

1 **Pathogens transported by plastic debris: does this vector pose a risk to**  
2 **aquatic organisms?**

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8 **Abstract**

9 Microplastics are small (<5 mm) plastic particles of varying shapes and polymer types that are  
10 now widespread global contaminants of marine and freshwater ecosystems. Various  
11 estimates suggest that several trillions of microplastic particles are present in our global  
12 oceanic system, and that these are readily ingested by a wide range of marine and freshwater  
13 species across feeding modes and ecological niches. Here, we present some of the key and  
14 pressing issues associated with these globally important contaminants from a microbiological  
15 perspective. We discuss the potential mechanisms of pathogen attachment to plastic  
16 surfaces. We then describe the ability of pathogens (both human and animal) to form biofilms  
17 on microplastics, as well as dispersal of these bacteria, which might lead to their uptake into  
18 aquatic species ingesting microplastic particles. Finally, we discuss the role of a changing  
19 oceanic system on the potential of microplastic-associated pathogens to cause various  
20 disease outcomes using numerous case studies. We set out some key and imperative research  
21 questions regarding this globally important issue and present a methodological framework to  
22 study how and why plastic-associated pathogens should be addressed.

23 **Introduction:**

24 Despite increased research effort and public attention, global plastic production and the  
25 amount of plastic debris entering and contaminating the world's oceans continues to  
26 increase. In 2020, 367 million metric tonnes (Mt) of plastics were produced and it is predicted  
27 that emissions into aquatic ecosystems could reach up to 90 Mt by the year 2030 if we  
28 continue business as usual [1,2]. Even with ambitious commitments to reduce plastic  
29 pollution set by the world's governments, we could still be releasing up to 53 Mt per year into  
30 aquatic ecosystems by 2030 [1]. Approximately 24.4 trillion pieces of microplastic particles  
31 are thought to now be floating within the upper level of the world's oceans [3]. This vast  
32 increase in ocean particulates provide novel and increased availability of surfaces available  
33 for pathogen attachment in oceanic and coastal waters, attracting great interest into the  
34 microbial communities that attach to ocean plastic surfaces.

35 First coined the 'plastisphere' by Zettler *et al.* [4], the community associated with this novel  
36 substrate is originally comprised of an assortment of bacteria that develops a biofilm, leading  
37 to subsequent attachment of eukaryotic organisms such as diatoms and even larval benthic  
38 organisms over time [5]. Plastic provides microbes a resilient substrate that has the potential  
39 to be transported across oceanographic regions and differing environmental conditions [5].  
40 The microbial community on a microplastic surface has now been widely documented (see  
41 review by [5,6]) and shown to differ to that of its surrounding environment, largely dominated  
42 by Bacteroidetes, Cyanobacteria and Proteobacteria [7–10]. Of particular concern are the  
43 many potential pathogens that have been found incorporated within the plastisphere, namely  
44 *Vibrio spp.*, whose genera host a number of human and animal pathogens [11,12]. A recent  
45 systematic review by Junaid *et al.*, [13] listed the potential pathogens that have been

46 documented as occurring within the plastisphere to date for both the aquatic and terrestrial  
47 environments, highlighting the following genera as most frequently associated with  
48 potentially harmful bacteria found attached to plastic surfaces within aquatic environments:  
49 *Vibrio*, *Pseudomonas*, *Acinetobacter*, *Arcobacter*, *Bacillus*, *Aquabacterium*, *Mycobacterium*,  
50 *Aeromonas*, *Tenacibaculum*, *Escherichia*, *Klebsiella*, and *Legionella* [13]. This raises the critical  
51 question as to whether plastic debris can act as a vector for potential pathogens,  
52 disseminating them throughout various aquatic environments and organisms, especially in  
53 comparison to natural particles.

54 As a result of microplastics persistence and ubiquity within marine and freshwater  
55 environments, a multitude of aquatic organisms with varying feeding modes, from the sea  
56 surface to the deepest part of the ocean are now known to readily ingest microplastic  
57 particles [14]. In particular, filter feeding organisms such as mussels and oysters will be  
58 subject to chronic exposures and uptake of microplastics [15]. This is occurring against the  
59 background of global ocean warming and acidification, which can alter or impair organisms'  
60 physiological processes and responses to any additional stressor. For example, one of the  
61 many impacts of ocean acidification can be reduced immunological response to infection  
62 [16,17]. The Anthropocene is becoming a pressing issue for these key aquatic species and  
63 understanding the interactions between these multiple stressors is imperative [18].

