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Coal mining wastes valorisation as raw geomaterials in construction: A review with new perspectives --Manuscript Draft--

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Abstract:	<p>This article reviews the literature on the geochemical, geotechnical and structural engineering properties of coal mining waste geomaterials to assess their suitability as replacement for both aggregates and binders in concrete and cementitious composites. It is found that coal mining wastes are indeed good candidates (as raw materials) for the uptake and process into higher level construction purposes. Geochemically, the key to a successful upcycling operation is the knowledge of their mineral contents (which is typically diverse and varies from one mine to another) and the processes they undergo while being transformed into constituents of new materials. From a concrete technology perspective, the key to a successful utilisation is the mineralogical and mechanical characterisation of coal mining wastes to obtain a concrete mix featuring strength and durability performance that meets specification. In the geotechnical literature, coal mining wastes are known to be highly heterogeneous and may host expandable minerals with potential durability problems. However, this review also found that simple geotechnical index tests can be conducted to yield useful information for the initial screening of coal mining wastes into a construction product. The state-dependent properties of coal mining wastes (e.g., water retention, hydraulic conductivity, shear strength) are found to be governed by complex factors such as coal content, particle size and shape, pore size and shape, and the presence and interaction of pore air and pore water in the void space, some of these are well-studied but much of these are to be further researched.</p>
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25 October 2021

To: Professor Jiří Jaromír Klemeš,
Editor-in-Chief, Journal of Cleaner Production

RE: Coal mining wastes valorisation as raw geomaterials in construction: A review with new perspectives

by Thanh Liem Vo, William Nash, Marco del Galdo, Mohammad Rezanian, Rich Crane, Mohaddeseh Mousavi Nezhad, and Liberato Ferrara

Dear Prof. Klemeš,

My co-authors and I are happy to submit the above paper to the Journal of Cleaner Production for possible publication.

In this contribution experts from different disciplines joined forces to provide a state-of-the-art review for practical uptake of coal mining waste geomaterials in construction engineering, particularly for higher level construction applications. The areas of expertise of the authors include a wide range, from geochemistry, material sciences, hydro-geology, and geomechanics, to constructions, concrete technology and structural engineering. This enabled us to present a comprehensive review and provide a multi-angle understanding of different properties of coal mining waste geomaterials to assess their suitability as replacement for both aggregates and binders in concrete and cementitious composites. The review also covers the interactions between mineralogy, geotechnical indices, and state-dependant properties of mining wastes together with the implications of their application.

This paper will become paper of reference for our current and future applied research on valorisation of mining wastes, and most possibly that of other researchers working in resources recycling and/or sustainable construction fields. We hope that you and the reviewers will find this paper worth publishing in your journal. If you should need additional information, please contact me.

Yours sincerely,



cc: Dr. Vo and Prof. Nezhad at the University of Warwick,
Dr. Nash and Dr. Crane at the University of Exeter,
Dr. del Gado and Prof. Ferrara at Politecnico di Milano.

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Coal mining wastes valorisation as raw geomaterials in construction: A review with new perspectives

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2 **construction: A review with new perspectives**

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11 Abstract

12

13 Historically coal mining wastes have been viewed as heterogenous and hazard-prone
14 geomaterials. Given that failures of colliery tips and tailing dams are reported on a regular
15 basis, reclamation of coal mining wastes from storage facilities is increasingly being
16 considered. There is a resistance to the use of coal mining waste in construction industry despite
17 scattered but growing reports of successful applications. As the construction industry around
18 the globe seeks to reduce its carbon emissions by looking for supplements for cement, the
19 voluminous amount of coal mining wastes currently stored in spoil heaps and impoundment
20 facilities present a potential source of raw materials. This article reviews the literature on the
21 geochemical, geotechnical and structural engineering properties of coal mining waste
22 geomaterials to assess their suitability as replacement for both aggregates and binders in
23 concrete and cementitious composites. It is found that coal mining wastes are indeed good
24 candidates (as raw materials) for the uptake and process into higher level construction
25 purposes. Geochemically, the key to a successful upcycling operation is the knowledge of their
26 mineral contents (which is typically diverse and varies from one mine to another) and the
27 processes they undergo while being transformed into constituents of new materials. From a
28 concrete technology perspective, the key to a successful utilisation is the mineralogical and
29 mechanical characterisation of coal mining wastes to obtain a concrete mix featuring strength
30 and durability performance that meets specification. In the geotechnical literature, coal mining
31 wastes are known to be highly heterogeneous and may host expandable minerals with potential
32 durability problems. However, this review also found that simple geotechnical index tests can
33 be conducted to yield useful information for the initial screening of coal mining wastes into a
34 construction product. The state-dependent properties of coal mining wastes (e.g., water
35 retention, hydraulic conductivity, shear strength) are found to be governed by complex factors
36 such as coal content, particle size and shape, pore size and shape, and the presence and
37 interaction of pore air and pore water in the void space, some of these are well-studied but
38 much of these are to be further researched.

39

40

41 **Keywords**

42 Coal mining waste; Recycled geomaterials; Concrete; Construction

43 List of abbreviations

44	ACMW	activated coal mine waste
45	AMD	acid mine drainage
46	ASR	alkali-silica reaction
47	CMWG	coal mining waste geomaterial
48	CU, CD	consolidated undrained, consolidated drained
49	FI	flakiness index
50	GSD	grain size distribution
51	HREE	heavy rare earth element
52	LL	liquid limit
53	LREE	light rare earth element
54	OPC	ordinary Portland cement
55	m-CU, m-CD	multistage consolidated undrained, multistage consolidated drained
56	PL	plastic limit
57	PSD	pore size distribution
58	REE	rare earth element
59	SCM	supplementary cementitious material
60	SI	shape index
61	UC, UU	unconfined compression, unconsolidated undrained
62	XRD	X-ray diffraction

63 List of symbols

64	χ	effective stress parameter
65	ψ	pressure head
66	τ	shear strength
67	φ, φ'	total friction angle, effective friction angle
68	σ, σ'	total stress, effective stress
69	c, c'	total cohesion, effective cohesion
70	C_u	coefficient of uniformity
71	D_{50}	grain size for 50% finer by weight
72	D_s	fractal dimension of a grain size distribution
73	D_{s1}, D_{s2}	fractal dimension of populations 1, 2, respectively
74	$d_s, d_{s \max}, d_{s \min}$	grain size, maximum grain size, minimum grain size
75	$d_{s \max 1}, d_{s \max 2}$	maximum grain size of populations 1, 2, respectively
76	$d_{s \min 1}, d_{s \min 2}$	minimum grain size of populations 1, 2, respectively
77	G_s	specific gravity
78	G_s_{CMWG}	specific gravity of coal mining waste geomaterial
79	G_s_{coal}, G_s_{others}	specific gravity of coal and of materials other than coal
80	e, e_{\max}, e_{\min}	void ratio, maximum void ratio, minimum void ratio
81	$f(\dots)$	function
82	$I_d, I_d(2)$	slake durability index, slake durability index of the second cycle
83	K, K_s	unsaturated hydraulic conductivity, saturated hydraulic conductivity
84	L	length
85	$M1, M2$	mass percentage of populations 1, 2, respectively
86	M_s	dry mass of particles
87	m_{coal}	coal content
88	q	flow rate
89	S_r	degree of saturation
90	s, s_e	matric suction, air entry/expulsion suction
91	u_a, u_w	pore air pressure, pore water pressure
92	z	length in vertical direction

93 1. Introduction

94 Coal mining waste geomaterials (CMWGs) consist of fragments of rocks and coal seams which
95 are brought to the surface during coal extraction processes (Skarżyńska 1995a). Historically
96 coal mining wastes have been viewed as problematic geomaterials. They are chemically
97 heterogenous, prone to particle breakage by compaction, rapid degradation by wetting-drying
98 cycles, and susceptible to liquefaction when loosely deposited. Furthermore, spontaneous
99 combustion and leaching of acidic water to the surrounding environment are among the
100 environmental challenges they present. These problems are associated with several special
101 properties of coal and coal-bearing geomaterials in the wastes. Coal extraction is still ongoing,
102 for example in 2019, the total coal production in Europe, North America and Asia Pacific
103 amounted to 577.4 million tonnes (Mt), 701.5 Mt, and 5,911.8 Mt respectively (British
104 Petroleum 2020). This ongoing production adds more CMWGs to the amount already in
105 storage facilities (> 10,700 Mt by some estimates (Fan et al. 2014; Frías et al. 2012; Islam et
106 al. 2021; National Research Council 2007; Skarżyńska 1995a; Zhao et al. 2008)) and imposes
107 additional costs on producers and extra burdens on the environment.

108 In order to address the major challenges presented by coal mining in Europe and throughout
109 the world, innovative concepts are being developed for managing, recycling and upcycling
110 waste geomaterials generated by coal mining activities. One potential solution is to upgrade
111 CMWGs as constituents of sustainable construction materials and products, and as such
112 contributing to the establishment of a circular economy concept in the coal mining areas. In
113 this respect there is a strong demand for geomaterials in the construction industry: for example
114 2.7 billion tonnes of natural aggregates are produced in Europe for construction purposes every
115 year (European Aggregates Association 2017), meanwhile there is an imperative to conserve
116 the natural resources. CMWGs have been utilised successfully in many low to medium level
117 civil engineering applications such as controlled fills in mining zones, earthworks and land

118 restoration, as rock armour in shoreline structures, aggregates in road construction and rail
 119 embankment (Hammond 1988; Skarżyńska 1995b). An enhanced understanding of the
 120 chemical and physical properties of CMWGs could accelerate their uptake and broaden their
 121 applications, particularly for higher level construction purposes.

122 To meet this aim, the properties of CMWGs need to be determined accurately, with a focus on
 123 their characterisation for reuse. Furthermore, the relationships between different properties and
 124 how some of them may be more relevant than others in specific engineering applications are
 125 key to investigate, together with some operational aspects of reclaiming the wastes from
 126 storage facilities.

127 Previous investigations into the (primarily geotechnical) properties of coal waste stockpiles
 128 and tailing lagoons were mainly driven by concerns about the stability of these structures.
 129 Compiled databases (Golder Associates Ltd 2015; ICOLD 2001; Rana et al. 2021) show that
 130 mine waste deposits pose a significant instability risk globally. Major failures of colliery tips
 131 and tailing dams are reported on a regular basis (Bishop 1973; Santamarina et al. 2017), some
 132 of most notable ones are listed in Table 1.

133

134 **Table 1.** Some failures of CMWG deposits investigated and reported in the literature

Time and location	Description	Potential causes of failures	References
21/10/1966, Aberfan, South Wales, UK	A flow slide involved approximately 107,000 m ³ of coal waste material.	The driving force of the failure was the buildup of pore water pressure at the toe of the tip, exacerbated by a loosely packed fabric of the fill making it susceptible to a flow liquefaction.	(Bishop et al. 1969)
26/02/1972, Logan County, West Virginia, USA	A flood involving approximately 498,000 m ³ of water was initiated by the failure of a coal waste embankment dam further upstream.	The upstream dam was made of coal spoils and its foundation consisted mostly of coal sludge. Piping in the foundation has probably led to excessive deformation and the subsequent overtopping of the dam.	(Davies et al. 1972)

1979-1991, Southwest Virginia, USA	11 slope failures in coal waste embankments required remediation.	Slaking and weathering of the embankment fill has probably reduced the shear strength to below what is required for stability. Failures were likely to have been initiated by the built-up of pore water pressure at the toe of the slopes.	(Donovan and Karfakis 2003)
2001-2002, Central Anatolia, Turkey	2 spoil pile instabilities involved more than 20 Mt of spoil material.	Gradual weathering and particle breakage by hauling, dumping and truck traffic have reduced the dominant grain size of the spoil material to silt-sized. Low residual strength was mobilised between the spoil and basal planar surface. Water pressure built-up from rainfall has initiated the instabilities.	(Kasmer et al. 2006)
Prior to 2004, Kalimantan, Indonesia	2 coal waste dump failures, the 1 st involved 80 Mm ³ and the 2 nd involved 10.5 Mm ³ of material	No cause of failure was stated, although it was emphasized that coal waste geomaterials were generally unsaturated, when water entered their pore space, they tended to slake, soften and weaken. A residual strength could have been developed along a thin layer, and the waste dumps could easily fail in a remolded mode.	(Pells 2016)
30/04/2004, South Field coal mine, Northern Greece	40 Mm ³ of dump materials was displaced up to 300 m from their original footprint, at a rate of 40-50 m/day.	The dump material was primarily low plasticity clays with local inclusions of silts and sand. The dump material has covered up a spring, choking its flow. Failure was possible due to a high-water pressure developing around the spring inside the spoil deposit.	(Steiakakis et al. 2009)
22/12/2008, Kingston Fossil Plant, Tennessee, USA	An uncontrolled release of 4.12 Mm ³ coal fly ash slurry was triggered by the rupture of a coal ash lagoon embankment	The embankment was built on a loosely-packed sluiced ash whose behaviour was contractive with a low undrained shear strength. Laboratory tests showed that the peak undrained shear strength of the sluiced ash was reached at 0.5% strain. At a higher strain, the undrained strength rapidly decreased to as low as 4.8 kPa.	(AECOM 2009), (TVA 2009)
31/10/2013, Alberta, Canada	A tailing pond embankment was breached, released 670,000 m ³ of coal waste water and 90,000 tonnes of fine particles into the Athabasca River	The embankment was overtopped due to a rise in the pond level prior to the full breach. Piping or retrogressive erosion of the upper loose material may have contributed to the initial overtop.	(HMTQ v. Prairie Mines & Royalty ULC 2017)

10/04/2020, Singrauli, India	400,000 tonnes of coal fly ash slurry were released from an impoundment facility, travelled a path of 6.5 km, spread an average width of 30m and an average depth of 1m.	The failure was triggered by an earthwork operator damaging a section of an embankment wall. However, the subsequent failure of the wall and the uncontrolled release of slurry was due to a severe hydrostatic pressure on the upstream of the embankment.	(Hiralal Bais v. Reliance Sasan Power Ltd. & Ors 2020)
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135

136 There is a strong need to rehabilitate coal waste stockpiles and tailing lagoons due to their
137 instability risk. However, any significant reclamation activity of coal waste from existing
138 deposits should be carefully managed since reclamation of waste from a waste storage structure
139 can alter its hydro-mechanical balance. The response which is triggered is dependent on
140 mineralogical composition, particle and pore size distribution, fabric, water retention and
141 permeability of the materials. The fabrics of coal waste stockpiles and tailing lagoons are also
142 highly heterogeneous; the top few meters of a stockpile may be weathered while the materials
143 at depths can be relatively fresh. Coal tailings are often stratified into layers of distinct particle
144 sizes. Moreover, except those wastes buried permanently below the water table, most CMWGs
145 are unsaturated, yet there are few experimental researches in the literature characterizing the
146 unsaturated properties of CMWGs. The most recent studies (Fityus and Li 2006; Vidler et al.
147 2020) highlighted the importance of mineralogical composition, grain size distribution (GSD),
148 pore size distribution (PSD), weathering and fabric, water retention and permeability.
149 Characterising unsaturated properties of a CMWG is essential not only to understand its hydro-
150 mechanical behavior and its use as compacted fill in geotechnical applications (Alonso and
151 Cardoso 2010) but also for other foreseeable applications in the construction industry,
152 including their use as constituents in concrete and cement-based materials, replacing, e.g.,
153 natural aggregates. Considering the substantial contribution of the construction industry to CO₂
154 emissions and natural resource depletion, such applications could hit the dual targets of serving
155 the circular economy goals and minimising the adverse environmental impacts of both coal

156 mining and concrete production. As such, significant savings could be made in the demand and
157 use of natural raw materials for construction works, especially in areas near to active or
158 decommissioned mines.

