## Microbial Adaptation to Antibiotic Treatment Both in the Lab and the Clinic

Submitted by

## **Emily Wood**

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## Abstract

Despite the use of antibiotics in modern medicine for nearly a century, there is still 2 much to learn about how bacteria respond and adapt to these commonly used drugs. 3 Furthermore, antibiotic treatments in patients can span weeks or even months, there-4 fore it is crucial to understand how pathogens evolve to antibiotics within the hu-5 man body over time. This thesis comprises two parts, with the first exploring the 6 impact that antibiotics have on bacterial growth and viability during nutrient starva-7 tion through experimental evolution and the second investigating the genomes of 8 multidrug-resistant pathogens acquired from patients suffering with chronic infec-9 tion. 10

Initially in chapter two we demonstrate how ribosome-targeting antibiotics such as 11 doxycycline and erythromycin can actually be beneficial for Escherichia coli, both 12 through the stimulation of growth and improved long-term viability in an environment 13 starved of nutrients. This is not true for all antibiotics though, as antibiotics with 14 alternative cellular targets (rifampicin and penicillin) do not confer the same bene-15 fits. Given that antibiotics are primarily associated with negative impacts on bacterial 16 growth, this is a surprising finding and we sought to identify the mechanism. Whole 17 genome sequencing was performed on doxycycline-exposed populations of E.coli 18 as they went through starvation, as well as the use of a GFP-tagged promoter library 19 to study short-term metabolic changes occurring as nutrients are depleted. Follow-20 ing this, we demonstrate the influence that ribosome production has on long-term 21 viability through the use of *E.coli rrn* knockout strains. Through doing so, we show 22 that interference with ribosome functioning can improve long-term viability in nutrient 23 starved environments, mirroring the effects of ribosome-targeting antibiotics. 24

<sup>25</sup> Chapter four is dedicated to understanding the effects of genomic background on <sup>26</sup> doxycycline-induced benefits in *E.coli*. Through the use of the keio library, over 2,500 <sup>27</sup> individual growth curves were generated, consisting of growth data in both the pres-<sup>28</sup> ence and absence of doxycycline. Principle component analysis was subsequently <sup>29</sup> carried out, and groups of strains associated with particular phenotypes were as <sup>30</sup> signed into functional categories. Through doing so we uncover some unexpected
 <sup>31</sup> phenotypes, for example *E.coli* knockout strains that are only able to grow in media
 <sup>32</sup> containing doxycycline.

Finally, chapters five and six explore the genomes of pathogenic bacteria during long-33 term antibiotic treatment. Bacteria evolve resistance towards antibiotics within labo-34 ratory experiments in a matter of days, but how do pathogens adapt to repeated an-35 tibiotic treatment within the human body? Klebsiella pneumoniae and E.coli isolates 36 spanning 18 and 26 months respectively were acquired from two different patients 37 and characterised through the use of nanopore sequencing and phenotypic studies. 38 Nanopore sequencing allowed the resistomes of these pathogens to be elucidated, 39 as well as genomic variations and structural changes. Whilst the K.pneumoniae iso-40 lates were found to be clonal, thereby allowing genomic changes to be tracked over 41 time, the *E.coli* isolates were found to belong to different clonal groups. Comparative 42 genomics was therefore carried out on these isolates to assess the genomic variabil-43 ity between isolates and determine the genetic basis for antibiotic resistance. 44

In summary, this thesis describes a novel, beneficial effect of ribosome-targeting an-45 tibiotics on long-term bacterial viability. Whilst an antibiotic may be effective in the 46 short-term, over long periods it may actually stimulate bacterial growth. This has im-47 plications not only on the clinical use of antibiotics, but also on our understanding of 48 natural antibiotic production in the environment. Additionally, this thesis builds upon 49 our knowledge of within-host evolution of pathogens and demonstrates the ability 50 of nanopore sequencing to elucidate resistomes. Alongside phenotypic data, ge-51 nomics can be a powerful tool in determining the antimicrobial resistance profile of 52 pathogens, particularly in complex clinical cases such as those presented here. 53

54

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# **Abbreviations**

- 434 **MIC** : Minimal inhibitory concentration
- 435 **OD** : Optical density
- 436 **CFU**: Colony forming units
- 437 GFP: Green fluorescent protein
- 438 WT: Wild type
- 439 **AMR**: Antimicrobial resistance
- 440 **Dox** : Doxycycline
- 441 **Ery**: Erythromycin
- 442 Rif : Rifampicin
- 443 Pen : Penicillin
- 444 **KPC**: Klebsiella pneumoniae carbapenemase
- 445 **M9** : M9 minimal media
- 446 LB : Lysogeny broth
- 447 MLST: Multilocus sequence type
- 448 **ST**: Sequence type
- 449 **SNP**: Single nucleotide polymorphism
- 450 Indel: Insertion/deletion
- 451 WGS: Whole genome sequencing
- 452 CNV: Copy number variation
- 453 **TCA**: The tricarboxylic acid cycle, otherwise known as the citric acid cycle
- **TEM**: Temoneria  $\beta$ -lactamase gene
- 455 **SHV**: Sulfhydryl variable  $\beta$ -lactamase gene
- 456 **CTX-M**: Cefotaxime Munich  $\beta$ -lactamase gene
- 457 **OXA**: Oxacillinase  $\beta$ -lactamase gene
- 458 M9CAA : M9 minimal media supplemented with 0.1% casamino acids, and unless
- <sup>459</sup> otherwise stated, 0.2% glucose i.e. defined minimal media.
- 460 **ROS** : Reactive oxygen species

# 463 **CHAPTER ONE** 464 INTRODUCTION

The discovery of antibiotics in the early 20th century marked a turning point for mod-465 ern medicine. These so called 'miracle' drugs have since saved countless lives by 466 allowing us to treat infections that would otherwise prove fatal. However, the surge 467 in antibiotic use brought with it a bacterial counterattack - antibiotic resistance. Not 468 only are effective treatment options limited by the increasing incidence of antibiotic 469 resistance, but we also face the issue of a dwindling antibiotic pipeline. As a result, 470 we are at great risk of entering a 'post-antibiotic era' in which very few, or ultimately 471 no effective antibiotics remain in our arsenal against bacterial infection [1]. In work-472 ing towards a solution to this crisis, we first must fully understand how antibiotics 473 impact every aspect of bacterial growth, and indeed death. Furthermore, it is imper-474 ative that we not only carry out research on traditional laboratory strains of bacteria, 475 but that we also use clinical isolates that have recently experienced the conditions 476 of the human body. By doing so, we can accurately investigate microbial adaptation 477 to antibiotic treatment in more clinically relevant bacteria, and over realistic infection 478 timespans. 479

In this thesis we explore microbial adaptation to antibiotics over the course of longterm antibiotic treatment. Initially, we look at the impacts that ribosome-binding antibiotics have on bacterial death over the course of many weeks. Moving into the second half of this thesis, we will investigate the phenotypic and genotypic adaptation towards repeated antibiotic treatment in clinical isolates, with a focus on particularly complex infection case studies.

## 487 1.1 What are antibiotics?

Antibiotics negatively impact bacterial growth and clear infection, hence why they 488 are so therapeutically useful [2, 3, 4]. Consequently, much attention is given to the 489 detrimental outcomes of antibiotic treatment on microbes, with antibiotics classified 490 loosely under two broad categories - bacteriostatic drugs that negatively impact mi-491 crobial growth rate, and bactericidal drugs that induce cell death [5, 6]. Nevertheless, 492 this is a rather simplistic view of antibiotic action, as we know that under certain con-493 ditions they can actually be beneficial. For example, doxycycline can simultaneously 494 increase microbial growth rate and biomass yield [7]. It is not entirely unexpected 495 that antibiotics would have unforeseen effects on microbial traits, given their large-496 scale impacts on bacterial metabolism and gene expression. Chlortetracycline, for 497 example, is known to induce a global cellular response leading to the differential ex-498 pression of various metabolic pathways [8], and chloramphenicol has been shown 499 to slow down metabolic rate and decrease oxygen uptake, counteracting the effects 500 of bactericidal drugs and reducing death rate [9]. Nonetheless, antibiotic-induced 501 microbial benefits are rarely identified. 502

However, given that antibiotics have been shown to provide benefits towards the 503 bacterial populations that they target, this raises the guestion - what is an antibiotic? 504 Antibiotics are produced by a wide range of fungi and bacteria in the environment, 505 for example Streptomyces naturally produce the aminoglycoside antibiotic strepto-506 mycin as a secondary metabolite. Traditionally, antibiotic production is thought of as 507 a 'warfare strategy' to kill competitors in the surrounding environment, thus providing 508 the space and nutrients needed for growth [10]. There is certainly plenty of evidence 509 that antibiotics do play a role in competition in natural microbial communities [11, 12]. 510 Nevertheless, in recent years it has been suggested that antibiotics in the environ-511 ment may serve an alternative, peaceful purpose as signalling molecules [13]. This 512

is motivated in part by the suggestion that the concentration of antibiotics in the soil
are mostly subinhibitory and so are unlikely to be detrimental to competitors. Furthermore, secondary metabolites are usually produced during the stationary phase
of growth, when nutrients are becoming scarce. It has been suggested that the production of these secondary metabolites signals variations in resource availability and
induces changes in gene expression to facilitate survival [14].

However, even very low concentrations of antibiotic can promote the development of antibiotic resistance and thus pose some level of selection pressure [15, 16]. Despite this, multiple studies have shown the range of alternative impacts that subinhibitory concentrations of antibiotics can have on bacterial cells, aside from the development of resistance. For example, low doses of antibiotic can result in changes in gene expression, resulting in increases in virulence [17] and biofilm production [18].

It is likely that the function of antibiotics as either a warfare or peaceful molecule is 525 not mutually exclusive and that they function differently depending on the concen-526 tration of antibiotic present. This is termed 'hormesis' - in other words, whilst high 527 concentrations of antibiotic such as that used clinically may induce cell death, the 528 subinhibitory concentrations often found in the environment may play an alternative 529 role. Indeed, antibiotic-induced hormetic effects on bacterial growth have been re-530 ported [19, 20]. This brings us back to our original question - what is an antibiotic? 531 Despite our use of antibiotics as a treatment for bacterial infections, can they in fact 532 confer microbial benefits, and how does this change the definition of an antibiotic? 533 534

## 535 1.1.1 The structure and function of doxycycline

<sup>536</sup> In this thesis, there is a particular emphasis on doxycycline and its impact of the <sup>537</sup> growth and survival of *E.coli*. Doxycycline is part of the commonly used tetracycline <sup>538</sup> class of broad-spectrum antibiotics. Many antibiotics within this class are natural (i.e. <sup>539</sup> naturally produced by microbes in the environment), for example oxytetracycline and

chlortetracycline that are produced by Streptomyces [21]. However, various semisynthetic and synthetic derivatives of these antibiotics have been developed in the years since their discovery - doxycycline, for example, is a second-generation semisynthetic tetracycline antibiotic first developed in the early 1960's by Pfizer [22]. It is now a commonly prescribed antibiotic, used to treat a range of infections such as sexually transmitted, respiratory tract and skin infections.

The chemical structure of tetracycline antibiotics is based on four aromatic rings, with various functional groups attached. The structure of doxycycline in particular is displayed in figure 1.

549



Figure 1: The chemical structure of doxycycline, created using BioRender.

<sup>550</sup> Doxycycline is largely considered to be a bacteriostatic antibiotic, in that it inhibits <sup>551</sup> the growth of bacterial populations rather than causing cell death. However, this is <sup>552</sup> highly dose dependent, as large concentrations of bacteriostatic antibiotics can re-<sup>553</sup> sult in cell death [23]. Its primary mechanism of action is through binding to the 16S <sup>554</sup> rRNA (a component of the 30S subunit of the ribosome), thereby inhibiting the bind-<sup>555</sup> ing of aminoacyl-tRNA and preventing protein synthesis [6].

Aside from its primary effect on the bacterial ribosome and consequent effects on
 growth, doxycycline can have other effects on bacterial cells and population dynam ics. For example, doxycycline has been shown to result in increased growth rate and

cell yield of E.coli [7]. It has also been shown to alter the metabolism of both prokary-559 otes [24] and eukaryotes [25, 26], with some tetracycline antibiotics even prolonging 560 the lifespan of certain organisms [27, 28]. The effect on longevity is partly due to 561 the antioxidant properties of tetracycline antibiotics, and this is a property that will 562 be further explored within this thesis. In particular, these antibiotics scavenge and 563 inactivate superoxide molecules that could otherwise could result in cellular damage 564 (such as DNA damage) [29, 30]. Furthermore, due to these antioxidant properties, 565 tetracyclines have been shown to be effective in the treatment of inflammatory ill-566 nesses [31, 32]. Despite the existing knowledge on doxycycline and its mechanism 567 of action, evidently there are still gaps in our understanding of its wider cellular ef-568 fects. 569

570

## **1.2** The interaction between antibiotics and bacterial metabolism

Aside from the effects on the cellular target, antibiotics are also known to have a 572 range of downstream impacts on bacterial metabolic pathways. Furthermore, an-573 tibiotics from different classes will interact with metabolism in different ways. Bacte-574 ricidal antibiotics, for example, result in elevated metabolic rate, in contrast to bac-575 teriostatic antibiotics which slow down the rate of metabolism [33, 5]. Indeed, it is 576 thought that this disregulation of central carbon metabolism results in cell death after 577 treatment with a cidal drug due to oxidative stress [34, 35]. Moreover, metabolism 578 directly influences a cells susceptibility towards antibiotics. At the extreme end of 579 the spectrum are persister cells that are effectively dormant and therefore tolerant 580 towards antibiotics [36]. 581

Indeed, antibiotic resistance can be conferred by genomic alterations in metabolic
genes and these mutations can be detected in clinically relevant pathogens [37, 38,
39]. Here we sought to understand how doxycycline interacts with the expression
of key carbon metabolism genes in *Escherichia coli* as glucose is exhausted, in ad-

dition to measuring the impacts of metabolic gene knockouts on the response to doxycycline.

588

# 1.3 The quantification of bacterial fitness : considering death as well as growth

The quantification of bacterial fitness is key to characterising microbial adaptation 591 and evolution over time, as genotypes with greater fitness will produce a greater 592 number of offspring and thus outcompete other microbes in the environment. Fit-593 ness itself is often quantified as the rate of bacterial growth [40, 41], however this 594 is only one aspect of fitness. An alternative, and commonly used method for fitness 595 quantification is the use of a competition assay in which one microbial strain is com-596 peted against another strain, and the relative frequency of both strains provides an 597 indication of their relative fitness [42, 43, 44]. Although this method gives a broader 598 view of fitness across multiple growth parameters, the media used is often rich in 599 nutrients and thus promotes rapid growth. And yet, it is predicted that the natural 600 environment is dominated by bacteria in an energy-limited state [45]. As such, rapid 601 growth isn't necessarily the most preferable growth strategy in every environment. 602

Nutrients within the environment often fluctuate and form gradients [46, 47], and as 603 a result microbes pass through multiple stages of growth and death as carbon avail-604 ability changes [45, 48]. Moreover, it is inevitable that these nutrient fluctuations will 605 impact on metabolic pathways. For example, upon entering a nutrient-poor environ-606 ment microbes must adapt to use more 'thrifty', resource efficient strategies over the 607 wasteful metabolic routes used in optimal conditions [49, 50]. Indeed, even in rela-608 tively nutrient-rich environments such as the mammalian gut, temporal fluctuations 609 in nutrients occur with variations in feeding pattern [51]. Moreover, when nutrients 610 are available the competition to acquire them will be fierce [52]. 611

Given that death is such a ubiquitous life stage in the environment, it seems logical to

<sup>613</sup> consider death as a crucial component of fitness alongside other growth parameters
<sup>614</sup> such as growth rate. Indeed, growth rate is known to directly influence death rate as
<sup>615</sup> *E.coli* with slower growth rate experience a delay in the onset of death [9]. Fitness
<sup>616</sup> should therefore be considered as both the ability to grow rapidly when nutrients are
<sup>617</sup> abundant, as well as the ability to endure periods of starvation.

In this thesis, we highlight the importance in measuring microbial responses to an-618 tibiotics in suboptimal environments. By doing so, we can understand the influence 619 of antibiotics on the later stages of microbial life, and indeed on death. For the pur-620 pose of this study, we define death as the decline in cell viability over time (measured 621 as colony forming units - CFU), with the eventual loss of  $\sim$ 99% of the population. As 622 such, we consider bacterial populations to have improved longevity when they main-623 tain cell viability in environmental conditions that would otherwise result in death/the 624 loss of cell viability. 625

626

## 627 1.4 Antibiotic resistance

Antibiotics have revolutionised medicine, allowing us to treat previously untreatable infections. However, the incidence of antimicrobial resistance are on the rise, and this is particularly concerning in life-threatening conditions, for example sepsis. Driving antimicrobial resistance is the widespread dissemination of antimicrobial resistance (AMR) genes, often carried on mobile genetic elements such as plasmids [53, 54]. This ultimately results in treatment complications, and consequently increases in morbidity and mortality.

<sup>635</sup> Bacteria can develop resistance to antibiotics through a variety of mechanisms. For <sup>636</sup> example, efflux pumps can be produced that actively pump the antibiotic out of the <sup>637</sup> cell and into the external environment [55]. The antibiotic target may be modified, <sup>638</sup> thereby preventing antibiotic-target binding [56]. Enzymes such as  $\beta$ -lactamases can <sup>639</sup> be produced that hydrolyse the antibiotic, inactivating it. Finally, genomic variations can result in modifications to outer membrane porins, resulting in decreased uptake
of antibiotic into the cell [57]. We will now discuss two common classes of enzymes
that confer resistance to antibiotics and that are particularly relevant to the clinical
isolates that will be discussed in chapters five and six.

644

### 645 1.4.1 Extended-spectrum $\beta$ -lactamases

 $\beta$ -lactam antibiotics such as penicillin are commonly used in the treatment of bacte-646 rial infections, however their use is threatened by the rising incidence of Extended-647 spectrum beta-lactamase (ESBL)-producing Enterobacteriacaea worldwide [56, 58]. 648 ESBLs are able to hydrolyse a variety of  $\beta$ -lactam antibiotics, including third genera-649 tion cephalosporins such as cefotaxime [56]. However, the vast majority are suscep-650 tible to  $\beta$ -lactamase inhibitors such as clavulanic acid and tazobactam, and so upon 651 detection of ESBL production a  $\beta$ -lactam- $\beta$ -lactamase inhibitor combination may be 652 used. The most clinically relevant ESBLs are Temoneria (TEM), Sulfhydryl variable 653 (SHV), Cefotaxime Munich (CTX-M) and Oxacillinase (OXA)-type  $\beta$ -lactamases, all 654 of which are often located alongside other AMR genes on plasmids and are thus 655 rapidly disseminated throughout populations [59]. 656

TEM  $\beta$ -lactamases were the first chromosomally-located  $\beta$ -lactamase, initially de-657 scribed in the 1960's originating in Greece. TEM has since spread worldwide in a 658 variety of pathogens. Furthermore, derivatives of TEM have arisen due to amino acid 659 substitutions, resulting in changes to the enzymes action [60]. SHV  $\beta$ -lactamases 660 are primarily identified in K.pneumoniae and E.coli, with the first identification occur-661 ring in *E.coli* in the 1970's [61]. 189 variants of SHV have since been identified both 662 in the chromosome and plasmids of various Enterobacteriacaea and disseminated 663 worldwide [62]. CTX-M producing bacteria have become particularly widespread, 664 resulting in a so called 'CTX-M pandemic'. This is thought to be driven partly by the 665 co-resistance to aminoglycosides and fluoroquinolones in CTX-M producing bacte-666

ria, increasing selection for strains harbouring the bla<sub>CTX-M</sub> gene. Since their identifi-667 cation in the late 1980's, new variants have arisen and the CTX-M group of enzymes 668 have steadily become more diverse, increasing resistance to a broader range of 669 antibiotics such as cefotaxime and ceftazidime [63]. Finally OXA  $\beta$ -lactamases rep-670 resent one of the earliest identified  $\beta$ -lactamase, first discovered in the 1970's [64] 671 and primarily located on plasmids. Of the hundreds of OXA-type  $\beta$ -lactamases iden-672 tified since, some such as OXA-48 are additionally found to hydrolyse carbapenem 673 antibiotics and thus pose a significant clinical risk [65]. 674

675

#### 676 1.4.2 Carbapenemases

Carbapenems are often considered the antibiotic of last resort, particularly in ESBL-677 producing bacteria for which there are few treatment options.  $\beta$ -lactamase enzymes 678 including ESBLs are generally ineffective against carbapenem antibiotics due to vari-679 ations in their structure relative to other  $\beta$ -lactam antibiotics. Consequently, they are 680 often the drug of choice for serious infections in which multidrug-resistance is sus-681 pected. This makes the rise of carbapenemase-producing Enterobacteriacae, driven 682 by carbapenem use, particularly concerning [66]. Moreover, carbapenemases are 683 not inhibited by  $\beta$ -lactamase inhibitors, and as such  $\beta$ -lactamase- $\beta$ -lactamase in-684 hibitor combination treatments such as pip/tazobactam are ineffective treatment op-685 tions in carbapenemase-producing bacteria. 686

<sup>687</sup> Carbapenem resistance is conferred by a group of enzymes called 'carbapene-<sup>688</sup> mases' that are able to hydrolyse a range of carbapenem antibiotics. The four main <sup>689</sup> groups of carbapenemases include KPC, NDM, VIM and OXA-48. KPC are part of <sup>690</sup> the class A group of carbapenemases first identified in *K.pneumoniae* in the US in <sup>691</sup> 2001 [67]. It has since become one of the most widespread carbapenemases in the <sup>692</sup> US, and indeed worldwide [68, 69] partially due to its dissemination on AMR plas-<sup>693</sup> mids. The risk posed by these carbapenemases is only heightened by the frequent

<sup>694</sup> failure to identify them using standard AMR testing protocols.

Both VIM and NDM are class B carbapenemases, characterised by an active-site zinc [70]. VIM carbapenemases are found to be particularly prevalent in Europe, and were first identified in Italy in 1997, harboured by *Pseudonomas aeruginosa* [71]. NDM was first described in 2009, originating from New Delhi, India [72] and has since diversified into multiple different variants conferring resistance to a wide range of antibiotics, alongside carbapenems.

Finally, OXA  $\beta$ -lactamases are relatively weak class D carbapenemases [73]. Most of the early OXA  $\beta$ -lactamases are able to hydrolyse  $\beta$ -lactam antibiotics, including cephalosporins, but have no activity against carbapenems. However, later variants such as OXA-48 have a broader range and *are* able to hydrolyse carbapenem antibiotics, albeit weakly [74, 75].

In addition to carbapenemases, alterations in outer membrane porins such as OmpK36
 can result in reduced susceptibility towards carbapenems. This results in further
 treatment complications due to difficulties associated with the detection of these
 porin variants using traditional AMR testing protocols [76, 77, 78].

710

## **1.5** Within-host adaptation to antibiotic treatment

There are a plethora of studies tracking the prevalence and spread of AMR genes 712 within hospital environments, particularly in cases of infection outbreaks and with se-713 rious pathogens such as KPC-producing K.pneumoniae. Whole genome sequencing 714 (WGS) is increasingly used in order to determine the AMR profile of pathogens that 715 are identified, and track changes in AMR genes that occur both over time and be-716 tween patients. For example, genomic and epidemiological data was used to trace 717 a serious outbreak of K.pneumoniae back to the original patient in the US National 718 Institute of Health Clinical Centre [79]. Furthermore, the use of rapid sequencing 719 platforms such as the MinION from Oxford Nanopore allow outbreaks to be tracked 720

in real time, for example in the characterisation of ESBL strains within hospital wards
[80]. An obvious recent example is the use of nanopore sequencing to rapidly track
the global spread of COVID-19 [81].

Although studies across multiple patients are invaluable for tracking infection spread, 724 only by sequencing serial isolates from individual patients can we appreciate micro-725 bial adaptation to antibiotic treatment over the course of a single infection. Despite 726 this, there are limited studies of genomic adaptation within a single patient. Examples 727 of within-patient adaptation include the use of WGS to track the emergence of mu-728 tations within rpoA and rpoC genes in a rifampicin-resistant strain of Mycobacterium 729 tuberculosis within a single patient [82]. Blair et al. measured genomic changes 730 retrospectively from a patient that failed antibiotic therapy and identified that a single 731 amino acid change within an efflux pump protein was responsible for increases in 732 drug resistance [83]. Furthermore, PacBio sequencing has been used to track the 733 progression of a urinary tract infection in a single patient, ultimately failing to identify 734 any genomic variants between multiple samples acquired from different body sites. 735 The suggestion being that there are insufficient selective pressures in a single host 736 over the short time period studied [84]. Given the rapid adaptation towards antibiotics 737 in experimental systems [85, 7, 86], we sought to understand how bacteria would 738 adapt over long periods of repeated antibiotic treatment within a patient. Moreover, 739 we questioned if the large selective pressures posed by antibiotic treatment would re-740 sult in structural changes within the genome, particularly in regions containing AMR 741 genes. Large structural variations such as deletions and duplications can have a 742 substantial impact on the antibiotic susceptibility of pathogens, for example duplica-743 tions in a genomic region containing the doxycycline efflux pump operon acr have 744 been shown to result in decreased susceptibility towards doxycycline [7]. As such, 745 we sought to identify any structural variations present in the clinical pathogens ac-746 guired for this study, and explore if and how they change over a period of extensive 747 antibiotic use. 748

## 750 1.6 Thesis aims

This thesis aims to explore the novel, beneficial effects that antibiotics can have on 751 bacterial cells, as well as the development of antibiotic resistance over the course of 752 bacterial infection within two patients. In chapter two we will investigate the impact of 753 ribosome-binding antibiotics on both resource efficiency and longevity. For this, we 754 study the growth dynamics of the model organism *E.coli* in starvation conditions, with 755 the presence of antibiotics from different classes. This is followed by WGS and pro-756 moter expression measurments to elucidate the impact of antibiotics on the genome 757 and metabolic gene expression during growth in suboptimal environments. 758

<sup>759</sup> In chapter three, we identify the relationship between a cells capacity for ribosome <sup>760</sup> production and long-term survival in suboptimal environments through the use of <sup>761</sup> rRNA (*rrn*) knockout strains. We know that ribosome production is correlated with <sup>762</sup> microbial growth rate, but what about death?

Next, in chapter four we utilise a subset of the keio collection, a library of almost
 4,000 strains of *E.coli* with individual genes knocked out. Through the use of this
 library we explore the relationship between genomic background and phenotypic re sponse to the antibiotic doxycycline, and in doing so uncover a number of highly
 unusual phenotypes.

Finally, in chapters five and six we track the progression of microbial adaptation to repeated antibiotic treatment across a time-course of patient samples. We acquired bacterial isolates from two patients, one of whom was infected with carbapenemresistant *K.pneumoniae* over 18 months, and the other with multi-drug-resistant *E.coli* over 26 months. We aimed to use nanopore sequencing to rapidly identify both the presence of AMR genes, virluence genes and structural variations in the genome.

774

# 776 CHAPTER TWO

# RIBOSOME-BINDING ANTIBIOTICS BENEFIT GROWTH AND LONG TERM VIABILITY

## 780 **2.1 Overview**

775

In this Chapter, we explore the impacts that antibiotics can have on the long-term growth of *E.coli*, and the effects on entry into death phase. Furthermore, we quantify the genomic impacts of starvation following doxycycline exposure, as well as the differential expression of key metabolic genes. The key findings are summarised below.

786	1. Pre-exposure to ribosome-binding antibiotics leads to improvments in the gr	owth
787	and long-term viability of <i>E.coli</i> populations.	

2. These benefits to viability are lost in a doxycycline-resistant *E.coli* strain.

3. Doxycycline-exposed populations are better able to use cell debris as a nutrient
 source.

4. We show that doxycycline treatment results in differential expression of key
 carbon metabolism genes through the use of a GFP promoter library.

5. Starvation results in the accumulation of a large number of genetic polymor phisms, some of which are unique to antibiotic treatment.

Our understanding of antibiotic function is largely limited to studies exploring 795 adaptation in conditions that are optimal for growth [16, 87]. However, this fails to 796 reflect the conditions in which the majority of naturally occurring bacteria find them-797 selves. The natural environment is harsh, with fierce competition for available nutri-798 ents by various microbes, and consequently death is a common life stage observed 799 under these conditions [45]. Moreover, unlike the homogenous shaken cultures used 800 in laboratory experiments, the human body consists of complex nutrient and drug 801 gradients [88, 51], in addition to the intense competition for available carbon [52]. 802

Antibiotic susceptibility is typically measured over the course of 24 hours, again in rich growth media. However, in reality the duration of antibiotic treatment can span weeks or indeed months in duration. Consequently, traditional tests do not capture the true range of microbial adaptation over clinically relevant time periods. Antibiotics have in fact been reported to have beneficial impacts on bacterial growth over extended growth periods [7], and it is therefore critical that all stages of bacterial growth are considered when measuring the microbial response to a drug.

By focusing purely on antibiotic-induced effects on the initial stages of growth, we are neglecting the dominant life stages observed in the environment (i.e death), and thus limiting our understanding of microbial adaptation to antibiotic treatment. Furthermore, we know that bacteriostatic antibiotics have a plethora of impacts on microbial metabolism, and this can impact not only on growth, but also reduce cell death caused by bactericidal antibiotics [9]. Nevertheless, the benefits conferred by antibiotics are rarely discussed in the literature.

In this chapter, we sought to investigate the impact that antibiotics from various classes have on *E.coli's* long-term viability and entry into death phase. Bacteria are subject to a 'growth-longevity' trade-off whereby slower growth results in a slower rate of death and rapid growth rates accelerate entry into death phase [9]. Moreover,

antibiotics that slow down growth have been shown to counteract the lethal effect of antibiotics that otherwise result in cell death [5]. We therefore hypothesised that a compound which slows down growth rates, such as certain antibiotics, would have beneficial effects on the long-term viability of a microbial population.

Alternatively, antibiotic-induced inhibition of cellular processes and changes in metabolic 825 pathways could result in accelerated death, and therefore reduced long-term viabil-826 ity. The impact of various antibiotics on long-term bacterial viability was studied, 827 and any effect on viability is shown to be specific to the antibiotics mechanism of 828 action. Additionally, the genomic changes occurring over the course of starvation in 829 doxycycline-exposed populations of *E.coli* will be discussed, as well as alterations in 830 the expression of key metabolic genes over the initial stages of antibiotic treatment 831 and nutrient exhaustion. 832

833

#### 2.1.1 Interaction between doxycycline and the ribosome

In this chapter we will primarily focus on the antibiotic doxycycline. Doxycycline is 835 part of the tetracycline class of antibiotics - a group of broad spectrum antibiotics 836 used in the treatment of a range of diseases such as urinary tract and intestinal in-837 fections [6]. Tetracyclines carry out their antimicrobial action by binding to the 16S 838 rRNA, thereby inhibiting the binding of aminoacyl-tRNA to the ribosome and conse-839 quently inhibiting the process of protein synthesis [6]. The interaction between tetra-840 cycline antibiotics and the ribosome is often transient, as the binding is reversible. 841 Moreover, the reversibility of ribosome binding has been reported to impact the out-842 come on growth inhibition, with reversible ribosome-targeting antibiotics more effec-843 tive in the inhibition of fast-growing bacterial populations than irreversible antibiotics 844 [89]. 845

Tetracyclines are generally considered to be bacteriostatic as they result in slower bacterial growth rates, unlike bactericidal antibiotics that result in bacterial death.

However, it should be noted that the distinction between bacteriostatic and bactericidal antibiotics is not clear cut as bacteriostatic drugs can behave as cidal drugs at sufficiently high concentrations [23], furthermore it has been suggested that the distinction of antibiotics into these two classes is not particularly useful or relevant to clinical treatment [90].

As proper ribosome functioning is so crucial to such a vast range of cellular pro-853 cesses, interference with translation has a multitude of downstream effects on the 854 cell aside from simply the inhibition of protein production [8, 91]. For example, treat-855 ment with ribosome-binding antibiotics has been associated with reduced cellular 856 respiration and metabolic rate [5] as well as impacts on gene expression [8]. More-857 over, despite ribosome-binding antibiotics traditionally being viewed as detrimental 858 compounds towards bacterial cells, we are now beginning to understand the range 859 of beneficial impacts that they can have on bacterial growth [20], even at clinically 860 relevant doses [7]. 861

It should also be noted that aside from the intended effects on bacterial cells, tetra-862 cycline antibiotics are also known to have a range of unexpected side effects on 863 eukaryotic cells. For example, clinically relevant doses of doxycycline can induce 864 alterations in mitochondria functioning [92], as well as changes in oxygen consump-865 tion and metabolism in human cell lines [25]. There is also evidence that certain 866 ribosome-binding antibiotics increase longevity in eukaryotic organisms, for example 867 doxycycline exposure resulted in a dose dependent increase in longevity in C. el-868 egans [28] and minocycline has been shown to extend the lifespan of Drosophila 869 due to increases in oxidative stress resistance [93]. This raises the question -870 can ribosome-targeting antibiotics such as doxycycline also prolong the lifespan of 871 prokaryotes? 872
#### 873 2.1.2 Microbial death and survival

When grown in a media with sufficient quantities of a carbon source such as glu-874 cose, *E.coli* will pass through five key stages of growth - the first being lag phase in 875 which bacteria adapt to their new environment, followed by a period of exponential 876 growth with cells doubling approximately every 20 minutes. During this phase the 877 available nutrients will rapidly deplete as they are utilised by cells for growth and the 878 population will enter stationary phase, characterised by little cell turnover. After a 879 short period of time the population will enter death phase and cell density will rapidly 880 decline. This leads to the final stage, long term stationary phase in which 'growth 881 advantage in stationary phase' (GASP) mutants arise and eventually dominate the 882 population [94]. 883

In the natural environment microbes often find themselves in nutrient poor, fluctuat-884 ing conditions and consequently they are required to survive during periods of ex-885 tended nutrient deprivation. It is unsurprising therefore that both stationary and death 886 phase are common growth stages in the natural environment. Indeed, when these 887 nutrient-poor conditions are replicated in a laboratory environment, E.coli adopt sim-888 ilar phenotypes to those seen in nature by slowing down their rate of metabolism, 889 thus improving capacity for survival [95]. As bacteriostatic antibiotics have also been 890 reported to reduce the rate of metabolism [5, 8], this leads us to question if they 891 inadvertently prepare a bacterial population for the effects of nutrient deprivation. 892

Bacterial death is the process by which a population of bacteria rapidly loses cell 893 viability, eventually resulting in the loss of 99% of viable cells. It has long been 894 considered a consequence of the imbalance between incoming nutrients and the 895 maintenance costs of the cell during starvation, ultimately resulting in cell death and 896 lysis [96]. In addition, the build-up of toxic metabolic by-products as well as reactive 897 oxygen species (ROS) in the media only act to accelerate the death process [97]. 898 We can now appreciate microbial death as a complex and highly regulated process. 899 For example, cells undergo multiple physiological and chemical changes on the ap-900

proach to death such as a decrease in cell size [98, 99], ribosome degradation [100]
and reductions in protein production [101].

Certain bacteria are able to withstand periods of starvation through the formation of 903 dormant endospores [102], allowing them to survive harsh conditions for long dura-904 tions of time, even thousands of years [103]. Although vegetative bacteria such as 905 *E.coli* are unable to form spores to withstand stressful environments, they have been 906 shown to survive in long-term stationary phase for many years in media, even once 907 all available nutrients had been depleted [104]. But how do E.coli cells survive in 908 media depleted of nutrients without the ability to form spores? Initially, one route to 909 survival lies in the ability of cells to utilise alternative carbon sources in the environ-910 ment, such as the acetate produced by overflow metabolism [105]. Furthermore, the 911 nutrients released by dead cells can be recycled and used as a carbon source - as 912 cells die and lose their membrane integrity, nutrients will leak out into the environ-913 ment and be taken up by neighbouring cells [106, 107]. Ultimately, when a population 914 has passed through death phase and entered long term stationary phase, a small 915 portion of the population may survive in a balance between birth and death. GASP 916 mutants may arise, often with rpoS mutations allowing the cells to better catabolise 917 certain amino acids as a carbon source and thus giving them a competitive advan-918 tage [108, 109, 110]. 919

920

#### 921 2.2 Contributions

The experimental design, implementation of protocols, and data analysis were carried out by Emily Wood. Dr Carlos Reding-Roman provided support for the analysis of whole genome sequence data. Prof Ivana Gudelj assisted with experimental design and provided computational facilities. Prof Robert Beardmore provided funding (EPSRC), as well as assisting with experimental design and data analysis. Whole genome sequencing was carried out by Prof Hinrich Schulenburg (Evolutionary Ecol-

<sup>928</sup> ogy and Genetics, Zoological Institute, Kiel, Germany). The *E.coli* GBc strain was <sup>929</sup> gifted by Dr Remy Chait and Prof Roy Kishony.

930

# 2.3 Doxycycline improves the growth of *E.coli* in defined mini mal media

To determine the impact of ribosome-binding antibiotics on long-term population dy-933 namics, it is first important to understand changes to resource efficiency. In other 934 words, how efficiently the cells can convert available carbon into ATP. Traditionally, 935 the minimal inhibitory concentration of an antibiotic is determined by diluting the drug 936 2-fold and measuring changes in turbidity (optical density) at 24 hours, as set out by 937 the clinical laboratory standards institute [111]. However, here we are interested in 938 antibiotic-induced changes to bacterial growth over an extended time period, and 939 consequently an extended antibiotic susceptibility test is required. 940

In order to illustrate the effects of long term incubation on the doxycycline dose re-941 sponse, a simple dilution series of doxycycline was set up as described in the materi-942 als and methods, and the wild type (WT) strain *E.coli* MG1655 was left to grow for 72 943 hours in M9CAA without the addition of fresh media. Note that, unless others stated, 944 within this thesis M9CAA refers to M9 media supplemented with 0.2% glucose and 945 0.1% casamino acids. As figure 2 shows, the growth at 24 hours is consistent with 946 our expectation of a traditional dose response in that the growth of *E.coli* is inhibited 947 in a dose dependent manner. The minimum inhibitory concentration (MIC) was esti-948 mated to be 0.83mg/l doxycycline, as shown by the grey line in figure 2. 949

<sup>950</sup> By 48 hours (blue line), we observe a deviation from the monotonic decrease in <sup>951</sup> growth measured at 24 hours, rather we now find that exposure to subinhibitory <sup>952</sup> doses of doxycycline results in a stimulation of growth. Moreover, by the end of <sup>953</sup> the measurement period (72 hours - green line), the largest population densities are <sup>954</sup> found in the concentration previously determined to be around the MIC (0.8mg/l). It

is clear that whilst doxycycline is inhibitory at 24 hours, there is an increase in popu-955 lation density relative to the control by both 48 and 72 hours, with  $\sim$ 2.5 fold increase 956 in cell density in 0.8mg/l doxycycline relative to the drug-free control. The raw growth 957 curves for each doxycycline concentration are displayed in supplementary figure S2. 958 To ensure the robustness of OD readings in the measurement of bacterial growth 959 over the extended period of time used, colony forming units (CFU) were also quan-960 tified at both 24 and 72 hours for *E.coli* in drug-free conditions and those exposed 961 to 0.4 and 0.8 mg/l doxycycline. These CFU counts mirrored the outcome obtained 962 via OD measurements, thus confirming that this is a reliable measurement of cell 963 density under the conditions used here (figure 3b). 964

The 'overgrowth' phenotype observed here in doxycycline has previously been reported [7, 112]. Although, in contrast to the data we present here, the overgrowth phenotype was not previously observed in *E.coli* MG1655, only in *E.coli* AG100. This antibiotic-induced stimulation in bacterial growth challenges the commonly held assumption that doxycycline, and indeed antibiotics in general, are purely detrimental molecules for bacteria.



Figure 2: Experimental data on the growth of *E.coli*, shown as OD(600nm) on the y axis, as a function of doxycycline concentration shown on the x axis. The different coloured lines represent measurements at different times points, with the dark blue line representing 24 hours, light blue 48 hours and finally green for 72 hours. The vertical grey line represents the IC99, and the vertical dashed lines represent the 95% confidence intervals. The data shows a transition from a monotone dose response at 24 hours to a non-monotone dose response at 72 hours, indicating that doxycycline is no longer inhibitory. (n=3).



Figure 3: (a) CFU counts confirm that the growth of *E.coli* populations initially exposed to 0.8mg/l doxycycline is inhibited at 24 hours, (b) however they grow to significantly higher cell densities by 72 hours relative to the drug-free control. One way ANOVA with post-hoc tukey, ns = not significant, \* = p < 0.05, \*\* = p < 0.01, \*\*\* = p < 0.001.*n*=3.

For the purpose of this study, we define K as the maximum population density 972 (OD) within the measured time period. For details on the methods used to estimate 973 the growth parameters, please refer to the methods and materials section 8.6. When 974 the growth parameters of these populations are quantified, we find that there is a 975 decrease in the growth rate of doxycycline-exposed cultures relative to the drug-free 976 control, yet growth rate doesn't decrease further in a dose-dependent manner (figure 977 4a). However, microbial growth rate is highly dependent on resource concentration, 978 and it is therefore possible that at different glucose concentrations a dose-dependent 979 decrease in growth rate would be measured. Both K and lag (figure 4b-c) were found 980 to increase significantly with increasing concentrations of doxycycline. 981

Next, the data was examined for trade-offs between the different growth parameters. 982 In evolutionary biology, the term 'trade-off' refers to a phenomenon whereby the 983 improvement of one trait negatively effects another trait [113, 114]. Figure 5a shows 984 that doxycycline treatment results in a trade-off between the duration of lag and the 985 final population density. In other words, drug-free populations have a short lag period 986 but also a small K, whereas E.coli exposed to high concentrations of doxycycline 987 (e.g. 0.8mg/l) have the 'cost' of a longer lag phase, but benefit from a larger K. A 988 long lag phase can be considered costly as a longer period of no growth provides 989 more time for competitors to exploit resources within the environment. This lag-K 990 trade-off mirrors that reported previously in *E.coli* AG100 [7, 112]. Although growth 99 rate is lower in doxycycline-exposed populations relative to the drug-free, there is no 992 evidence of a trade-off between growth rate and K (figure 5b), or growth rate and lag 993 (figure 5c). 994



(C)

Figure 4: The effect of doxycycline on a) growth rate, b) K and c) lag is shown. There is a decrease in growth rate between drug-free and doxycycline-treated cultures, however this does not decrease further with increasing concentrations of doxycycline. Both K and lag increase in a dose-dependent manner. Linear regressions are shown as thick solid lines, with  $\pm$  estimated 95% confidence intervals (CI, 3 replicates) shown as thinner lines. n = 3.



