

1        **An advanced analytical assessment of rare earth element concentration,**  
2  
3        **distribution, speciation, crystallography and solid-state chemistry in fly ash**

4        Ilemona C. Okeme <sup>a\*</sup>, Peter G. Martin <sup>a</sup>, Christopher Jones<sup>a</sup>, Richard A. Crane <sup>b</sup>, Theophilus I. Ojonimi  
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6        <sup>c</sup>, Konstantin Ignatyev <sup>d</sup>, Thomas B. Scott <sup>a</sup>

7        <sup>a</sup> Interface Analysis Centre, School of Physics, University of Bristol, HH Wills Physics Laboratory, Bristol,  
8        BS8 1TL, United Kingdom.

9        <sup>b</sup> Camborne School of Mines, College of Engineering, Mathematics and Physical Sciences, University of  
10        Exeter, UK; Environment and Sustainability Institute, University of Exeter, UK.

11        <sup>c</sup> Department of Mining Engineering, University of Jos, Jos, 930222, Nigeria.

12        <sup>d</sup> Diamond Light Source Limited, Harwell Science and Innovation Campus, Didcot, Oxfordshire.

13        **\* Corresponding author:**

14        Email: io17820@bristol.ac.uk

15        Tel: +44 (0) 117 33 17686

16        **Highlights**

- 17        • The total rare earth content of the studied samples is promising for recovery.
- 18        • Critical rare earths comprised 30wt% of rare earth content within samples studied.
- 19        • Micromanipulation method employed to isolate individual monazite particles.
- 20        • Radial zonation observed in monazite particles, with rim rich in rare earths.
- 21        • Ce oxidised and monazite structure amorphized, increasing chemical reactivity.

## 25 **Abstract**

26 Fly ash represents a promising alternative source of rare earth elements (REE). However, information  
27 on REE containing mineral phases and their association with other fly ash components, vital for REE  
28 recovery from fly ash, is currently lacking. Herein, the mass fraction, distribution, crystallography and  
29 solid-state chemistry of REE, U and Th in Nigerian simulated fly ash samples were characterised using  
30 a range of laboratory and synchrotron x-ray based analytical techniques to underpin future extraction  
31 methodologies. Inductively coupled plasma mass spectrometry following full-acid digest of forty-five  
32 samples revealed recoverable average total REE content which ranged between 442 mgkg<sup>-1</sup> and 625  
33 mgkg<sup>-1</sup>, comprising over 30wt% of the critical REE Nd, Eu, Tb, Dy, Y and Er. These REE within the fly  
34 ash samples were found to be most frequently associated with discrete monazite, xenotime and Y-  
35 bearing zircon mineral particles, with the former the most detected, which could be  
36 beneficiated through gravity separation. Analysis of monazite particles isolated from the composite  
37 samples through a complimentary suite of analytical synchrotron radiation techniques revealed a  
38 core-shell pattern, with the shell rich in colocalised Ce, Nd and La, and the core enrich in both U and  
39 Th. Ce in monazite was found to exist in a mixed trivalent and tetravalent oxidation state, with the  
40 monazite structure amorphized due to the high temperature combustion process. Such results  
41 demonstrate the strong co-association and physical distribution of REE, U and Th within monazite in  
42 fly ash; knowledge of which can subsequently be used to optimise or develop a more selective, cost-  
43 effective and environmentally friendly solvent extraction methodology, by targeting the strongly  
44 colocalised and surface bound REE in fly ash monazite particles.

45 **Keywords:** Nigeria; fly ash; rare earth elements; monazite; synchrotron radiation.

## 46 **1. Introduction**

47 Owing to their unique chemical and physical properties, the rare earth elements (REE) are of  
48 fundamental industrial importance, with applications including automobiles, green energy, electronics  
49 and defence [1,2]. REE consist of chemically similar elements; the fourteen naturally occurring

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50 lanthanides, plus Y and Sc [3]. Based on their electronic configuration, these elements are further  
51 subdivided into the light rare earth elements (LREE) (La to Gd) and the heavy rare earth elements  
52 (HREE) (Tb to Lu with Sc and Y) [4]. REE rarely occur in easily exploitable high-grade deposits and never  
53 occur as native metals. Their use in final products is therefore dependent upon their efficient  
54 extraction from their host minerals [5,6]. When compared against their total average crustal  
55 abundance (typically 160 mgkg<sup>-1</sup> to 205 mgkg<sup>-1</sup> [7]), their mass fraction within minerals and ores is  
56 relatively low [3]. Out of the approximately 200 minerals known to contain significant quantities of  
57 REE, only bastnaesite ((Ce, La)CO<sub>3</sub>F), monazite ((Ce,La,Nd,Th)PO<sub>4</sub>), xenotime (YPO<sub>4</sub>) and ion-  
58 adsorption clays are mined commercially for REE production; with monazite and bastnaesite typically  
59 exploited for LREE minerals, and xenotime and ion-adsorption clays for the HREE [8,9]. These REE  
60 minerals are accessory minerals of U and Th and are therefore invariably radioactive, constituting a  
61 commensurate human health and environmental hazard [9].

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Currently, more than 75% of REE production, nearly half of the known reserves, and the majority of REE metallurgical technologies occur (or are located) in China [2]. Resulting from this near total market monopoly coupled with recent instabilities in the global REE supply market and the projected explosion in demand over the coming decades [2], there is now a renewed incentive for countries to secure economically sustainable REE supply. Amongst this there are a growing number “unconventional sources” such as coal and coal waste products (e.g. coal mine waste, fly ash, acid mine drainage and mine tailings) which have emerged in recent years as highly viable targets for REE recovery [8].

With billions of tons of fly ash already stored in repositories globally, and millions produced annually (especially in the USA, China and India), the development of new methodologies to extract REE from this major untapped resource is urgently required. Following changes in USA-China trading activity in recent years, the USA Senate reintroduced the REE Advanced Coal Technologies Act (REEACT),

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2 74 initiating research into the development of technologies capable of extracting REEs from coal and coal  
3 by-products [10].  
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5 76 The recovery of REEs from fly ash rather than coal and traditional REE-containing ores has several  
6 notable advantages [8]. It is a cheap and readily-available post-combustion by-product enriched in  
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8 77 inorganic REE minerals such as phosphates, by a factor of six to ten relative to precursor coal  
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10 78 depending on the geological origin of the feedstock (a consequence of their high melting, boiling, and  
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12 79 thermal decomposition temperatures) [11,12]. Furthermore, fly ash does not require extensive  
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14 80 excavation, unlike the mining of REE ores, which represents a significant capital investment and is  
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16 81 environmentally destructive - REE mining process generates large volumes of waste rock that is rich  
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18 82 in radionuclides. In addition, coal fly ash is an inorganic fine powder, therefore making it ideal for  
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20 83 chemical processing by eliminating the need for costly and energy intensive crushing and grinding.  
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27 85 Previous studies have demonstrated the occurrence and distribution of REE in coal deposits and their  
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29 86 respective ash by-products deposits [13,14]. Seredin et al [14], used inductively coupled plasma-mass  
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31 87 spectrometer (ICP-MS) to characterise coal samples from Russia's Pavlovka coal deposit and found the  
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33 88 REE concentration to be up to 1290 mgkg<sup>-1</sup>, with the REE in the resulting ash having a mass fraction  
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35 89 (wt%) of 1%. Two further ICP-MS studies of ash samples from a power plant burning coal from the  
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37 90 Kentucky Fire Clay coal bed (a lithology rich in volcanic ash) observed a REE contents of 1200 mgkg<sup>-1</sup>  
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39 91 to 1670 mgkg<sup>-1</sup> [11,12]. Recent surveys published by the United States Department of Energy (US DoE)  
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41 92 indicated total REE contents (not including Sc) of 41 mgkg<sup>-1</sup> to 1286 mgkg<sup>-1</sup> in U.S. fly ash [15,16].  
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43 93 Additional studies on fly ash using scanning electron microscopy (SEM) coupled with energy dispersive  
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45 94 (x-ray) spectroscopy (EDS), has shown the main REE-bearing phases retained in fly ash to be phosphate  
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47 95 minerals (monazite and xenotime), zircon (ZrSiO<sub>4</sub>), bastnaesite, Ce-Nd bearing carbonates, and  
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49 96 organically associated lanthanides [17-19].  
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56 97 Synchrotron techniques such as micro-x-ray fluorescence ( $\mu$ -XRF) and micro-x-ray absorption near  
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58 98 edge structure ( $\mu$ -XANES) have been used extensively to characterise trace metal distribution and  
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99 speciation in different geological materials [20,21]. Within this there have been several studies where  
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2 100 the Ce L<sub>III</sub> absorption edge in geological materials has been investigated [22,23]. However, while  
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4 101 synchrotron radiation techniques (such as  $\mu$ -XRF and  $\mu$ -XANES) have formerly been utilised to examine  
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6 102 the REE composition and distribution within fly ash samples [24,25], other synchrotron radiation  
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8 103 techniques such as  $\mu$ -XRF tomography and micro-x-ray diffraction ( $\mu$ -XRD) have not been exploited.  
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10 104 Therefore, studies employing such enhanced synchrotron radiation techniques represent a powerful  
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12 105 means to derive unique information on REEs; such as an elements oxidation state (at the micron scale),  
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14 106 speciation, distribution within REE minerals and alterations to the crystallographic structure, for  
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16 107 combined elucidation of geochemical and thermal changes in the REE minerals within the residual fly  
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18 108 ash following the combustion of the precursor coal.