159 In order to turn CMWGs into valuable resources, particularly for construction, “fit-for-
160 purpose” characterisations must be undertaken with regards to properties specific to their
161 intended applications. Such characterisations will be detailed in this review paper. These
162 properties include:

163

164 1. Hydro-chemical properties of repurposed CMWGs

165 A major concern associated with the reuse of CMWGs in the construction industry is the
166 degradation/reaction of some of their constituents when they make contact with the
167 hydrosphere (herein referred to as their hydro-chemical properties). This could potentially
168 include generation of acid from the oxidation of disposed sulfide minerals when exposed to
169 water or oxygen in the air (explained more fully in section 2.3.1), which would not only pose
170 an environmental hazard but also influence the durability of the materials and (geo)-structures
171 built using these materials. The determination of hydro-chemical properties of the repurposed
172 CMWGs is necessary to determine and quantify the effects of key mechanisms responsible for
173 ageing and degradation of the performance of repurposed CMWGs when exposed to various
174 environments (e.g., salty, anaerobic, acidic, extreme climatic, etc.), and to understand their
175 effects on the durability of the materials and products in which CMWGs may be incorporated.
176 The current state of understanding the CMWG’s hydrochemical properties is reviewed in
177 section 3 of the paper.

178

179 2. Geotechnical index properties and hydro-mechanical behaviour of repurposed CMWGs

180 In order to provide a sound basis for the application of recycled CMWGs, it is important to

181 evaluate their mechanical properties and to assess whether or not repurposed CMWGs can
182 perform as well as natural geomaterials in various proposed “upcycling” applications. There
183 are already clear evidences of recycled aggregates from the construction industry being used in
184 new constructions (Guthrie and Mallett 1995; Silva et al. 2014) and, as such, by far most of the
185 knowledge available in the literature is about the mechanical properties of recycled materials
186 from the construction industry itself. Hence, properties of CMWGs relevant to these foreseen
187 applications need to be reviewed and understood, also with reference to their outcome on the
188 mechanical and durability performance of concrete and cement-based construction materials
189 employing them as “secondary” raw materials.

190 The current state of understanding of CMWG’s geotechnical index properties and hydro-
191 mechanical behaviour is reviewed in section 4 of this paper. The focus will be on how some
192 mineralogical compositions of CMWGs may be captured in geotechnical index properties and
193 ultimately manifest in hydro-mechanical behaviours of CMWGs. It will be demonstrated, using
194 data from the literature and original data from this study, that a geotechnical laboratory
195 characterisation of CMWGs can be undertaken in a simple way, yet yield highly valuable
196 information for the initial screening of CMWGs in specific applications.

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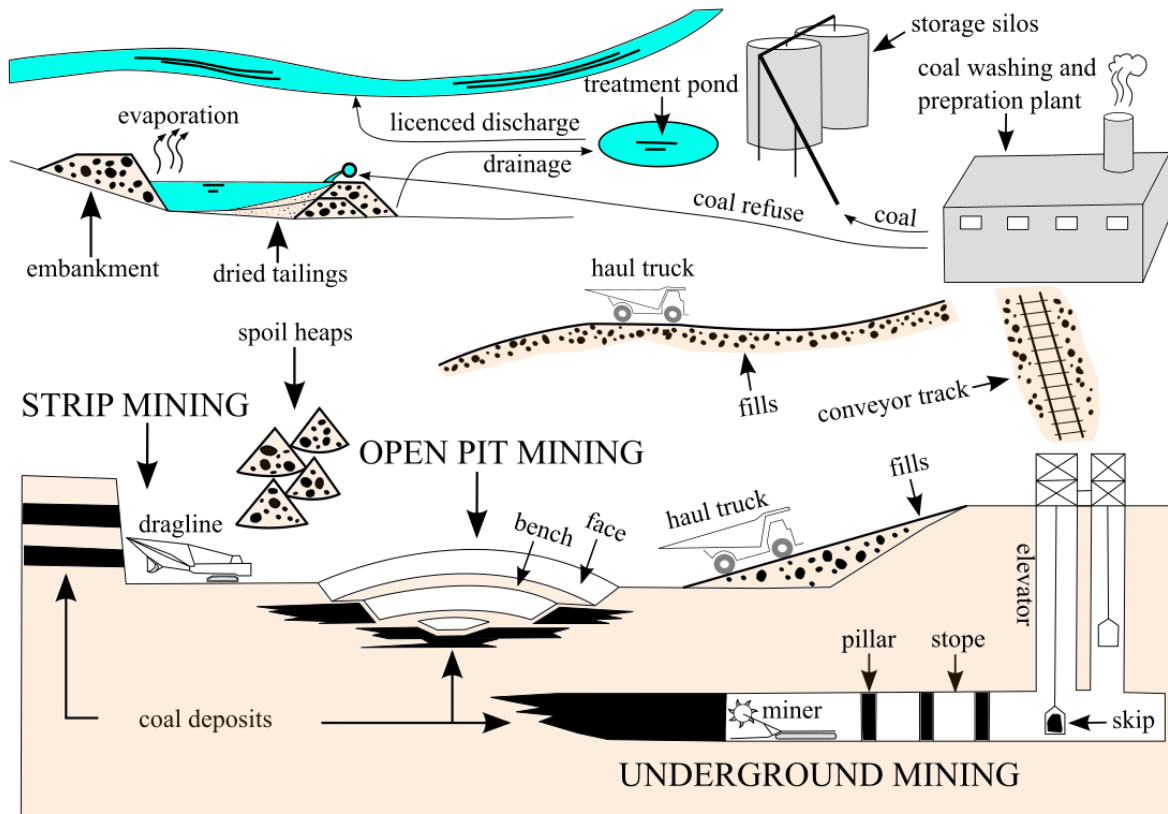
198 2. Coal production and coal mining wastes

199 2.1. Coal production

200 Coal is mined by both underground- and surface-mining methods. Typical coal production and
201 coal mining wastes are shown schematically in Figure 1. Depending on the amount and type
202 of the coal (brown or black coal) available in an area, processing sophistication would differ
203 hence producing different amounts and types of wastes (British Geological Survey 2010).
204 Latest estimates put the current annual global coal production at 8,129.4 Mt (British Petroleum

205 2020). The total global coal reserve is at 1.14 trillion tons (EIA 2020), which is sufficient to
 206 last another 132 years at current rate of production.

207



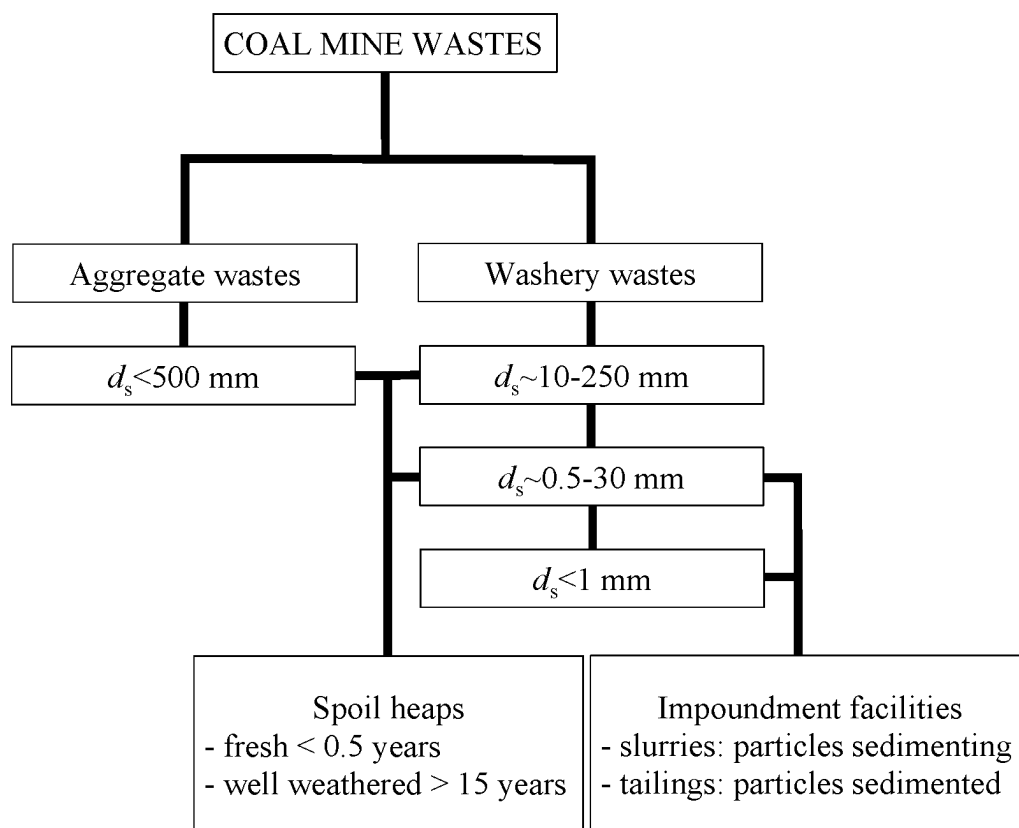
208

209 **Figure 1:** Coal production and coal mining wastes (adapted from British Geological Survey
 210 (2010), Coates and Yu (1977))
 211

212 2.2. Coal mining wastes

213 Coal mining waste may be classified on the basis of its origin in a mine processing scheme:
 214 aggregate wastes include overburden and coarse rejects separated from the coal, and washery
 215 wastes comprise the finer fractions derived from the washing plant (Figure 2). Aggregate
 216 wastes and coarser washery wastes are typically disposed in spoil heaps, and finer washery
 217 wastes are disposed in slurry form in impoundment facilities. Figure 3 shows the coal mining
 218 wastes in a spoil heap from Forjas Santa Barbara (FSB) mine in Spain. Although coal mining
 219 wastes are increasingly being channeled into earthworks, roadworks, and are further processed

220 into innovative construction materials (e.g., eco-efficient cements, brick and concrete blocks),
 221 there remains a significant amount generated by past and current mining operations that are
 222 directly dumped in spoil heaps and/or waste storage facilities. For example, in China, which is
 223 currently by far the world's single biggest producer and consumer of coal, about 36% of coal
 224 mining wastes are not utilised (Li and Wang 2019). There is, of course, a vast amount of
 225 historical coal mining wastes stored in spoil heaps and impoundments globally (> 6,600 Mt by
 226 Gutt and Nixon's estimate (1979)), and > 10,700 Mt by more recent regional estimates (Fan et
 227 al. 2014; Frías et al. 2012; Islam et al. 2021; National Research Council 2007; Skarżyńska
 228 1995a; Zhao et al. 2008)).



229
 230
 231
 232

Figure 2: A classification of coal mining wastes (adapted from Skarżyńska (1995a)), d_s is grain size



233

234

235

Figure 3: Coal mining wastes in a spoil heap from Forjas Santa Barbara mine, Spain

236 Many CMWG deposits may be in metastable states. Past investigations (Table 1) show that
237 failures of colliery spoil heaps were significantly contributed by shear strength degradation due
238 to weathering, instability of a loosely packed fabric formed by dumping or poor compaction,
239 combined with adverse structural features and a triggering event. Failures of coal tailing and
240 ash ponds have been significantly contributed by their loosely packed fabric, unevenly placed
241 slurry pumping, combined with adverse structural features and a triggering factor such as
242 seismic excitation or heavy rainfall. Major failures of colliery tips and tailing dams (some with
243 catastrophic consequences) are reported on a regular basis (Bishop 1973; Santamarina et al.
244 2017). There is a clear need to increase the effort currently put towards rehabilitating these
245 CMWG deposits.

246

247 2.3. Hazards posed by coal mining waste

248 The high volume of residues generated by mining activities are usually put into storage
249 facilities; the characteristics of the storage/disposal site being of paramount importance in order
250 to handle the environmental hazards that may occur, as pointed out by Twardowska et al.
251 (2004). The coal waste aggregates are usually simply stockpiled by the mine, compacted as
252 fills or used in the base of tailings dam embankments (Figure 1). After a mine operation has
253 concluded, it is a common practice to refill the excavation with the generated solid wastes. The
254 environmental impacts of the mine will then depend mainly on how this stockpiled waste
255 subsequently interacts with the atmosphere, rainwater, and in particular, groundwater.