(C)

Figure 5: The final population density (K) is shown as a function of lag (a) and rate (b), and lag is shown as a function of rate (c). There is a significant, linear increase in K with lag time, however there is no significant relationship between rate and K, or rate and lag. Linear regressions are shown as solid lines, with  $\pm$  estimated 95% confidence intervals (CI, 3 replicates) shown as thinner lines. *n=3* 

## 2.4 Doxycycline-induced changes to growth parameters are resource dependent

Microbial growth is highly dependent on the richness of carbon source in the envi-997 ronment and as such there exists a metabolic trade-off between the yield and rate of 998 ATP production. For example, at low growth rates when nutrients are limiting, E.coli 999 cells tend to perform respiratory metabolism, a highly efficient process that results in 1000 large ATP yields. On the other hand, when resources are abundant and growth rates 1001 are rapid, cells switch to inefficient fermentative metabolism with lower ATP yields 1002 [115, 116, 117]. Given the relationship between nutrient availability and resource 1003 efficiency, we next asked if varying the amount of carbon in the environment would 1004 alter the doxycycline-induced improvements to cell density. 1005

During a 72 hour time period, separate populations of E.coli were grown in defined 1006 minimal media containing 1mg/ml<sup>-1</sup> casamino acids and glucose ranging from 0.2 1007 20mg ml<sup>-1</sup>. Three cultures were left drug-free whilst the remaining cultures were 1008 exposed to either 0.2, 0.4 or 0.8mg/l doxycycline. Growth was measured as optical 1009 density every 20 minutes and the raw growth curves are shown in supplementary 1010 figure S3. Figure 6 shows the relationship between K (maximum cell density) and 1011 the concentration of glucose supplied in the media for populations exposed to doxy-1012 cycline, normalised to the drug-free control. In populations treated with the lowest 1013 dose of doxycycline (0.2mg/l), we find that there is only a marginal increase in K with 1014 increasing glucose supply. However, in those cultures treated with 0.4 and 0.8mg/l 1015 doxycycline, K is maximised at intermediate glucose concentrations relative to the 1016 drug-free population. The benefits to efficiency provided by doxycycline are likely 1017 to be lessened at high glucose concentrations due to the toxic effects of surplus 1018 glucose supply. Furthermore, growth is found to be relatively poor at low glucose 1019 concentrations, resulting in this humped geometry. Both linear and guadratic regres-1020 sions were applied to the data to test the robustness of the quadratic fit. 1021

<sup>1022</sup> The lag-K trade-off is found to be robust across varying glucose availability, as show

in figure 7. The data suggests that doxycycline exposure enhances the cells ability 1023 to efficiently use the glucose that is available in the media, as a higher K is achieved 1024 in doxycycline-exposed cultures than in drug-free conditions with the same supply 1025 of glucose. We can further quantify this by showing the relationship between K and 1026 yield (OD per mg of glucose) over the range of glucose and doxycycline concentra-1027 tions used - it is evident that K is maximised in the most resource efficient popula-1028 tions, that is those populations exposed to the highest concentrations of doxycycline 1029 (figure 8). Moreover, doxycycline-induced changes in growth rate are also found to 1030 be mediated by glucose supply, as shown in figure 9. Growth rate is known to be 103 influenced by glucose availability [7], and so it is perhaps not surprising that glucose 1032 availability also influences the growth rates of doxycycline-exposed E.coli. 1033



Figure 6: The variation in K (OD at carrying capacity), relative to the drug-free control and as a function of glucose concentration. We find that K is maximised at intermediate glucose concentrations at larger doses of doxycycline. Quadratic regressions are shown as solid lines, with  $\pm$  estimated 95% confidence intervals (CI, 3 replicates) shown as dashed lines.







Figure 8: The variation in K (y axis) as a function of yield (x axis) across populations exposed to varying glucose and doxycycline concentrations. Different colour intensities represent varying glucose supply and circle size represents varying doxycycline concentration. K is found to be maximised when the supply of glucose is greatest, and with large doses of doxycycline (smaller circles).





#### 1035 2.4.1 Doxycycline-induced benefits are lost in complex media

Having identified that doxycycline improves resource efficiency when grown in M9CAA, 1036 and that K is optimised by intermediate concentrations of glucose, we next sought 1037 to identify if this benefit is observed in other types of media. Lysogeny broth (LB) is 1038 a rich media containing the majority of precursors needed for optimal growth (e.g. 1039 amino acids), allowing cells to grow more rapidly than they otherwise would in a nu-1040 trient limited media. Populations of *E.coli* MG1655 were grown in LB media for 72 1041 hours in the presence and absence of doxycycline (0.2, 0.8, 1, 2 and 3mg/l) and 1042 growth was measured as OD every 20 minutes. Note that the concentrations of 1043 doxycycline used here are greater than that used in M9CAA media due to the higher 1044 MIC measured in LB. 1045

The growth of doxycycline-exposed cultures is initially inhibited at 24 hours, and the 1046 cell density is found to recover to levels similar to that found in the drug-free popu-1047 lations by 72 hours (figure 10). As such, by 72 hours doxycycline does not appear 1048 to have a negative impact on cell density relative to the control. However, unlike 1049 in M9CAA (figure 2), the doxycycline-exposed populations in LB show no stimula-1050 tion of growth above that seen in the drug-free control at any time point. This is 105 further evident when we quantify the growth of doxycycline-exposed cultures rela-1052 tive to drug-free (figure 11) as we find that none of the doxycycline-exposed cultures 1053 exceed the cell density of the drug-free populations at any of the three time points 1054 shown (24, 48 and 72 hours). The raw growth curves for this data set are shown in 1055 supplementary figure S4. 1056

The metabolic capacity of *E.coli* varies depending on the type of resource available, and richness of that resource in the environment. In this case, growth in LB media eradicates the benefits to cell density induced by doxycycline observed in M9CAA and this is likely due to the changes in metabolism on different media types (for example, the increased production of fermentative by-products in LB media due to inefficient metabolism) [118, 119]. The finding that rich media eradicates doxycycline-

induced benefits to growth leads us to hypothesise that this phenotype could be influ enced by metabolic processes. Specifically, the stimulation of growth in M9CAA but
not in LB suggests that this phenotype is dependent on the presence of glucose in
the media, and the resulting metabolic pathways involved in the utilisation of glucose.



Figure 10: Experimental data on the growth of *E.coli* in LB media, shown as OD(600nm) on the y axis, as a function of doxycycline concentration shown on the x axis. The different coloured lines represent measurements at different times points, with the dark blue line representing 24 hours, light blue 48 hours and finally green for 72 hours. The vertical grey line represents the IC99, and the vertical dashed lines represent the 95% confidence intervals. Caution should however be taken when estimating the IC99 from this dataset due to the limited number of doxycycline concentrations used. Doxycycline is not found to result in any benefit to *E.coli* in terms of cell density when grown in LB. (n=3).



Figure 11: The cell density at 24 (a), 48 (b) and 72 (c) hours as a function of doxycycline dose, relative to the growth of drug-free populations (red dotted line) for populations of *E.coli* grown in LB media. n=3.

# 2.5 The impact of antibiotics on the long-term viability of *E.coli* populations

Given that doxycycline drastically impacts the initial stages of growth, and indeed the 1070 efficiency of resource use, it would be logical that there would also be drug-induced 107 impacts on the long-term viability of a bacterial population. For the purpose of this 1072 work, we define bacterial death as the loss of cell viability, as determined by a drop 1073 in CFU/ml over time. Microbial birth i.e. growth rate, and death are known to be 1074 interlinked, for example slower growth rates result in delayed entry into death phase 1075 [9]. Here, doxycycline has been found to reduce growth rate relative to a drug-free 1076 culture, as shown in figure 9, as well as impacting on other key factors in the onset 1077 of growth such as lag phase (figure 4c). Moreover, the main cellular target of doxy-1078 cycline, the ribosome, is also directly related to growth rate [120] and the response 1079 to nutrient fluctuations [121, 122]. Ribosome degradation, for example plays a key 1080 role in starvation survival [100, 123]. We therefore sought to understand the impact 108 that ribosome-binding antibiotics such as doxycycline have on microbial death and 1082 long-term population viability. 1083

For this, we measured *E.coli* population dynamics over an extended period of time in 1084 the presence of the ribosome-binding antibiotics doxycycline and erythromycin. Ery-1085 thromycin was used here to allow a comparison to be made against two ribosome-1086 binding antibiotics from different antibiotic classes (doxycycline being a tetracycline 1087 and erythromycin a macrolide antibiotic). As such, any impact of long-term cell vi-1088 ability could be established as a doxycycline-specific effect, or a general effect of 1089 ribosome-targeting antibiotics. Although both antibiotics have a similar mode of ac-1090 tion, they are structurally different and have different ribosomal binding sites - doxy-109 cycline binds to the 30s subunit whilst erythromycin binds to the 50s subunit. It was 1092 hypothesised that given the similar mode of action, both antibiotics would have a 1093 similar effect on long-term viability. 1094

<sup>1095</sup> In addition, we measured the growth of *E.coli* in antibiotics with alternative cellular

targets as a control. The antibiotics penicillin and rifampicin were selected as they 1096 represent antibiotics from different classes, with different modes of action. Peni-1097 cillin is a  $\beta$ -lactam antibiotic that inhibits cell wall formation in gram negative bacteria 1098 through the inactivation of penicillin binding proteins [124]. Rifampicin, on the other 1099 hand, is part of the rifamycin class of antibiotics. It carries out its inhibitory action 1100 by binding to and inhibiting DNA-dependent RNA polymerase [125]. Both rifampicin 110 and penicillin are generally considered to be bactericidal in nature, whilst doxycycline 1102 and erythromycin are generally considered as bacteriostatic antibiotics. 1103

E.coli populations were exposed to these antibiotics at concentrations that inhibit 1104 20% or 50% of growth for 28 days without the addition of fresh glucose. Dose re-1105 sponses for each antibiotic are displayed in supplementary figure S1. In the absence 1106 of antibiotic (Fig 12a-d, black line), cell numbers increase to  $\sim 8 \times 10^8$  cells/ml in the 1107 first 24hrs, but rapidly decline to  $\sim$ 4.7x10<sup>8</sup> cells/ml by day 3, and finally  $\sim$ 9x10<sup>7</sup> 1108 cells/ml by day 28. This represents approximately a 90-fold reduction in viability, 1109 indicating that the populations are likely to be in rapid death phase from day 3 on-1110 wards. This is supported by glucose measurements, revealing a steep decrease in 111 availability between 24-48 hours in drug-free conditions (figure 14), therefore glu-1112 cose exhaustion is the probable cause of cell death. The standard curve used with 1113 the glucose assay is displayed in supplementary figure S5 1114

We observe a surprising, novel phenotype upon exposure to doxycycline and ery-1115 thromycin - an improvement in growth and long-term viability (figure 12a-b). The 1116 data shows that, although the number of viable cells decreases initially on days 6-1117 8 in cultures exposed to doxycycline and erythromycin respectively, this is followed 1118 by further phases of population growth. This increased growth results in overall 1119 improvements to the long-term viability of the populations relative to the drug-free 1120 control. In contrast, the drug-free populations rapidly collapse in cell number from 1121 day three onwards, with no evidence of similar resurgences in growth. 1122

This data is reflected in area under the time curve (AUC) measurements, showing a significant increase in overall cell density over the 28 days relative to the drug-

free control with both doxycycline ( $p < 1.5 \times 10^{-5}$ ) and erythromycin ( $p < 1.6 \times 10^{-6}$ ), as shown in figure 13a-b. This would suggest that exposure to doxycycline or erythromycin results in a greater number of cells over the 28 day time period, in other words increased growth.

Whilst we see improved viability and growth with the use of ribosome-binding an-1129 tibiotics, exposure to neither the beta-lactam antibiotic penicillin (Fig 12c) or the 1130 RNA polymerase inhibitor rifampicin (Fig 12d) results in growth profiles similar to 113 the drug-free control, with no overall benefit to long-term viability or indeed growth. 1132 We observe a decrease in AUC with penicillin (p < 0.002) and no significant change 1133 with rifampicin, as shown in figure 13c-d. Taken together these results suggest that 1134 ribosome-binding antibiotics, whilst inhibitory on day 1 of exposure, eventually lead 1135 to stimulation of growth and a delay in population collapse as culture times are ex-1136 tended, thereby supporting our hypothesis that antibiotics which impact bacterial 1137 growth will also impact on long-term viability during starvation. We next sought to 1138 further confirm that this improved viability is due to antibiotic-ribosome binding. For 1139 the sake of simplicity, future experiments were carried out only with doxycycline. 1140



Figure 12: Changes in cell viability over 28 days in populations of E.coli exposed to antibiotic. In the absence of antibiotic (a, black line), cell number rapidly declines after day 3. However, exposure to the ribosome-binding antibiotics doxycycline (a) or erythromycin (b) results in multiple phases of growth and decline, with overall benefits to long-term viability. The initial exposure of *E.coli* to doxycycline and erythromycin leads to further growth of the populations over the extended time period. Exposure to the antibiotics penicillin (c) or rifampicin (d) fails to confer an advantage to long-term viability relative to the drug-free control. The mean data is displayed as a solid line, with  $\pm$  estimated 95% confidence intervals (CI) shown as dashed lines. n=3.



Figure 13: Area under the time curve (AUC) was calculated. Treatment with doxycycline significantly increases AUC (one way ANOVA: F(2,8) = 118.3, p <1.5 x 10-5)(Post hoc Tukey: Drug-free vs 0.2mg/l (p <1.37 x 10-5), Drug-free vs 0.4mg/l (p <1.2 x 10-4)), as does erythromycin (one way ANOVA: F(2,8) = 251.5, p <1.6 x 10-6)(Post hoc Tukey: Drug-free vs 2mg/l (p <2.3 x 10-5), Drug-free vs 5mg/l (p <4.3 x 10-6)). Penicillin leads to a decrease in AUC (one way ANOVA: F(2,8) = 21.8, p <0.0018)(Post hoc Tukey: Drug-free vs 0.125mg/l (p <0.006), Drug-free vs 0.25mg/l (p <0.002)), whereas there is no significant difference in AUC with rifampicin (one way ANOVA: F(2,8) = 0.28 p = 0.76). The medians and first and third quartiles are shown in the overlaid boxplots.



Figure 14: The glucose concentration in cultures exposed to 0, 0.2 and 0.4 mg/l of doxycycline for 72 hours was measured using a colorimetric glucose assay. The glucose concentration was determined using a standard curve of known glucose concentrations. (a) At 0 hours, glucose concentrations are high due to a lack of growth, however by (b) 24 hours glucose is almost completely exhausted in drug-free cultures. After 48 hours, (c) the remaining glucose in doxycycline-treated cultures has also been exhausted. The delay in glucose depletion within doxycycline-treated cultures is due to initial growth inhibition. n = 3

### 1142 2.6 Doxycycline-induced benefits are lost in a resistant strain

If the physical binding of the antibiotic to the ribosome is key to the observed benefits 1143 in K and long-term viability, then hindering this binding should reduce or eradicate 1144 any benefits. In order to confirm this hypothesis, we explored the response of a 1145 tetracycline-resistant strain of *E.coli* to doxycycline exposure. There are multiple cel-1146 lular mechanisms that interfere with doxycycline-ribosome interactions, thereby con-1147 ferring resistance towards doxycycline. Examples include efflux [126], alterations 1148 to the antibiotic structure [127] and finally ribosome protection [128]. We utilised 1149 a tetracycline-resistant strain of E.coli (GBc) [129] that is resistant to doxycycline 1150 via the latter mechanism, ribosome protection (tet36) [130]. Ribosome protection 1151 proteins work by dislodging tetracycline molecules and their derivatives from their 1152 binding site on the ribosome, thereby freeing the ribosome for tRNA binding and 1153 allowing protein synthesis to continue, even in the presence of otherwise inhibitory 1154 concentrations of antibiotic [128]. 1155

In order to establish the effect of antibiotic treatment on the growth of the resistant 1156 strain, E.coli GBc was initially grown in drug-free conditions and two concentrations 1157 of doxycycline (0.6 and 0.8mg/l) for 72 hours. This allowed for all glucose in the me-1158 dia to be exhausted. The doxycycline-sensitive strain, *E.coli* MG1655 was grown in 1159 identical conditions for the purposes of comparison. As shown in figure 15a, whilst 1160 doxycycline-resistance provides benefits to the initial stages of growth, by 72 hours 1161 there is no significant difference in K in the doxycycline-exposed populations relative 1162 to drug-free (figure 16a). This is in contrast to the doxycycline-sensitive E.coli strain 1163 that has a stimulation in growth upon exposure to doxycycline (figure 15b), resulting 1164 in a significantly higher K in doxycycline relative to drug-free conditions by 72 hours 1165 (figure 16b). 1166

1167



Figure 15: The growth dynamics of doxycycline-resistant (*E.coli* GBc) and doxycycline-sensitive (*E.coli* MG1655) strains of *E.coli* in the presence and absence of doxycycline. Doxycycline does not result in a stimulation of growth above that of the drug-free control in *E.coli* GBc, unlike that seen in *E.coli* MG1655. However, resistance does appear to confer an initial benefit to the growth of drug-free *E.coli* GBc in comparison to drug-free *E.coli* MG1655. The solid line represents the mean, and dashed lines represent individual replicates. n=4.



Figure 16: There is no significant difference in K at 72 hours between doxycycline-treated and drugfree cultures of the doxycycline-resistant strain *E.coli* GBc. In comparison, with the doxycyclinesensitive *E.coli* MG1655 a significant difference is found in K at 72 hours between populations in drug-free conditions and those exposed to either 0.6 or 0.8mg/l doxycycline (one way ANOVA: F(2,8)= 127.6, p <0.001)(Post hoc Tukey: Drug-free vs 0.6mg/l doxycycline (p <0.001), Drug-free vs 0.8mg/l doxycycline (p <0.001)).

To assess any changes to growth and viability during nutrient exhaustion, we 1168 conducted long term batch cultures for 28 days with *E.coli* GBc (Figure 17a) along-1169 side *E.coli* MG1655, as shown previously (Fig 2a-d, black line). During this 28 day 1170 period, no resources were added and no waste was removed, thereby allowing the 1171 population to move through all stages of growth and eventually enter death phase. 1172 We observe no benefit to long-term viability in doxycycline-treated E.coli GBc popu-1173 lations, relative to drug-free (Fig 17b). Furthermore, pre-treatment with doxycycline 1174 actually leads to a reduction in cell density at some stages of starvation in the resis-1175 tant strain. For example, populations treated with either 0.2 or 0.4mg/l doxycycline 1176 have an average  $\sim$ 8.5 and  $\sim$ 99 fold reduction in cells/ml by day 10, compared to 1177 a  $\sim$ 1.8 fold reduction in drug-free populations. Figure 17 shows that, relative to the 1178 doxycycline-sensitive strain MG1655, resistance provides no benefit to growth during 1179 starvation or long-term viability in the presence of doxycycline. This data therefore 1180 suggests that it is indeed the physical binding of doxycycline to the ribosome, and its 118 associated downstream effects, that result in benefits to long-term viability in *E.coli* 1182 MG1655. 1183



Figure 17: Exposure to doxycycline in the doxycycline-resistant strain GBc (a) does not result in any benefit to population long-term viability. Relative to doxycycline-sensitive strain MG1655 (b), in the presence of doxycycline, the resistant strain has fewer cells/ml as carbon is exhausted. The mean data is displayed as a solid line, with  $\pm$  estimated 95% confidence intervals (CI) shown as dashed lines. n=3.

### 1185 2.7 Improved growth on doxycycline-treated cell debris

In both doxycycline and erythromycin treated cultures, we observed a resurgence in 1186 growth at  $\sim$ 10 days following an initial decline in population density (Fig 12a-b). By 118 this time point, all available glucose is exhausted, which led us to question how this 1188 resurgence in growth occurred. Under starvation conditions when the main carbon 1189 source has been exhausted, bacteria are known to recycle the debris of lysed cells 1190 [107, 131]. Moreover, GASP mutants can arise, allowing them to more effectively 1191 use cell debris as a source of carbon [109, 132, 133]. It is therefore plausible that 1192 the antibiotics used here improve *E.coli's* ability to use cell debris as a carbon source, 1193 thus causing the observed resurgence in growth. 1194

To investigate this, we propagated E.coli in M9CAA for 48 hours, allowing for com-1195 plete glucose exhaustion (Fig 18a). The supernatant was then isolated and filter 1196 sterilised to remove any remaining live cells. Following this, fresh E.coli was used 1197 to re-inoculate the supernatant, with some cultures additionally supplemented with 1198 either subinhibitory concentrations of doxycycline (0.2mg/l), 2mg ml<sup>-1</sup> of glucose, or 1199 both. If *E.coli* is able to utilise the cell debris and dissolved organic compounds within 1200 the supernatant, we would anticipate measurable levels of growth in the presence of 1201 supernatant. 1202

We find no significant difference in growth rates (Fig 18b) between cultures inocu-1203 lated into supernatant, however we do find a significant increase in the maximum 1204 population density (Fig 18c) in drug-treated populations relative to the drug-free con-1205 trol (p  $\approx$  0.012). When cultures are supplemented with fresh glucose, no significant 1206 difference is found in growth rate or maximal population density between drug-free 1207 and doxycycline-treated populations (Fig 18d-e), as glucose is now the preferred 1208 carbon source. This data suggests that treatment with doxycycline does improve the 1209 ability of *E.coli* to use the debris found in spent supernatant as a carbon source. 1210 Moreover, this could account for the resurgence in growth seen previously. 1211

1212





Figure 18: (a) Graphic of the protocol used. Briefly, populations of *E.coli* were grown for 48 hours in the absence of drug. The supernatant was then isolated and split into cultures that were inoculated with  $10^6$  cfu/ml *E.coli*. These cultures were then either left to grow with no alterations to the media, or supplemented with fresh glucose, doxycycline, or both. Optical density readings were then taken over 48 hours. The protocol graphic was created using BioRender. (b&d) Growth rate wasn't significantly altered by the addition of doxycycline in either glucose-free or glucose-supplemented supernatant. Likewise, K did not vary between doxycycline-treated and drug-free cultures in glucose-supplemented media (e). However, in glucose-free media (c), K was significantly higher in doxycycline-treated cultures (t-test, p  $\approx$  0.012). The medians and first and third quartiles are shown in overlaid boxplots.

In addition, we observe what appears to be diauxic growth in the cultures that 1214 have been grown in supernatant with additional glucose, as shown in figure 19. 1215 Diauxic growth is a phenomenon first described by Monod in 1949 [134] and is often 1216 observed when microbes are grown on two different sugars, for example glucose 1217 and lactose [135]. The growth is characterised by a period of growth, followed by 1218 a lag phase after the exhaustion of the preferable sugar, and then a further growth 1219 stage as the second sugar is utilised. The reason for these two phases of growth 1220 is primarily due to carbon catabolite repression, as the the uptake and use of the 1221 secondary nutrient is repressed until the first is exhausted [136]. The observation 1222 of diauxic growth here in glucose supplemented supernatant suggests that there 1223 is indeed some useable resource present in the supernatant which is utilised once 1224 glucose is exhausted. Acetate is excreted during growth in excess glucose and then 1225 consumed when glucose is exhausted. It is therefore possible that acetate, as well 1226 as other organic compounds produced in the initial phase of growth are the alter-1227 native resources present in the supernatant and result in this diauxic behaviour [137]. 1228

1229

1213







(b)

Figure 19: (a) Exposure to doxycycline provides a benefit to growth in supernatant. (b) With the addition of fresh glucose, we observe no significant benefit to growth in doxycycline-exposed cultures. Both doxycycline and drug-free cultures go through a diauxic shift at  $\approx$  2600 minutes. The mean data is displayed as a solid line, with individual replicates shown as dashed lines. n=4.

## 2.8 Quantifying the expression of metabolic genes during glu cose exhaustion

<sup>1232</sup> To gain insight into the doxycycline-induced changes in gene expression, we utilised <sup>1233</sup> a library of fluorescent *E.coli* reporter strains that have GFP-tagged promoters [138]. <sup>1234</sup> Each strain possesses a low copy plasmid containing the gene of interest upstream <sup>1235</sup> of gene for GFP (figure 20).

Using this library, we are able to observe the temporal dynamics of promoter expression over a period of starvation by measuring GFP expression as a proxy for promoter activity. This is unlike the use of transcriptomics that would only offer snapshots of gene expression at defined times. We measured the activity of various gene promoters involved in carbon metabolism and glucose uptake over 72 hours in the presence and absence of 0.4mg/l doxycycline, and without the addition of fresh media. The strains used in this work, and gene functions are detailed in table 1.



Figure 20: A map of the low-copy plasmid used to produce the gfp-tagged *E.coli* promoter strains, taken from reference [138]

GFP tagged promoter	Product	Function
glpX	Fructose-1,6-bisphosphate	Gluconeogensis
pyk	Pyruvate kinase	Glycolysis
pgi	Glucose-6-phosphate isomerase	Glycolysis/gluconeogensis
pfkA	6-phosphofructokinase	Glycolysis
pfkB	6-phosphofructokinase	Glycolysis
lpd	Dihydrolipoyl dehydrogenase	Multiple including glycolysis and TCA
icd	Isocitrate dehydrogenase	TCA cycle
sdhc	Succinate dehydrogenase subunit	TCA cycle
gltA	Citrate synthase	TCA cycle
fumB	Fumarate hydratase	TCA cycle
aceB	Malate synthase A	Glyoxylate cycle
ackA	Acetate kinase	Acetate biosynthesis/catabolism
poxB	Pyruvate dehydrogenase	Acetate biosynthesis
ompC	Outer membrane porin	Porin activity
ptsA	Phosphoenolpyruvateprotein phosphotransferase	Glucose transport
ptsG	PTS system glucose-specific EIICB component	Glucose transport

Table 1: The GFP-reporter strains used in this study.

#### 1244 2.8.1 Central carbon metabolism

Doxycycline targets a key, energy-intensive cellular system. After all, it is estimated 1245 that ribosome biogenesis alone accounts for  $\approx$  60% of energy expenditure within the 1246 cell [139]. As such, antibiotic treatment not only impacts on ribosome functioning, 1247 but also a wide range of downstream metabolic processes. There is extensive 1248 literature demonstrating the changes in metabolism and gene expression induced 1249 by antibiotics, with bacteriostatic antibiotics largely resulting in reduced rates of 1250 cellular metabolism whilst bactericidal antibiotics are reported to increase metabolic 1251 rates [33, 91, 8, 5]. For example, chlortetracycline has been shown to result in 1252 the up-regulation of ribosomal subunits and the down-regulation of major carbon 1253 metabolic pathways [8]. Tigecycline, a semisynthetic derivative of minocycline, 1254 results in a reduction in the transcription of genes involved in the TCA cycle and 1255 cellular respiration in Acinetobacter baumannii [140]. Furthermore, through utilising 1256 the same GFP reporter library used here, tetracycline has previously been shown to 1257

induce large genome-wide alterations in expression, including the up regulation of
genes involved in the early oxidative stress response [141].

<sup>1260</sup> We therefore sought to understand if doxycycline (0.4mg/l) results in differential <sup>1261</sup> expression of central carbon metabolism genes under the conditions used here, <sup>1262</sup> and if this expression is altered as nutrients are depleted. Indeed, we find differ-<sup>1263</sup> ential expression of genes involved in all of the key metabolic pathways studied, <sup>1264</sup> (glycolysis/gluconeogenesis, TCA cycle, glyoxylate cycle and acetate metabolism), <sup>1265</sup> confirming that aside from interactions with the ribosome, doxycycline also induces <sup>1266</sup> downstream metabolic changes (figure 21).

As doxycycline-induced benefits to K were only observed in glucose media (such as 126 the M9CAA used in section 2.3), key genes involved in the metabolism of glucose 1268 were selected. Specifically, the expression of four genes involved in glycolysis 1269 and gluconeogenesis (pyk, pgi, pfkA and pfkB) were studied and none were found 1270 to have substantial changes in expression with exposure to 0.4mg/l doxycycline, 1271 as shown in figure 22a. Previous studies have found significant down regulation 1272 of genes involved in the TCA cycle with tetracycline treatment [91], however, 1273 surprisingly in the conditions used here we find ~2.5x higher maximum expression 1274 of both *lpd* and *icd* in doxycycline-exposed culture relative to the drug-free control, 1275 and  $\sim 1.5x$  higher maximum expression of sdhC (figure 22b). Both fumB and gltA 1276 were found to have lower expression in doxycycline-exposed populations. 1277

Acetate is produced and excreted into the external environment by cells during 1278 exponential growth on fermentable sugars such as glucose, in a process known as 1279 'overflow metabolism'. Here, we have measured the expression of *ackA* and *poxB*, 1280 genes involved in the biosynthesis of acetate. In addition, we also measure the 1281 expression of a gene involved in the glyoxylate cycle, *aceB*. The glyoxylate cycle, 1282 involving isocitrate lyase (encoded by aceA) and malate synthase (encoded by aceB, 1283 studied here) allows bacteria to bypass the TCA cycle and utilise acetate alongside 1284 other alternative carbon sources [142, 143]. We find that whilst there is little 1285 evidence of change in maximum expression level poxB within doxycycline-exposed 1286

<sup>1287</sup> cultures, we do find higher expression of *ackA* and *aceB* (figure 22c). Furthermore, <sup>1288</sup> as the glyoxylate cycle allows cells to utilise fatty acids and acetate [144, 145], both <sup>1289</sup> of which are likely present in the supernatant of a starving culture, it is possible that <sup>1290</sup> the up regulation of the glyoxylate cycle gene *aceB* contributes to the increases in <sup>1291</sup> population density measured in doxycycline-treated supernatant (Fig 18d).

We next aimed to identify if the observed increases in expression are dose-1292 dependent. The aceB and icd reporter strains were grown in similar conditions as 1293 previously described, but with a range of doxycycline concentrations (0, 0.4, 0.6 1294 and 0.8mg/l). In addition, we examined the variations in expression over a range of 1295 doxycycline concentrations in a gene that previously showed no measurable change 1296 in expression - poxB. Figure 23 shows that the expression of these genes increases 1297 in a dose dependant manner. Moreover, we find that the expression of poxB is 1298 also upregulated in larger concentrations of drug. Indeed, with all three genes we 1299 measure significantly higher maximal expression levels in increasing concentrations 1300 of doxycycline, as shown in figure 24. This demonstrates that doxycycline does 130 indeed induce changes in the expression of key metabolic genes. The raw growth 1302 curves are displayed in supplementary figures S6-S8. 1303



Figure 21: The levels of GFP expression normalised to OD(600nm) for promoters of genes involved in (a) Glycolysis, (b) the TCA cycle and (c) acetate biosynthesis and catabolism, exposed to 0.4mg/l doxycycline or left drug-free. Note that only the mean of three replicates is shown for simplicity, however the data for all three replicates can be found in supplementary figures S6-S8.


Figure 22: The maximal level of expression for doxycycline-exposed *E.coli* with tagged promoters for genes involved in (a) glycolysis, (b) the TCA cycle and (c) acetate biosynthesis and catabolism, relative to the drug-free control.











Figure 23: The normalised, dose-dependent levels of expression (GFP/OD) for selected promoters (*icd, aceB* and *poxB*) over 48 hours. The mean is displayed as a solid line and individual replicates as dashed lines.n = 4.



(c)

Figure 24: The maximal level of expression for *E.coli* with tagged promoters for *icd, aceB* and *poxB* as a function of doxycycline concentration. One way ANOVA with post-hoc tukey, ns = not significant, \* = p < 0.05, \*\* = p < 0.01, \*\*\* = p < 0.001.*n*=4.

#### 1305 2.8.2 Glucose uptake

*E.coli* is required to uptake nutrients from the environment, such as glucose in order to survive. Given that metabolism and resource uptake are interconnected processes [146], and we have measured variations in metabolic gene expression with doxycycline exposure, we next sought to identify changes in the expression of genes involved in glucose uptake.

Glucose uptake is generally facilitated by outer membrane porins, primarily OmpC 1311 and OmpF. Furthermore, the proportion of these two porins in the cell membrane will 1312 vary depending on the environmental conditions, for example in response to nutrient 1313 availability and the presence of toxins such as antibiotics. Under conditions of 1314 nutrient deprivation, OmpF will be favoured as it is the larger of the two porins, and 1315 therefore facilitates rapid uptake of resources versus the smaller OmpC porin [147]. 1316 Unfortunately, a GFP-reporter strain for OmpF is not available within the GFP library, 1317 however we did measure the expression of the OmpC promoter upon exposure to 1318 doxycycline over 60 hours. Given that doxycycline is present in the media, being the 1319 smaller of the two porins, we would anticipate increased expression of OmpC. 1320

We find that after an initial dip in the expression between 8-16 hours, the E.coli 1321 population exposed to 0.4mg/l doxycycline has higher expression of the OmpC 1322 promoter (figure 25a). This is logical when we consider the trade-off between 1323 permeability and resource uptake during antibiotic exposure. As OmpC is the 1324 smaller pore, it is favoured over OmpF when an antibiotic is present as this reduces 1325 the uptake of antibiotic into the cell. However there is a trade-off with nutrient 1326 uptake, as fewer resources can be transported through a smaller pore [148] and 1327 this represents a trade-off between 'self-preservation and nutritional competence' 1328 (SPANC) [149]. In other words, there is a balance between protection against stress 1329 (such as antibiotics) and the uptake of nutrients. 1330

<sup>1331</sup> In *E.coli*, the phosphoenolpyruvate-carbohydrate phosphotransferase system (PTS) <sup>1332</sup> is one of the primary systems responsible for the transport of sugars such as

glucose into the cells cytoplasm. As such, when glucose is present the PTS system
is highly active and prevents the expression of non-PTS sugar transport systems via
carbon catabolite repression [150].

The *ptsG* gene encodes the membrane receptor IICB<sup>Glc</sup> for the glucose-specific 1336 E11 transporter, and thus plays a key role in the PTS system. We find that whilst 1337 doxycycline-exposed cultures initially have lower expression of *ptsG*, by the end of 1338 the measurement period the level of expression is higher relative to the drug-free 1339 population (figure 25b). It has been reported that interference with the process 1340 of glycolysis results in lower expression of ptsG [151], however we did not find 1341 any substantial changes in the expression of glycolytic genes in the presence of 1342 doxycycline in this study. It is possible that initially *ptsG* expression is down regulated 1343 in order to reduce uptake of doxycycline. However, as the drug is degraded over 1344 time, expression may be upregulated. 1345

The *ptsA* gene is also part of the PTS system and shows lower expression levels in doxycycline-exposed cultures versus drug-free, as shown in figure 25c. Together this suggests that genes for glucose uptake are initially not as highly expressed in the presence of doxycycline, and this could be due to the trade-off between reduction in drug uptake into the cell versus the uptake of resources. The raw growth curves and GFP expression over time are displayed in supplementary figure S9.

1353



Figure 25: The levels of GFP expression normalised to OD(600nm) for promoters of genes involved in glucose uptake. The solid line represents the mean, whilst the dashed lines represent individual replicates n=4.



Figure 26: The maximal levels of GFP expression normalised to OD(600nm) for promoters of genes involved in glucose uptake. Two sample t-test, ns = not significant, \* = p < 0.05, \*\* = p < 0.01, \*\*\* = p < 0.001.*n*=4

### <sup>1354</sup> 2.9 Whole-genome resequencing of doxycycline-exposed, <sup>1355</sup> starved *E.coli*

Through growing cultures exposed to the antibiotic doxycycline for an extended pe-1356 riod of time, we have demonstrated that exposure to this ribosome-binding antibiotic 135 can have surprising benefits towards the growth of E.coli. Antibiotic-induced benefits 1358 on bacterial cells have been demonstrated in the past, for example antibiotics can 1359 result in increases in yield [7] and hormesis [20]. However, to the best of our knowl-1360 edge, this is the first time that an antibiotic has been shown to result in improvements 136 to both growth during starvation and long-term viability. When considered alongside 1362 the observation that doxycycline can increase a bacterial populations density in 1363 the short term, our understanding of doxycycline as a growth-inhibiting antibiotic is 1364 challenged. 1365

Next, whole genome resequencing was carried out on starved E.coli cultures 1366 treated with doxycycline in order to elucidate mutations that contribute towards the 1367 long-lived phenotype observed previously in this chapter. Indeed, WGS has been 1368 used previously to identify mutants present in starved cultures and it has been found 1369 that the selection pressure of nutrient deprivation results in the rapid accumulation 1370 of mutations within a bacterial population [152]. It has been reported that 93.5% of 137 cells surviving till day 11 of starvation carried a mutation, and primarily in the genes 1372 effecting the RNA-polymerase core enzyme. Other previous studies have identified 1373 that the media composition and type of vessel can impact on both the rate of death 1374 and mutation frequency [104, 153]. 1375

<sup>1376</sup> WGS was performed on *E.coli* cultures that were starved of nutrients for 21 days <sup>1377</sup> without the addition of fresh media. Doxycycline was added to a subset of the <sup>1378</sup> cultures at two different subinhibitory concentrations (0.2 and 0.4mg/l) and four <sup>1379</sup> cultures were left drug-free as a control. The *E.coli* populations were sampled on <sup>1380</sup> days 1, 3, 7, 10, 14 and 21 at which point 10  $\mu$ l of culture was removed and serially <sup>1381</sup> diluted before being spread on agar plates for CFU counts - this allowed us to track

changes in cell viability over time. Additionally, at these time points 5ml of culture 1382 was removed in order to sequence whole population samples. This is preferable 1383 in our case to the sequencing of clones as we anticipated that the low levels of 1384 growth in a starving culture would result in low frequency mutations throughout the 1385 population. Three identical, drug-free cultures underwent CFU counts on the same 1386 sampling days, but without the removal of 5ml in order to ensure that the removal 1387 of media for sequencing did not significantly influence the growth and death of the 1388 populations. In addition, four populations of the ancestral strain were sequenced 1389 to ensure continuity between the E.coli MG1655 strain used here and that used 1390 to generate the reference sequence. The samples were centrifuged on the day of 139 collection and immediately frozen to ensure that further changes in CFU number did 1392 not occur. DNA extraction was performed using the GeneJet kit and sequencing was 1393 performed by Hinrich Schulenburg at The University of Kiel (Evolutionary Ecology 1394 and Genetics). The CFU over time for these cultures is displayed in figure 27. 1395 1396

#### 1397 2.9.1 Ancestral polymorphisms

A number of polymorphisms were identified in the ancestral *E.coli*, suggesting 1398 that these are mutations that have accumulated during multiple passages through 1399 media, or through repeated freezing and thawing. These mutations include a 776bp 1400 deletion in crl that was present at a frequency of 100% in both the ancestral and 1401 experimental populations. *crl* is a gene for a sigma factor-binding protein. Both 1402 insertion mutations [154] and deletion mutations [155] in crl have been identified 1403 within E.coli MG1655 and it is thought that inactivation of this gene is beneficial 1404 under starvation conditions, such as during growth on a stab culture. We also 1405 identified a 1bp insertion in glpR - various polymorphisms in this gene have been 1406 observed previously and are thought to be due to the use of glycerol in media 1407 [154, 156]. The same study identified an single bp insertion in gatC - thought to 1408

abolish the ability to use galactitol as a resource, whilst here we observed a 2bp
deletion. Additional polymorphisms identified in the ancestral strain include a single
bp substitution in *ybiY*, a 1bp deletion in *yeaR* and finally a 6bp deletion in *yfgl*. All
of these mutations were excluded from further analysis.

1413

#### 1414 2.9.2 Polymorphisms across all starved populations

<sup>1415</sup> Many polymorphisms were found to be shared amongst both drug-free and drug-<sup>1416</sup> exposed conditions, and thus are likely adaptations to starvation. For example, mul-<sup>1417</sup> tiple SNPs were detected in the oxidoreductase complex, *rsxC* - this gene is involved <sup>1418</sup> in maintaining the reduced state of *soxR*, a gene involved in the protection of cells <sup>1419</sup> against superoxide [157], a common stress in starving cultures [158].

In total, over 432 individual polymorphisms were found across all conditions and 1420 time points, with the majority present at low frequency (below 10%). It should be 1421 noted that for the purpose of this study, mutations occurring on day one of the exper-1422 iment have been excluded from further analysis as these are likely to be the result 1423 of adaptation to the media. Figure 27 shows the time points at which mutations 1424 reaching frequencies over 10% appear in each antibiotic condition. We find that in 1425 all conditions, high frequency mutations are found in *ftsE*, this is a gene involved in 1426 cell division and mutations within it have previously been associated with acid stress 1427 [159]. In addition, high frequency mutations were also identified in cyoB across all 1428 conditions. cyoB is involved in the production of a Cytochrome bo(3) ubiquinol oxi-1429 dase subunit, a component of the aerobic respiratory chain. 1430

Only six mutations were found to reach a frequency of 10% or above in the drug-free conditions. Yet cultures exposed to 0.2mg/l doxycycline had 23 mutations above 10% frequency, and cultures exposed to 0.4mg/l doxycycline had 13. It is possible that the increased cell densities, alongside any metabolic changes induced by doxycycline, resulted in the greater number of high frequency mutations measured in doxycycline-

exposed populations. Furthermore, we observe fluctuations in the appearance and
disappearance of mutations, even in those that reach the highest frequencies. This
could be due to their extinction within the population, or alternatively the frequencies
could drop below the detectable limit.

We calculated the dN/dS ratio to establish if there is selection pressure on mutations 1440 within protein-coding regions in either drug-free or drug-exposed conditions. This 144 compares the ratio of synonymous to non-synonymous mutations within the popu-1442 lation over time, with a value above one indicating an excess of non-synonymous 1443 versus synonymous mutations [160]. There is a visible change in the trend between 1444 conditions, in that the dN/dS ratio appears to decrease over time in drug-free con-1445 ditions, whilst it increases over time in both 0.2 and 0.4mg/l doxycycline (figure 28). 1446 It is therefore possible that there is a larger selection pressure on protein coding 1447 regions within doxycycline-treated populations relative to a drug-free control. 1448



Figure 27: The growth curves obtained from large volume batch culture of *E.coli* pre-exposed either to 0, 0.2mg/l and 0.4mg/l doxycycline and grown for 21 days without the addition of fresh media. Mutations appearing at a frequency above 10% are shown for each antibiotic condition at the time point in which they first occur. Genes shown in red represent mutations that do not occur at any other time point, whilst blue genes represent mutations that are also present at future time points.



