>-transformed before 315 analysis to smooth the distributions.

A correlation analysis was carried out, to test how leaf-traits and vegetation were correlated with soil nutrients. To evaluate differences in above and below-ground between the vegetation types, we developed generalized linear models (GLMM), considering all vegetation types (native, restored, abandoned pasture) as fixed factors and plot as random factors. The GLMM were fitted separately for each response variable, using Poisson and Gaussian distribution. To investigate the relation among soils properties and functional diversity index and functional diversity index and ecosystem functions, we used linear models. We considered the soil PCA axis as the predictor variable and FD is and FR as the response variable. All statistical analyses were carried out using R 3.3.

326

#### 327 **Results**

#### 328 Functional composition

The CWM leaf area (LA) in the restored area was similar the abandoned pasture 329 330 and 36.4 % lower than in the native area (GLMM see Table S1). The high LA values in the native area are driven by the greater woody vegetation component and were 70.5% 331 332 higher than in the restored area, which remained similar to the abandoned pasture (Fig. 2 a). The CWM SLA in the restored area was similar to the abandoned pasture and on 333 334 average 44.3% higher than in the native area (GLMM Table S1). For both life forms, 335 the SLA in the restored area was higher than in the native area. This was however more 336 evident for the woody vegetation component in restored area, which was 57.8% higher 337 than abandoned pasture and 37.7% higher than native area (Fig. 2 b). The LT was similar among vegetation types. However when considering life forms separately, the 338 339 LT from woody component in the restored area was lower than the abandoned pasture 340 and native area (Fig. 2c). The non-woody component in the restored area had 37.9% 341 greater LT than abandoned pasture and similar values to the native area (Fig. 2 c). The leaf dry mass content (LDMC), was 28.8% greater in the restored area relative to the 342 343 abandoned pasture area and 26.2% higher than in the native vegetation, which has 344 similar values to the abandoned pasture. The high LDMC values in restored area are 345 driven by variations in the woody component, driving LT values which were 42% 346 higher than abandoned pasture and 48.8% higher than the native area (Fig. 2 d). Leaf P concentration (LPC) in the restored area was similar to the abandoned pasture and on 347 average 8.4% greater than in the native area. Leaf N concentration (LNC) was similar 348 349 among vegetation types with interaction between vegetation and life forms (Table S1). 350 The LNC from Woody component in restored area was similar to the abandoned pasture and 8% higher than native area (Fig. 2 f). 351

The lower LT, higher LNC and LPC from woody component, and principally higher SLA in both life forms in the restored area compared to native area, indicate that the functional outcome of direct seeding is a community with acquisitive strategies, contrasting with the conservative native (All average statistical values are showed inTable 2 and Fig. 2 a-f).

Associations amongst the 6 leaf traits and maximum height were analyzed with a 357 PCA (Fig. 3). The first axis explained 46.5% of the variation, and was positively related 358 359 to SLA, LDMC, and P concentration in leaves and negatively related to leaf area. The 360 second axis explained 25% of the variation and was positively related to N concentration and negatively related to leaf thickness. The three vegetation types 361 362 (native, restored, pasture) were grouped in this multivariate trait space according to their 363 trait community weighted means in each plot per community. Acquisitive strategies 364 were related to the abandoned pasture and restored area, with high SLA, LPC, and H<sub>max</sub>. 365 Conservative strategies were related to the native area, with high LA and LT.

366 In the restored area 78.3% was covered by non-woody species and 21% by woody species. In contrast, the abandoned pasture had 90% cover of non-woody 367 368 component with 70% dominated by U. eminii and 11% by M. minutiflora; whereas, native areas was dominated by non-woody species (55% cover) and by woody species 369 370 (31% cover) (Table S3). The shrub Lepidaploa aurea was the most dominant species 371 (15.2%) in the restored area, followed by the grasses Schizachyrium (10.3%), Axonopus 372 pressus (8.9%) and Axonopus chrysoblepharis (6.1%), but also by the exotic Melinis 373 minutiflora (6.1%) and Urochloa eminii (5.9%).