256 The most well documented environmental impact of stockpiled coal waste is the seepage of
257 acidic water: a phenomenon known as acid mine drainage (AMD). The acids generated may
258 contaminate the nearby water sources and thus must be treated following disposal. Indeed,
259 many aspects of stockpile design are intended to prevent/retard AMD, or capture the seepage
260 water so it can be treated. In the refining plant the coal is ground and mixed with water,

261 consequently generating a significant amount of slurry waste. On occasions, the contaminated
262 slurry has been disposed in rivers or the ocean, or piped into storage facilities or underground.
263 A common prevention strategy is to encapsulate wastes identified as potentially acid-
264 generating within those that are acid-neutralising (by virtue to their carbonate-bearing
265 mineralogy). The causes and effects of AMD are described in more detail in section 2.3.1.A,
266 whereas possible bi-product of AMD, the combustion of coal waste stockpiles, is described in
267 section 2.3.2.

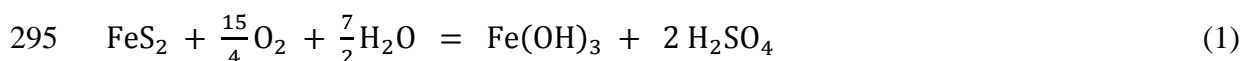
268 A documentation of the major impacts produced by coal mining waste more generally has been
269 developed by Younger (2004), who identified the following five items: air pollution, fire
270 hazards, ground deformation, water pollution, and water re-source depletion. Disposal of coal
271 mining waste, like any proposal for its reuse as a secondary raw material for civil engineering
272 or construction, hence requires a robust understanding of the geochemical processes it is likely
273 to undergo. Together with the physical and mechanical properties of the waste, which are
274 summarized in section 4 of this paper, geochemical processes are explored in the reminder of
275 this section and in section 3.

276

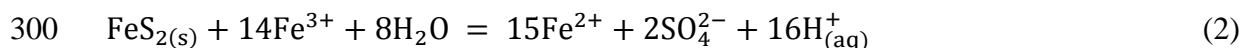
277 2.3.1. Acid Mine Drainage

278 CMWGs often contain minerals that are chemically unstable in the presence of oxygen and
279 water, which may dissolve after prolonged exposure to rainwater/groundwater. The most
280 common such minerals are the sulfides (chiefly pyrite and pyrrhotite), and their dissolution can
281 substantially lower the pH of the contact water. This phenomenon is termed as acid mine
282 drainage (AMD), and is well documented at many coal mines around the world (INAP 2009).
283 AMD is a major environmental concern because in addition to the ecological hazard presented
284 by low pH, such acidic water has an enhanced capacity for dissolving toxic metals that are
285 frequently present as trace elements in coal, such as lead, arsenic, cadmium, selenium, copper

286 and zinc (Park et al. 2019). AMD therefore has the potential to widely disperse toxic metals,
287 contaminating major aquifers and watercourses far beyond the location of the source materials.
288 In the construction industry, the principal hazards posed by sulfide oxidation are the expansive
289 stresses generated within concrete when iron- and sulfate-bearing compounds such as
290 ferrihydrite or gypsum precipitate from acidified porewater (Chinchon et al. 1995).
291 Although there are many chemically reduced species that can contribute to AMDs, sulfide is
292 overwhelmingly the most common species found in CMWGs (INAP 2009). Among the sulfide-
293 bearing minerals pyrite (FeS_2) is the most abundant (Nordstrom 2011, Park et al. 2019), and its
294 net oxidation to yield sulfuric acid can be written as follows:



296 Whilst the expression in Eq. (1) usefully indicates the 1:2 molar ratio between the amount of
297 pyrite oxidized and the amount of acid ultimately generated, it does not describe the oxidation
298 process comprehensively. In particular, the oxidant in the reaction need not be molecular
299 oxygen, and at pH lower than around 3.5 ferric iron (Fe^{3+}) can assume this role if it is available:



301 This alternative oxidation pathway is often sustained by microorganisms which maintain the
302 supply of ferric iron by oxidizing ferrous ion (Fe^{2+}) (Crundwell 2003). A decrease in pH caused
303 by the oxygen-mediated reaction (the first equation above) can create the conditions necessary
304 for this alternative Fe^{3+} -driven process, which can proceed 2 to 3 orders of magnitude faster
305 (INAP 2009). The oxidation rate may however be reduced by the presence of neutralizing
306 materials such as calcite, which can maintain near-neutral pH if suitably distributed within the
307 waste. A further important influence on reaction rate is the surface area of the pyrite, which
308 can be orders of magnitude larger for intricate ‘framboidal’ growths than for the simpler
309 ‘euhedral’ crystals.

310 In summary, the rate of sulfide oxidation within a particular CMWG is a complex function of

311 the dissolved oxygen concentration and the pH of the contact water, the exposed surface area
312 of the sulfides, and the chemistry of the accompanying minerals.

313 2.3.2. Combustion

314 Although CMWGs are materials that have been rejected from the coal production process, this
315 does not preclude them from containing percentage-level concentrations (by mass) of
316 carbonaceous material. This coal can ignite if not stored or transported appropriately (Onifade
317 and Genc 2020). Spontaneous combustion is commonly observed at coal mines in coal spoils
318 (though less commonly in overburden materials) and is often initiated by sulfide oxidation (see
319 section 3.1.2.3), which is a strongly exothermic process. Spontaneous combustion at mine sites
320 is generally mitigated by limiting the thickness of waste dumps (to promote cooling),
321 constructing them in wind shadows where possible (to minimize headwind-assisted oxidation),
322 and placing lower limits on material grain-size. The application of CMWGs as aggregates will
323 require similar considerations, especially if the conditions envisaged are likely to permit sulfide
324 oxidation (e.g., continuous exposure to moist air).

325

326 3. Upgrading CMWGs as raw materials in construction industry

327 The mineralogy of CMWGs varies widely, since it depends on the mineralogy of the geological
328 formations overlying or adjacent to the coal seam being mined. Consequently, no single
329 shortlist of minerals can be said to comprise CMWGs exhaustively, although the range of
330 possibilities is restricted by the sedimentary origin of the coal, which typically requires the
331 adjacent ‘host’ formations to also be sedimentary. Exceptions include certain types of sediment
332 which contain fragments of ancient igneous rocks (‘breccias’), and mines at which some of the
333 rocks overlying the coal seam (the ‘overburden’) are not of sedimentary origin.

334 Consequently, CMWGs usually comprise of minerals found in the sedimentary rocks; minerals
335 that are rich in silicon and aluminum oxides, chemically stable at near-surface conditions, and

336 frequently hydrated. These include quartz, varieties of clay (e.g., kaolinite and illite), potassium
337 feldspar, micas, carbonates (calcite, dolomite), and less commonly sulfates, halides, iron oxides
338 and amphiboles. The nature of coal formation, which invariably comprises the accumulation
339 of plant matter in a stagnant, often water saturated environment, followed by diagenesis,
340 dictates that certain similar mineral transformations have been documented to occur across
341 different coal deposits world-wide. For example, coal seams of the Permian Shanxi formation
342 in Juye coalfield, China, are dominated by kaolinite and calcite, with claystone and sandstone
343 interburden dominated by kaolinite, montmorillonite, and illite; and quartz, chalcedony,
344 feldspar and kaolinite respectively (Zhang et al. 2019). These minerals are derived from
345 volcanic and granitic source rocks in the pre-diagenetic period which then underwent
346 weathering in a peat swamp environment; such acidic conditions are favourable for the
347 weathering of feldspar and formation of kaolinite (Zhang et al. 2019).

348 Besides these ‘major’ constituents, a wide range of minor constituents have been reported for
349 CMWGs. Again, such constituents can be highly variable, however, the nature of coal
350 formation, and its resultant physical and chemical properties dictate that certain minor
351 constituents commonly exist. For example, sulfide bearing minerals, such as pyrite and
352 chalcopyrite also form under oxygen-poor conditions and are therefore common in CMGWs.
353 Such minerals, in addition to coal, are typically reported as most relevant for CMWG reuse
354 potential due to their capacity to inhibit the geotechnical performance of CMWG-bearing
355 construction materials (Section 3.1.2). Another common constituent of coal-bearing strata is
356 the radioactive and ecotoxic element uranium. This co-association is due to the fact that carbon-
357 rich organic matter within the coal-bearing strata can act as a potent sorbent and reducing agent,
358 which when exposed to groundwater containing uranyl ions, can result in their selective
359 precipitation as solid (and surface bound) mineral phases. Such occurrences are widespread;
360 for example, Huang et al. (2012) undertook a literature survey of uranium occurrence in 1184

361 Chinese coal samples with a range typically within 0.5-10 mg/kg, and an average of
362 approximately 3 mg/kg.

363 Despite such common constituents the typically diverse mineralogy of CMWGs gives rise to a
364 similarly wide range of geotechnical and geochemical properties. As such, the suitability of
365 CMWGs for a particular construction application can vary from one mine to another. While
366 the presence of certain minerals is desirable for some applications (aggregates require hard
367 minerals like quartz for example), as mentioned earlier, the absence of others can be just as
368 important (such as sulfides in concrete additives). The effects of mineralogy on the main
369 applications of CMWGs are discussed in the following subsections, with a focus on chemical
370 processes than geotechnical properties (which are discussed in section 4).

371

372 3.1. Chemical properties necessary for use of CMWGs as raw 373 materials in construction industry

374 CMWGs have been investigated as a replacement for both aggregates and binders (cements) in
375 concrete and cementitious composites. The re-purposing as construction aggregates is
376 reasonably established for low-grade applications such as road-fills (Amrani et al. 2020),
377 reclamation fills (Indraratna et al. 1994; Rujikiatkamjorn et al. 2013), road/railway
378 embankments (Wilmoth and Scott 1979) and in various other applications where limited
379 amounts of Portland cement are used for stabilization and performance improvement (González
380 Cañibano 1995; Okagbue and Ochulor 2007).

381 Use as concrete aggregate is a significantly more lucrative application because the
382 specifications are more demanding; not only must the CMWG's constituent minerals have
383 sufficient strength to meet the geotechnical specifications to be employed as concrete
384 aggregates, but they must not undergo adverse chemical reactions with the cement (i.e., they
385 must be chemically stable). CMWGs have been used successfully to manufacture fine

386 aggregates for some low-spec concretes such as paving blocks (Rossi dos Santos et al., 2013),
387 whereby the waste fraction with relative density/specific gravity 2.4-2.8 was separated,
388 crushed, and substituted for the conventional aggregate (sand) in varying proportions.
389 Compressive strength and abrasion resistance tests indicated the maximum acceptable
390 substitution to be 50% by volume. Modarres et al. (2018) investigated the use of different types
391 of CMWGs, including powder, ash and aggregates, in roller compacted concrete for
392 pavements, in replacement percentages up to 20% by volume, demonstrating that in all cases
393 (but for the 20% powder replacement) the required specifications (about 28 MPa cube
394 compressive strength at 28 days) were successfully met. Best results, also in terms of
395 toughness, were obtained with relatively low replacement percentages (5% by volume),
396 irrespective of the CMWG type, with levels of performance comparable to that of the parent
397 mix, if not slightly better, due to the filler effects of the CMWGs. Higher replacement
398 percentages resulted in performance deteriorating.

399 Wang and Zhao (2015) produced a series of concretes using Chinese coal gangue as coarse and
400 fine aggregate to determine the influence of gangue grading on their geotechnical properties.
401 Fuller's curve n values ranged from 0.44 to 0.68, and 0.62 was identified as the optimal one,
402 resulting into a maximum 28-day compressive strength of the concrete equal to 37MPa. Li et
403 al. (2021) investigated the microstructural and geotechnical properties of concrete prepared
404 using a coal gangue as aggregate, dominantly composed of silica, kaolinite and calcium
405 carbonate. The concretes obtained were significantly weaker than those prepared using quartz
406 gravel as aggregate.

407

408 3.1.1. Pozzolanic activity

409 A desirable potential application for CMWGs is their utilization as a supplementary
410 cementitious material (SCM) in blended concrete. Such blends are increasing in popularity as

411 the construction industry seeks to reduce its carbon emissions by finding supplements for
412 cement, whose production is extremely energy and carbon intensive (4-5 GJ and ~800 kg
413 emission of CO₂ per ton of ordinary Portland cement (Shamir et al. 2020)). CMWGs are good
414 SCM candidates because they often contain large fractions of clay minerals that can be
415 conditioned to acquire pozzolanic properties. These clays, kaolinite in particular, must be
416 thermally activated by calcining between 500 and 900 °C for around 2 hours (Frías et al. 2012;
417 Vigil de la Villa et al. 2014), the precise conditions are somewhat varying for the different
418 CMWGs tested to date. This treatment dehydrates kaolinite to form metakaolin, a
419 semicrystalline compound whose pozzolanic activity arises from its propensity to react with
420 portlandite (Ca(OH)₂) in cement to form cementitious calcium silicates (Bich et al. 2009).
421 Mixtures of such thermally activated wastes with conventional cement, ground to particle sizes
422 of order ~75µm, have yielded concretes with properties comparable to those employing purely
423 conventional cement.

424 Much of the research into CMWGs as pozzolans to date has been conducted on Spanish waste
425 materials. Thermally activated CMWGs from Spain have been repeatedly used to replace
426 between 20% and 50% of ordinary Portland cement (OPC), yielding concretes with tensile
427 strengths and corrosion resistance comparable with those containing OPC exclusively. Such
428 products have been manufactured using both coal aggregate waste (Caneda-Martínez et al.
429 2019; García Giménez et al. 2016; Vigil de la Villa et al. 2014) and coal washery waste (Frías
430 et al. 2012; Rodríguez et al. 2021). For example, Frías et al. (2012) synthesized concrete blends
431 containing (individually) coal washery waste and coal aggregate waste as substitutes for up to
432 20% of the OPC. Their products were type II/A cement compliant with European standards
433 with respect to sulfate and chloride concentration, as well as meeting European setting time,
434 volume stability and strength requirements. Caneda-Martínez et al. (2019) found that the
435 corrosion resistance of rebar to chloride ions was improved by the substitution of 20% of the

436 OPC by thermally activated CMWGs. In general, these CMWG-blends require higher
437 water/cement ratios than conventional OPC, e.g., approximately 13% more in blends
438 employing 20% SCMs (Frías et al. 2012).