Figure 28: The trajectory of dN/dS ratio was found to decrease in drug-free condition over 21 days, whilst it increased with both 0.2 and 0.4mg/l doxycycline. A dN/dS value above 1 indicates that there is an excess of nonsynonymous to synonymous mutations, and therefore the mutations are likely to be adaptive. The linear regressions are shown as a solid line, with  $\pm$  estimated 95% confidence intervals (CI, 4 replicates) shown as dashed lines.

#### <sup>1449</sup> 2.9.3 Polymorphisms unique to doxycycline-exposed cultures

Certain SNPs were found to be unique to doxycycline-treated cultures, for example 1450 SNPs in the sigma factor rpoD were identified in populations exposed to 0.2mg/l 145 doxycycline, and in the DNA repair protein recN with 0.4mg/l doxycycline. Mutations 1452 in genes that encode RNA polymerase (such as rpoD) have wide ranging impacts 1453 on adaptation, including improved growth on minimal media [161]. In addition, mu-1454 tations in RNA polymerase genes have previously been shown to arise during pro-1455 longed periods of starvation [152]. This suggests that they play an adaptive role in 1456 the response to starvation. 1457

Certain mutations were found to be present in both of the antibiotic-treated condi-1458 tions, but not in drug-free populations, as illustrated in table 2. Cultures exposed 1459 to doxycycline were found to have a 134bp deletion in *argV* encoding tRNA(argV), 1460 one of the seven arginine tRNAs. A possible consequence is a reduction in pro-146 tein synthesis, compounding the effect of doxycycline. Further mutations common 1462 to doxycycline-treated cultures include a substitution in ybbL, a putative aldolase in-1463 volved in the regulation of sugar metabolism. It is likely that the rate of cell turnover in 1464 these populations is relatively low, and consequently it is difficult to establish the role 1465 of mutations in the starvation response as they are unlikely to reach fixation within a 1466 population. 1467

Gene	Function	Position (bp)	Status
cof/decR	HMP-PP phosphatase/DNA-binding transcriptional activator	468,277	Intergenic
dgcl	Probable diguanylate cyclase	875,404	Nonsynonymous
yneE	Uncharacterised	1,608,166	Nonsynonymous
dgcF	Probable diguanylate cyclase	1,611,549	Synonymous
glpT/glpA	Proton/glutamate-aspartate symporter/ Anaerobic glycerol-3-phosphate dehydrogenase subunit A	2,352,619	Intergenic
ygbL	Aldolase	2,863,903	Nonsynonymous
yhcD	Uncharacterised	3,363,010	Synonymous
lldD/trmL	L-lactate dehydrogenase/tRNA (cytidine(34)-2-0)-methyltransferase	3,781,108	Intergenic
ytiD	Uncharacterised	4,556,770	Nonsynonymous
argV	tRNA(argV)	2,818,358	Δ 134bp

Table 2: SNPs and indels unique to doxycycline-treated populations over the course of starvation. These polymorphisms were

identified in both 0.2 and 0.4mg/l doxycycline.

#### 1468 **2.10** Summary

Here, through measuring the dynamics of *E.coli* populations exposed to various 1469 different antibiotics over a prolonged period of starvation, we were able to identify a 1470 novel microbial benefit conferred specifically by ribosome-targeting drugs - improve-147 ments to growth and long-term viability. Indeed, whilst doxycycline is detrimental 1472 to initial growth through the extension of lag phase, populations ultimately have a 1473 higher population density and improved population viability over an extended time 1474 period. We established that this phenotype is due to the binding of the antibiotic 1475 to the ribosome, as there was an absence of any benefit to long-term viability in a 1476 doxycycline-resistant strain of *E.coli*. It is possible that this phenotype is conferred 1477 partly by the increased use of cell debris as a nutrient source, as we observed in 1478 doxycycline-exposed E.coli cultures. 1479

Furthermore, through the use of a GFP reporter library and whole genome se-1480 quencing we were able to track the metabolic and genotypic changes induced by 1481 doxycycline during the early stages of nutrient deprivation, through to long-term 1482 starvation. Tetracycline antibiotics have been found to alter the expression of 1483 metabolic genes [91, 8], and here doxycycline was indeed found to induce metabolic 1484 changes in *E.coli*. It is possible that these metabolic changes improve bacterial 1485 growth on the cellular debris and organic compounds present in a starved culture, 1486 thereby improving long-term viability. However, further work would be needed to 1487 confirm this such as monitoring metabolic gene expression during growth on spent 1488 supernatant. 1489

This data ultimately highlights a novel property of ribosome-binding antibiotics, the ability to improve bacterial growth and viability during starvation. This has broader implications on infection medicine and our understanding of antibiotic function, as antibiotic doses which initially appear effective in preventing bacterial growth in the short-term can inadvertently benefit the growth of a microbial population over long time periods. Moreover, antibiotic resistance can be costly to bacterial growth in

the absence of antibiotics [162], however this data reveals an additional cost of
 resistance - reduced long-term viability.

#### **CHAPTER THREE**

# RIBOSOME PRODUCTION CAPACITY AND STARVATION SURVIVAL

#### 1503 **3.1 Overview**

Following on from the results in Chapter 2, we next aimed to investigate the impact that interference with ribosome production would have on the ability of an *E.coli* population to survive starvation. We achieve this through the use of an rRNA knockout strain set. The key findings were as followed:

1508 1. K is increased with decreasing rRNA operon copy number

- 1509
   2. Intermediate numbers of rRNA operons optimise an *E.coli* population for star 1510 vation survival.
- 3. There is no apparent cost to growth upon nutrient upshift in rRNA knockout
  strains.

Given that we have shown ribosome-binding antibiotics impact the process of cell death, and any benefit to long-term viability is lost in an antibiotic-resistant strain, we next sought to understand how other perturbations to ribosome functioning impact on death. For this, we utilise an *E.coli* strain set with reduced numbers of rRNA (*rrn*) operons [163]. The strains used here are shown in table 3. Whilst the wild type (WT) has seven almost identical *rrn* operons, the knockout strains have between 1-6 *rrn* 

<sup>1519</sup> operons removed. It should be noted that the seven rRNA promoters have different <sup>1520</sup> activity (rrnE > rrnG > rrnC > rrnD > rrnB > rrnA > rrnH) [164].

Ribosome production is a costly process, so much so that during periods of rapid growth the transcription of the *rrn* promoters can be responsible for approximately 60% of cellular transcription [139]. Ribosome synthesis is therefore a highly regulated cellular process in order to prevent excessive costs to the cell. Underproduction of ribosomes can result in inefficient use of the available resources, as they cannot be utilised in a time effective manner. Conversely, ribosome overproduction will be energetically costly and wasteful for the cell [120, 165, 166].

Ribosome biogenesis is therefore carefully balanced with the influx of nutrients 1528 from the environment and the cells own maintenance cost in order to maximise the 1529 metabolism of nutrients whilst reducing cost to the cell. Furthermore, as growth 1530 rate is highly dependent on the availability of nutrients within the environment, there 153 is also a strong correlation between growth rate and cellular ribosome content. 1532 This allows the cell to keep up with protein synthesis demands [120]. The optimal 1533 ribosome production rate is maintained via a negative feedback loop between the 1534 cellular ribosome pool and availability of resources. As such, when resources are 1535 depleted, the overproduction of proteins will result in further exhaustion of nutrients 1536 and consequently a reduction in ribosome production [167]. 1537

In most environments, a number of these seven *rrn* operons will be redundant as *E.coli* will still be able to maintain growth with fewer than seven operons. However, this redundancy exists to allow rapid growth upon exposure to very high resource concentrations [168]. Furthermore, it has been shown that all seven *rrn* operons *are* required for optimal growth in fluctuating environments [169] and the optimal *rrn* copy number is highly dependent on resource availability [7].

Here, we ask if there is an optimal number of ribosomes for long-term starvation. As
ribosome production is such a tightly regulated cellular process, the introduction of
a ribosome-binding antibiotic is likely to disrupt the balance. This is likely to have
consequences on growth, and it would be logical that it would also impact on death.

We utilised the rrn strain set to artificially reduce the number of functional ribosomes 1548 in the cell, and thus measure the impact of perturbations to ribosome functioning on 1549 longevity. As ribosome production is known to confer a metabolic burden [170, 171], 1550 we hypothesised that strains with fewer rrn operons, and thus less capacity for 155 ribosome production [163, 170] would have prolonged viability in nutrient limiting 1552 conditions compared to the WT. Additionally, we hypothesised that the growth 1553 and long-term viability of these rrn deletion mutants would closely mirror that of 1554 *E.coli* exposed to doxycycline, as both the deletion of *rrn* operons and doxycycline 1555 treatment impair protein translation. However, we did anticipate that there would 1556 be some variation in phenotype between the E.coli rrn knockout strains and 1557 doxycycline-exposed *E.coli* due to the effect of doxycycline on ribosome production. 1558 Tetracycline antibiotics have been shown to initially up-regulate a number of genes 1559 involved in ribosome production, presumably to counteract the effect of the antibiotic 1560 [172, 91]. Consequently, ribosome quantities in doxycycline-exposed cells may 156 initially be greater than drug-free cells, and this could result in differences between 1562 the growth of doxycycline exposed E.coli and rrn knockout mutants that possess 1563 fewer ribosomes. 1564

1565

#### 1566 3.2 Contributions

The experimental design, implementation of protocols, and data analysis were carried out by Emily Wood. Prof Ivana Gudelj and Prof Robert Beardmore assisted with experimental design and data analysis. The *E.coli rrn* knockout strains were gifted by Prof Tobias Bollenbach.

Strain	Туре	Source
WT	MG1655	-
$\Delta$ 1	MG1655 $\triangle$ rrnE	[163]
$\Delta$ 2	MG1655 ∆ <i>rrnGB</i>	[163]
$\Delta$ 3	MG1655 ∆ <i>rrnGBA</i>	[163]
$\Delta$ 4	MG1655 ∆ <i>rrnGBAD</i>	[163]
$\Delta$ 5	MG1655 $\triangle$ rrnGBADH/ptRNA67	[163]
$\Delta$ 6	MG1655 $\Delta$ rrnGBADHB/ptRNA67	[163]

Table 3: The *E.coli rrn* knockout strains used in this chapter. All strains are from reference[163]

## 1572 3.3 Fewer *rrn* operons are optimal for growth in defined minimal 1573 media

Fewer rrn operons, and therefore a smaller ribosomal pool has been shown to 1574 optimise yield previously [7]. We first sought to identify the optimal number of rrn 1575 operons for growth under the conditions used here, and identify if a reduction in 1576 rrn operons mirrors the dose-dependent increase in population density observed 1577 with doxycycline (fig 2). The E.coli rrn strains were grown in 2mg/ml glucose and 1578 OD measurements were taken every 20 minutes over a period of 48 hours. During 1579 this time period no additional resources were introduced into the culture, allowing 1580 for the populations to go through all growth stages and glucose to be completely 1581 exhausted. Indeed, we find that by the end of the measurement period it is the 1582 strains with fewer rrn operons that have reached the highest population densities, 1583 as shown by figure 29. 1584

This is reflected in a significant linear increase in K with decreasing numbers of rrn 1585 operons (figure 30a). As we observed with doxycycline-exposed cultures, we also 1586 find that fewer *rrn* operons results in a longer lag phase (figure 30b). However, in 1587 contrast to the data collected on growth in doxycycline, we do find a significant linear 1588 increase in growth rate with decreasing rrn copy number (figure 30c). The data 1589 therefore indicates that reductions in *rrn* copy number come at the cost of a longer 1590 lag period, but simultaneously benefits both K and growth rate. Note that the E.coli 159 strain possessing 1 rrn operon failed to grow under the conditions used, presumably 1592 due to insufficient ribosome production for growth. 1593



Figure 29: The blank-corrected growth of the seven *E.coli rrn* strains over 48 hours without the addition of fresh media. The mean growth is shown as solid lines and the individual replicates are displayed as dashed lines. n=3.



Figure 30: The relationship between *rrn* operon number and a) K (defined as the OD at stationary phase), b) lag time and c) growth rate. A significant linear relationship is found between *rrn* operon number and K, lag time and growth rate. Linear regressions are shown as a solid line, with  $\pm$  estimated 95% confidence intervals (CI, 3 replicates) shown as dashed lines.

#### **3.4** The WT *rrn* copy number is suboptimal for longevity

In order to study the effects of *rrn* operon copy number on longevity, we propagated 1596 WT E.coli alongside the knockout strains for 14 days without the addition of fresh 1597 media, thereby allowing all glucose to be exhausted and the populations to enter 1598 a starved state. In our case long term batch culture is optimal for the study of 1599 starvation as, unlike alternative systems such as serial transfer which introduce 1600 frequent bottlenecks, or continuous culture (chemostat) involving the removal of 1601 spent medium, we are able to measure the natural progression of a culture as 1602 nutrients are exhausted [104]. Figure 31 shows that cell viability declines rapidly 1603 after day 2 in the WT (black line), however in strains with fewer operons (blue lines), 1604 the drop in viability is either delayed or not observed within the measured time 1605 frame. The strain with 1 rrn operon grows poorly, presumably due to insufficient 1606 ribosome production rates (grey line). The figure insert displays an example of cell 1607 viability over time in both the WT (7 x rrn) and the E.coli strain with 5xrrn operons. 1608 The CFU dynamics for each individual *rrn* strain are displayed in supplementary 1609 figure S10. 1610

We find that the optimal number of *rrn* operons for maximal cell viability is highly 1611 time-dependent (Fig 32), with the maximum cell density shifting from those strains 1612 with a greater rrn copy number on day 1 to those with an intermediate operon 1613 number by day 14. Whilst there is little variation in cell number between the knockout 1614 strains relative to the WT on day 1 (Fig 33c), by days 5 and 14 (Fig 33d-e) it is 1615 clear that the WT strain no longer possess the optimal number of rrn operons for 1616 maximising cell viability. This data suggests that whilst a higher rrn copy number 1617 may maximise growth rate under certain conditions [173, 7], an intermediate number 1618 of *rrn* operons is optimal for long term survival. This supports the hypothesis that 1619 it is the interference with ribosome activity that improves viability, both through the 1620 artificial reduction in rrn copy number as shown here, as well as through the addition 162 of a ribosome-targeting antibiotic. 1622



Figure 31: Collated CFU data from different *rrn* knockout strains over 14 days of starvation. The mean is shown as a solid line, with  $\pm$  estimated 95% confidence intervals (CI, 3 replicates) shown as dashed lines.



Figure 32: Cell viability (z axis) is shown as a function of both time (y axis) and *rrn* operon number (x axis). We observe a shift in the optimal *rrn* copy number to strains with fewer *rrn* operons as time progresses. Both linear and quadratic regressions were calculated, with the best fit according to the adjusted  $R^2$  value shown. Regressions are shown as solid lines.



Figure 33: The optimality of *rrn* operon copy number changes over time, relative to the WT. Cell viability (y axis) relative to the WT is shown as a function of *rrn* operon number (x axis), with different subplots showing different time points. Both linear and quadratic regressions were calculated, with the best fit according to the adjusted R<sup>2</sup> value shown. Regressions are shown as solid lines, with  $\pm$  estimated 95% confidence intervals (CI, 3 replicates) shown as dashed lines.

It has previously been reported that upon nutrient upshift [169], strains with fewer rrn 1624 operons are at a disadvantage relative to the wild-type and grow poorly. This is due 1625 to the reduced capacity for ribosome production, and hence decreased utilisation of 1626 new nutrients. To test the ability of these strains to grow upon nutrient upshift, we 1627 transferred 1x10<sup>6</sup> cells from each of the cultures that had been starved of nutrients 1628 for 14 days into M9CAA media supplemented with 0.2mg/ml of glucose. The growth 1629 of these cultures was measured 24 hours after nutrient upshift by counting CFU's. 1630 Surprisingly, here we find that strains with fewer *rrn* operons have greater cell density 163 upon nutrient upshift in comparison to the wild-type with 7 rrn operons, as shown in 1632 figure 34. This can not be accounted for by the higher cell density at the end of the 1633 14 day period with these strains, as the starting cell density was normalised before 1634 nutrient upshift. It is possible that the inconsistencies between previous data and our 1635 own is due to extended starvation period used here. 1636



Figure 34: *E.coli* strains with fewer *rrn* operons have greater population density than the WT 24 hours after nutrient upshift, following 14 days of nutrient starvation. Each circle represents an individual replicate and the solid line represents the mean. n = 3

#### 1637 **3.5 Summary**

The data presented here suggests that the benefits to long-term viability measured in Chapter 2 are not unique to antibiotics, but rather a consequence of interfering with ribosome biogenesis. We found that the outcome of starvation is strongly influenced by the *E.coli's rrn* operon copy number, with the WT becoming suboptimal in comparison to those strains with fewer operons as the duration of nutrient deprivation increases (figure 31).

Ribosome production capacity, and consequently *rrn* operon copy number are key microbial traits, strongly influenced by bacterial lifestyle and the environment. In microbes such as *E.coli*, ribosome biogenesis must be kept under tight control in order to keep up with the cells' ever changing protein production needs, as the number of ribosome determines the rate of protein synthesis.

Wild-type E.coli possess 7 rrn operons, though not all are needed for optimal 1649 steady-state growth, and optimality alters depending on nutrient state [7]. However, 1650 possessing a greater number of rrn operons is beneficial upon entering a new 1651 environment as it allows for rapid production of new ribosomes [174, 168]. This 1652 is reflected in the ecological strategies of environmental microbes, as resource 1653 availability selects for different growth parameters, for example the reduction in 1654 lag time conferred by a larger number of rrn operons is crucial to bacteria in 1655 fluctuating environments. In contrast, aquatic microbes in stable, nutrient deficient 1656 environments are likely to have fewer rrn operons [174]. Less clear, however, is 1657 the impact that rrn copy number, and consequently ribosome biogenesis, has on 1658 microbial longevity. 1659

Altogether, this data suggests that interference with cellular ribosome functioning influences population dynamics during starvation, and that this could lead to unforeseen benefits to microbial populations during treatment with ribosome-targeting antibiotics such as doxycycline. Furthermore, if improvements to viability are primarily due to interference with ribosome functioning, then other ribosome-targeting

antibiotics aside from doxycycline and erythromycin should also display this phe notype. Further work could therefore seek to identify how widespread this viability
 benefit is amongst ribosome-targeting drugs.

# CHAPTER FOUR THE GENOMIC BACKGROUND OF DOXYCYCLINE-INDUCED BENEFITS TO GROWTH IN *E.COLI*

#### 1674 **4.1 Overview**

In Chapter 2, we showed the benefits to both population density and longevity conferred by doxycycline treatment. We next sought to understand how variations in genomic background alter these antibiotic-induced benefits, in addition to gaining a better understanding of the genomic basis for antibiotic susceptibility. To this end, the keio collection, compromising of 4,000 *E.coli* single gene knockouts was utilised. The key findings were as followed:

- Doxycycline induces large phenotypic variations across single gene knockout
   strains.
- 1683
   2. Through a combination of principle component analysis and K-means cluster 1684 ing we can uncover groups of genes conferring particular phenotypes.
- <sup>1685</sup> 3. Metabolic gene knockouts are overrepresented in low-K clusters.

4. Certain groups of strains confer unusual phenotypes, such as the inability to
 grow in media in the absence of doxycycline where, conversely, growth is ob served in the presence of doxycycline.

In Chapter two we showed the lag-yield trade-off induced by doxycycline treatment 1689 in *E.coli* MG1655, and next we sought to understand the genetic basis for this phe-1690 notype. In order to establish if any particular groups of genes or indeed cellular path-1691 ways are key to doxycycline-dependent improvements in growth, we utilised the keio 1692 collection. This is a library of *E.coli* strains comprising of single gene knockout strains 1693 for every non-essential gene, 3,985 in total [175]. Reverse genetics approaches such 1694 as this have become a powerful tool to explore the phenotypic response to mutations 1695 in the context of different environmental pertubations [176]. Through measuring the 1696 phenotypic response to doxycycline across thousands of genes, we can assess the 1697 impact of each mutation on the fitness of *E.coli* in response to antibiotic treatment, 1698 and how this changes over time as nutrients are exhausted. 1699

Previous studies have utilised the keio collection to deduce the phenotypic response 1700 to drug challenge in various genetic backgrounds. For example, Liu et al. [177] gen-1701 erated an 'antibiotic barcode' for 22 antibiotics, identifying a set of genes that can be 1702 used for rapid typing of different antibiotics. Furthermore, by measuring the growth 1703 response of all keio knockout strains in response to various stressors, Nichols et al. 1704 generated 10,000 phenotypes, exploring not only the response to stress but also 1705 gene essentiality [178]. Whilst the authors utilised colony size as a measure of pop-1706 ulation growth, here we sought to understand the impact of antibiotic exposure on all 1707 aspects of the bacterial growth curve. 1708

Through the use of an extensive gene knockout library such as the keio collection, 1709 it is possible to not only develop a better understanding of the relationship between 1710 genotype and phenotype, but also begin to deduce the function of previously unchar-1711 acterised genes [179, 178]. Mutations are a source of great phenotypic variation 1712 within bacterial populations, and the effect of mutations on growth is heavily context 1713 dependent. In other words, the size of a beneficial or detrimental impact on growth 1714 as a result of a mutation is dependent on the environmental conditions. Moreover, 1715 functionally associated genes are likely to result in similar phenotypes over varying 1716 environments. Therefore, by exploring the phenotypes of gene knockout strains in 1717

response to perturbations we can begin to link those genes into likely functionally-related groups.

Here, we utilised a doxycycline concentration found to inhibit 50% of growth in the 1720 keio WT strain E.coli BW25113, allowing us to measure the phenotypic response to 1721 doxycycline against a background of individual gene knockouts. This allowed us to 1722 assess which strains retain the doxycycline-induced improvements to growth mea-1723 sured in the WT, and which strains lose any benefit. Furthermore, by measuring 1724 the phenotypic response over 48 hours we were able to assess the response to 1725 doxycycline over various stages of growth, and by doing so uncovered surprising 1726 phenotypes. For example, strains in which doxycycline both shortens lag time and 1727 increases final population density relative to the Keio WT, and indeed strains that 1728 only grow in the presence of doxycycline. 1729

It was hypothesised that through the use of the keio collection, the genetic basis for
the different phenotypic outcomes induced by doxycycline could be elucidated. For
example, if doxycycline doesn't stimulate the growth of a particular strain, it is likely
that the knocked out gene is relevant to this phenotype.

The original aim of this work was to screen the response to doxycycline in all 3,985 keio strains. However, due to the ongoing COVID-19 pandemic, this work could not be fully completed and as such the data in this chapter is presented as a preliminary study. At the time of thesis submission, the growth profiles of 1,266 strains in the presence and absence of doxycycline had been completed, resulting in 2,532 growth curves. Initially, strains with gene knockouts involved in metabolic processes were screened, followed by an arbitrary selection of the remaining keio library.

#### 1741 4.2 Contributions

The experimental design, implementation of protocols, and data analysis were carried out by Emily Wood. Prof Ivana Gudelj assisted with experimental design and data analysis. Prof Robert Beardmore provided funding (EPSRC), as well as assisting with experimental design and data analysis.

1746

#### 4.3 Doxycycline-induced benefits to K in *E.coli* BW25113

Exposure to doxycycline over a prolonged period of time results in a lag-K trade-off in 1748 E.coli MG1655, with higher doses of doxycycline prolonging lag phase but resulting 1749 in a higher cell density relative to a drug-free population (as shown in figure 2). To 1750 explore this phenotype in the genetic background of the keio collection, we first need 175 to establish if a similar trade-off is present in the keio WT strain, *E.coli* BW25113. 1752 This WT strain is derived from *E.coli* MG1655 and as such we anticipated a similar 1753 phenotypic response towards doxycycline, including the dose-dependent benefits to 1754 population density. 1755

Separate populations of *E.coli* BW25113 were grown in the presence of a various
concentrations of doxycycline (0.2, 0.4 and 0.8mg/l), or left drug-free. These cultures
were grown in M9CAA for 72 hours without the addition of any fresh media, and
growth was monitored every 20 minutes as OD measurements. A dose response for *E.coli* BW25113 in doxycycline is displayed in supplementary figure S12.

<sup>1761</sup> We find that the growth of *E.coli* BW25113 in doxycycline closely mirrors that of <sup>1762</sup> *E.coli* MG1655, with increasing doses of doxycycline resulting in improvements to <sup>1763</sup> the final population density (as shown in figure 35). The advantages offered through <sup>1764</sup> carrying out an extended antibiotic susceptibly test such as this are clear. The <sup>1765</sup> drug-free population reaches stationary phase by  $\sim$ 12 hours, after which point no <sup>1766</sup> further growth is observed - had the growth measurements been stopped at this <sup>1767</sup> point, the highest concentration of doxycycline used here (0.8mg/l) would appear

to be effective in inhibiting bacterial growth due to the prolonged lag phase. And
yet, when measurements are extended up to 72 hours, as they have been here, we
observe a stimulatory effect from doxycycline with increases in cell number beyond
that seen in the drug-free control.

We confirm that there is a linear, dose dependent increase in K (max population 1772 density) with increasing doses of doxycycline, as shown in figure 36a. The highest 1773 dose of doxycycline (0.8mg/l) was found to result in ~2.6x higher final population 1774 density relative to the drug-free population. Furthermore, as with E.coli MG1655, we 1775 measure a dose-dependent increase in lag time (figure 36b). However, unlike E.coli 1776 MG1655 we observe a significant linear decrease in growth rate with increasing 1777 concentrations of doxycycline (Fig 36c). This suggests that although the two strains 1778 of E.coli are genetically very similar, they do have slightly different phenotypic 1779 responses to doxycycline. 1780



Figure 35: The raw growth curves for *E.coli* BW25113, the ancestral keio strain, exposed to various doses of doxycycline. Growth is measured as  $OD_{600nm}$ . The OD of populations exposed to doxycycline exceeds that in the drug-free control populations by the end of the growth period (72 hours). The mean is displayed as a solid line and individual replicates as dashed lines. *n*=3


(C)

Figure 36: The final population density (a), lag time (b) and growth rate (c) are shown on the y axis as a function of increasing doxycycline concentration (x axis). We observe a positive linear relationship between population density and lag time with increasing doses of doxycycline, however there is a decrease in growth rate. Linear regressions are displayed as solid lines, with 95% CI shown as dashed lines.

# The phenotypic response to doxycycline across all 1,266 single gene knockout strains

The phenotypic response to doxycycline across all of the keio library strains tested 1784 was examined in M9CAA supplemented with 2mg/ml glucose, with or without the 1785 addition of 0.4mg/l doxycycline (the concentration deemed to be the WT IC<sup>50</sup>, that 1786 is the concentration of doxycycline that inhibits 50% of growth). The strains were 1787 transferred via pin replicator into microplates with an agar-filled reservoir surround-1788 ing the wells to prevent evaporation during the extended incubation period. Cultures 1789 were then left to grow for 48 hours without the addition of fresh nutrients, with growth 1790 measured as OD every 20 minutes. A 48 hour growth period was selected as both 1791 drug-free and doxycycline exposed cultures of the WT E.coli BW25113 strain were 1792 found to have reached stationary phase by this time point. This resulted in a total of 1793 2,532 growth curves. Although only the growth of single replicates has been mea-1794 sured for each strain, we did additionally measure the growth of 96 of these strains 1795 in triplicate to check reproducibility. The blank corrected growth curves for all of the 1796 tested strains, in both drug-free and doxycycline-exposed conditions are displayed 1797 in supplementary figures (Fig S15-S28). 1798

First, the difference in growth parameters for each strain in 0.4mg/l doxycycline relative to drug-free conditions was broadly assessed. Across all of the strains, doxycycline was generally found to result in decreased K, as shown in figure 37a. This would therefore suggest that in the majority of strains, the benefits to K that were found in the WT are lost. However, the lag time is generally extended in doxycycline conditions relative to drug-free (figure 37b) and growth rates were generally found to decrease (figure 37c), as found in the WT.

Nichols et al. [178] obtained 'fitness scores' based on the presence or absence of colony growth in different conditions, including doxycycline treatment (0.25, 0.5, 0.75 and 1mg/l doxycycline). We therefore sought to validate our results against those obtained by Nichols et al. by measuring the correlation between K in the strains

measured here, and the fitness scores in four different concentrations of doxycy-1810 cline. The fitness scores were based on the size of the bacterial colony, hence K 1811 was used as a comparison as this is also a measure of final population density after 1812 a defined period of time. As shown in supplementary figure S13, there is poor corre-1813 lation between K in 0.4mg/l doxycycline relative to drug-free, and the fitness scores 1814 obtained in different concentrations of doxycycline. However, the methods used by 1815 Nichols et al. (colony size measurements) differ from those used here (measurement 1816 of growth as OD). Moreover, M9CAA media was used in this study rather than LB 1817 and this too could result in the deviation in phenotypic response to doxycycline. 1818



(C)

Figure 37: The distribution of K, rate and lag are shown in 0.4mg/l doxycycline normalised to the drug-free control for each strain. There is a significant difference in the K (Wilcoxon signed rank test, p <0.0001), rate (Wilcoxon signed rank test, p <0.0001) and lag (Wilcoxon signed rank test, p <0.0001) in doxycycline conditions relative to drug-free.

### **4.5** Clustering the keio strains by growth over 48 hours.

The growth data obtained in doxycycline-exposed cultures was normalised to the 1820 growth of the identical strain in drug-free conditions and subjected to hierarchal 182 clustering. From the clustergram displayed in figure 38a, we find that in the majority 1822 of strains, doxycycline is detrimental to at least one aspect of growth, versus growth 1823 in drug-free conditions. And yet, in some mutants the exposure to doxycycline 1824 confers a benefit over growth in drug-free media, as we find in the WT strain. Note 1825 that in figure 38, green indicates that the OD is greater in 0.4mg/l doxycycline relative 1826 to drug-free conditions, whilst red indicates that OD has decreased in doxycycline 1827 relative to drug-free conditions. 1828

Strains displaying similar growth profiles in doxycycline were found to cluster together. For example, figure 38b displays strains that are characterised by a shorter lag phase but lower population density in doxycycline cluster together. These strains are of interest to us as they deviate from the lag-K trade-off observed in the WT *E.coli* strain.

In addition, we find that functionally-associated genes cluster together, with similar 1834 phenotypic response towards doxycycline. For example, the genes sdhA, sdhC and 1835 *sdhD* all encode succinate dehydrogenase, an enzyme that catalyses the oxidation 1836 of succinate into fumarate as part of the TCA cycle. These three genes were all 1837 found to cluster together in a phenotype characterised by a long lag phase and 1838 smaller population density relative to drug-free conditions, as shown in figure 38(c). 1839 This is therefore consistent with our expectation that genes encoding proteins with 1840 similar, or indeed the same function will result in similar phenotypic responses 184 towards antibiotic exposure. 1842

1843

Figure 38: (a) A clustergram showing the clustering of genes by their growth in doxycycline relative to growth in drug-free media. The mutant strains are shown on the x axis and time (0-1400 mins) is displayed on the y axis. Green indicates that the OD is greater in doxycycline conditions relative to drug-free, whilst red indicates that is lower. (b) A subset of the clustergram contains genes that cluster together based on a shorter lag phase and lower population density in doxycycline relative to drug-free conditions. (c) The genes encoding Succinate dehydrogenase (*sdhA*, *sdhC* and *sdhD*) are found to cluster. *n=1*.



# 4.6 Principle component analysis of the growth curves in drug free and doxycycline-treated cultures

To gain more insight into the association between genomic background and growth response to doxycycline, we next carried out principle component analysis (pca) on the 2,532 growth curves obtained in the presence and absence of doxycycline. This allowed us to cluster the data and identify the aspects of growth that best describe drug-free and doxycycline-exposed cultures.

The first three principle components were found to account for 94.7% of the variance 185 within the data, with principle component one (PC1) alone explaining 80.7% of 1852 the variance, as shown by figure 39a. The temporal dependence of PC1 closely 1853 resembles the 'typical' growth of an *E.coli* population, with a relatively short period 1854 of lag followed by exponential growth and finally reaching stationary phase by the 1855 end of the measurement period. PC2 on the other hand more closely resembles an 1856 *E.coli* population that has a longer lag phase, but also lower final population density. 1857 Finally, PC3 is similar to PC2 but with a slightly higher final population density. PC2 1858 and PC3 appear to show a rate-K trade-off, as they represent growth curves with a 1859 larger growth rate than that of PC1, but at the cost of a smaller K. 1860

Figure (fig 39b) shows a scatter plot for the first two principle components, with drug-free growth shown as blue circles, and growth in doxycycline shown as red circles. We find that there is poor separation of doxycycline- and drug-free cultures based on PC1 and PC2, with data points clustering tightly together. It is likely that this is due to the the high percentage of variance explained by PC1.

However, when we project the data onto the PC2-PC3 space (fig 39c), we find a wave of phenotypic diversity in doxycycline-treated cultures, and better separation of the two conditions. This would suggest that doxycycline-treated cultures have a prolonged period of lag and fits in with the diversity in lag time identified in doxycycline-treated cultures previously (figure 37b).

1871



Figure 39: a) The temporal depedence of each PC1, PC2 and PC3 over 48 hours. PCA of the 1,266 keio knockout strains in the presence (red circles) and absence (blue circles) of doxycycline. Growth curves for all knockout strains are shown projected onto the a) PC1-PC2 and b) PC2-PC3 space.

# 4.7 Principle component analysis of doxycycline-exposed cul tures relative to the drug-free control

We next aimed to identify clusters of strains with similar growth responses to 1874 doxycycline, relative to the drug-free control. For this, we normalised the growth 1875 data of doxycycline-exposed cultures against drug-free cultures and projected it 1876 onto the PC1-PC2-PC3 space. In this case, the first three principle components 1877 were found to account for 86.5% of the variance within the data, with PC1 explaining 1878 58.5% of the variance, PC2 19.3% and finally PC3 8.4%. When we plot the temporal 1879 dependence of the first three principle components, as shown in figure 40a, we find 1880 that PC1 resembles strains with poor growth in doxycycline relative to drug-free 1881 conditions. PC2 on the other hand best resemble strains with elevated population 1882 density in doxycycline, and PC3 represents strains with further improvements to 1883 population density and a shortened period of lag. 1884

Figure 40b shows the distribution of strains across the PC1-PC2-PC3 space, 1885 and we further elucidated clusters of strains with similar phenotypic responses to 1886 doxycycline through the use of K-means clustering. The growth curves were isolated 1887 from each cluster and are displayed in figure 41. We will now describe these clusters 1888 in turn, from the one representing the most strains to the least. The majority of 1889 strains (805, 63%) were located in cluster 8 and these have what we might refer to 1890 as a 'typical' response to doxycycline, largely mirroring that of the WT. From figure 189 41, we find that the strains located in cluster 8 generally have elevated population 1892 density relative to the drug-free control at the end of the measurement period. 1893

The second largest cluster is number 6, containing 157 strains (12.4%), and these strains generally had little variation in growth between doxycycline and drug-free conditions, although some were found to have a shorter lag time. Cluster 2 was found to contain 95 strains (7.5%), and these strains had poor growth in doxycycline, in that the final population density was low in comparison to the drug-free culture or no growth was observed at all. The next largest was cluster 5 with 86 genes (7%),

and similar to cluster 2 it contained genes with a lag time extension and low final
 cell density in response to doxycycline. Cluster 7 contains 58 (4.7%) strains, largely
 comprised of those that were unable to grow in doxycycline or had very poor growth
 towards the end of the measurement period.

The next largest cluster (26 strains - 2.1%) was cluster 10 and represents a very 1904 surprising phenotype - those strains that only grow in doxycycline, alongside 1905 strains with substantially higher population density by 48 hours relative to drug-free. 1906 Cluster 1 was also found to contain strains with an usual phenotype in response to 1907 doxycycline - these 22 strains (1.7%) had a lower cell density, but also a shortened 1908 lag period. The remaining clusters contain very few strains - cluster 9 was only found 1909 to contain 13 (1%), cluster 4 contained 5 (0.4%) and finally cluster 3 only contained 1910 one strain. The growth of strains in these final clusters closely resemble that found 1911 in cluster 1. 1912



(a)



(b)

Figure 40: a) The temporal depedence of PC1, PC2 and PC3 over 48 hours for doxycycline-exposed cultures relative to drug-free. b) PCA of 1,266 keio knockout strains in the presence of doxycycline, relative to drug-free. Growth curves for all knockout strains are shown projected onto the PC1-PC2-PC3 space. The different colours represent different clusters of strains, as identified by k-means clustering.





Next, we assessed the relationship between gene function and the phenotypic re-1914 sponse to doxycycline. To achieve this, the gene knockout strains were assigned to 1915 different groups of functional class based on the 'Clusters of Orthologous Groups 1916 of proteins' (COGs) [180], as shown in table 4. This approach allows us to assign 1917 each gene to one of 23 different functional categories, although many genes will be 1918 assigned to the 'general function prediction only (R)' or 'unknown (S)' categories due 1919 to lack of evidence regarding their function. For simplicity, all genes were assigned to 1920 one category only. The distribution of COG terms assigned to all of the keio strains 192 tested is displayed in supplementary figure S14. 1922

The COG terms assigned to each cluster are displayed in figure 42. Among the COG 1923 categories assigned within each cluster, certain ones were found to be enriched 1924 (COG enrichment was studied through the use of Fisher's exact test). For example, 1925 cluster 1 was enriched for genes in COG family S, that is genes with an unknown 1926 function. Both cluster 2 and 5 were found to be enriched for COG term L (replication 1927 and repair). Additionally, cluster 2 was enriched for term I (lipid metabolism). As clus-1928 ter 2 largely represents strains with poor growth in doxycycline, this would imply that 1929 the genes involved in replication and repair, and those involved in lipid metabolism 1930 are important to the doxycycline-induced benefits observed in the WT. 1931

<sup>1932</sup> Cluster 6 was found to be enriched for terms F (Nucleotide metabolism and trans-<sup>1933</sup> port), R and S. Cluster 7 was enriched for terms M (cell wall/membrane/envelope <sup>1934</sup> biogenesis) and T (signal transduction) - these strains grew extremely poorly in doxy-<sup>1935</sup> cycline, or indeed not at all. It is logical that disruptions to the cell membrane could <sup>1936</sup> impact the permeability of the cell, and therefore influence the uptake of doxycycline <sup>1937</sup> and consequently reduce growth.

The largest cluster, number 8, was found to be enriched for a broad range of terms including C (energy production and conservation), H (coenzyme metabolism) and K (transcription), this is to be expected given the large number of strains contained within this cluster. The remaining clusters (3,4,9 and 10) were not found to be enriched for any particular COG term.

In summary, whilst clusters containing the majority of strains with a 'typical' response 1943 towards doxycycline were found to be enriched for a variety of different COG terms, 1944 the clusters with poor growth in doxycycline were found to be enriched for COG terms 1945 primarily associated with metabolism and cell wall biogenesis and repair. This would 1946 suggest that impairment of these key cellular processes eradicates the benefits to K 1947 found upon doxycycline exposure in the WT. In chapter two, doxycycline exposure 1948 was found to alter the expression of metabolic genes, and it is therefore logical that 1949 metabolic gene knockout strains would also have a response to doxycycline that de-1950 viates from the WT. Furthermore, interference with the cell membrane could effect 1951 the uptake of doxycycline into the cell, resulting in the poor growth measured here. 1952

COG term	Functional annotation
А	RNA processing
В	Chromatin structure
С	Energy production
D	Cell cycle control
Е	Amino acid metabolism and transport
F	Nucleotide metabolism and transport
G	Carbohydrate metabolism and transport
Н	Coenzyme metabolism
I	Lipid metabolism
J	Translation
К	Transcription
L	Replication and repair
М	Cell wall/membrane biogenesis
Ν	Cell motility
0	Post translational modification
Р	Inorganic ion transport
Q	Secondary structure
Т	Signal transduction
U	Intracellular trafficking
Y	Nuclear structure
Z	Cytoskeleton
R	General function prediction
S	Unknown

Table 4: COG terms and associated functional annotation.



Figure 42: Single COG terms were associated with the genes from each cluster. The different colours correspond to the colours of the clusters shown in the previous PCA plot. Clusters 3,4 and 9 have been excluded as they contain few genes. COG term enrichment measurement were carried out using a Fishers exact test.

# 4.8 Keio knockout strains that lose doxycycline-induced bene fits to growth

A key motivation of this work was to identify strains that deviate from the doxycyclineinduced benefits to growth outlined in chapter two. More specifically, the extension of lag time and increase in population density observed after an extended growth period in doxycycline, identified with both *E.coli* MG1655 and the keio WT strain *E.coli* BW25113. By identifying single gene knockout strains in which this phenotype is absent, we can better understand its genetic basis.

To quantify this, we isolated the growth data of 510 strains found to have a lower 196 final population density (K) in doxycycline relative to drug-free cultures. Note that 1962 strains that failed to grow in either both or just one of the conditions were excluded 1963 from further analysis. Furthermore, as the WT experienced a lag time extension 1964 in media containing doxycycline we also sought to identify which strains retain an 1965 extension in lag but lose the benefit to cell density, and indeed which strains actually 1966 experience a shorter lag with doxycycline. Figure 43a shows a scatterplot of the K 1967 against lag time in these low-K strains (in doxycycline normalised to the growth of 1968 same strain in drug-free conditions). 1969

We find that there is a large distribution of lag time for these strains, and so k-means 1970 clustering was used to separate these strains into five separate clusters based on 197 lag, as represented by the different colour data points in figure 43a. The growth 1972 curves of the strains within each cluster were then isolated and are displayed 1973 in figure 43b. Both clusters 1 and 2 are small, containing only 4 and 5 strains 1974 respectively and represent strains with an extremely extended lag time relative to 1975 drug-free. Cluster 3 was found to encompass the majority of low-K keio strains 1976 (407), and largely contained strains with an slightly extended lag time relative to the 1977 drug-free control. 1978

<sup>1979</sup> Cluster 4 contained 98 strains with an intermediate lag time, 3-4x longer than the <sup>1980</sup> drug-free control. Finally, cluster 5 was found to contain 181 strains with a partic-

<sup>1981</sup> ularly unusual phenotype - although the final population density was small relative <sup>1982</sup> to the drug-free, the lag was either similar to, or actually shorter than the drug-free <sup>1983</sup> population. This is surprising given that with the concentration of doxycycline used <sup>1984</sup> here (0.4mg/l) and indeed with most ribosome-targeting antibiotics [181], we would <sup>1985</sup> anticipate a substantial increase in lag time as we observed in the WT (figure 35). In <sup>1986</sup> this cluster however, doxycycline is actually beneficial to *E.coli* in terms of lag time.

