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**Experimental study of a 3D printed geogrid embedded with FBG
sensor for reinforcement of subgrade with underlying karst cave**

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23 **Abstract:** Road construction in karst areas is a challenging task. Combining the
24 advantages of geosynthetics and fiber Bragg grating (FBG), this paper creatively
25 presents a new type of FBG-3D printed geogrid, which allows reinforcement and
26 accurate deformation monitoring. A series of model tests were carried out to investigate
27 the mechanical and deformation characteristics of the subgrade with underlying karst
28 cave reinforced by FBG-3D printed geogrid. The experimental results indicate that the
29 fully coordinated deformation between FBG sensor and geogrid is successfully
30 achieved by 3D printing technology, and the relationship between fiber wavelength and
31 strain is obtained. The existence of cave has an adverse effect on the subgrade, but the
32 FBG-3D printed geogrids effectively improve the bearing capacity and footing
33 settlement, and the reinforcement effect increases with the decrease of geogrid spacing.
34 In the cyclic loading experiments, the earth pressure inside the subgrade reinforced by
35 geogrid changes as a half-sine wave in each cycle. The FBG sensors accurately measure
36 the strain change inside the subgrade, and the data show that the deformation of
37 measuring point above the cave model is the largest. The research conclusions provide
38 important basic data for the construction and monitoring of highway and geotechnical
39 engineering projects.

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42 *Keywords: fiber Bragg grating, geogrid, model test, underlying karst cave, cyclic*
43 *loading*

44

45 **1. Introduction**

46 Karst is a special landform caused by the long-term influence of groundwater on
47 soil or soluble rocks. In addition to the cavities and funnels formed in the rock mass
48 posing a serious threat to the stability of traffic tunnels, the karst caves developed in the
49 overlying soil layer also cause a higher probability of collapse for the highway traffic
50 (Alija et al., 2013; Qian and Lin, 2016; Lyn et al., 2020). China is one of the countries

51 with the most extensive karst disasters in the world. The disaster-affected areas in China
52 occupy one third of the land area, exceeding 3.5 million square kilometers (Zhao et al.,
53 2018; Lai et al., 2020; Zheng et al., 2021). The spatial complexity and uncertainty of
54 karst areas bring great risks to engineering construction. Therefore, a large number of
55 highway projects passing through karst areas face the problem of karst collapse caused
56 by karst caves inside the subgrade.

57 The low bearing capacity of the subgrade with underlying karst cave seriously
58 affects its stability. When there are karst caves in the subgrade, natural conditions,
59 groundwater or traffic loads will cause collapse, resulting in road cracking and
60 subsidence. Therefore, it is necessary to carry out reasonable reinforcement according
61 to the actual engineering situation. Grouting and backfilling are the main treatment
62 techniques for karst caves with shallow depths. For deep karst caves, beam-slab
63 spanning and roof reinforcement are generally used. However, these traditional
64 reinforcement methods have long construction periods and adversely affect the
65 environment. In recent years, the rapid development of geosynthetic reinforcement
66 technology has provided new ideas for engineering construction and has been proved
67 to significantly improve the mechanical properties of soils in engineering practices
68 (Chawla et al., 2019; Xu et al., 2019; Dhanya et al., 2019; Sadeghi et al., 2020).

69 Geogrid is one type of geosynthetic that has been successfully used for
70 stabilization of roads over weak subgrade (Shankar and Suresha, 2011). Its excellent
71 applicability to subgrade reinforcement is mainly reflected in the improvement of
72 subgrade bearing capacity and the control of uneven settlement. With regard to previous

73 research, Baadiga et al. (2021) conducted a series of large-scale laboratory tests on road
74 sections to evaluate the performance of geogrid reinforced base under various subgrade
75 conditions and found that the optimum depth of geogrid in the base was one-third of
76 the surface. Farsakh et al. (2016) carried out plate load test on a road section paved with
77 geogrid on soft subgrade and reported improved deformation characteristics and
78 bearing capacity. Bonaparte and Berg (1987) laid the geogrid horizontally under the
79 base to reinforce the subgrade with underlying karst cave, and the results showed that
80 the geogrid effectively prevented the sudden collapse of the pavement. The tensile
81 property of geogrid can effectively reduce the differential settlement and lateral
82 deformation of subgrade. Therefore, it has obvious advantages in preventing sudden
83 damage caused by karst cave subsidence. However, because of the complex geological
84 conditions in karst areas, the causes of formation, degrees of development and evolution
85 rules of underground caves are quite different. These uncertainties will still bring
86 security risks to the reinforced roads. Therefore, appropriate monitoring work must be
87 carried out to keep abreast of the development of karst cave and the deformation of the
88 overlying soil layer.

89 In recent years, the large-scale monitoring of karst geology has been mainly based
90 on spatial conditions, such as geo-radar monitoring and time-domain reflectometry.
91 These techniques are expensive and difficult to achieve continuous deformation
92 monitoring. However, for underground structures reinforced by geosynthetics,
93 deformation monitoring of the materials helps to reflect the mechanics, deformation
94 characteristics of soil and structural stability. Most traditional measurement methods

95 involve the use of strain gauges and vibrating wire strain sensors. But in practical
96 engineering applications, the monitoring signal is easily influenced by external
97 environmental factors (Liu et al., 2017). Also, they cannot achieve the complete
98 coordinated deformation between the measured component and the instrument, which
99 results in low accuracy and poor anti-interference ability. In recent years, Fiber Bragg
100 Grating (FBG) has been widely used in many civil engineering applications to sense
101 strains and deformations (Moyo et al., 2005; Barrias et al., 2019; Kou et al., 2019;
102 Buckley et al., 2020; Pei et al., 2020; Song et al., 2021). As a typical optical-fiber-based
103 sensing technique, the distinguishing features of FBG are low cost, good stability and
104 almost absolute measurement, especially in geotechnical engineering (Bhaskar et al.,
105 2021). For instance, You et al. (2019) proposed a soil strain measurement method based
106 on FBG sensing technology and successfully obtained real-time soil strain data.
107 Yoshida et al. (2002) used fiber Bragg grating arrays to conduct experiments and
108 developed a slope deformation monitoring system. Xu et al. (2017) proposed a
109 distributed optical fiber sensor to measure karst collapse strains. They conducted
110 experiments involving long-term monitoring that could provide time series data on
111 distribution of collapse-induced strains. Despite the clear advantages of FBG sensors,
112 they also have some limitations. For example, attaching bare fiber to the instrument
113 surface is easy and it can be readily replaced, but the sensor has poor fatigue
114 performance and can be easily damaged. Therefore, FBG sensors are often protected in
115 embedded applications.

116 In this study, FBG sensors are embedded into geogrid by 3D printing technology.

117 In this way, a new FBG-3D printed geogrid is designed, combining the advantages of
118 both. In the design of FBG-3D printed geogrid, the following compatibility principles
119 of materials are considered:

120 (1) Strength. The strengths of FBG sensor and geogrid should not affect each other
121 as much as possible.