439 Similar results were obtained by Vegas et al. (2015) who studied the performance of blended
440 cements with CMWG replacements of up to 20%. Small to moderate replacement percentages
441 (6% to 10%) led to slight increase of compressive and flexural strength in the short term and a
442 moderate decrease in the longer term (> 90 days), whereas a slight decrease in strength (less
443 than 10%) was always observed for the highest investigated replacement percentage.
444 Significantly, drying shrinkage was also increased by the replacement of OPC with CMWGs.
445 This has been attributed to the following phenomena: CMWGs accelerating the hydration of
446 cement; pozzolanic reactions between the metakaolin contained in the CMWGs and calcium
447 hydroxide from the hydration of cement clinker; and lastly, to an increase in capillary pressure
448 that is a consequence of a change in the pore size distribution.

449 While studies on CMWGs to date have focused on metakaolin as the source of pozzolanic
450 activity, it is not necessarily the only such constituent in the waste media. Kaolinite
451 concentrations in the CMWGs investigated varies widely, from about 70% to as low as 14%
452 (Rodríguez et al. 2021), suggesting that kaolinite might not be the only constituent that yields
453 pozzolanic properties upon thermal activation. The possibility of alternatives is well
454 demonstrated with the success observed by employing coal fly ash as a pozzolanic replacement
455 (Jovanovic et al. 2014; Shamir et al. 2020), which mainly comprises aluminosilicate glass (the
456 first known pozzolans, sourced from southwest Italy, which were also glassy in nature) rather
457 than metakaolin or any other clay or clay derivative. Another alternative pozzolan synthesis
458 method has been demonstrated by Wang et al. (2021), who combined sodium hydroxide
459 solutions with various mixtures of coal gangue and blast-furnace slag to yield prototype road-

460 stabilization materials (a less demanding application, but nonetheless a legitimate example of
461 pozzolanic activity).

462 We venture here to suggest that thermal activation of CMWGs could remove their constituent
463 sulfides by oxidizing them directly to SO₂ gas and hematite. Hu et al. (2006) reviewed studies
464 of pyrite oxidation in air and reported that this decomposition reaction occurs at less than 800
465 K; a similar temperature to that employed for thermal activation of kaolinite. The possibility
466 that a single such thermal treatment (or some specially optimized variant) might both produce
467 pozzolans and suppress undesirable sulfide oxidation (see section 3.1.2.3) is worthy of further
468 investigation.

469

470 3.1.2. Chemical processes deleterious to use of CMWGs as construction 471 materials

472 *3.1.2.1. Alkali-Silica Reaction*

473 The presence of amorphous silica in concrete aggregate can cause swelling and spalling (Figure
474 4) if it reacts with hydroxide compounds within the cement; a phenomenon known as alkali-
475 silica reaction (ASR). The problematic reaction products are calcium silicate hydrate gels,
476 which are hygroscopic and generate large internal stresses if they absorb water from the
477 concrete pore solution (Fanijo et al. 2021). CMWGs may contain many varieties of amorphous
478 silica (such as chert or opal) or strained quartz (which is also vulnerable to ASR), since these
479 are also common in sedimentary rocks. Thorough petrographic inspection of CMWGs to search
480 for these amorphous phases is essential if they are to be used as concrete aggregates. Inspection
481 procedures appropriate for this task have been standardized (e.g., ASTM C1567-21, ASTM
482 C1260-21) which include experimental tests as well as petrographic examination. It should be
483 noted that to the authors knowledge, to date, ASR has not been reported in concretes prepared
484 using CMWGs as aggregate. Since this application remains relatively untested, and since ASR

485 is a chronic condition that can take years to develop, little can be inferred about the propensity
486 for CMWGs in general to promote this reaction. Interestingly, the use of SCMs, including coal
487 fly ash, has been reported to mitigate damages from ASR (e.g., Shafaatian et al. 2013), although
488 the mechanism responsible is not known with certainty. Indeed, the mitigation of ASR has
489 become a motivation for using SCMs, underlining the interdependence of the different
490 chemical processes described in this review.

491



492

493 **Figure 4:** A highway barrier deformed by alkali-silica reaction (ASR) (Akhnoukh 2013).

494

495 *3.1.2.2. Alkali-Carbonate Reaction*

496 The alkali-carbonate reaction (ACR) is a deformation-inducing chemical process analogous to
497 the ASR, in which carbonates rather than amorphous silica reacts with hydroxide from the
498 cement to form a hygroscopic gel. The degree to which carbonate decomposition (known as
499 dedolomitization) is responsible for deformation is uncertain however, since carbonates are
500 popular concrete aggregates and yet most do not exhibit ACR (Aquino et al. 2010). For
501 example, Katayama (2009) and Grattan-Bellew et al. (2010) have suggested that some cases of
502 ACR are actually instances of ASR, where the role played by the carbonates is to contribute
503 amorphous silica (silicious dolomites are common geological materials); the dedolomitization

504 itself being merely incidental. Since calcite and dolomite are both common constituents of
505 CMWGs, the presence, abundance, and chemistry of these minerals should be carefully
506 determined in any CMWG before it is considered as a concrete aggregate.

507

508 *3.1.2.3.Sulfate attack*

509 Sulfide oxidation has been documented in concretes that contain sulfide-bearing aggregates,
510 and typically manifests as yellow discolouration accompanying a distinctive network of cracks
511 (known as map cracking) and pits/voids (known as pop-outs). These fractures critically
512 undermine the strength of the concrete, sometimes necessitating preemptive demolition of the
513 building. The onset of such damage can be rapid and appearing after as early as 3 years
514 (Rodrigues et al. 2012). In the concrete industry, this propensity for sulfate ions to react with
515 components in cement is known as sulfate attack (Müllauer et al. 2013), and the expansive
516 precipitates responsible for the fractures vary, depending on the chemistry of the aggregate and
517 cement. Importantly, sulfate attack can occur when the sulfate source is external to the concrete,
518 such as from contaminated groundwater or sewage. In cases where the source is endogenous,
519 oxidation of both pyrite and pyrrhotite have been observed (Schmidt et al. 2011). In response
520 to the recognition of this phenomenon, regulations have been introduced that specify the
521 maximum abundance of sulfide in concrete aggregates. These differ by country, but most agree
522 that it should not exceed 1% by mass, and must exclude framboidal pyrite crystals potentially
523 present in CMWGs in problematic quantity (see section 4.2.2).

524

525 *3.1.2.4.Chloride induced corrosion of steel reinforcement*

526 Caneda-Martínez et al. (2019) studied how the presence of activated coal mine waste (ACMW)
527 in concrete affects the corrosion of steel related to the chloride ion concentration of the concrete
528 porewater. When a certain threshold of chloride ion content is reached, the protective layer

529 around the steel bars, created by the highly alkaline cement pore solution, is destroyed, which
530 makes them more susceptible to corrosion. A chloride-induced accelerated corrosion test was
531 conducted on steel bars embedded in four different mortar specimens: a reference sample and
532 three others with partial substitution of OPC by activated coal mining waste (substitutions of
533 10%, 20% and 50% by volume). It was concluded that the addition of ACMW to concrete
534 induces a decline in critical chloride ion content by up to 90% when compared to the reference
535 specimens (i.e., it made the steel more susceptible to corrosion). On the other hand; however,
536 it was also found that mixes with CMWGs had a longer corrosion onset time, due to higher
537 resistance to chloride ion penetration and lower chloride diffusion coefficients, most likely
538 promoted by the pozzolanic activity of the CMWGs (see section 3.1.1).

539

540 3.1.3. Required chemical properties of CMWGs to be used as raw materials in 541 construction industry

542 Because of the aforementioned deleterious processes, the European standard EN 1744 prevents
543 the use of reactive aggregates, as per alkali silica reaction, complying with expansion limits
544 measured according to a suitable accelerated test. The same standard also limits the chloride
545 content of aggregates to 0.03% by mass and that of sulfates to 0.2% and 0.8% for coarse and
546 fine fractions respectively. The total content of sulfur, which may also be present into other
547 compounds, shall not exceed 1% by mass of aggregates (2% for blast furnace slags).

548 Presence of other substances, especially organic, which may affect the setting time of the
549 concrete is limited to amounts which would not increase the setting time by more than 120
550 minutes and would not cause a reduction of the compressive strength at 28 days by more than
551 20%.

552 4. Relationship between mineralogical and geotechnical properties of
553 CMWGs and the required characteristics as recycled aggregates.

554

555 4.1. Required physical and mechanical properties of CMWGs to be
556 used as secondary raw constituents in construction materials

557 According to the European standard EN12620:2002+A1:2008 aggregates are the granular
558 materials used in concrete batching and may be natural, manufactured or recycled. Recycled
559 aggregates are classified according to their origins into concrete and concrete products,
560 including concrete masonry units; unbound and hydraulically bound aggregates; masonry units
561 made of clay, calcium silicate or aerated concrete blocks; bituminous materials; glass; floating
562 material and miscellaneous, including metals, non-floating woods, rubber and plastic and soils.
563 Depending on their origin, the maximum percentages of constituents in the recycled aggregate
564 fractions are defined.

565 Determination of geometrical properties of aggregates is governed by EN 933 standards
566 (including 11 different parts). Besides the grain size distribution that is necessary to sort the
567 aggregates in concrete according to the best grading curve, for the use of CMWGs as aggregates
568 in concrete and construction industry the following properties are of interest:

- 569 - the flakiness index (FI), defined as the percentage, by weight, of particles in an aggregate
570 which have their average least dimension (thickness) less than 0.6 times their average
571 dimension;
- 572 - and the shape index (SI), defined as the percentage, in mass, of the non-cubic particles
573 present in the test portion are also defined to be met by any material to be used as
574 aggregates.

575 Determination of physical and mechanical properties of aggregates is on its hand governed by
 576 EN 1097 standards (10 parts). Compressive strength and resistance to wear and fragmentation
 577 are the most relevant mechanical properties to be measured, whereas for the physical ones,
 578 bulk and grain density and water absorption are of paramount interest, the latter being
 579 correlated also to freeze and thaw resistance of the aggregates and hence of the concrete as
 580 well.

581 There are fundamental reasons for the standards' requirements on the physical and mechanical
 582 properties of CMWGs to be used as secondary raw constituents in construction materials.
 583 Sections 4.2 and 4.3 present an updated review of studies on these rationales at the scales of
 584 intact coal mining aggregates and assemblies of coal mining aggregates.

585

586 4.2. Mineralogical and index properties of CMWGs

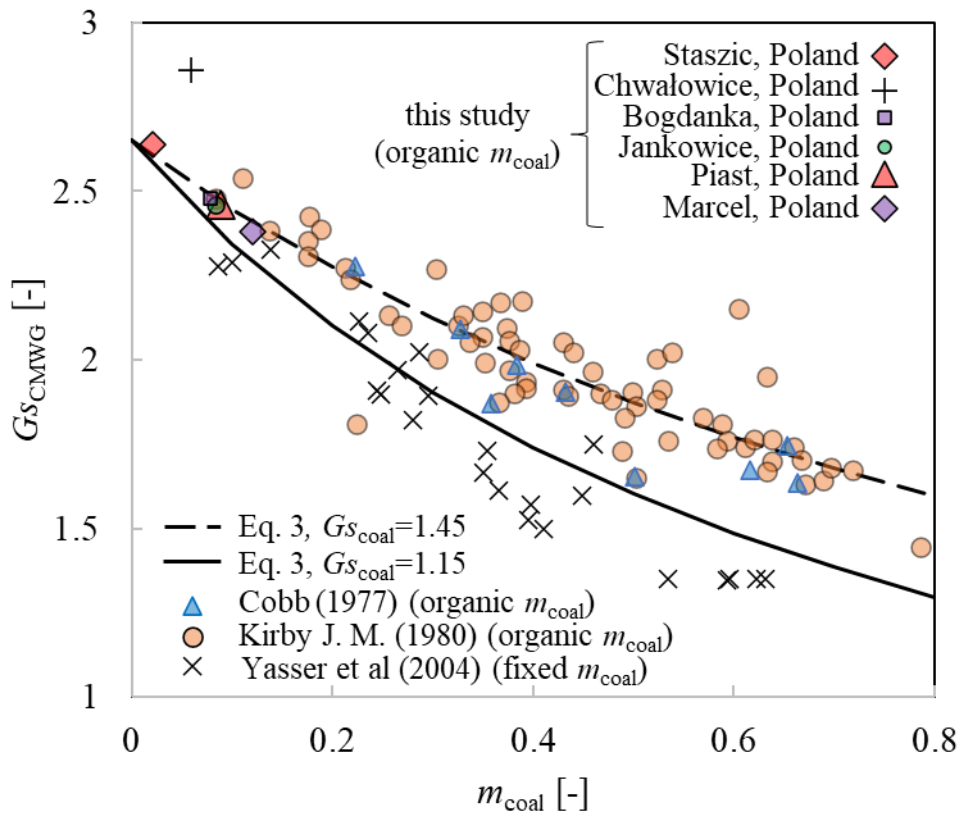
587 4.2.1. Influence of coal content on the specific gravity (G_s) of CMWGs

588 The percentage of coal in a CMWG deposit is influential to many of its properties, including
 589 specific gravity (G_s). The G_s of coal is reportedly between 1.27-1.47 (Nebel 1916; Skarżyńska
 590 1995a). Depending on the amount and the type of geomaterials co-existing with coal in the
 591 CMWGs, G_s of aggregate waste is estimated to be in the range of 2.3-2.5 (Skarżyńska 1995a),
 592 and G_s of washery waste is smaller, at 1.75-2.15 (Leventhal and de Ambrosis 1985), reflecting
 593 the additional coal extracted by the washery process. Following the general definition of G_s
 594 (Kirby 1980), it can be shown that

$$595 \quad G_{sCMWG} = \frac{1}{\left(\frac{1}{G_{scoal}} - \frac{1}{G_{sothers}}\right)m_{coal} + \frac{1}{G_{sothers}}} \quad (3)$$

596 where m_{coal} is the percentage of coal in mining wastes by dry mass. This relationship is plotted
 597 on Figure 5 assuming $G_{sothers} = 2.65$ together with data of Kirby (1980) and Yasser et al.

598 (2004). Also plotted in Figure 5 are data from this study for samples obtained from 6 Polish
 599 mine sites. The G_s of a CMWG could be determined more easily than its carbon content in the
 600 laboratory. A drying oven, a volume measuring device and a balance are sufficient to determine
 601 G_s to a reasonable accuracy (+/- 1 decimal point). Knowing the G_s of a CMWG, Figure 5 could
 602 be used to approximate the corresponding carbon content of the CMWG.