COG categories (table 4) were assigned to the strains in the three largest clusters 1987 (3,4 and 5), as shown in figure 44. COG enrichment was studied through the use 1988 of Fisher's exact test. We find that cluster 3 is enriched for genes in categories C 1989 (Energy metabolism) and H (coenzyme metabolism) - both of which are considered 1990 to be under the broad category of metabolism. In chapter 2 we demonstrated that 199 doxycycline exposure results in changes to the expression of multiple key metabolic 1992 genes, and here we find that removal of genes involved in carbon metabolism also 1993 results in drastic changes to phenotype in doxycycline. All of the TCA cycle gene 1994 knockout strains tested here (barring  $\Delta$  sucA) were found to lose the doxycycline-1995 induced benefit to cell density (as shown in figure 45a), and all were therefore 1996 located in cluster 3. Moreover, all four glycolysis gene studied (figure 45b) and two 1997 key glyoxylate cycle gene knockout strains (figure 45c) used here were also found to 1998 be in cluster three and had therefore also lost the benefit to K but retained extension 1999 to lag time. This would suggest that these carbon metabolism genes are indeed key 2000 to the improved resource efficiency observed in the WT *E.coli* strain. 2001

Cluster 4 is not enriched for any particular COG term, indicating that that no 2002 particular functional group results in the phenotype observed within this cluster. 2003 Finally, cluster 5 was found to have strains enriched in COG terms R (general 2004 function prediction only) and S (unknown function). A large proportion of E.coli 2005 genes have functions that have yet to be deduced, with approximately one third 2006 of all protein-coding genes from bacterial genomes still having unknown functions 2007 [182]. By linking these genes to particular phenotypes we can begin to associate 2008 them with particular phenotypes and genes of known function [178]. The fact that 2009

the removal of these poorly characterised genes from the genome results in such an unusual response to doxycycline (shortened lag phase) indicates that they do indeed play a role in the antibiotic response.



(b)

Figure 43: (a) The relationship between the lag time shown on the x axis and and K shown on the y axis. Data is shown for strains with a low K relative to the drug-free control, that is a relative K lower than one. K-means clustering was carried out and the resulting 5 clusters are shown as different colours. (b) The growth curves of the strains within the five clusters are shown (light blue lines), alongside the mean (dark blue line).



Figure 44: The genes from each cluster were assigned to COG terms. Note that each gene was only associated with a single COG term and clusters 1 and 2 have not been shown due to small numbers of genes located within these clusters. COG term enrichment measurement were carried out using a Fishers exact test - cluster 3 was found to be enriched for COG terms C (p < 0.05) and H (p < 0.01) whilst cluster 5 was found to be enriched for COG terms R (p < 0.01) and S (p < 0.01).











(c)

Figure 45: The growth curves of key carbon metabolism gene knockout strains identified in cluster 3. Knockout strains involved in the TCA cycle (a), glycolysis (b) and the glyoxylate shunt (c).

### **4.9** Conditionally essential genes

2031

<sup>2015</sup> Conditionally essential genes are defined as genes that are required for the growth <sup>2016</sup> and proliferation of an organism within a particular environment. Thus, by identifying <sup>2017</sup> genes that are essential within various different environmental conditions, we can <sup>2018</sup> develop a better understanding of gene function. For example, auxotrophs that are <sup>2019</sup> unable to synthesise an essential compound will therefore be unable to grow unless <sup>2020</sup> that compound is available in the external environment.

During the development of the keio collection, only 8% of genes could not be 202 disrupted and were therefore deemed essential to growth [175] and this included 2022 a number of genes that were uncharacterised at the time. Conditionally essential 2023 genes have been further explored using the keio collection, revealing a number of 2024 genes that are fundamental to cell growth in both nutrient rich [178] and nutrient 2025 poor conditions [183]. Within poor media, 196 genes were previously reported to 2026 be conditionally essential, with approximately half of those genes being identified 2027 as essential due to auxotrophy [178] and this overlapped significantly with other 2028 studies [183]. Figure 46 shows this overlap between the conditionally essential 2029 genes identified previously. 2030

> Auxotrophs Auxotrophs Keio essential genes (Baba et al 2006) n = 303 (Joyce et al 2006) (Nichols et al 2011) n = 119 n = 102 61 37 19 18 303 3 91 Rich Media CE (Nichols et al 2011) n = 116

Figure 46: The number of genes previoulsy found to be essential and therefore unable to be removed as part of the keio library construction, auxotrophs in two different studies and finally conditionally essential (CE) under nutrient rich conditions. Figure adapted from reference [178]

Here, we identified a total of 21 strains (1.7%) that failed to grow in M9CAA, both 2032 with and without doxycycline (Table S1). However this is not an extensive list of 2033 all the conditionally essential genes due to the incomplete dataset used. It is of 2034 course also possible that the strains had lag times that extended past our 48 hour 2035 measurement period, for example some keio strains have been reported to have a 2036 lag time exceeding 7 days [184]. We do however find some overlap between the 203 conditionally essential genes identified here and those identified in previous studies. 2038 For example, the  $\Delta guaB$  strain failed to grow in glycerol minimal media [183] and 2039 in rich media [178], as guaB mutants are guanine auxotrophs. We too identified 2040 the guaB gene to be conditionally essential in the conditions used here. The  $\triangle iscC$ 204 gene was previously identified as conditionally essential in glycerol minimal media 2042 [183], and we also found it to be essential. Finally, the  $\Delta cysG$  strain also failed to 2043 grow in this study, and has previously been identified as an auxotroph [178]. The 2044 COG terms assigned to each gene are shown in figure 47a, and this group of genes 2045 was found to be enriched in COG term 'S', that is genes with unknown function. 2046 2047

## **4.10** Hypersensitivity to doxycycline

Through this dataset we can identify gene knockout strains that result in 'hypersensitivity' to doxycycline. For the purpose of this analysis we define hypersensitive strains as those that grow to measurable levels in drug-free media, but are unable to grow in the concentration of doxycycline used (0.4mg/l, a concentration deemed to inhibit 50% of growth in the WT strain *E.coli* BW25113). In total, we identified 114 strains that fail to grow in doxycycline, and these are displayed alongside the gene function in supplementary table (Table S2).

<sup>2056</sup> Unsurprisingly, amongst the strains that are 'hypersensitive' towards doxycycline are <sup>2057</sup>  $\triangle$  *acrA* encoding a multi-drug efflux pump subunit and  $\triangle$ *mdlB* encoding a putative <sup>2058</sup> multi-drug resistance-like ABC exporter. We know that deletions of genes involved

in the AcrAB-ToIC efflux pump can result in increased sensitivity to many antibiotics 2059 [177], and so it is logical that here the removal of acrA resulted in increased sensi-2060 tivity towards doxycycline. Likewise, we find that the  $\Delta ompA$  strain has increased 206 sensitivity towards doxycycline. OmpA is a non-specific outer membrane porin, and 2062 ompA mutations have been reported to increase sensitivity towards a variety of 2063 antibiotics from different classes including  $\beta$ -lactams, glycopeptides, amphenicols, 2064 licosamides and tetracyclines [57]. Additional growth curves of the ompA and acrA 2065 knockout strains are displayed in supplementary figure S29. 2066

We found that the majority of genes linked with this hypersensitivity phenotype either have an unknown function, or general function prediction only when assigned to COG functional groups, as shown in figure 47b.

Three of the strains found to only grow in drug-free media (*tolB*, *paL* and *acrA*) were also classified as 'multi-stress responsive' (MSR) strains by Nichols et al. - defined as strains displaying 30 phenotypes or more across the various stressors tested [178]. The removal of these genes results in a diverse range of phenotypes depending on the environment, and so it is logical that the removal of these genes also results in a severe phenotype with doxycycline (hypersensitivity).

### 2077 4.11 'Super strains'

In the majority of Keio strains tested here, doxycycline treatment was detrimental 2078 to at least one aspect of bacterial growth. For example, in some cases K may be 2079 large relative to the drug-free control, but lag time would be extended. However, in 2080 20 strains, we find that both lag and K benefit from doxycycline treatment, and we 208 refer to these as 'super strains'. Now, 50% of these strains have uncharacterised 2082 functions and this is unsurprising given the large number of uncharacterised genes 2083 in the 1,266 strains tested here. Out of the genes that are characterised however, 2084 we find two that are involved in the processing of amino acids (Irp and yaiG) and 2085

two involved in DNA damage/repair (*ung* and *mutH*). Although uncovering the true
biological reason behind this phenotype would require further investigation, we can
speculate based on our existing knowledge of these genes.

Although the primary role of Lrp is the synthesis and uptake of amino acids, it is also 2089 a global regulator of multiple cellular pathways, including metabolism, virulence and 2090 motility [185]. Moreover, it has a key role in stationary phase processes, particularly 209 in nutrient forging as resources become depleted. In chapter 2 we demonstrated 2092 the benefits that doxycycline can confer in starving populations of *E.coli* through 2093 improved growth on cell debris - it is possible that doxycycline mitigates against the 2094 loss of Lrp, thus improving cell growth relative to drug-free populations. Of course 2095 we must also consider that the removal of a global regulator such as Lrp may have 2096 unforeseen, downstream effects on the regulation of other genes. 2097

<sup>2098</sup> DNA repair genes such as *mutH* are repressed during stationary phase by RpoS <sup>2099</sup> due to decreased rates of DNA synthesis. However they are important for the <sup>2100</sup> modulation of mutation rate in response to stress [186]. The mechanism behind <sup>2101</sup> lag time shortening and increased cell density in response to doxycycline in these <sup>2102</sup> mutants is unclear. Additional growth curves for the *Irp* and *mutH* knockout strains <sup>2103</sup> are displayed in supplementary figure S30.

2104

## **4.12** Keio strains found only to grow in doxycycline

We uncovered a further unexpected phenotype in this dataset - strains that failed to grow in drug-free conditions but were able to grow in doxycycline. Considering that doxycycline is traditionally thought of as a drug that has purely detrimental effects on bacterial cells, it is surprising to find knockout strains that are *only* able to grow in its presence.

<sup>2111</sup> In total 19 strains were found to only grow in media containing 0.4mg/l doxycycline, <sup>2112</sup> as shown in supplementary table S3. Only four of the deleted genes in these strains

had uncharacterised functions, in contrast to the high numbers of uncharacterised 2113 genes observed overall in the keio strains tested. Moreover, five of the characterised 2114 genes were involved in transcriptional regulation (*adiY*, *xapR*, *perR*, *purR* and *soxS*). 2115 In figure 47c we show the COG terms assigned to each gene, and we find that this 2116 group of strains is enriched both for genes of unknown function (S), but also genes 2117 involved in transcription (K). One of these gene (soxS) has previously been reported 2118 as a conditionally essential gene in rich media [178]. SoxS is a global regulatory 2119 protein that plays a key role in the cells oxidative response system, and its deletion is 2120 therefore likely to have wide ranging consequences on the cells response to stress. 2121 Moreover, SoxS is heavily involved in the response to doxycycline, given that SoxS 2122 expression results in up regulation of acrAB [187]. The transcriptional regulator PerR 2123 is also involved in the response to oxidative stress, more specifically it response to 2124 peroxide stress [188]. 2125

In addition, we find that the *sodB* deleted strain only grows in doxycycline. SodB (superoxide dismutase) is involved in the cells antioxidant response, is found to be elevated during periods of starvation [189]. It would therefore appear that doxycycline allows growth in these strains that have a reduced response to oxidative stress. Additional replicate growth curves of the *nagC*, *soxS* and *sodB* knockout strains are displayed in supplementary figure S31.

Now, it should be noted that whilst SodB, SoxS and PerR play a role in the cells oxidative stress response, tetracycline antibiotics have also been shown to mediate the cells response to oxidative stress through the scavenging of ROS such as superoxide [29, 30]. It is therefore possible that whilst the *sodB*, *soxS* and *perR* knockout strains are unable to grow in drug-free conditions due an insufficient oxidative stress response, the addition of doxycycline alleviates this and allows growth to occur.

In contrast, the growth of these strains was not found to be doxycycline-dependent in the study by Nichols et al. [178]. Only one strain (*soxS*) was identified as conditionally essential, however it was also unable to grow in doxycycline. Furthermore, none of these genes were identified as 'multi-stress' responsive genes. It is possible

that the different media conditions used here (M9CAA versus LB agar), as well as the difference in growth quantification (OD versus colonies) resulted in this disparity between phenotypes.



Figure 47: The knockout strains found to be a) conditionally essential in the media used, b) grow only in drug-free media and c) grow only in doxycycline were assigned to single COG functional groups. Enrichment analysis was then carried out via a Fisher's exact test. We find that all of these strain groups are enriched for unknown genes (S), however the group of strains only able to grow in doxycycline (c) is also enriched for COG term 'K', that is genes involved in transcription (p <0.0001).

### 2145 **4.13** Summary

The keio library of single gene knockouts has been used here to demonstrate the 2146 phenotypic variability induced by doxycycline. The original hypothesis was that by 214 clustering strains with similar phenotypic responses to doxycycline, the genomic 2148 basis for these phenotypes could be elucidated. Indeed, through PCA analysis and 2149 K-means clustering, strains with similar phenotypes were clustered and the key 2150 functional groups were extracted from each cluster by linking genes to COG terms. 215 Interestingly, strains were identified that experienced no benefit to growth with the 2152 addition of doxycycline (unlike the WT strain *E.coli* BW25113), and this group of 2153 strains was found to be over-represented for genes involved in core metabolic 2154 processes, including those part of central carbon metabolism. This supports data 2155 obtained in previous chapters demonstrating the effects that doxycycline can have 2156 on metabolic pathways. 2157

<sup>2158</sup> Furthermore, a previous study by Nichols et al. found that keio strains with similar

<sup>2159</sup> functions cluster together in their phenotypic response to various perturbations <sup>2160</sup> [178], and this is also found here with doxycycline. This clustering indicates that the <sup>2161</sup> method used here is valid, as it is logical that genes with similar functions form part <sup>2162</sup> of the same phenotypic cluster.

A number of unusual phenotypes were identified, for example strains that have 2163 benefits to both lag time and cell density in doxycycline. Surprisingly, certain 2164 strains failed to grow in drug-free media, but were able to grow with doxycycline. 2165 A number of these strains had gene knockouts involved in the oxidative stress 2166 response, and given that tetracyclines are known antioxidants it is possible that 2167 doxycycline protects against ROS in these strains, thereby allowing growth to occur. 2168 Further work would be needed to confirm this, such as an ROS assay to deduce if 2169 doxycycline is indeed reducing the levels of ROS produced by these strains. 2170

<sup>2171</sup> Despite the limitations in this data set due to coronavirus-related interruptions, we <sup>2172</sup> have identified a number of strains that have interesting growth dynamics in the <sup>2173</sup> presence of doxycycline and therefore warrant further study.

# CHAPTER FIVE TRACKING THE EVOLUTION OF CARBAPENEM RESISTANT *KLEBSIELLA PNEUMONIAE* WITHIN A SINGLE PATIENT

### 2181 **5.1 Overview**

2188

The data presented in previous chapters originated from well-established laboratory strains of bacteria. Now, we will explore the adaptation of *Klebsiella pneumoniae* to repeated antibiotic exposure within a patient over the course of 18 months. In doing so, we identify the phenotypic and genotypic changes over time, in addition to testing the robustness of genotypic data as a diagnostic tool to predict antimicrobial resistance in clinical samples. The key findings were as followed:

- Inconsistencies exist between the antimicrobial resistance profile predicted by
   phenotypic and genotypic data.
- 2191
   2. Through nanopore sequencing we resolved whole chromosome and plasmid
   2192 structures.
- 3. Long-read sequencing gives us insight into the structural genomic changes

occurring within *K. pneumoniae* over the course of an infection.

2194

Klebsiella pneumoniae is a gram-negative bacteria found ubiquitously in nature, 2195 including in the human body as an intestinal commensal bacteria. However, they 2196 are also the causative agent of serious, life-threatening human infections such as 2197 pneumonia [190] and bloodstream infections [191]. The threat posed by these 2198 infections is only amplified by their frequent occurrence in immunocompromised 2199 individuals and neonates. The prevalence of K.pneumoniae is reflected in data in-2200 dicating that as of 2018, K.pneumoniae accounted for 9,617 cases of bacteraemia's 2201 in the UK annually [192]. Moreover, the increasing incidence of extended-spectrum 2202  $\beta$ -lactamase (ESBL) and carbapenemase-producing K.pneumoniae are of particular 2203 concern due to the difficulties associated with effective antibiotic treatment. 2204

Carbapenem antibiotics are a group of broad spectrum  $\beta$ -lactams, often used as an 2205 antibiotic of last resort in the treatment of infections caused by multi drug resistant 2206 pathogens. In particular, carbapenems are one of the few groups of antimicrobials 2207 remaining for the treatment of extended-spectrum  $\beta$ -lactamase (ESBL)-producing 2208 pathogens, and thus it is crucial that outbreaks of carbapenem-resistant bacteria are 2209 carefully tracked. However, in recent years there has been increasing incidence of 2210 carbapenemase-producing Enterobacteriaceae, with the world health organisation 2211 deeming carbapenem-resistant Acinetobacter baumannii, Pseudomonas aerugi-2212 nosa and Enterobacteriaceae as large threats to human health [193]. 2213

Carbapenem-resistant *K.pneumoniae* in particular are a group of rapidly spreading, 2214 multi-drug resistant pathogen that not only result in prolonged hospital stays, but 2215 also increased rates of mortality [69]. By far the most prevalent mechanism of 2216 carbapenem resistance is the production of carbapenemases, including  $bla_{KPC}$ , 2217 bla<sub>NDM</sub>, bla<sub>VIM</sub>, bla<sub>IMP</sub> and bla<sub>OXA-48</sub> [194] that inactivate carbapenems by hydrolysis. 2218 These genes are often carried on plasmids, allowing rapid dissemination throughout 2219 microbial populations. Carbapenem-resistant K.pneumoniae are particularly hard 2220 to treat as they are commonly reported to be resistant towards a broad range of 2221

clinically relevant antibiotics, and often harbour additional antimicrobial resistance 2222 and virulence genes on large plasmids alongside carbapenemase genes [195, 69]. 2223 An alternative mechanism conferring carbapenem resistance is alterations in porin 2224 production, and these variations can also further amplify the resistance conferred 2225 by the presence of a  $\beta$ -lactamase. More specifically, the loss or modification of 2226 the non-specific porins OmpK35 and OmpK36 can reduce susceptibility towards 2227 carbapenems through impaired uptake of antibiotic into the cell. The majority 2228 of ESBL-producing K.pneumoniae only express OmpK36, or are found to lack 2229 both OmpK36 and OmpK35, resulting in lower drug susceptibility [196]. Further 2230 modifications have been reported in the minor porin OmpK37, however the role 223 of this porin in resistance is less clear, despite its loss having been implicated in 2232 reduced susceptibility towards carbapenems [197]. 2233

<sup>2234</sup> The first reports of *Klebsiella pneumoniae* carbapenemase (KPC)-producing <sup>2235</sup> *K.pneumoniae* originated from the USA in 2001 [67], but the KPC gene has <sup>2236</sup> since spread worldwide and now represents one of the most common mecha-<sup>2237</sup> nisms of carbapenem resistance in *K.pneumoniae*. Moreover, the incidence of <sup>2238</sup> carbapenemase-producing *Enterobacteriaceae* has been shown to have increased <sup>2239</sup> markedly in the UK in recent years, with the metallo- $\beta$ -lactamase NMD-1 found to <sup>2240</sup> be the most common amongst clinical isolates [195].

The severity of these infections is further compounded by the regular failure in 224 clinical detection of carbapenemases through routine screening, resulting in inef-2242 fective treatment and wider dissemination [198]. There are multiple examples of 2243 automated antimicrobial susceptibility testing systems producing false predictions 2244 of susceptibility towards carbapenem antibiotics in KPC-producing K.pneumoniae 2245 [199, 200, 201]. If, however, an isolate is found to be resistant to carbapenems, 2246 clinical PCR tests will typically be carried out to identify the presence of the 'big 2247 four' carbapenemase genes (bla<sub>KPC</sub> non-metallo carbapenemase, bla<sub>OXA-48 like</sub> 2248 non-metallo carbapenemase, bla<sub>NDM</sub> metallo carbapenemase and finally bla<sub>VIM</sub> 2249 metallo carbapenemase). Nevertheless, this is a relatively time-consuming process 2250

<sup>2251</sup> and consequently phenotypic tests are frequently used in isolation to determine a <sup>2252</sup> pathogens AMR profile.

<sup>2253</sup> Due to the severity of carbapenem resistant *K.pneumoniae*, hospital outbreaks are <sup>2254</sup> extensively tracked and studied to reveal the pathogen origin and track genotypic <sup>2255</sup> changes over time [79, 202, 203]. Although these types of studies are crucial in the <sup>2256</sup> assessment of infection spread through a community, they tell us little about the <sup>2257</sup> adaptation of the pathogen within the human body as an infection progresses. For <sup>2258</sup> this, we need to perform studies of pathogen adaptation within a patient over the <sup>2259</sup> course of the infection.

Pathogen transmission from the external environment also contributes significantly 2260 towards the spread of antibiotic resistance. Indeed, community and hospital ac-226 quired infections are prevalent, with serious concerns over the spread of pathogens 2262 such as vancomycin-resistant enterococci (VRE) and methicillin-resistant Staphylo-2263 coccus aureus [204]. Swabs taken from the surfaces of hospital rooms can reveal 2264 the presence of multidrug-resistant pathogens that consequently infect patients 2265 [205, 206]. However, adaptation to antibiotics within the host can also result in the 2266 development of antibiotic resistance [83], and so it is also key to understand the 2267 within-host evolution of pathogens. 2268

Examples of within-host adaptation include point mutations that develop during 2269 antibiotic treatment, resulting in a previously susceptible infection becoming an-2270 tibiotic resistant. For example, Blair et al. [83] found that a single base pair 227 substitution within the AcrB protein (a component of the AcrAB-TolC efflux pump) 2272 that arose during antibiotic treatment resulted in treatment failure. Larger genomic 2273 modifications, such as large deletions and amplifications can also arise during 2274 treatment and alter antibiotic susceptibility [207, 208]. Furthermore, the instability of 2275 structural variations such as amplifications can result in heteroresistance [209, 210] 2276 and an 'accordion effect' whereby the genomic regions expand and contract in 2277 response to stressors such as antibiotics [211, 210, 212]. It is therefore key that we 2278 understand how the selective pressures imposed by long-term antibiotic treatment 2279

alters pathogens genomes over time, both in terms of small polymorphisms andlarger genomic modifications.

We hypothesised that over the course of long-term chronic infection, the 2282 K.pneumonia isolates studied here would accumulate not only SNPs, but also 2283 larger structural variations in the genome. Indeed, experimentally evolved bacteria 2284 can acquire large genomic modifications such as amplifications [213, 7] and dele-2285 tions [214, 215] in response to antibiotic exposure. And yet, these types of large 2286 genomic modifications are rarely identified directly within clinical isolates [208]. It is 228 possible that these types of genomic modification fail to be identified due to the use 2288 of short read sequencing, or are simply not present due to sampling over relatively 2289 short time periods [84]. We postulated that repeated antibiotic treatment over the 2290 span of many years would result in large genomic modifications. Alternatively, it is 229 plausible that the isolates represent different clonal types that have been acquired 2292 over the course of the infection period. Although infections can be dominated by one 2293 clonal type [84, 216], it is also possible for multiple clonal types to be acquired over 2294 time, with sporadic colonisation from the environment [217, 218]. 2295

In addition, we sought to assess the use of nanopore sequencing to elucidate the 2296 resistomes of complex clinical pathogens. Unlike short-read sequencing, nanopore 2297 sequencing is able to reliably re-construct plasmid structures that are often found to 2298 harbour key AMR genes [219], and thus we anticipated that both the presence and 2299 location of AMR genes could be accurately detected. Plasmids play a central role in 2300 the dissemination of AMR genes, and so it is important to understand the genetic 2301 context of these genes, as well as plasmid dynamics within the cell (for example the 2302 mobilisation of plasmid genes into the chromosome). 2303

Here, we perform a retrospective study of a particularly complex, multi-drug-resistant *K.pneumoniae* infection within a single patient. The *K.pneumoniae* isolates acquired span 18 months of infection and have been repeatedly exposed to antibiotic treatment. Furthermore, these isolates were found to be consistently carbapenemresistant. Through whole genome sequencing using the Oxford Nanopore GridION

platform, we were able to fully reconstruct the isolates chromosomes and plasmids,
 detect the presence of antibiotic resistance genes and identify large genomic
 structural variations.

2312

### 2313 5.2 Contributions

The bacterial isolates and clinical data presented in this chapter were acquired by 2314 Dr Fergus Hamilton (NHS North Bristol Trust). Prof Robert Beardmore contributed 2315 towards the study design and acquisition of clinical isolates. The computing facilities 2316 required for analysis of genomic data resulting from sequencing were provided by 2317 Prof Ivana Gudelj. The costs of library preparation and sequencing were provided 2318 by a mini-grant from The University of Exeter Sequencing Service, funded by the UK 2319 Medical Research Council (MRC) Clinical Research Infrastructure Initiative (award 2320 number MR/M008924/1). The implementation of protocols and analysis of data were 232 carried out by Emily Wood. 2322

### 2323 5.3 Clinical data

All of the isolates used in this chapter were acquired from a single patient over the 2324 course of an 18 month period. The patient presented with a multi-drug resistant 2325 *K.pneumoniae* infection following a hip fracture that required surgery in India. Upon 2326 return to the UK, the hip was replaced for a second time, however the patient 2327 was frequently readmitted to hospital suffering from bacteraemia. The infection 2328 of prosthetic joints such as the hip represent a challenging problem to infection 2329 medicine and can result in poor clinical outcome. Difficulties in treatment are only 2330 compounded by the development of multi-drug resistance [220]. 2331

As this is a retrospective study certain isolates were unavailable for further characterisation, however the clinical data (antibiotic resistant profile, antibiotic exposure etc.) was available and is presented below.

Figure 48 displays the patients clinical course, that is the antibiotic treatments administered over the course of the infection. Samples collected in December 2017 were identified as containing both *E.coli* and *K.pneumoniae*, with the following isolates through to May 2019 consisting of only *K.pneumoniae*. The majority of the treatment consisted of Ceftazidime/avibactam and meropenem, however these antibiotic treatments proved to be ineffective as the patient frequently presented with recurrent bacteraemia.



Figure 48: Patient carriage of *E.coli* (Dec 2017) and *K.pneumoniae* (Jan 2018 - May2019). Red circles indicate the antibiotic treatment used. Note that in the second return to hospital in January 2018, no treatment was given

The antibiotic susceptibility profiles for each isolate were determined within the hos-2343 pitals clinical laboratory using a combination of disk diffusion assays and the Vitek 2344 2 (bioMérieux, Marcy-l'Étoile, France). The Vitek 2 is an automated antimicrobial 2345 susceptibility testing system, allowing high throughput analysis of patient samples. 2346 It determines the MIC of clinical isolates by comparing their growth in antibiotic 234 against a database of known MIC values [221]. Furthermore, bioMérieux claims that 2348 MIC can be determined based on only 3 concentrations of antibiotic and in as little 2349 as 4 hours [222]. 2350

Figure 53 shows an extensive list of all the antibiotics that these isolates were tested against, as well as the detection of ESBL production. Empty squares indicate that data was not collected for that antibiotic at that particular time point. Although the isolates are resistant to a broad range of antibiotics across all time points, there are antibiotics for which this susceptibility fluctuates. For example, six of the isolates tested are susceptible to fosfomycin whilst three are resistant at clinically relevant concentrations. Likewise, variations were identified in the detection of ESBL production - the isolates obtained in January and early July 2018 were found to be ESBL negative whilst all other isolates were classified as positive.

Figure 49 displays the clinical antibiotic susceptibility data obtained from the Vitek only, as the disk diffusion assays only give an indication of resistance or susceptibility, not a MIC value. Again, it is clear that the isolates are resistant to the majority of antibiotics, although there were fluctuations in the susceptibility towards Ertapenem, Gentamicin, Meropenem and Tigecycline.
	Dec '17 (1)	Dec '17 (2)	Jan '18 (1)	Jan '18 (2)	Feb '18	July '18 (1)	July '18 (2)	Aug '18	Sept '18	Oct '18	Jan '19	March '19	May '19
Co-amoxiclav	R	R	R	R	R	R	R	R	R	R	R	R	R
Ciprofloxacin	R	R	R	R	R	R	R		R	R	R	R	R
Cefpodoxime	R	R	R	R	R	R	R		R	R	R	R	R
Gentamicin	R	R	R	R	R	S	S	R	R	S	R	S	S
Co-trimoxazole	R	R	R				R	R	R	R	R	R	R
Pip/tazobactam	R	R	R	R	R	R	R	R	R	R	R	R	R
Amikacin		R	R			R	R	R	R	R	R	R	R
Aztreonam	R		R		R		R	R	R	R	R	R	R
Chloramphenicol	R		R					R					
Ceftazidime	R		R				R	R	R	R	R	R	R
Colistin	S		R					S	S	S	S	S	
Ceftriaxone	R		R				R	R	R	R	R	R	R
Cefotaxime	R		R				R	R	R	R	R	R	R
Ertapenem	R		R		R	R	R	R	R	R	l I	I.	I.
Cefepime			R				R	R	R	R	R	R	R
Fosfomycin	R	S	R		S	R	S	R		S	S		
Meropenem	R	R	R		R	S	R	S	S	R	S	S	S
Tigecycline	R		R				R	R	R	I.	R	R	R
Tobramycin		R	R				R	R	R	R	R	R	R
Amoxicillin	R	R	R				R	R	R	R	R	R	R
Cefalexin		R		R	R	R							
Trimethiprim		R		R	R	R							
Ampicillin			R				R	R	R	R	R	R	R
Pivmecillinam			R	R	R	S	R	R					
Ceftaz/avibactam							S	S	S	S		S	S
ESBL	Р	Р	N		Р	Ν	Р	Р	Р	Р	Р	Р	

Table 5: The antibiotic resistance profile of each isolate, as determined by automatic antibiotic susceptibility testing (Vitek) and disk diffusion at the clinical laboratory. Red cells represent resistance, green cells sensitive and blue cells intermediate. The numbers underneath the data on which the isolates were collected accounts for multiple isolates taken within a single month.



Figure 49: The minimal inhibitory concentrations of various antibiotics across all patient samples, obtained through clinical data. Red circles signify that the pathogen is 'clinically resistant' to that antibiotic at that concentration. Black circles signify that the pathogen is 'clinically susceptible' to that antibiotic at that concentration.

# 2366 5.4 Characterisation of *K.pneumoniae* isolates

2381

Although clinical data was available for 12 isolates obtained between December 2367 2017-May 2019, only six isolates were available for further characterisation due to 2368 issues with sample storage (August '18, September '18, October '18, January '19, 2369 March '19 and finally May '19). The growth dynamics of bacteria can provide us 2370 with a plethora of information on its fitness, for example the acquisition of antibiotic 237 resistance genes are often associated with fitness costs, and growth may therefore 2372 be impaired [162, 41]. In order to assess the phenotypic variation between the 2373 different isolates, we first grew them in M9CAA with 2mg/ml glucose and without the 2374 use of any antibiotic. Figure 50a shows the raw growth curves for the six isolates 2375 over 48 hours and we find that the growth profiles for each isolate are very similar. 2376 However, the isolate from May 2019 was found to have significantly lower K than 237 the initial isolate from August 2018 (figure 50b). The similarities found in the growth 2378 dynamics between different isolates leads us to postulate that these isolates may 2379 originate from the same initial population. 2380



Figure 50: a) The growth profiles of all six *K.pneumoniae* isolates in the absence of antibiotics. Growth was measured as optical density ( $OD_{600nm}$ ). Although there is little variation in growth between strains, it is the most recent isolate from May 2019 that reaches the lowest population density. b) K for the different isolates in drug-free media. There was a significant difference in K between the isolate obtained in August 2018 and in May 2019 (One way ANOVA with post hoc Tukey p <0.05). *n=3*.

### 2382 5.4.1 Phenotypic response to antibiotics : Antibiotics mechanism of action

As seen in Figure 49, the response to certain antibiotics was found to fluctuate substantially over time, therefore we next wanted to better characterise the response towards a selection of these antibiotics. In particular, antibiotics that had been used in the treatment of this infection. Through doing so, we aimed to identify if these are true fluctuations in antibiotic susceptibility, as this could indicate adaptation over time. Based on these criteria, the phenotypic response to both gentamicin and meropenem was investigated in greater detail.

Gentamicin is an aminoglycoside antibiotic that inhibits bacterial translation by binding irreversibly to the 30S ribosome, promoting mistranslation and thereby resulting in error-prone protein synthesis. It is generally thought to have both bacteriostatic and bactericidal action [223].

<sup>2394</sup> Meropenem is a carbapenem antibiotic - a member of the  $\beta$ -lactam class of antibi-<sup>2395</sup> otics. It is widely reported as being bactericidal in nature and like other  $\beta$ -lactam's <sup>2396</sup> binds to penicillin-binding proteins that catalyse the formation of peptidoglycan, <sup>2397</sup> thereby inhibiting bacterial cell wall synthesis [224].

#### 2399 5.4.2 Phenotypic response to gentamicin

The clinical data indicated that the majority of isolates were resistant to gentamicin at clinically relevant concentrations, aside from the isolates obtained in July '18, October '18, March '19 and May '19 that were deemed susceptible. We grew all six available *K.pneumoniae* isolates in three different concentrations of gentamicin (0.5, 8 and 16mg/l), and in drug-free media for 24 hours with OD measurements taken every 20 minutes. These drug concentrations were selected based on the clinical breakpoint of 8mg/l for gentamicin.

Surprisingly, we find that all of the isolates tested were able to grow in all of the gentamicin concentrations used, up to 16mg/l (Fig 51a). Therefore, according to this data, all of the strains are resistant to gentamicin at clinically relevant doses. This is in disagreement with the data obtained by automated testing, as out of the isolates available to us, only the August, September and January isolates were identified as resistant to gentamicin (Fig 49). The raw growth curves are displayed in supplementary figure S32.

This is not the first example of a discrepancy between susceptibility data produced by the automated systems such as the Vitek and alternative methods. For example, across 46 clinical isolates of *K.pneumoniae*, a mere 30.4% agreement in MIC between Vitek and broth microdilution was found, with a 23.9% major error rate and a 39.1% minor error rate [201]. Other studies have also identified large discrepancies between data produced by the Vitek and alternative antimicrobial susceptibility tests [225, 226], whilst some find it to be fairly reliable [227, 228].

When we further examine the phenotypic response to gentamicin, we find that lag time increases as a function of gentamicin concentration, as shown in figure 51b. This could account for the discrepancies found between our data and that obtained from the Vitek, as Vitek relies on relatively short time spans to measure antibiotic susceptibility. Little variation is found in growth rate (fig 51c), aside from the final isolate that has a reduced growth rate with increasing concentration of gentamicin.



Figure 51: The a) K (maximum cell density) values, b) Lag time and c) Growth rate for each isolate in varying doses of gentamicin. Isolates 1-6 refer to the isolates obtained in August 2018, September 2018, October 2018, January 2019, March 2019 and May 2019 respectively. All isolates were able to grow in the highest dose of gentamicin used (16mg/l). however the lag time increased in the two largest concentrations of gentamicin across all isolates. Growth rate was found to be elevated in the final isolate within drug-free conditions. n=3.

#### 2428 5.4.3 Phenotypic response to meropenem

The clinical data obtained by the Vitek indicated that the response to Meropenem 2429 was highly variable over time. The isolates obtained in July '18, August '18, Sept 2430 '18, Jan '19, March '19 and May '19 were susceptible to meropenem whilst the other 243 six isolates were classified as resistant at clinically relevant concentrations. Now, 2432 meropenem was used frequently during the treatment of this patient (figure 48) and 2433 the reliability of these phenotypic results will have been vital to guide treatment 2434 regimes. Despite six of the isolates being classified as clinically susceptible to 2435 meropenem, this antibiotic was still ineffective in the management of the infection, 2436 and so we sought to identify if discrepancies exist between the Vitek data and 2437 that obtained by the methods used here. We grew all six available K.pneumoniae 2438 isolates in three different concentrations of meropenem (0.5, 1 and 16mg/l), and in 2439 drug-free media for 24 hours with OD measurements taken every 20 minutes. The 2440 clinical breakpoint for resistance towards meropenem is 4mg/l. 2441

Whilst the isolates obtained in August '18, January '19 and May '19 all grow up to 2442 1mg/l meropenem, the September '18, October '19 and March '19 isolates do not 2443 grow in any of the concentrations used (figure 52a). This is in contrast the clinical 2444 data that classified the October '18 isolate as resistant to meropenem at clinically 2445 relevant doses, as shown in figure 49. With the isolates that were found to grow 2446 in meropenem, we find a dose-dependent increase in lag time (figure 52b), and 2447 little change in growth rate (figure 52c). The raw growth curves are displayed in 2448 supplementary figure S33. 2449

The discrepancies between the Vitek data and phenotypic data presented here could be due to a multitude of factors, including differences in media and variations in the measurement period. However, given the inaccuracies identified in Vitek data [225, 226], it is possible that the misclassification of the October 2018 isolate as resistant to meropenem is a true error. Carbapenem resistance is a growing threat to global heath and as such it is crucial that accurate data is obtained on the

prevalence of carbapenem resistant organisms to not only aid surveillance but also
 improve patient outcome.

It should be noted that further characterisation of growth in a greater number of
doses would better elucidate the MIC of meropenem for each isolate. However, this
was not possible within the timeframe of this work due to the pandemic-related lab
closure.





Figure 52: The a) K (maximum cell density) values, b) Lag time and c) Growth rate for each isolate in varying doses of meropenem. Isolates 1-6 refer to the isolates obtained in August 2018, September 2018, October 2018, January 2019, March 2019 and May 2019 respectively. Only isolates 1,4 and 6 were able to grow in any dosage of meropenem, with all growing up to 1mg/l, and for isolates able to grow in meropenem, a longer lag period is measured in 1mg/l. Little difference is found in growth rate when isolates are able to grow in meropenem. n=3.

## 2463 5.5 Nanopore sequencing of clinical *K.pneumoniae* isolates

Whole genome sequencing can provide us with a plethora of information on the 2464 AMR profile of a pathogen, alongside other important information such as the 2465 virulence factors and sequence type. Antibiotic resistance genes are, however, often 2466 carried on plasmids that disseminate through bacterial populations and this poses 2467 a problem when using short read sequencing. Plasmids often contain many repeat 2468 sequences that are incredibly difficult to resolve with short reads alone [219], thus 2469 the result can be incomplete assemblies and uncertainties about the separation of 2470 chromosomal and plasmid DNA. Long read sequencing, on the other hand, affords 247 us a solution to this problem as the long reads can span the whole length of these 2472 repeat regions. As a result, whole plasmid and chromosome sequences can be 2473 assembled, often into single contigs [229]. 2474

For the purpose of characterising the genomes of the *K.pneumoniae* isolates, longread Nanopore GridION sequencing was chosen. Although nanopore sequencing has the disadvantage of a higher error rate than alternative sequencing platforms (making it challenging to elucidate point mutations), the resolution of the genome structure is far superior to short-read sequencing.

<sup>2480</sup> Moreover, due to the low cost and relative ease of nanopore sequencing there is <sup>2481</sup> increasing interest in its use within infection medicine as a diagnostic tool [230]. Un-<sup>2482</sup> like phenotypic antimicrobial susceptibility tests that have a relatively long turnover <sup>2483</sup> time ( $\sim$  48-72 hours), nanopore sequencing can provide data on AMR genes in real <sup>2484</sup> time. This is particularly relevant to time-sensitive infections such as sepsis that <sup>2485</sup> require rapid decisions to be made in order to optimise treatment options.

Here, we use nanopore sequencing to characterise the genomes of clinical *K.pneumoniae* isolates in order to track genomic changes over time and we evaluate the use of this sequencing platform as a stand alone tool to assess antimicrobial resistance. The accuracy could be improved through the use of short read sequencing alongside nanopore, thus producing a hybrid assembly. However, this was outside the scope of this work.

2492

#### 2493 5.5.1 Sequencing run

The Nanopore GridION platform was used to generate complete genomic sequences for the *K.pneumoniae* isolates obtained in August 2018, October 2018, January 2019 and May 2019. These are hereafter referred to as 'KP1', 'KP2', 'KP3' and 'KP4' respectively. The isolates were grown in M9CAA for 24 hours without the addition of any antibiotic. M9CAA was used to allow control over the growth conditions and avoid excessive genomic changes accumulating during growth.

DNA was the extracted using the Ambion GeneJET genomic DNA purification kit 2500 with an additional elution step. Three replicates were prepared for each of the four 2501 isolates, and each sample was barcoded using the Rapid Barcoding Kit (Oxford 2502 Nanopore), thus allowing all 12 samples to be multiplexed onto a single flow cell. 2503 The GridION library was prepared with a FLO-PRO002 flow cell and run for 72 hours 2504 using MinKNOW with high accuracy basecalling. The resulting FAST5 files were 2505 base called using Guppy v3.3.0, resulting in 22.97Gb of data, equating to a total 2506 of 3.85 million reads. The sequenced isolates are summarised in Table 6. Further 2507 information on the sequencing, such as the distribution of read length is displayed in 2508 supplementary figures S34-S36. 2509

2510

Isolate	Time point	Replicates	Average reads generated	Mapped reads	Error rate
KP1	August 2018	3	341847	99.87%	9.09%
KP2	October 2018	3	343606	99.92%	9.15%
KP3	March 2019	3	291078	99.91%	9.08%
KP4	May 2019	3	231394	99.9%	9.14%

Table 6: The K.pneumoniae isolates sequenced.

# 2511 5.6 Multi-locus sequence typing

The multilocus sequence typing (MLST) scheme was first developed in 2005 [231] and has since been used worldwide to aid the characterisation of clinical isolates. Through the use of MLST we can better understand the genetic diversity between the *K.pneumoniae* isolates. Moreover, we can establish if these isolates belong to a common sequence type (ST) such as ST258, a particularly high-risk carbapenem-resistant lineage of *K.pneumoniae* [232].

To investigate the relatedness of the isolates across different time points, the core genome MLST for each isolate was determined using the *Klebsiella* Sequence Typing tool (http://bigsdb.pasteur.fr/klebsiella/klebsiella.html), against the nanopore sequence data. This is based on a seven marker gene set (*gapA*, *infB*, *mdh*, *pgi*, *phoE*, *rpoB*, *tonB*).