64 To address the important question as to whether the increasing microplastic burden in the  
65 global oceans might act as vectors of pathogen transfer to marine/aquatic species requires  
66 more than just assessing their presence on the particle surface. Here we will discuss four key  
67 processes that would be involved in any transfer of pathogenic bacteria from microplastics to  
68 hosts and subsequent disease outcome (summarised in Figure 1 [19]); 1) attachment of the

69 pathogen to the MP, 2) dispersal of the pathogen from the microplastic within an organism  
70 following ingestion or adhesion, 3) transfer of the pathogen into tissues and 4) disease  
71 outcome for the organism. The majority of studies to date have focused on describing the  
72 plastisphere community composition (also highlighted by Beloe *et al.*, [20] and reviewed by  
73 [5,6]), leaving the processes by which these communities attach and develop and then  
74 disperse over time still unexplored. It is also imperative to begin to understand whether they  
75 can be transferred into organismal tissues in sufficient numbers to cause disease outcomes  
76 and whether any of these processes differ at all from those that occur for the biofilms of  
77 natural particles.

78

#### 79 **Mechanisms of pathogen attachment to plastic surfaces**

80 Moments after exposure of any surface, including those of plastics or natural particles, to  
81 aquatic environments a conditioning film comprised of various organic and inorganic  
82 macromolecules and dissolved solutes begins to form [21–23]. This conditioning film is  
83 complete within hours and continues until adsorption kinetics are no longer favourable [24].  
84 The attachment of microbial cells to this conditioning layer occurs through a variety of  
85 processes that have been well documented [24–26]. An example of *Vibrio parahaemolyticus*,  
86 a known pathogen found within the plastisphere [27] attached to a polyamide nylon 6  
87 microfiber is shown in Figure 2. The initiation of these processes is multifaceted, yet likely  
88 coordinated, requiring both environmental and genetic stimuli [28]. The production of  
89 exopolysaccharides, such as *Vibrio* polysaccharide (VPS) from *V. cholera*, is one such process  
90 indicative of a shift from a planktonic lifestyle to one associated with a biofilm community  
91 such as the plastisphere.

92 In nature, biofilms are comprised of a complex consortium including multiple species [29,30].  
93 Within this community there are various cell to cell interactions that will affect the formation  
94 and composition of the biofilm. These are largely in the shape of inter- and intraspecies  
95 interactions including predation, quorum sensing and synergistic partnerships [29]. Along  
96 with enhanced communication between cells, biofilms offer many advantages including  
97 defence from external pressures such as decreased predation and reduction in sensitivity to  
98 antibiotics and host immune attacks, as well as enhanced metabolite exchange and access to  
99 nutrients [31]. Plasticsphere communities are comprised of a complex and diverse grouping of  
100 bacteria including potential pathogens and it has been suggested that multi-species biofilms  
101 facilitate persistence of pathogenic cells within the biofilm community [32]. Evidence also  
102 suggests that mechanisms associated with biofilm formation can also induce the expression  
103 of genes required for virulence and in the transfer of AMR genes [33,34].

104 It has become clear that the prevailing environmental conditions of surrounding seawater can  
105 strongly influence the mature bacterial community composition, whereas substrate/polymer  
106 type mainly affect the early stages of biofilm formation [8,35,36]. A few studies, however,  
107 have suggested that surface plastic type can select for differing bacterial clusters and even  
108 that some bacteria may selectively attach to a specific polymer [37,38]. Natural particles, such  
109 as glass, wood and feathers have been used as comparative surfaces to determine whether  
110 plastic offers new ecological niche to a specific consortium of bacteria. The evidence to date  
111 from these studies suggests that the bacterial communities of plastic and natural substrates  
112 are similar, with limited plastic specific bacteria being found thus far [6,39,40]. Elucidating  
113 how similar or different pathogen attachment to microplastics is to that of natural particles is  
114 a crucial component to explaining the impacts of this new ecological niche.

115 For the plastisphere, it is unknown whether or not pathogens are likely to be early or  
116 secondary colonisers, with this likely being species specific. For instance, vibrios attachment  
117 to microplastics have been discovered to be highly dynamic during the early stages of biofilm  
118 formation, yet poorly connected with the biofilm community [41]. The survival and longevity  
119 of pathogens such as vibrios within the plastisphere community once established is not well  
120 understood. The paradigm that the plastisphere community is strongly shaped by the  
121 surrounding environmental conditions, and hence is ever changing across varying marine  
122 environments, has been supported by review in 2020 [42], which analysed 35 plastisphere  
123 studies, and a more recent study which demonstrated a large community shift when the  
124 plastisphere community was exposed to changing salinity [43] These findings are important  
125 when considering whether pathogens might be transported to new locations via floating  
126 microplastics and ocean currents. Whilst a number of reviews suggest that microplastics do  
127 not pose more of a risk than natural controls with regards to potential pathogen colonisation  
128 [6,20,39]. However, another more recent review has reported the contrary with Metcalf *et*  
129 *al.*, [44] reporting that 62% of the studies examining potential pathogens on plastics and  
130 natural controls had higher abundances on the plastic particles than the natural controls.  
131 Vibrios have been reported to occur in high abundance on microplastic fragments collected  
132 from open ocean trawls [4,5], despite vibrio abundances generally found to be low in open  
133 ocean waters compared to coastal marine ecosystems [45], suggesting that microplastics may  
134 be acting as a vector for transport. However, these studies did not compare the plastisphere  
135 to any natural particles, and it is difficult to ascertain where these particles originated from,  
136 making conclusions as to plastics role as a vector challenging.

137 The presence of potential pathogens described at the genus level within the plastisphere does  
138 not necessarily determine that pathotypes of any given genera are present. There are around

139 half a dozen papers that have been able to assign pathogenic genes to specific bacteria  
140 present on microplastic surfaces sampled from the environment, such as *Vibrio cholerae* and  
141 *Aeromonas salmonicida*, but were unable to confirm if these genes were being actively  
142 expressed at the time of sampling due to the lack of metatranscriptomics used in most studies  
143 to date [46–49]. Antimicrobial resistant genes (ARGs) and bacteria (ARBs) have also been  
144 discovered within the plastic biofilm [50], with a number of studies reporting higher  
145 abundance of these (up to 5000 times) in the plastisphere community when compared to the  
146 surrounding water [51–53]. This might be expected due to the role of biofilms in enhancing  
147 horizontal gene transfer (HGT), a process that has been described to occur at a higher rate in  
148 microplastics when compared to the surrounding environment [54]. The converse has also  
149 been reported, however, with some studies findings these ARGs and ARBs in higher numbers  
150 on natural particle controls or in seawater compared to the plastisphere [50]. Alas, the activity  
151 of these ARGs within the natural controls has yet to be studied in conjunction with actual  
152 pathogens within the plastisphere. An important point to make here is that biofilm formation  
153 has been suggested to increase the affinity of pollutants (such as heavy metals) to  
154 microplastics [55]. As well as this, Liu *et al.*, [56] described that biofilms can have a positive  
155 effect upon the adsorption and concentration of these heavy metals on microplastics [57].  
156 With heavy metals having the potential to aid in the proliferation of antibiotic resistance in  
157 pathogenic bacteria, it remains important to gain a further understanding into the presence  
158 and activity of ARGs within the plastisphere. Wu *et al.* [58] is one of the first papers to describe  
159 ARGs that were being actively expressed within the plastisphere community. They detected  
160 75 genes with transcriptional activity, offering evidence that the plastispheres antibiotic  
161 resistome was actively expressed at the time of sampling. Interestingly, opportunistic human

162 pathogens belonging to the Enterobacteriaceae family were discovered to host ARGs within  
163 the plastisphere [58].

164 Mechanisms required for attachment and subsequent phenotypic changes for pathogens  
165 within aquatic settings are well described. However, key stages that may occur within the  
166 plastisphere biofilm are largely understudied. For example, bacterial adhesion to specific  
167 surfaces can actually cause an increase in competence for the uptake of foreign DNA, such as  
168 vibrios attachment to chitin [59]. This combined with the evidence that HGT and ARGs are  
169 increased within the plastisphere community, raises questions on the role that these  
170 pathogens play within the biofilm community. But then, for any attached pathogen to be of  
171 concern for any organisms coming into contact with or ingesting them, dispersal of pathogen  
172 from the particle needs to occur followed by uptake into the organismal tissues or circulatory  
173 system. Detachment from a biofilm can generally be described as active (bacteria driven) or  
174 passive (driven by external factors). These processes within the plastisphere biofilm are  
175 currently unknown, representing a major knowledge gap.

176

#### 177 **What are the mechanisms causing bacterial dispersal from the plastisphere biofilm?**

178 Currently, very few studies have focused on the dispersal or detachment stage of the  
179 plastisphere biofilm, yet this is key to elucidating the potential for the plastisphere community  
180 to transfer to aquatic microorganisms following ingestion or adhesion of microplastic  
181 particles. Dispersal of cells from biofilms is mediated by physical processes including shearing  
182 or via processes that are actively regulated. In the marine environment the role of fluid flow  
183 over biofilms suggests that shearing processes are likely to play a considerable role in  
184 dispersal. However, from knowledge of regulated processes of biofilm dispersal, several



185 scenarios can be envisaged. These are; dispersion, detachment and desorption [60].  
186 Collectively, dispersal occurs through a variety of cues, signals and regulators that causes  
187 specialised dispersal cells to leave the mature biofilm and enter back into a planktonic lifestyle  
188 [61]. Active dispersion is generally the result of specific signal transduction cues leading to  
189 physiological adjustments such as downregulation of biofilm matrix production and pilus  
190 retraction [62]. Detachment relates to the attrition of the biofilm matrix via shear forces,  
191 where sloughing of the cells releases them into the adjacent aquatic environment [61]. Finally,  
192 desorption generally relates to the disassociation of sessile cells in the early stages of biofilm  
193 formation. This may be an active, regulated process if it is considered as a reversal or  
194 inhibition of the initial attachment process such as inhibition or downregulation of adhesins  
195 such as LapA [63]. Interrogation of the expression status of genes associated with biofilm  
196 dispersal in the context of the plastisphere will aid in determining the potential effects of  
197 pathogens embedded in this environment.

198 The ingestion of a variety of plastics by a wide range of aquatic organisms in their natural  
199 habitats is now documented for over 200 different species from all regions of the ocean [64].  
200 Upon ingestion, these microplastics will enter the organism's digestive tracts and gut and  
201 either remain there indefinitely, or pass through the guts and be removed via depuration,  
202 which is the case for many invertebrates [15]. The surrounding environmental conditions for  
203 the plastisphere community will change upon ingestion of the microplastic particle; for  
204 example, internal/gut pH, nutrient levels and oxygen concentration (as highlighted in concept  
205 Figure 1). One of the key methods of dispersal is the use of enzymes to break down the  
206 extracellular matrix of the biofilm [65] (Figure 1). A multitude of biofilm species have been  
207 shown to secrete enzymes specific for extracellular matrix degradation as a result of changes  
208 to environmental conditions within and exterior to the biofilm [65]. Also, in *Vibrio cholerae*,

209 it has been shown that the bile salts within the host's intestinal cavity promotes biofilm  
210 dispersal [66]. Changes in the gut pH may also induce the expression of virulence associated  
211 genes giving more potential for these plastsphere transported microorganisms to cause  
212 disease. Interestingly, some pathogenic strains of bacteria (i.e. *Vibrio cholerae*) have actually  
213 enabled themselves to use acidic conditions within the stomach to regulate virulence for  
214 efficient infection [67,68].

### 215 **Host colonisation and disease**

216 Only one study to date has directly demonstrated the transfer of bacterial cells from a plastic  
217 particle to a host organism, demonstrating the transfer of *E. coli* cells to the temperate coral  
218 *Astrangia poculata*, after ingestion of biofilmed microbeads [69]. This study also  
219 demonstrated disease outcome following this transfer, whereby the corals colonised by the  
220 *E. coli* cells consequently died after 4 weeks of exposure, in contrast to corals that ingested  
221 virgin plastics which survived post depuration. Likewise, a recent study looked at the effects  
222 of biofilmed plastic on the Mussel *Mytilus galloprovincialis* and found plastic ingestion caused  
223 a significant immune response in comparison to mussels exposed to virgin plastics. As well as  
224 this, they discovered that exposure to biofilm-associated microplastics also increased  
225 bacterial diversity on the gills within the mussels when compared to Mussels exposed to  
226 sterile microplastics [70]. Not only showing pathogen transfer but also providing evidence of  
227 disease is a critically important area of research that requires further investigation.

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### 230 **Pathogen-plastic-biota interactions under global ocean change?**

231 The ocean environment in which any pathogen-microplastic-organism interactions will occur  
232 is changing at an unprecedented rate as a result of ocean warming, acidification and  
233 deoxygenation [71]. These changes in the physico-chemical properties of seawater have the  
234 potential to influence both the abundance and global distribution of marine pathogens, and  
235 the physiological responses of any organisms they interact with. For example, ocean  
236 acidification (OA), the decline in ocean pH and associated changes in carbonate chemistry due  
237 to increasing uptake of atmospheric carbon dioxide, has been shown to suppress  
238 immunological responses in a variety of marine organisms [16,72,73]. Near-future OA  
239 conditions can alter microbial communities within organisms, including host-pathogen  
240 interactions [74], and susceptibility to a known bivalve bacterial pathogen was found to  
241 increase when exposed under ocean acidification conditions for the Blue Mussel, *Mytilus*  
242 *edulis* [75]. Ocean acidification may also directly cause tissue damage in organisms such as  
243 fish, potentially contributing to a weakened immune system that creates opportunities for  
244 bacterial invasion [76]. Understanding these potential interactions between the physiological  
245 effects of ocean acidification and exposure to the plastisphere community, in a multi stressed  
246 ocean are important.

247 Increasing sea surface temperatures are perhaps the most obvious and pervasive impact of  
248 climate change in coastal ecosystems worldwide, particularly in light of recent observations  
249 demonstrating significant warming in over 70% of the world's coastlines [77]. Climate change  
250 plays a significant role in determining the dynamics of many bacterial pathogens and for some  
251 disease agents is becoming increasingly well understood [78,79]. Many diseases are expected  
252 to increase in range and severity with projected climate changes [79–81]. There is a growing  
253 body of evidence to indicate that climatic warming may allow certain *Vibrio* strains to emerge  
254 in new areas. For instance, a highly pathogenic variant of *Vibrio parahaemolyticus* belonging

255 to the clonal complex ST36 and termed the Pacific Northwest strain emerged on the  
256 Northeast coast of the United States of America during the unusually warm spring of 2012  
257 [82,83]. It is likely that localised climate warming played some role in the epidemic ignition of  
258 this strain [79]. Climate warming can potentially have impacts on the evolution of bacterial  
259 pathogens in the environment, but there is no evidence to date as to how this might affect  
260 the plastisphere community. Marine bacteria such as vibrios have some of the fastest  
261 replication times of any studied bacteria with studies of *V. parahaemolyticus* and other *Vibrio*  
262 species have replication times as little as 8-9 minutes [84,85]. Increased environmental  
263 temperatures may also amplify and accentuate microbial evolution. Potential underlying  
264 mechanisms include elevated temperatures facilitating horizontal gene transfer of mobile  
265 genetic elements of resistance, and increased pathogen growth rates promoting  
266 environmental persistence, carriage and transmission [86,87]. There are therefore, a number  
267 of potential mechanisms by which ocean warming and the plastisphere associated with the  
268 increasing microplastic burden in the global ocean might interact. Assessing these  
269 interactions represent a key research avenue.