603

604

Figure 5: G_s of CMWGs correlated with their carbon content

605

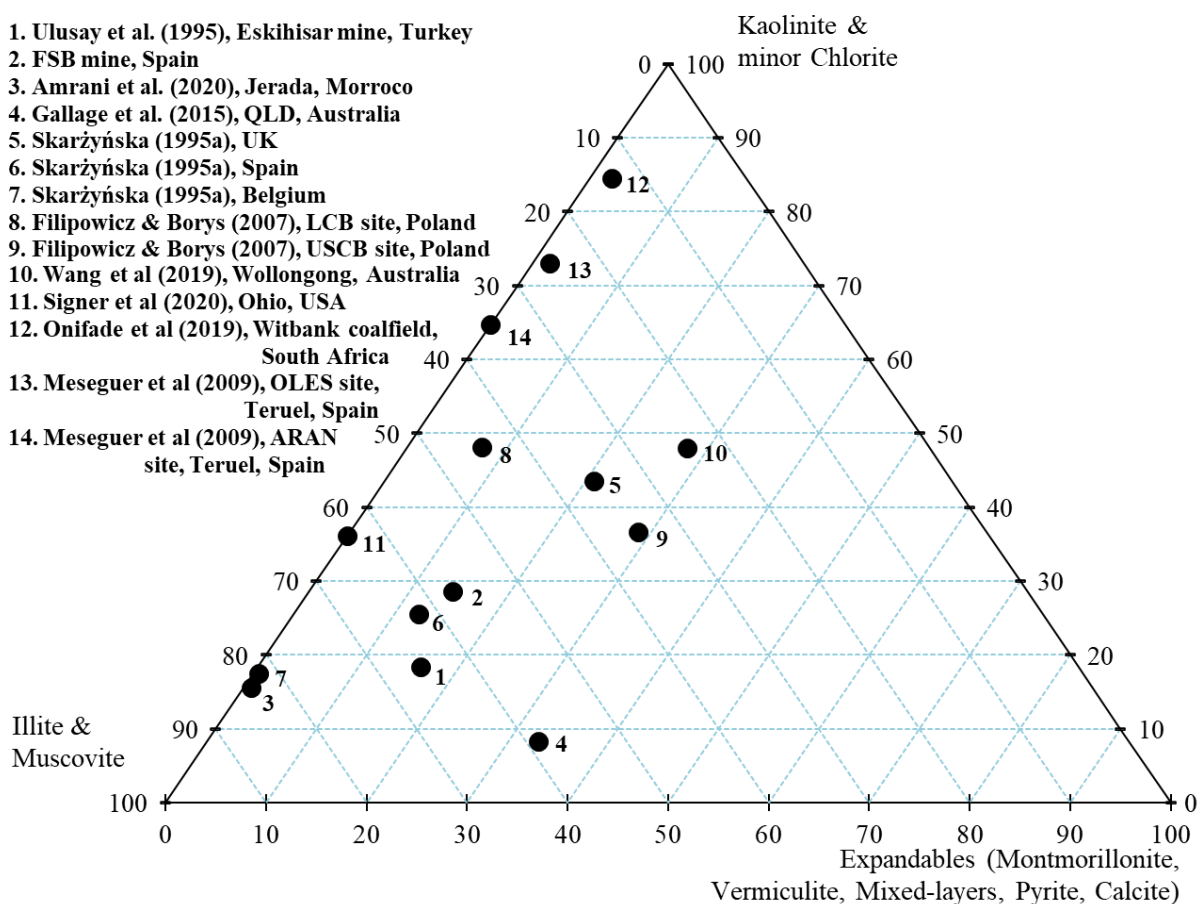
606 4.2.2. Influence of expandable minerals on the durability of CMWGs and 607 construction products containing CMWGs

608 The physical weathering of CMWGs is mainly driven by the presence of expandable minerals,
 609 in particular, montmorillonite and pyrite in their composition (Taylor 1974a; Taylor and Spears
 610 1970). The expansion is greatest when sodium is present as interlayer cation (Mielenz and King
 611 1952). Taylor (1974a) cited the example of a mudstone in the UK (i.e., Stafford tonstein)

612 containing a high percentage of mica-montmorillonite and exchangeable Na⁺ that it
613 disintegrates quickly and even completely when immersed in water. The primary mechanism
614 that causes this type of disintegration is by way of air breakage or slaking (Terzaghi and Peck
615 1948). When initially-dried argillaceous rocks are wetted, water gradually fills void spaces and
616 drives an increase in pore air pressure according to Boyle's law. The inflated pore air pressure
617 causes the argillaceous rocks to fail along their plane of weakness, which for most sedimentary
618 rocks, would be their bedding plane (Nezhad et al. 2018; Bagheri and Rezanian 2021). Also,
619 when rocks mined at depth are subsequently dumped in spoil heaps, the changeover from a
620 high to low effective confining stress regime induces a volumetric dilation and accelerate their
621 degradation. Another mechanism is due to a changeover from one environment to another, e.g.,
622 CMWGs originated from a saline environment when placed in contact with fresh water would
623 be subjected to significant osmotic swelling pressure (Seedsman 1986).

624 When aggregates used in concrete contain significant amount of expandable minerals, there
625 were many reports of subsequent deleterious volume change during wetting-drying cycles
626 (Knight 1949; Rhoades and Mielenz 1948). Cemented soils with significant amount of
627 expandable minerals were commonly observed to have major cracks attributed to drying-
628 wetting cycles rather than external loads (Croft 1967). Byrd (1980) reported that the Canterbury
629 bypass in the UK was constructed using CMWGs in sub-base layer but following a period of
630 heavy rainfall, serious moisture swelling was observed at construction joints. Thomas et al.
631 (1989) reported the results of a site investigation for three failed pavements in the UK. The
632 pavements were built with cemented-stabilised CMWGs which apparently met the strength and
633 durability requirements at the time of construction. Cored samples were collected from the
634 three sites and subjected to a range of tests in the laboratory (i.e., compressive strength test,
635 total-, pyritic- and sulphate-sulphur content test, X-ray diffraction, thin section examination,
636 and scanning electron microscopy examination). They concluded that oxidation of pyrite

637 mineral in the CMWGs had caused expansion of the cement-stabilised CMWGs and may have
 638 caused and/or exacerbated cracks in the pavements rendering them unserviceable.
 639 Taylor and Spears (1970) divided clay and clay-associated minerals into three groups, a similar
 640 division was adopted: kaolinites and minor chlorite, illite and muscovite, and expandables
 641 (montmorillonite, vermiculite, mix-layers, pyrite, calcite). The compositions of those minerals
 642 in some CMWGs reported in more recent literature are shown on Figure 6. Also plotted is data
 643 from this study related to the FSB mine in Spain.



644
 645 **Figure 6:** Composition of clay minerals in some CMWGs reported in the literature
 646

647 Attempts have been made to quantify the propensity of argillaceous rocks to slake using
 648 mechanical tests. Among them, Franklin and Chandra (1972) developed the slake durability
 649 test to evaluate the potential of shales, mudstones, siltstones and other clay-bearing rocks to

650 resist the weakening and disintegration resulting from drying-wetting cycles. In essence, a mass
651 of dried rock is rotated inside a perforated drum half-immersed in a water bath at 20°C for 10
652 minutes. A slake durability index I_d is calculated as the percentage ratio of the final to initial
653 dry weights of the rock in the drum i.e., the higher I_d is, the more durable it is. The test has
654 been standardised (ASTM D4644-04 (2004), ISRM (1979)) where two drying-wetting cycles
655 are specified and the slake durability index of the second cycle $I_{d(2)}$ is reported.

656 Adaptations to the slake durability test have been made, given the wide variety of mineral
657 compositions and environments argillaceous rocks are exposed to. Gökçeüglü et al. (2000)
658 collected 141 samples of weak and clay-bearing rocks from different parts of Turkey and
659 subjected them to four drying-wetting cycles of slake durability tests, XRD and uniaxial
660 compression tests. They found that the durability of clay-bearing rocks correlates best with the
661 amount of expandable clay minerals. They conducted a statistical analysis to show that strength
662 probably has no influence on the durability of laminated marls (there might be an association).

663 Mišćević and Vlastelica (2011) conducted the cycle slake durability test to characterize marls
664 from Dalmatia in Croatia. They adopted four drying-wetting cycles because they argued that
665 by the end of the 2nd cycle, although many lumps of marl did not pass through the openings of
666 the drum, the rock itself had practically disintegrated. They performed accompanying strength
667 tests and concluded that strength probably has no influence on the durability of the marls. In
668 another notable study, Vallejo (2011) conducted point load tests, slake durability tests and thin
669 section examinations of 68 shale samples from the Appalachian region of the United States.

670 They found that pore micro-geometry has a major influence on the degradation of the shales,
671 in that the air breakage mechanism was more effective in causing the slaking of those shales
672 with smooth pore boundaries than those with rough pore boundaries. Qi et al. (2015) conducted
673 a static slake durability test involving 10 wetting-drying cycles on a red mudstone taken from
674 a depth of 154.10–162.05 m in a coal mine in Shandong (China). They found that as the slaking

675 progresses, the number and size of pores and fractures increase, the structure of the surface of
676 the slaked particles becomes more disordered and complex. They also reported that when the
677 particle size of the stone is reduced by slaking to below 5 mm, it becomes more durable.
678 Some durability testing has also been conducted on stabilised geomaterials. Surendra et al.
679 (1981) studied how additives might be added to nondurable shales to improve its performance
680 during their placement and service as an embankment using the slake durability test. They
681 reported that adding lime (up to 7% of a rock's dry mass) to Osgood shale showed little
682 improvement while adding it to New Providence shale showed a substantial improvement. The
683 shales themselves were similarly nondurable but contained very different exchangeable
684 solution percentages. Kettle (1983) conducted laboratory and field trials on 10 CMWGs
685 collected from major coal fields in the UK. The CMWG samples were screened for their
686 compliance with UK requirements at the time (Department of Transport 1977), among which
687 were $LL < 45\%$ and $PL < 20\%$ and the coefficient of uniformity $C_u < 5$. They were either untreated
688 (in which case, they were prepared as samples and tested immediately) or stabilised with
689 cement at 5%, 10% of their dry mass, cured for 7 days at 20°C and atmospheric confining
690 pressure, then subjected to a range of strength tests, frost heave test (Croney and Jacobs 1970)
691 and immersion test (BS1924 1975). It was found that some CMWGs could be cement-stabilised
692 to function satisfactorily as road subbase and base materials. However, frost heave and
693 immersion tests showed that those CMWGs with significant fines (>30% finer than 75 µm)
694 were not sufficiently durable. Stavridakis and Hatzigogos (1999) created clayey admixtures in
695 the laboratory containing between 0% and 45% montmorillonite (in terms of dry mass), the
696 others being sand and kaolinite. They stabilised the mix with 4% and 12% cement then
697 conducted standard slake durability tests on the hydrated material. They found that the
698 admixtures with a liquid limit of 40% can be treated satisfactorily with 4% cement (in that it is
699 sufficiently durable for its purpose). Although the admixtures with a liquid limit of 60% can

700 be stabilised satisfactorily with 12% cement but that was considered uneconomical. In a recent
701 study, Liu et al. (2020) conducted three wetting-drying cycles slake durability tests on a paste
702 backfill comprising cement, fly ash and sand mixed according to a recipe. Their results showed
703 that a lower hydraulic conductivity contributed to a more durable paste back fill material. Their
704 microscopic analysis showed that the durability of the material might be linked to a non-
705 uniformly distributed pore structures although the mechanisms for this remain unexplored.

706

707 4.2.3. Influence of clay minerals on the plasticity of CMWGs and construction 708 products containing them

709 The plasticity of geomaterials can be attributed to the presence of clay minerals in their make-
710 up (Rezania et al. 2020). The liquid limit (LL) and plastic limit (PL) are the water content at
711 which a CMWG starts to flow like a liquid and the water content at which a CMWG transits
712 from brittle to plastic deformation, respectively. LL is determined by Casagrande's percussion
713 method or the fall cone method, and PL by the rolling thread method. The plasticity index, I_p ,
714 is determined as LL-PL. The mechanisms that enable brittle failure in the plastic limit test are
715 by air entry or cavitation (Bagheri et al. 2018; Haigh et al. 2013; Sivakumar et al. 2009;
716 Vardanega and Haigh 2014), and the governing factors are complex: mineralogy, structure,
717 texture, etc., with mineralogy playing a key role (Fleureau et al. 2002; Williams et al. 1983).
718 The presence of even a small amount of clay minerals can impact engineering behaviors of a
719 geomaterial significantly, thus LL and PL feature in the unified soil classification system for
720 classifying fine-grained geomaterials, and coarse-grained geomaterials with significant fines.
721 CMWGs may be sorted into different sizes when used as aggregates in construction products.
722 The suitability of fine-grained CMWGs as recycled materials is strongly dependent on their
723 clay minerals. The LL and PL of some CMWGs reported in the literature are listed in Table 2.

724 Also included in the table are data from this study related to coal heap samples obtained from
 725 the Forjas Santa Barbara mine in Spain.