All isolates were determined to be closest to ST427, also previously identified in 2523 a Spanish hospital from 2007-2008 [233]. It should be noted however that the 2524 relatively low accuracy of nanopore sequencing can make MLST analysis difficult 2525 as it relies on single nucleotide differences within these housekeeping genes. It 2526 should also be noted that although all of the isolates were of the same sequence 2527 type, multiple different microbial species and strains can be present within a single 2528 infection site at any one time [218], and therefore through the sequencing of a 2529 clonal population here alternative populations may have been missed. However, the 2530 identification of similar growth phenotypes alongside the presence of the same ST's 253 does suggest that all of these isolates are part of the same lineage. 2532

2533

## **5.7** Chromosome and plasmid elucidation

The genomes were assembled using the de novo assembler Flye (V2.8.3) [234], resulting in whole chromosome and plasmid contigs. These contigs were then identified as either chromosomal or plasmid in origin through the use of 'mlplasmids' [235]. We identified that each of the four isolates harboured two plasmids and this included so called 'mega plasmids', defined as plasmids greater than 100Kb in size. BLAST analysis was carried out to reveal if the plasmids found here closely match against any previously reported:

- Plasmid pKP1-1 had closest identity to *K.pneumoniae* strain U25 plasmid
   PU25001 (Accession number : KT203286.1, 211813bp, >99% identity).
- Plasmid pKP1-2 had closest identity to *K.pneumoniae* strain KP33 plasmid
   pkp3302 (Accession number : AP018749.1, 102910bp, >99% identity).
- For isolate KP2, the plasmid pKP2-1 had closest identity to *K.pneumoniae* strain
   KP33 plasmid pkp3301 (Accession number : AP019748.1, 296750bp, >99% identity).
- Plasmid pKP2-2 had closest identity to *K.pneumoniae* strain U25 PU25001 (Accession number : KT203286.1, 211813bp, >99% identity).
- The pKP3-1 plasmid from isolate KP3 has closest identity to *K.pneumoniae* strain
   KP33 plasmid pkp3302 (Accession number : AP018749.1, 102910bp, >99% identity).
- Plasmid pKP3-2 was found to closely identify with *K.pneumoniae* strain KP33 plas mid pkp3301 (Accession number : AP019748.1, 296750bp, >99% identity).
- The plasmid pKP4-1 plasmid had closest identity to *K.pneumoniae* strain KP33 plasmid pkp3302 (Accession number : AP018749.1, 102910bp, >99% identity).

• The final plasmid pKP4-2 had closest identity to *K.pneumoniae* strain KP33 plasmid pkp3301 (Accession number : AP019748.1, 296750bp, >99% identity).

2560

Using the PlasmidFinder web server [236], the replicon type of each plasmid was 256 identified and these are displayed in table 9. IncFIB(K) and IncFII(K) plasmids were 2562 identified in all of the sequenced isolates, both of which are associated with the dis-2563 semination of antibiotic resistance within clinical isolates. The IncFII(K) plasmid in 2564 particular is frequently found to carry the ESBL genes bla<sub>CTX-M-15</sub> and the carbapene-2565 mase bla<sub>KPC</sub> [237]. In this case the IncFII(K) plasmids were not found to posses either 2566 of these resistance genes, however ESBL genes were identified on other plasmids 2567 and within the chromosome. 2568

<sup>2569</sup> Multireplicon plasmids were identified, that is plasmids containing multiple replication <sup>2570</sup> initiation points. For example, K2-P2 harboured both IncFIB and IncFII replicons. <sup>2571</sup> This is fairly common in clinical isolates, with multireplicon plasmids representing a <sup>2572</sup> fusion between different plasmid types [238].

Isolates KP2, KP3 and KP4 were all found to harbour a large IncFIB(pNDM-Mar)-2573 IncHI1B(pNDM-MAR) plasmid. IncFIB(pNDM-Mar) and IncHI1B(pNDM-MAR) plas-2574 mids have been found to carry both bla<sub>CTX-M-15</sub> and bla<sub>NDM</sub> [239]. In this case the 2575 plasmids were not found to harbour bla<sub>NDM</sub>, however they were all found to possess 2576 the bla<sub>CTX-M-15</sub> gene, as will be discussed later. Overall, the similarity in the plasmids 2577 identified for each isolate further supports our hypothesis that these isolates are of 2578 the same clonal type and have adapted over time, or alternatively belong to separate 2579 subpopulations. 2580

A summary of the chromosome and plasmid lengths, as well as the replicon types is displayed in table 7. There are variations in the lengths of the chromosomes and common plasmids between the isolates, for example a 178,777bp difference in length between the chromosomes of isolates KP1 and KP2 which could indicate genomic variation such as large indels.

Isolate	Identity	Length (bp)
KP1	Chromosome	5,513,781
	pKP1-1 : IncFIB(K)	108,693
	pKP1-2 : IncFII(K)	102,718
KP2	Chromosome	5,335,004
	pKP2-1 : IncFIB-IncHI1B	260,582
	pKP2-1 : IncFIB(K)-IncFII(K)	211,466
KP3	Chromosome	5,276,817
	pKP3-1 : IncFIB(K)	102,472
	pKP3-2 : IncFIB-IncHI1B	317,179
KP4	Chromosome	5,274,125
	pKP4-1 : IncFIB(K)	94,939
	pKP4-2 : IncFIB-IncHI1B	317,183

Table 7: A summary of the plasmid and chromosome lengths for each isolate (KP1-KP4).

## 2587 5.8 Genome content - CRISPR-Cas system

Next, we examined the content of the *K.pneumoniae* genomes with the use of qualimap (v2.2.1) [240]. The genome of isolate KP1 was found to have 9,344 CDS, 84 tRNA's, 25 rRNA's (5S, 16S, 23S) and 3 cluster regular interspaced short palindromic repeats (CRISPR) arrays. Isolate KP2 was identified as having 9,496 CDS, 84t tRNA's, 25 rRNA's and 4 CRISPR arrays. Next, isolate KP3 was found to possess 9,308 CDS, 85 tRNA's, 25 rRNA's and 3 CRISPR arrays. Finally, isolate KP4 was found to have 9,301 CDS, 87 tRNA's, 25 rRNA's and 3 CRISPRs.

<sup>2595</sup> CRISPR-Cas is a microbial adaptive immune system that limits the acquisition <sup>2596</sup> of new genetic material, often acquired through horizontal gene transfer or via <sup>2597</sup> bacteriophage. Often these systems are found to be located on the chromosome, <sup>2598</sup> however they have also been identified within plasmids [241], albeit rarely. In addi-<sup>2599</sup> tion, chromosomal CRISPR systems are more frequently identified in plasmid-free <sup>2600</sup> strains of *K.pneumoniae* than those harbouring plasmids.

CRISPR systems are relatively uncommon in *K.pneumoniae*, with a recent study 260 identifying CRISPR-Cas systems in only 37% of 217 studied K.pneumoniae chro-2602 mosomal sequences, and only 5% of 699 studied plasmid sequences [241]. Here, 2603 with find both chromosomal and plasmid encoded CRISPR-Cas systems within all 2604 of the isolates studied, as shown in table 8. Three CRISPR arrays were identified 2605 in the chromosomes of isolates KP1 and KP2. Isolates KP3 and KP4 were found 2606 to possess two chromosomal CRISPR arrays and one plasmid CRISPR array each 2607 (pKP2-1 and pKP3-2). 2608

A large study of plasmid-associated CRISPR systems found that 45/47 of plasmids harbouring the system had an IncFIB replicon, and a further 36 of them had an additional IncHI1B replicon [241]. Moreover, the CRISPR system was often found associated with plasmids that also carried bla<sub>CTX-M</sub>, bla<sub>NDM</sub> and bla<sub>OXA</sub>. Here, we also find a CRISPR system carried on an IncFIB-IncHI1B mega-plasmid in isolates KP3 and KP4.

Relatively little is known about CRISPR-Cas systems within plasmids. However, the acquisition of plasmid mediated CRISPR-Cas systems is thought to play a role in the competition between different plasmid types. As plasmids are often associated with a fitness burden to the cell [242], plasmid-mediated CRISPR may reduce the costs associated with plasmid carriage.

Isolate	Location	Length (bp)	Position(bp)	Number of spacers	DR length	Identity
KP1	Chromosome	766	2,941,682 - 2,942,448	12	29	CRISPR-associated Cas3 family helicase
	Chromosome	577	2,951,180 - 2,951,757	9	29	CRISPR-associated Cas3 family helicase
	Chromosome	747	3,910,289 - 3,911,036	12	28	CRISPR-associated protein
KP2	Chromosome	578	323,541 - 324,119	9	29	CRISPR-associated Cas3 family helicase
	Chromosome	765	332,851 - 333,616	12	28	CRISPR-associated Cas3 family helicase
	Chromosome	744	4,699,272 - 4,700,016	12	28	CRISPR-associated protein
KP3	Chromosome	765	2,839,309 - 2,840,074	12	28	CRISPR-associated Cas3 family helicase
	Chromosome	578	2,848,804 - 2,849,382	9	29	CRISPR-associated Cas3 family helicase
	Plasmid (pKP3-1)	746	283,541 - 284,287	12	28	CRISPR-associated protein
KP4	Chromosome	764	4,234,801 - 4,235,565	12	28	CRISPR-associated Cas3 family helicase
	Chromosome	576	4,244,300 - 4,244,876	9	29	CRISPR-associated Cas3 family helicase
	Plasmid (pKP3-2)	749	239,119 - 239,868	12	28	CRISPR-associated protein

Table 8:	The locations	and	identities	of	CRISPR	systems	within	the	genomes	of	the	six
K.pneum	oniae isolates.											

## 2621 5.9 Antimicrobial resistance

The antibiotic resistance genes present within each assembled genome were 2622 determined using ResFinder [243] - this is a webservice that uses BLAST to rapidly 2623 identify both chromosomal point mutations and acquired antimicrobial resistance 2624 genes in whole-genome data and then predicts the resulting phenotype. A summary 2625 of the AMR genes identified are shown in table 9, and a summary of the changes 2626 in AMR profile over time is displayed in figure 53. The isolates were found to have 2627 complex resistomes, with various chromosomal and plasmid encoded antimicrobial 2628 resistance genes present in the genome. Despite this, there was little change in the 2629 AMR profile over time. The phenotypic predictions made by ResFinder (based on 2630 the WGS data) are displayed in supplementary tables S4-S7. Now we will discuss 2631 the AMR genes identified in the context of the different antibiotic classes. 2632





Figure 53: The presence and absence of various antibiotic resistance genes and mutations over the four sequenced isolates. Green represents genes that are present and white represents the absence of the gene or mutation.

Isolate	ST	Length (bp)	ID	AMR genes	Virulence genes
KP1	427	5,513,781	Chromosome	aac(6')-lb-cr, oqxA, oqxB, fosA, dfrA12, sul1, dfrA, dfrA14, tet(D),mph(E), msr(E), aaAD2, armA, catB3, blaOXA-1 blaTEM-1A , blaCTX- M-15, blaSHV-16 blaSHV-28	iutA
		108,693	pKP1-1 - IncFIB(K)		traT
		102,718	pKP1-2 - IncFII(K)	catA1	
KP2	427	5,335,004	Chromosome	aac(6')-lb-cr, oqxA, oqxB, blaSHV-28, catB3 blaOXA-1, blaSHV-16, dfrA1, fosA	iutA
		260,582	pKP2-1 - IncFIB(pNDM-Mar) IncHI1B(pNDM-MAR)	armA, aadA2, tet(D), mph(E), msr(E), dfrA14, dfrA12, sul1, blaCTX-M-15, blaTEM-1A	iucC, iutA, terC
		211,466	pKP2-2 - IncFIB(K) IncFII(K)	catA1	traT
KP3	427	5,276,817	Chromosome	aac(6')-Ib-cr, blaOXA-1, blaSHV-106 blaSHV-28, dfrA1, catB3, oqxA, oqxB, fosA	iutA
		102,472	pKP3-1 - IncFIB(K)		traT
		317,179	pKP3-2 -IncFIB(pNDM-Mar) IncHI1B(pNDM-MAR)	armA, aadA2, tet(D), mph(E), msr(E), dfrA14, dfrA12, sul1, catB3, aac(6')-lb-cr, blaCTX-M-15, blaTEM-1A, blaOXA-1	iucC, terC
KP4	427	5,274,125	Chromosome	fosA, aac(6')-lb-cr, dfrA1, catB3, blaOXA-1 blaSHV-16, blaTEM-1A, oqxA, oqxB	iutA
		94,939	pKP4-1 - IncFIB(K)		traT
		317,183	pKP4-2 - IncFIB(pNDM-Mar) IncHI1B(pNDM-MAR)	armA, aadA2, tet(D), mph(E), msr(E), dfrA14, dfrA12, sul1, catB3, aac(6')-lb-cr, blaCTX-M-15, blaTEM-1A, blaOXA-1	iucC, iutA, terC

Table 9: The AMR and virulence genes identified on the final *K.pneumoniae* assemblies.

#### 2634 5.9.1 Carbapenem and cephalosporin resistance

Clinical PCR tests had sought out the presence of the 'big 4' carbapenamase 2635 resistance genes within the isolate from January 2018 (bla<sub>KPC</sub> non-metallo-2636 non-metallo-carbapenamase, carbapenamase, bla<sub>OXA-48-like</sub> bla<sub>NDM</sub> metallo-2637 carbapenamase and finally blavim metallo-carbapenamase). This isolate was found 2638 to possess the bla<sub>OXA-48-like</sub> carbapenemase gene, known to produce a relatively 2639 weak carbapenemase that can also hydrolyse narrow spectrum cephalosporins 2640 [244]. 2641

The low level carbapenemases activity results in difficulties when it comes to 2642 detection via phenotypic tests, as the presence of a carbapenemase does not 2643 always result in a resistant phenotype. And yet, it is important to detect the presence 2644 of these genes in order to avoid complications in treatment, in part due to the 2645 reduced susceptibility towards beta-lactamase inhibitors such as tazobactam [75]. 2646 The isolate from January was unavailable to study here, however through WGS 2647 we identified that all of the four isolates sequenced possessed bla<sub>OXA-1</sub>, other-2648 wise known as bla<sub>OXA-30</sub>. OXA-1 is a beta-lactamase that significantly hydrolyses 2649 ureudopenicllins such as piperacillin but only weakly hydrolyses narrow-spectrum 2650 cephalosporins. Often it is associated with bla<sub>CTX-M</sub> [245], as we find here, and 265 this results in the added complication of resistance towards  $\beta$ -lactam- $\beta$ -lactamase 2652 inhibitor combinations such as piperacillin/tazobactam [246]. The misidentification 2653 of bla<sub>OXA-1</sub> as bla<sub>OXA-48</sub> by the clinical PCR test has the potential to result in treatment 2654 complications, primarily due to the weak carbapenemase activity of bla<sub>OXA-1</sub>, as 2655 alone it is unlikely to account for the observed resistance towards the carbapenem 2656 antibiotic meropenem. It is possible that the isolate obtained in January and utilised 265 for the PCR test possessed different AMR genes to the later isolates, however it was 2658 not possible to test this as the January isolate could not be obtained. 2659

<sup>2660</sup> We next sought to identity if the bla<sub>OXA-1</sub> gene changed over the course of the <sup>2661</sup> infection. No polymorphisms were identified in the gene between the isolates,

however the copy number and location of the gene was found to vary. Isolates 2662 KP1 and KP2 possessed two copies of the gene located in the chromosome, yet 2663 the later isolates KP3 and KP4 only possessed one chromosomal copy of the gene 2664 each. However, both KP3 and KP4 were found to carry one copy of the gene within 2665 the large IncFIB-IncHI1B plasmid (pKP3-2 and pKP4-2). Fluctuations in selection 2666 pressure are thought to favour genes harboured on plasmids, as the cell can rapidly 266 increase the copies of the gene in response to stress e.g. antibiotic treatment 2668 [247, 248]. It is possible that the variable use of antibiotics such as meropenem 2669 and ceftaz/avibactam resulted in the switch from a chromosome to plasmid-carried 2670 bla<sub>OXA-1</sub> gene. The population could of course be heterogenous for both plasmid 267 and chromosomal bla<sub>OXA-1</sub>, however whole population samples would need to be 2672 sequenced to determine this. 2673

All isolates were found to have SNPs in the *ompK36* porin gene known to result 2674 in resistance towards cephalosporins. Moreover, isolates KP1, KP2 and KP3 have 2675 mutations in *ompK36* known to confer resistance specifically towards carbapenems. 2676 Isolates KP2 and KP3 have additional mutations in the *ompK37* minor porin gene. 267 The SNPs identified in the ompK36 and ompK37 porin genes are displayed in 2678 table 10, although some caution has to be taken when considering SNPs identified 2679 through nanopore sequencing due to the higher error rate. Loss or alterations in 2680 OmpK36 have been shown to result in increased resistance towards carbapenems, 268 particularly when a carbapenemase gene is also present [249, 77]. However, the 2682 role of OmpK37 mutations in antibiotic resistance is however less clear. 2683

We hypothesise that the presence of genetic modifications in the *ompK36* and *ompK37* genes, alongside two chromosomal copies of the OXA-1 gene in the October isolate resulted in the resistant phenotype observed within this isolate in the clinical data (figure 53). However, we were not able to replicate this resistant phenotype in the laboratory, as shown in figure 52. It is of course possible that through multiple passages through media (once for subculturing in the clinical lab, second for storage in our own lab and third for antibiotic susceptibility testing),

resistance towards meropenem was lost in this isolate.

The variability in *ompK* mutations, alongside variations in the position of bla<sub>OXA-1</sub> on the chromosome and plasmid could account for fluctuations in the response to carbapenem antibiotics such as meropenem. However, functional studies would be required to fully confirm the impact of these genomic changes on the phenotypic response to antibiotic.

Although all of the isolates possessed OXA-1 alongside porin mutations asso-2697 ciated with resistance towards cephalosporin antibiotics [250], all were found to 2698 be susceptible to ceftazidime/avibactam (a combination of a cephalosporin and 2699  $\beta$ -lactamase inhibitor). Ceftaz/avibactam is reported to be an effective treatment 2700 for carbapenem-resistant Enterobacteriaceae, as avibactam has strong activity 2701 against carbapenemases, as well as ESBLs [251]. Although resistance towards 2702 ceftaz/avibactam has been identified in strains with mutations in OmpK36 [252], in 2703 this case all isolates with known *ompK36* mutations retain susceptibility. 2704

Gene	Nucleotide change	Amino acid change	Phenotype	KP1	KP2	KP3	KP4
ompK36	$ctg\toagc$	L191S	cephalosporins	0	0	0	
ompK36	aac $ ightarrow$ gag	N305E	cephalosporins	0			
ompK36	$\text{gaa} \rightarrow \text{cgt}$	E232R	cephalosporins	0	0	0	
ompK36	$\text{ctg} \rightarrow \text{gtt}$	L228V	cephalosporins	0	0	0	
ompK36	$\text{ttc} \rightarrow \text{tgg}$	F207W	cephalosporins	0	0	0	
ompK36	$gat\togag$	D224E	cephalosporins	0	0	0	
ompK36	$ctt \to gta$	L59V	cephalosporins	0	0	0	0
ompK36	$\texttt{aac} \to \texttt{agc}$	N49S	cephalosporins	0	0		0
ompK36	$\text{gct} \rightarrow \text{tct}$	A217S	carbapenem	0	0	0	
ompK36	$\texttt{aac} \rightarrow \texttt{cac}$	N218H	carbapenem	0	0	0	
ompK37	att $\rightarrow$ atg	I70M	carbapenem		0	0	

Table 10: Mutations in ompK36 and ompK37, with predicted phenotype within the sequenced isolates KP1, KP2, KP3 and KP4.

### 2706 5.9.2 ESBLs

ESBLs represent a serious risk to successful clinical outcome as they confer resis-2707 tance towards both  $\beta$ -lactam antibiotics and extended-spectrum cephalosporins. As 2708 such, ESBL-producing Enterobacteriaceae were declared as pathogens of 'critical 2709 priority' by the world health organisation (WHO) in 2017 [193]. Aside from bla<sub>OXA-1</sub>, 2710 the ESBLs SHV and TEM were also identified across all of the isolates. The 2711 presence of these genes is reflected in the clinical antimicrobial susceptibly data 2712 (table 53), as there was extensive resistance towards  $\beta$ -lactam antibiotics including 2713 amoxicillin. 2714

<sup>2715</sup> All of the isolates were found to harbour chromosomal bla<sub>SHV-28</sub> but this is to <sup>2716</sup> be expected as *K.pneumoniae* is intrinsically resistant to ampicillin through <sup>2717</sup> chromosomally-encoded SHV  $\beta$ -lactamase [253]. The bla<sub>SHV-28</sub> gene was first <sup>2718</sup> identified in South Korea in 2004, and found to differ from bla<sub>SHV-1</sub> by only a single <sup>2719</sup> amino acid substitution [254].

All isolates are found to possess  $bla_{CTX-M-15}$ , with isolates KP2, KP3 and KP4 all harbouring one copy of the gene on the large IncFIB-IncHI1B plasmid, whilst KP1 harbours one copy on its chromosome. CTX-M ESBLs, and particularly CTX-M-15 are the dominant ESBL worldwide, with a greater prevalence than TEM and SHV [63]. CTX-M  $\beta$ -lactamases readily hydrolyse  $\beta$ -lactam antibiotics including third and fourth generation cephalosporins, however they have no action against carbapenems such as imipenem and meropenem.

Finally, all of the isolates were found to harbour the ESBL gene bla<sub>TEM-1A</sub>, however once again isolates KP2, KP3 and KP4 all harbour one copy of the gene on the large IncFIB-IncHI1B plasmid, whilst KP1 harbours one copy on its chromosome. Consistent with the genotypic antibiotic resistance profile, we find that all of the isolates are classified towards a broad range of  $\beta$ -lactam antibiotics as determined by the Vitek and summarised in figure 53. This includes aztreonam, amoxicillin, ampicillin and pivmecillinam.

In addition, the isolates were classified as resistant towards the  $\beta$ -lactam- $\beta$ -2734 lactamase inhibitor combinations co-amoxiclav and pip/tazobactam. Co-amoxiclav 2735 is a combination of amoxicillin and the  $\beta$ -lactamase inhibitor clavulanic acid, whilst 2736 pip/tazobactam is a combination of piperacillin and the  $\beta$ -lactamase inhibitor 2737 tazobactam. These drug combinations effectively inhibit TEM, SHV and CTX-M, 2738 and consequently are utilised when ESBLs are detected [255]. However, OXA-1 2739 has been shown to reduce susceptibility towards  $\beta$ -lactam- $\beta$ -lactamase inhibitor 2740 combinations [255, 246], and as such we hypothesise the presence of bla<sub>OXA-1</sub> in the 2741 genome of all sequenced isolates contributes towards this resistance phenotype. 2742 The isolates were found to retain susceptibility towards the  $\beta$ -lactam- $\beta$ -lactamase 2743 inhibitor combination ceftaz/avibactam (a combination of the cephalosporin an-2744 tibiotic ceftazidime and the  $\beta$ -lactamase inhibitor avibactam), and indeed this was 2745 used frequently in treatment. Avibactam is widely reported to be effective against 2746

<sup>2747</sup> carbapenem-resistant *Enterobacteriaceae*, as we find here [256, 257].

2748

# 2749 5.10 AMR profile

Alongside carbapenemase and ESBL genes, the isolates were found to possess 2750 additional genes conferring resistance to a broad range of other antimicrobials. For 275 example, all isolates were found to possess aac(6')-lb-cr, armA and aadA2 genes 2752 conferring resistance to aminoglycosides - however the location of these genes 2753 on either the chromosome or the large IncFIB-IncHI1B plasmid varied, as shown 2754 in table 9. Both the phenotypic and genotypic data presented here suggest that 2755 every isolate is resistant to the aminoglycoside gentamicin at clinically relevant 2756 concentrations, however this is in disagreement with the data obtained from the 2757 Vitek as only the initial isolate KP1 was classified as resistant. 2758

All isolates were found to harbour genes encoding chloramphenicol acetlytransferase enzymes (CATs) conferring resistance towards chloramphenicol. Namely, all isolates harboured the *catB3* and *catA1* genes. The *oqxA* and *oqxB* genes encoding the OqxAB efflux pump were also identified across all isolates - this efflux pump has been implicated in resistance towards fluoroquinolones, and the Vitek data indeed classified all isolates as resistant to the fluoroquinolone antibiotic ciprofloxacin.

However, discrepancies were identified between the Vitek and genomic data in 2765 regards to fosfomycin resistance. All of the isolates were found to possess a 2766 chromosomal gene encoding fosfomycin-inactivating enzyme (fosA), however the 2767 Vitek classified KP1 as resistant and KP2 as susceptible at clinically relevant 2768 concentrations (KP3 and KP4 were not tested for fosfomycin resistance). This 2769 further demonstrates the issues surrounding the use of genotypic data alone to 2770 determine AMR profiles. Genes conferring resistance to folate pathway antagonists 277 such as trimethoprim and sulfonamides were found across all isolates. This included 2772 dfrA1, dfrA12 and dfrA14 which once again varied in location across the different 2773

isolates. In addition, all were found to possess the *sul1, sul2* genes conferring
resistance towards sulfonamides. Although phenotypic data was not available for the
susceptibility of the four sequenced isolates towards trimethoprim, the earlier isolates obtained in Dec '17, Jan '18, Feb '18 and July '18 were classified as resistant.
Indeed, all of the sequenced isolates were classified as phenotypically resistant
toward co-trimoxazole, a combination of sulfamethoxazole and trimethoprim (figure
53).

2781

## 2782 5.11 Virluence factors

*K.pneumoniae* are known to produce a plethora of virulence factors that contribute towards colonisation of the host, pathogencitiy and invasion of host tissues. Among the key virulence factors commonly identified are polysaccharide capsules, adhesins and aerobactin siderophores [258]. The virulence factors present in the *K.pneumoniae* isolates were determined using a combination of VirluenceFinder [259] and the Pasteur *K.pneumoniae* database.

All isolates were identified as having a chromosome-located *iutA* gene encoding an 2789 aerobactin siderophore receptor. In addition, the isolates KP2, KP3 and KP4 were 2790 found to have *iucC* (siderophore aerobactin) and *terC* (tellurite resistance) carried 279 on the large IncFIB-IncHI1B replicon plasmid. Siderophore production is key to both 2792 bacterial growth and virulence as it enhances iron uptake, and the production of 2793 aerobactin in particular has been linked to 'hypervirluent' strains of K.pneumoniae 2794 [260]. Finally, all of the sequenced isolates harboured a traT gene on the IncFIB(K) 2795 plasmid that encodes the TraT complement resistance protein associated with 2796 enhanced serum resistance [261]. 2797

2798

## **5.12** Structural variations in the genome

In bacterial genomics, much attention is given to the detection of SNP's, for example 2800 single base deletions or substitutions. However, large structural changes can also 280 significantly impact the genome, for example by resulting in a change to gene 2802 copy number or position. Consequently, structural variations (SVs) can impact on 2803 gene functioning [262, 263]. We therefore sought to identify large SVs in both the 2804 chromosomes and plasmids of the sequenced K.pneumoniae isolates. The use of 2805 nanopore sequencing is useful here as the long reads are likely to span the whole 2806 length of a variation, including repeat regions. As a result, SVs can be reliably 2807 detected. 2808

<sup>2809</sup> Changes in the genome coverage can be indicative of SVs such as amplifications, <sup>2810</sup> as this would result in a higher read depth in the amplified region. To this end, we <sup>2811</sup> aligned the genomes of all isolates against the earliest available isolate (KP1) and <sup>2812</sup> quantified genome coverage, as shown in figures 57-60. A small amplification was <sup>2813</sup> identified in plasmid pKP4-1 (Fig 60). The amplification was estimated to be 713bp <sup>2814</sup> and spanned the choline transporter gene *betU*.

Sniffles was used to identify structural variations in the chromosome of isolates 2815 KP2-KP4 when aligned against the assembly of the initial isolate, KP1. As shown 2816 in table 11, no significant SVs were identified in KP2, however three were found 2817 in KP3 and KP4. Both isolates KP3 and KP4 have a 9,853bp deletion spanning 2818 genes encoding hypothetical proteins. In addition, both isolates were found to have 2819 a 55,518bp deletion spanning key antibiotic resistance genes (catB3, blaOXA and 2820 aac(6')-lb-cr), leaving only single copies of these genes in the chromosome in 282 comparison to KP1 and KP2 that were found to have two copies. The later isolates 2822 did however carry copies of these genes on the large IncFIB-IncHI1B plasmid, as 2823 shown in table 9. 2824

2825

КРЗ			
Start position (bp)	End position (bp)	Status	Genes
2,219,022	2,228,875	DEL	Hypothetical
3,909,962	3,965,480	DEL	dinG, catB3, blaOXA, aac(6')-lb-cr
5,464,477	5,469,671	INV	Hypothetical
KP4			
Start position (bp)	End position (bp)	Status	Genes
2,219,022	2,228,875	DEL	Hypothetical
3,909,961	3,965,479	DEL	dinG, catB3, blaOXA, aac(6')-lb-cr
5,471,581	5,474,111	INV	Hypothetical

Table 11: Structural variations identified in the chromosome of KP2-KP4 when aligned to KP1.

In addition, variations were found in the structure of the large IncFIB-IncHI1B plasmid found in isolates KP2-KP4 - namely pKP2-1 was ~57Kbp smaller than the equivalent plasmids in later isolates (pKP3-2 and pKP4-2), despite high levels of similarity in the rest of the plasmid sequences (figure 54). This variation in plasmid structure accounts for the absence of the aac(6')-Ib-cr, bla<sub>OXA-1</sub> and catB3 genes in pKP2-1 that are identified in the IncFIB-IncHI1B plasmid of later isolates.





# 2832 5.12.1 Chromosomal integration of a large plasmid fragment containing 2833 bla<sub>CTX-M-15</sub> in KP1

Isolate KP1 was found to have a large chromosomal region (176,800bp) with 2834 approximately 1.4x higher read depth than the rest of the genome (fig 55), and this 2835 is clearly displayed on the coverage plot for the KP1 chromosome shown in figure 2836 57. Furthermore, this region was found to span multiple antibiotic resistance genes 2837 (Table 13) including bla<sub>CTX-M-1</sub>, tetA and ant1 in addition to the multidrug transport 2838 gene *emrE*. Within this region we also find the genes *repB* (RepFIB replication 2839 protein B) and repA (RepFIB replication protein A) that are found in plasmids belong 2840 to the IncFIB family [264]. Moreover PlasmidFinder confirmed the presence of 2841 an IncFIB integron at position 4,081,710-4,082,146bp on the chromosome and 2842 a IncHI1B integron at position 4,004,716-4,005,284bp on the chromosome. This 2843 is indicative of a plasmid fragment that has integrated into the chromosome. We 2844 next aligned the genomes of KP2-KP4 against KP1, and determined SVs using the 2845 Sniffles structural variant caller (v1.0.12a) [265]. This revealed that isolates K2-K4 2846 did indeed have a 176,857-176,859bp deletion in this location on the chromosome, 2847 and the plasmid fragment was therefore absent in these isolates. 2848

Isolate	Start position	End position	Length(bp)	Indel type
KP2	4001548	4178405	176857	Deletion
KP3	4001547	4178406	176859	Deletion
KP4	4001548	4178405	176857	Deletion

Table 12: The deletion of the plasmid fragment in isolates KP2-KP4.



Figure 55: The read depth (coverage) of the region found to contain an integrated plasmid fragment (3,996,200bp - 4,176,000bp) found in KP1 versus the rest of the genome with the region removed. We find that there is a significant increase in the read depth of this region in KP1 versus the rest of the genome. (t-test, p <0.05). n=3.

Mobile elements such as IncFIB plasmids are a primary source of AMR genes, and we hypothesise in this case that the IncFIB-IncHI1B plasmid found in KP3 and KP4 has integrated into the chromosome within KP1. As we have only sequenced a clonal population, it is of course possible that the actual population is heterogeneous with a mixture of free and integrated plasmids. Alternatively, cells with the integrated plasmid may have been outcompeted by those with the free plasmid early on in the infection.

The integration of plasmids into the chromosome of clinical isolates has been observed elsewhere. For example, Turon et al. identified a large plasmid fragment containing the bla<sub>OXA-48</sub> gene that had integrated into the genomes of clinical *E.coli* isolates [266] and Gorrie et al. found entire plasmid sequences containing multiple AMR genes had integrated into the chromosome of clinical *K.pneumoniae* isolates [80]. These plasmid integration events are thought to be beneficial to the cell as it minimises the risk of plasmid loss during replication, thus preserving beneficial

genes such as AMR genes [267]. In some cases, only single plasmid genes will become integrated into the genome. This is frequently observed with AMR genes - for example the chromosomal integration of the *K.pneumoniae* carbapenemase gene  $bla_{KPC}$  has reported many times [268, 269]. In this case it appears that a large fragment of the IncFIB-IncHI1B plasmid has become integrated rather than a single gene.

Figure 56 shows the comparative analysis between the region of the KP1 genome containing the integrated plasmid fragment (3,996,200-4,176,000bp) and pKP2-1. We found that there is high sequence identity between KP1 and two separate sections of pKP2-1 harbouring multiple AMR genes (aadA2, sul1, armA, msr(E), mph(E), dfrA14, tet(D),  $bla_{TEM-1A}$  and  $bla_{CTX-M-1}$ ).





Figure 56: Linear comparison of the integrated plasmid fragment in KP1 (3,996,200-4,176,000bp) and the IncFIB-IncHI1B plasmid from KP2 (pKP2-1). The grey shaded regions represent the sequence identify (63-100%). Mobile elements are represented as yellow arrows, and AMR genes by red arrows. All other genes are shown as grey arrows. Visualisation was performed using EasyFig.

Start position (bp)	End position (bp)	Gene	Product	Start position (bp)	End position (bp)	Gene	Product
4,004,585	4,004,863	repB	RepFIB replication protein A	4,058,952	4,059,572	tetR	Tetracycline repressor protein class H
4,004,872	4,005,369	repB	RepFIB replication protein A	4,060140	4,060,998	blaTEM-1A	Beta-lactamase TEM-1A
4,011,221	4,0122,34	xerD	Tyrosine recombinase XerD	4,061,181	4,061,738	tnpR	Transposon Tn3 resolvase
4,012,519	4,012,875	dfrA	Dihydrofolate reductase	4,063,818	4,064,597	blaCTX-M-1	Beta-lactamase CTX-M-1
4,013,294	4,014,073	aadA2	Streptomycin 3-adenylyltransferase	4,081,266	4,081,748	repB	RepFIB replication protein A
4,014,237	4,014,584	emrE	Multidrug transporter EmrE	4,081,745	4,082,140	repA	Replication protein RepA
4,014,578	4,015,417	sul1	Dihydropteroate synthase	4,088,273	4,089,481	pvuIIM	Modification methylase Pvull
4,018,911	4,019,531	armA	16S rRNA (guanine(1405)-N(7))-methyltransferase	4,097,291	4,097,941	ecoRIIR	Type-2 restriction enzyme EcoRII
4,021,829	4,023,151	msr(E)	ABC-F type ribosomal protection protein	4,097,995	4,098,291	ecoRIIR	Type-2 restriction enzyme EcoRII
4,023,358	4,024,241	mph(E)	Macrolide 2'-phosphotransferase	4,098,243	4,098,551	ecoRIIR	Type-2 restriction enzyme EcoRII
4,028,105	4,028,539	merR	Mercuric resistance operon regulatory protein	4,098,761	4,099,243	dcm	DNA-cytosine methyltransferase
4,033,315	4,033,875	hin	DNA-invertase hin	4,099,343	4,099,990	dcm	DNA-cytosine methyltransferase
4,035,437	4,036,165	dsbC	Thiol:disulfide interchange protein DsbC precursor	4,100,117	4,100,860	dnaC	DNA replication protein DnaC
4,045,568	4,046,335	hemB	Delta-aminolevulinic acid dehydratase	4,115,762	4,116,772	virB	Virulence regulon transcriptional activator VirB
4,046,399	4,046,710	allB	Allantoinase	4,116,772	4,117,788	parA	Plasmid partition protein A
4,048,767	4,050,200	dcm	DNA-cytosine methyltransferase	4,160,627	4,160,869	relE	mRNA interferase RelE
4,050,234	4,050,491	ecoRIIR	Type-2 restriction enzyme EcoRII	4,161,438	4,161,710	addA	ATP-dependent helicase/nuclease subunit A
4,050,514	4,051,071	ecoRIIR	Type-2 restriction enzyme EcoRII	4,165,960	4,166,691	nirQ	Denitrification regulatory protein NirQ
4,050,514	4,051,071	ecoRIIR	Type-2 restriction enzyme EcoRII	4,178,428	4,179,783	ltrA	Group II intron-encoded protein LtrA
4,053,976	4,054,734	xerD	Tyrosine recombinase XerD	4,183,439	4,184,188	dnaC	DNA replication protein DnaC
4,056,016	4,056,228	frmB	S-formylglutathione hydrolase	4,189,413	4,190,285	recE	Exodeoxyribonuclease 8
4,056,472	4,057,581	frmA	S-(hydroxymethyl)glutathione dehydrogenase	4,190,609	4,191,178	recE	Exodeoxyribonuclease 8
4,057,676	4,058,101	tetD	Tetracycline resistance protein	4,191,223	4,192,809	recE	Exodeoxyribonuclease 8
4,058,506	4,058,856	tetD	Tetracycline resistance protein				

Table 13: The genes present in the 179,700bp integrated plasmid in the chromosome of isolate KP1.



Figure 57: The genome coverage of isolate KP1 (August 2018). The whole 5.3Mb chromosome was assembled into a single contig with mean coverage of 278, with two plasmids assembled as seperate contigs. Plasmid one (pKP1-1) was 108Kbp in length and mean coverage of 133.5, and plasmid two (pKP1-2) was 102Kbp in length and mean coverage of 138.6.



Figure 58: The genome coverage of isolate KP2 (October 2018). The whole 5.3Mb chromosome was assembled into a single contig with a mean coverage of 301.6, with two plasmids assembled as seperate contigs. Plasmid one (pKP2-1) was 260Kbp in length and mean coverage of 173.1, and plasmid two (pKP2-2) was 211Kbp in length and mean coverage of 146.7.



Figure 59: The genome coverage of isolate KP3 (Janurary 2019). The whole 5.3Mb chromosome was assembled into a single contig with mean coverage of 263, with two plasmids assembled as seperate contigs. Plasmid one (pKP3-1) was 102Kbp in length and mean coverage of 67.1, and plasmid two (pKP3-2) was 317Kbp in length and mean coverage of 176.2.



Figure 60: The genome coverage of isolate K4 (May 2019). The whole 5.3Mb chromosome was assembled into a single contig with a mean coverage of 191.8, with two plasmids assembled as seperate contigs. Plasmid one (pKP4-1) was 94Kbp in length with mean coverage of 50.3 and plasmid two (pKP4-2) was 317Kbp in length with mean coverage of 126.6.
### 2876 5.13 Summary

In this chapter we aimed to track the adaptation of K. pneumoniae during a long-term 287 infection. Through nanopore sequencing we were able to identify that the isolates 2878 sequenced were all of the same sequence type and possessed similar AMR profiles, 2879 thereby suggesting that the isolates originated from the same lineage. We found 2880 that for a number of antibiotics, the AMR data generated by the Vitek correlated 288 well with that predicted through the genotypic data. For example, both predicted 2882 resistance across all isolates to ceftazidime, ciprofloxacin, ampicillin, aztreonam, 2883 ceftaxime, cefuroxime axeil, cefepime, tobramycin and pip/tazobactam. However, 2884 there were a number of discrepancies; for example from the genotype we predicted 2885 resistance towards fosfomycin across all of the sequenced isolates, but this was 2886 not replicated in the Vitek data. More crucially, discrepancies were identified in the 2887 AMR profile for antibiotics that were used in treatment. The data obtained from 2888 the Vitek predicted fluctuating susceptibility towards gentamicin whilst both the 2889 genotypic and phenotypic data obtained in this study would classify all of these 2890 isolates as resistant. This points towards potential errors in the Vitek predictions of 289 susceptibility. 2892

This set of isolates was of particular interest due to their resistance towards 2893 carbapenem antibiotics. Carbapenem resistance is a growing problem for infection 2894 medicine as it puts serious limitations on treatment options, consequently leading 2895 to poor treatment outcomes [195, 69]. Through WGS we were able to identify the 2896 presence of mutations in OmpK porin genes that have previously been associated 289 with resistance towards carbapenems [77]. Alongside this we also identified the 2898 weak carbapenemase OXA-1 and various ESBL genes such as CTX-M-15 which 2899 could further contribute towards carbapenem resistance [78]. 2900

<sup>2901</sup> Furthermore, the long reads produced by nanopore sequencing allowed us to <sup>2902</sup> resolve variations in the genome structure, and of particular interest was the <sup>2903</sup> integration of a large plasmid fragment into the chromosome of the pKP1-1 initial

isolate obtained in August 2018 (KP1). This integrated plasmid contained multiple
antibiotic resistance genes, including the ESBL gene bla<sub>CTX-M</sub>. Further investigation
would be required to identify if the *K. pneumoniae* population was heterogenous
for integrated and free plasmids, or if this integration event was unique to that one
particular time point.

Although in this case there are clear discrepancies between phenotypic and 2909 genotypic data, we have to be cautious in our association of genotypic resistance 2910 determinants with phenotypic resistance at clinically relevant doses of antibiotic 291 [270]. As interest in WGS as a diagnostic tool in clinical practice grows, we must 2912 consider the pitfalls when compared to the exclusive use of phenotypic data. One of 2913 the primary drawbacks is that genotypic data can not accurately determine suscepti-2914 bility when resistance is conferred by an unknown mechanism, as sequence data is 2915 often compared against databases of previously described resistance mechanisms 2916 to predict phenotype. 2917

Moreover, the presence of a gene known to confer antibiotic resistance does not 2918 necessarily translate into phenotypic resistance at clinically relevant levels [83], 2919 meaning that antibiotics may be incorrectly discounted as a treatment option. 2920 Although detecting the presence of antibiotic resistance genes can be carried out 292 with relative ease, challenges may also arise when antibiotic resistance is conferred 2922 by large structural variations in the genome. Here, we find that the primary structural 2923 variant is the integration of a mobile genetic element, however other studies have 2924 also highlighted the importance of alternative SVs such as genome duplications 2925 within clinical isolates, and their consequent role in the development of antibiotic 2926 resistance [210, 262]. These structural variations will only further complicate the 2927 use of WGS as a diagnostic tool. 2928

2929

# <sup>2930</sup> 5.13.1 Temporal changes in the AMR profile and genomes of the *K.pneumoniae* isolates

We hypothesised that prolonged antibiotic treatment would result in genomic 2932 changes in antibiotic resistance genes, as well as larger genomic modifications. In-2933 deed, we find that substantial genomic changes occur between the K.pneumoniae 2934 isolates, namely a reduction in the number of SNP's within the ompK36 gene, the in-2935 sertion and eventual deletion of a inserted plasmid fragment within the chromosome, 2936 the deletion of a large chromosomal region carrying AMR genes in KP3 and KP4, as 2937 well as the loss of a plasmid harbouring the cat1 gene. In addition, fluctuations were 2938 found in the phenotypic AMR profile of these isolates, particularly in the response to 2939 meropenem and gentamicin, both of which were used in the treatment of this infec-2940 tion. 2941

Although all of these isolates were identified as likely belonging to the same clonal group, it is possible that different subpopulations were present within the same infection site. This would mean that any genomic changes simply represent a genetically diverse infection, rather than temporal adaptive changes. In order to clarify this, whole population samples would need to be taken, or alternatively multiple bacterial colonies from the samples collected at each time point. Both the key phenotypic and genotypic changes occurring between the isolates are summarised in figure 61.