122 (2) Interface. The material of geogrid should be compatible with FBG sensor, to
123 reduce the loss of deformation parameters.

124 (3) Size. When the geogrid is deformed due to external conditions, the FBG sensor
125 should be consistent with its deformation.

126 (4) Field distribution. The field distribution environment of geogrid (such as
127 stress-strain field, temperature field, etc.) should not be affected by FBG sensor
128 materials.

129 In this way, geogrid, as a packaging structure, can not only protect FBG, but also
130 self-sense the strain accurately.

131 At present, the related research on geosynthetics reinforcement is behind the needs
132 of engineering practice in karst areas. Although some research on the stability of
133 geosynthetic-reinforced soil above caves has been carried out (Wang et al., 1996), there
134 are very few results on reinforcement methods with FBG sensors. In particular, cyclic
135 loading has a significant impact on the bearing capacity and deformation of the
136 subgrade with underlying karst cave, and a more accurate monitoring method is needed
137 to analyze the behavior. Therefore, in this paper, an FBG-3D printed geogrid is
138 developed and used to reinforce the subgrade with underlying karst cave by laboratory

139 model experiments. According to the previous research on geosynthetics reinforcement,
140 different factors (such as number of layers, length, spacing and arrangement position of
141 materials) have certain influences on the results (Yoo, 2001). This study selects different
142 geogrid layers and spacings as test conditions, aiming at analyzing the mechanical and
143 deformation characteristics of the subgrade with underlying karst cave reinforced by
144 FBG-3D printed geogrid under static and cyclic loading. The conclusions drawn from
145 this study provide a basis for the development of new geosynthetic materials. The FBG-
146 3D printed geogrid has huge potential for enriching construction techniques and
147 monitoring methods in the fields of road and geotechnical engineering.

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149 **2. Materials and methods**

150 **2.1. Soil**

151 A sand was used in this study as backfill materials, with uniformity coefficient (Cu)
152 of 4.52 and curvature coefficient (Cc) of 0.20. Fig. 1 shows the particle size distribution
153 curve of the sand tested by laser particle size analysis, which is then classified as poorly
154 graded sand (SP) in accordance with ASTM D2487 2011. The physical properties of
155 the sand are presented in Table 1.

156 In addition, the shear strength index has a direct influence on the bearing capacity
157 of sand. Therefore, through triaxial tests on cylindrical samples with diameter of 38.1
158 mm and height of 76.2 mm under different confining pressures, the internal friction
159 angle of the test sand was determined to be 35.7° when the relative density was 70%.

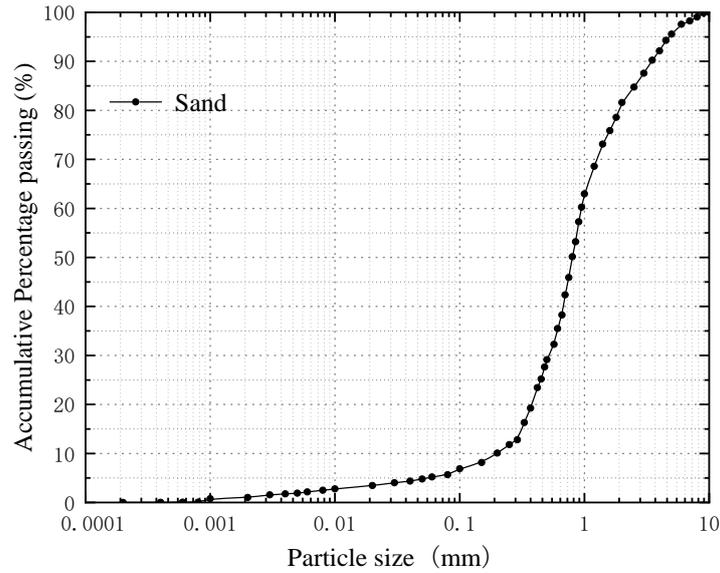


Fig. 1. Particle size distribution of test sand

Table 1 Physical properties of test sand

Properties	Value
Soil type	SP
D10 (mm)	0.21
D30 (mm)	0.52
D60 (mm)	0.95
Coefficient of uniformity (Cu)	4.52
Coefficient of curvature (Cc)	0.20
Specific gravity (Gs)	2.74
Maximum dry unit density (kN/m ³)	19.2
Minimum dry unit density (kN/m ³)	15.8

2.2. Fiber Bragg Grating (FBG) sensor

The FBG sensor is a monitoring element based on the sensitivity of optical devices to light, and the grating is written into the fiber core by the ultraviolet exposure method. An unpacked bare fiber consists of fiber core, cladding and protective layer (covering layer), as shown in Fig. 2. When the incident light is transmitted to the grating area through the optical fiber, the grating will only reflect the light signal centered at a

170 specific wavelength, termed as the Bragg wavelength λ_B (Pei et al., 2017; Zheng et
171 al., 2019). The related wavelength determination formula can be expressed as Eq. (1):

$$172 \quad \lambda_B = 2n_{eff}\Lambda \quad (1)$$

173 where n_{eff} is the effective refractive index of the fiber core and Λ is the grating period.

174 Among many environmental variables, strain and temperature have a significant
175 effect on FBG sensors. Strain and temperature change the Bragg wavelength through
176 the periodic expansion or contraction of the grating (Luis et al., 2014; Xie et al., 2022).

177 The corresponding wavelength shift $\Delta\lambda_B$ can be obtained as follows:

$$178 \quad \frac{\Delta\lambda_B}{\lambda_B} = K_\varepsilon\varepsilon + K_T\Delta T \quad (2)$$

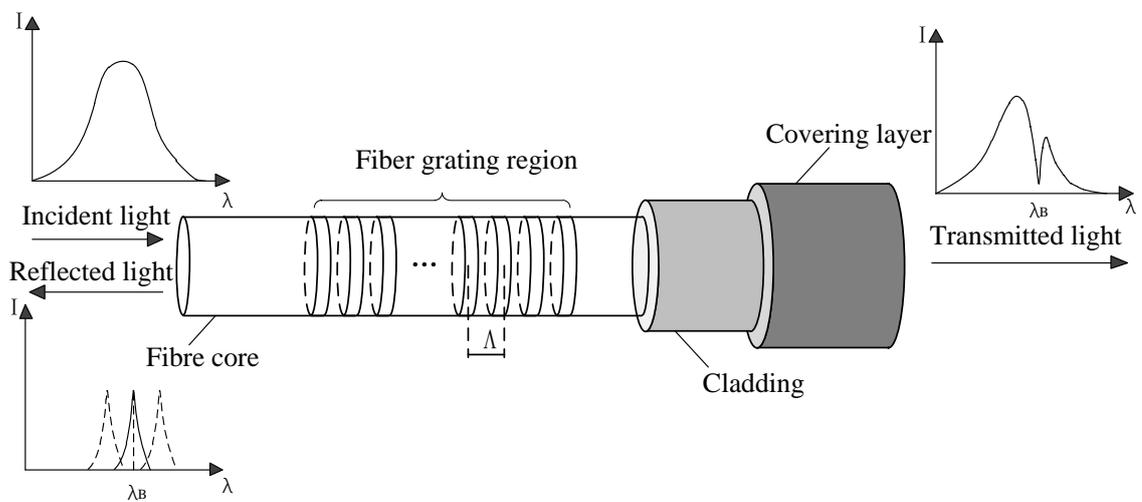
179 where ε is the axial strain of grating, ΔT is the temperature change of grating, and
180 K_T and K_ε are the sensitivity coefficients of strain and temperature, respectively.