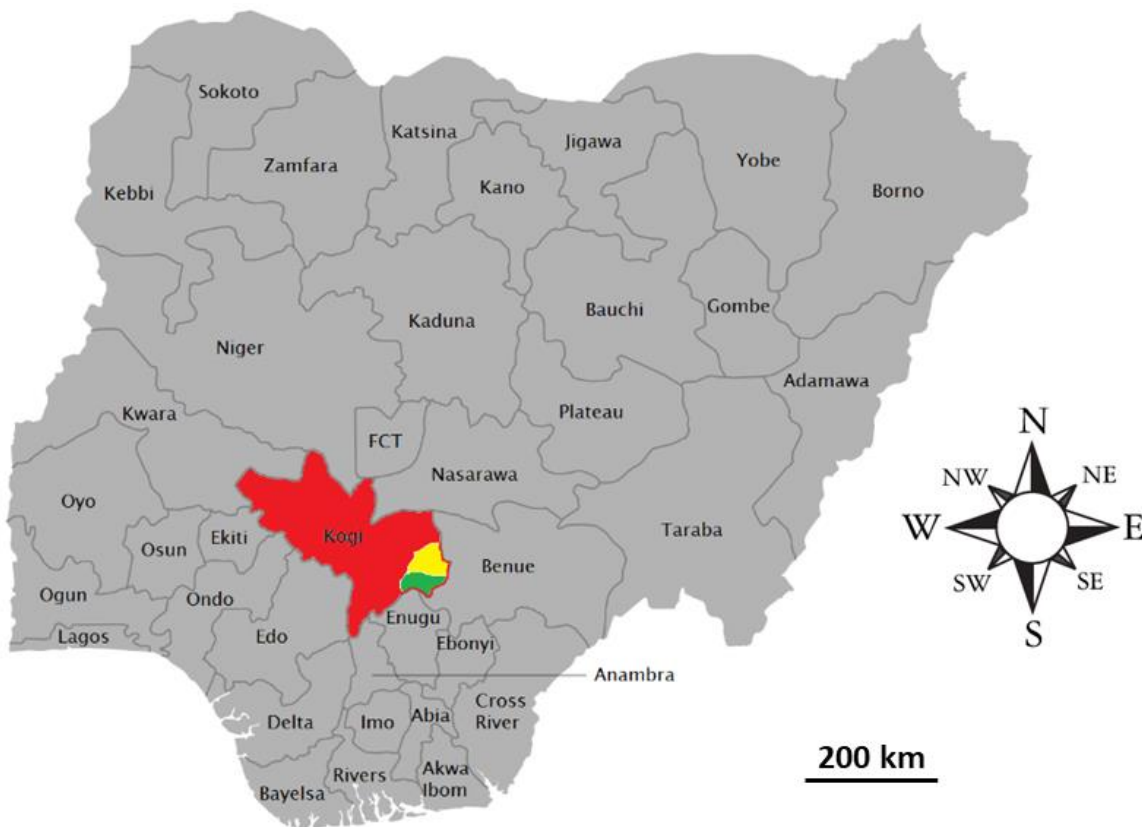
24 109 This study has been established to characterise REE-bearing minerals contained within Nigerian fly ash  
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26 110 samples. To meet this end, single REE-bearing particles within bulk fly ash samples were extracted  
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28 111 from the surrounding matrix prior to synchrotron analysis ( $\mu$ -XRF and  $\mu$ -XANES).  $\mu$ -XRD and  $\mu$ -XRF  
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30 112 tomography characterisation of such minerals was performed to obtain further insight (through 2D  
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32 113 and 3D models) into the structural transformation that may occur as a result of the combustion  
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34 114 processes, and the REEs distribution pattern within individual monazite micro particles. The results  
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36 115 obtained are essential in developing a fundamental understanding of the distribution and  
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38 116 colocalisation of REE, U and Th within monazite particles, and therefore in the optimisation of recovery  
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40 117 methods for such metals/minerals for both resource recovery and environmental protection [26].  
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## 46 118 **2. Materials and Methods**

### 49 119 **2.1 Study Area**

52 120 The study examines material sourced from three open-pit coal mines located in Kogi state, Nigeria, as  
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54 121 shown in Figure 1 [27]: both Okaba (OKA) and Odagbo (ODA) mines are located in Okaba and Odagbo,  
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56 122 respectively, within the Ankpa Local Government Area (LGA), with Omelewu (OMA) coal mine located  
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59 123 in Imane, within the Olamaboro LGA. The coal mines host sub-bituminous coal (part of the Mamu  
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124 Formation) and belong to the Kogi mining district, comprising an area of 225 thousand hectare [28,29].  
125 Further information on the geologic setting and the coal from these coal mines can be found in [28-  
126 32]. Currently, these coal deposits are commercially mined for coal briquette production and as an  
127 energy source for nearby cement production [33,34]. Nigeria has known coal reserves of  
128 approximately 639 million metric tonnes, with additional estimated reserves of approximately 2750  
129 million metric tonnes [35].



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131 **Figure 1:** Map of Nigerian states showing the location of Ankpa LGA (yellow), and Olamaboro LGA  
132 (green) both in Kogi state (red). Modified from [27].

## 133 2.2 Samples Collection and Preparation

134 Coal samples (each approximately 200 g, packed in polythene bags) were collected from across the  
135 three mines using a stratified random sampling method to obtain representative material.

136 Since Nigeria's coal-fired power plants are only at an advanced stage of planning (that is, no  
137 operational coal-fired power plants exist in Nigeria from which to obtain fly ash samples), simulant fly

138 ash samples were studied in this work. To simulate fly ash within the laboratory, crushed samples  
139 were oven-dried at 100°C for 30 minutes, then pulverised and homogenised before being passed  
140 through a 150 µm mesh sieve. The samples were then combusted using a muffle furnace at 1100°C  
141 (below the fusion temperature of the ash, while completely removing the organic matter content).  
142 This selection of combustion temperature also approximates to the temperature used in coal-fired  
143 power plants burning low rank coal (Lignite and sub-bituminous) [36]. The implication of burning low  
144 rank coal (at a low temperature) over high rank coal is that it is more likely for the rare earth mineral  
145 particles to exist as discretely rather than being encapsulated in the glassy component of ash, since  
146 the melting temperatures of glass forming aluminosilicate minerals are higher than this combustion  
147 temperature. Following ashing, the samples were left to cool. Sieve analysis revealed that about 80%  
148 of these coal ash materials fell in the particle size range of 1 to 300 µm, which translated to 80% fly  
149 ash and 20% bottom ash.

## 2.3 Elemental composition and mineralogical analysis

### 2.3.1 XRF and XRD analysis

152 To determine the major elements (as oxide ratios) and trace heavy toxic metals in the bulk fly ash  
153 samples, a total of 15 fly ash samples per coal mine were analysed using a benchtop Niton™ FXL 950  
154 XRF analyser (Ag anode with 50 kV/80 µA maximum X-ray tube, and Si-PIN semiconductor detector).  
155 The Niton™ FXL 950 XRF analyser is self-calibrating – running on a factory installed calibration  
156 software (called ‘Fundamental Parameter’ (FP)), to accurately measure elemental concentrations and  
157 automatically correct for matrix and inter-element effects. Prior to samples analysis, the calibration  
158 of the analyser was further checked and confirmed not to have drifted by running two reference  
159 materials (USGS SdAR-M2 and NIST 2709a). The pulverised, homogenised and sieved fly ash samples  
160 (Section 2.2) were each packaged into XRF sample cups and scanned for two (2) minutes (live time);  
161 to ensure an accurate reading and increased sensitivity. Normalisation and quantification of the  
162 results were performed using the Thermo Scientific Inc. NDT™ package.

163 Mineralogical analysis of the fly ash samples was performed on composite OMA, OKA and ODA bulk  
164 fly ash samples using a Philips X'pert™ diffractometer system with a Cu anode operated at a voltage  
165 and current of 40 kV and 30 mA, respectively. The scans were run from 10 to 80 degrees 2θ, with  
166 increments of 0.07 degrees at a counting time of 10 seconds per step. The minerals phases were then  
167 determined and quantified using the match™ phase identification package.

### 168 **2.3.2 ICP-MS analysis of REE**

169 The REE mass fraction of each fly ash sample was analysed using total acid digestion followed by ICP-  
170 MS using the method of Garbe-Schönberg [37]. A total of 45 fly ash samples (15 per coal mine) were  
171 prepared for analysis. The samples were first homogenised by gentle agitation and 100 mg was  
172 transferred into individual 50 mL screw cap teflon digestion vessels. 4mL of HF (47-51% Trace metal  
173 grade; Fisher Scientific) followed by 3 mL of HCl (34-37% Trace metal grade; Fisher Scientific) and then  
174 1 mL of HNO<sub>3</sub> (67-69% Trace metal grade; Fisher Scientific) was then added to each sample using a  
175 micropipette. Time was allowed between each step to allow any reactions to subside. The reaction  
176 vessels were then sealed, and each placed in DigiPrep digestion blocks (preheated to 160°C) for 18  
177 hours. The vessels were then removed from the DigiPrep system and allowed to cool to room  
178 temperature. 1 mL of HClO<sub>4</sub> (65% Normatom; VWR™) was then added to each sample which were  
179 then returned to the DigiPrep system and heated to 180°C until incipient dryness.

180 Samples were then removed from the DigiPrep system and allowed to cool to room temperature. This  
181 step was then repeated but using 1 mL HNO<sub>3</sub> (67-69% Trace metal grade; Fisher Scientific). 1 mL of  
182 conc. HNO<sub>3</sub> (67-69% Trace metal grade; Fisher Scientific) and 5 mL of deionised water were then added  
183 to each sample which were returned to the DigiPrep system and heated to 100°C for 30 minutes.  
184 Samples were then removed from the DigiPrep system and allowed to cool to room temperature. 44  
185 mL of deionised water were then added to each sample.



186 Samples were then prepared for ICP-MS analysis by diluting 5 mL aliquots using 45 mL of 5% HNO<sub>3</sub>.  
187 (67-69% Trace metal grade; Fisher Scientific). Measurements was performed using an Agilent 7700x.  
188 Duplicates, blanks and replicates of a Reference Material (USGS: AGV-1 and DNC-1) were ran for every  
189 10 samples. Calibration was performed using a Inorganic ventures ICP-71A multi-elemental ICP-MS  
190 calibration standard.