### **Phenotypic AMR changes**

**Genotypic AMR changes** 

Figure 61: A summary of the key phenotypic and genotypic changes occurring within the *K.pneumoniae* isolates over time, relative to the initial isolate. Note that the phenotypic changes refer to those identified in the data obtained from the Vitek 2. R = resistant, S = susceptible, DEL = deletion, INS = insertion.

## 2950 CHAPTER SIX

2949

# <sup>2951</sup> COMPARATIVE GENOMICS OF <sup>2952</sup> ESCHERICHIA COLI ISOLATES FROM <sup>2953</sup> A SINGLE PATIENT OVER 26 <sup>2954</sup> MONTHS

### 2955 6.1 **Overview**

<sup>2956</sup> In the previous chapter we described the within-host adaptation of clonal <sup>2957</sup> *K.pneumoniae* isolates over the course of an extended infection period. Next, we <sup>2958</sup> sought to understand the genomic adaptations occurring in clinical isolates of *E.coli*, <sup>2959</sup> sampled from a patient over the course of 26 months. Through doing so, we aimed to <sup>2960</sup> identify if the isolates were clonal, the AMR determinants present and any changes <sup>2961</sup> in genome structure over time. The key findings were as followed:

- Through nanopore sequencing we identified that the sequence type of isolates
   varied over different sampling points.
- 2964 2. The isolates harboured a diverse range of AMR and virulence plasmids.
- 3. The AMR profile was highly variable, with certain isolates harbouring plasmids
   with ESBL genes.

Whilst Escherichia coli often resides in the human gut as a commensal for years, 296 posing no harm to the health of its host [271], when located in other areas of the 2968 human body it can become one of the most prevalent gram-negative pathogens 2969 colonising humans. The result is a wide-range of diseases, such as urinary tract 2970 and bloodstream infections. Extraintestinal E.coli (ExPEC) infections in particular 297 are rapidly on the rise, resulting in widespread global dissemination [272]. ExPEC 2972 E.coli are broadly split into three groups, uropathogenic E.coli (UPEC) that are the 2973 causative agent of infections such as urinary tract infections, neonatal meningitis 2974 E.coli (NMEC) and finally sepsis-associated E.coli (SEPEC) [273]. 2975

Of notable concern is the spread of ESBL-producing E.coli due to their ability 2976 to resist commonly used antibiotics such as extended-spectrum cephalosporins, 297 resulting in severely limited treatment options [274, 275]. Since the 1980's multiple 2978 different ESBLs have been identified, with the four main groups being blaTEM, blaCTX, 2979 bla<sub>SHV</sub> and finally bla<sub>OXA</sub>. Moreover, mutations in these ESBL genes have been 2980 reported to facilitate adaptation and enhanced resistance towards antimicrobials 298 [276]. ESBL enzymes act through the hydrolysis of the  $\beta$ -lactam ring, however 2982 they can be effectively inhibited by  $\beta$ -lactamase inhibitors such as clavulanic acid 2983 and tazobactam [277]. As a result, infections predicted to be caused by an ESBL 2984 producing pathogen will likely be treated with a  $\beta$ -lactam- $\beta$ -lactamase inhibitor 2985 combination. 2986

As with other AMR genes, ESBL genes are often harboured on plasmids, allowing rapid dissemination throughout bacterial populations via horizontal gene transfer [278]. Moreover, these plasmids are often found to carry multiple other antibiotic resistance and virulence genes [53, 62], resulting in further complications to treatment. However, chromosomal ESBL genes have been identified, both in clinical [266, 279, 280] and non-clinical settings [281].

Aside from the production of  $\beta$ -lactamase enzymes, many other antimicrobial resistance mechanisms exist in *E.coli*. For example, through the production of efflux pumps which actively pump drug out of the cell [282], or alterations to the drug

target [283]. However, multi-drug-resistance is often conferred by a combination of
 these mechanisms.

There is a plethora of data on the spread of multidrug-resistant *E.coli* within hospital 2998 environments [284, 285], however little is known about pathogen dynamics and 2999 genomic variation within individual long-term infections. By exploring pathogens 3000 genomes over an extended period of antibiotic use in a single patient, we not only 300 improve our understanding of the genomic diversity within infection sites, but also 3002 the relationship between genomic changes and fluctuations in AMR profile. For 3003 example, it is generally considered that extraintestinal infections with *E.coli* are 3004 caused by isolates originating from a single clone, and as such diagnosis is based 3005 on the characterisation of single clones. However, extraintestinal infections can be 3006 both polyclonal and monoclonal, and isolates from single patients can have a high 3007 level of genetic diversity [286]. Yet, despite advances in WGS, there are still limited 3008 examples of studies on pathogen genomes within single patients over time [84, 83]. 3009 The aim of the work within this chapter was to characterise *E.coli* isolates originating 3010 from a single patient treated for cholangitis over the course of 26 months. Through 301 a combination of phenotypic and genotypic work, we sought to elucidate the 3012 resistomes of these isolates, identify if they were clonal, and identify any genomic 3013 variation between the different isolates. Furthermore, we sought to further validate 3014 the use of nanopore sequencing to characterise the genomes of complex clinical 3015 pathogens. 3016

3017

### 3018 6.2 Contributions

The bacterial isolates and clinical data presented in this chapter were acquired by Dr Fergus Hamilton (NHS North Bristol Trust). Prof Robert Beardmore contributed towards the study design and acquisition of clinical isolates. The computing facilities required for the analysis of the genomic data were provided by Prof Ivana Gudelj.

The purchase of the minION and flow cells were covered by a 'Showering fund' grant (Metagenomics and evolution of microbes in response to antibiotic treatment), a fund supporting research in the department of pathology within the North Bristol NHS trust.

### 3027 6.3 Clinical data

All of the isolates utilised in this chapter were acquired from an elderly male patient 3028 suffering from recurrent cholangitis. Cholangitis is an infection of the bile and biliary 3029 tract, often caused by a physical obstruction such as a gallstone [287]. Although 3030 cholangitis often presents as a short-term infection that is rapidly resolved with the 303 use of antibiotics, it can develop into a chronic infection and pose a serious risk to 3032 health with a 5-13% mortality rate [288]. The most common pathogen associated 3033 with cholangitis is *E.coli* [289, 290], followed by *Klebsiella*, *Enterococcus* and finally 3034 Enterobacter [291]. 3035

A variety of antibiotics are used in the treatment of cholangitis, including cefriaxone 3036 and metronidazole alongside  $\beta$ -lactam- $\beta$ -lactamase inhibitor combinations such 3037 as piperacillin-tazobactam, ticarcillin-clavulanate and ampicillin-sulbactam. These 3038 combination treatments aid the clearance of ESBL-producing bacteria as they 3039 often retain susceptibility towards  $\beta$ -lactamase inhibitors. Usage generally spans 3040 7-10 days depending on infection severity [291]. The speed and accuracy of initial 304 diagnosis and treatment are crucial to patient outcome, with misuse resulting in 3042 severe consequences to patient health, raising the risk of complications such as 3043 septic shock [292]. A key aspect of diagnosis is the accurate detection of ESBL's, 3044 particularly those that can result in reduced susceptibility towards  $\beta$ -lactamase 3045 inhibitors [293]. 3046

Figure 62 displays the patients clinical course, that is the antibiotic treatments administered over the course of the infection. All samples were identified as *E.coli* by the automated testing system (Vitek 2) within the clinical laboratory. The

majority of treatment consisted of ciprofloxacin, gentamicin and pip/tazobactam,
 with co-amoxiclav additionally administered 24 months into the infection. However,
 the patient frequently presented with recurrent bacteraemia.

In total, the clinical data for seven isolates was acquired retrospectively and
 six of these isolates were available for further characterisation and sequencing.
 We were unable to grow the isolate obtained at 20 months from the sample provided.

3056



Figure 62: Patient carriage of *E.coli* over 26 months. Red circles indicate the antibiotic treatment used at each time point.

The antibiotic susceptibility profiles for each isolate were determined within the 3057 hospitals clinical laboratory using a combination of disk diffusion assays and the 3058 Vitek 2 (bioMerieux). Figure 14 displays a list of all the antibiotics that the isolates 3059 were tested against, as well as the detection of ESBL production. Empty squares 3060 indicate that data was not collected for that particular antibiotic at that timepoint. 306 The AMR profile was found to vary substantially between different isolates, although 3062 all isolates were consistently classified as resistant towards ampicillin, a  $\beta$ -lactam 3063 antibiotic. All but one isolate (sampled at 23 months) were also consistently clas-3064 sified as resistant towards co-amoxiclay. Given that co-amoxiclay is a combination 3065 of amoxicillin and the  $\beta$ -lactamase inhibitor clavulanic acid, this suggests that the 3066

isolates possess a mechanism for  $\beta$ -lactamase inhibitor resistance. The final two isolates are found to have identical AMR profiles, and this is to be expected given the short time span between sampling.

Figure 63 displays the AMR data obtained from the Vitek alone, as this gives an indication of MIC value and it was found to mirror the data in table 14, with fluctuating susceptibility towards most of the antibiotics tested against. Overall, the variability in the AMR data is suggestive of either a polyclonal infection, colonisation by different clonal types over time, or alternatively the adaptation of a single dominant clonal type.

3076

	Day 1	11 months	20 months	23 months	24 months	26 months (1)	26 months (2)
Co-amoxiclav	R	R	R	S	R	R	R
Ceftazidime	R	S	S	S	S	S	S
Ciprofloxacin	L. L.	S	l I	S	S	R	R
Cefpodoxime	R	S	S	R	S	S	S
Cefuroxime	R	S	S	R	S	S	S
Ertapenem	S	S	S	S	S	S	S
Gentamicin	S	S	S	S	S	R	R
Meropenem	S	S	S	S	S	S	S
Co-trimoxazole	S	R	R	S	S	R	R
Pip/tazobactam	R	S	S	S	S	S	S
Amikacin	S	S	S	S	S	S	S
Amoxicillin	R	R					
Ampicillin	R	R	R	R	R	R	R
Aztreonam	R	S	S	l I	S	S	S
Cefotaxime	R	S	S	R	S	S	S
Cefuroxime axetil	R	S	S	R	S	S	S
Cefepime	I	S	S	l I	S	S	S
Cefoxitin	R						
Tigecycline	S	S	S	S	S	S	S
Trimethoprim	S						
Tobramycin	S	S	S	S	S	R	R
ESBL				Р			

Table 14: The antibiotic resistance profile of each isolate, as determined by automatic antibiotic susceptibility testing (Vitek) and disk diffusion at the clinical laboratory. Red cells represent resistance, green cells sensitive and blue cells intermediate.



Figure 63: The minimal inhibitory concentrations of various antibiotics across all patient samples, obtained through clinical data. Red circles signify that the pathogen is 'clinically resistant' to that antibiotic at that concentration. Black circles signify that E.coli is 'clinically susceptible' to that antibiotic at that concentration.

### **3077** 6.4 Bacterial isolates characterisation

The isolates obtained at the following six time points were available for further characterisation: Day one, 11 months, 23 months, 24 months, 26 months and finally an isolate obtained at 26 months and 2 days that we hereafter refer to as 26 months(2). As the isolates were all obtained over the course of the same infection over a long time scale, we first sought to identify if these isolates are isogenic or if they are genetically diverse.

In order to measure variations in the growth between different isolates, they were 3084 initially grown in M9CAA for 24 hours, in the absence of any antibiotic. Figure 64a 3085 shows the raw growth curves obtained for all of these isolates and there are clear 3086 differences in the growth between the isolates obtained at different time points. The 3087 main source of variation is in the OD at stationary phase (K), which we quantify in 3088 figure 64b. We find the initial isolate from day one has significantly lower K than the 3089 isolates from other time points. Moreover, the isolates from months 23 and 24 have 3090 the greatest K. This would either suggest that the isolates have adapted to become 309 more fit over the course of the infection, resulting in this increase in K relative to day 3092 one, or alternatively these isolates could be part of a polyclonal *E.coli* infection. 3093



(b)

Figure 64: a) The growth profiles of the six *E.coli* isolates grown in the absence of antibiotics. Growth was measured as optical density ( $OD_{600nm}$ ). b) We find that all isolates have significantly higher K than the initial isolate after 24 hours of growth. In addition, the isolates obtained after 23 and 24 months have significantly higher k than the isolate obtained at 26 months(2). (One way ANOVA with post hoc Tukey \* = p <0.05, \*\* = p <0.01, \*\*\* = p <0.001) *n=3* 

### **6.4.1** Phenotypic response to antibiotics : Antibiotics mechanism of action

Previous studies [225, 226], as well as work presented within this thesis (sections 3096 5.4.2 and 5.4.3) indicate that the Vitek can be prone to misclassifying pathogens 309 as resistant or susceptible to antibiotics. Consequently, the phenotypic response 3098 towards antibiotics was measured here in order to identify any discrepancies in the 3099 Vitek data. For this, we selected two antibiotics that had been repeatedly used in 3100 treatment, gentamicin and ciprofloxacin. Ciprofloxacin is generally considered to be 3101 a 'bactericidal antibiotic' and is part of the fluoroquinolone class of antibiotics. It 3102 kills bacteria through the inhibition of DNA topoisomerase and DNA gyrase, thereby 3103 preventing cell division [294]. Gentamicin's mechanism of action has been described 3104 in the previous chapter. 3105

### **6.4.2** Phenotypic response to ciprofloxacin

The clinical data obtained from the Vitek (figure 14) indicated that the majority of 3107 isolates were susceptible, or had intermediate susceptibility towards ciprofloxacin. 3108 However, the final two isolates (26 months) were classified as resistant to 3109 ciprofloxacin at clinically relevant concentrations. We grew the six available *E.coli* 3110 isolates in two different concentrations of ciprofloxacin (0.1 and 4mg/l), and in 311 drug-free media for 24 hours, measuring growth every 20 minutes as OD(600nm). 3112 We find that our data is largely in agreement with the results obtained from the Vitek, 3113 as shown in figure 65a. The final two isolates obtained 26 months into the infection 3114 were both able to grow in 4mg/l ciprofloxacin, and would therefore be classified as 3115 resistant. The isolates obtained on month 23 were not found to grow in any dose 3116 of ciprofloxacin, whilst the isolates from 11 and 24 months were able to grow up 3117 to 0.1mg/l. However, we do observe a small amount of growth in the 11 month 3118 isolate at the end of the measurement period in 4mg/l ciprofloxacin. It is possible 3119 that, had the measurement period been extended, this isolate would have been 3120 found to grow to a higher cell density in this dose. When the isolate was able to 312

grow in cipro, we found that increasing doses of ciprofloxacin generally result in an increase in lag time (figure 65b) and a decrease in growth rate (figure 65c). Overall, this data indicates that the Vitek was accurate in determining the AMR profile for ciprofloxacin. The raw growth data is displayed in supplementary figure S37.



Figure 65: The a) K (population density at stationary phase) values, b) Lag time and c) Growth rate for each isolate in varying doses of ciprofloxacin. Isolates 1-6 refer to the isolates obtained on Day one, 11 months, 23 months, 24 months, 26 months(1) and 26 months(2) respectively. The final 2 isolates were able to grow in the highest dose of ciprofloxacin (4mg/l) whereas isolates 2-4 grow poorly in any dose of ciprofloxacin. Lag was found to increase with increasing dose of ciprofloxacin when that isolate is able to grow in cipro. It should be noted that isolate 2 (11 months) is able to grow in 4mg/l ciprofloxacin, but with a very long period of lag. Growth rate is found to increase with ciprofloxacin - this is particulary evident in the final two isolate that grew to large cell densities in 4mg/l cipro, but lower growth rates. n=3

### **6.4.3** Phenotypic response to gentamicin

The data from the Vitek indicated that all of the isolates were susceptible to gentamicin up until 26 months, at which point they are classified as resistant to gentamicin at clinically relevant concentrations. We grew the six available *E.coli* isolates in three different concentrations of gentamicin (1, 16 and 32mg/l), and in drug-free defined minimal media for 24 hours.

We find that the isolates obtained between day one and 24 months were only able to grow up to 1mg/l gentamicin, and would therefore be classified as clinically susceptible. However, the final two isolates from 26 months were both able to grow up to 32mg/l gentamicin, and would be classified as clinically resistant (fig 66a). This is in agreement with the data obtained from the Vitek.

A prolonged lag phase is measured in the presence of gentamicin in the isolates 3138 obtained at 11, 23 and 24 months. However, gentamicin was not found to result in an 3139 extended lag phase in the final two isolates, even in the highest concentration used 3140 (fig 66b). Likewise, no measurable difference is found in the growth rate between 3141 gentamicin doses in the final two isolates, whilst increasing concentration results 3142 in a lower growth rate in the isolates obtained between day one and 24 months 3143 (fig 66c). For the two antibiotics tested here, we find that the susceptibility data 3144 generated in this study is largely in agreement with that obtained from the Vitek. 3145 This is in contrast to the discrepancies identified between our own phenotypic data 3146 and that obtained by the Vitek in the previous chapter with K.pneumonia. The raw 3147 growth data is displayed in supplementary figure S38. 3148



Figure 66: The a) K (population density at stationary phase), b) Lag time and c) Growth rate for each isolate in varying doses of gentamicin. Isolates 1-6 refer to the isolates obtained on Day one, 11 months, 23 months, 24 months, 26 months(1) and 26 months(2) respectively. n=3

### **6.5** Nanopore sequencing of the *E.coli* isolates

Next, whole genome sequencing was performed in order to elucidate the genomic 3151 basis for antibiotic resistance within these isolates, as well as identify their clonal 3152 type and any genetic modifications in either the chromosome or plasmids between 3153 isolates. Nanopore sequencing has successfully been utilised both on its own and 3154 in conjunction with short read sequencing to elucidate the genomes of clinical E.coli 3155 isolates. For example, nanopore sequencing was used to generate a complete 3156 genome assembly of *E.coli* isolates belonging to the common sequence type 131, 315 a major intestinal pathogen that has disseminated worldwide [274]. A combination 3158 of Oxford Nanopore, PacBio and short read sequencing was used by Gonzalez-3159 Escalona et al. to characterise shinga-toxin producing *E.coli* [229]. Furthermore, 3160 nanopore sequencing has been used to aid infection control during outbreaks 316 of pathogenic E.coli in hospital settings, with the rapid sequencing provided by 3162 nanopore allowing for the real time tracking of pathogen spread [295]. 3163

In this case, an additional benefit of nanopore sequencing is the improved re-3164 construction of plasmid sequences, as plasmid sequences possess many repeat 3165 regions which are difficult to elucidate through short read sequencing alone. Clinical 3166 isolates of *E.coli* are often reported to possess key AMR and virulence genes on 3167 plasmids, and so accurate reconstruction of plasmid structure is key to fully under-3168 standing the E.coli resistome. Here, we sequenced four E.coli isolates obtained 3169 from a single patient over the course of 26 months on the Oxford Nanopore MinION 3170 platform. The resulting long reads allowed accurate reconstruction of plasmids and 317 chromosomes, alongside elucidation of AMR and virulence genes. 3172

3173

### 3174 6.5.1 Sequencing run

<sup>3175</sup> The Nanopore MinION platform was used to generate complete genomic sequences <sup>3176</sup> for the *E.coli* isolates obtained at the following points during the course of infection :

<sup>3177</sup> Day 1, 11 months, 23 months and 26 months (as shown in table 15). These isolates <sup>3178</sup> will herein be referred to as EC1, EC2, EC3 and EC4 respectively. The isolates <sup>3179</sup> were grown in M9CAA for 24 hours without the addition of any antibiotic.

DNA was then extracted using the Ambion GeneJET genomic DNA purification kit 3180 with an additional elution step. Three replicates were prepared for each of the four 318 isolates, and each sample was barcoded using the Rapid Barcoding Kit (Oxford 3182 Nanopore), thus allowing all 12 samples to be multiplexed onto a single flow cell. 3183 The MinION library was prepared with a FLO-PRO002 flow cell and run for 36 hours 3184 using MinKNOW with high accuracy basecalling. The resulting FAST5 files were 3185 base called using Guppy v3.3.0, resulting in 8.17Gb of data, equating to a total of 3186 1.24 million reads. The sequenced isolates are summarised in Table 15. Further 318 information on the sequencing, such as the distribution of read length is displayed in 3188 supplementary figures S39-S41. 3189

3190

Isolate	Time point	Replicates	Average reads generated	Mapped reads	Error rate
EC1	Day 1	4	84676	98.28%	10.07%
EC2	11 Months	4	50836	97.85%	10.04%
EC3	23 Months	4	88920	97.28%	9.8%
EC4	26 Months	4	97508	99.31%	9.85%

Table 15: The *E.coli* isolates sequenced.

### 3191 6.6 Serotypes

Serotyping is a method of *E.coli* classification essential for the surveillance of infectious disease. Currently, over 160 different serological types of *E.coli* have been identified, and these are based on the lipopolysaccharide (O antigen) and H-flagellar antigens. Traditional methods for identifying these antigens are incredibly time consuming, whereas WGS allows us to rapidly determine the serotype of *E.coli* strains by comparing against databases of known O and H-antigen genes. For

the purpose of this study, SeroType finder was used for serotyping [296] with the 3198 standard threshold of 85% ID and minimum length of 60%. This tool performs a 3199 BLAST search of serotype database genes against the provided genome assembly 3200 and outputs predicted O and H types. All of the isolates were found to be different 3201 serotypes - isolate EC1 was H17:08, EC2 was H23, EC3 was H42:O83 and EC4 3202 was H25:O84. This suggests that either the patient has a polyclonal infection, or 3203 that different clonal types of *E.coli* have been acquired over time. However, to 3204 establish this whole population samples would need to be collected, but as this was 3205 a retrospective study this was not possible. 3206

3207

### **3208** 6.7 Multi-locus sequence type

To further investigate the relatedness of these clinical isolates, the core MLST profile for each isolate was determined from the nanopore sequence data using the web based platform MLST [297] and the seven marker gene set : *adk, fumC, gyrB, icd, mdh, purA and recA*.

The majority of serious, multi-drug-resistant ExPEC *E.coli* are sequence type 131 (ST131) [298], however we did not find this ST among the isolates sequenced. All six isolates were found to belong to different ST's - EC1 matched closely to ST88, EC2 to ST2792, EC3 to ST1485 and finally EC4 to ST57.

*E.coli* ST88 has previously been associated with ESBL-producing *E.coli* [299] and it is reported to be globally distributed within both humans and animals [300, 301]. Recently, *E.coli* ST1485 has been associated with multi-drug resistant infections in animals [302]. *E.coli* ST2792 was first identified in 2013 within broilers in southern Japan, however it was not found to be ESBL-producing [303]. Finally, *E.coli* ST57 has been isolated from both animals and humans and is reported to be ESBL-producing [304].

<sup>3224</sup> Given that each isolate matches to both a different serotype and different ST, we can

state with relative certainty that these isolates are different clonal types of *E.coli*. A limitation of this study, as with the *K.pneumonia* isolates in the previous chapter is that only a small subset of the microbial population has been characterised and sequenced, limiting our understanding of the microbial community as a whole. Furthermore, some caution has to be taken as MLST determination is reliant on the detection of single nucleotide changes in these housekeeping genes and this could be impacted by the relatively high error rate associated with nanopore sequencing.

### **6.8** Chromosome and plasmid elucidation

The genomes were assembled using the de novo assembler Flye (V2.8.3) [234], resulting in whole chromosome and plasmid contigs. These contigs were then identified as either chromosomal or plasmid through the use of 'mlplasmids' [235]. Whilst the chromosomes and plasmids of isolates EC2 and EC3 assembled into single contigs, isolate EC1 possessed an additional chromosomal contig (48,070bp) and isolate EC4 possessed two additional small chromosomal contigs (2,864bp and 2,857bp).

- Next, BLAST analysis was carried out to reveal if the plasmids identified in these isolates closely matched any previously reported:
- Plasmid pEC1 was found to match closely to the *E.coli* O1:H42 strain CLSC36
   plasmid pcys-1 (Accession number : CP041299.1, >99% identity). Similarly to
   pEC1, this plasmid was also found to harbour the *ompT* virulence gene.
- pEC2 matched closely to *E.coli* strain EC008 plasmid pEC008 (Accession number
   KY748190.1, >99% identity).
- The first plasmid of isolate EC3, pEC3-1, closely matched to *E.coli* strain EC28 plasmid P2 (Accession number CP049102.1, >99% identity), a plasmid found in a uropathogenic ,ESBL-producing strain of *E.coli*.

• pEC3-2 matched closest to *E.coli* plasmid PC59-112 (Accession number : KJ484637.1, >99% identity).

- The final plasmid of isolate EC3 (pEC3-3) had closest identity to *E.coli* strain SMS 3-5 plasmid PSM35-8 (Accession number : CP0009721.1, >99% identity).
- The final isolate possessed two plasmids. The first plasmid, pEC4-1 had closest identity to *E.coli* strain RHBSTW-00152 plasmid pRHBSTW-00152-3 (Accession number CP056812.1, >99% identity).
- The final plasmid (pEC4-2) matched with *E.coli* plasmid pCOV33 (Accession number : MG649046.1, >99% identity).

3260

Using the PlasmidFinder web server [236], the replicon type of each plasmid was 326 identified, and these are displayed in table 17. The only plasmid found to be com-3262 mon to 3/4 isolates (EC2,EC3 and EC4) was the Incl1-I(Gamma) replicon plasmid, 3263 ranging from 121-37 kb and reported to be widespread in both humans and ani-3264 mals [305]. This could suggest that a Incl1-I(Gamma) backbone plasmid is in cir-3265 culation within this infection site. All of the remaining plasmids identified in the iso-3266 lates were unique to each isolate - EC1 was found to harbour a single plasmid type 326 with a IncFIB(AP001918)-IncFII(pSE11) replicon, and IncFIB(AP001918) plasmids 3268 have been reported in a wide range of pathogenic *E.coli* carrying a diverse set of 3269 AMR and virulence genes [306, 307, 269]. EC3 possessed a IncFIA-IncFIB multi-3270 replicon plasmid and a p0111 plasmid (first identified in an enterohemorrhagic strain 327 of *E.coli* in 2009 [308], and since identified across multiple different multi drug resis-3272 tant strains of bacteria [309, 310]). Finally, EC4 was found to have a large psL483 3273 replicon plasmid. A summary of the chromosome and plasmid lengths, as well as 3274 the replicon types is shown in table 16. 3275

The diversity of plasmids identified between isolates supports our hypothesis that these are indeed different clonal types of *E.coli* that have either been acquired over

<sup>3278</sup> time, or form part of a polyclonal infection.

Isolate	Identity	Length (bp)
EC1	Chromosome	4,957,273
	pEC1-1 : IncFIB-IncFII	145,898
EC2	Chromosome	5,351,716
	pEC2-1 : Incl1-I(Gamma)	121,012
EC3	Chromosome	5,148,955
	pEC3-1 : IncFIA-IncFIB	167,831
	pEC3-2 : Incl1-I(Gamma)	110,452
	pEC3-4 : p0111	94,641
EC4	Chromosome	5,178,012
	pEC4-1 : Incl1-I(Gamma)	37,823
	pEC4-2 : psL483	107,188

Table 16: A summary of the plasmid and chromosome lengths for each isolate (EC1-EC4).

### 3279 6.9 Genome content

Next, we examined the content of the *E.coli* genomes with the use of qualimap (v2.2.1) [240]. The genome of isolate EC1 was found to have 8,420 CDS, 92 tRNA's, 22 rRNA's and 2 CRISPRs. Isolate EC2 had 8,532 CDS, 83 tRNAs, 22 rRNAs and 2 CRISPRs. Next, isolate EC3 was found to possess 8,959 CDS, 92 tRNAs, 22 rRNAs and 1 CRISPR. Finally, isolate EC4 had 7,958 CDS, 87 tRNAs, 22 rRNAs and 2 CRISPRs.

### **3286** 6.10 Antimicrobial resistance

The clinical data indicates a diverse AMR profile between these different isolates, with fluctuating susceptibility towards different antibiotics over time. Given the serotype and MLST data, it is likely that these fluctuating AMR profiles are as a result of different clonal types of *E.coli* being sampled, rather than a single clonal type adapting to antibiotic treatment over the course of treatment.

To identify the genomic basis for this resistance, we used ResFinder [243] against 3292 the assembled genomes. A summary of the antibiotic resistance genes detected at 3293 each time point is presented in figure 67 and the locations of the AMR genes (e.g. 3294 whether they are found on the chromosome or a plasmid) is displayed in table 17. 3295 From this genomic data we can attempt to predict the phenotypic response towards 3296 antibiotics of different classes, as shown in tables S8-S11. However, it should be 329 noted that the presence of an AMR gene does not always result in detectable levels 3298 of phenotypic response in clinically relevant concentrations of drug. 3299

3300



Figure 67: A summary of the presence and absence of various antibiotic resistance genes and mutations over the four sequenced isolates. Green represents genes that are present and white represents the absence of the gene or mutation.

nes Virluence genes	promoter focC, fyuA , gad, hra, iha , irp2 >T) lpfA, mchB, mchC, papC, sfaD terC	cvaC, hlyF, iroN, iss, iucC, iutA mchF, ompT, sitA, traT	air, astA, chuA, eilA, gad, hra, is lpfA, ompT, terC, traT	ul1, tet(A), -1C	(B) air, chuA, eilA, gad, hra, ira, ire, iucC, iutA, kpsE, kpsMI_K5, lpf, mchF, mcmA, ompT, papA_F20 papC, sitA, terC, yfcV	e <sup>3</sup> )-Id, sul2 cvaC, etsC, hlyF, iroN, iss, iucC EM-1B iutA, mchF, ompT, sitA, traT, ts <sup>1</sup>	dfrA1, cib -1B		) chuA, fyuA, gad, hra, irp2, iss mchB, mchC, mchF, ompT, sit/ terC		
AMR ge	mdf(A), ampC- (g42C)			aadA1, dfrA1, s blaTEM-	sul2, tet	aph(3')-Ib, aph(( tet(A), blaTE	aadA1, sul2, blaTEM-		mdf(A,		
Q	Chromosome	pEC1-1 IncFIB(AP001918)- IncFII(pSE11)	Chromosome	pEC2-1 Incl1-l(Gamma)	Chromosome	pEC3-1 IncFIA - IncFIB(AP001918)	pEC3-2 Incl1-I(Gamma)	pEC3-3 p0111	Chromosome		pec4-1 inci1-i(gamma)
Length (bp)	4,957,273	145,898	5,351,716	121,012	5,148,955	167,831	110,452	94,641	5,178,012		31,823
MLST	ST88		ST2792		ST1485				ST57		
Serotype	H17:08		H23		H42:083				H25:084		
Isolate	EC1		EC2		EC3				EC4		

Table 17: The AMR and virulence genes identified within each of the sequenced isolates.

The E.coli isolates were found to have diverse resistomes, with different AMR 330 genes present in both the chromosome and plasmids. None of the AMR genes 3302 were found to be shared between all four isolates, and this fits in with the evidence 3303 suggesting that there isolates are different clonal types. In the initial isolate (EC1), 3304 only one gene conferring antibiotic resistance was identified - mdf(A) encoding the 3305 membrane protein MdfA which confers resistance to a range of toxic compounds, 3306 including antibiotics [311]. The *mdf(A)* gene was also identified on the later isolate 3307 EC4. In addition to this AMR gene, EC1 was found to have a mutation in the 3308 *ampC* promoter that is reported to result in elevated resistance to multiple  $\beta$ -lactam 3309 antibiotics such as piperacillin and amoxicillin, as well as  $\beta$ -lactam- $\beta$ -lactamase 3310 inhibitor combinations like co-amoxiclav [312, 313]. The mutations detected in this 331 gene could therefore explain the extensive resistance towards  $\beta$ -lactam antibiotics 3312 and  $\beta$ -lactam- $\beta$ -lactamase inhibitor combinations in this isolate, as shown in figure 3313 67. Furthermore, AmpC enzymes are known to hydrolyse cephalosporins [313, 314] 3314 and this could contribute towards resistance to cephalosporin antibiotics found 3315 within the clinical data (figure 67). 3316

Isolate EC2 was found to possess the plasmid-encoded AMR genes aadA1, tet(A) 3317 and bla<sub>TEM-1C</sub>. The gene aadA1 is known to confer resistance to the certain amino-3318 glycoside antibiotics, but not the ones tested by the Vitek (gentamicin, amikacin 3319 and tobramycin), and so the phenotypic outcome of this gene is uncertain. The 3320 tet(A) and blaTEM-1C genes confer resistance to beta-lactam antibiotics, indeed 3321 we find that this isolate is classified as clinically resistant towards the  $\beta$ -lactam 3322 antibiotics amoxicillin and ampicillin (figure 67). Isolate EC3 harboured a wide 3323 range of both chromosomal and plasmid encoded antibiotic resistance genes -3324 aadA1, tet(A), tet(B), dfrA1, sul1, bla<sub>TEM-1B</sub>, aph(3')-lb and aph(6')-lb, associated 3325 with resistance towards beta-lactams, sulfonamides, aminoglycosides and trimetho-3326 prim. This isolate was classified as ESBL positive and also resistant towards two 3327 cephalosporin antibiotics (cefpodoxime and cefuroxime), however it was susceptible 3328 to sulfonamides and aminoglycosides. This further demonstrates the disconnect 3329

that can be present between the detection of AMR genes and actual phenotypic resistance.

The final isolate, EC4, was found to posses three AMR genes on its largest plasmid 3332 (pEC4-2) - tet(A), sul2 and  $bla_{CTX-M-1}$ . From the clinical data, the final isolate was 3333 predicted to be resistant towards the aminoglycoside antibiotics gentamicin and 3334 tobramycin. Although the AMR genes detected do not confer resistance towards 3335 aminoglycosides, this isolate was also found to harbour the gene *mdfA* that has 3336 been associated with resistance towards aminoglycosides [311]. The presence of 3337 this *mdfA* gene could also explain the resistance towards ciprofloxacin identified by 3338 the Vitek. However, further investigation would be needed to confirm this hypothesis, 3339 such as the removal of the *mdfA* gene followed by repeated antibiotic susceptibility 3340 tests. This was the only sequenced isolate found to possess bla<sub>CTX-M-1</sub>, an ESBL 334 gene mediating resistance towards a range of  $\beta$ -lactam antibiotics, including 3342 extended-spectrum cephalosporins such as cefepime. Finally, *sul2* is know to confer 3343 resistance towards sulphonamide antibiotics [315], and indeed the isolate was 3344 reported as being resistant towards co-trimoxazole. 3345

In summary, the isolates were found to have diverse resistomes, with AMR genes 3346 harboured both on the chromosome and within plasmids. The variation in AMR 3347 genes between the isolates fits in with data suggesting that these are different clonal 3348 types, and the fluctuating AMR profiles measured in the Vitek. Moreover, the genetic 3349 determinants for resistance were identified in some isolates, but the genomic data 3350 failed to explain the phenotypic response in some cases. For example, *sul1* was not 335 found to result in phenotypic resistance towards sulfonamides in EC3. Predicting the 3352 AMR phenotype from genomic data is challenging for many reasons, for example 3353 an AMR gene may not be expressed, or a mutation never previously associated 3354 with AMR could be conferring the phenotype [270]. WGS is a promising tool for 3355 assessing the AMR profiles of clinical pathogens, however caution must be taken 3356 when drawing conclusions about the phenotypic response to antibiotics. 3357

3358

### 3359 6.11 Virulence

Virulence factors are key to the success of pathogenic ExPEC *E.coli* as they allow effective colonisation of extraintestinal tissues within the host. Furthermore, these virulence factors are often found on mobile genetic elements, such as plasmids, allowing effective transmission [316]. There are five main groups of virulence factors expressed by pathogenic *E.coli* and these are adhesins, toxins, iron acquisition factors, lipopolysaccharides and invasins. Moreover, these can either be located on the bacterial cell surface, or excreted into the external environment [273].

<sup>3367</sup> In order to elucidate the virulence factors present in the *E.coli* isolates, the on-<sup>3368</sup> line database 'VirulenceFinder' [259] was used. This tool compares the assembled <sup>3369</sup> genomes against known virulence factors to identify those present in the *E.coli* iso-<sup>3370</sup> lates. A variety of virulence factors were identified, as shown in table 17 and sum-<sup>3371</sup> marised in table 18 alongside their functions.

Multiple virulence factors were found to be common to all isolates (gad, hra, lpfA, 3372 *terC, iss* and *ompT*), however many others were not. Bacterial virulence factors are 3373 essential to many aspects of infection, from protection against the human immune 3374 system to adhering to surfaces within the body. For example, serum resistance pro-3375 teins are key to the survival of *E.coli* in the bloodstream, allowing dissemination 3376 throughout the body [317]. Indeed, all of these isolates harbour virulence genes 3377 involved in serum survival, such as iss. The acquisition of iron is another key re-3378 quirement for ExPEC E.coli, allowing the uptake of iron from the bloodstream. All 3379 isolates were found to carry siderophore genes involved in iron uptake, with some 3380 carrying multiple genes (e.g. sitA, fyuA, iroN, irp2 and ireA.) 338

<sup>3382</sup> Virulence genes are not routinely detected in clinical pathogens, and yet they can <sup>3383</sup> inform us on the presence of different clonal groups and aid our understanding of <sup>3384</sup> disease severity. By increasing our understanding on the association between in-<sup>3385</sup> fection severity and patterns of virulence factors, we can explore the effect that they <sup>3386</sup> have on patient outcome alongside other host determinants [318, 319].

Gene	Function	EC1	EC2	EC3	EC4
gad	Acid resistance				
hra	Heat resistance				
lpfA	Fimbrial protein				
terC	Tellurite resistance				
iss	Serum survival				
ompT	Outer membrane protease				
mchF	Microcin transporter protein				
traT	Serum resistance				
sitA	Siderophore production				
chuA	Outer membrane hemin receptor				
fyuA	Siderophore receptor				
iha	Adhesin				
irp2	Yersiniobactin				
mchB	Microcin H47 precursor				
mchC	MccH47 system				
papC	P fimbriae				
cvaC	Colicin V precursor				
hlyF	Hemolysin F				
iroN	Enterobactin siderophore receptor				
iucC	Aerobactin synthase				
iutA	Ferric aerobactin receptor				
air	Enteroaggregative immunoglobulin repeat protein				
eilA	Enteroaggregative protein				
cib	Colicin ib production				
focC	F1C fimbriae biogenesis				
sfaD	F1C fimbriae biogenesis				
astA	Enteroaggregative E.coli heat-stable enterotoxin (EAST1)				
ireA	Siderophore receptor				
KpsE	Capsule polysaccharide export protein				
KPsII-k5	Polysialic acid transport protein				
тстА	Microcin M				
ycfV	Fimbrial protein				
etsC	Putative type I secretion outer membrane protein				
tsh	Temp-senstive hemagglutinin				

Table 18: A summary of the presence and absence of various virluence genes in all four sequenced isolates. Green represents genes that are present and white represents the absence of the gene.

### **3387 6.12 Chromosome and plasmid structure**

In order to elucidate any structural variations in the genome, we first examined the read depth across all chromosomes and plasmids. The coverage for each isolate, normalised to mean coverage, is shown in figures 69-72. Although for the majority of isolates the read depth is fairly evenly distributed across the genome, we do find areas with large variations in read depth.

<sup>3393</sup> In partcular, plasmid pEC2-1 has areas with substantially higher read coverage <sup>3394</sup> than the rest of the genome, as shown in figure 70 and this is suggestive of an <sup>3395</sup> amplification. However, when we examine the genes present in these regions, <sup>3996</sup> we find that the majority of genes are hypothetical and it is therefore difficult to <sup>3997</sup> hypothesise a reason for this increase in read depth. The high coverage region <sup>3998</sup> ranging 57,804-60,524bp on this plasmid was found to harbour the *pi/V* gene that is <sup>3999</sup> reported to play a role in the transmissibility and survival of *Enterobacteriaceae* [54].

### 3401 6.13 Summary

In this chapter, the assembled genomes of four *E.coli* isolates acquired from a single 3402 patient diagnosed with chronic cholangitis over the course of 26 months have been 3403 presented, and the key genotypic and phenotypic features of all four sequenced 3404 E.coli isolates are presented in figure 68. The AMR profile varied substantially 3405 between the isolates, with the initial isolate classified as resistant towards the most 3406 antibiotics (x11), from a wide range of classes. All future isolates were resistant 3407 toward ampicillin, however resistance towards other antibiotics fluctuated with time. 3408 For example, only the final sequence isolate EC4 was found to be resistant towards 3409 gentamicin, ciprofloxacin and tobramycin. Given that ciprofloxacin and gentamicin 3410 were used frequently in treatment, it is perhaps not surprising that resistance 3411 towards these antibiotics was detected in the final isolate. 3412

<sup>3413</sup> Through nanopore sequencing, all of the isolates were identified as different clonal

<sup>3414</sup> groups and the resistomes were elucidated. The AMR genes identified included <sup>3415</sup> key ESBL genes such as CTX-M-1 and TEM-1C that not only pose a challenge to <sup>3416</sup> successful treatment, but are also difficult to deduce from phenotypic data. Despite <sup>3417</sup> the genomic differences between isolates, similarities were found, for example <sup>3418</sup> all were found to harbour genes conferring resistant towards  $\beta$ -lactam antibiotics. <sup>3419</sup> Furthermore, all isolates possessed an Incl1-I replicon plasmid, although the <sup>3420</sup> plasmid size and gene content varied.

WGS is a promising tool to diagnose clinical antimicrobial resistance, and so the AMR profiles generated by the Vitek and nanopore sequencing were compared here. In contrast to the previous chapter, the phenotypic data generated by the Vitek was found to be an accurate assessment of the susceptibility towards two different antibiotics that were used frequently in treatment, as well as aligning relatively well with the AMR genes detected through sequencing.

One of the initial aims of this work was to identify structural variants present in 3427 the genomes of clinical pathogens. Despite two amplifications being identified on 3428 plasmid pEC2-1, they were largely found to span hypothetical genes and thus any 3429 phenotypic outcome is hard to predict. Nevertheless, it is possible that the subcul-3430 turing steps used here have resulted in the loss of larger genomic modifications 3431 and future work could involve sequencing samples obtained directly from a patient 3432 without the use of culturing. Indeed, nanopore sequencing has been been used 3433 successfully by others to sequence metagenomic samples directly from patients 3434 [269, 320]. 3435

3436



Figure 68: A summary of the key phenotypic and genotypic features of the four sequenced *E.coli* isolates. The key ESBL's identified in each isolate are displayed. Note that the phenotypic changes refer to those identified in the data obtained from the Vitek 2. R = resistant, S = susceptible, ST = sequence type.



Figure 69: The genome coverage of isolate EC1 (Day one). The whole 4.9Mb chromosome was assembled into a single contig with mean coverage of 98.5, and one plasmid assembled as a seperate contig (145Kbp) with a mean coverage of 40.7.



Figure 70: The genome coverage of isolate EC2 (11 months). The whole 5.3Mb chromosome was assembled into a single contig with a mean coverage of 46.1, and one plasmid assembled as a seperate contig (121Kbp) with a mean coverage of 33.2.



Figure 71: The genome coverage of isolate EC3 (23 months). The whole 5.1Mb chromosome was assembled into a single contig with a mean coverage of 75.7. Three plasmids assembled as seperate contigs. Plasmid one was 167Kbp in length with a mean coverage of 25.7, plasmid two was 110Kbp with a mean coverage of 38.1 and finally plasmid three was 94Kbp in length with a mean coverage of 19.


Figure 72: The genome coverage of isolate EC4 (26 months). The whole 5.1 Mb chromosome was assembled into a single contig with a mean coverage of 105.6 Two plasmids assembled as seperate contigs. Plasmid one was 38 Kbp with a mean coverage of 62.5 and plasmid two was 107 Kbp in length with mean coverage of 89.9.

## **CHAPTER SEVEN -** CONCLUSIONS

# 7.1 Ribosome-binding antibiotics can result in improved growth and long-term viability in *E.coli*

Antibiotics have been pivotal in our fight against microbial infections, and are 344 generally considered to be molecules that have substantial negative impacts on 3442 the bacteria that they target. However, this work demonstrates quite the opposite, 3443 antibiotics can in fact provide microbial benefits. The efficacy of bacteriostatic 3444 antibiotics is often based on the extent of inhibition in the early stages of microbial 3445 growth [16, 87], and yet until now the outcome on microbial growth and survival over 3446 long time periods has been unknown. Through the long-term culturing of E.coli pop-3447 ulations exposed to antibiotics from various different classes, we have demonstrated 3448 that exposure to ribosome-binding antibiotics such as doxycycline and erythromycin 3449 does indeed impede initial growth, but eventually results in growth stimulation and 3450 improvements to long-term viability during nutrient starvation, delaying population 345 collapse. Moreover, resistance towards doxycycline through a ribosome-protection 3452 mechanism eradicates these benefits, indicating that antibiotic-ribosome binding is 3453 indeed key to the these improvements in growth. 3454

<sup>3455</sup> Whilst drug-free populations experienced exponential death as nutrients are ex-<sup>3456</sup> hausted, those treated with ribosome-binding drugs go through multiple stages of <sup>3457</sup> death and growth resurgence (figure 12). We offer an explanation for this phenotype <sup>3458</sup> by demonstrating that doxycycline improves the ability of *E.coli* to scavenge carbon <sup>3459</sup> from the debris of lysed cells (figure 18).

<sup>3460</sup> In order to explore the influence of doxycycline on *E.coli* metabolic pathways, a GFP <sup>3461</sup> promoter library was utilised, with GFP expression used as a proxy for promoter <sup>3462</sup> expression. Doxycycline was indeed found to induce differential expression of

genes involved in central carbon metabolism over a 72 hour period, as well as 3463 genes involved in glucose transport. These findings are summarised in figure 73, 3464 showing the up-regulation of genes involved in both the TCA cycle and glyoxylate 3465 cycle. This up-regulation of the glyoxylate cycle could provide an explanation for 3466 the doxycycline-induced improvements to growth in spent supernantant as well as 3467 improvements to long-term viability, as the glyoxylate cycle allows the cell to utilise 3468 alternative carbon sources such as acetate and fatty acids. This could be further 3469 investigated by measuring the expression of glyoxylate cycle genes during growth 3470 on spent supernatant in the presence and absence of doxycycline. 3471



Figure 73: A summary of the significant changes in maximal expression of the GFP-tagged promoters involved in a) glycolysis and b) the TCA cycle. Only the genes studied are displayed here. The genes circled in green had higher maximal expression in 0.4mg/l doxycycline when compared to a drug-free control. Genes that are not circled did not experience a substantial change in promoter expression in 0.4mg/l doxycycline. The schematic of the TCA cycle shown in (b) was adapted from reference [321].

This data raises the question, if an antibiotic initially reduces microbial growth but simultaneously improves long-term viability, can it still be considered an antimicrobial? It is possible that the phenotypes found here also arise within the human body during treatment, however future work would be needed to confirm this, such as repeating these experiments using clinical bacterial isolates. If ribosome-binding antibiotics do inadvertently benefit bacterial growth during treatment, this could have detrimental effects on patient outcome.

The data presented here also allows us to question the natural role of antibiotic 3480 production in the environment. Traditionally, antibiotics were thought to be produced 348 exclusively as 'war-fare' molecules, with the sole function of inhibiting the growth of 3482 competitors [322, 323]. However, alternative theories suggest that they may play a 3483 more passive role as signalling molecules [13]. It is possible that antibiotics could be 3484 produced as a type of 'public good' to prolong the life of kin in the surrounding en-3485 vironment, however this was outside of the scope of this study. Additionally, studies 3486 have sought to understand how antibiotic-resistant and antibiotic-susceptible bacte-3487 ria co-exist [324, 325], and the data presented here could provide one mechanism 3488 by which this occurs - although antibiotic resistance is beneficial during exponential 3489 growth, it can be detrimental to long term-viability. Conversely, susceptibility towards 3490 certain antibiotics is beneficial during nutrient starvation and this could result in 3491 coexistence. 3492

Future work could seek to explore the impact that doxycycline exposure at various 3493 stages of starvation has on the long-term viability of bacterial populations. If this 3494 phenotype is indeed mediated by alterations to metabolism in the early stages of 3495 growth, then the addition of doxycycline at later time points may fail to confer any 3496 benefit to growth or long-term viability. In addition, a limitation of this work was 3497 the number of antibiotics used to investigate this phenotype. Future work could 3498 therefore seek to identify this phenotype in a broader range of antibiotics from a 3499 range of classes to further verify if it is indeed unique to ribosome-binding antibiotics. 3500

3501

## <sup>3502</sup> 7.2 Optimising ribosome production capacity for starvation sur <sup>3503</sup> vival

Thus far we have only observed benefits to growth and viability in antibiotics that 3504 target the ribosome and we therefore hypothesised that a reduction in the number 3505 of functional ribosomes would also result in this benefit. To this end, in chapter 3506 three we explored the relationship between *rrn* operon copy number and long-term 3507 viability. Indeed, we found that population density increased with a reduction in rrn 3508 copy number, mirroring that seen with doxycycline. Moreover, we identified that an 3509 intermediate number of rrn operons optimised the bacterial population for survival 3510 in nutrient-depleted conditions. This not only supports our previous hypothesis, but 3511 also provides information on the fundamental role of ribosome functioning during 3512 starvation. 3513

3514

# 7.3 Doxycycline induces a diverse phenotypic response in sin gle gene knockout strains

We next sought to further our understanding on the mechanistic basis of doxycycline-3517 induced benefits to growth, and indeed the general phenotypic response to doxy-3518 cycline through the use of a reverse genetics approach. For this, we utilised the 3519 keio collection, a library of *E.coli* strains in which single non-essential genes have 3520 been knocked out. Through clustering these strains based on their growth dynamics 352 in doxycycline over 48 hours, we were able to identify those strains that lost the 3522 doxycycline-induced benefits to K and the functional groups enriched in these 3523 clusters. Furthermore, we identified a number of unusual phenotypes, such as 3524 strains which experience benefits to both lag and K, and strains which were only 3525 found to grow in media containing doxycycline. Interestingly, amongst the strains 3526 only found to grow in doxycycline were knockouts for genes involved in the oxidative 3527

stress response (e.g. soxS, sodB and perR), and given that tetracycline antibiotics 3528 are known to have an antioxidant effect [29, 30] this is an intriguing finding. It is 3529 possible that by acting as an antioxidant, doxycycline alleviates the lethal impact 3530 of having these genes removed, thereby allowing growth to occur. Indeed, this 3531 antioxidant effect could play a role in the improved long-term viability induced 3532 by doxycycline, protecting against the cellular damage induced by ROS such as 3533 superoxide during starvation. Further work would be needed to elucidate this 3534 however, such as an ROS assay during starvation in the presence and absence of 3535 doxycycline. Alternatively, doxycycline could be replaced with a different antioxidant 3536 to see if a similar phenotype is achieved. 3537

This study did of course have its limitations, primarily due to the incomplete dataset as a result of the coronavirus pandemic. Further characterisation of the remaining strains would aid in our understanding of the response to antibiotic treatment.

3541

# 7.4 Nanopore sequencing can be used to effectively track adap tation during long-term infection and perform comparative genomics on clinical isolates

In chapters two-four, we explored the response to antibiotics through the use of experimental evolution in a lab environment. Whilst this work is invaluable in measuring adaptive changes under controlled conditions, microbial adaption in the human body is likely to be very different. Indeed, the mutation rate of pathogens within the body has been reported to be very low, resulting in slow rates of adaptation [84]. And yet, there are rapid rates of adaptation towards antibiotics in a laboratory environment [85, 7, 86].

We therefore sought to understand the within-host adaptation to repeated antibiotic treatment over extremely long infection time-scales. Through nanopore sequencing, we were able to track the genomic changes in a clonal population of *K.pneumoniae* 

over the course of an 18 month infection. The long Nanopore reads allowed us to 3555 elucidate structural variations, such as the inclusion of a plasmid fragment in the 3556 chromosome of one isolate. Furthermore, we could reconstruct whole plasmids 3557 and track both the loss of plasmids, as well as changes in their gene content over 3558 time. These isolates were of particular interest due to the detection of carbapenem 3559 resistance, deduced in the clinical laboratory to be due to a bla<sub>OXA-48</sub> gene. However, 3560 from the nanopore data this carbapenem resistance was found to be likely due to 356 mutations in the *ompK36* porin gene and the presence of a weak  $bla_{OXA-1}$  gene. 3562 Carbapenem resistance is a growing and serious threat [66, 250, 69], and as such 3563 a better understanding of the genetic basis of resistance, as well as the evolutionary 3564 dynamics occurring during chronic infection may aid preventative measures and 3565 treatment. Future work could include the resequencing of these isolates using a 3566 short-read sequencing platform such as Illumina in order to confirm the polymor-3567 phisms identified here. 3568

An additional *E.coli* isolate set spanning 26 months was also acquired from a patient 3569 suffering from recurrent cholangitis. Again, nanopore sequencing was carried out 3570 alongside phenotypic characterisation to identify variations in the isolates over 357 time. In this case, the isolates represented a diverse range of *E.coli* clonal groups 3572 with varying AMR and virulence genes located both on the chromosome and on 3573 plasmids. Whether these clonal types were acquired separately over time, or if they 3574 form part of a polyclonal infection cannot be deduced from this data. This would 3575 require further work involving metagenomic sequencing, however as this was a 3576 retrospective study this was not possible. This work does demonstrate the benefits 3577 of using nanopore sequencing in complex infections, as the resistance profile could 3578 rapidly be predicted based on genomic data, including the presence of ESBL genes 3579 which can be challenging to elucidate from phenotypic data alone [326, 327]. 3580

In both of these case studies, whole genome sequencing generally proved to be an
 effective tool in the prediction of AMR phenotypes. However, there is still extensive
 work to be carried out to determine if WGS can be used as a diagnostic tool, and it

is unlikely to completely replace phenotypic tests. This is due to the inconsistencies
often found between AMR genes and the microbial response to antibiotic treatment.
For example, an AMR gene may be identified, but that does not necessarily mean
that is being expressed, or that the pathogen is resistant to the antibiotic at clinically
relevant concentrations [270].

In summary, the data presented within this thesis has revealed previously unknown, antibiotic-induced benefits to bacterial growth and viability. Furthermore, antibiotic resistance was found to be eradicate these benefits. Various possible mechanisms for this phenotype have been explored, and it is likely that a combination of metabolic changes resulting from doxycycline exposure, as well as its known antioxidant properties contribute towards growth benefits.

Additionally, nanopore sequencing has been used to track both the adaptation of 3595 carbapenem-resistant K.pneumoniae during a single infection, and to compare 3596 the genomes of *E.coli* isolates belonging to different clonal groups over a 26 3597 month infection period. There is growing interest in the use of genomics to track 3598 adaptive changes occurring over the course of long-term infection, particularly 3599 genomic modifications related to antibiotic resistance. Moreover, increasing our 3600 understanding on how pathogens adapt during repeated antibiotic therapy, and how 360 these genomic changes relate to phenotypic outcome could aid treatment. Although 3602 the data obtained from the *E.coli* isolates did not allow temporal adaptive changes 3603 to be tracked, nanopore sequencing did prove effective in determining the clonal 3604 types and characterising key genomic features such as AMR and virulence genes. 3605

3606

### **METHODS** - CHAPTERS 2 AND 3

#### 3609 8.1 Media

Lysogeny broth (LB) was utilised here as a rich media. LB was prepared by combining 25g of high salt (10g/L) LB powder (Sigma-Aldrich) with 1L deionised (DI) water which was then autoclaved at 121°C for 20 minutes. LB agar was prepared using the same method, with the addition of 12g agar powder (Sigma-Aldrich).

<sup>3614</sup> Minimal media (M9) component A was prepared by combining  $350g K_2HPO_4$  (Sigma-<sup>3615</sup> Aldrich) and  $100g KH_2HPO_4$  (Sigma-Aldrich) in 1L DI water. Component B was <sup>3616</sup> prepared by combining 29.4g trisodium citrate (Fisher Scientific),  $50g (NH_4)_2SO_4$ <sup>3617</sup> (Sigma-Aldrich) and  $10.45g MgSO_4$  (Sigma-Aldrich) in 1L DI water. Both compo-<sup>3618</sup> nents A and B were autoclaved for 20 minutes at  $121^{\circ}C$ . To prepare M9, 20ml of <sup>3619</sup> component A and 20ml of component B were then mixed with 960ml of DI water.

Glucose stock solutions (20%) were prepared by diluting 10g glucose (Sigma-Aldrich) in 50ml DI water. Casamino acid stock solutions (10%) were prepared by diluting 5g casamino acids (Melford) in 50ml DI water. Both the glucose and casamino acid stock solutions were filter sterilised.

The M9 was supplemented with glucose (0.2% (w/v) unless otherwise stated) and 0.1% casamino acids prepared from the filter sterilised 20% and 10% stock solutions to make defined minimal media (hereafter referred to as M9CAA).

#### 3627 8.2 Bacterial strains

Prior to use, bacteria were grown in M9CAA for 24 hours at 30°C, shaken at 160rpm.
 The following bacteria strains were utilised in chapters 2-3 (table 19). The keio strains
 utilised in chapter 4 are displayed in figures S15-S28, and the GFP promoter strains
 are shown in table 1. The keio library and GFP promoter strains were received in 96-

well microplates and immediately stored at -80°C. Prior to use, a pin replicator was
used to transfer a small volume of each culture into a microplate containing 150µl
M9CAA within each well. The microplates were then incubated for 24 hours at 30°C
and shaken at 160rpm in order to prepare overnight cultures.

Strain	Genotype	Ref
E.coli MG1655	K-12 F- $\lambda$ - ilvG0 rfb -50 rph -1	[328]
<i>E.coli</i> GB(c)	MC4100 galK::CFP ampR pGW155B	[129]
<i>E.coli</i> MG1655∆ 1 <i>rrn</i>	MG1655 $\Delta$ rrnE	[163]
<i>E.coli</i> MG1655 $\triangle$ 2 rrn	MG1655 $\Delta$ rrnGB	[163]
<i>E.coli</i> MG1655 $\triangle$ 3 rrn	MG1655 $\Delta$ rrnGBA	[163]
<i>E.coli</i> MG1655 $\triangle$ 4 rrn	MG1655 $\Delta$ rrnGBAD	[163]
<i>E.coli</i> MG1655 $\triangle$ 5 rrn	MG1655 $\Delta$ rrnGBADH ptRNA67	[163]
<i>E.coli</i> MG1655 $\triangle$ 6 <i>rrn</i>	MG1655 $\Delta$ rrnGBADHB ptRNA67	[163]

Table 19: The bacterial strains used in chapters 2-4, excluding the keio and GFP strains.

#### 3636 8.3 CFU/OD calibration

Optical density (OD) has been used throughout this work as a proxy for cell number, 3637 however cell size can vary during different stages of growth and as such the robust-3638 ness of this measurement must be tested [329, 330]. The relationship between OD 3639 and CFU/mI was therefore examined for E.coli MG1655 to ensure that there is a lin-3640 ear relationship between these two measurements. Cultures of E.coli MG1655 were 3641 grown for 24 hours in defined minimal media, after which 6 serial dilutions were per-3642 formed and OD(600nm) reads were taken using a Tecan Spark microplate reader. 3643 To quantify CFU/ml, 10µl of diluted culture (with final dilutions of 10<sup>-5</sup> and 10<sup>-6</sup>) were 3644 spread onto LB agar plates and incubated at 30°C for 24 hours. The number of 3645 colonies were then counted and the following calculation was used to determine the 3646 number of cells in the original culture: 3647

$$CFU/mI = \frac{Colony \text{ number} \times Dilution \text{ factor}}{Volume \text{ of culture plated (10 }\mu\text{l})}$$
(1)

<sup>3648</sup> The final CFU/OD calibration curve is shown in figure 74.



Figure 74: The calibration for OD against CFU/ml for *E.coli* MG1655. *n* = 3.

#### **3649** 8.4 Determination of MIC

Multiple antibiotics are used within this thesis, including doxycycline (Sigma-Aldrich), erythromycin (Duchefa Biochemie), penicillin (Sigma-Aldrich) and rifampicin (Melford). The solvent and stock solution concentrations were prepared for each antibiotic according to the manufacturers instructions, as displayed in table 20. All antibiotic stock solutions were filter sterilised using a 0.22 μm filter before being frozen at -20°C. Note that rifampicin must be protected from light to avoid degradation.

3657

Antibiotic	Solvent	Stock solution	Storage temperature
Doxycycline	DI water	5mg/ml	-20°C
Erythromycin	Pure ethanol	10mg/ml	-20°C
Rifampicin	Pure methanol	25mg/ml	-20°C
Penicillin	DI water	10mg/ml	-20°C

Table 20: Antibiotic stock solutions.

<sup>3658</sup> Working solutions were prepared from stock solutions by dilution in the appropri-<sup>3659</sup> ate media for that experiment (e.g. M9CAA). Linear gradients of doxycycline, ery-

thromycin, rifampicin and penicillin were then prepared in microtiter plates and di-3660 luted 1:1 in M9CAA inoculated with 1x10<sup>6</sup> CFU of *E.coli* MG1655 (the cell number 366 having been calculated based on the previously shown CFU/OD calibration curve in 3662 figure 74). An additional doxycycline dose response was performed for the keio WT 3663 strain *E.coli* BW25113 (Supplementary figure S12). The microplates were incubated 3664 at 30°C, shaking at 160rpm and OD(600nm) readings were taken every 20 minutes 3665 in a Tecan Spark microplate reader. The final dose response curves are presented 3666 in supplementary figure S1, and the minimal inhibitory concentration (MIC) was de-366 termined to be the concentration of antibiotic that inhibited 99% of growth by 24hrs. 3668

#### 8.5 Extended growth curves and dose response curves

To test for the long-term effects of doxycycline on the growth of *E.coli*, extended dose response assays were carried out. Briefly, dilutions of doxycycline were prepared from the stock solution (0, 0.05, 0.1, 0.2, 0.3, 0.4, 0.6, 0.8 and 1mg/l) in 10ml M9CAA and inoculated with  $1 \times 10^6$  cells of *E.coli* MG1655 overnight culture. 150 µl of each culture was then inoculated into a microtiter plate in triplicate and incubated at 30 °C for 72 hours. Cell density was measured as OD(600nm) every 20 minutes by a Tecan Spark plate reader.

<sup>3677</sup> In order to test for the robustness of the resulting phenotypes across different <sup>3678</sup> resource concentrations, the growth of *E.coli* MG1655 in three different doxycycline <sup>3679</sup> concentrations (0.2, 0.4, 0.8mg/l), as well as drug-free conditions was measured in <sup>3680</sup> M9CAA supplemented with different concentrations of glucose (0.2, 1 and 2mg ml <sup>3681</sup> <sup>-1</sup>) in triplicate. Cell density was measured as OD(600nm) every 20 minutes for 72 <sup>3682</sup> hours by a Tecan Spark plate reader.

An extended dose response was also performed for the doxycycline-resistant strain *E.coli* GBc by diluting  $1 \times 10^6$  cells of overnight culture into 10ml M9CAA containing 0, 0.6 or 0.8mg/l doxycycline prepared from frozen stock solution. 150 µl of each culture was then inoculated into a microtiter plate in triplicate and incubated at 30 °C

<sup>3687</sup> for 72 hours. Cell density was measured as OD(600nm) every 20 minutes by a
 <sup>3688</sup> Tecan Spark plate reader.

The growth of the *E.coli* rrn strains were measured over an extended period of time to establish the effect of *rrn* knockouts on various growth parameters. For each *rrn* knockout strain, and the WT *E.coli* MG1655 strain,  $1\times10^{6}$  cells of the respective overnight culture was inoculated into 10ml of M9CAA. Note that no antibiotic is supplemented into the media. 150 µl of each culture was then inoculated into a microtiter plate in triplicate and incubated at 30 °C for 72 hours. Cell density was measured as OD(600nm) every 20 minutes by a Tecan Spark plate reader.

<sup>3696</sup> Due to the long incubation period, the edge wells of the microplate were not used in <sup>3697</sup> order to mitigate against evaporation of media in all extended growth experiments. <sup>3698</sup> Growth parameters such as lag and growth rate were calculated for all growth <sup>3699</sup> curves as set out in section 8.6.

3700

#### **8.6 Quantification of growth parameters**

The growth rate (r), maximum population density (K) and lag time (L) were deter-3702 mined by fitting both a four-parameter logistic model (equation 2) and exponential 3703 model (equation 3) to the OD growth data, using 'fitnlm' in MATLAB. In this case, B 3704 represents the estimated blank (that is the blank reading of a well containing only 3705 M9CAA) and a is a fitting parameter. N(t) represents the bacterial growth over time 3706 (t). The most appropriate model was chosen based on the model with the lowest 3707 corrected Akaike Information Criterion (AICc). If nutrients are not fully depleted by 3708 the end of the measurement period, we would expect an exponential growth curve. 3709 On the other hand, if nutrients are depleted and the culture reaches stationary 3710 phase, we would anticipate that a logistic curve would be the best fit to the data. 371

The resource efficiency, or yield, is then estimated by dividing K by the concentration of glucose supplied in the media.

$$N(t) = B + \frac{K}{1 + a \cdot e^{-r(t-L)}}$$
(2)

$$N(t) = B + ae^{rt} \tag{3}$$

#### 3715 8.7 Long-term batch culture

In order to quantify the effects of antibiotics on the long term viability of E.coli, 3716 long-term batch cultures were prepared. As these cultures were to be incubated for 3717 a relatively long period of time, larger volumes of media were required to mitigate 3718 against the effects of liquid evaporation. Overnight culture of E.coli MG1655 was 3719 inoculated into 30ml of M9CAA (M9 supplemented with 0.2% glucose and 0.1% 3720 casamino acids) at an initial inoculum size of 1x10<sup>6</sup> cells/ml, as determined by the 3721 CFU/OD calibration curve shown in figure 74. Doxycycline, erythromycin, penicillin 3722 and rifampicin were then added to individual cultures at concentrations determined 3723 to inhibit 20% and 50% of growth (0.2 and 0.4mg/l for doxycycline, 2 and 5mg/ml 3724 for erythromycin, 0.125 and 0.25mg/l for penicillin and finally 0.25 and 0.5mg/l for 3725 rifampicin). Three replicates of each antibiotic condition were prepared, alongside 3726 three replicates of a drug-free control. All cultures were then incubated at 30 °C 3727 and shaken at 160rpm for 28 days without the addition of fresh media. At regular 3728 intervals (days 0, 3, 6, 8 10, 16, 20 and 28),  $10\mu$  was removed from each culture, 3729 serially diluted and spread onto LB agar plates for the purpose of colony counting 3730 and to check for contamination. 3731

Long-term batch cultures of the doxycycline-resistant strain *E.coli* GBc were prepared in a similar way, with cultures prepared either in 30ml of drug-free M9CAA, or with the addition of doxycycline (0.2 and 0.4mg/l) in triplicate. Again, the cultures were incubated for 28 days without the addition of fresh nutrients and growth

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<sup>3736</sup> dynamics were measured as CFU's.

To explore the role of ribosome production on long-term viability, the E.coli rrn 3737 knockout strains were grown in long-term batch culture without the addition of 3738 any antibiotic. Again, 1x10<sup>6</sup> cells of overnight culture for each of the 6 knockout 3739 strains and WT (E.coli MG1655) were inoculated into 30ml M9CAA in triplicate and 3740 incubated at 30 °C, shaken at 160 rpm. The cultures were incubated for 14 days 374 without the addition of any fresh media or nutrient. On days 0, 1, 2, 3, 4, 5, 8 and 3742 14,  $10\mu$ l was removed from each culture, serially diluted and spread onto LB agar 3743 plates for the purpose of colony counting, and to check for contamination. 3744

3745

#### 3746 8.8 Supernatant assay

The following protocol was used to determine the effect of doxycycline on the growth 3747 of E.coli in spent supernatant. Firstly, E.coli MG1655 was inoculated into 30ml 3748 of M9CAA at an initial inoculum size of  $1 \times 10^6$  cells in triplicate, as determined by 3749 the CFU/OD calibration curve. These cultures were grown at 30 °C and shaken at 3750 160rpm for 48 hours, as it was anticipated that the majority of glucose in the media 375 would be exhausted by this time point (as confirmed by a glucose assay, set out 3752 in section 8.9). The cultures were then centrifuged at 8,000x rpm for 3 minutes, 3753 after which the supernatant was transferred to a fresh tube and bacterial cell pellet 3754 discarded. This centrifugation step was repeated a second time to maximise 3755 the removal of intact cells from the supernatant. The supernatant was then filter 3756 sterilised using a 0.22 µm filter to remove any remaining *E.coli* cells, leaving only 3757 small molecules within the media behind. 3758

The *E.coli* cells used to inoculate into this supernatant were then prepared as followed. Fresh overnight cultures of *E.coli* MG1655 were grown in 10ml M9CAA for 24 hours and then centrifuged at 5,000x rpm for 2 minutes. The supernatant was discarded and the bacterial pellet was resuspended in 10ml PBS (Fisher Scientific),

followed by a further centrifugation at 5,000x rpm for 2 minutes. This wash step was 3763 repeated a further two times to ensure that no glucose or component of the M9CAA 3764 was remaining in the culture. The washed *E.coli* were inoculated into 10ml x 12 of 3765 the prepared supernatant, as well as M9CAA controls at a cell density determined to 3766 be 1x10<sup>6</sup> cells. The specific conditions and number of replicates used are detailed 376 in table 21. 150 $\mu$ l of each culture was inoculated into a 96-well microplate and 3768 incubated at 30 °C. Cell density was measured as OD(600nm) every 20 minutes for 3769 72 hours in a Tecan Spark microplate reader. 3770

Note that, due to the long incubation period, the edge wells of the microplate were not used in order to mitigate against evaporation of media in all extended growth experiments. Growth parameters such as lag and growth rate were calculated for all growth curves as set out in section 8.6.

3775

No. replicates	Condition
3	Supernatant
3	Supernatant + 0.2mg/I doxycycline
3	Supernatant + 0.2mg ml <sup>-1</sup> glucose
3	Supernatant + 0.2mg ml <sup>-1</sup> glucose + 0.2mg/l doxycycline
3	M9CAA
3	M9CAA + 0.2mg/l doxycycline

Table 21: The conditions used in the supernatant assay. All were prepared in 10ml volumes and inoculated with 1x10<sup>6</sup> cells of fresh *E.coli* overnight culture.

#### 3776 8.9 Glucose assay

To ensure that all of the available glucose was being exhausted from the media of starving cultures, a colourmetric glucose assay (BioVision) was used. This glucose enzyme mix oxidises any glucose in the sample, making a product that then reacts with a dye within the assay mix to produce a coloured product. This coloured product can then be detected using a microplate reader. Briefly, a standard curve was made according to the manufacturers instructions through the serial dilution of a glucose standard (shown in figure S5) into the glucose assay master mix (glucose assay buffer, glucose probe and glucose enzyme mix). The samples were incubated for 30 minutes at 37 °C and protected from light. Absorbance was then read at 570nm in a Tecan Spark plate reader.

Samples of culture (50 µl x 3) were removed from *E.coli* MG1655 cultures at 0, 24 378 and 48 hours. In addition, glucose assays were performed at 0, 24 and 48 hours on 3788 cultures of E.coli MG1655 supplemented with 0.2 and 0.4mg/l doxycycline in order 3789 to test for the impact of doxycycline on glucose concentration over time. At each 3790 time point, 50 µl samples were loaded into a 96-well microplate, along with 50 µl 379 of glucose assay master mix in triplicate. Note that for the initial time 0 samples, 3792 dilutions were made to ensure that the readings were in the range of the standard 3793 curve, and these dilutions were accounted for in further calculations. For the control, 3794 the sample was substituted for 50 µl of background control mix. The samples were 3795 incubated for 30 minutes at 37°C and protected from light. Absorbance was then 3796 read at 570nm in a Tecan Spark plate reader. The readings were then compared 379 against the standard curve to determine the concentration of glucose in the sample 3798 and the following calculation was used to determine nmol/ µl, where B is the amount 3799 of glucose in the sample well (nmol), V is the volume of sample in the well and D is 3800 the sample dilution factor used: 3801

Glucose concentration (nmol/ 
$$\mu$$
l) =  $\frac{B}{V \times D}$  (4)

#### 3802 8.10 Whole genome sequencing of starved *E.coli* cultures

To elucidate any genomic changes occurring in populations of starving *E.coli* in the presence and absence of doxycycline, whole genome sequencing (WGS) was performed. This required the use of larger volume cultures (500ml) to facilitate the removal of culture for DNA extraction.

An overnight culture of E.coli MG1655 was grown in 10ml M9CAA for 24 hours at 380 30 ℃, shaken at 160rpm in triplicate. These cultures were then used to inoculate 3808 16x 500ml M9CAA in 2L erlenmeyer flasks at a density of 1x10<sup>6</sup> cells. Four cultures 3809 were left drug-free, four cultures were supplemented with 0.2mg/l doxycycline and 3810 four cultures were supplemented with 0.4mg/l doxycycline prepared from frozen 381 stock solution (stored at -20°C). The remaining four replicates served as a control 3812 for volume loss, as no culture would be removed from these flasks but cell density 3813 would still be monitored. This is to check that growth dynamics are not substantially 3814 impacted by the frequent removal of culture. The cultures were incubated at 30°C 3815 and shaken at 160rpm for 21 days without the addition of fresh media or nutrients. 3816 At 7 time points over the course of the 21 days (Days 1, 3, 7, 10, 15, 17 and 21), 381 5ml of culture was removed and centrifuged at 8,000x rpm for 3 minutes (aside 3818 from the four replicates acting as a volume control). The supernatant was removed 3819 and the bacterial pellet was stored at -80  $^{\circ}$ C in preparation for DNA extraction. At 3820 each of these time points, an additional 10 µl from each culture was removed and 382 serially diluted before being spread onto LB agar plates for colony counting in order 3822 to calculate the CFU/ml. Four replicates of the ancestral strain (E.coli MG1655) 3823 were also prepared and stored as cell pellets at -80 ℃ in preparation for sequencing 3824 to ensure conformity between the published MG1655 reference and the strain used 3825 here. 3826

3827

#### 3828 8.11 DNA extraction

The 'GeneJet DNA purification kit' (Thermo Fisher Scientific) was used to extract DNA from the bacterial pellets that had been stored at -80 °C as per the manufacturers instructions using a silica-based spin column method. Alterations to the standard protocol included an extended incubation time at 56 °C to allow for complete cell lysis, and an additional final elution step to maximise the DNA yield. The DNA was run

on a 1% agarose gel to check that the DNA wasn't heavily fragmented, and to check 3834 for protein contamination which would appear as a smear on the gel. The DNA was 3835 then quantified with the Qubit system, using the 'Qubit high sensitivity (HS) assay' kit 3836 (Thermo Fisher Scientific) to ensure that the DNA yield was sufficient for sequenc-3837 ing. To concentrate the DNA, the samples were then spun on a vacuum centrifuge 3838 (SpeedVac) at 50 °C until the sample was almost entirely evaporated, followed by the 3839 addition of elution buffer to make the volume up to 10 µl. The final concentration 3840 of each DNA sample is displayed in table 22. The DNA was then stored at 4 °C in 384 preparation for sequencing. Paired-end libraries were prepared at the 'Institute of 3842 Clinical Molecular Biology (IKMB)', Kiel University and run on the HiSeq using the 3843 Nextra library preparation protocol. 3844

Time point	Doxycycline (mg/l)	Replicate	Volume (µl)	Concentration (ng/µl)		Time point	Doxycycline (mg/l)	Replicate	Volume (µl)	Concentration (ng/µl)
1	0	1	10	365.9	1	14	0	1	10	562.4
1	0	2	10	592.8		14	0	2	10	471.2
1	o	3	10	402.8		14	0	3	10	444.6
1	0	4	10	562.4		14	0	4	10	360.2
1	0.2	1	10	448.4		14	0.2	1	10	551.0
1	0.2	2	10	321.9		14	0.2	2	10	524.7
1	0.2	3	10	486.4		14	0.2	4	10	354.5
1	0.2	4	10	350.0		14	0.4	1	10	387.6
1	0.4	1	10	315.4		14	0.4	2	10	395.2
	0.4	2	10	338.6		14	0.4	3	10	547.2
	0.4	2	10	245.4		14	0.4	4	10	535.8
	0.4	3	10	343.4		17	0	1	10	505.4
	0.4	4	10	330.4		17	0	2	10	367.1
3	0	1	10	001.2		17	0	3	10	566.2
3	0	2	10	391.4		17	0	4	10	406.6
3	0	3	10	934.8		17	0.2	1	10	649.8
3	0	4	10	634.6		17	0.2	2	10	452.2
3	0.2	1	10	874.0		17	0.2	3	10	676.4
3	0.2	2	10	695.4		17	0.2	4	10	418.0
3	0.2	3	10	774.8		17	0.4	1	10	779.U
3	0.2	4	10	699.2		17	0.4	2	10	516.8
3	0.4	1	10	748.6		17	0.4	4	10	490.2
3	0.4	2	10	714.4		21	0	1	10	798.0
3	0.4	3	10	801.8		21	0	2	10	414.2
3	0.4	4	10	570.0		21	0	3	10	566.2
7	0	1	10	509.2		21	0	4	10	365.6
7	0	2	10	646.0		21	0.2	1	10	801.8
7	0	3	10	337.1		21	0.2	2	10	444.6
7	0	4	10	444.6		21	0.2	3	10	478.8
7	0.2	1	10	729.6		21	0.2	4	10	345.8
7	0.2	2	10	672.6		21	0.4	1	10	672.6
7	0.2	3	10	539.6		21	0.4	2	10	547.2
7	0.2	4	10	809.4		21	0.4	3	10	1037.4
7	0.4	1	10	938.6		Ancestral	0.4	-	10	402.8
7	0.4	2	10	592.8		Ancestral			10	391.4
7	0.4	3	10	1455.4		Ancestral	-		10	418.0
7	0.4	4	10	1261.6		Ancestral	-	-	10	399.0
10	0	1	10	421.8	'					
10	0	2	10	563.9						
10	0	3	10	383.8						
10	0	4	10	548.7						
10	0.2	4	10	215.4						
10	0.2	2	10	315.4						
10	0.2	2	10	500.0						
10	0.2	3	10	532.0						
10	0.2	4	10	3/9.6						
10	0.4	1	10	425.6						
10	0.4	2	10	467.4						
10	0.4	3	10	494.0						
10	0.4	4	10	373.5						

Table 22: Concentration and volume of DNA used for sequencing.

#### **8.12** DNA quality control, mapping and variant calling

FastQC (v 0.11) was used to assess multiple quality control parameters such as read quality and GC content. A representative example of the read quality is displayed in figure 75. Low quality reads (with a read quality below 20) were trimmed using Cutadapt (v2.10) [331]). The reads were then mapped against the previously published *E.coli* MG1655 reference genome using burrows-wheeler aligner (BWA, v0.7.4) [332] with standard parameters. The alignments were then sorted into genomic position and indexed using Samtools (v1.3.1).

To call variants such as single nucleotide polymorphisms (SNPs), initially Varscan 3853 was used (v2.4.0) [333] with a minimum average guality of 30. Additionally, variants 3854 were called using Breseq v(0.30.0) [334]. Breseq is a computational pipeline used 3855 to detect SNPs, indels and new junctions (such as those caused by the insertion 3856 of a mobile element). Breseq was run in polymorphism mode as whole population 385 samples were sequenced rather than clones. Not only were the SNPs previously 3858 detected by Varscan confirmed by breseq, additional low frequency SNPs and indels 3859 were also identified. 3860

The annotation files for *E.coli* MG1655 were accessed from NCBI, and these Genbank files were used to annotate the polymorphisms identified. A combination of MATLAB and python were used for the downstream analysis.



Figure 75: The Q score across all positions for the trimmed day one, 0mg/l doxycycline read. Analysed using 'FastQC'.

#### **8.13** Measurement of promoter activity

To study the effects of doxycycline on the expression of various promoters, a library of *E.coli* MG1655 reporter strains was used in which a fast-folding GFP is fused to a full-length copy of the *E.coli* promoter in a low-copy plasmid [335]. Upon receipt of the reporter library from 'Horizon Discovery', all strains were stored at -80 °C in 96-well microplates.

The GFP reporter strains selected for this work (shown in table 1) were initially 387 grown for 24 hours in 10ml M9CAA (30°C, 160rpm) in order to revive the cells from 3872 the frozen cultures. These cultures were then used to inoculate 150 µl of drug-free 3873 M9CAA or M9CAA containing 0.4mg/l doxycycline (preformed in triplicate). OD 3874 measurements (600nm) were taken every 20 minutes, alongside measurements of 3875 GFP fluorescence (485/520nm) in a Tecan Infinite 200 Pro. The GFP measurements 3876 were normalised to the respective OD readings for each strain to obtain GFP/OD, 387 and the maximal GFP expression was defined as the maximum level of GFP 3878 fluorescence obtained per cell (GFP/OD). Background fluorescence was subtracted 3879 using the GFP measurements from a reporter strain with a promoterless vector 3880 (pUA66), which was supplied with the reporter library. 3881

3882

#### **8.14** Growth of the keio library

The keio collection is a library of 3,985 *E.coli* BW25113 strains, each with an individual, non-essential gene knocked out. It is an invaluable resource to test for the effects of gene knockouts on growth within different conditions. Upon receipt of the keio library from 'Horizon Discovery', the strains were stored at -80 °C in 96-well microplates.

In order to measure the differences in phenotype between the keio strains in the presence and absence of doxycycline, the keio strains were first transferred with a custom 3D-printed 96-pin replicator into 96-well microplates containing 150 μl of

M9CAA within each well. These cultures were then incubated for 24 hours at 30 ℃, 3892 shaking at 160rpm. The cultures were then transferred using a 96-pin replicator 3893 into either a 96-well microplate containing M9CAA or a 96-well microplate containing 3894 M9CAA plus 0.4mg/l doxycycline. In order to prevent the evaporation of media dur-3895 ing prolonged periods of incubation, 'reservoir plates' were used - these are 96-well 3896 microplates surrounded by a reservoir that is then filled with 3ml of soft agar. The 389 cultures were then incubated at 30°C in Tecan Spark microplate readers, with cell 3898 density measured every 20 minutes for 72 hours as OD(600nm). In total, the growth 3899 of 1,266 strains was measured in the presence and absence of 0.4mg/l doxycycline, 3900 generating 2,532 growth curves. 390

Data analysis was carried out in MATLAB. Briefly, the dimensionally of the data was 3902 reduced using principle component analysis (pca in MATLAB). For cluster analysis, 3903 K-means clustering was applied in MATLAB, and the optimal number of clusters for 3904 k-means analysis was calculated using 'evalclusters', again in MATLAB. As an ad-3905 ditional clustering analysis, hierarchal clustering was performed in MATLAB using 3906 'clustergram' to generate a heatmap with dendrograms. The COG terms were as-390 signed to each gene using a custom python script, and the significance of COG 3908 enrichment was tested for in MATLAB using a fishers exact test (fishertest). 3909

## **METHODS** - CHAPTERS 5 & 6

#### **9.1** Selection of clinical isolates and ethics

The aim of this work was to monitor the genomic and phenotypic variations in a 3913 clinical pathogen during a long period of treatment. As such, certain requirements 3914 were put in place to obtain appropriate isolates. The patient samples were selected 3915 based on the duration of infection, the number of isolates available from a single 3916 patient, and finally confirmation of a multidrug-resistant infection. Ethical clearance 3917 was obtained for the use of the samples via a verbal consent given to the patients 3918 clinician (Dr Fergus Hamilton). No further ethical clearance was required as only 3919 stored bacterial isolates were acquired (not direct patient samples possibly contain-3920 ing human DNA), and no identifying patient data was obtained. In addition, this was 392 a retrospective study, and as such samples were already stored and available rather 3922 than being acquired specifically for the purpose of this study. 3923

3924

<sup>3925</sup> The following patient isolates were selected based on our criteria:

- *K.pneumoniae* isolates (x6) spanning 18 months from a female patient. The infection was acquired in India following a hip replacement and later found to be carbapenem-resistant. The isolates were acquired from the patient and stored in Aug '18, Sept '18, Oct '18, Jan '19, March '19 and May '19.
- 2. *E.coli* isolates (x6) spanning 26 months from an elderly male patient with
   cholangitis. The isolates were acquired from the patient and stored on day
   1, 11 months, 23 months, 24 months, 26 months and finally 26 months and 2
   days into the infection. This set of samples was of particular interest due to the
   repeated treatment failure, as well as the reported presence of ESBL genes.

The samples were received on agar slopes and immediately stored at 4 °C. A small amount of each sample was inoculated into 10ml of M9CAA (M9 supplemented with 0.2% glucose and 0.1% casamino acids) and 10ml of LB using an inoculation loop and incubated at 30 °C, shaken at 160rpm for 24 hours. 1ml of each culture was frozen in 80% glycerol (Fisher Scientific) and stored at -80 °C in triplicate to preserve the samples for future use.

#### **9.2** Automated antibiotic susceptibility testing

Automated antibiotic susceptibility testing was carried out on every isolate using the Vitek 2 automated system (bioMérieux, Marcy-létoile, France) in the clinical laboratory based at Southmead Hospital, Bristol. In addition, data obtained through disk diffusion assays was also acquired.

In addition to the AMR profiles generated by the Vitek 2, antibiotic susceptibility tests were also carried out through the broth micro-dilution method. First, antibiotic stock solutions of gentamicin (Melford), meropenem (Abcam) and ciprofloxacin (Sigma-Aldrich) were prepared according to manufactures instructions, in the solvents and concentrations displayed in table 23. All antibiotic stock solutions were filter sterilised using a 0.22  $\mu$ m filter and then stored in 1ml aliquots at -20°C prior to use.

3953

Antibiotic	Solvent	Stock solution	Storage temperature
Meropenem	DMSO	10mg/ml	-20°C
Ciprofloxacin	0.1N HCI	10mg/ml	-20°C
Gentamicin	DI water	10mg/ml	-20°C

Table 23: Antibiotic stock solutions.

<sup>3954</sup> Further antibiotic dilutions were made in the media to be used for growth, that is <sup>3955</sup> M9CAA unless otherwise stated. For the AST testing of the six *K.pneumoniae* 

isolates, dilutions of gentamicin (1, 16 and 32mg/l) and meropenem (0.5, 1 and 3956 16mg/l) were prepared, and for the six *E.coli* isolates, dilutions of gentamicin (0.5, 395 8 and 16mg/l) and ciprofloxacin (0.1 and 4mg/l) were prepared in 10ml M9CAA. 3958 150 µl of each antibiotic dilution inoculated with K.pneumoniae or E.coli was then 3959 transferred to a 96-well microplate in triplicate, as well as three drug-free control 3960 controls of each isolate. The microplates were incubated at 30°C, shaking at 160rpm 396 and optical density (OD) readings were taken every 20 minutes for 24 hours in a 3962 Tecan Spark microplate reader. 3963

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#### 3965 9.3 DNA extraction

Four *K.pneumoniae* isolates (the isolates obtained in August 2018, October 2018, 3966 January 2019 and May 2019) and four E.coli isolates (the isolates obtained on 396 day one, month 11, month 23 and month 26 of infection) were selected for DNA 3968 sequencing. The remaining isolates are currently awaiting sequencing. All of the 3969 isolates were inoculated into 10ml M9CAA in triplicate using an inoculation loop 3970 directly from the original agar slope. The original agar slope was used in order to 397 reduce the number of culturing steps as this could impact genomic modifications. All 3972 cultures were grown for 24 hours at 30°C, shaken at 160rpm. 3973

The 'GeneJet DNA purification kit' (Thermo Fisher Scientific) was used to extract 3974 DNA from the bacterial pellets that had been stored at -80 °C as per the manufactur-3975 ers instructions using a silica-based spin column method. Alterations to the standard 3976 protocol included an extended incubation time at 56°C to allow for complete cell 3977 lysis, and an additional final elution step to maximise the DNA yield. The DNA was 3978 run on a 1% agarose gel to check that the DNA wasn't heavily fragmented, and to 3979 check for protein contamination which would appear as a smear on the gel. The 3980 nano drop system was also used to check DNA purity. 398

<sup>3982</sup> The DNA was then quantified with the Qubit system, using the 'Qubit high sensitivity

<sup>3983</sup> (HS) assay' kit (Thermo Fisher Scientific) to ensure that the DNA yield was sufficient <sup>3984</sup> for sequencing. To concentrate the DNA, the samples were then spun on a vacuum <sup>3985</sup> centrifuge (SpeedVac) at 50 °C until the sample was almost entirely evaporated, <sup>3986</sup> followed by the addition of elution buffer to make the volume up to 10  $\mu$ l. The DNA <sup>3987</sup> was then stored at 4 °C in preparation for sequencing. The final concentration of <sup>3988</sup> DNA samples for the *K.pneumoniae* and *E.coli* isolates are displayed in tables 24 <sup>3989</sup> and 25.

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Time point	Replicate	Volume (µl)	Concentration (ng/µl)
August 2018	1	10	223
August 2018	2	10	310
August 2018	3	10	326
October 2018	1	10	189
October 2018	2	10	192
October 2018	3	10	155
January 2019	1	10	312
January 2019	2	10	334
January 2019	3	10	192
May 2019	1	10	260
May 2019	2	10	219
May 2019	3	10	316

Table 24: Concentration and volume of DNA used for sequencing - K.pneumoniae isolates.

Time point	Replicate	Volume (µl)	Concentration (ng/µl)
Day one	1	10	319
Day one	2	10	270
Day one	3	10	236
11 months	1	10	148
11 months	2	10	185
11 months	3	10	216
23 months	1	10	115
23 months	2	10	134
23 months	3	10	123
26 months	1	10	261
26 months	2	10	244
26 months	3	10	222

Table 25: Concentration and volume of DNA used for sequencing - E.coli isolates.

#### 3991 9.4 Library preparation

All of the isolates were to be sequenced using Oxford Nanopore sequencing plat-3992 forms. The K.pneumoniae isolates were sequenced using the Oxford Nanopore 3993 GridION and the *E. coli* isolates were sequenced using the Oxford Nanopore MinION. 3994 For all of the isolates, the the rapid barcoding kit (Oxford Nanopore - SQK-RBK004) 3995 was used for library preparation as this allowed 12 samples to be multiplexed onto 3996 one flow cell. The kit contains a transposase which cleaves template molecules and 399 attaches a unique barcoded tag to each sample. The different barcoded samples 3998 can then be pooled and reads can be separated using MinKNOW software during 3999 sequencing. FLO-PRO002 flow cells were used for all sequencing runs. 4000

#### 4001 9.5 Nanopore sequencing

The Oxford Nanopore GridION platform was used for the sequencing of the *K.pneumoniae* isolates through the University of Exeter sequencing service. The samples were sequenced for a total of 2 days and 15 hours using MinKNOW with high accuracy base calling. The resulting fast5 files were base called using Guppy v3.0.0 and barcodes were removed automatically. This resulted in 22.97Gb of data (3.85 million reads), with an average read length of 9.18Kb.

The Oxford Nanopore MinION platform was used for the sequencing of the *E.coli* isolates (carried out by Emily Wood). The samples were sequenced for 1 day and 12 hours using MinKNOW with high accuracy base calling. The resulting fast5 files were base called using Guppy v3.0.0 and barcodes were removed automatically. This resulted in 8.17Gb of data, equating to a total of 1.24 million reads, with an average read length of 9.22Kb. Reads were automatically de-barcoded in MinKNOW.

#### **4015** 9.6 Genome assembly and annotation

FastQC (v0.11) was used to assess multiple quality control parameters such as read
quality and GC content. Low quality reads (with a read quality below 20) were then
trimmed using Cutadapt (v2.10) [331]).

The reads were assembled using both Canu v2.1.1 [336] and Flye v2.8.3 assemblers [234], with the Flye assemblies used for further downstream analysis as highly contiguous assemblies were produced. This was followed by multiple rounds of polishing with Racon v1.4.2 [337] until the assemblies could no longer be improved. Next, the genomes were annotated using both the 'Rapid Annotation using Subsystem Technology' (RAST) [338] and Prokka v1.13.4 [339].

The contigs were confirmed as being either chromosomal or plasmid using the online service mlplasmid [235], which consists of binary classifiers, outputting the probability of a contig being chromosomal or plasmid. The plasmid replicons were then confirmed using PlasmidFinder [236] which uses BLAST to compare inputted sequences to existing databases to identify replicons.

The multilocus sequence type (MLST) of the K.pneumoniae iso-4030 lates were determined using the Klebsiella sequence typing tool 403 (http://bigsdb.pasteur.fr/klebsiella/klebsiella.html). For the E.coli 4032 isolates, MLST typing was performed using MLST v.2.0 [297] and serotyping was 4033 carried out using SerotypeFinder v2.0 with standard settings [296]. 4034

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#### **9.7** AMR and virulence gene detection

The genomes were assessed for the presence of AMR genes (both chromosomal point mutations and acquired antimicrobial resistance genes) through the use of the online tool ResFinder v4.1, using the standard settings (90% threshold, 60% minimum length) [243]. ResFinder compares the inputted sequence files against databases of known AMR genes, using BLAST to generate a list of identified AMR

genes and point mutations previously found to result in resistance. Virulence genes
were identified in a similar manner using VirulenceFinder v2.0 [340] with standard
settings (90% threshold, 60% minimum length).

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#### **9.8** Variant calling and comparative analysis

In order to identify genomics modifications and how they change over time, se-4047 quences are first mapped to the earliest available isolate (assembled genome) using 4048 burrows-wheeler aligner (BWA) [332] with standard parameters. Alignments were 4049 sorted into genomic position and indexed using Samtools. Structural variants were 4050 called using Sniffles v1.0.7 [265], a structural variant identification tool to be used 4051 specifically with third generation sequencing (PacBio and Nanopore). Genome cov-4052 erage was assessed using bedtools coverage (v 2.24.0) [341]. Direct comparisons 4053 were made between both chromosomes and plasmids using EasyFig v2.2.2 [342], 4054 a genome visualiser that produces BLAST comparisons of two or more genomic re-4055 gions. A combination of MATLAB and python were used for the downstream analysis. 4056 4057

**SUPPLEMENTARY DATA** 

**10.1** Supplementary data for Chapter 2



Figure S1: Dose responses of *E.coli* MG1655 against a concentration gradient of a) doxycycline, b) erythromycin, c) rifampicin and d) penicillin. n=3.



Figure S2: Raw growth curves for *E.coli* cultures exposed to a range of doxycycline concentrations over 72 hours. Higher doses of doxycycline lead to an increase in population density relative to the drug-free control over time.



Figure S3: The raw, blank corrected growth data for populations of *E.coli* grown in varying concentrations of doxycycline (as shown by different coloured lines), across different glucose concentrations. n=3.



Figure S4: No overgrowth is observed with doxycycline when *E.coli* is grown in LB media.



Figure S5: Standard curve for glucose concentration using a colorimetric glucose assay. Absorbance at 570nm was measured for known glucose concentrations (n=3). A linear regression was fitted to the points in order to extrapolate future glucose concentrations.



Figure S6: The growth over 72 hours of *E.coli* gfp-promoter strains (measured as OD600nm) shown on the left y axis, alongside the level of gfp expression shown on the right y axis. These strains have tagged genes involved in glycolysis. The growth of both drug-free and doxycycline-exposed cultures is shown. A relatively large amount of noise is measured at very low fluorescence measurements, for example with pfkA in drug-free conditions. n=3.



Figure S7: The growth over 72 hours of *E.coli* gfp-promoter strains (measured as OD600nm) shown on the left y axis, alongside the level of gfp expression shown on the right y axis. These strains have tagged genes involved in the TCA cycle. The growth of both drug-free and doxycycline-exposed cultures is shown. n=3.


Figure S8: The growth over 72 hours of *E.coli* gfp-promoter strains (measured as OD600nm) shown on the left y axis, alongside the level of gfp expression shown on the right y axis. These strains have tagged genes involved in acetate biosynthesis/metabolism. The growth of both drug-free and doxycycline-exposed cultures is shown. n=3.



Figure S9: The growth over 72 hours of *E.coli* gfp-promoter strains (measured as OD600nm) shown on the left y axis, alongside the level of gfp expression shown on the right y axis. These strains have tagged genes involved in glucose transport. The growth of both drug-free and doxycycline-exposed cultures is shown. n=3.



#### **10.2** Supplementary data for Chapter 3

Figure S10: The growth dynamics of the *rrn* knockout strains over 14 days was measured through CFU counts at various time points. The mean is shown as a solid line, with  $\pm$  estimated 95% confidence intervals (CI, 3 replicates) shown as dashed lines.



Figure S11: The optimality of *rrn* operon copy number changes over time. Unnormalised cell viability (y axis) is shown as a function of *rrn* operon number (x axis), with different subplots showing different time points. We observe a shift in the optimal *rrn* copy number to strains with fewer *rrn* operons as time progresses. Both linear and quadratic regressions were calculated, with the best fit according to the  $R^2$  value shown. Regressions are shown as solid lines, with  $\pm$  estimated 95% confidence intervals (CI, 3 replicates) shown as dashed lines.

#### **10.3** Supplementary data for Chapter 4



Figure S12: Dose response of *E.coli* BW2513 exposed to a dilution series of doxycycline.



Figure S13: A scatterplot comparing the fitness scores obtained by Nichols et al. [178] for each of the keio strains in 0.25, 0.5, 0.75 and 1mg/l doxycycline against the data obtained here for K (0.4mg/l doxycycline relative to drug-free). Note the poor correlation (as shown by the correlation coefficient, or 'r' value) between K values obtained in this study and the fitness scores for each concentration of doxycycline tested by Nichols et al. It is possible that this lack of correlation is due to the different methods utilised to obtain the data, such as the use of colony size versus OD as a measure of growth, as well as the different media used.



Figure S14: The percentage of knockout genes assinged to a specific COG term from the keio strains utiliised in this study.



Figure S15: Growth profiles for 96 strains of the E.coli keio collection isolates 1-96



Figure S16: Growth profiles for 96 strains of the E.coli keio collection isolates 97-192



Figure S17: Growth profiles for 96 strains of the E.coli keio collection isolates 193-288



Figure S18: Growth profiles for 96 strains of the E.coli keio collection isolates 289-384



Figure S19: Growth profiles for 96 strains of the E.coli keio collection isolates 385-480



Figure S20: Growth profiles for 96 strains of the E.coli keio collection isolates 481-576



Figure S21: Growth profiles for 96 strains of the E.coli keio collection isolates 577-672



Figure S22: Growth profiles for 96 strains of the E.coli keio collection isolates 673-768



Figure S23: Growth profiles for 96 strains of the E.coli keio collection isolates 769-864



Figure S24: Growth profiles for 96 strains of the E.coli keio collection isolates 865-960



Figure S25: Growth profiles for 96 strains of the E.coli keio collection isolates 961-1,056



Figure S26: Growth profiles for 96 strains of the E.coli keio collection isolates 1,057-1,152



Figure S27: Growth profiles for 96 strains of the E.coli keio collection isolates 1,153-1,248



Drug-free 0.4mg/l Doxycycline

Figure S28: Growth profiles for 17 strains of the E.coli keio collection isolates 1,249-1,266

Gene	Function
$\Delta$ yafN	Uncharacterised protein
$\Delta$ yghW	Uncharacterised protein
$\Delta$ ygiV	Probable transcriptional regulator YgiV
$\Delta$ ygic	Putative acid-amine ligase YgiC
$\Delta$ ygjK	Glucosidase YgjK
$\Delta$ ymjB	Uncharacterised protein
$\Delta \; \mathrm{dppA}$	Periplasmic dipeptide transport protein
$\Delta$ yghT	Uncharacterized ATP-binding protein YghT
$\Delta$ yqiA	Esterase YqiA
$\Delta$ ygiH	Probable glycerol-3-phosphate acyltransferase
$\Delta$ ygjk	Glucosidase YgjK
$\Delta \operatorname{priA}$	Primosomal protein N
$\Delta \ {\rm guaB}$	Inosine-5-monophosphate dehydrogenase
$\Delta \; \mathrm{udk}$	Uridine kinase
$\Delta \; \mathrm{dpbA}$	ATP-dependent RNA helices DdpA
$\Delta ~ \mathrm{gcd}$	Quinoprotein glucose dehydrogenase
$\Delta \; ompC$	Outer membrane porin C precursor
$\Delta ~ {\rm cysG}$	Siroheme synthase
$\Delta \ \mathrm{ycgX}$	Uncharacterised protein
$\Delta \operatorname{polA}$	DNA polymerase I
$\Delta \; {\rm iscC}$	Thiamine biosynthesis
$\Delta$ yhdZ	ATP-binding cassette domain-containing protein
$\Delta$ nikR	DNA-binding transcriptional repressor

Table S1: Keio gene knockout strains that failed to grow in either doxycycline or drug-free media.

Gene	Function	Gene	Function
$\Delta \operatorname{cit} A$	Histidine kinase	$\Delta \text{ trpL}$	trp operon leader peptide
$\Delta \ {\sf PhoP}$	Transcriptional regulatory protein PhoP	$\Delta$ ntgA	Uncharacterised protein
$\Delta \text{ ppdD}$	PpdD protein	$\Delta$ psiF	Phosphate starvation-inducible protein PsiF
$\Delta \ \mathrm{rseA}$	Anti-sigma-E factor RseA	$\Delta \text{ tolB}$	Tol-Pal system protein TolB precurser
$\Delta \; \text{lamB}$	Maltoporin precursor	$\Delta \; \mathrm{ycdN}$	Putative inactive ferrous iron permease EfeU
$\Delta$ yeiE	Uncharacterised protein	$\Delta$ puuA	Gamma-glutamylputrescine synthetase
$\Delta$ yqcB	tRNA pseudouridine synthase C	$\Delta$ yjiQ	Putative inactive recombination-promoting nuclease-like protein YjiQ
$\Delta \text{ ompA}$	Outer membrane protein A	$\Delta$ paaH	3-hydroxyadipyl-CoA dehydrogenase
$\Delta$ pal	Peptidoglycan-associated lipoprotein	$\Delta$ tnaC	Tryptophanase operon leader peptide
$\Delta$ yqeF	Uncharacterised protein	$\Delta \; \mathrm{mdlB}$	Multidrug resistance-like ATP-binding protein MdlB
$\Delta$ ydcY	Pyruvate formate-lyase 1-activating enzyme	$\Delta$ yddA	ABC transporter ATP-binding protein
$\Delta$ ychQ	Predicted transcriptional regulator	$\Delta$ yobH	Uncharacterised protein
$\Delta \ {\rm ybbV}$	Uncharacterized protein	$\Delta$ hcr	HCP oxidoreductase
$\Delta$ ydaS	Uncharacterized protein	$\Delta  plsX$	Phosphate acyltransferase
$\Delta$ yhdV	Uncharacterised protein	$\Delta$ sieB	Superinfection exclusion protein B
$\Delta$ yhfX	Uncharacterised protein	$\Delta$ rhoL	rho operon leader peptide
$\Delta$ yrbC	Uncharacterised protein	$\Delta$ yhdX	Uncharacterised protein
$\Delta$ yrbD	Intermembrane phospholipid transport system binding protein MlaD	$\Delta  \mathrm{dmsA}$	Dimethyl sulfoxide reductase DmsA
$\Delta$ yrbE	Intermembrane phospholipid transport system permease protein MIaE	$\Delta$ ynfO	Uncharacterized protein
∆ yjjK	Energy-dependent translational throttle protein EttA	$\Delta$ fliE	Flagellar hook-basal body complex protein FliE
$\Delta$ yhhK	PanD regulatory factor	$\Delta$ mltD	Membrane-bound lytic murein transglycosylase D
∆ ruvA	Holiday junction ATP-dependent DNA helicase	$\Delta$ hokB	Toxic protein HokB
$\Delta  \mathrm{mrr}$	Mrr restriction system protein	$\Delta$ ynfN	Uncharacterized protein
$\Delta$ yjjY	Uncharacterized protein	$\Delta$ yedO	Uncharacterised protein
$\Delta \text{ mfD}$	Transcriptional repair coupling factor	∆ nmpC	Putative outer membrane porin protein NmpC
$\Delta$ ruvC	Crossover junction endodeoxyribonuclease	∆ vcbQ	Fimbrial subunit ElfA
$\Delta$ dnaT	Primosomal protein 1	∆ vncK	IS609 transposase
$\Delta$ nudB	Dihydroneopterin triphosphate	$\Delta$ intG	Uncharacterised protein
$\Delta$ uvrA	UvrABC system protein A	$\Delta$ rcdC	Uncharacterised protein
$\Delta$ holD	DNA polymerase III subunit psi	$\Delta$ icdC	Uncharacterised protein
$\Delta$ dcm	tRNA sulfurtransferase	$\Delta$ vmbA	Uncharacterised protein
$\Delta$ nhaA	Na(+)/H(+) antiporter NhaA	$\Delta \text{ ycdZ}$	Inner membrane protein YcdZ
$\Delta$ fhuC	Iron(3+)-hvdroxamate import ATP-binding protein	∆ vciO	Uncharacterised protein
$\Delta$ holC	DNA polymerase III subunit chi	$\Delta \text{ vmdC}$	Cardiolipin synthase C
$\Delta$ panE	2-dehvdropantoate 2-reductase	∆ vciT	Kojibiose phosphorylase
$\Delta$ galP	Galactose-proton symporter	∆ vceK	Uncharacterized protein
∆ phnE	Putative cryptic phosphonate transport system permease protein PhnE2	∆ vmfA	Inner membrane protein YmfA
∆ tfaE	Predicted tail fibre assembly protein	$\Delta$ ymaG	UPF0757 protein YmaG
$\Delta$ vaaN	Uncharacterized protein	∆ vbfG	Uncharacterized protein
∆ garP	Probable galactarate transporter	∆ vbiN	Bibosomal BNA large subunit methyltransferase F
∆ agaW	PTS-system	$\Delta$ ycal	Uncharacterized protein
∆ asnB	Asparagine synthetase B	∆ ymcD	Threonine-rich inner membrane protein GfcA
∆ ybeZ	Endoribonuclease YbeY	∆ ybfH	Uncharacterized transporter YbhF
∆ intQ	Putative defective protein IntQ	∆ yebS	Intermembrane transport protein YebS
∆ thiD	Hydoxymethlypyrimidine/phosphomethylpyrimidine kinase	∆ rhsC	Protein RhsC
∆ hcn	Hydroxylamine reductase	∆ metl	D-methionine transport system permease protein
∆ arpB	Uncharacterised protein	ou ∆ mktT	Uncharacterised protein
∆ citF	Citrate Ivase alpha chain	∆ ant	Adenine phosphoribosyltransferase
∆ tonB	Protein TonB	∆ purC	Phosphoribosylaminoimidazole-succinocarboxamide synthese
∆ vncN	Uncharacterized protein	∆ acrA	Multidrug efflux pump subunit AcrA
∆ vnfE		∆ kdnR	Potassium-transporting ATPase ATP-binding subunit
∆ zan∆	Cell division protein ZapA		Glutathione transport system permease protein GsiD
∆ zapA	Uncharacterised protein	∆ menV	PTS system mannose-specific FIIC component
	Glutamata/aspartate import solute-binding protoin	∆ intD	Prophage DLP12 integrace
∆ yru ∆ yrci¥	Uncharacterized protein		
		A br	
			2.keto-4-pentenoate hydrotoco
△ ansP	L-asparagine permease	⊿ mnpD	z-retu-+-pentenoate nyuratase

Table S2: Keio gene knockout strains that only grew in drug-free media, not in the presence

of doxycycline.

Genes	Functions
$\Delta$ nagC	N-acetylglucosamine repressor
$\Delta$ adiY	HTH-type transcriptional regulator AdiY
$\Delta \operatorname{soxS}$	Regulatory protein SoxS
$\Delta$ xapR	HTH-type transcriptional regulator XapR
$\Delta$ perR	HTH-type transcriptional regulator PerR
$\Delta$ purR	HTH-type transcriptional repressor PurR
$\Delta$ yceH	Uncharacterised protein
$\Delta \ {\sf sod}{\sf B}$	Superoxide dismutase [Fe]
$\Delta$ ydjO	Uncharacterised protein
$\Delta$ ygfZ	tRNA-modifying protein
$\Delta$ damX	Cell division protein DamX
$\Delta$ betT	High-affinity choline transport protein
$\Delta$ hofB	Protein transport protein HofB homolog
$\Delta \operatorname{codB}$	Cytosine permease
$\Delta$ ygfK	Uncharacterised protein
$\Delta$ ygfB	Uncharacterised protein
$\Delta$ ycbX	Sulfite reductase [NADPH] flavoprotein alpha-component
$\Delta$ yedS	Putative outer membrane protein
$\Delta$ narK	Nitrate/nitrite transporter NarK

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Table S3: Keio gene knockout strains that grew only in media containing doxycycine, but not in drug-free media.



Figure S29: Replicates of keio strains found to be hypersensitive to doxycycline relative to drug-free conditions. n=3



Figure S30: Replicates of keio super strains - strains found to have a shorter lag and also larger K with doxycycline relative to drug-free conditions. n=3.



Figure S31: Replicates of keio strains that only grow in the presence of doxycycline. n=3



### **10.4** Supplementary data for Chapter 5

Figure S32: The growth of the six *K.pneumoniae* isolates in response to Gentamcin over 24 hours. Every strain was found to grow up to 16mg/l of gentamicin, in disagreement with the clinical data. Growth was measured as  $OD_{600nm}$ . *n*=3



Figure S33: The growth of the six *K.pneumoniae* isolates in response to Meropenem over 24 hours. Whilst the isolates form August 2018, January 2019 and May 2019 all grow up to 1mg/l meropenem, the isolates from September 2018, October 2018 and March 2019 were only able to grow in drug-free conditions. In the strains that grow in meropenem, lag time is extended relative to the drug-free populations, but the final population density is not reduced. Growth was measured as  $OD_{600nm}$ . *n=3* 



Figure S34: The distribution of read lengths (a) and changes in Qscore (b) from a 40 hour GridION sequence run with 12 multiplexed *K.pneumoniae* samples.



Figure S35: Histograms showing the distribution of coverage across genomic locations in the isolates KP1 (a), KP2 (b), KP3 (c) and KP4 (d). Obtained using qualimap.



Figure S36: Histograms showing the distribution of GC content across all mapped reads in the isolates KP1 (a), KP2 (b), KP3 (c) and KP4 (d). Obtained using qualimap.

Antimicrobial	Class	WGS predicted phenotype	Genetic background
ciprofloxacin	fluoroquinolone	Resistant	oqxB oqxA aac(6)-Ib-cr
nalidixic acid	fluoroquinolone	Resistant	oqxB oqxA
chloramphenicol	phenicol	Resistant	catB3 catA1
netilmicin	aminoglycoside	Resistant	armA aac(6)-lb-cr
dibekacin	aminoglycoside	Resistant	aac(6)-lb-cr
sisomicin	aminoglycoside	Resistant	aac(6)-lb-cr
isepamicin	aminoglycoside	Resistant	armA
streptomycin	aminoglycoside	Resistant	aadA2
tobramycin	aminoglycoside	Resistant	armA aac(6)-lb-cr
amikacin	aminoglycoside	Resistant	armA aac(6)-lb-cr
gentamicin	aminoglycoside	Resistant	armA
erythromycin	macrolide	Resistant	mph(E) msr(E)
azithromycin	macrolide	Resistant	msr(E)
sulfamethoxazole	folate pathway antagonist	Resistant	sul1
trimethoprim	folate pathway antagonist	Resistant	dfrA14 dfrA1 dfrA12
pristinamycin ia	streptogramin b	Resistant	msr(E)
virginiamycin s	streptogramin b	Resistant	msr(E)
quinupristin	streptogramin b	Resistant	msr(E)
tetracycline	tetracycline	Resistant	tet(D)
doxycycline	tetracycline	Resistant	tet(D)
ceftazidime	beta-lactam	Resistant	blaCTX-M-15 blaSHV-106
ampicillin	beta-lactam	Resistant	blaOXA-1 blaTEM-1A blaCTX-M-15 blaSHV-106
cephalothin	beta-lactam	Resistant	blaTEM-1A
amoxicillin	beta-lactam	Resistant	blaOXA-1 blaTEM-1A blaCTX-M-15 blaSHV-106
piperacillin	beta-lactam	Resistant	blaOXA-1 blaTEM-1A blaCTX-M-15 blaSHV-106
aztreonam	beta-lactam	Resistant	blaCTX-M-15 blaSHV-106
cefotaxime	beta-lactam	Resistant	blaCTX-M-15 blaSHV-106
cefepime	beta-lactam	Resistant	blaOXA-1blaCTX-M-15 blaSHV-106
amoxicillin+clavulanic acid	beta-lactam	Resistant	blaOXA-1
ticarcillin	beta-lactam	Resistant	blaTEM-1A blaCTX-M-15 blaSHV-106
ceftriaxone	beta-lactam	Resistant	blaCTX-M-15 blaSHV-106
piperacillin+tazobactam	beta-lactam	Resistant	blaOXA-1
fosfomycin	fosfomycin	Resistant	fosA
spectinomycin	aminocyclitol	Resistant	aadA2
cephalosporins	under_development	Resistant	ompK36 (p.L191S)
fluoroquinolone	under_development	Resistant	aac(6)-Ib-cr
carbapenem	under_development	Resistant	ompK36

Table S4: KP1 (August 2018) - Resistance phenotypes predicted from whole genome se-

quence data by ResFinder.

Antimicrobial	Class	WGS predicted phenotype	Genetic background
ciprofloxacin	fluoroquinolone	Resistant	aac(6)-Ib-cr oqxB oqxA
nalidixic acid	fluoroquinolone	Resistant	oqxB oqxA
chloramphenicol	phenicol	Resistant	catB3 catA1
netilmicin	aminoglycoside	Resistant	armA aac(6)-lb-cr
dibekacin	aminoglycoside	Resistant	aac(6)-Ib-cr
sisomicin	aminoglycoside	Resistant	aac(6)-Ib-cr
isepamicin	aminoglycoside	Resistant	armA
streptomycin	aminoglycoside	Resistant	aadA2
tobramycin	aminoglycoside	Resistant	armA aac(6)-lb-cr
amikacin	aminoglycoside	Resistant	armA aac(6')-lb-cr
gentamicin	aminoglycoside	Resistant	armA
erythromycin	macrolide	Resistant	msr(E) mph(E)
azithromycin	macrolide	Resistant	msr(E)
sulfamethoxazole	folate pathway antagonist	Resistant	sul1
trimethoprim	folate pathway antagonist	Resistant	dfrA12 dfrA14 dfrA1
pristinamycin ia	streptogramin b	Resistant	msr(E)
virginiamycin s	streptogramin b	Resistant	msr(E)
quinupristin	streptogramin b	Resistant	msr(E)
tetracycline	tetracycline	Resistant	tet(D)
doxycycline	tetracycline	Resistant	tet(D)
ceftazidime	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
ampicillin	beta-lactam	Resistant	blaOXA-1 blaTEM-1A blaSHV-106 blaCTX-M-15
cephalothin	beta-lactam	Resistant	blaTEM-1A
amoxicillin	beta-lactam	Resistant	blaOXA-1 blaTEM-1A blaSHV-106 blaCTX-M-15
piperacillin	beta-lactam	Resistant	blaOXA-1 blaTEM-1A blaSHV-106 blaCTX-M-15
aztreonam	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
cefotaxime	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
cefepime	beta-lactam	Resistant	blaOXA-1 blaSHV-106 blaCTX-M-15
amoxicillin+clavulanic acid	beta-lactam	Resistant	blaOXA-1
ticarcillin	beta-lactam	Resistant	blaTEM-1A blaSHV-106 blaCTX-M-15
ceftriaxone	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
piperacillin+tazobactam	beta-lactam	Resistant	blaOXA-1
fosfomycin	fosfomycin	Resistant	fosA
spectinomycin	aminocyclitol	Resistant	aadA2
cephalosporins	under_development	Resistant	ompK36
fluoroquinolone	under_development	Resistant	aac(6)-Ib-cr
carbapenem	under_development	Resistant	ompK36 ompK37

Table S5: **KP2 (Oct 2018)** - Resistance phenotypes predicted from whole genome sequence data by ResFinder.

Antimicrobial	Class	WGS-predicted phenotype	Genetic background
ciprofloxacin	fluoroquinolone	Resistant	oqxA oqxB aac(6)-lb-cr
nalidixic acid	fluoroquinolone	Resistant	oqxA oqxB
chloramphenicol	phenicol	Resistant	catB3
netilmicin	aminoglycoside	Resistant	armA aac(6)-lb-cr
dibekacin	aminoglycoside	Resistant	aac(6)-lb-cr
sisomicin	aminoglycoside	Resistant	aac(6)-lb-cr
isepamicin	aminoglycoside	Resistant	armA
streptomycin	aminoglycoside	Resistant	aadA2
tobramycin	aminoglycoside	Resistant	armA aac(6)-lb-cr
amikacin	aminoglycoside	Resistant	armA aac(6)-lb-cr
gentamicin	aminoglycoside	Resistant	armA
erythromycin	macrolide	Resistant	msr(E) mph(E)
azithromycin	macrolide	Resistant	msr(E)
sulfamethoxazole	folate pathway antagonist	Resistant	sul1
trimethoprim	folate pathway antagonist	Resistant	dfrA1 dfrA12 dfrA14
pristinamycin ia	streptogramin b	Resistant	msr(E)
virginiamycin s	streptogramin b	Resistant	msr(E)
quinupristin	streptogramin b	Resistant	msr(E)
tetracycline	tetracycline	Resistant	tet(D)
doxycycline	tetracycline	Resistant	tet(D)
ceftazidime	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
ampicillin	beta-lactam	Resistant	blaTEM-1A blaSHV-106 blaOXA-1 blaCTX-M-15
cephalothin	beta-lactam	Resistant	blaTEM-1A
amoxicillin	beta-lactam	Resistant	blaTEM-1A blaSHV-106 blaOXA-1 blaCTX-M-15
piperacillin	beta-lactam	Resistant	blaTEM-1A blaSHV-106 blaOXA-1 blaCTX-M-15
aztreonam	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
cefotaxime	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
cefepime	beta-lactam	Resistant	blaSHV-106 blaOXA-1 blaCTX-M-15
amoxicillin+clavulanic acid	beta-lactam	Resistant	blaOXA-1
ticarcillin	beta-lactam	Resistant	blaTEM-1A blaSHV-106 blaCTX-M-15
ceftriaxone	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
piperacillin+tazobactam	beta-lactam	Resistant	blaOXA-1
fosfomycin	fosfomycin	Resistant	fosA
spectinomycin	aminocyclitol	Resistant	aadA2
cephalosporins	under_development	Resistant	ompK36
fluoroquinolone	under_development	Resistant	aac(6)-Ib-cr
carbapenem	under_development	Resistant	ompK37 ompK36

Table S6: KP3 (Jan 2019) - Resistance phenotypes predicted from whole genome sequence

data by ResFinder.

Antimicrobial	Class	WGS-predicted phenotype	Genetic background
ciprofloxacin	fluoroquinolone	Resistant	aac(6)-Ib-cr oqxB oqxA
nalidixic acid	fluoroquinolone	Resistant	oqxB oqxA
chloramphenicol	phenicol	Resistant	catB3
netilmicin	aminoglycoside	Resistant	aac(6)-Ib-cr armA
dibekacin	aminoglycoside	Resistant	aac(6)-lb-cr
sisomicin	aminoglycoside	Resistant	aac(6)-lb-cr
isepamicin	aminoglycoside	Resistant	armA
streptomycin	aminoglycoside	Resistant	aadA2
tobramycin	aminoglycoside	Resistant	aac(6)-Ib-cr armA
amikacin	aminoglycoside	Resistant	aac(6)-Ib-cr armA
gentamicin	aminoglycoside	Resistant	armA
erythromycin	macrolide	Resistant	msr(E) mph(E)
azithromycin	macrolide	Resistant	msr(E)
sulfamethoxazole	folate pathway antagonist	Resistant	sul1
trimethoprim	folate pathway antagonist	Resistant	dfrA12 dfrA14 dfrA1
pristinamycin ia	streptogramin b	Resistant	msr(E)
virginiamycin s	streptogramin b	Resistant	msr(E)
quinupristin	streptogramin b	Resistant	msr(E)
tetracycline	tetracycline	Resistant	tet(D)
doxycycline	tetracycline	Resistant	tet(D)
ceftazidime	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
ampicillin	beta-lactam	Resistant	blaTEM-1A blaOXA-1 blaSHV-106 blaCTX-M-15
cephalothin	beta-lactam	Resistant	blaTEM-1A
piperacillin	beta-lactam	Resistant	blaTEM-1A blaOXA-1 blaSHV-106 blaCTX-M-15
aztreonam	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
cefotaxime	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
cefepime	beta-lactam	Resistant	blaOXA- blaSHV-106 blaCTX-M-15
amoxicillin+clavulanic acid	beta-lactam	Resistant	blaOXA-1
ticarcillin	beta-lactam	Resistant	blaTEM-1A blaSHV-106 blaCTX-M-15
ceftriaxone	beta-lactam	Resistant	blaSHV-106 blaCTX-M-15
piperacillin+tazobactam	beta-lactam	Resistant	blaOXA-1
fosfomycin	fosfomycin	Resistant	fosA
spectinomycin	aminocyclitol	Resistant	aadA2
cephalosporins	under_development	Resistant	ompK36 ompK36
fluoroquinolone	under_development	Resistant	aac(6)-lb-cr

Table S7: KP3 (May 2019) - Resistance phenotypes predicted from whole genome sequence

data by ResFinder.



## **10.5** Supplementary data for Chapter 6

Figure S37: The growth of the six *E.coli* isolates in response to ciprofloxacin over 24 hours. Growth was measured as  $OD_{600nm}$ . *n=3* 



Figure S38: The growth of the six *E.coli* isolates in response to genatmicin over 24 hours. Growth was measured as  $OD_{600nm}$ . *n=3*.



Figure S39: The distribution of read lengths (a) and changes in Qscore (b) from a 40 hour minION sequence run with 12 multiplexed *E.coli* samples.



Figure S40: Histograms showing the distribution of coverage across genomic locations in the isolates EC1 (a), EC2 (b), EC3 (c) and EC4 (d). Obtained using qualimap.



Figure S41: Histograms showing the distribution of GC content across all mapped reads in the isolates EC1 (a), EC2 (b), EC3 (c) and EC4 (d). Obtained using qualimap.

Antimicrobial	Class	WGS-predicted phenotype	Genetic background
ceftazidime	beta-lactam	Resistant	ampC-promoter (g42C>T)
piperacillin	beta-lactam	Resistant	ampC-promoter (g42C>T)
amoxicillin+clavulanic acid	beta-lactam	Resistant	ampC-promoter (g42C>T)
cefoxitin	beta-lactam	Resistant	ampC-promoter (g42C>T)
cefotaxime	beta-lactam	Resistant	ampC-promoter (g42C>T)

Table S8: **EC1 (Day 1)** - Resistance phenotypes predicted from whole genome sequence data by ResFinder.

Antimicrobial	WGS-predicted phenotype	Class	Genetic background
streptomycin	aminoglycoside	Resistant	aadA1
cephalothin	beta-lactam	Resistant	blaTEM-1C
piperacillin	beta-lactam	Resistant	blaTEM-1C
ampicillin	beta-lactam	Resistant	blaTEM-1C
ticarcillin	beta-lactam	Resistant	blaTEM-1C
amoxicillin	beta-lactam	Resistant	blaTEM-1C
tetracycline	tetracycline	Resistant	tet(A)
doxycycline	tetracycline	Resistant	tet(A)
spectinomycin	aminocyclitol	Resistant	aadA1
trimethoprim	folate pathway antagonist	Resistant	dfrA1
sulfamethoxazole	folate pathway antagonist	Resistant	sul1

Table S9: **EC2 (11 Months)** - Resistance phenotypes predicted from whole genome sequence data by ResFinder.

Antimicrobial	Class	WGS-predicted phenotype	Genetic background
spectinomycin	aminocyclitol	Resistant	aadA1
trimethoprim	folate pathway antagonist	Resistant	dfrA1
sulfamethoxazole	folate pathway antagonist	Resistant	sul2
piperacillin	beta-lactam	Resistant	blaTEM-1B
ampicillin	beta-lactam	Resistant	blaTEM-1B
ticarcillin	beta-lactam	Resistant	blaTEM-1B
cephalothin	beta-lactam	Resistant	blaTEM-1B
amoxicillin	beta-lactam	Resistant	blaTEM-1B
doxycycline	tetracycline	Resistant	tet(B) tet(A)
minocycline	tetracycline	Resistant	tet(B)
tetracycline	tetracycline	Resistant	tet(B) tet(A)
streptomycin	aminoglycoside	Resistant	aph(6)-Id aph(3)-Ib aadA1

Table S10: EC3 (23 Months) - Resistance phenotypes predicted from whole genome se-

quence data by ResFinder.

Antimicrobial	Class	WGS-predicted phenotype	Genetic background
doxycycline	tetracycline	Resistant	tet(A)
tetracycline	tetracycline	Resistant	tet(A)
ceftriaxone	beta-lactam	Resistant	blaCTX-M-1
cefepime	beta-lactam	Resistant	blaCTX-M-1
ticarcillin	beta-lactam	Resistant	blaCTX-M-1
piperacillin	beta-lactam	Resistant	blaCTX-M-1
aztreonam	beta-lactam	Resistant	blaCTX-M-1
ceftazidime	beta-lactam	Resistant	blaCTX-M-1
amoxicillin	beta-lactam	Resistant	blaCTX-M-1
ampicillin	beta-lactam	Resistant	blaCTX-M-1
cefotaxime	beta-lactam	Resistant	blaCTX-M-1
sulfamethoxazole	folate pathway antagonist	Resistant	sul2

Table S11: **EC4 (26 Months)** - Resistance phenotypes predicted from whole genome sequence data by ResFinder.



Figure S42: There is little variation in growth between replicates with both *E.coli* isolates EC2 (Month 11) and MG1655 in the absence of antibiotic. However, with 0.001mg/l ciprofloxacin, there is variation in the growth curves of isolate EC2 but not MG1655. This variation was confirmed to be due to filamentation in the presence of ciprofloxacin through microscopy.

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