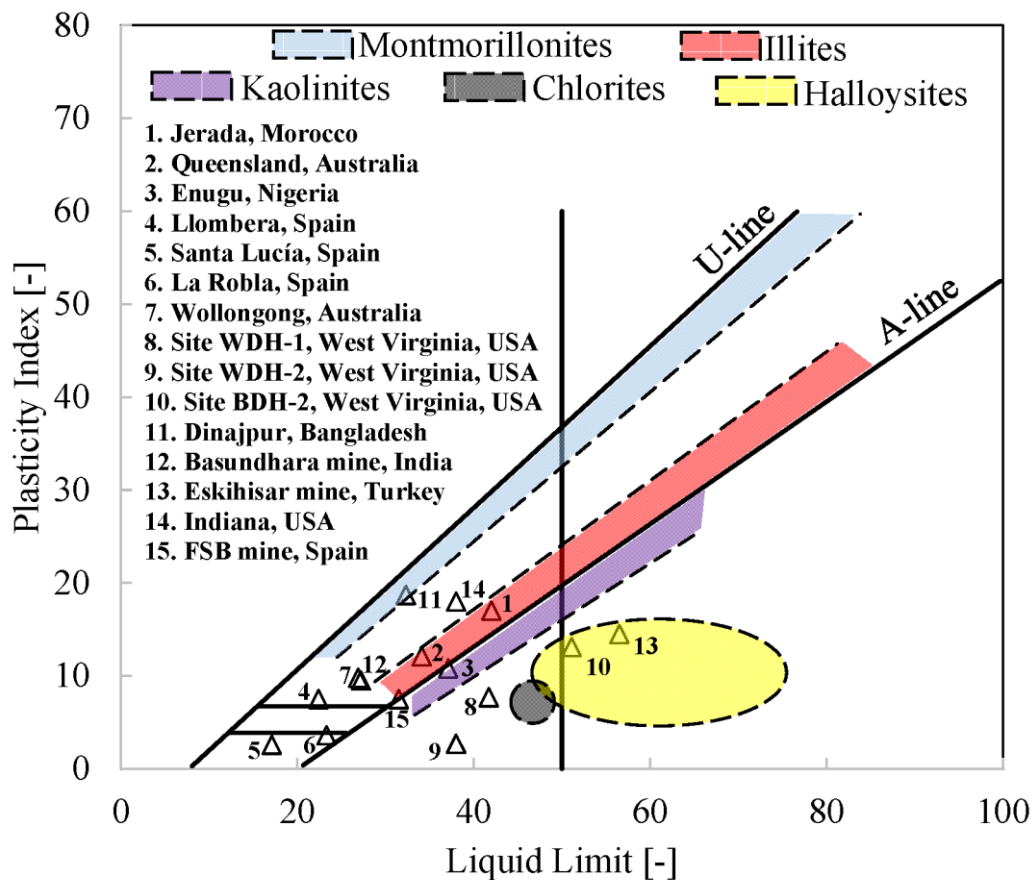
726

727 **Table 2:** LL, PL, I_p of some weathered aggregates and washery wastes. The letters C, G, L, M,
 728 H, S, W stand for clay, gravel, low plasticity (for silt) or lean (for clay), silt, high plasticity,
 729 sand, well-graded, respectively, in the unified soil classification system.

Unified soil classification system/Gs	LL	PL	I_p	Location	Reference
GM/2.65	42	25	17	Jerada, Morocco	(Amrani et al. 2020)
GW-GC/(not available)	34.1	22	12.1	Queensland, Australia	(Gallage et al. 2015)
GW-GC/1.85	37.1	26.3	10.8	Enugu, Nigeria	(Okogbue and Ezeajugh 1991)
GW-GM/(2.53-2.75)	21.1	non-plastic	non-plastic	Matallana de Toríno, Spain	(Cadierno et al. 2014)
GM/(2.23-2.76)	19.9	non-plastic	non-plastic	Matallana de Toríno, Spain	(Cadierno et al. 2014)
GM/(2.27-2.76)	22.4	14.9	7.5	Llombera, Spain	(Cadierno et al. 2014)
GW-GM/(2.55-2.74)	17.1	14.5	2.6	Santa Lucía, Spain	(Cadierno et al. 2014)
GM/(not available)	18.8	non-plastic	non-plastic	Cinëra, Spain	(Cadierno et al. 2014)
GW-GM/(not available)	23.3	19.7	3.6	La Robla, Spain	(Cadierno et al. 2014)
CL/2.72	38	20	18	Indiana, USA	(Jung and Santagata 2014)
SM/2.59	31.5	24.0	7.5	Forjas Santa Barbara mine, Spain	This study
SW/2.13	27.2	17.7	9.5	Wollongong, Australia	(Rujikiatkamjorn et al. 2013)
GM-MH/(not available)	40-73	30-54	10-19	Eskihisar strip coal mine, Turkey	(Ulusay et al. 1995)
ML/1.61	41.7	33	7.7	Site no. WDH-1, USA	(Busch et al. 1975)
ML/1.60	38	35.3	2.7	Site no. WDH-2, USA	(Busch et al. 1975)
ML/1.58	34.3	non-plastic	non-plastic	Site no. BDH-1, USA	(Busch et al. 1975)
MH/1.87	51.1	38	13.1	Site no. BDH-2, USA	(Busch et al. 1975)
CL/2.59	32.3	13.6	18.7	Dinajpur, Bangladesh	(Hossain et al. 2018)
CL/2.63	26.9	17.1	9.8	Basundhara opencast coal mine, India	(Mallick and Mishra 2017)

730

731 The data from Table 2 are plotted on Casagrande's plasticity chart overlaid with locations of
 732 common clay minerals in Figure 7. Casagrande (1948) suggested this plot as an approximate
 733 way to identify the dominant mineral groups present in soils (Holtz and Kovacs 1981). Data
 734 from Table 2 are shown to plot primarily on the lower left corner of the chart, indicative of
 735 materials that do not hold water well and exhibit non-plastic to moderately plastic behaviours.
 736 Mineralogical analysis of the lean clay (CL) from Dinajpur (Bangladesh) was not reported by
 737 Hossain et al. (2018) but the California bearing ratio test results showed that it has an expansion
 738 ratio of 1.51 thus was unsuitable to be recycled in a road subgrade. X-ray diffraction (XRD)
 739 analysis of the sample from the FSB mine in Spain shows that the clay minerals in it comprise
 740 of 20% illite and 10% kaolinite, which are non-expandable, and 5% vermiculite which has
 741 limited expansion capacity.



742
 743 **Figure 7:** CMWGs' plasticity and clay minerals indicated on Casagrande's chart

744 4.2.4. Grain size and shape, and grain size distribution (GSD) of CMWGs

745 The shape of individual granular particles (with particle sizes $> 63 \mu\text{m}$) is at least as important
746 as the grain size distribution in governing their engineering response (Holtz and Kovacs 1981).
747 Many measures of shape exist, at the most basic level of description, and a useful distinction
748 can be made here between needlelike/flaky particles and bulky particles (Holtz and Kovacs
749 1981; Rodriguez et al. 2012). The percentage of flaky particles in a given soil tends to increase
750 with decreasing grain size as a consequence of the geological processes of soil formation
751 (Terzaghi and Peck 1948). When being compressed, needlelike/flaky particles compact more
752 than bulky particles (Holtz and Kovacs 1981; Penman 1971); and when being sheared, their
753 different shapes contribute differently to frictional resistance (Holtz and Kovacs 1981;
754 Terzaghi and Peck 1948). In particular, particle shapes strongly affect how materials can be
755 mixed and compacted. As particles become less bulky, both the maximum void ratio e_{max} and
756 minimum void ratio e_{min} increase, and $e_{\text{max}}-e_{\text{min}}$ also increases (Cho et al. 2006; Cubrinovski
757 and Ishihara 2002; Fraser 1935; Santamarina and Cho 2005). In terms of size and grading, it
758 has been found that when two or more granular soil samples of the same mineralogical content
759 are compacted to the same density under the same effective confining stress, as D_{50} (grain size
760 for 50% finer by weight on a GSD plot) increases, the peak shear strength and volumetric
761 dilatancy decrease; and for soils with the same D_{50} , a less uniform grading (i.e., higher
762 coefficient of uniformity C_u) yields a slightly lower peak shear strength (Harehdasht et al. 2018;
763 Kirkpatrick 1965). However, when sheared to constant volume condition, the shear strength of
764 granular soils depend primarily on the mineral compositions of the particles (Muir Wood 1990;
765 Negussey et al. 1988).

766 The ways coal-bearing rocks are mechanically broken and mined, and the treatment of left-
767 overs are consequential to properties of CMWGs. This can be reflected on a grain size
768 distribution (GSD) plot. The GSDs of many geomaterials show a self-similar (fractal)

769 distribution (Perfect and Kay 1995). Researchers demonstrated that the breaking up of larger
770 clusters/particles by mechanical actions results in smaller clusters/particles, the resulting GSD
771 exhibits fractal characteristic (Coop et al. 2004; McDowell et al. 1996). Tang et al. (2014)
772 conducted sieving experiments on 30 kg samples of coal gangues and found that their GSDs
773 exhibit fractal characteristics. Yang et al. (2021) conducted drop weight tests of coal samples
774 and found that the GSDs of broken fragments exhibit fractal characteristics. Ding and Liu
775 (2021) immersed a soft slate in water for different durations and found that it disintegrates into
776 particles with GSDs obeying different fractal distributions. Latest studies show that the GSDs
777 of many mine tailings (Qiu and Seg0 2001; Vo et al. 2020) and coal tailings (Islam 2021; Salam
778 et al. 2019; Vidler et al. 2020) also exhibit fractal characteristics. Russell (2010) described a
779 GSD exhibiting a single fractal scaling as:

$$780 \quad \%M_s(L < d_s) = 100 \left(\frac{d_s^{3-D_s} - d_{s \min}^{3-D_s}}{d_{s \max}^{3-D_s} - d_{s \min}^{3-D_s}} \right) \quad (4)$$

781 where D_s , d_s , $d_{s \max}$, $d_{s \min}$, M_s denote, respectively, fractal dimension of a GSD, a specific grain
782 size, the maximum grain size, the minimum grain size, and dry mass of particles.

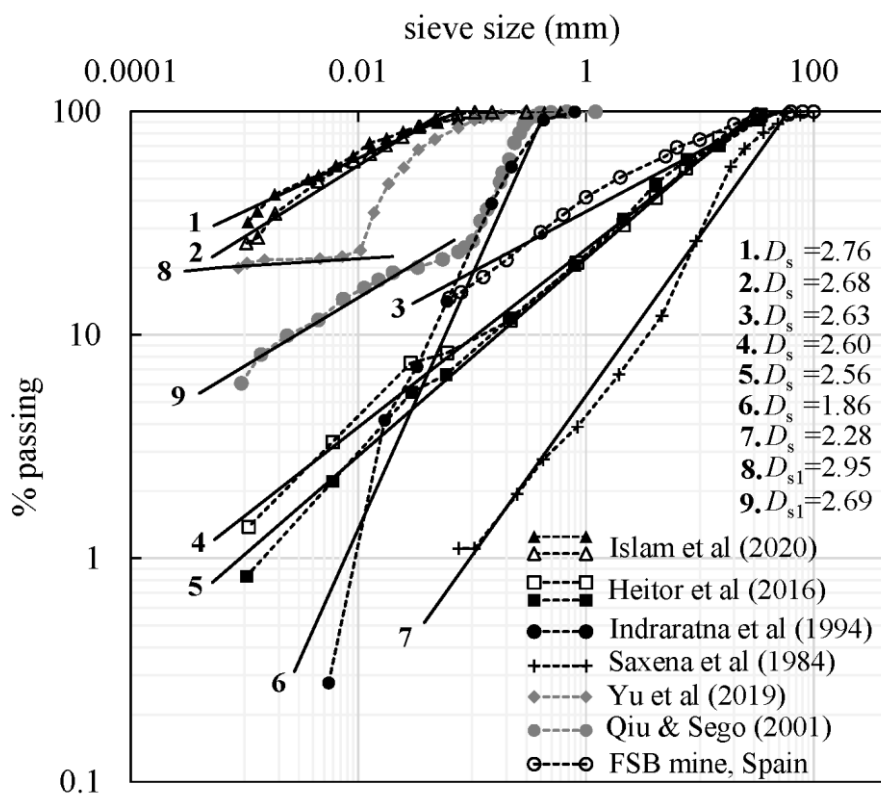
783 GSDs of some coal aggregates and tailings reported in the literature are replotted in Figure 8
784 to show how they could be approximated by Eq. (4). Figure 8 shows that a well-graded GSD
785 curve corresponds to a higher D_s and a poorly-graded GSD curve corresponds to a lower D_s .
786 Also plotted in the figure is this study's data obtained from the FSB mine in Spain. The GSD
787 of this sample obeys a single fractal scaling law with $D_s \approx 2.63$.

788 Eq. (4) may be extended to describe a GSD exhibiting double fractal characteristics as:

$$789 \quad \%M_s(L < d_s) = \frac{M_1}{M_1+M_2} 100 \left(\frac{d_s^{3-D_{s1}} - d_{s \min 1}^{3-D_{s1}}}{d_{s \max 1}^{3-D_{s1}} - d_{s \min 1}^{3-D_{s1}}} \right) + \frac{M_2}{M_1+M_2} 100 \left(\frac{d_s^{3-D_{s2}} - d_{s \min 2}^{3-D_{s2}}}{d_{s \max 2}^{3-D_{s2}} - d_{s \min 2}^{3-D_{s2}}} \right) \quad (5)$$

790 where M_1 is the mass percentage of population 1 (fractal dimension D_{s1}), M_2 is the mass
791 percentage of population 2 (fractal dimension D_{s2}), and $d_{s \min 1} < d_{s \max 1}$, $d_{s \min 2} < d_{s \max 2}$. D_{s1} can

792 be approximated on a GSD plot but D_{s2} would need to be identified by upscaling its mass
 793 percentage to 100%. Figure 8 shows how D_{s1} may be approximated from the GSDs of samples
 794 exhibiting double fractal characteristics. The samples of Yu et al. (2019) and Qiu and Segó
 795 (2001) with GSDs on this figure were collected *in situ* from an Appalachian coalfield in
 796 Kentucky (USA) and from a coal wash plant in the Coal Valley mine in Alberta (Canada),
 797 respectively.
 798



799

800

Figure 8: Fractality and heterogeneity in GSDs of CMWGs

801

802 Knowing the GSD is useful for estimating the mass and volume of aggregates needed to make
 803 CMWG-bearing construction products. This can be made even simpler when the GSD obeys a
 804 single or double fractal scaling law. However, there is a high degree of heterogeneity within
 805 the CWMGs in spoil heaps. Coal mining wastes weather rapidly when exposed to the elements

806 (Bishop 1973; Skarżyńska 1995a) but may remain relatively intact when buried deeply within
807 an unburnt spoil heap (Taylor 1975), thus depending on various factors (e.g., how the original
808 wastes were processed and deposited, how long they have been left there, whether they were
809 disturbed during storage) the GSDs of CMWGs reclaimed from storage may eventually be
810 more complex.