#### 191 **2.4 SEM-EDS REE Particle Location**

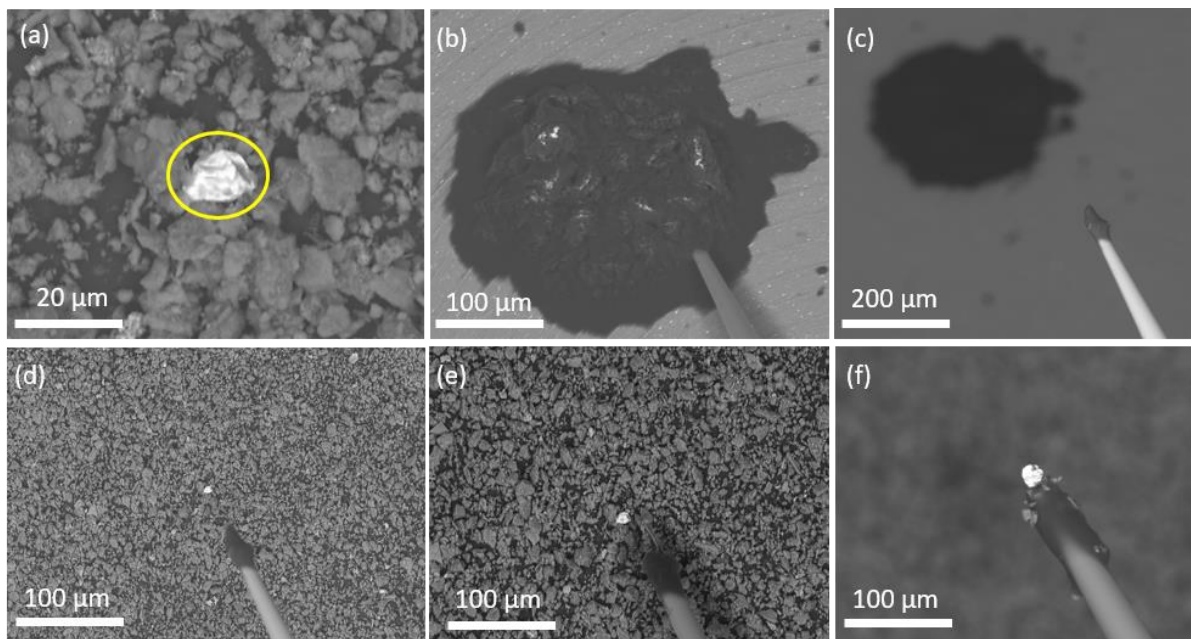
192 Representative composite OMA, OKA and ODA bulk ash samples for initial analysis within the SEM  
193 were prepared by depositing a fine layer of fly ash (<1 g) on to a 12 mm low elemental background  
194 adhesive carbon (Leit) disc mounted on a standard SEM pin-stub. The samples were then examined  
195 using a Zeiss SIGMA™ Field Emission SEM fitted with secondary electron (Everhart Thornley SE2) and  
196 backscattered electron (AsB) detectors using the instrument's Variable Pressure (VP) mode to negate  
197 against the requirement for a conductive coating while preventing surface charging. Using the  
198 backscattered electron detector (AsB), mineral particles containing trace levels of high atomic (Z)  
199 number elements in the samples (e.g. REE minerals) appeared as bright (white) spots against the  
200 otherwise dark sample background. REE mineral particles were then identified based on their  
201 characteristic elemental composition following confirmatory EDS analysis. The elemental composition  
202 and subsequently the mass fraction (wt% ± percentage error,  $\delta$ ) were determined using an EDAX™  
203 Octane Plus high-resolution EDS system comprising an electronically cooled Silicon Drift Detector  
204 (SDD). At a voltage of 30 keV, aperture of 120  $\mu$ m (in high current mode) and acquisition time of 200  
205 second, the whole surface of each identified REE mineral particle was raster scanned, and data analysis  
206 undertaken using the associated EDAX (AMETEK Inc.) TEAM™ software.

#### 207 **2.5 REE Particle Isolation**

208 Following the particles prior identification, in-situ removal of the identified micron-scale REE-bearing  
209 monazite particles from the bulk samples was performed within the SEM using an MM3A-EM  
210 Micromanipulator from Kleindiek Nanotechnik [38]. This piezo-electric device, capable of stepwise

211 vertical, retractive, rotational and lateral motion at minimum incremental movements of 1 nm was  
212 used to control an extruded glass capillary with a tip diameter of approximately 1  $\mu\text{m}$ . To negate  
213 against the effects of electron beam induced charging, the non-conductive extruded glass capillary  
214 was coated with approximately 2 nm of sputter-deposited gold.

215 The lift-out process reported in Martin et al [39] for uranium particles was used in this work to extract  
216 the REE containing monazite particles – similarly utilising the electron-beam hardening adhesive  
217 SEMGlu™, also by Kleindiek [40]. A schematic of the isolation process is detailed in Figure 2. Following  
218 their SEM-EDS characterisation, each monazite particle extracted from the bulk fly ash (still adhered  
219 to the glass capillary needle) was securely enclosed in Kapton™ tape in preparation for subsequent  
220 synchrotron radiation analysis. Owing to the morphological and compositional similarities of the suite  
221 of monazite particles identified in the composite OKA, ODA and OMA fly ash samples, only three (3)  
222 monazite particles were prepared in this way for synchrotron analysis.



223  
224 **Figure 2:** In-situ REE particle isolation process performed within the Zeiss SIGMA VP SEM using the  
225 Kleindiek MM3A Micromanipulator. (a) locating particle using backscattered electron detector and  
226 co-incident EDS; (b) and (c) applying small quantity of the electron-beam hardening SEMGlu™ to the  
227 extruded tip of glass capillary; (d) and (e) progressively lowering the glass capillary to approach the  
228 particle (f) removing the particle from the surrounding bulk material, attached to the capillary tip.

## 229 2.6 Synchrotron Radiation Analysis

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3 230 Synchrotron  $\mu$ -XRF,  $\mu$ -XRF tomography,  $\mu$ -XANES and  $\mu$ -XRD analyses were performed at Diamond  
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5 231 Light Source on the micro-focus spectroscopy beamline (I18). Diamond is a medium-energy third  
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8 232 generation synchrotron with the storage ring operated at 3 GeV and nominal beam current of 300 mA.  
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10 233 The I18 beamline, with an energy range of 2.05 keV and 20.5 keV, is equipped with a cryogenically  
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12 234 cooled double crystal Si[111] monochromator (for energy tuning) and Kirkpatrick–Baez (KB) mirrors  
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14 235 for the focusing of the beam (to a spot of  $2\ \mu\text{m} \times 2\ \mu\text{m}$ ) onto the sample, and harmonic rejection. The  
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16  
17 236 beamline was equipped with a Vortex-ME4<sup>TM</sup> multi-element Silicon drift fluorescence detector (SDD)  
18  
19 237 and a high-resolution X-ray scientific Complementary Metal–Oxide–Semiconductor (sCMOS) camera  
20  
21  
22 238 for  $\mu$ -XRF,  $\mu$ -XRF tomography,  $\mu$ -XANES and  $\mu$ -XRD data acquisition, respectively. To prevent detector  
23  
24 239 saturation, a 0.1 mm Al foil was inserted. Further details of the optical and detector setup of the I18  
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27 240 beamline can be found in Mosselmans et al [41]. Throughout all sample analyses, a consistent beam,  
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29 241 sample and detector geometry were maintained.

### 32 242 2.6.1 $\mu$ -XRF

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35 243 Each particle was mounted on a kinematic stage at  $45^\circ$  to the incident beam, with the fluorescence  
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37 244 detector oriented at  $90^\circ$  (perpendicular) to the incident beam. Each particle was raster-scanned  
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40 245 through the beam at an energy of 18 keV (above the  $L_{III}$  edge energies of Ce (5723 eV), Nd (6208 eV),  
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42 246 La (5483 eV), U (17166 eV) and Th (16300 eV)) using a step size of  $2.5\ \mu\text{m}$ , and dwell-time of 30 second  
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44  
45 247 per step. Resulting from the comparably thin sample thickness, elemental composition data was  
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47 248 acquired in fluorescence mode using the Vortex-ME4<sup>TM</sup> multi-element Silicon drift fluorescence  
48  
49 249 detector (SDD) – fitted using PyMCA and visualised in 2D using Diamond’s in-house DAWN software  
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## 253 2.6.2 $\mu$ -XRF tomography

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3 254 The  $\mu$ -XRF technique provides a depth averaged 2D representation of a 3D distribution of elements,  
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5 255 hence does not reveal 3D information on the location of elements on the surface or within a particle.  
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8 256 This limitation is resolved through  $\mu$ -XRF tomography technique.  $\mu$ -XRF tomography analysis was  
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10 257 performed on monazite particle **A**, to obtain 3D information on REE, U and Th distribution in the  
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12 258 particle. For each fluorescence tomographic scan, the particle was raster scanned while progressively  
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14 259 being translated through the beam (using a step size of 2.5  $\mu\text{m}$ ), and then rotated through 180° with  
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16 260 constant angular steps of 3°, at a dwell-time of 60 millisecond. Fluorescence projections were acquired  
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18 261 using a sCMOS x-ray camera coupled with a gadolinium oxysulphide scintillator screen. Corrections  
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20 262 for absorption within the particle matrix and reconstruction of the fluorescence projections collected  
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22 263 was completed using iterative algorithms, with a 3D volumetric rendering of the particle performed  
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24 264 using the FEI Avizo™ software [43].  
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## 30 2.6.3 $\mu$ -XANES

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33 266 Following the  $\mu$ -XRF analyses,  $\mu$ -XANES data were acquired (at respective  $L_{III}$  edge energy) for Ce  
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35 267 (5723 eV), Nd (6208 eV) and La (5483 eV) at spots identified as containing high concentrations of  
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37 268 these elements within each monazite particle. For each of Ce, La and Nd, in the pre and post edge  
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39 269 regions, energy steps of 0.25 eV were used, with steps of 0.1 eV used across the main edge region using  
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41 270 a dwell-time of 30 seconds per step.  $\mu$ -XANES data were processed (calibrated against respective Ce,  
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43 271 La and Nd  $L_{III}$  edge energies, deglitched, normalised and fitted) using the ATHENA software suite  
44  
45 272 [44]. The quantification of Ce (III) and Ce (IV) in the normalised  $\mu(E)$  Ce  $\mu$ -XANES spectrum was  
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47 273 undertaken in ATHENA by linear combination fitting (LCF), using a fit range of -30 eV to 70 eV  
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49 274 around the Ce  $L_{III}$  edge. The weights of the reference compounds were forced to be between 0 and 1  
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51 275 with no restriction on the edge energy,  $E_0$ .  
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#### 278 2.6.4 $\mu$ -XRD

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3 279 For  $\mu$ -XRD, the sCMOS camera was aligned directly downstream of the sample along the path of the  
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5 280 beam of energy, 18 keV. The sample was rotated through 180° during exposure and data acquired in  
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7 281 transmission mode. Data processing (background correction, 2D and 1D visualisations) of the  
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10 282 diffraction patterns were additionally performed using the DAWN software.