181 FBG sensors directly transmit optical signals through optical fibers instead of the
182 electric signal transmission of ordinary sensors, so they have the advantages of low
183 energy consumption and anti-electromagnetic interference. Furthermore, multiple
184 measuring points can be accurately arranged to meet the measurement needs of key
185 locations, so as to realize local series-distributed monitoring and continuous data
186 acquisition. However, since the particularity of FBG sensor's optical fiber, it needs to
187 be packaged and compatible with the tested component in consideration of strength,
188 interface and size.

189 On the other hand, due to the data of center wavelength in grating areas obtained
190 by demodulator cannot directly correspond to the strain parameters. The calibration
191 experiment was carried out to determine the mathematical relationship between

192 deformation and center wavelength (Fig. 3). One side of the optical fiber was naturally
 193 drooped until the wavelength data remained stable. Then, a 20g weight was loaded on
 194 the optical fiber coil every 25s for 4 times. After loading, the weights were removed
 195 one by one in the same way. In the whole process, it is necessary to strictly control the
 196 time of each step and avoid shaking.

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Fig. 2. Working principle of FBG sensors

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Fig. 3. A view of FBG calibration experiment

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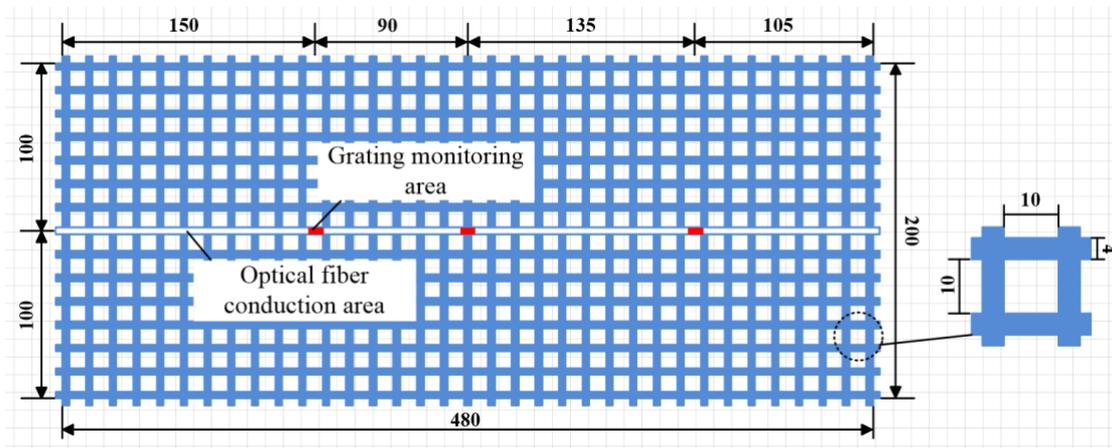
2.3. FBG-3D printed geogrid

Combining FBG sensing technology and geosynthetic reinforcement, FBG sensor is embedded into the geogrid by 3D printing technology, developing an FBG-3D printed geogrid. In this way, geogrid is directly used as the packaging structure of FBG sensor so that we can ensure the complete coordinated deformation between the grating monitoring areas and geogrid, thus avoiding excessive loss of strain. The actual manufacturing process can be divided into three parts: geogrid model building, geogrid printing and FBG sensor embedding, and fiber packaging outside the geogrid. Fig. 4 shows the size of 3D printed geogrid and specific locations of optical fiber and grating. The mesh shape was square, with an aperture size of 10 mm×10 mm, and the strip width and thickness were 4 mm and 1.4 mm, respectively. The vibration caused by cyclic loading leads to continuous collision between geogrid and model box, which will affect the measurement accuracy of FBG sensor. Therefore, the FBG-3D printed geogrid has no direct contact with the side walls of the model box.

Carbon fiber was used as 3D printing material, with good compatibility, tensile strength and ductility. Through repeated tests, a layered printing thickness of 0.1mm and printing speed of 60 mm·s⁻¹ were selected. When the printing progress reached 50%, the FBG sensor was placed in the designed position. At the same time, in order to prevent the optical fiber from shifting caused by the movement of printer nozzle, it was necessary to fix the optical fibers at both ends of the geogrid with sellotape. After 3D printing, the optical fiber outside the geogrid was protected by a PVC sleeve with a diameter of 2 mm and connected to the FBG demodulator. A tensile-strength test, as per

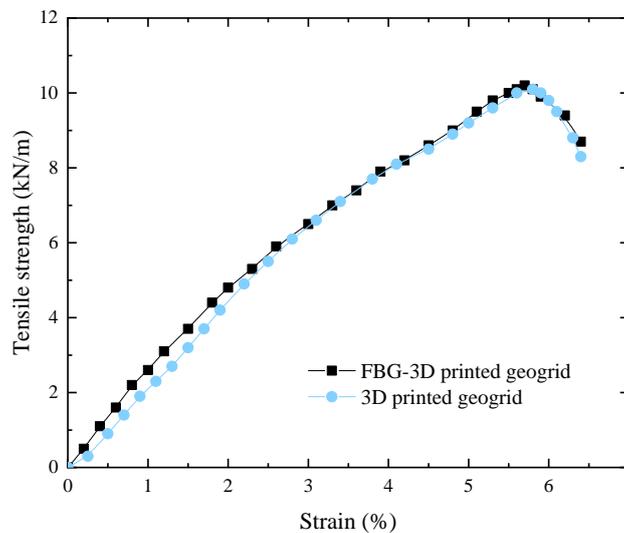
228 ASTM D6637 2015, was carried out on the specimen. Fig. 5 shows the relationships
 229 between tensile stress and strain of FBG-3D printed geogrid and 3D printed geogrid.
 230 Because their resistance to deformation mainly depends on the geogrid, their ultimate
 231 tensile strength is very close. However, at the initial stage of the test, for the same strain,
 232 the tensile stress of FBG-3D printed geogrid was slightly higher because the grating
 233 fiber was stretched. Table 2 describes the physical and mechanical properties of the
 234 FBG-3D printed geogrid.

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Fig. 4. Schematic diagram of FBG-3D printed geogrid (dimensions in mm)



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Fig. 5. Tensile stress-strain curves of 3D printed geogrid and FBG-3D printed geogrid

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Table 2 Properties of FBG-3D printed geogrid

Parameter	Value
Aperture size (mm)	10×10
Thickness (mm)	1.4
Tensile strength at 2% strain (kN/m)	3.7
Tensile modulus at 2% strain (kN/m)	185
Ultimate tensile strength (kN/m)	10.2

244

245 **2.4. Principles of similitude**

246 The focus of this research is the analysis of deformation and reinforcement of a
247 subgrade with underlying karst cave. To investigate the factors that influence the
248 structure, scale model tests have been widely used in related research (He et al., 2021;
249 Xu et al., 2021). Through similarity theory and dimensional analysis, the relationship
250 between mechanical behavior of the scale model and the prototype structure can be
251 quantitatively described. Considering the combination with the loading device and its
252 stability during experiment, a model box is selected as the container for subgrade
253 construction. The model box has internal dimensions of 600 mm × 290 mm × 300 mm
254 (length × width × height) and is welded into a skeleton from a 25 mm thick steel plate.
255 A high-strength toughened glass of 25 mm thickness is embedded in the box as a
256 sidewall. Michalowski and Shi (2003) conducted a plate load test and found that the
257 scale effect would be insignificant once the width and height of model are greater than
258 10 and 6 times the width of the footing. The width of the footing in this study is 50 mm,
259 so the scale effects can be considered insignificant.

260 The similarity ratio is related to the engineering prototype and test model.

261 According to the similitude theory, the similitude coefficients, C_i , defined as the ratios
 262 of the prototype parameters i_p to the model parameters i_m , i.e.,

$$263 \quad C_i = \frac{i_p}{i_m} \quad (3)$$

264 must be constant. Considering the dimensions of the model test, the geometric
 265 similarity scale is determined to be $C_L=20$. The similarity ratio of bulk-density is $C_\gamma=1$,
 266 and the similarity parameters are deduced as shown in Table 3.

267

268 **Table 3** Similarity ratio of physical parameters

Parameters	Definition	Relations	Similarity ratio
Stress	$C_\sigma = \sigma_p / \sigma_m$	$C_\sigma = C_\gamma C_L$	20
Strain	$C_\varepsilon = \varepsilon_p / \varepsilon_m$	$C_\varepsilon = C_\mu$	1
Cohesion	$C_c = c_p / c_m$	$C_c = C_\sigma$	20
Density	$C_\gamma = \gamma_p / \gamma_m$	$C_\gamma = C_\sigma / C_L$	1
Elasticity modulus	$C_E = E_p / E_m$	$C_E = C_\sigma / C_\varepsilon$	20
Poisson's ratio	$C_\mu = \mu_p / \mu_m$	$C_\mu = C_\varepsilon$	1
Friction coefficient	$C_\varphi = \varphi_p / \varphi_m$	$C_\varphi = C_\mu$	1

269 C represents the similarity scale. Subscript, p represents the prototype, m represents the
 270 prototype model, σ represents stress, ε represents strain, c represents cohesion, γ represents
 271 bulk density, E represents modulus of elasticity, μ represents Poisson's ratio, φ represents angle
 272 of internal friction.

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274 **2.5. Analogue materials**

275 In the mechanical model test, analogue materials should be selected according to
 276 the research object and conform to the relevant similarity principle (Li et al., 2021).

277 Karst cave is usually an underground cave with a certain thickness formed by the
 278 erosion of surface water or the undercurrent of groundwater. During this process, the
 279 surrounding soil will disintegrate and finally be fixed into a random shape, with low
 280 strength and easy destruction. The failure mechanism of karst cave simulated in this

281 test is shown in Fig. 6, including the following four stages.

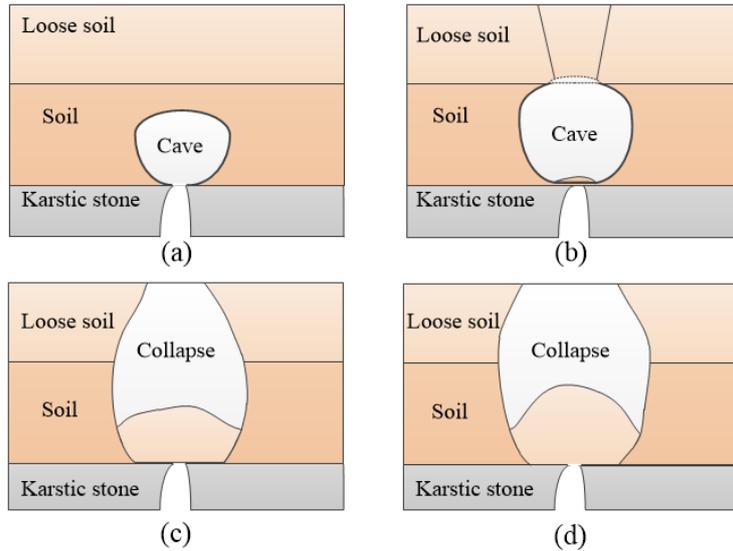
282 (1) Karst cave is formed by the subsurface erosion of groundwater from soluble
283 rock layers.

284 (2) With the development of the karst cave, the disintegrated soil is accumulated
285 at the bottom of the cave due to gravity and isolated from groundwater. The side wall
286 of the cave has a certain strength and maintains balance with the upper soil.

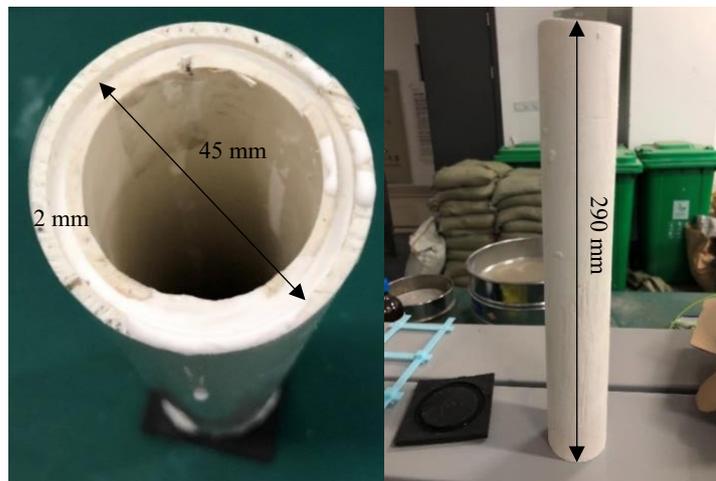
287 (3) External factors destroy the balance, and loose soil quickly collapses and
288 accumulates in the cave due to karst collapse.

289 (4) The surrounding soil further collapses or slips to the center until it reaches a
290 stable state.