811

812 4.3. Mineralogical and state-dependant properties of CMWGs

813 4.3.1. Water retention and hydraulic conductivity of CMWGs

814 The saturated hydraulic conductivity (K_s) of intact coal mining waste aggregates varies
815 depending on the K_s of their parenting rocks; e.g. approximately 10^{-4} - 10^{-8} cm/s for sandstone,
816 10^{-7} - 10^{-11} cm/s for shale, and smaller for unfractured metamorphic and igneous rocks (Bear
817 1972; Freeze and Cherry 1979). The very low K_s of intact coal mining waste aggregates can
818 be attributed to their small pore sizes, i.e. generally smaller than 50 nm (Mastalerz et al. 2012;
819 Ma et al. 2017; Li et al. 2019). However, when coal mining waste geomaterials are deposited
820 in spoil heaps or impoundment facilities (as CMWGs), or processed into construction products,
821 it also becomes relevant to consider K_s of an aggregation of coal mining particles (in addition
822 to K_s of intact aggregates themselves). The K_s of CMWGs is dependent on their grain and pore
823 size distributions, and compactness (Holubec 1976; Leventhal and de Ambrosis 1985;
824 Skarżyńska 1995a; Ulusay et al. 1995). The value of K_s for CMWGs vary widely because these
825 geomaterials are susceptible to rapid weathering (Bishop 1973; Cobb 1977; Holubec 1976;
826 Saxena et al. 1984; Skarżyńska 1995a; Taylor and Spears 1973) and fabric inhomogeneity (Cobb
827 1977; Kirby 1980; Saxena et al. 1984). An increase in the degree of weathering is associated
828 with an increase in the portion of finer particles and pores and a decrease in K_s . Freshly wrought
829 coal waste aggregates deposited loosely in spoil heaps can be very permeable with $K_s=10^{-1}$ - 10^{-
830 2 cm/s (Skarżyńska 1995a), but with weathering and different levels of compaction, K_s can be

831 anywhere between 10^{-1} to 10^{-8} cm/s for coal waste aggregates (Holubec 1976). Entrainment of
832 fines at the interface between a tailing lagoon and its embankment may reduce K_s down to 10^{-12}
833 cm/s, i.e., effectively impermeable.

834 It was found that K_s of CMWGs measured *in situ* in the UK are about two orders of magnitude
835 higher than those measured in the laboratory (Cobb 1977; Kirby 1980). Hence the UK-based
836 studies recommend a greater reliance on *in situ* measurements (Murray and Symons 1974;
837 National Coal Board 1972). Saxena et al. (1984) found the average K_s measured *in situ* (on a
838 site in the USA) to be somewhat higher than in the laboratory and attributed this difference to
839 fabric. They found that with decreasing lift thickness, the field permeability decreases.
840 Rujikiatkamjorn et al. (2013) found that compacting the coal wash at wet and dry sides of the
841 optimum moisture content results in samples with different fabrics, and K_s versus void ratio
842 relations. For coal tailing deposits, distinct layers of different physical compositions are often
843 noticeable on visual examination.

844 With the exception of those wastes buried below ground water level to manage the AMD
845 problem, CMWGs *in situ* are generally unsaturated. Recent studies (Alonso and Cardoso 2010;
846 Oldecop and Rodari 2017; William 2012) showed a wide scope of applications of
847 geomechanics of unsaturated media in coal mining and post-mining operations. Geomechanics
848 of unsaturated media can be applied to characterise behaviors of CMWGs and porous
849 construction products containing them. In particular, water retention curve and hydraulic
850 conductivity function could be obtained to quantify how fluids and gases move through the
851 pore spaces. To show this simply, the 1D steady state version of Darcy's law (Buckingham
852 1907; Griffiths and Lu 2005; Richard and Fireman 1941) can be expressed as:

$$853 \quad q = -K \left(\frac{d\psi}{dz} + 1 \right) \quad (6)$$

854 where q is the flow rate (cm/s) and $d\psi/dz$ is the pressure gradient driving flow in the z direction.
855 Assuming $\psi = u_a - u_w \equiv s$ (kPa) where u_a , u_w , $s \equiv$ pore air pressure, pore water pressure and matric
856 suction, respectively, then i) the water retention curve is $s = f(s_e, S_r, e, \text{etc.})$ where $s_e \equiv$ air
857 entry/expulsion suction, $S_r \equiv$ degree of saturation, $e \equiv$ void ratio, and ii) the unsaturated
858 hydraulic conductivity function is $K = f(K_s, S_r, e, \text{etc.})$. There are many empirical models of
859 water retention curve and hydraulic conductivity function in the literature, adopting them often
860 requires calibration against relevant experimental data.

861 Water movements induce volumetric changes in intact coal mining aggregates, a behaviour
862 found to be strongly dependant on coal rank and pore characteristics (Suuberg et al. 1993;
863 Stanmore et al. 1997; McCutcheon et al. 2001; Ma et al. 2016). However, there are limited
864 experimental studies on water retention characteristics of aggregations of coal waste particles
865 (as CMWGs). Sharma et al. (1993) mixed lumps of coal and soil together in different ratios to
866 create coal spoil samples then tested them in a pressure plate device. They reported different
867 water retention behaviours between samples containing commercial lignite (with high water
868 repellency) and samples containing degraded lignite (with low water repellency). Qiu and Segó
869 (2001) studied the water retention characteristics of a coal tailing using the pressure plate test.
870 The air entry value was reported to be 18 kPa which is somewhat low considering that the
871 material was classified as a low plasticity clay. Residual volumetric water content was 18%
872 which is rather high. Fityus and Li (2006) conducted filter paper tests of processed Australian
873 coals and reported a significant difference between the soil water retention curve of the coals
874 and of a typical soil due to the coals' strong hydrophobic nature. They concluded that on drying
875 from a saturated state, processed coal would have negligible suction until $S_r < 0.5-0.6$, hence
876 much of the moisture in stockpiled coal would not contribute to forming films and adhesion to
877 suppress the release of dust. Vidler et al. (2020) obtained the soil water retention curves of a
878 coal tailing, the mineral fraction and the coal fraction using a tensiometer and a dewpoint

879 potentiometer. They found that the presence of coal in the tailing has a significant impact on
 880 the water retention behaviour of the coal tailing. The coal fraction desaturates at low suction
 881 on drying from a fully wetted state, its inclusion in tailing might cause localized
 882 hydrophobicity, and overall lower the air entry value of the geomaterial. Liu et al. (2021)
 883 investigated in experiments the effect of drying-wetting cycles on the hydromechanical
 884 behaviour of a compacted coal gangue. They found the pore-size distribution curve of a coal
 885 gangue to exhibit a bimodal feature. Both the inter-aggregate pores and intra-aggregate pore
 886 volumes were found to be affected by hydraulic loading.

887

888 4.3.2. Shear strength of CMWGs

889 As it is customary and widely adopted in practical geomechanics field, Mohr-Coulomb
 890 parameters are adopted here to discuss the shear strength of CMWGs. The shear strength
 891 parameter τ can be defined in terms of total and effective stresses as:

$$892 \quad \tau = c + \sigma \tan \varphi \quad (7)$$

$$893 \quad \tau = c' + \sigma' \tan \varphi' \quad (8)$$

894 where c , σ , φ , c' , σ' , φ' denote total cohesion, total stress, total friction angle, effective cohesion,
 895 effective stress, effective friction angle, respectively. The effective stress (σ') for saturated and
 896 unsaturated CMWGs can be defined respectively as (Bishop 1959; Terzaghi 1943):

$$897 \quad \sigma' = \sigma - u_w \quad (8)$$

$$898 \quad \sigma' = (\sigma - u_a) + \chi(u_a - u_w) \quad (9)$$

899 where $\chi \equiv$ effective stress parameter ($\chi=1$ for fully saturated conditions and $\chi=0$ for fully dry
 900 conditions). For unsaturated geomaterial, $u_a - u_w = s$. The $\chi(u_a - u_w)$ component in Eq. (9)
 901 contributes to the effective stress and shear strength of unsaturated geomaterials. Bishop (1960)

902 suggested that χ depends on many factors including S_r , s , the drying-wetting cycle and the
 903 stress history of the geomaterial. Characterising the dependency of $\chi(u_a-u_w)$ to different states
 904 is the key to estimate the shear strength of unsaturated geomaterials.

905 Different types of shearing tests and interpretations of test data contributed to a large variation
 906 of c' , ϕ' for CMWGs reported in the literature (Holubec 1976). This section will focus on
 907 triaxial shearing tests.

908 The shear strength of saturated CMWGs has been extensively characterized in triaxial shearing
 909 tests. Typical c' , ϕ' of CMWGs obtained from consolidated undrained (CU), consolidated
 910 drained (CD), multistage consolidated undrained (m-CU), multistage consolidated drained (m-
 911 CD) tests reported in the literature are shown in Table 3. Also included, is this study's data of
 912 a coal heap sample obtained from the FSB mine (Spain). The shear strength of a CMWG varies
 913 considerably depending on its sampling location (Bishop et al. 1969; Kirby 1980). The c' , ϕ' in
 914 Table 3 were the peak shear strength parameters for the level of shear strain, compactedness
 915 and effective confining stress considered in those studies. The c' , ϕ' in Table 3 do not differ
 916 significantly between coal tailing and coal waste aggregates. The shear strength of coal tailing
 917 in Appalachian region, USA was found to be relatively high given its grain size distribution
 918 (Holubec 1976). Thompson et al. (1973) and Taylor (1974b) attributed the relatively high shear
 919 strength of coal tailing to the presence of the coal mineral in it. Kirby (1980) found that both
 920 the coal content and shear strength of coal tailings in the UK were in fact higher than those of
 921 the coal waste aggregates.

922 **Table 3.** (c' , ϕ') obtained from consolidated undrained (CU), consolidated drained (CD),
 923 multistage consolidated undrained (m-CU), multistage consolidated drained (m-CU) tests on
 924 saturated samples

Type	Unified Soil Classification System/Gs	c' (kPa)	ϕ' (°)	Test	Location	Reference
Impounded waste	(not available)/1.75-2.22	0	22-39	CD	Aberfan, UK	(Thompson and Rodin 1972)

Impounded waste	(not available)/1.61-2.01	0-20	25-42.7	CU	Peckfield, UK	(Kirby 1980)
Impounded waste	(not available)/1.42-2.32	0-7	30.5-35	CU	East Hetton Colliery, UK	(Kirby 1980)
Impounded waste	(not available)/1.42-2.32	0-27	31.8-40.5	CU	Maltby, UK	(Kirby 1980)
Impounded waste	CL/1.94	10	32	CU	Coal Valley Mine, Canada	(Qiu and Seg0 2001)
Impounded waste	(not available)/1.34-1.66	0-14.34	32-40	CU	Appalachian Region, USA	(Holubec 1976)
Impounded waste	SM/2.10	13.8	29	m-CU	Appalachian Region, USA	(Salam et al. 2019)
Impounded waste	ML/2.10	25.5	26	m-CU	Appalachian Region, USA	(Salam et al. 2019)
Impounded waste	ML/2.10	24.8	30	m-CU	Appalachian Region, USA	(Salam et al. 2019)
Impounded waste	SM/2.10	20.7	31	m-CU	Appalachian Region, USA	(Salam et al. 2019)
Impounded waste	SM/2.20	16.5	36	m-CD	Appalachian Region, USA	(Salam et al. 2019)
Impounded waste	ML/2.20	13.1	38	m-CD	Appalachian Region, USA	(Salam et al. 2019)
Coal waste aggregate	(not available)/1.80-2.70	0	23-42	CD	Aberfan, UK	(Thompson and Rodin 1972)
Coal waste aggregate	(not available)/1.75-2.50	0-24	28-41	CU	Appalachian Region, USA	(Holubec 1976)
Coal waste aggregate	GM-MH/(not available)	0-10	23-35	CD	Eskihisar coal mine, Turkey	(Ulusay et al. 1995)
Coal waste aggregate	GW-GC/(not available)	1-40	33.2-38.6	m-CU	Queensland, Australia	(Gallage et al. 2015)
Coal waste aggregate	SC/2.23	0	35	CD	NSW, Australia	(Heitor et al. 2016)
Coal waste aggregate	(not available)/(not available)	5-25	33-36	CD	QLD, NSW & VIC, Australia	(Simmons 2020)
Coal waste aggregate	SM/2.59	0	29.1	CU	Forjas Santa Barbara mine, Spain	This study

925

926 There are few laboratory experiments investigating the effective shear strength parameters (c' ,

927 ϕ') of unsaturated CMWGs. Most experiments conducted on unsaturated coal mining wastes

928 obtained the total strength parameters (c , ϕ). Eqs. (7)-(9) show that the separate impact of u_a ,

929 u_w and χ on shear strength cannot be distinguished using the total strength parameters c , ϕ .

930 Saxena et al. (1984) reported that the unconfined compression strength of CMWGs was more
931 dependent on the water content at the time of testing rather than on dry density. Okogbue and
932 Ezeajugh (1991) investigated an unsaturated Nigerian coal waste in experiments and obtained
933 $\phi=13.4^{\circ}-15^{\circ}$ and $c=55-57$ kPa in UU (unconsolidated undrained) test, and $c=38.6-39.2$ kPa in
934 UC (unconfined compression) test. The relatively high c and low ϕ were attributed to the type
935 of tests (i.e., UU, UC). If the test data for Forjas Santa Barbara mine (Spain) (Table 3) were to
936 be interpreted in total stress, $c=111$ kPa $> c'=0$ kPa, $\phi=27.7^{\circ} < \phi'=29.1^{\circ}$. Indraratna et al. (1994)
937 showed that ϕ of compacted coal tailings obtained from unsaturated CU tests was maximum at
938 optimum moisture content although the influence of void ratio was not accounted for separately
939 in their results.