### 13 3. Results and Discussion

#### 16 284 3.1 XRF and XRD analysis

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20 285 Table S1 (Appendix) and Figs. S1, S2, and S3 (Appendix) show the XRF and XRD results for OMA, OKA  
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22 286 and ODA fly ash samples. The average XRF results (in wt%) showed that the fly ash samples are largely  
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24 287 composed of SiO<sub>2</sub> (>54%) and Al<sub>2</sub>O<sub>3</sub> (>19%), alongside 2% to 7% Fe<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub> and CaO (except for OMA  
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26 288 samples with CaO level of less than 1%). In OMA, OKA and ODA samples, the sum of SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub> and  
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28 289 Fe<sub>2</sub>O<sub>3</sub> were greater than 70% with CaO level also less than 8%; this implies OMA, OKA and ODA fly ash  
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32 290 classify as class F according to the ASTM standard [45]. Previous study has shown that high calcium  
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34 291 concentration (as in the case of OKA and ODA) is associated with extractability of REE associated with  
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36 292 calcium-bearing phases in the fly ash, due to the high solubility of the calcium-bearing phases in nitric  
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39 293 acid [46]. Results from previous proximate analysis carried out on OMA, OKA and ODA fly ash samples  
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41 294 by Afu et al., Mohammed et al. and Chukwu et al. [30-32] (table S2 (Appendix)) shows that OMA, OKA  
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43 295 and ODA fly ash have ash content (in wt%) of 14.8%, 10.7% and 5.3%, respectively. This result agrees  
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45 296 with studies on fly ash sourced from coal-fired power plants [24,47]. Although occurring as few to  
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47 297 hundreds of mgkg<sup>-1</sup> relative to the large volume of fly ash that would be generated annually, the trace  
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49  
50 298 toxic heavy metals (Pb, As, and Cr) are of serious environmental concern and capital intensive during  
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52 299 rare earth extraction.

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56 300 The results (in wt%) from XRD analysis showed quartz (SiO<sub>2</sub>) and mullite (3Al<sub>2</sub>O<sub>3</sub>.2SiO<sub>2</sub>) as the major  
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58 301 mineral phase alongside trace amount (of less than 1%) of hematite and cristobalite (polymorph of  
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1  
2 302 quartz formed during the high temperature combustion process). These results also agree with the  
3 303 XRD studies on fly ash samples from coal-fired power plants [15,47].  
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### 5 304 **3.2 ICP-MS analysis of REE and economic valuation**

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8 305 Shown in Tables S3, S4 and S5 (Appendix), respectively, are the complete results of REE measurement  
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10 306 with ICP-MS for OMA, OKA and ODA fly ash samples. The samples were highly concentrated in the  
11  
12 307 LREE, with Ce followed by Nd the most abundant LREE in all samples. Of the HREE, Y, Sc, Gd and Dy  
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14 308 were the most abundant, with Eu, Tb, Ho, Tm and Lu being just a few  $\text{mgkg}^{-1}$  (characteristic of non-  
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16 309 ore HREE sources), indicating low occurrence of HREE minerals in the studied fly ash samples. This  
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18 310 abundance pattern of the light and the heavy REE in the fly ash samples conforms to the Oddo-Harkins  
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20 311 Rule, where in this case, the REE with even atomic number (Z) are more abundant than the ones with  
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22 312 odd Z.  
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28 313 As shown in Table 1, the mean total REE (plus Y and Sc) for OMA, OKA and ODA were  $623 \text{ mgkg}^{-1}$ ,  $442$   
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30 314  $\text{mgkg}^{-1}$  and  $441 \text{ mgkg}^{-1}$  respectively, with the fraction of the critical REE (Nd, Eu, Tb, Dy, Y and Er) being  
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32 315 37%, 30% and 28%, respectively. When compared with the Upper Continental Crust Abundance (UCCA)  
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34 316 as in Tables S3, S4 and S5 (Appendix), the total REE content were 2 to 4 times enriched in the fly ash  
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36 317 samples (with Ce being 6 times enriched in the OMA fly ash). Though these concentrations were  
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38 318 generally well below concentrations in conventional REE ores [3], the large volume fly ash generated  
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40 319 annually in addition to little or no extra cost of ore mining and waste rock handling is a significant  
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42 320 advantage. These results are comparable with results of previous studies on USA, Chinese and Indian  
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44 321 fly ash samples (Table 1).  
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**Table 1:** Comparison of mass concentration ( $\text{mgkg}^{-1}$ ) of total REE (TREE) in fly ash with those of top coal consuming countries.

| Coal source                                   | TREE   | Critical REE (%) | Reference                   |
|---|--------|------------------|-----------------------------|
| Omelewu coal, Nigeria                         | 623    | 37               | This study                  |
| Okaba coal, Nigeria                           | 442    | 30               | This study                  |
| Odagbo coal, Nigeria                          | 441    | 28               | This study                  |
| Jungar, Inner Mongolia, China                 | 293.5  | 28.3             | Dai et al., 2014b [48]      |
| Bhusawal coal plant (unspecified mine), India | 384.1  | 26.3             | Modal et al., 2019 [49]     |
| Central Appalachian (Fire Clay), USA          | 1667.6 | 36.5             | Mardon and Hower, 2004 [50] |
| Central Appalachian, USA                      | 401.5  | 38.6             | Hower et al., 2013b [51]    |
| Illinois Basin, USA                           | 312.1  | 36.2             | Hower et al., 2013b [51]    |
| Central Appalachian, USA                      | 563.6  | 38.1             | Hower et al., 2013b [51]    |
| Powder River Basin, USA                       | 283.2  | 32.8             | Taggart et al., 2016 [52]   |

### 3.2.1 Economic valuation of REE content of fly ash

Table S6 (Appendix) shows the REE mass fractions expressed as rare earth oxide (REO), with OMA REO mean value slightly higher than that of Round Top Mountain—a rhyolite laccolith intrusion enriched in Y and other HREE [53]. On REO basis, the economic valuation shows that these fly ash samples have very strong potential of recovering REO worth millions of dollars. Using the ash content values of OMA, OKA and ODA fly ash samples (14.8%, 10.7% and 5.3%, respectively), (Table S2 (Appendix)), and an initial projected annual consumption of 27 million metric tonnes of coal by the proposed coal-plants [28], this amounts to 1330 thousand, 960 thousand and 480 thousand metric tonnes of fly ash generated annually from OMA, OKA and ODA coal mines, respectively (assuming equal supply of coal

1 355 from all mines). Using the REO values (Table S6 (Appendix)), we estimated that a total of 1752  
2 356 tonnes/year (1 751 844.7 kg/year) of REO is recoverable from Nigerian fly ash, translating into annual  
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4 357 value of \$41 204 000 dollars (Table S7 (Appendix)). Though this economic valuation does not include  
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7 358 costs of recovery (with cost-effective technologies currently under development), it provides the basis  
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9 359 for evaluating viability of REE extraction from fly ash. One major advantage of using fly ash as  
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11 360 alternative source of REE is the minimal expense associated with the mining processes, such as  
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14 361 blasting, prospecting, and transport. The measurement results also show that the potential value of  
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16 362 REE in fly ash is dependent on REE class (LREE vs HREE), with the high prices of Sc, Nd and the HREE,  
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19 363 contributing considerably to the REE value in fly ash.  
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### 21 364 **3.3 SEM-EDS**

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25 365 Fig. 3a is an SEM image showing the distribution and abundance of REE minerals in a fly ash sample.  
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27 366 Fig. 3b shows the SEM image and EDS spectra of three monazite particles **A**, **B** and **C**, respectively.  
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29  
30 367 Also, the mass fraction (wt%  $\pm$  percentage error,  $\delta$ ) elemental composition of ten (10) monazite  
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32 368 particles identified within composite OMA, OKA and ODA samples, respectively, is shown in Table S8  
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34 369 (Appendix). These are typical of the suite of monazite particles found in the fly ash samples studied.  
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37 370 From the SEM-EDS results, trace monazite particles were the predominant REE mineral within the fly  
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39 371 ash samples, alongside scanty xenotime and Y-bearing zircon particles (Figs. S4a and S4b (Appendix)  
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41 372 and Table S9 (Appendix)). This confirms the ICP-MS result which recorded highest concentration for  
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44 373 Ce and Y. As can be seen in Fig. 3b and table S8 (Appendix), the detected monazite particles were the  
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46 374 same in composition and morphology, with weathered surfaces characteristic of monazite of detrital  
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49 375 origin. Strong Al and Si peaks signified the occurrence of quartz and mullite, the major mineral phases  
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51 376 in fly ash [24]. The monazite particles are ascribed to a detrital source, transported by water or wind  
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53 377 from a nearby granitic highland, deposited and subsequently incorporated into the coal during  
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56 378 coalification [18]. These REE minerals (between 10  $\mu$ m and 80  $\mu$ m in size) were found to exist largely  
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58 379 as discrete particles and not encapsulated in glassy phases, making the extraction and isolation of  
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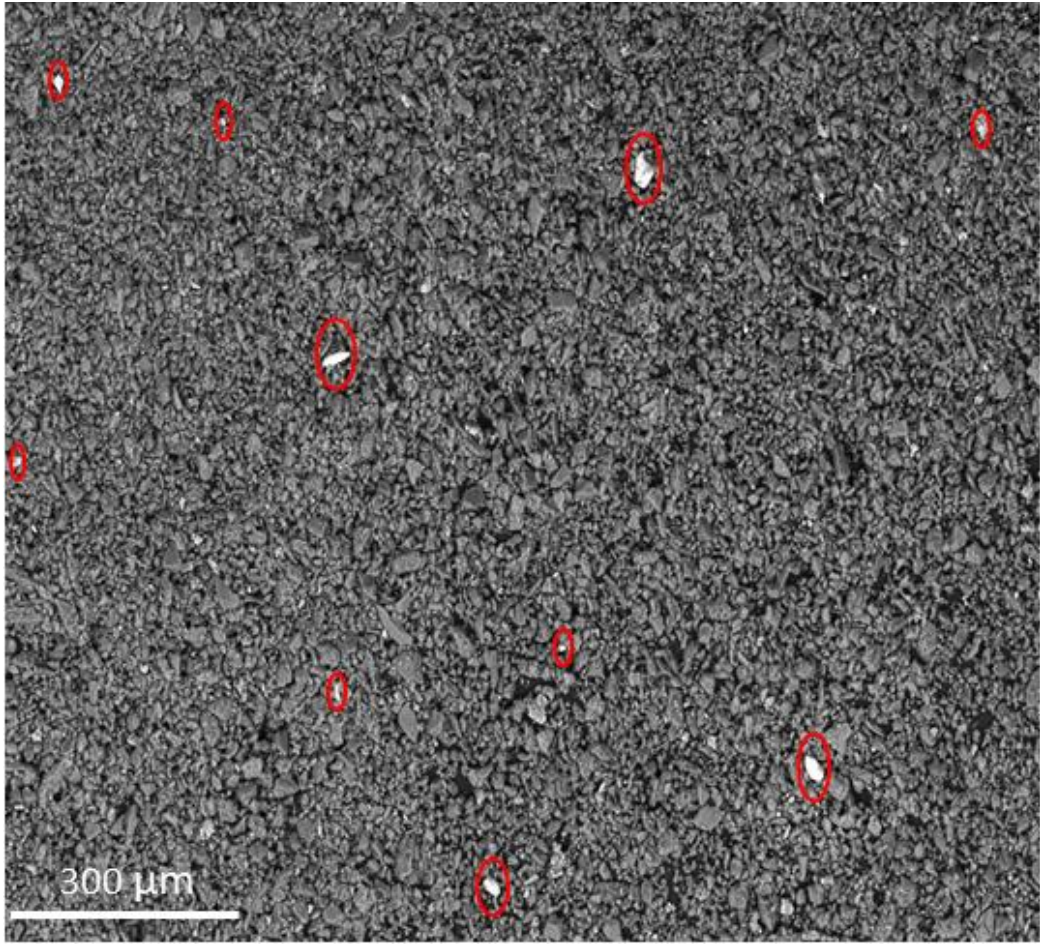