374 In restored areas, the high values of LA and SLA were strongly influenced by 375 two invasive grasses, U. decumbens and M. minutiflora and the native species Axonopus 376 pressus. The high values of SLA were mostly associated with species of the genus 377 Schizachyrium. The shrubs L. aurea and Mimosa clausennii, and grasses from the genus 378 Axonopus showed higher LDMC values (Table S3). The restored area showed overlap of dominant species with the abandoned pasture (U. decumbens and M. minutiflora). 379 380 Both exotic species have acquisitive traits, with high SLA values and lower LT, and 381 together covered more than 12% of restored community. In contrast, the species 382 overlapping with natives was the grass Axonopus pressus and the shrub Mimosa clausenii, both exhibiting conservative foliar traits. The grass species Trachypogon 383 384 spicatus was the only species that had more conservative traits among grasses in the restored area, covering less than 4% of the plots. Overall, dominant species in the native 385 area have lower values of SLA, and high values of LDMC and LT. In contrast, the 386 species in the abandoned pasture have with higher SLA and lower LT (Table S3). 387

## 388 Functional diversity, biomass allocation

The Functional Richness index (FR) in the restored area was similar to the abandoned pasture and lower than the native area ( $F_{(2,27)}=7.76$ , p=0.001, Fig 4 a). When the species abundances are taken into account, the Functional Dispersion index (FDis) in the restored area was higher in relation to the abandoned pasture (Tukey test, p<0.01) and similar to that of the native area (Tukey test, p=0.084) ( $F_{(2,27)}=5.16$ , p<0.001, Fig 4 b). That means, the multivariate space filled by traits are more similar between native and restored areas than to abandoned pasture.

396 Restoration practices resulted in higher aboveground biomass (ABG) in the restored area (6254.56  $\pm$  2368.63 kg ha<sup>-1</sup>) than in the abandoned pasture (3774.2  $\pm$ 397 1585.13 kg ha-1) than in the native areas  $(4710.64 \pm 3068.31 \text{ kg ha}^{-1})(F_{(2.27)} = 2.684)$ 398 p<0.005, Fig 4 c). However, the restored area  $(25.6 \pm 6.4 \text{ kg ha}^{-1})$  showed lower 399 400 belowground biomass (BLG) when compared to the abandoned pasture (11.6  $\pm$  5.6 kg ha<sup>-1</sup>) and the native area ( $50 \pm 35.2$  kg ha<sup>-1</sup>). Yet, the BLG from the abandoned pasture 401 402 was lowest than native areas ( $F_{(2,27)}$ =5.93, p=0.01; Fig 4 d). The root: shoot ratio in the restored area was lower when compared abandoned pasture and native ( $F_{(2,27)}$ =4.63, 403 404 p=0.02; Fig S2). The mean bare soil cover in the wet season was 21.5% in the restored 405 area, 24.2% in the abandoned pasture and 13.2% in the native area. In the dry season, the bare soil cover was 33.75% in the restored area, 21.51% in the abandoned pasture 406 and 29.37% in the native area. When compared among seasons, the restoration practice 407 408 provided a decrease of 65% in bare soil cover from dry to wet season. These values 409 were similar to native areas that decreased 55.3% the bare soil cover from the dry to the 410 wet season (GLMM see table S2). In contrast, the abandoned pasture remained 411 unaltered among seasons (GLMM see table S2). However, when we compare the exotic cover among seasons, we find an increase in 56.7% of exotic cover in the restored area 412 from dry to the wet season, while abandoned pasture and native areas remained 413 414 unaltered (GLMM see table S2).

Associations amongst the soil nutrients were analyzed with a PCA (Fig. S1). The
first axis explained 41.5% of the variation and was used to correlate with traits and
functional diversity. The first axis of soil PCA was positively correlated with N, organic
matter, K, and silt, separating native area to abandoned pasture and restored. These
areas were in another group positively correlated with % of sand, P, and Al soil content
(negative values of PCA). The correlation between the PCA axes from soil nutrients

- 421 with vegetation showed the decoupling of restored vegetation with the soil properties.
- 422 None of the leaf traits were correlated with the soil PCA axis (Table S4). Yet, when we
- 423 fit the values only for native and abandoned pasture areas the PCA1 axis showed a good
- 424 predictor of functional richness and functional dispersion to both communities (Table
- 425 S4; Fig S3). This relationship disappears when restored areas are included.

## 426 Discussion

427 To our knowledge, this is the first study evaluating the recovery of functional 428 composition following direct-seeding restoration in Neotropical savanna communities. 429 We evaluated how a neotropical savanna restored area varied in its communityweighted mean (CWM) trait composition, and whether total biomass and biomass 430 allocation changed when compared to the degraded state (abandoned pasture) and well 431 432 preserved old-growth savannas (native). Multivariate CWM trait composition differed 433 strongly between native, restored and abandoned pasture areas. The restored communities and abandoned pasture showed a greater dominance of species with more 434 435 acquisitive traits while the native vegetation presented conservative traits. Hence, the 436 functional composition of a restored neotropical savanna via direct seeding is more 437 similar to an abandoned pasture than old-growth reference area. Our results showed that the species pool used in direct-seeding is an effective technique to establish a large 438 439 number of acquisitive native species with high aboveground biomass investment and provides a fast gain in soil cover. Nevertheless, this fast aboveground recovery did not 440 441 guarantee resistance to invasion. In contrast, the restored neotropical savanna 442 maintained lower belowground investment compared to the reference system, which 443 implies in a lower vegetation resilience against common local disturbance such as fires 444 (Ott et al. 2019; Pausas et al. 2018b).

#### 445 Functional composition

For the majority of the analyzed traits, the restored area was functionally similar to the abandoned pasture, both harboring a great dominance of acquisitive species whereas the native vegetation harbored conservative species. The exception was LDMC which had greater values in the restored area, relative to the pasture area, due to presence of a particular woody species. Savanna woody species usually have slow growth and higher leaf carbon investments compared to grass species (Maracahipes et al., 2018; Rossatto et al., 2015). *Mimosa clausennii*, a slow-growing shrub, frequent in

the restored site, has a LDMC of 4.44 g.g<sup>-1</sup> which can explain the higher LDMC 453 following restoration. In contrast, the restoration showed a similar distribution of 454 455 LDMC from non-woody species among areas. Surely, in our study LDMC had a lower 456 contribution to discriminate vegetation along the PCA axis, thus may not reflect the 457 filtering processes among the studied plant communities. In addition, the SLA often discriminates between communities better than LDMC, because SLA is influenced by 458 459 both light and soil fertility, whereas LDMC largely reflects soil fertility (Hodgson et al. 2011). The restored area showed higher SLA values to woody component and higher 460 461 than the native area to non-woody component. The dominant grasses and shrubs in the 462 restored area have inexpensive short-lived leaves, which leads to the high CWM values 463 of LPC and high SLA. These traits facilitate greater photosynthetic capacity, faster 464 resources use, and high above-ground biomass investment to dominant species (Osnas 465 et al. 2013). These patterns also were also present to non-woody component in the 466 abandoned pasture area, suggesting similar ecosystem functioning and consequently 467 low gain in terms of functionality when compared to the degraded state.

These fast-growing species in the restored area are associated with a high 468 density of individuals, which can cover the soil and supposedly compete with invasive 469 470 grasses (Hulvey & Zavaleta 2012). In fact, the fast soil cover and high aboveground 471 biomass suppresses the exotic-grass spread in the first year of restoration. The use of a 472 fast-growing shrub (Lepidaploa aurea - Asteraceae) also assisted in avoiding exotic-473 grass invasion in the first year (Sampaio et al. 2019; Lopes et al. 2018). However, the 474 effect of this fast soil cover restricting the invasion of exotic grasses is short-lived as we 475 observed an increase in exotic cover from the dry to the following wet season. This 476 suggests that only the high aboveground investment and soil cover in the area does not prevent the invasion. Furthermore, the use of species with supposedly allelopathic 477 potential (e.g. Lepidaploa aurea) demonstrates success only in the first years, because 478 L. aurea is an opportunistic species and has a short life cycle (2- years) and its death 479 480 offers a window of opportunity for exotic invasive grasses to spread, especially in the 481 site that is close to sources of propagules.

The high SLA, associated with a fast-growth strategy are characteristics that define seeders which tend to produce high numbers of smaller seeds (Power et al. 2011; Verdú 2000). Seeders are a dominant strategy in highly productive habitats with some form of disturbance, e.g. fire (Fynn et al. 2011). However, the neotropical savanna is an ecosystem with low nutrient availability and, in general, species associated with low-

fertility soils have comparatively low SLA and slow growth (Jager et al. 2015; 487 488 Maracahipes et al. 2018; Pinho et al. 2019). Slow-growth strategies tend to have the resprouting reproductive strategy and allocate a greater proportion of their resources 489 belowground (Eller & Oliveira 2017; Power et al. 2011; Verdú 2000). Indeed, 490 491 neotropical savanna native plant communities have a higher dominance of resprouter 492 species (Pilon et al. 2020) differently from what was observed in restored community 493 where the high dominance of seeders/acquisitive species implied in a low investment in 494 belowground biomass and high accumulation of aboveground biomass strongly 495 associated to ecosystem resilience after disturbance.

#### 496 Functional composition, Biomass investment and implications for resilience

497 Overall, the conservative traits of resprouter species observed in the native area underpins slow aboveground growth (Pellizzaro et al. 2017; Silva et al. 2015) and high 498 499 investment in belowground tissues (De Castro & Kauffman 1998; Hoffmann et al. 500 2003). In contrast, most acquisitive seeders savannas species often have a high specific 501 leaf area, a low root-tissue density, and thin roots (Lachaise et al. 2020), which explain 502 the low belowground biomass investment and low root:shoot biomass in the restored 503 area. However, in neotropical savannas, about 40% to 70% of the total biomass and carbon stocks are located belowground, mostly in roots (Fidelis et al. 2013; De Castro 504 505 & Kauffman 1998; Ribeiro et al. 2011; Loiola et al. 2015). These are composed of roots 506 and rhizomes, important components of resprouting after disturbances, especially fires (Fidelis et al. 2014; Ott et al. 2019). Several studies show that the most capacity of 507 508 resilience after fires are in resprouting from underground organs (Bond & Midgley 509 2001b; Bardgett et al. 2014; Pausas et al. 2018; Ott et al. 2019). Rhizomes, lignotubers, 510 and belowground stems can allow plants to resprout and survive disturbances, such as 511 fire, and promote regrowth after unfavorable seasons (Ottaviani et al. 2020). Given that the recruitment of resprouter species occur mostly from belowground organs, we need 512 513 to consider including vegetative reproductive tissues (e.g. bud banks) and not only seeds to quickly achieve greater resilience in neotropical savanna under restoration. 514

Another possible explanation for low belowground and high aboveground
biomass allocation is the early-stage of the vegetation establishment (4 years after
seeding) (Wilson 2011). In forest ecosystems, early successional stages have a high
abundance of acquisitive species, followed by conservative species in the later stages
(Lohbeck et al., 2015, 2013; Poorter et al., 2019). However, succession characterized by

520 a high species turn-over through time is most likely improbable in the neotropical savanna given that most species in surrounding native patches are resprouters (Silveira 521 522 et al. 2020). The pioneer, secondary, or late-successional species are far from adequate to categorize plant species colonizing, covering the ground, and persisting in savanna 523 524 vegetation (Dayrell et al. 2018). Fire-prone vegetation are characterized by the coexistence of conservative and acquisitive species, with low recruitment from seed 525 526 banks (Pilon et al. 2020). The source of seeds for direct seeding restoration in this ecosystem is most likely biased towards seeder species because only seeds are easily 527 528 collected in the field (Schmidt et al. 2019). The seeder species most used and also most 529 favoured by the technique of direct seeding that provides seeds with high germination 530 rates (Pellizzaro et al. 2017; Sampaio et al. 2019), leading to an acquisitive community 531 in the restored area. The main problem of promoting restoration with acquisitive species 532 relies on the possibility of trapping the restored area in an ecosystem state composed of acquisitive species, which is likely more vulnerable to invasion and less resilient to fire. 533 534 Because conservative species tend to reproduce vegetatively, the probability of arrival and establishment of resprouter/conservative species is very low because they have low 535 536 investment in seed production. In addition, the legacy effect mediated by the presence 537 of invasive grasses in the restored area will potentially increase the exotic dominance in the restored area (Hess et al. 2019; Wolfsdorf et al 2021). Therefore, to provide a more 538 stable system to exotic invasion, we need to incorporate vegetative reproduction organs 539 540 such as underground buds in restoration efforts (Pilon et al. 2019) and also manage the soil towards low nutrient availability, to favor the dominance of slow-growing plants. 541 542 The use of direct seeding with a high abundance of seeders species increases the risk of creating unstable ecosystems, with a low resistance to invasion and low resilience to 543 544 fires.

545 Overall, restoration using direct seeding was effective at limiting exotic invasion in the first few years post-restoration, alongside being effective at recovering soil cover 546 547 and aboveground biomass using fast-growing native plants. These outcomes were 548 facilitated by frequent ploughing prior to seeding, followed by inversion tillage to 549 remove exotic grasses from the seed bank and prevent their regeneration (Coutinho et 550 al. 2019; Sampaio et al. 2019). However, these ploughing and tilling practices probably 551 destroyed native belowground organs. This "trade-off" between avoiding invasion and 552 maintaining the underground organs of resprouter species promotes low investment in 553 belowground biomass and high accumulation of aboveground biomass, from the planted

acquisitive native species. In addition, the high accumulation of aboveground biomass 554 555 can also increase the risk of severe fires, especially in dry season (as occurred in 2020 in 556 the restored site) (Rissi et al. 2017; Santos et al. 2021; De Moraes et al. 2016; Gomes et 557 al. 2020; Schmidt et al. 2017). Principally, in areas with high invasion potential, as in 558 the case of our restored area, fire disturbance might favour the return of exotic species through promoting additional nutrient input into the soil (Damasceno & Fidelis 2020; 559 560 Eller & Oliveira 2017; Lannes et al. 2016). Clearly, we must investigate solutions to avoid catastrophic fires and exotic invasion, however, longer-term fire exclusion is 561 562 probably not an ideal strategy to restore fire prone-ecosystem (Pausas et al. 2018c; Charles-Dominique et al. 2015; Buisson et al. 2019). Therefore, the low chances of 563 564 recovery of native species by sprouting and high potential to recovery exotic grasses 565 invasion creates a low resilience restoration system. Future efforts may therefore need 566 to use a combination of direct seeding and other restoration techniques, such as bud 567 bank transplantation, or soil and litter transplantation, which may improve neotropical 568 savanna restoration outcomes.

## 569 Restoration and soil uncoupling

570 The coupling among soil and vegetation provides high resistance and resilience to disturbance mediate by feedbacks plant-soil (Mack et al. 2019). The results of 571 572 uncoupling among functional index's and soil properties from the restored area suggest 573 that the first years of neotropical savanna restoration have low resilience. The 574 dominance of only acquisitive/seeders strategies may lead the system to be sensitive to 575 any disturbance. Obviously, the short time since sowing might influence these results. 576 However, the direct seeding practice eliminates the dispersion filters and change soil 577 characteristics responsible for neotropical savanna community assembly. Clearly, the 578 species pool favoured by direct-seeding not includes the range of species pool found in 579 old-growth savanna communities that were built with different environmental filters than those proposed by direct seeding. The coexistence of conservative and acquisitive 580 strategies represented by species pool found in neotropical savannas may increase the 581 resilience improving restoration outcomes. However, to achieve this we need to foster 582 583 the coupling and feedbacks between soil and vegetation typical of a slow functional 584 state. To target this, future restoration research should focus on the use of more slow-585 growing species and adjustments of soil conditions towards the nutrient-poor state to 586 support the development of conservative species.

587

#### 588 Acknowledgements

- 589 We thank the UNICAMP postgraduate program in Ecology and Plant Biology: This
- 590 study was financed in part by the Coordenação de Aperfeiçoamento Pessoal de Nível
- 591 Superior Brasil (CAPES) -Finance Code 001 and process 88881.172163/2018-01.
- 592 This work is a product of the thematic project "the Restoring dry Neotropical
- ecosystems is the functional composition of plants the key to the success" and LR and
- 594 RO acknowledge a joint NERC-FAPESP grant (NE / S000011 / 1 & NERC-FAPESP -
- 2019 / 07773-1). We thank Fabricio Moreira Ferreira for the identification of thegrasses.
- 597

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# 863 **Figures and tables**

- **Table 1.** Traits included in the study, the trait category the functional strategy that high
- values of this trait refer to (A = acquisitive, C = conservative) description of the
- 866 ecological significance, units and abbreviations list of measured traits, abbreviations,
- and units used (Adapted from Lohbeck et al., 2013)

Code	Trait	Ecological significance	Strategy
SLA	Specific leaf area (cm <sup>2</sup> g <sup>-1</sup> )	Resource use, maximum photosynthetic rate, and the related environment disturbance	А
LA	Leaf area (cm²)	Light intercepting area, dry matter production, respiration, leaf cooling, gas exchange	А
LT	Leaf thickness (mm)	Resistance to lower water availability, nutrient-poor soil	С
H <sub>max</sub>	Maximum height (cm)	Access to light and competitive ability	А
LNC	Leaf nitrogen (N) concentration (g/kg)	Response to soil nutrient availability, photosynthetic capacity	А
LPC	Leaf phosphorous (P) concentration (g/kg)	Photosynthetic capacity , plant development and growth	Α
LDMC	Leaf dry-matter content (g $g^{-1}$ )	Relative growth;, leaf lifespan, construction costs, nutrient retention	С

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# **Table 2.** List of measured community weighted means (CWM) traits, showing estimated mean from the fit model (GLMM) and confidence

870 intervals, by life forms (woody and non-woody) for each vegetation type. (See results test details in table S1). UCI= Upper confidence intervals;

871 LCI= Lower confidence intervals.

									Vegeta	tion type								
Trait			Res	tored				А	bandon	ed pasture					Na	tive		
	N	Woody		No	n-Woody	y	V	Voody		Noi	n-Wood	y	١	Voody		Noi	n-Woody	ý
	Mean estimate d	UCI	LCI	Mean estimate d	UCI	LCI	Mean estimate d	UCI	LCI	Mean estimate d	UCI	LCI	Mean estimate d	UCI	LCI	Mean estimate d	UCI	LCI
LA	11.30	18.6	0.80	11.11	18.3	7.94	11.80	21.1	8.19	21.2	35.1	15.2	38.3	63.3	27.4	12.5	20.6	8.94
(cm²)		0	8		0			1			0	3						
SLA	103.5	125.	87.8	79.9	95.0	68.9	43.6	51.0	38.1	118.4	145.	100.	64.9	76.3	56.4	63.4	74.2	55.4
(cm².g		0									1	0						
-1)																		
LT	0.263	0.31	0.22	0.216	0.25	0.18	0.360	0.48	0.28	0.134	0.15	0.12	0.353	0.46	0.28	0.216	0.25	0.18
(mm)		8	3		4	8		5	6		2	0		4	5		8	6
LDM	5.26	6.28	4.53	3.01	3.39	2.71	3.05	3.45	2.74	2.95	3.29	2.67	2.69	2.98	2.45	2.83	3.14	2.57
C (g.g <sup>-</sup> <sup>1</sup> )																		
LPC	0.084	0.09	0.07	0.052	0.05	0.04	0.078	0.08	0.07	0.048	0.05	0.04	0.067	0.07	0.06	0.048	0.05	0.04
(mg.g <sup>-</sup> <sup>1</sup> )		1	8		6	9		5	2		1	6		2	3		1	6

LNC	1.66	1.81	1.54	0.87	0.92	0.82	1.56	1.7	1.44	0.97	1.04	0.92	1.47	1.59	1.37	0.95	1.01	0.90
(mg.g <sup>-</sup>																		
1)																		





Figure 1. Vegetation types from Chapada dos veadeiros National Park, Brazil. A)
Restored area by direct seeding of native species; B) degraded vegetation dominated by
exotic grasses (abandoned pasture); C) well-preserved old-growth vegetation (Native
area); D) After light-fire (2020) in Restored area.

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**Figure 2** Distribution from CWM by Leaf traits values per life forms (Woody and

880 Non-woody) for each vegetation type (restored; abandoned pasture, native vegetation)

- in wet season a) Leaf area; ) (b) Specific leaf area (SLA); (c) Leaf thickness (LT), (d)
- 882 Leaf dry mass content (LDMC). (e) Leaf P concentration (LPC); (f) Leaf N
- 883 concentration (LNC). The colours from distributions represent each vegetation type
- analyzed. The vertical lines represents median. Different letters represents significance
- difference from pos-hoc test (P<0.01, see details Table S1).
- 886







Figure 3. Principal component analysis of 6 leaf traits and maximum height max of the restored, native, and abandoned pasture community. A) PCA using leaf traits from each vegetation type, the filled contour bands represent density points estimation and countours represents the limits of points density. The filled contour bands represent density estimation from points distribution in 2d space (Traits by species), the density estimated are represented by colour gradient, following the centre with high-density and

strong colours to edge bands with weak colours. The colours from density distributions 894 represent each vegetation type analyzed B) PCA using leaf traits from each vegetation 895 type showing leaf traits used in PCA analyses. The traits included are: leaf area (LA); 896 leaf thickness (LT); specific leaf area (SLA); leaf dry matter content (LDMC); leaf 897 898 nitrogen concentration; (LNC); leaf phosphorus concentration(LNC) and Height 899 maximum (Height.max). The arrows size represents the contribution of PCA axis.





а

Native

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pasture

b

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902 Figure 4. Functional diversity index, biomass investment and soil cover by vegetation 903 areas. A) Functional Richness (FR); B) Functional Dispersion (FDis); C) Bare soil 904 cover; D) exotic cover; E) Above-ground biomass; F) Belowground biomass. The blue represents the wet season, yellow represents the dry season. The central lines represent 905 906 the medians. The colours from the box from figure (A) to D) represent each vegetation 907 type analyzed and The colours from the box from figure E) and F represent season 908 (Wet and Dry season). Different letters represents significance difference from pos-hoc 909 test (P<0.01, see details Table S2).