270

## 271 **Future directions**

272 Plastics have become an important component of everyday life since the start of their mass  
273 production in the middle of the 20<sup>th</sup> Century. Critically, microplastic contamination is  
274 geographically widespread, longstanding and likely to increase in the future. Several factors  
275 make the study of pathogens (both human and animal) and their interactions with plastics in  
276 the environment absolutely critical. Firstly, and perhaps most importantly, the sheer volume  
277 of floating plastics entering the global oceanic system alone is staggering. In 2021 it was

278 predicted that there are 24.4 trillion plastic particles in the world's upper oceans, not  
279 accounting for sinking plastic. Since then, the numbers have increased and are expected to  
280 continue on an upward trajectory if the causes and sources are not mitigated [88]. As a global  
281 environmental contaminant this alone represents an unprecedented surface area and milieu  
282 for the colonisation, attachment, dispersal and potential spread of various bacterial  
283 pathogens. Unfortunately, it is not known to what extent this additional input of human  
284 plastic waste into the natural environment has altered the potential spread of human and  
285 animal diseases, and how these risks have changed since the advent of plastic contamination  
286 of the environment in the last few decades. This alone is probably one of the key questions  
287 that needs to be addressed by the scientific community. Although there is now a glut of  
288 studies that demonstrate the presence of pathogens on plastic particles (of differing levels of  
289 weathering), many studies are anecdotal, lack robust experimental comparators and  
290 generally fail to provide conclusive evidence to infer risk, such as biologically plausible  
291 pathways of disease transmission by plastic-associated pathogens. Complicating matters  
292 further, several confounding factors also exist; climate change (including coastal warming,  
293 extreme weather events and ocean acidification), a global increase in intensive aquaculture,  
294 as well as demand for aquaculture products, which make the study of pathogens associated  
295 with microplastics all the more necessary.

296 Whilst the rate, type and diversity of microbial colonisation of microplastics is well  
297 documented in the literature, there are still some key knowledge gaps that need to be  
298 addressed: 1) robust (laboratory-based) evidence for the selection of pathogens on both  
299 plastic and natural particle surfaces as well as antibiotic resistant bacteria, 2) and their  
300 associated rate and type of gene exchange on both plastic and natural particles; 3.) Studies  
301 examining the four key stages of a vector; 1.) Attachment of the pathogens 2) dispersal of the

302 pathogen within an organism, 3) transfer of the pathogen into tissues and 4) disease outcome  
303 as highlighted in Figure 1. These all represent key research challenges. Of note, although many  
304 anecdotal studies exist (e.g. showing presence of human pathogens and/or AMR genes on  
305 microplastics fragments), very few carefully controlled laboratory studies such as those  
306 studying the relative colonisation and spread of different bacterial pathogens have been  
307 published to date. Across various scientific disciplines the minimum technical requirements  
308 necessary for the publication of work using approaches such as genome sequencing and PCR  
309 have been established now for some time. This framework is essential for ensuring the  
310 validity of the approaches used, harmonises specific scientific definitions, increases  
311 experimental transparency and helps promote consistency between different laboratories.  
312 We suggest that a similar set of commonly defined minimum requirements are needed in the  
313 study and publication of research in environmental plastic research, and should focus on the  
314 types of samples studied (e.g. polymer type, size, weathering rate), choice of controls  
315 (natural, virgin plastic, glass etc.), microbial detection and characterisation methods (e.g. PCR,  
316 genome sequencing) and whether the work uses environmental and/or laboratory-based  
317 studies. Given these huge uncertainties and complexities it is likely that studying plastic-  
318 associated pathogens and deriving more fully an understanding of how and potentially why  
319 plastic contamination poses a risk in the environment will require merging disparate scientific  
320 disciplines - such as biological oceanography, ecotoxicology, microbiology, molecular biology,  
321 and genomics, among others.

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325 **Summary**

326 • Plastic contamination of the environment is a significant and growing global problem.

327 • There is now a strong body of evidence suggesting that the plastisphere community  
328 can include a range of potential pathogens.

329 • With widespread ingestion of these plastic particles by aquatic species, the potential  
330 for dispersal of the plastisphere community within the gut of an organism following ingestion  
331 remains a key knowledge gap.

332 • A changing oceanic system can have an impact on the immune physiology of some  
333 marine species as well as increase pathogen prevalence in marine ecosystems thus raising the  
334 potential for interaction between these stressors.

335 • Methodological frameworks for developing research in this area should include the  
336 four key vector stages; 1.) Attachment of the pathogens 2) dispersal of the pathogen within  
337 an organism, 3) transfer of the pathogen into tissues and 4) disease outcome.

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345 **Competing Interests**

346 The authors declare that there are no competing interests associated with the manuscript.

347 **Author Contributions**

348 All authors wrote and edited the manuscript.

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