940 Particle breakage is another factor that affects the shear strength of weak coal-bearing rocks
941 and aggregates and their potential for reuse. It has been established that many types of
942 aggregates when used as rockfills (including coal-bearing aggregates) are prone to particle
943 breakage once the effective confining stress reached a critical value (Marachi et al. 1972;
944 Marsal 1967, 1973). Indraratna et al. (1998) tested latite basalt aggregates (flakiness index
945 FI=25%, $D_{50}=30-40$ mm, $C_u=1.5-1.6$) in a large triaxial test to characterise their shear
946 behaviour as railway ballast. They reported a departure in shear and deformation behaviours of
947 the basalt between low effective confining stress (<100 kPa) and higher effective confining
948 stress. Breakage was found to be influenced by the shape, size, grading of particles and the
949 compactedness of test samples. At higher levels of effective confining stress, localized
950 breakage occurred at contact points between particles. The contact stress can be much higher
951 than the applied deviator stress. Broken particles fill up the void spaces and reduce the
952 hydraulic conductivity of the porous medium (Ma et al. 2017). In practice, this mechanism of
953 particle degradation is commonly observed to lead to clogging and undrained failure of railway
954 ballast (Chrismer and Read 1994). Heitor et al. (2016) tested a coal wash from Wollongong

955 (Australia) in drained and undrained triaxial compression tests in effective confining stresses
956 of up to 600 kPa, and isotropic compression tests in effective confining stresses of up to 1,400
957 kPa. It was found that the compaction of the coal wash aggregates at their natural moisture
958 content into triaxial samples induced significant particle breakage. The aggregates compacted
959 under 170, 341 and 681 kJ/m³ (using standard Proctor compaction device) each attained unique
960 effective strength parameters (c' , ϕ') at the critical state. Consolidation and shearing of the coal
961 wash also induced significant particle breakage when a critical effective confining stress (in
962 that case, 127 kPa) was exceeded.

963

964 5. Properties relevant to the recovery of metals from CMWGs

965 Unprocessed coal contains both organic and inorganic matter, which can host a wide range of
966 major and trace metals. Geological processes operating during peat accumulation and
967 diagenesis, including volcanic ash, sediment deposition, or infiltration by subsurface fluids,
968 can contribute metals that are not originally present in the peat itself. Consequently, several
969 rare (e.g., Ga, Ge, U, Nb, Zr), precious (e.g., Au, Ag) and base (e.g., Al, Fe, Mg) metals can be
970 enriched within coal-bearing strata, and these have been known to reach concentrations that
971 are comparable with some conventional ore deposits. Such metals can be present in a wide
972 range of physical and chemical forms, which include, being hosted in fine grained minerals
973 that are directly encased within the coal-bearing matrix; being sorbed onto the surface of
974 organic matter; and being dissolved in pore waters (Dai et al. 2020). Consequently, CMWGs
975 derived from such 'metalliferous' coal seams have the potential to be a source for metals whose
976 primary extraction process is expensive, or which are especially limited in supply.

977 To date, commercial metal extraction from coal has been limited to its combustion products;
978 the metals being obtained as byproducts of thermal power generation. Such extraction has been
979 undertaken at industrial scale for U and Ge, at a more modest scale for Au and Ag, and at pilot

980 scale for Al, Ga, Si, Mg and some of the rare earth elements (REEs) (Dai and Finkelman 2018).
981 U coal mines were undertaken by the USA and the USSR during the rapid initial development
982 of the nuclear industry after the Second World War. Coal-hosted U is predominantly bound to
983 organic matter, and although coal is no longer the primary U source, interest has recently
984 revived amid concerns about the depletion of conventional deposits (Dai and Finkelman 2018).
985 Ge has been sourced from coal since the 1950s, and even today, Russian and Chinese coal
986 mines account for more than half of global production (Seredin et al. 2013). Like U, Ge is
987 predominantly hosted by organic constituents of the coal (Wei and Rimmer 2017), and is
988 liberated upon combustion and recovered in purpose-built ash collectors. At a much smaller
989 scale, Au and Ag have been mined from North American coals during the 19th and 20th
990 centuries (Seredin and Finkelman 2008), though neither metal is appreciably sourced in this
991 manner today. Pilot plants, built as technology demonstrators for the recovery of Al and Ga
992 and the REEs have been under development in Mongolia and the USA (Dai and Finkelman
993 2018, Honaker et al. 2019).

994 Commercial metal extraction from CMWGs (rather than combustion products) has no
995 historical precedent of which the authors are aware. Currently, the most intensively studied
996 metals in this regard are the REEs, which are frequently more enriched in coals than they are
997 in crustal rocks on average (Zhang and Honaker 2019). This enrichment has been attributed to
998 the chelating ability of humic acids that are present in nascent seams during the coalification
999 process (Zhang and Honaker 2019), and to the various geological processes of sediment
1000 redistribution, volcanic ash deposition, and groundwater and hydrothermal infiltration (Hower
1001 et al 2016). Average cumulative REE concentrations (i.e., for all of the lanthanides plus Sc and
1002 Y) in coal have been reported as 69 and 72ppm in lignite and bituminous coals respectively
1003 (Ketris and Yudovich 2009), although considerable variability occurs among the world's coal
1004 seams. In extreme cases, such as the Fire Clay seam in East Kentucky (USA), these cumulative

1005 concentrations can be as high as 4540ppm (Zhang and Honaker 2019). Notably, coal and coal
1006 derivatives contain a greater proportion of the more commercially valuable REEs than do
1007 primary ores; the heavy REEs (HREEs: Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu) and ‘critical’ REE
1008 (CREEs: Nd, Eu, Tb, Dy, Y) (Seredin and Dai 2012).

1009 In addition to the abundance of REEs in CMWGs, the manner in which they are incorporated
1010 into these waste materials is critically important in determining their viability as a commercial
1011 resource. For example, REEs adsorbed onto mineral surfaces are more readily extracted by
1012 leaching than those hosted inside mineral lattices. Since minerals exhibit a wide variability in
1013 solubility certain minerals require more expensive and/or resource intensive leaching agents
1014 and associated leaching conditions (e.g., high temperature and/or pressure) to extract the REEs
1015 hosted in their lattices. Indeed, the ‘mode of occurrence’ of REEs in CMWGs remains a
1016 vigorous area of research (e.g., Finkelman et al. 2018). Recent studies have typically applied
1017 optical and scanning electron microscopy (SEM) to identify the REE-bearing particles directly,
1018 and such research is often coupled with mineral phase analysis (e.g., QEMSCAN (quantitative
1019 evaluation of materials by scanning electron microscopy), XRD, Raman Spectroscopy) and
1020 sequential chemical extraction experiments. The latter technique is employed to quantify the
1021 solubility and physical distribution of metals within the CMWG for leaching. It typically
1022 consists of the application of a series successively more oxidizing leaching agents, so that more
1023 strongly-bound metals are progressively removed. The metal concentrations of the leachates
1024 obtained can be used to calculate the proportion of each metal that is bound to the various
1025 components (or ‘fractions’) of the sample. Sequential extraction data (e.g., Zhang and Honaker
1026 2019, Zhang and Honaker 2020, Finkelman et al. 2018) have indicated that in general, REEs
1027 are dominantly bound to the insoluble oxide or silicate fractions of CMWGs, indicating that
1028 they are generally hosted by minerals that may only be dissolved using strong mineral acids.
1029 SEM analyses have identified these minerals as monazite, xenotime, zircon, florencite, allanite,

1030 apatite (calcium phosphate), alunite supergroup minerals, hydrated phosphates, and less
1031 commonly, oxides, carbonates or fluorocarbonates (Dai and Finkelman 2018).

1032 It must be emphasized that there are exceptions to the above general conclusions, which arise
1033 from the diversity in both coal rank and REE geochemical behaviour. For example, Finkelman
1034 et al. (2018) reported that a higher proportion of HREEs are organically bound than LREEs,
1035 and a study of lower-rank lignite coals by Laudal et al. (2018) indicated all of the REEs were
1036 dominantly bound organically. However, for the majority of CMWGs investigated to date, the
1037 REEs have been typically recorded as mineral-hosted and therefore difficult to economically
1038 separate from the gangue material. As a result, extraction of REEs from CMWGs presents a
1039 formidable technical challenge, potentially requiring pre-treatment of the waste material by
1040 heating or physical beneficiation (mechanical separation on the basis of density or
1041 hydrophobicity) before the target metals can be leached. For example, at a pilot plant developed
1042 to extract REEs from North American coals, it was reported by Honaker et al. (2019) that
1043 heating to 600°C for 2 hours raised the fraction of REEs that can be leached with H₂SO₄ from
1044 25% to 85%. The commercial viability of CMWGs as a source of REEs will depend on the
1045 success of future treatment processes, as well as the development of appropriate leaching
1046 agents.

1047

1048 6. Conclusions

1049 There is currently a drive to develop innovative concepts for managing, recycling and
1050 upcycling waste geomaterials generated by coal mining activities in Europe and throughout the
1051 world. CMWGs present us with many challenging problems such as spontaneous combustion
1052 and leaching of acidic water to the surrounding environment, slope instability of spoil heaps
1053 and flow liquefaction of impoundment facilities. Storing CMWG deposits consume economic
1054 resources yet there is great demand of raw geomaterials in the construction industry. For

1055 example, 2.7 billion tonnes of natural aggregates are produced in Europe for construction
1056 purposes every year, although there is an imperative to conserve natural resources.

1057 This paper reviewed the properties of CMWGs relevant to assess their suitability as raw
1058 geomaterials in construction industry. Many features of this review set it apart from other
1059 reviews. This review integrates previous understandings with state-of-the-art literature from
1060 geochemical, geotechnical and structural engineering to provide a multifaceted view of
1061 CMWGs' properties. This is essential because historically coal mining wastes have been
1062 regarded as problematic geomaterials thus there is resistance to their utilisations in the
1063 communities. Secondly, the review does not only focus on properties of the geoparticles
1064 themselves but also on properties of their surrounding pore and solid networks. This is essential
1065 because the geoparticles have to be reclaimed from CMWGs' storage facilities, further
1066 processed into components of construction products. In those conditions the geoparticles are
1067 aggregated where interactions with the surrounding pores and particles may govern their
1068 macrobehaviours. Also, due to the complexity of CMWGs' properties, this review attempted
1069 to distill from the literature some practical yet simple steps that yield useful information for the
1070 initial and more substantive screening of CMWGs in specific construction applications.

1071 • With regards to geochemical aspects of CMWGs, it was emphasized previously in the
1072 literature (e.g., Younger 2004) that coal mining wastes are associated with some major
1073 problems such as air pollution, fire hazards, ground deformation, water pollution, and water
1074 re-source depletion. Assessing the suitability of CMWGs for upcycling as a secondary raw
1075 material for higher level civil engineering applications requires a robust understanding of
1076 the geochemical processes it is likely to undergo. The suitability of CMWGs for a particular
1077 construction application can vary from one mine to another due to their diverse mineral
1078 contents. It was found in this review that CMWGs are good SCM candidates because they
1079 often contain large fractions of clay minerals that can be conditioned (and blended with

1080 other materials when necessary) to acquire pozzolanic properties, as has been demonstrated
1081 in many recent studies (e.g., Bich et al. 2009; Frías et al. 2012; Vigil de la Villa et al. 2014;
1082 García Giménez et al. 2016; Caneda-Martínez et al. 2019; Rodríguez et al. 2021). It was
1083 also concluded in this review that more research is needed to investigate the propensity for
1084 CMWGs to promote ASR (since this condition can take years to realise) and ACR (since
1085 the extent to which this reaction impacts durability is not sufficiently delineated from other
1086 processes). Furthermore, it was found that the recovery of metals from CMWGs is
1087 challenging and worthy of further investigation. The commercial viability of metal
1088 recovery from these wastes depends critically on their relative abundance and the manner
1089 in which they are incorporated into the host particles. Selective recovery of metals from
1090 CMWG-bearing construction products appears to be a much more feasible option.

1091 • Previous reviews (Holubec 1976; Skarżyńska 1995a; Masoudian et al. 2019) emphasized
1092 that CMWGs are chemically and physically highly-heterogeneous, and CMWGs have been
1093 utilised successfully in many geotechnical and specialised structural applications
1094 (Hammond 1988; Skarżyńska 1995b; Liu and Liu 2010). This review focuses on the
1095 interactions between mineralogy, geotechnical indices, and state-dependant properties of
1096 CMWGs. It was found that the mineral content of a CMWG can influence both the
1097 durability and the strength of its potential construction applications. Simple techniques are
1098 provided to aid the initial screening of CMWGs for their suitability e.g., the heterogeneity
1099 of a CMWG may be predicted via its GSD, the carbon content of a CMWG can be
1100 approximated by its G_s , the presence of major clay minerals in a CMWG may be indicated
1101 by how LL , I_p plots on a Casagrande's chart. When a more substantive screening of
1102 CMWGs for suitability is needed, it was found that quantifying the amount of expandable
1103 minerals and durability performance using techniques such as x-ray diffraction and slake
1104 durability test is important. Moreover, it was found that the highly-heterogenous state-

1105 dependent properties of CMWGs (e.g., water retention, hydraulic conductivity, shear
1106 strength) are impacted by complex factors such as coal content, particle size and shape,
1107 pore scale spanning 6-9 orders of magnitude, and the presence of pore air and pore water
1108 in the interstitial void space. In this respect, recent studies (Alonso and Cardoso 2010;
1109 Oldecop and Rodari 2017; William 2012) showed that there is a wide scope of applications
1110 of geomechanics of unsaturated media in characterising the behaviors of CMWGs and
1111 porous construction products containing them.

1112 • There are still scattered experiences with reference to the application of CMWGs as
1113 constituents of concrete and cement based mixtures either as supplementary cementitious
1114 material replacing Ordinary Portland Cement or as recycled aggregates in substitution of
1115 natural ones. Surveyed studies have highlighted on the one hand the importance of proper
1116 mineralogical and mechanical characterization of CMWGs for their suitability to the
1117 purpose above. On the other hand, the need and possibility have been demonstrated of
1118 finding, through appropriate tests, the optimal replacement percentages in order to obtain a
1119 concrete mix featuring the level of mechanical and durability performance required for the
1120 intended engineering application. Variations, generally reductions, due to the incorporation
1121 of CMWGs can be kept within limits which still make the obtained concretes suitable for
1122 the intended purposes through appropriate selection and grading of the same CMWGs and
1123 suitable mix-design approaches, highlighting the importance and need of a unified
1124 framework for promoting their valorization into cement based construction materials and
1125 products.

1126

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1132

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: