- Coral reef soundscapes analysis benefits from 1 combining multiple ecoacoustic indices using 2 machine learning 3 4 Authors 5 Ben Williams^a, Timothy A. C. Gordon^{a,b}, Lucille Chapuis^a, Harry R. Harding^c, 6 Eleanor B. May^a, Mochyudho E. Prasetya^b, Andrew N. Radford^c, Stephen D. 7 Simpson^a 8 9 Affiliations 10 ^a Biosciences, College of Life and Environmental Sciences, University of Exeter, 11 Exeter EX4 4PS, United Kingdom; 12 ^b Mars, Inc., 6885 Elm St., McLean, VA 22101, USA 13 ^c School of Biological Sciences, University of Bristol, Bristol BS8 1TQ, United 14 Kingdom 15 16 ^d School of Health and Life Sciences, University of the West of Scotland, PA1 2BE, UK 17 ^e Graduate School, Hasanuddin University, 90245 Makassar, Indonesia 18 ^f Mars and Coral Reef Research Unit, School of Life Sciences, University of 19 Essex, Colchester, Essex, CO3 4SQ, UK 20 21 Corresponding author 22 Ben Williams 23 bw339@exeter.ac.uk 24 25 B10 Hatherly Labs, Prince of Wales Rd, Exeter, Devon, EX4 6PU. Abstract 26 27 Passive acoustic monitoring (PAM) is a promising new tool used to monitor
- tropical reef habitats. Ecoacoustic indices are an increasingly popular approach

used to rapidly analyse soundscape data. However, previous investigations 29 30 have primarily used individual indices in isolation to assess coral reefs, with 31 mixed success. This investigation combines ecoacoustic indices using machine learning and demonstrates a much improved ability to generate meaningful 32 predictions about coral reef health. PAM data collected at one of the world's 33 largest tropical reef restoration programmes in South Sulawesi, Indonesia, was 34 used. Multiple one-minute recordings were taken on healthy and degraded sites 35 with 90–95% and 0–20% of measured coral cover respectively. Twelve 36 ecoacoustic indices were calculated for each recording, in up to three different 37 frequency bandwidths (low: 0.05–0.8 kHz, medium: 2–7 kHz and full: 0.05–20 38 kHz) for each recording, totalling 33 values. Fifteen of these reported a 39 40 significant difference between healthy and degraded habitats. However, high variability in the distribution of results was observed, offering a limited ability for 41 any single index to discriminate between these two habitats without extensive 42 sampling. These indices also exhibited little to no correlation with the number of 43 audible fish vocalisations present in recordings. Regularised discriminant 44 analysis, a machine learning approach, was then used to better discriminate 45 between these two habitat classes using an optimised set of ecoacoustic 46 indices in combination. This multi-index approach discriminated between 47 healthy and degraded sites with a much-improved accuracy than any single 48 index in isolation. The pooled classification rate of 1000 cross-validated 49 iterations of the model had a 91.73 % (\pm 0.84) success rate. Additionally, this 50 51 classification was robust to changes in the diel and lunar cycle. We then report on the success of the model to classify recordings from three artificially restored 52 53 sites. This investigation presents a novel approach to perform habitat assessments using short snapshot recordings. It also demonstrates the utility of 54 55 PAM to monitor reef recovery over time, reducing the need for labour intensive in-water surveys. 56

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58 Keywords

Soundscape, passive acoustic monitoring, ecoacoustic index, machine learning,coral reef, restoration, bioacoustics.

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62 1. Introduction

63 Monitoring of tropical reef habitats primarily relies upon visual based in-situ dive and/or camera surveys which can be logistically complicated, expensive and 64 only capture a subset of the ecological community. Passive acoustic monitoring 65 (PAM) is an emerging practice used to monitor habitats which has the potential 66 to overcome many of these limitations (Lindseth and Lobel, 2018; Mooney et 67 al., 2020). Recent progress has been driven by improvements to acoustic 68 recorder technology, which have created the capacity to capture long-term 69 70 soundscape recordings using autonomous hydrophones (Sousa-Lima et al., 71 2013). Although, this field is still in its infancy, numerous studies have found 72 relationships between the soundscapes of tropical coral reefs and traditional 73 ecological metrics such as coral cover, biological communities and overall habitat quality (Bertucci et al., 2016; Butler et al., 2016; Elise et al., 2019; 74 75 Freeman and Freeman, 2016; Gordon et al., 2018; Nedelec et al., 2015). Furthermore, soundscapes are known to be important components of a reefs 76 functioning outright, especially for orientation and recruitment of reef associated 77 78 organisms (Gordon et al., 2019; Lecchini et al., 2018; Simpson et al., 2005). A number of analytical approaches have been particularly influential in recent 79 developments in marine soundscape ecology. First, analysis can be performed 80 81 using auditory or visual inspection; investigators listen to recordings or visually

of occurrence of certain acoustic events or diversity of fish chorusing (Archer et

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examine spectrograms, manually noting points of interest such as the frequency

al., 2018; Bertucci et al., 2020b; Carriço et al., 2020; McWilliam et al., 2018,
2017; Putland et al., 2017). However, these approaches can be slow and labour
intensive, introducing a severe limit on the speed at which PAM data can be
analysed.

88 Computationally generated ecoacoustic indices are a popular approach used to overcome this limitation (Bradfer-Lawrence et al., 2019; Gibb et al., 2019). 89 These indices have primarily been developed for terrestrial soundscape 90 91 recordings (Sueur et al., 2014), and are designed to quantify particular attributes of soundscapes such as their variability across time or frequency 92 bands (Stowell and Sueur, 2020). The key advantage of these indices is their 93 94 ability to rapidly process large amounts of acoustic data, enabling significantly longer periods of recordings to be analysed. A number of indices have been 95 trialled in the marine environment where investigations have found relationships 96 97 between certain indices and elements of the ecological community, habitat quality or ecological functioning of reef habitats (Elise et al., 2019b; Gordon et 98 99 al., 2018; Harris et al., 2016; Lindseth and Lobel, 2018; Mooney et al., 2020). However, indices do not offer a perfect fix, often these do not correlate with 100 specific elements of reef ecology in the same way as they did in other 101 102 investigations (Bertucci et al., 2016b; Dimoff et al., 2021; Kaplan et al., 2015). 103 To date, studies of marine soundscape have primarily used individual index values in isolation when testing for statistical differences between experimental 104 groups (e.g low and high habitat quality, pre and post bleaching), or 105 106 relationships with other ecological parameters (e.g species diversity, 107 abundance). However, the results of any one index have been shown to often 108 be overdriven by individual components of the soundscape such as close by snapping shrimps, or a repetitive fish chorusing, limiting their utility to assess 109 the full community (Bolgan et al., 2018; Dimoff et al., 2021; Staaterman et al., 110 2013). 111

112 Recent studies in terrestrial soundscape ecology suggest that combining 113 multiple indices in a 'compound index' design is a potential solution to this problem (Bradfer-Lawrence et al., 2019; Eldridge et al., 2018; Gibb et al., 2019; 114 Sethi et al., 2020). This allows the construction of more complex analytical 115 models, using machine learning or artificial intelligence. Such models allow the 116 117 identification of patterns in large multivariate datasets, thus providing a more holistic approach to analysing soundscapes and offering an increased ability to 118 119 identify trends and relationships between soundscapes and ecological attributes of interest. 120

121 In this study, we developed a machine learning approach that generates a 122 compound index to predict reef health from short-term soundscape recordings. We used recordings of healthy, degraded and restored reefs, all taken at or 123 nearby one of the world's largest reef restoration projects. To compare, we 124 125 calculated a range of individual indices between healthy and degraded sites. 126 We also searched for relationships between these indices and fish produced 127 sound diversity to determine the degree to which this drove index results. We then applied a discriminant analysis machine learning algorithm to an optimised 128 set of these indices. We tested whether the compound machine learning 129 approach delivered an improved discriminatory power between habitat classes, 130 relative to any single index. Finally, we applied this model to recordings taken 131 132 from restored sites, to test the potential of this rapid analytical approach in assessing the progress of reef restoration. 133

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135 2. Methods

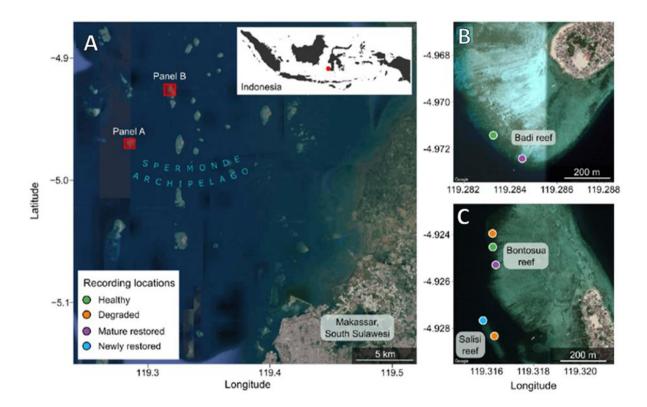
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137 2.1 Study site

Recordings were taken from seven sites in the Spermonde Archipelago (South
Sulawesi, Central Indonesia; 4°56.9′S, 119°18.1′E; Fig. 1.1A) around Pulau
Badi (Fig. 1B) and Pulau Bontosua (Fig. 1C). An ongoing restoration project has

141 been using a novel methodology to re-establish coral cover at sites which have 142 been degraded by coral mining and persistent destructive dynamite fishing (Williams et al., 2019). Coral fragments are attached to 'reef stars' which 143 stabilise the substrate using interlinked metal frames. Between 2013 and 2017 144 this increased coral cover from approximately 10% to 60% on 7000m² reef 145 146 (Williams et al., 2019). Recordings were taken at seven sites which encompassed four distinct types of habitat (Fig. 2), these sites were: Healthy A 147 & B, Degraded A & B, Mature Restored A & B, and Newly Restored (one site 148 only). We measured coral cover as an assessment of the sites' health 149 (methodology details provided in Supp. Material 1). The two healthy sites 150 exhibited naturally high coral cover (A: $91.2\% \pm 2.0$; B: $93.1\% \pm 2.6$; mean \pm 151 SE) whereas the degraded sites exhibited low coral cover (A: $2.1\% \pm 0.9$; B: 152 17.6% \pm 4.6). The two mature restored sites were established >24 months 153 previously and exhibited an increased coral cover (A: 79.1% ± 3.9; B: 66.5% ± 154 3.8) compared to the newly restored site $(25.6\% \pm 2.6)$ established <12 months 155 previously. 156

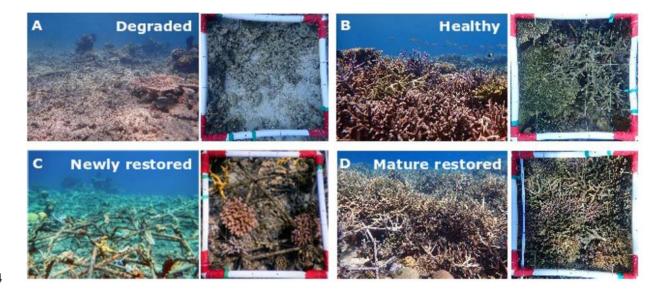
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160 Fig. 1. Location and habitat class of the seven reef sites, present within the broader

- 161 Spermonde Archipelago (A) where soundscape recordings were collected. Fringing
- reefs from two nearby islands: Badi (B) and Bontosua (C) were used.
- 163



165 Fig. 2. Representative habitat and coral cover images from the four habitat classes at

- which soundscape recordings were taken. (A) Degraded, (B) healthy, (C) newly
- 167 restored and (D) mature restored.

168 2.2 Data collection

Across the seven sites, 262 one-minute soundscape recordings were taken 169 170 using SoundTrap hydrophones (SoundTrap 300 STD, Ocean Instruments, Auckland, NZ) in August-September 2018. SoundTraps were suspended 0.5 m 171 172 above the seabed and set to record at a sampling rate of 48 kHz. The recordings were collected using a regime which sampled sites for five days 173 either side of the full moon (August 26th) and three days either side of the 174 following new moon (September 10th) in 2018 during daylight (09:00–15:00), 175 twilight (half an hour either side of sunrise and sunset) and night time (half an 176 hour either side of midnight) periods. These recordings were taken as part of 177 178 the monitoring programme for the Mars Coral Reef Restoration Project at Badi 179 and Bontosua Islands. We sub-sampled five non-overlapping one-minute segments from each of the hour-long periods at random, resulting in 262 180 181 samples. Only samples which were recorded under calm conditions (wind speed <20 km h⁻¹) and which contained no anthropogenic noise were included 182 in the sample set. Three SoundTraps were rotated between sites, in an 183 184 approximately even spread between each period.

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186 2.3 Processing recordings

Each of the 262 one-minute recordings was band-pass filtered using a short-187 term Fourier transform filter into three frequency bands: a low-frequency band 188 189 (0.05–0.8 kHz), a medium-frequency band (2 kHz–7 kHz) and a full-range band (0.05–20 kHz). The low-frequency band was selected to cover the frequencies 190 of a range of known fish vocalisations, and the medium-frequency band was 191 selected to encompass invertebrate sound (Elise et al., 2019a). The additional 192 full-range frequency band encompassed the full spectrum of potentially relevant 193 194 frequencies, as previously used in coral reef soundscape investigations (Kaplan

195 et al., 2015; Lyon, 2018). Frequencies below 0.05 kHz were excluded from low-196 and full-frequency band recordings to reduce the presence of shipping noise and geophonic noise from waves (Curtis et al., 1999). A new audio file for every 197 recording in each frequency band was written to produce tracks filtered using a 198 199 uniform method for subsequent analysis. All processing was performed in R (v3.4.2. R Development Core Team, 2020): audio files were read and written 200 using the tuneR (v.1.3.3) package (Ligges et al., 2018) and the filter was 201 202 implemented using Seewave (v2.1.6) (Sueur et al., 2008).

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204 2.4 Calculating ecoacoustic indices

205 Twelve ecoacoustic indices chosen from a range of soundscape studies in the literature were used (Table 1). Each index was calculated for all three frequency 206 bands, with two exceptions; Snap rate was only calculated for the middle and 207 208 full frequency bands, because snapping shrimp cavitation bubbles are not 209 audible at lower frequencies (Bohnenstiehl et al., 2016), and the normalised 210 difference soundscape index (NDSI) which is typically used to quantify discrepancies in amplitude between an anthropogenic noise band up to 1 kHz 211 and a biophonic noise band at selected higher frequencies (Kasten et al., 2012). 212 For the first time, this index was implemented in the marine environment to 213 instead quantify differences in the 1 kHz band where fish noise dominates, and 214 215 higher frequencies where snapping shrimp sound is at its highest intensity (Au and Banks, 1998). This was therefore implemented on the full band recordings 216 217 alone, to capture both the fish and shrimp bands. This results in the creation of 218 a feature set of 33 index values across twelve indices and three frequency bands for each of the 262 one minute recordings. All indices were calculated 219 using the *R* package Seewave (Sueur et al., 2008) where possible and 220 Soundecology (v.1.3.3) (Villanueva-Rivera et al., 2018) for remaining indices. 221

Table 1. The twelve ecoacoustic indices calculated from recordings with summary description of the mechanistic principle, software used and respective settings employed.

Index	Mechanism	Software	Settings	Origin		
Acoustic Complexity Index (ACI)	Measures variability in intensity of frequencies across time	Seewave in R	Window size = 512; type = Hamming; overlap = 0	(Pieretti, 2011)		
Acoustic Entropy (H)	Measures randomness across temporal and spectral domains	<i>Seewave</i> in R	Window size = 512; envelope = Hilbert	(Sueur, 2008)		
Acoustic Eveness Index (AEI)	Measures diversity across frequency bands	Soundecology in R	Max freq = audio tracks maximum; freq step = max freq/10; threshold = -50 dB	(Villanueva- Rivera, 2011)		
Amplitude Index (M)	Measures median of amplitude envelope	Seewave in R	Envelope = Hilbert	(Sueur, 2008)		
Acoustic Richness (AR)	Ranks recordings based on amplitude multiplied by randomness across the temporal domain	Seewave in R	Envelope = Hilbert	(Depraetere, 2012)		
Bioacoustic Index (BI)	Measures cumulative intensity across frequency bands	Soundecology in R	Min and max frequency matched to track as appropriate; window size = 512	(Boelman, 2007)		
Normalised mean difference index (NDSI)	Measures amplitude difference between two selected frequency bands	Seewave in R	Min and max frequency matched to track as appropriate; window size = 512	(Kasten, 2012)		
Number of peaks	Number of major frequency peaks on obtained from a mean spectrum	Seewave in R	Window size = 512; type = Hanning; overlap = 0	(Sueur, 2008)		
Spectral entropy (sh)	Measures randomness across the frequency domain	Seewave in R	No settings required	(Sueur, 2008)		
Temporal Entropy (th)	Measures randomness across the temporal domain	Seewave in R	No settings required	(Sueur, 2008)		

Snap Rate	Measures rate of snapping shrimp snaps	MATLAB	Custom script	Widely used
Sound Pressure Level (SPL)	Calibrated measure of root mean squared sound pressure level	paPAM in MATLAB	Window length = 1024; type = Hamming; Overlap = 50%	Widely used

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228 2.5 Comparison of indices to frequency of fish vocalisations

229 In order to compare whether indices correlated with fish vocalisation activity, manual counts of different fish call types were obtained for each recording. The 230 231 number of different fish calls present in each recording was quantified by an 232 experienced experimentally-blind observer (T.A.C.G.). To ensure consistency in reporting, a subset of 20 tracks were listened to twice (experimentally blind to 233 the first scoring when listening for the second time), with the same results each 234 time. The number of unique fish calls observed in each recording was called 235 'phonic richness'. We tested the relationships between phonic richness and the 236 full set of index results from all 262 recordings using Pearson's correlation tests. 237

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239 2.6 Comparison of indices between healthy and degraded sites

The results for each index from the healthy and degraded habitat classes were compared against each other. The presence of a difference between the values of each of the 33 indices from recordings of healthy habitats (n = 81) and degraded habitats (n = 71) were tested for using a Mann-Whitney U test. Violin plots were also used to visualise the level of overlap between the distribution of these results for any index which reported a significant difference. If minimal overlap was observed between the two classes for any index, then the

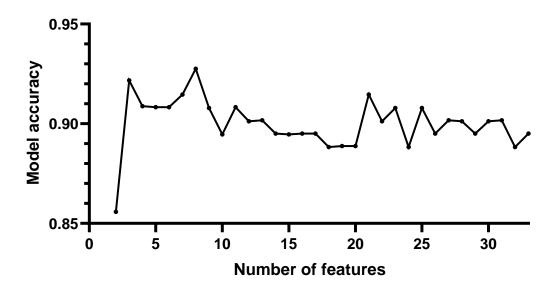
respective index would likely provide a promising measure with which todifferentiate between healthy and degraded habitats.

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250 2.6 Applying machine learning to create a compound index

Following individual index analysis, we developed a supervised machine
learning model which could be used to accurately assign recordings to either
healthy or degraded habitat classes. A regularized discriminant analysis (RDA)
algorithm was selected to account for the high level of collinearity reported
between indices (Supp. 1).

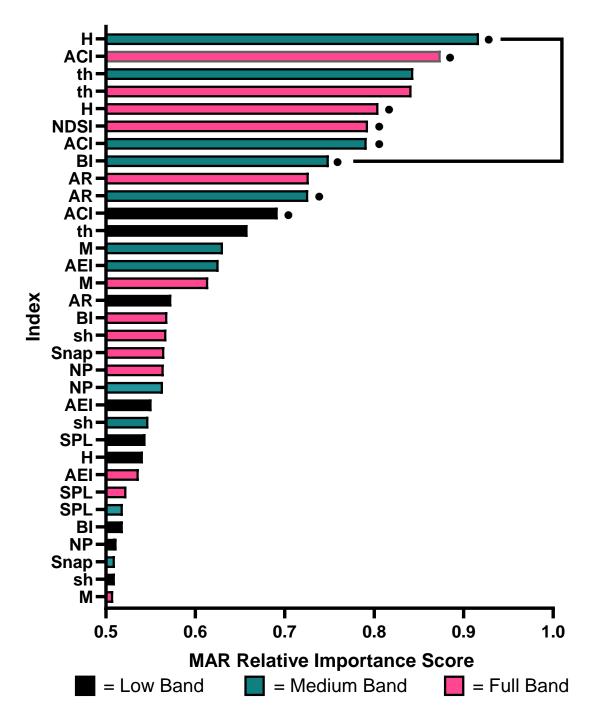
An optimised set of indices was selected in a 'feature selection' stage, using 256 257 recursive feature elimination (RFE) and a multivariate adaptive regression spline (MAR) (Kuhn and Johnson, 2019) (Supp. 1). The RFE revealed the 258 259 increases in model accuracy when using a multi-index approach as additional indices were sequentially added (Fig. 3). Starting with the most informative 260 indices, predictive accuracy increased until a peak at eight indices was reached. 261 This was followed by a decline as further addition of indices introduced noise to 262 the data and/or overtraining occurred. The list of suggested features from the 263 264 RFE included the following index/frequency band combinations: full-frequency band ACI, H, NDSI and th; and medium-frequency band ACI, BI, H and th. This 265 266 was highly congruent with rankings obtained from the relative importance scores using the MAR (Fig. 4). 267



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Fig. 3. Results from the recursive feature elimination performed using 1000 repeats of the discriminant analysis algorithm using k-fold cross validation with 10 folds (see methods 2.5). As additional indices are added to the model, the accuracy of the model is indicated on the y-axis until all 33 indices have been included.

From here, further manual feature selection through removal and addition of 273 indices one by one whilst executing the full model (outlined in section 2.7) was 274 275 used to select a final feature set with the lowest misclassification rate that would constitute the compound index used. This led to the discarding of th in both the 276 277 full and middle-frequency bands and introduction of low-frequency band ACI and middle-frequency band AR into the final set: low-frequency band ACI, 278 279 medium-frequency band ACI, AR and BI, full-frequency band ACI, H and NDSI. Feature selection was performed using the *R* packages *mlbench* (v2.1.1) 280 (Leisch and Dimitriadou, 2010) and Caret (v.6.0-86) (Kuhn, 2020). 281



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Fig. 4. Relative importance rankings of indices obtained from the multivariate adaptive regression (MAR) analysis used for feature selection. The eight recommendations obtained from the recursive feature elimination (RFE) analysis are indicated by the black line. The top eight indices of the MAR analysis were congruent with the RFE's eight recommendations, though the order was not conserved. Black dots to the right of bars indicate features which were selected for the final model after further manual feature selection.

291 2.7 Constructing the final model

Using the healthy and degraded datasets, an RDA model could then be 292 constructed. Accuracy of the model was assessed using k-fold cross validation, 293 with 10 folds. This splits the dataset into 'training' and 'test' sets to prevent 294 295 overestimation of the models accuracy when presented with new data (Supp. 296 1). Due to random processes used in RDA, 1000 repeats of the cross-validated model construction were performed to provide a suitable level of depth for 297 298 accuracy to be assessed (Rao et al. 2008). The RDA model was constructed using the R packages MASS (v.7.3-53) (Venables and Ripley, 200) and KlaR 299 (v.0.6-15) (Weihs et a., 2005). 300

The suitability of the data from restored reefs for entry into the model also had 301 to be confirmed. If the restored sites exhibited soundscape properties that were 302 highly distinct from both healthy and degraded sites, the model would be forced 303 304 to attempt to fit them into a classification that was inappropriate. The presence of divergence from both classes was therefore explored using cluster analysis. 305 306 This employed a principal component analysis (PCA) conducted on the feature set of the eight selected indices and a pairs plot which was also performed in R 307 308 between every combination of two indices against one another (Supp. 3, Fig. 309 S1).

310

311 3. Results

- 312 3.1 Comparing indices between healthy and degraded sites
- 313 Mann-Whitney U tests revealed significant differences between healthy and
- degraded habitat index scores for 15 of the 33 indices (Fig. 5). Violin plots of the
- three most significantly different index results between the healthy and

degraded sites reveal a large area of overlap is present between values of

Index ACI NDSI NP AI AEI AR BI н SE **SNAP** SPL th Frequency band 0.75 Low 0.91* 0.04 0.24 0.35 0.09 0.20 0.05 0.06 0.21 н D н D D D D н n н 0.62** 1.18*** **Medium** 1.38** 0.60** 1.07*` 1.98^{**} 1.63 0.30 0.23 0.09 0.05 D н D н D D D D D н 1.45*** Full-0.54* 0.17 1.08 0.33 1.39** 0.31 0.32 0.11 1.62* 1.78* 0.31 D н н D D D D D н н н U score 0.5 1.0 1.5 Significance; p < 0.05 = *, p < 0.01 = **, p < 0.001 = ***

these indices from both habitat classes (Fig. 6).

Fig. 5. Heat map displaying results from the Mann-Whitney U test between the ecoacoustic index scores calculated from recordings of healthy (n = 81) and degraded (n = 71) sites in low, medium and full-frequency bands. The habitat class with the higher mean is indicated by the letter in the bottom right corner of each cell (D = Degraded; H = Healthy). Blank cells indicate indices for which values from the corresponding frequency band were not calculated (see methods).

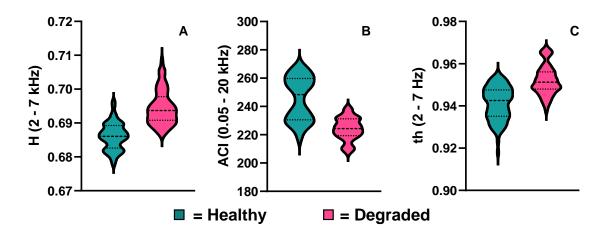


Fig. 6. Violin plots of the three indices with the most significant differences between healthy and degraded habitat (N = 152). (A) Medium-frequency band Entropy Index (H) (Mann-Whitney U; U = 1.98, p<0.001), (B) Full-frequency band Acoustic Complexity index (ACI) (U = 1.78, p<0.001), (C) Medium-frequency Temporal Entropy (th) (U = 1.63, p<0.001).

324 3.2 Comparing indices to phonic richness

Results from the Pearson's correlation revealed no strong relationship between phonic richness and any of the 33 indices trialled (Supp. 1, Fig. S2). The strongest relationship was a weakly negative correlation with the acoustic entropy index (H) in the full-frequency band (Pearson correlation; rho = -0.43; p<0.001), with all other indices reporting weaker correlations than this.

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331 3.3 Regularised discriminant analysis

332 From the 1000 repeated constructions of the cross-validated model using the 333 152 recordings taken across healthy and degraded sites, the pooled mean 334 misclassification rate was 8.27% (± 0.84 , SE). Of the 81 recording samples 335 taken from the two healthy sites, 72.96 (\pm 0.11) of these were correctly classified as healthy, with 8.04 (\pm 0.11) misclassified as degraded. Of the 71 336 recordings taken from the two degraded sites, 67.22 (\pm 0.09) of these were 337 338 classified as degraded, with $3.74 (\pm 0.09)$ misclassified as healthy. Individual results for each recording sample are also reported (Fig. 7). 339

Cluster analysis using the principal component analysis (Fig. 8) and pairs plot 340 (Supp. 1, Fig. S3) were used to examine whether the 110 samples taken from 341 recordings of the three restored sites were suitable for input into the model. 342 343 Results from the plots showed these had a strong overlap with both the healthy 344 and degraded habitat classes. For the Mature Restored and Newly Restored sites 70/81 and 70/71 samples respectively fell within one or both of the 345 predictive ellipses for the two existing classes. This indicates that the 346 347 soundscapes of the restored sites did not diverge from the soundscape present on the other two habitat types when using the properties investigated here. This 348 supports the inputting of restored samples into the model as this is likely to 349 generate an estimation of classification with a similar level of accuracy observed 350

for the original two sites from which it was constructed. Additionally, the PCA showed that 61/81 samples from the Mature Restored sites fell within the ellipse that could be used predict healthy sites, whereas 24/27 samples of recordings from the Newly Restored site fell within the ellipse that can be used to predict degraded sites. However, it is important to note that there was a large region of overlap between the healthy and degraded class, with most of the ellipse of the degraded classes encompassed by that of the healthy class.

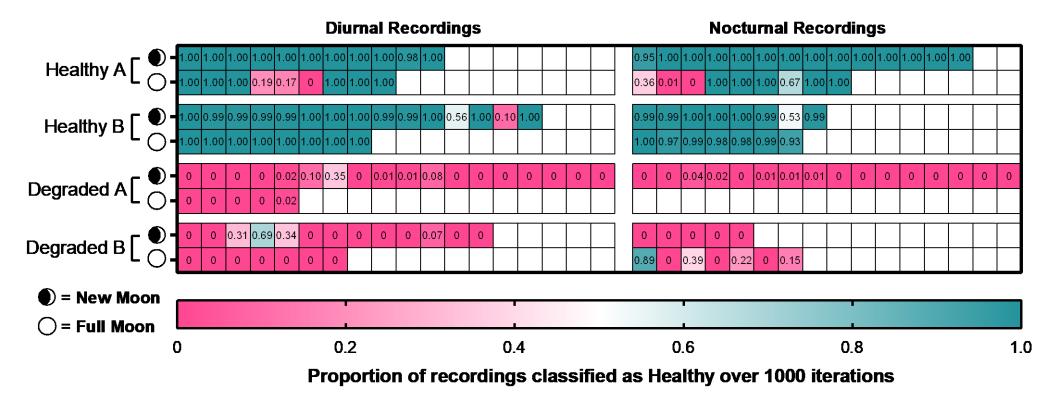


Fig. 7. Habitat classifications predicted by the machine learning model. Each cell indicates a single one minute recording from the 152 that were available across healthy and degraded habitats. The model was executed 1000 times on the dataset, generating a new habitat class prediction each time for every recording. Values within cells represent the proportion of these 1000 iterations in which the recording was predicted as originating from a healthy site, with the remaining being predicated as degraded, also represented by the colour code. Recordings taken on the left of the partition were taken during the day and recordings to the right were taken during crepuscular or night time periods. Although frequent gaps were present in the sampling regime, the order with which cells are presented within their respective blocks conserves the overall order with which they were sampled across time.

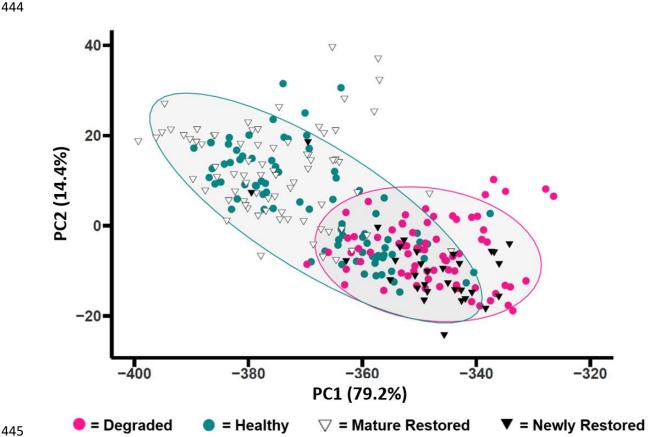


Fig. 8. Plot from the principal component analysis of PC1 and PC2 for the Healthy and Degraded site recording samples. Samples from recordings of Restored sites are overlaid on this to help determine whether these conform with either of the two existing classes or whether the properties of their soundscape are distinct. Ellipses indicate the zone within which a new sample can be assigned to a class using the two principle components presented in this figure alone. Overlapping areas indicate ambiguous results which cannot be differentiated but nonetheless fit one of the existing classes.

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Execution of the model on the restored site samples was therefore performed in the 454 same manner (Fig. 9). The majority classification of samples from mature restored 455 456 sites was healthy, and samples from the newly restored site were mainly classified as degraded. A more decisive classification of Mature Restored site B was reported 457 over Mature Restored site A, with 37/38 and 33/39 samples reporting a majority 458 classification of healthy respectively. The six samples which reported a majority 459 classification as degraded on Mature Restored site A occurred consecutively on the 460 new moon at night. On the Newly Restored site, 27/33 samples reported a majority 461

- classification as degraded, all of these were during the full moon (though only four
- new moon samples were available) and five of these were at night.

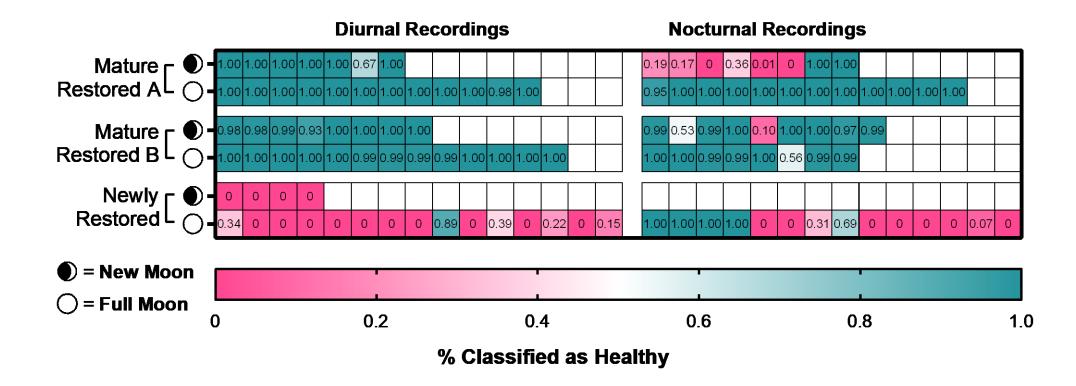


Fig. 9. Habitat classification predictions by the machine learning model for the restored site recording samples. Each cell indicates a single oneminute recording from the 110 that were taken from restored sites. The model was executed 1000 times on the dataset, generating a new habitat class prediction each time for every recording. Values within cells represent the proportion of these 1000 iterations in which the recording was predicted as originating from a healthy site, with the remaining being predicated as degraded, also represented by the colour code. Recordings taken on the left of the partition were taken during the day and recordings to the right were taken during crepuscular or night time periods. Although frequent gaps were present in the sampling regime, the order with which cells are presented within their respective blocks conserves the overall order with which they were sampled across time The model trained on the 2018 recordings was also tested on a smaller number of recordings taken using the same sites and methodology ten months later, in June/July 2019 . Here, the model provided similar predictions for six of the seven sites; the only site exhibited a change in prediction between 2018 and 2019 was Healthy B, which transitioned from a majority classification as healthy to a majority classification as degraded (Table 2, full results in Fig. S4)..

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Table 2. Results from the application of the 2018 model when tested on recordingstaken at the same sites in 2019.

		Healthy A	Healthy B	Degraded A	Degraded B	Mature Restored A	Mature Restored B	Newly Restored
	Recordings classified as Healthy	9/9	2/12	0/5	5/12	9/9	12/12	8/9
175	Proportion classified as Healthy	1.0	0.17	0	0.42	1.0	1.0	0.89

476

477 4. Discussion

478 Our study compared the ability of individual ecoacoustic indices and a machine-

479 learning based compound model to discriminate between coral reef eco-states. Our

results show that while no single ecoacoustic index can reliably discriminate between

481 healthy and degraded reefs, our supervised machine-learning approach

demonstrates a strong ability to accurately predict habitat class from randomly drawn

acoustic samples. This highlights the exciting potential of combining PAM with

484 machine learning for monitoring the health of coral reef ecosystems.

485 Up to twelve individual ecoacoustic indices were used across three frequency

486 bandwidths for a total of 33 features; of these 33, 15 reported a significant difference

between healthy and degraded reefs (Fig. 5). Of additional interest is the lack of

488 strong correlations between any of these indices and phonic richness (Supp. 1),

indicating that fish sound diversity was not the dominant driver of these results;

490 rather alternative aspects of the soundscape were responsible. A combined diversity

and abundance metric may reveal more about the role fish vocalisations play indriving index values.

The distribution of values for the indices that reported significant differences between 493 healthy and degraded reefs all exhibited a high degree of overlap between the two 494 495 habitat classes. This means that the ability to distinguish between habitat classes from a single recording using individual indices is low, as any given value from one 496 class is also likely to be reported from a recording of the other class. Violin plots of 497 498 the three most significant results help to visualise this large overlap between the results of each class (Fig. 6). These indices therefore offer a useful tool to reveal 499 differences between habitats if extensive sampling is achievable on all sites of 500 interest. However, their potential to deliver reliable results from short 'snapshot' 501 recordings is limited. 502

By contrast, through combining multiple indices, the regularised discriminant analysis 503 (RDA) model reported a strong predictive ability to classify single recordings. This is 504 observable in results from the recursive feature elimination algorithm (RFE) (Fig. 3) 505 506 which highlights the increases in accuracy attainable through constructing an optimised set of multiple indices compared to individual indices (Fig. 6). The 507 508 misclassification rate of the final RDA model was $8.27\% (\pm 0.84)$ when applied to recordings from the same season; this was robust to diel and lunar variation (both 509 known to influence marine soundscapes (Staaterman et al., 2014)), and reliably 510 delivered the same classification for recordings from six of the seven sites taken nine 511 months later. The feature selection stage of this approach is specific to the data and 512 questions considered in this study. However, indices within the final feature set may 513 offer a useful starting place for similar investigations. To produce optimised models, 514 investigations on alternative study systems and questions should carry out 515 independent feature selection based on their own training data. 516

Following the successful classification of healthy and degraded habitats, our
compound model was executed on soundscape recordings taken from nearby coral

reef habitats that had been restored (Williams et al., 2019). This was used to 519 520 demonstrate the ability of this approach to perform a rapid assessment of these restored sites, using one-minute soundscape recordings. The model was able to 521 detect differences between the Mature Restored sites and the Newly Restored site. 522 Of the recording samples from the two Mature Restored sites, 33/39 and 37/38 were 523 given a majority classification of healthy, whereas 27/33 samples from the Newly 524 Restored sites were classified as degraded (Fig. 9). The Mature Restored sites were 525 more than twice as old as the Newly Restored site (restoration started >24 months 526 prior to recordings on Mature Restored sites, compared to <12 months for the Newly 527 Restored site), and had approximately three times higher live coral cover (79.1% ± 528 3.9 and $66.5\% \pm 3.8$ for the Mature Restored sites, $25.6\% \pm 2.6$ for the Newly 529 530 Restored site; values all % live coral cover mean ± SE; full data in Supplementary 1).

Restoration progress is thus reflected in the soundscape and can be effectively detected using a machine learning driven approach. This has strong implications for marine practitioners interested in using PAM to monitor the progress of restored sites against reference habitats. More generally, it further demonstrates the potential of using machine learning on PAM data to provide a powerful level of analytical depth for coral reef monitoring programmes.

537 To explore how the model could have been further improved, it is worth considering the sources of the observed error rate. The presence of this error could be due to 538 several factors in isolation or in combination. The RDA approach used operates best 539 when the input features are of a Gaussian distribution (Wu et al., 1996), however, 540 some of the features used exhibited a sub-Gaussian distribution. This effect was 541 likely due to the inclusion of samples from alternative times of day and multiple sites. 542 543 Diel trends are frequently observed in reef soundscapes and this is reflected in the output of ecoacoustic indices (Kaplan et al., 2015; Bertucci et al., 2020; Carriço et 544 al., 2020). Additionally, reef soundscapes are known to differ over small spatial 545 scales (Putland et al., 2017). Considering samples were taken from spatially 546

547 separated sites to provide replicates, it is to be expected that differences across the 548 same habitat class will have occurred. Both of these factors may have skewed the 549 distributions of the feature sets. Furthermore, the dataset used to train the model 550 itself was likely imperfect and will have contained natural outliers through ecological 551 randomness that cannot be resolved at the sampling resolution employed.

It is also interesting to observe six of the same seven sites recorded in 2018 reported 552 similar results 10 months later in 2019. The outlier here was Healthy B, for which 553 554 10/12 recordings were incorrectly predicted as degraded by the model. Recordings on this site were only collected during the day in 2019, with 9/12 of these taken 555 during the new moon period. The soundscape may thus have been inadequately 556 sampled, or it could be an indicator of a changing state of health on this site, not yet 557 indicated by the coral cover data which was highly similar for both years (Supp. 1). 558 Every other recording taken from both the Mature Restored sites in 2019 were 559 560 henceforth classified as healthy, potentially indicating their continued restoration progress towards becoming established 'healthy' habitats. 561

It is important to note that although this model demonstrated an impressive ability to discriminate between habitat classes, a limitation of the experimental design was spatial replication: only two example sites of each habitat type were available (and only one example for Newly Restored).. Trialling the same approach on a larger number of sites would offer a valuable contribution to elucidating the full utility of machine learning to discriminate between healthy and degraded coral reef ecostates.

Future investigations could also build on the present study by considering a more nuanced approach to classifying eco-state. For example, this study employed a binary classification of reef health. In reality reefs exist along gradients of eco-states that are not as simplified as this (Downs et al., 2005; Smith et al., 2008). A sliding gradient of eco-states could be sampled and alternative machine learning algorithms such as random forests, neural networks or logistic regression could then be trained

575 on this data to produce models which can make predictions on a continuous scale. 576 Additionally, although coral cover alone can be a strong indicator of overall reef 577 health (Dietzel et al., 2020; Smith et al., 2016), other attributes of interest could be 578 considered to better determine the eco-state of a site. Future work could attempt to 579 fit soundscape-based machine learning models to fish abundance or diversity 580 metrics, or other habitat attributes. Demonstrating the use of machine learning 581 against such efoort-intensive survey methods would be valuable.

582 Machine learning models not driven by ecoacoustic indices have also demonstrated 583 utility in other ecological investigations. Examples of alternative approaches includes 584 the splitting of recordings into many smaller frequency bands and the calculation of 585 amplitude values from these (Roca and Van Opzeeland, 2019), or, the use of a 128 586 strong 'universal acoustic feature set' produced from a cross-convolutional neural 587 network applied to *AudioSet*, the world's largest manually labelled sound database 588 (Hershey et al., 2017; Sethi et al., 2020).

589

590 5. Conclusion

This investigation demonstrates that through combining ecoacoustic indices using machine learning, improved predictions of a coral reefs eco-state (healthy or degraded) can be made from one-minute recordings. This constitutes an exciting step towards maximising the value of PAM data collected from reef habitats. It also demonstrates this concept in practice through its application on large areas of restored reef, revealing that restoration progress is detectable in the soundscape of these sites.

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607

608 CRediT authorship contribution statement

609 **Ben Williams** was primarily responsible for analysis, modelling design and writing

the manuscript. **Timothy A.C. Gordon** led field collection of data with assistance

from Ben Williams, Lucille Chapuis, Harry Harding and Eleanor May. Timothy

A.C. Gordon, Lucille Chapuis, Andrew Radford and Stephen Simpson provided
 comments on analysis, modelling and the written manuscript. Stephen Simpson
 provided supervision.

615

616 Declaration of Competing Interest

The authors declare that they have no known competing financial interests or
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620

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Supplementary information

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Coral Cover

Live coral cover percentages were measured at each site in 2018 and 2019. Three 10 m transects were laid parallel to each other, 5m apart, at every site. Quadrats were placed every metre along each transect and photographed from above using a digital camera (Olympus TG-5). Twenty-five points were overlaid on each image using Coral Point Count software (Kohler & Gill 2006); the live coral percentage cover for each quadrat was taken as the percentage of these points that overlaid live coral.

	Healthy A		Healthy B		Degraded A		Degraded B	
	2018	2019	2018	2019	2018	2019	2018	2019
Mean percentage live coral cover	91.2	91.5	93.1	94.3	2.1	3.3	17.6	11.6
Standard error	2.0	3.2	2.6	2.2	0.9	1.3	4.6	2.7
	Mature Restored A		Mature		Newly			
			Restored B		Restored			
	2018	2019	2018	2019	2018	2019		
Mean percentage live coral cover	79.1	56.5	66.5	76.3	25.6	34.5		
Standard error	3.9	5.7	3.8	2.7	2.6	2.8		

Table S1. Coral cover percentage values.

Feature selection algorithms used for RDA model

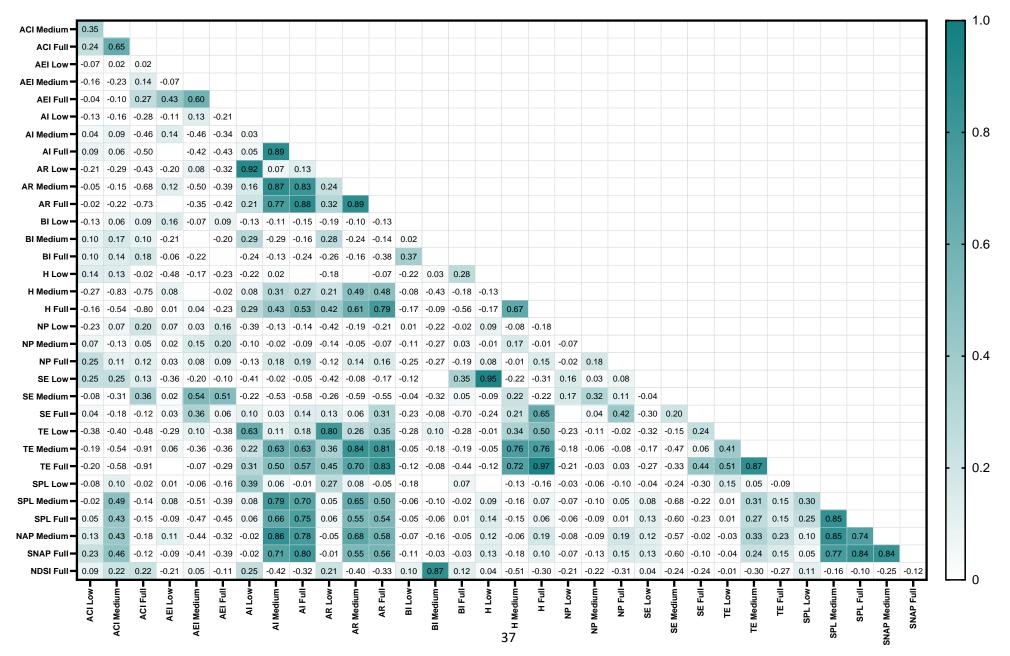
We used two approaches to select relevant indices amongst the feature set with a regularized discriminant analysis (RDA) algorithm. The first approach used was recursive feature elimination (RFE). This operates by selecting subsets of features and adding or removing a small number of other features progressively over multiple

iterations until an optimised combination is found (Kuhn and Johnson, 2019). The second approach used was a multivariate adaptive regression spline (MAR) which constructs models with different combinations of features. It then progressively adds the remaining features and scores the associated increase or decrease in parameters, such as the predictive error in the model, to determine the importance of a feature (Kuhn and Johnson, 2019). One-hundred iterations of each algorithm were performed. Both approaches use a RDA algorithm.

Cross-validation of RDA model

It is important to note that models constructed on the full dataset available typically overestimate their own accuracy. It is therefore essential to perform cross-validation of the model if a more representative estimate of its accuracy is required, as was desired here. Cross-validation involves splitting the data into two groups. The first is a 'training set' in which the model is provided with samples and informed of the correct classification for each, enabling it to construct its predictors which will be used to classify new data. The second is a 'test set', upon which the model is executed whilst blind to the true class of each sample. This yields a prediction of the class for each sample within the test set, allowing the accuracy of the model to be obtained when presented with new data that was not used in its construction (Stone, 1974). There are several varieties of cross-validation. In this instance, K-fold cross validation using 10 folds was identified as a suitable and conservative technique for estimating error (Hastie et al., 2009). This split the data into 10 groups, treating nine of the ten as the training set and then testing the model on the remaining fold which acted as the test set. This process was then repeated for all combinations of the initial 10 folds and the accuracy reported.

Fig. S1. Results from a Spearmans collinearity test between all 12 indices in each of three frequency bands: low (0.05 – 0.8 kHz), medium (2 – 7 kHz), full (0.05 – 20 kHz). Darker cells represent a stronger correlation. Blank cells indicate values <0.00.



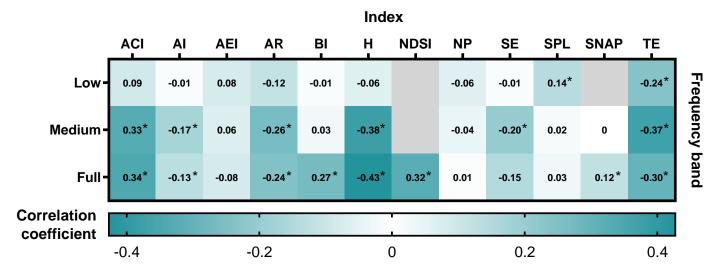


Fig. S2. Heat map displaying results from Pearson correlation tests between eco-acoustic indices and phonic richness scores in the low, medium and full frequency bands. Strength of correlation is indicated by the colour bar. Cells marked with an asterisk indicate those with a significant correlation (p<0.05). Blank cells indicate indices for which values from the corresponding frequency band were not calculated (see methods).

Fig. S3. Scatterplots between each of the eight indices selected for inclusion as features in the final model. Values from healthy and degraded sites alongside values from restored sites are included to enable divergence between these two groups to be observed if present.

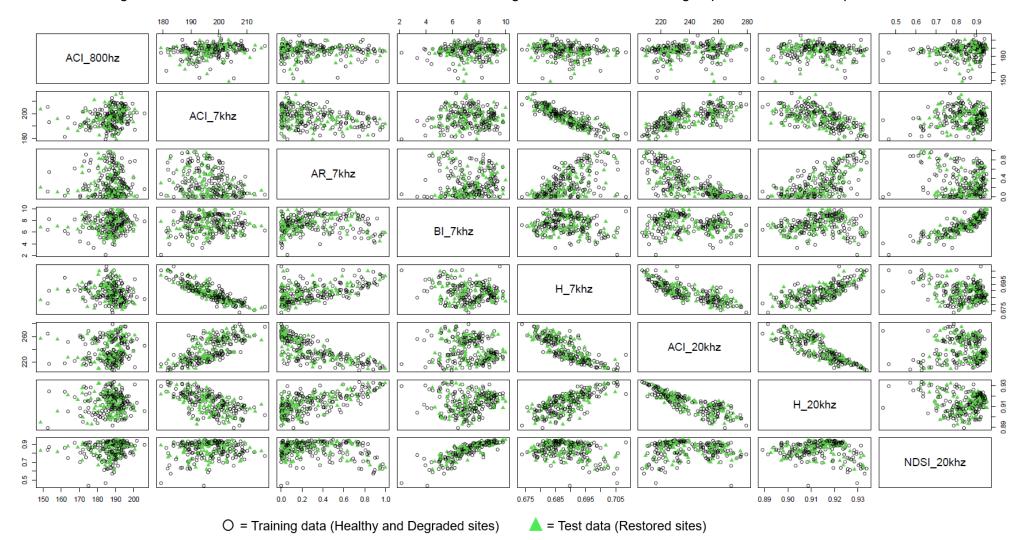


Fig. S4. Additional recordings were taken approximately nine months later using the same methodology, from the same seven sites, within three days either side of the new moon (June 17th) and full moon (July 3rd) in 2019. All recordings were taken during the daytime only. The results from 1000 iterations of the model are presented below.



Proportion of recordings classified as Healthy over 1000 iterations

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