1 380 these discrete particles more cost-effective, as leaching rare earth mineral particles encapsulated in  
2 381 glassy phases consumes more costly reagents and generates significant volumes of waste products. Y  
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4 382 though abundant in the fly ash materials, its extraction will be difficult since its host mineral (zircon)  
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7 383 is a refractory mineral. Studies have shown that REE mineral particles become encapsulated in quartz  
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9 384 and mullite mineral particles during coal combustion process, mainly during the combustion of high  
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11 385 rank coal at temperatures between 1500°C and 1700°C) [46,47]. Hence, combustion of low rank coal  
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13 386 at temperatures between 900°C and 1200°C greatly reduces sequestration of REE minerals into  
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15 387 aluminosilicate glass phases, making REE extraction from fly ash cost effective. Our results agreed with  
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17 388 previous studies on fly ash (sourced from coal-fired plants burning high rank coal) that REE mineral  
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19 389 particles were either dispersed throughout the glass phase, or as independent particles outside of  
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21 390 glass [17].  
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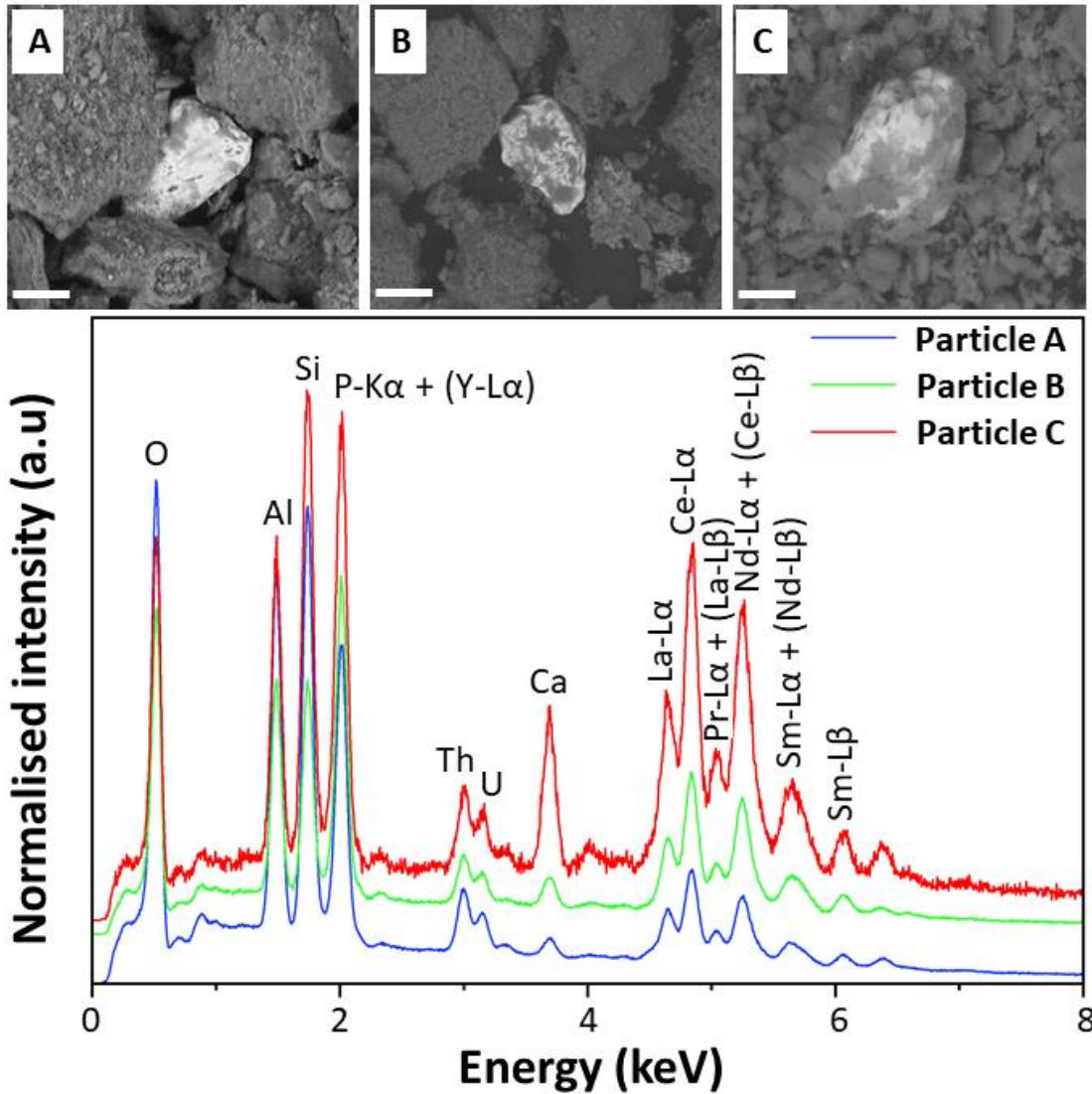


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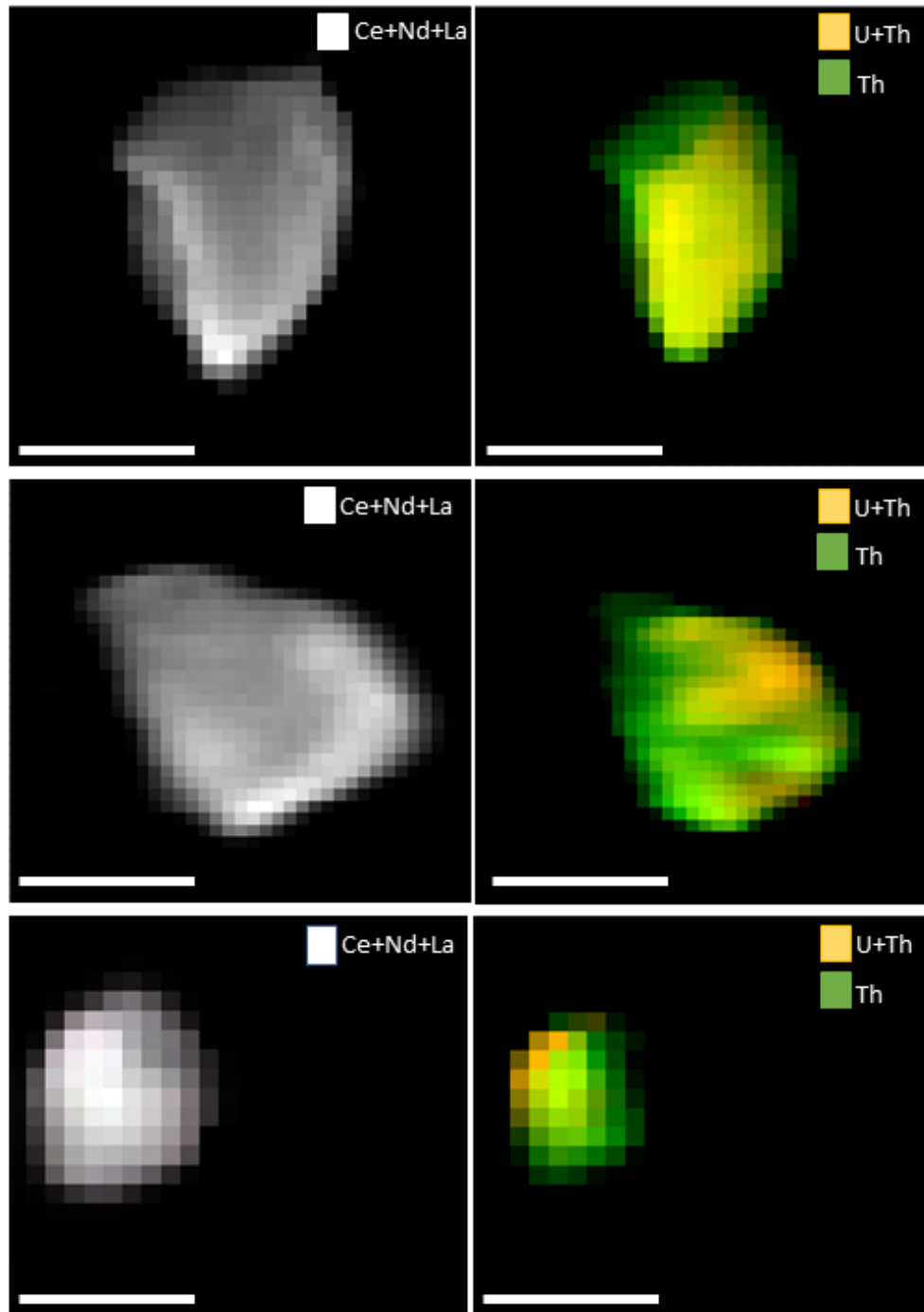
**Fig. 3a:** Backscattered electrons image showing distribution and abundance of micro REE mineral particles in a fly ash sample, with the particles appearing white in contrast to the surrounding material.



**Fig. 3b:** (top) Electron microscope images of monazite particles (**A**, **B** and **C**) alongside, (bottom) the associated EDS spectra, with emission peaks identified. (Scale bars = 50  $\mu\text{m}$ ).

### 3.4 $\mu\text{-XRF}$

Fig. 4 shows the elemental composition maps of monazite particles **A**, **B** and **C**. From the results, the monazite particles show a core-shell pattern, with the shell rich in strongly colocalised Ce, La and Nd, and a Th and U rich core. U and Th were both observed to colocalise strongly, however, both poorly with the LREE. The chemical similarities (such as atomic radius) account for this observed colocalisation of the LREE [54]. The core-shell zonation of the actinides and LREE in the monazite particles is characteristic of detrital monazite, formed during magmatic growth or recrystallisation of the monazite particles [55].

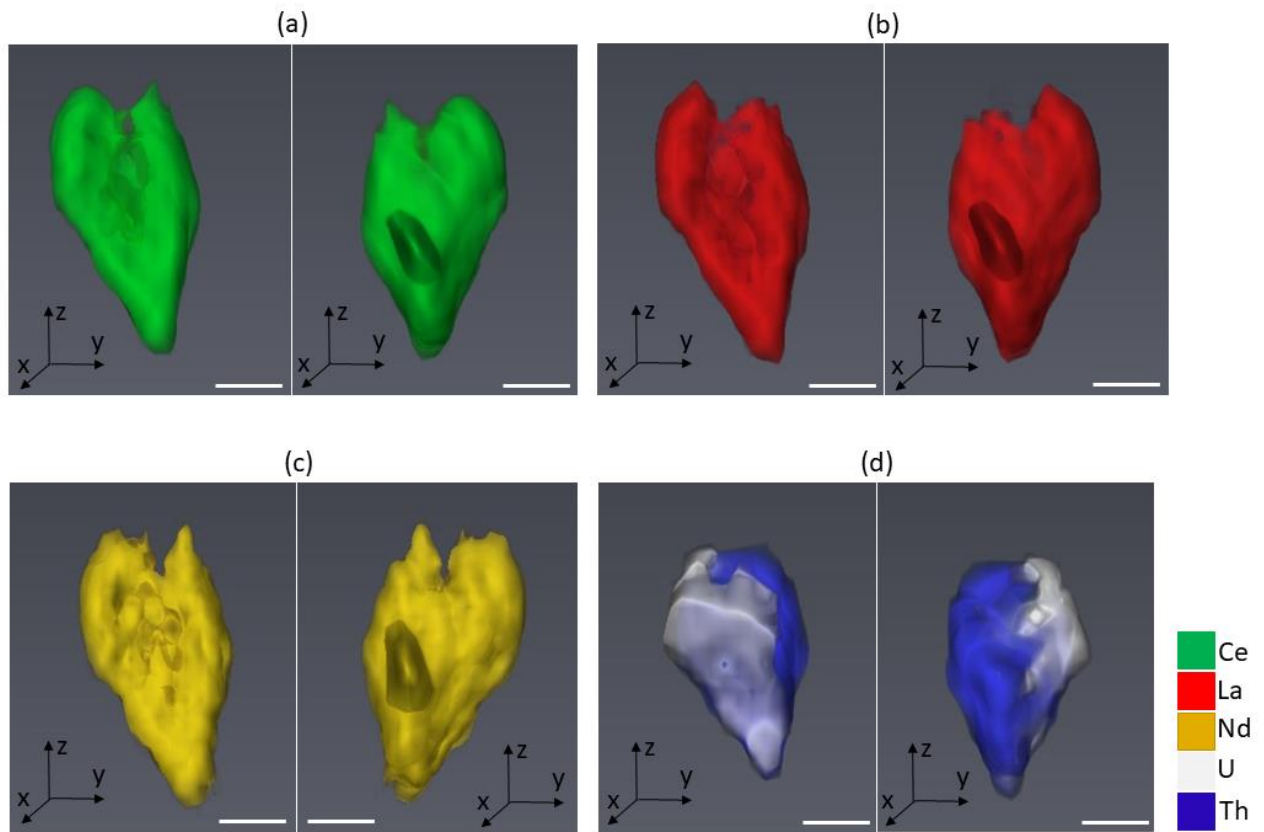


**Fig. 4:**  $\mu$ -XRF maps (Ce, Nd, La, U and Th) of monazite particles **A**, **B** and **C**, illustrating the compositional variance of these elements (scale bars = 50  $\mu$ m).

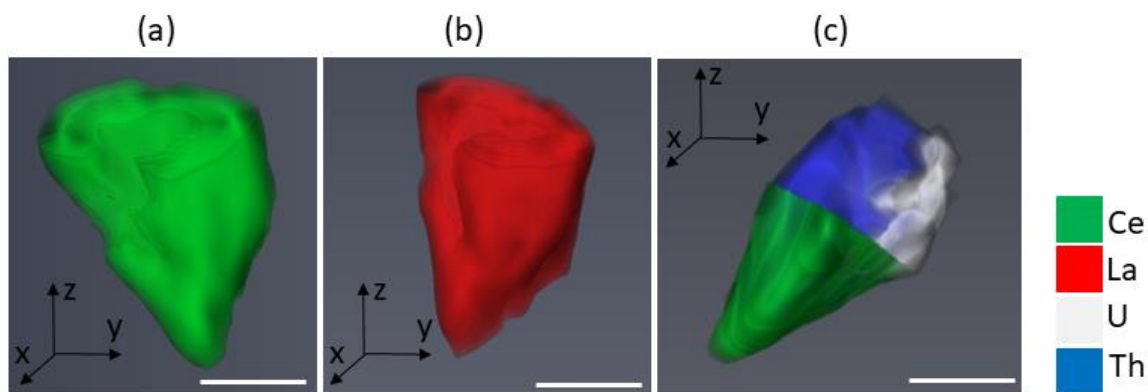
### 3.5 $\mu$ -XRF tomography

Renderings of the  $\mu$ -XRF tomography data on monazite particle **A** are shown in Figs. 5, 6 and 7. The results highlight the core-shell distribution of elements within the particle (Fig. 6), with the REE Ce, La and Nd confirmed to be surface bound, surrounding Th and U (Figs. 6 and 7). Both Th and U were

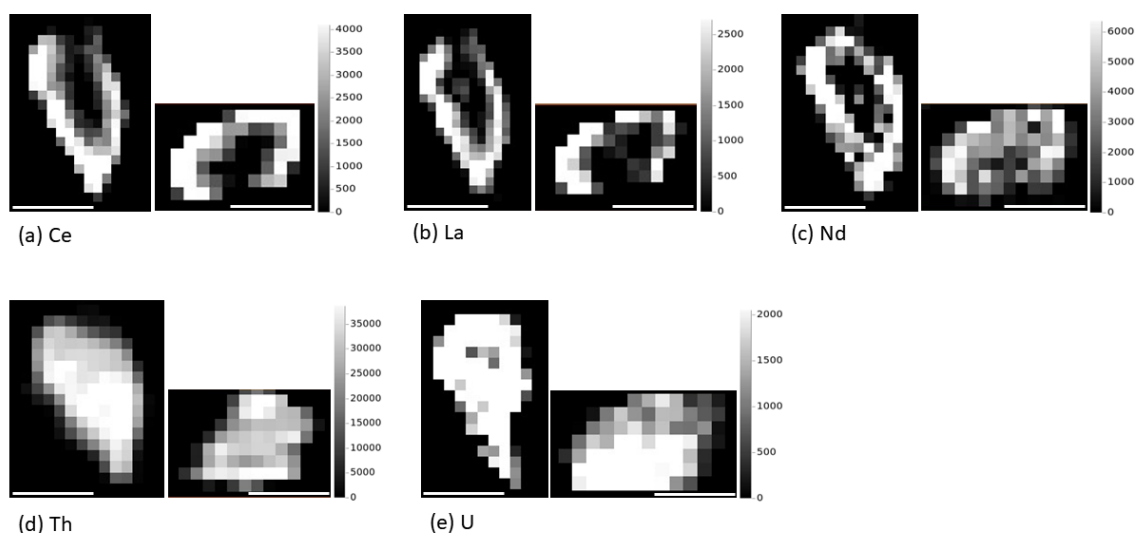
414 observed to exist as strongly colocalised in the core of the monazite particle, while being  
415 simultaneously depleted around its shell [Figs. 6 and 7], confirming the earlier  $\mu$ -XRF results. Being  
416 surface bound, these REE would have the potential to be preferentially leached during an extraction  
417 process (compared to U and Th, that are conversely located within the particle centre). This finding is  
418 significant in the development of a selective extraction methodology, targeting the strongly  
419 colocalised and surface bound REE in fly ash monazite particles. The  $\mu$ -XRF tomography results have  
420 also illustrated that the monazite particle is of high density and is also non-porous; implying that the  
421 surface pits (identified by the SEM imaging) do not permeate significantly into the underlying monazite  
422 structure.



424 **Fig. 5:** 3D volumetric rendering (Front and back views) of Ce, La, Nd, U and Th in monazite  
425 particle **A**. Scale bars = 25  $\mu$ m.



428  
429 **Fig. 6:** Cut sections of 3D volumetric renderings of monazite particle **A** showing the core-shell pattern.  
430 (a) and (b): hollow interior within Ce and La volumetric renderings. (c): Ce outer shell with U and Th  
431 components within the core. Scale bars = 25  $\mu\text{m}$ .



432  
433 **Fig. 7:** Greyscale plots in the xy and xz planes (arbitrary units) showing monazite particle **A** with a REE  
434 rich rim and a REE depleted core. Scale bars = 25  $\mu\text{m}$ .

### 435 3.6 $\mu$ -XANES

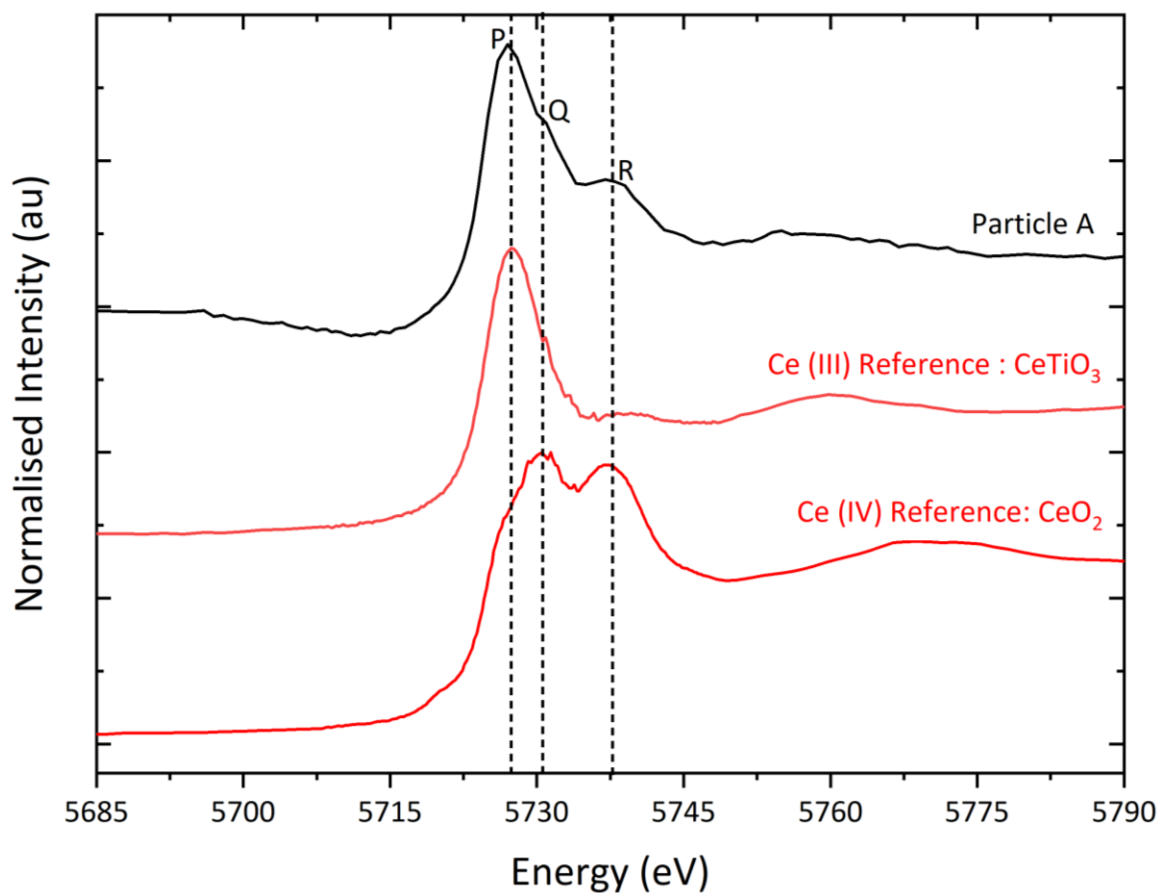
436 The  $\mu$ -XANES spectrum across the Ce, La and Nd  $L_{III}$ -edge in monazite particles **A**, **B** and **C**, alongside  
437 their reference standards [57], are shown in Figs. 8a-8c. from Fig. 8a, the Ce (III) reference has a single  
438 peak at *P* (5727.5 eV) with the peaks at *Q* (5731 eV) and *R* (5738 eV) representing the Ce (IV) reference.  
439 The  $\mu$ -XANES spectrum of Ce in particle **A** (Fig. 8a) displays two peaks-an intense peak at 5727.5 eV  
440 (position *P*), corresponding to Ce (III), and a subtle peak at 5738 eV (position *R*), corresponding to Ce  
441 (IV) minor contribution. This invokes a micro-scale oxidation of Ce (transitioning from III to IV) resulting

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442 from the thermal decomposition of the detrital monazite particles, during the high temperature  
443 combustion process and illustrates that Ce existed in mixed oxidation states of III and IV. Ce (III) has  
444 the tendency to lose an electron to become  $4f^0$ , and hence form the stable Ce (IV) [54]. LCF using Ce  
445 (III) ( $\text{CeTiO}_3$ ) and Ce (IV) ( $\text{CeO}_2$ ) references revealed a Ce (III) and Ce (IV) ratio of 80%:20% (Table 2),  
446 which affirms a micro scale oxidation due to the high temperature combustion process. These results  
447 agree with an earlier work, noting possible processing differences [24].

448 Both La and Nd in particles **A**, **B** and **C** (Figs. 8b and 8c) were found to exist only in the III oxidation  
449 state, with distinct peak at 5485 eV and 6214 eV, respectively. This result coupled with the existence  
450 of similarity in the pre- and post-edge features between the particles and reference La and Nd spectra,  
451 suggest that the La and Nd chemistry for the particles is unaffected by the high temperature  
452 combustion process and resistant to oxidation. The similarity in chemical properties of the REE  
453 explains the prevalence of the 3+ oxidation state.

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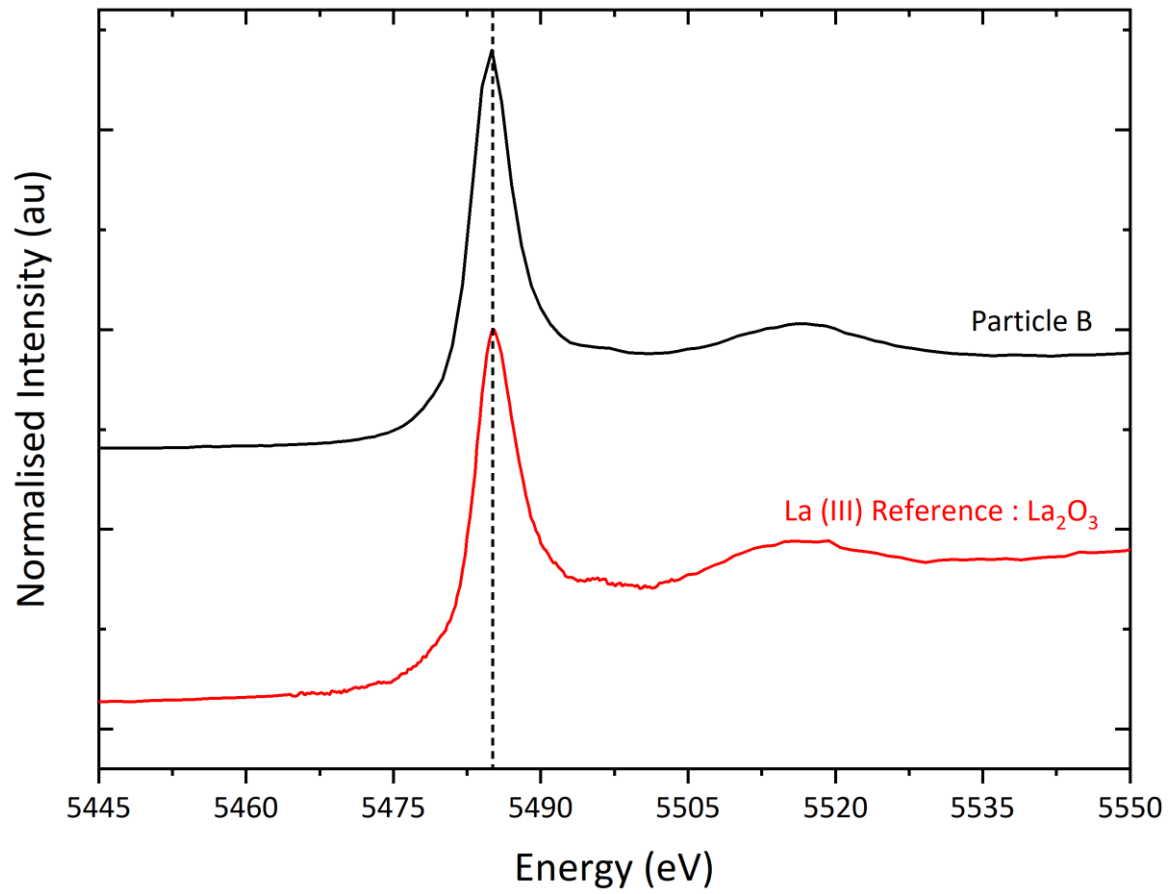
**Fig. 8a:** XANES spectrum of Ce in monazite particle **A** alongside its references [56]. Point P represents Ce (III) peak, and points Q and R represents Ce (IV) peaks.

**Table 2:** LCF results of Ce  $\mu$ -XANES detailing the weight of Ce oxidation states.

|                   | Component                   | Component weight<br>(in % $\pm$ uncertainty) | R-factor <sup>a</sup> |
|-------------------|-----------------------------|--|-----------------------|
| Particle <b>A</b> | CeTiO <sub>3</sub> (Ce III) | 80.2 $\pm$ 0.044                             | 0.038                 |
|                   | CeO <sub>2</sub> (Ce IV)    | 19.8 $\pm$ 0.062                             |                       |
|                   | Sum:                        | 100  |                       |

<sup>a</sup> R-factor defines the goodness of a fit, given as  $\frac{\sum [(data-fit)^2]}{\sum (data^2)}$ . Smaller R- factor represents a better fit.



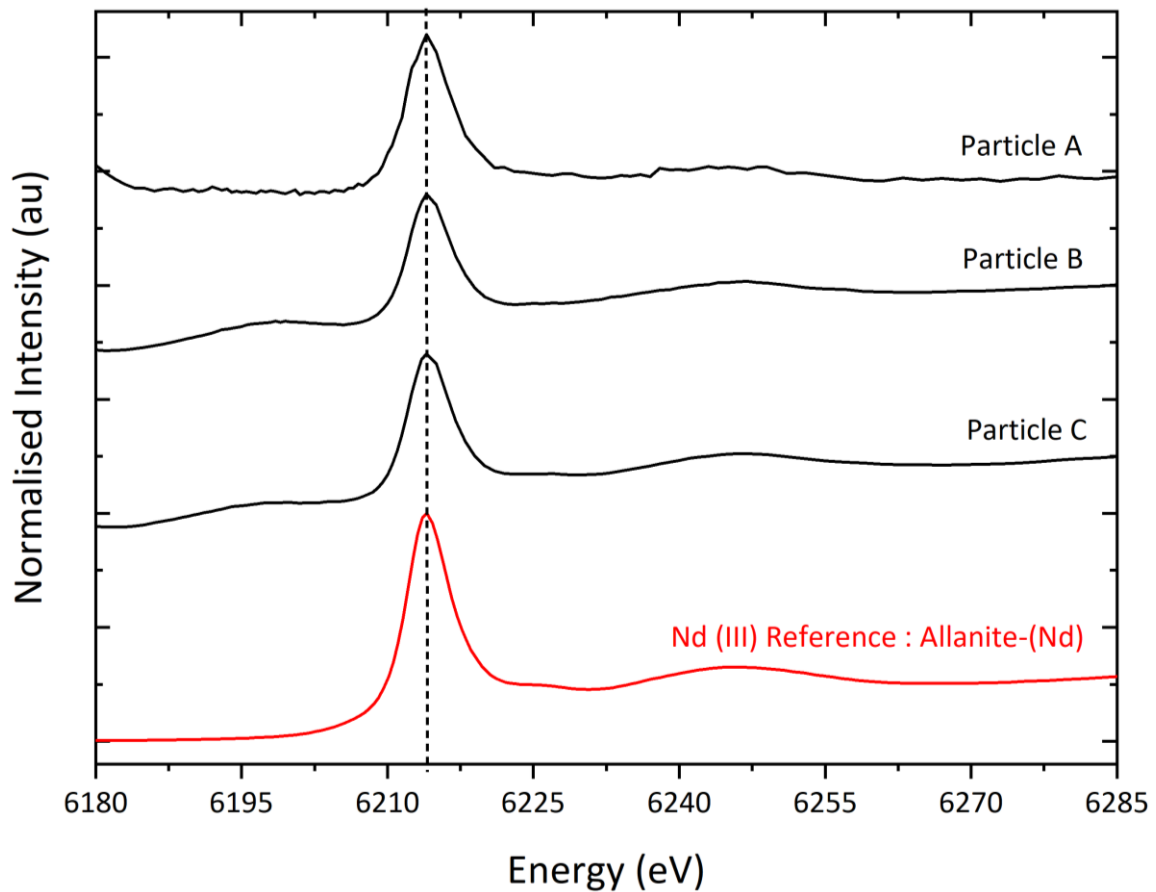


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**Fig. 8b:** XANES spectrum of La in monazite particle **A** alongside its reference [56].

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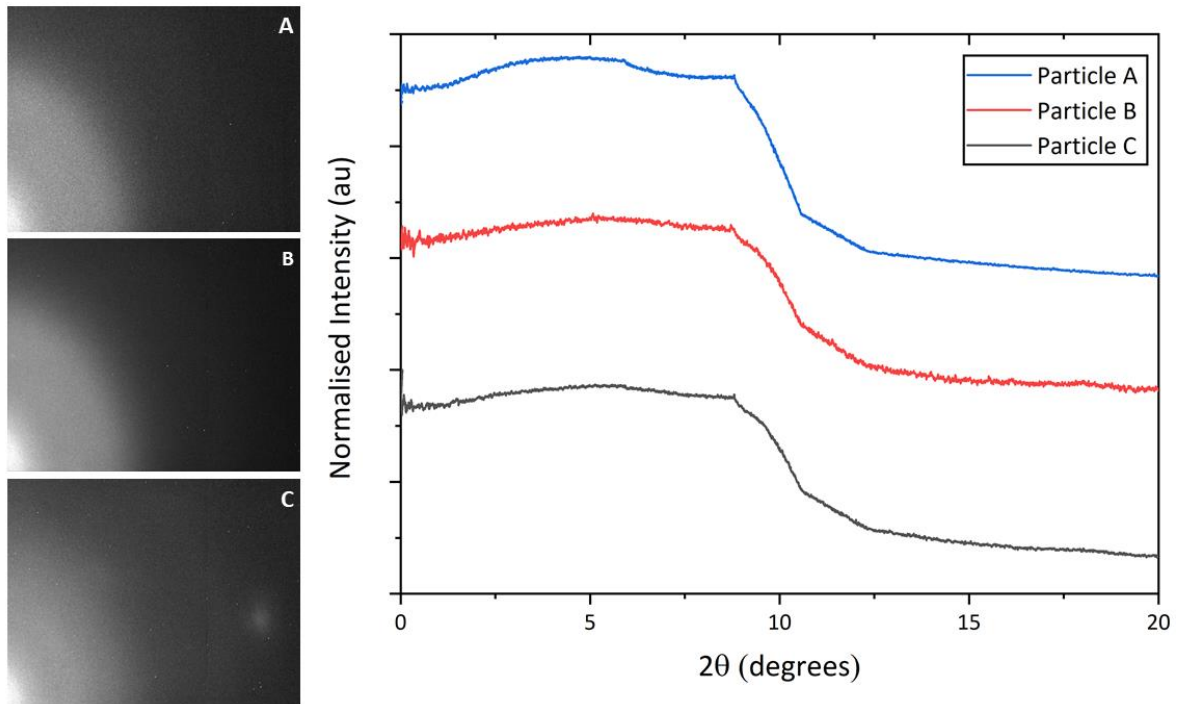
**Fig. 8c:** XANES spectrum of Nd in monazite particle **A**, **B** and **C** alongside its reference [56].

### 3.7 $\mu$ -XRD

The 2D and 1D  $\mu$ -XRD patterns of particles **A**, **B** and **C** (Fig. 9) showed poorly formed diffuse diffraction rings, broad halo and lacking well-defined diffraction peaks, characteristic of amorphous materials. This implies partial or total amorphization/metamictization of the (naturally crystalline) monazite particle due to combined effects of alpha irradiation by uranium and thorium of the particles, combined with the high temperature ashing/combustion process. This radiation and/or high temperature induced structural transformation post combustion is vital in understanding the chemical reactivity, solubility and extractability of the REE from fly ash. Amorphization lowers the hardness of monazite thereby making it more susceptible to chemical attack with increased solubility. Monazite leaching can be done using a less aggressive dilute mineral acid at a lower temperature and in less time, which is more economical and with a higher REE extraction efficiency, than the conventional processes.

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483 **Fig. 9:** 2D and 1D  $\mu$ -XRD patterns of monazite particles **A, B** and **C**.

#### 484 **4. Summary**

485 This work has determined the REE abundance and distribution in Nigerian fly ash. This knowledge is  
486 essential to optimise the future REE recovery methods while simultaneously safeguarding the  
487 environment from potential rare earth and heavy metal contamination, if released. Furthermore, this  
488 study has also demonstrated a new method whereby individual monazite particles are removed from  
489 bulk samples using an SEM-mounted micromanipulator (prior to synchrotron radiation analysis),  
490 which has been demonstrated as being able to significantly enhance the resolution and quality of the  
491 results obtained when compared to conventional ‘bulk’ characterisation methods.

492 The average total REE (plus Y and Sc) mass fractions in OMA, OKA and ODA fly ash samples were 623  
493  $\text{mgkg}^{-1}$ , 442  $\text{mgkg}^{-1}$  and 441  $\text{mgkg}^{-1}$ , respectively. Additionally, analysis determined the existence of  
494 dispersed (discrete) rare earth minerals (of detrital origin) with sizes between 10  $\mu\text{m}$  and 70  $\mu\text{m}$  in the  
495 fly ash samples, with light REE in the monazite particles strongly colocalised and surface bound –  
496 enveloping the actinides in a core-shell pattern. Ce was found to exist in mixed oxidation states (but

1 497 predominantly in the (III) oxidation state), with Nd and La being very stable in state III and unaffected  
2 498 by the high temperature combustion process. Both U and Th were observed to be strongly colocalised,  
3  
4 499 were observed to be concentrated in the particle's interior and depleted around the circumference.  
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7 500 The monazite particles were metamict/amorphous which is attributed to irradiation and the high coal  
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9 501 combustion temperature. To the authors knowledge, such identification of elemental zonation within  
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11 502 isolated monazite particles derived from fly ash material represents the first complementary  
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14 503 synchrotron radiation  $\mu$ -XRF and  $\mu$ -XRF tomography study on coal fly ash. And while based only on an  
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16 504 indicative sample set, these initial results are very significant for the optimization and development of  
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19 505 rare earth extraction methods and represent a potentially highly valuable source for prized REE from  
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21 506 an otherwise pure waste product.  
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## 24 507 **Acknowledgements**

25  
26  
27  
28 508 The authors wish to thank Diamond Light Source for rapid access to beamline I18 (SP22876) that  
29  
30 509 contributed to the results presented here. We also wish to thank the Commonwealth Scholarship  
31  
32  
33 510 Commission for funding the PhD studentship.  
34  
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## 36 511 **Appendix A. Supplementary data**

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39 512 Supplementary data to this article can be found online at doi: ...  
40  
41

## 42 513 **Funding**

43  
44  
45 514 The SEM used in this work to conduct imaging and EDS analysis was purchased following funding by  
46  
47  
48 515 the Engineering and Physical Sciences Research Council (EPSRC), (Reference: EP/K040340/1).  
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51 516 **Declarations of interest:** none.  
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**Ilemona Okeme** and **Thomas Scott**: Conceptualization, Methodology. **Ilemona Okeme**, **Peter Martin**, **Konstantin Ignatyev**, **Christopher Jones** and **Richard Crane**: Software, validation, Data curation, Investigation, Formal analysis, Visualisation, Writing- Original draft preparation. **Ilemona Okeme** and **Theophilus Ojonimi**: Resources. **Ilemona Okeme** and **Peter Martin**: Writing- Reviewing and Editing.