291 It is assumed that karst cave is developed in the soil layer, and the influence of
292 water is not considered. According to previous studies, similar materials simulating soft
293 surrounding rock have high density, low strength and low elastic modulus (Xu et al.,
294 2021), and materials that simulate karst caves have some similar characteristics with
295 them. Considering uniformity of cross section and isotropy of materials, the cave model
296 was made from gypsum powder by changing water content. Fig. 7 shows the cross
297 section and dimensions of the cave model, with an outer diameter of 45 mm and a
298 thickness of 2 mm. After iterative proportional adjustment, the mass ratio of water to
299 gypsum powder which meets the requirements was determined as 1:1.4. The
300 compressive strength of gypsum pipe obtained by uniaxial compressive test is 0.13 MPa.
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304 **Fig. 6.** Failure mechanism of a karst cave



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307 **Fig. 7.** Schematic diagram of cave model

308 **2.6. Model test schemes**

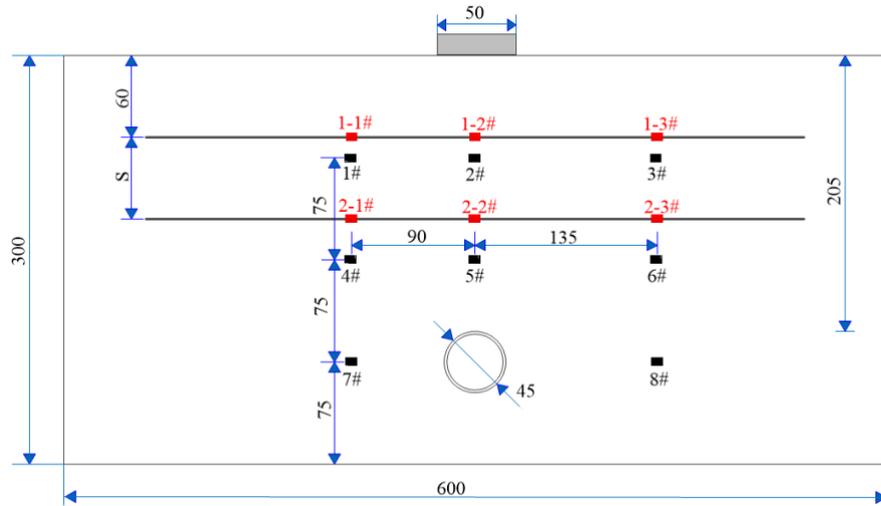
309 In order to carry out the subgrade model test in laboratory scale, the loading
310 chamber located in dynamic triaxial laboratory of Department of Civil Engineering,
311 Shanghai University was used. The design of the test is based on a subgrade with a
312 width of 12 m and a height of 6 m, having a circular karst cave. The stability of karst
313 caves is closely related to their location and size. Hubbard and Balfour (1993)

314 investigated the engineering concerns of a proposed highway construction in karst area
315 and found that the cave passage broke within 5m below the subgrade, which was a
316 potential safety hazard. Zhao et al. (2021) analyzed the stability of karst cave in the soil
317 layer through the limit theorem and found that gravity could induce damage to a cave
318 with a critical radius of 1.25 m, but additional load conditions would reduce this critical
319 radius. Considering the influence of traffic load on subgrade, the test design assumes
320 that there is a circular cave with a diameter of 0.9 m in the soil layer at a depth of 4.1
321 m below subgrade.

322 The different experimental designs considered are shown in Table 4. Five groups
323 of tests were carried out independently, with a non-reinforced scenario being set as
324 control sample. The influence of number of geogrid layers on reinforcement effect was
325 studied between test conditions B and C. Furthermore, the effect of spacing of geogrids
326 was considered under test conditions C1, C2, C3. The model test scheme is shown in
327 Fig. 8. Regarding the layout of geogrids, Yetimoglu et al. (1994) found that the
328 improvement of bearing capacity will be greatly reduced when the depth of single-layer
329 geogrid exceeds $1.2 B$, where B is the width of footing. The footing width of this test is
330 50 mm, so the arranged depth of single-layer geogrid is 60 mm. On the other hand,
331 Latha and Somwanshi (2009) arranged geogrid at depths of $2 B$ and $2.67 B$ to study the
332 reinforcement effect of multi-layer geogrids. Therefore, in this test, the spacing of
333 double-layer geogrids is 50 mm and 70 mm. An additional test condition of 90 mm is
334 added to obtain the strain data of the soil close to the cave, which is helpful in analyzing
335 the deformation near the cave.

336 Before preparation of the test model, low friction silicone grease was used to
337 minimize possible friction between the walls of the test box and the assembled soils. In
338 the process of filling soil into the model test box, each layer was compacted to the
339 relative density of 0.8 from bottom to top. When the thickness of filling soil reached
340 the target height, the corresponding cave model, soil pressure cells and FBG-3D printed
341 geogrids were laid at preset places. The relevant test steps are shown in Fig. 9. In the
342 experiments, the dynamic and static apparatus slightly modified in loading method
343 (USTX-2000 of GCTS, USA), was used for loading, and the loading element was fixed
344 on the upper part of the reformed model box by two columns. The data acquisition
345 device consisted of optical fiber wavelength modulator, and soil pressure and
346 displacement data acquisition. In the static loading test, a load was applied on the
347 middle of the subgrade surface, and the loading speed was set as 3 N/s. The test stopped
348 when the displacement suddenly changed and the subgrade suffered from overall shear
349 failure. However, the research on cyclic loading is more in line with the actual situation
350 of instantaneous and multi-frequency traffic load on subgrade. The subgrade with
351 underlying karst cave has greater risk of damage under cyclic loading. Geosynthetics
352 reinforcement technology can effectively improve the stability of subgrade and reduce
353 the settlement, but the slight deformation of soil inside subgrade under cyclic loading
354 is generally difficult to obtain. Therefore, FBG-3D printed geogrid was used to
355 reinforce the subgrade and collect real-time and continuous data at the designated key
356 positions.

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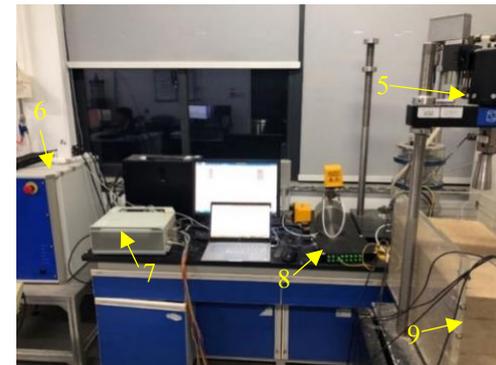
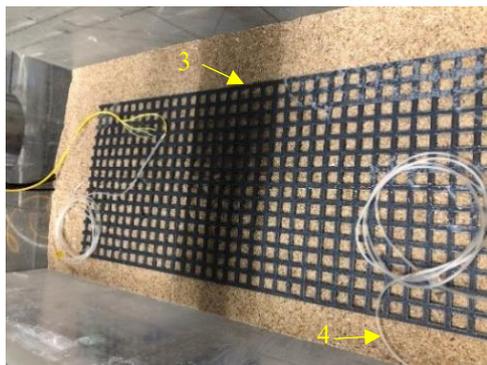
Fig. 8. A schematic view of the reinforced subgrade with an underlying cave (dimensions in mm)



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(a)

(b)



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(c)

(d)

Fig. 9. The general procedure of model test. (a) Placing earth cave model; (b) Embedding earth pressure sensors; (c) Placing FBG-3D printed geogrids; (d) Overview of model test system. 1. Earth cave model; 2. Soil pressure sensor; 3. 3D printed geogrid; 4. FBG; 5. Loading system; 6. Data acquisition instrument; 7. Soil pressure dynamic collection box; 8. Optical fiber wavelength modulator; 9. Model test box

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Table 4 Different test conditions of model test

<u>Test</u> <u>Conditions</u>	<u>Reinforced</u> <u>Layer</u>	<u>Geogrids Spacing</u> <u>/mm</u>
<u>A</u>	<u>1</u>	<u>1</u>
<u>B</u>	<u>1</u>	<u>1</u>
<u>C1</u>	<u>2</u>	<u>50</u>
<u>C2</u>	<u>2</u>	<u>70</u>
<u>C3</u>	<u>2</u>	<u>90</u>

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378 **2.7. Cyclic loading waveform**

379 Traffic loading is an instantaneous load related to vehicle structure, vehicle speed,
380 road performance, etc. (Chai et al., 2002). Huang et al. (1993) reported that when a
381 wheel load is at a considerable distance from a given point in the pavement, the stress
382 at that point is zero and when the load becomes directly above the point considered, the
383 stress at that point is maximum. Therefore, it is reasonable to assume the traffic loading
384 to be a half-sine or triangular loading (Razouki et al., 2011). In this test, the traffic load
385 on the subgrade surface is simulated by a stable half-sine wave (Fig. 10) as:

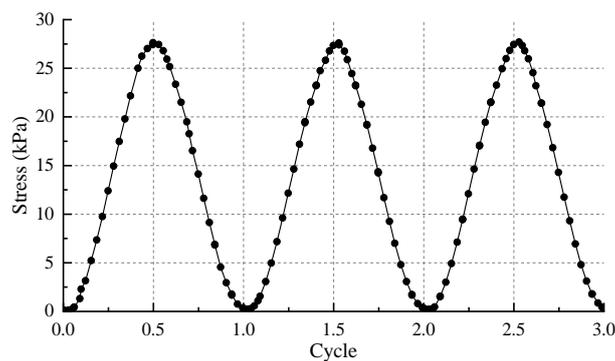
$$386 \quad F(t) = P \sin^2 \frac{\pi t}{d} \quad (4)$$

387 where P is the load amplitude, t is the time, and d is the period of cyclic load.

388 Liu et al. (2023) demonstrated that the influence of traffic load on subgrade can be
389 accurately simulated when the frequency of cyclic load is 1 Hz. After multiple parallel
390 tests, 57% of the ultimate bearing capacity of unreinforced subgrade with underlying
391 karst cave under static loading, i.e., 27.6 kPa, was taken as the loading amplitude of

392 cyclic loading. The frequency of cyclic loading was 1 Hz. Taking the subgrade
393 strengthened by single-layer geogrid as an example (Test condition B), Fig. 11 shows
394 the relationship between footing displacement and number of cycles. When the load is
395 applied for the first time, the footing displacement of the subgrade increases sharply,
396 and it tends to be stable after several cycles. During this process, the sand is repeatedly
397 compressed under cyclic load to improve the contact between soil particles and geogrid
398 meshes, thus strengthening the interlocking effect. In each loading cycle, with the
399 application of half-sine cyclic load, the footing displacement of subgrade also changes
400 in a half-sine wave.

401

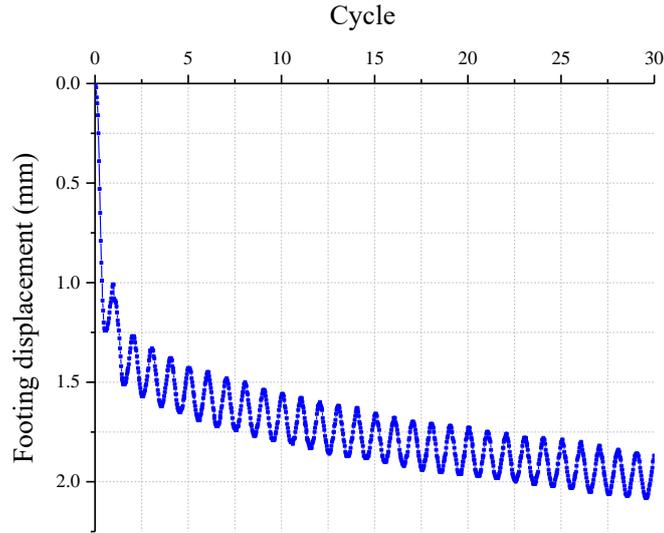


402

403

404

Fig. 10. Waveform of cyclic load



405

406 **Fig. 11.** Relationship between footing displacement and number of cycles for
 407 subgrade strengthened by a single-layer geogrid

408

409 **3. Results and discussions**

410 **3.1. Calibration test**

411 In order to obtain the relationship between deformation and central wavelength of
 412 FBG, a calibration experiment was carried out. The stable wavelength variation of FBG
 413 is particularly important in this study. As shown in Fig. 12, under the action of constant
 414 force, the wavelength of FBG is unchanged. However, the wavelength of FBG is very
 415 sensitive to the changes in the force. The strain can be obtained by the action of force,
 416 which can be expressed as equation (5):

$$417 \quad \varepsilon = \frac{F}{EA} \quad (5)$$

418 where F is the force on the optical fiber, E is the elastic modulus of the optical fiber,
 419 which is 62.5 GPa, and A is the cross-sectional area of the optical fiber, which is 8.767

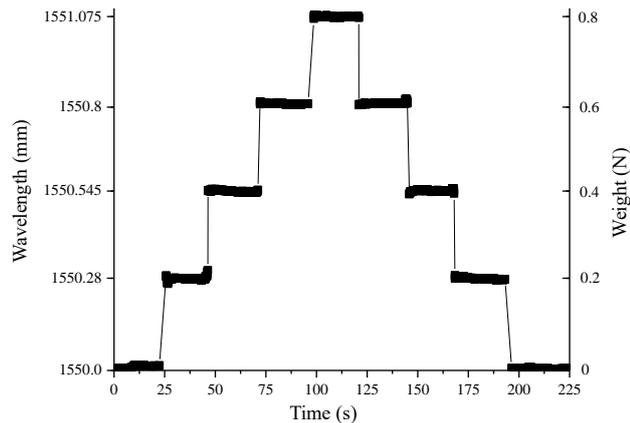
420 $\times 10^{-8} \text{ m}^2$.

421 A corresponding relationship can be obtained by fitting the variation of
422 wavelength and strain data under different forces, as shown in Fig. 13. The best fit
423 mathematical relationship between the strain and the variation of wavelength is
424 obtained as:

425
$$\varepsilon = 21.42941 \frac{\Delta\lambda}{\lambda} - 0.00007 \quad (6)$$

426 where ε is the strain of FBG, $\Delta\lambda$ is the amount of change in wavelength, λ is the
427 value of wavelength. The coefficient of determination R^2 for this relationship is about
428 0.96, which shows a very good fit. The results show that the FBG sensors embedded in
429 3D printed geogrid have good performance. Under the action of force, the measurement
430 accuracy and sensitivity are very high, which meets the requirements of this experiment
431 for strain measurement inside subgrade.

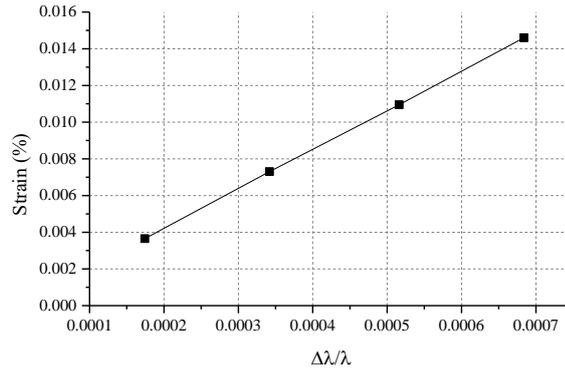
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433

434 **Fig. 12.** Variation of FBG wavelength with weight and time

435



436

437

Fig. 13. The relationship between strain and central wavelength

438

439

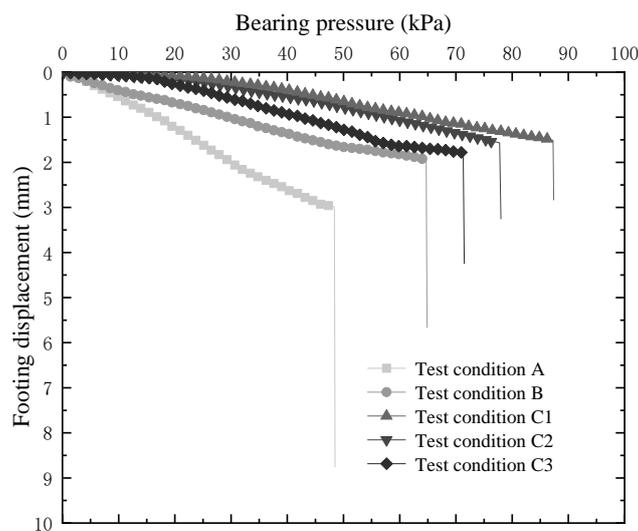
440 **3.2. Static loading test of subgrade**

441 **3.2.1 Ultimate bearing capacity**

442 Fig. 14 shows the relationship between the bearing pressure and displacement of
 443 subgrade under static loading. In general, the bearing pressure of the subgrade in all test
 444 conditions increases linearly in a certain proportion with the increase of the footing
 445 displacement. When the footing displacement of the subgrade suddenly changes, it is
 446 considered that the embankment has reached the critical failure point, and the ultimate
 447 bearing capacity of subgrade has been achieved. For unreinforced subgrade, the
 448 ultimate bearing capacity is 82.14 kPa without karst cave (preliminary test). However,
 449 the existence of the underlying karst cave makes its ultimate bearing capacity only
 450 48.38 kPa, which shows a reduction of 41.1% and poses a threat to subgrade. Therefore,
 451 it is necessary to reinforce the subgrade.

452 As shown in the results of test condition B, the ultimate bearing capacity of
 453 subgrade is increased to 64.7 kPa and the footing displacement at the time of failure is

454 1.94 mm after a single-layer geogrid reinforcement. This shows that the strength and
 455 stability of subgrade are significantly improved by geogrid reinforcement, and the
 456 reinforcement effect is more obvious with the increase of the number of geogrid layers.
 457 Similarly, the spacing between geogrids also has an influence on the reinforcement
 458 effect. In this study, the minimum spacing between the geogrids in the reinforced
 459 subgrade (S=50 mm) with underlying karst cave provides the highest ultimate bearing
 460 capacity of 87.27 kPa, which is 80% higher than that without reinforcement, and the
 461 footing displacement at failure is 1.5 mm. During the loading process, the sand is
 462 gradually compacted, resulting in a larger contact interface with geogrid. In this way,
 463 the friction and the interlocking effect between geogrid and sand reduce the stress
 464 concentration effect of subgrade, resulting in greater shear strength. Although karst
 465 caves destroy the integrity of subgrade and create obvious weak points, but as expected,
 466 the stability of subgrade after geogrid reinforcement is significantly improved.
 467



468
 469 **Fig. 14.** The bearing pressure-displacement relationship curve of subgrade under
 470 different test conditions

471

472 **3.2.2 Deformation**

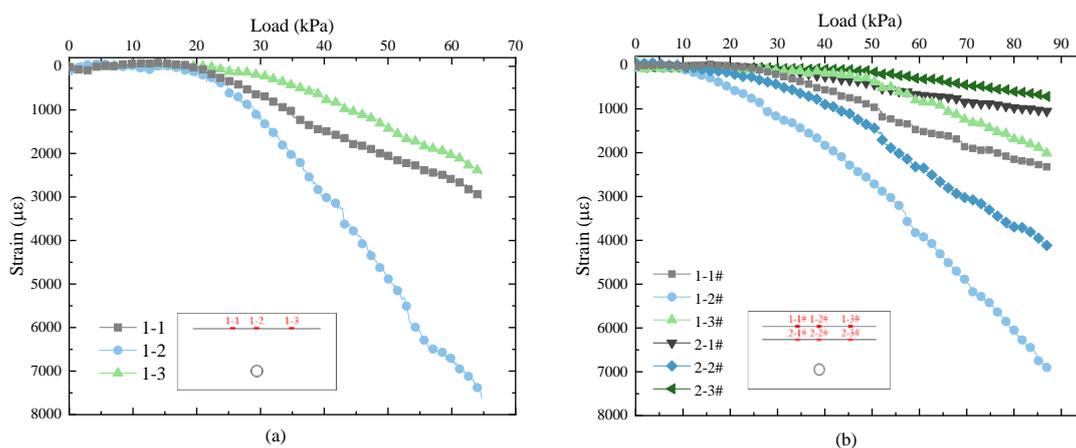
473 In order to analyze the internal deformation of the subgrade with underlying karst
474 cave under different test conditions, FBG-3D printed geogrids are placed at specific
475 positions, as shown in Fig. 8. After the calibration experiment, the performance of FBG
476 in 3D printed geogrid can be evaluated. Therefore, not only the geogrid can play a role
477 in strengthening the subgrade, but it also has the ability to detect deformation. Fig. 15
478 shows the curve for load-strain relations of subgrade reinforced by single-layer geogrid.
479 Considering the above analysis of the ultimate bearing capacity of subgrade, the test
480 condition C1 with best reinforcement effect (double-layer geogrid) is selected for
481 comparison.

482 In the initial stage of loading, the strain of the geogrid increases slowly. However,
483 with the continuous increase of loading, the strain of each measuring point rises rapidly
484 following a nonlinear trend, especially near the footing. For the subgrade reinforced
485 with single-layer geogrid, after the load exceeds 20 kPa, the geogrid begins to be
486 stretched significantly, as shown in Fig. 15 (a). But this situation changes by increasing
487 the number of layers of geogrid. At the same position (the first layer of geogrid), the
488 maximum strain of each measuring point decreases significantly when the subgrade
489 reinforced with double-layer geogrid. In addition, it can be noticed that for the geogrid
490 in the second layer, the maximum strain of each monitoring point is less than 2000 $\mu\epsilon$,
491 and the load at which the strain begins to increase rapidly exceeds 50 kPa. In general,
492 it is difficult to obtain the deformation of soil inside subgrade, but this problem can be

493 solved by connecting the deformation of geogrid with soil by FBG sensor. The soil
 494 particles in direct contact with the geogrid increase the friction of contact surface after
 495 loading, so that the geogrid can effectively exert its binding force on the soil while
 496 stretching. Moreover, increasing the number of geogrid layers not only reduces the
 497 stress concentration effect, but it also allows to obtain the soil deformation data in
 498 deeper areas.

499 The experimental results prove that the FBG technology can be applied to
 500 monitoring of subgrade, and the deformation of the specified positions can be obtained
 501 stably during the whole loading process. Especially when the subgrade has potential
 502 safety hazards such as the underlying karst cave, the real-time deformation monitoring
 503 can provide basic data for the design and maintenance of subgrade, which has important
 504 research and application value.

505



506

507 **Fig. 15.** Curve for strain-load relations of geogrid reinforced subgrade under different
 508 test conditions. (a) Test condition B; (b) Test condition C1

509

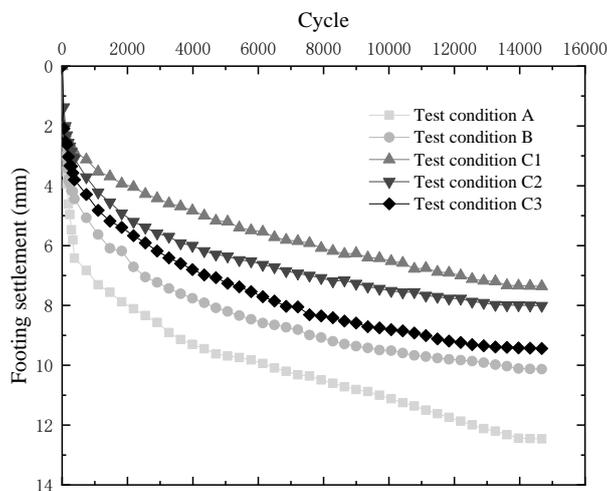
510 **3.3. Cyclic loading test of subgrade**

511 **3.3.1 Accumulative settlement**

512 To obtain the variation of vertical settlement of footing for the subgrade with
513 underlying karst cave after multiple cycles, cyclic load tests were carried out under
514 different test conditions. The performance of the subgrade under every cycle is
515 presented in Fig. 11. In this section, considering the large number of cycles (15,000),
516 the data are simplified and key points are displayed. Then the relationship between
517 footing settlement and cycle of the subgrade is obtained, as shown in Fig. 16. It can be
518 seen that in the initial stage of cyclic load application, the cumulative settlement of the
519 subgrade with underlying karst cave increases rapidly under all test conditions. After
520 that, with the number of cycles exceeding 1000, the growth rate of footing settlement
521 of subgrade slows down. When the number of cycles reaches 15,000, the cumulative
522 settlement of the unreinforced subgrade with underlying karst cave reaches 12.46 mm,
523 resulting in a large deformation. However, this situation is significantly improved with
524 the reinforcement of geogrid. For the subgrade with underlying karst cave reinforced
525 with a single-layer geogrid, the cumulative settlement is reduced to 10.13mm, which is
526 18.7% lower than that without reinforcement. Fig. 17 shows the progressive
527 deformation of the subgrade with underlying cave strengthened by a single-layer
528 geogrid at different cycles. At the initial stage of cyclic loading, the displacement of the
529 footing is small and the geogrid reinforcement layer acts as a rigid layer. As cycles
530 increase, the soil beneath the footing moves downward making reinforcement layers to
531 deform, leading to the soil uplift on both sides of the footing. This settlement-cycle

532 variation is similar to the results of Fig. 16.

533 The footing settlement of subgrade is further reduced with the increase of the
534 number of geogrid layers. By controlling the spacing between the geogrids, the footing
535 settlements of the subgrade under test condition C are 7.36 mm (S=50 mm), 8.01 mm
536 (S=70 mm) and 9.44 mm (S=90 mm), respectively, which are 40.93%, 35.71% and 24.2%
537 lower than those without reinforcement. This shows that reducing the spacing is helpful
538 to further exert the binding force of geogrid on sand. On the other hand, the sand with
539 poor gradation will physically change its soil volume and porosity under the action of
540 cyclic loading. During this process, the sliding and rolling of sand particles are closely
541 related to the friction. Geogrid can interlock with sand particles to produce a more stable
542 soil structure, thus improving the deformation inside subgrade. This remarkable
543 reinforcement effect is more prominent after increasing the number of geogrid layers.
544 Generally, under cyclic loading, the best scheme for strengthening the subgrade with
545 underlying karst cave is the test condition C1, which is consistent with the result under
546 static load.



547

548 **Fig. 16.** Variations of footing settlement with number of cycles for subgrade under

549 different test conditions

550



551

(a)

(b)

552 **Fig. 17.** Photographs showing the deformation of the subgrade with underlying cave
553 strengthened by single-layer geogrid, (a) 2000 cycles; (b) 15000 cycles.

554

555 3.3.2 Earth pressure

556 For the subgrade with underlying karst cave, the analysis of internal earth pressure
557 can effectively reveal the reinforcement mechanism, especially under cyclic loading.

558 Therefore, the earth pressure sensors were arranged at the designated positions in
559 horizontal and vertical directions, as shown in Fig. 8. Because the data of earth pressure

560 inside subgrade during whole loading process are too large, the earth pressures of first

561 100 cycles are selected for analysis. For the subgrade under test condition C1 with the

562 best reinforcement effect under static loading, Fig. 18 shows the variation of earth

563 pressure during each cycle (position 2#). It can be seen that the earth pressure changes

564 with the application of cyclic load and presents a half-sine waveform. After the applied

565 load becomes stable, the earth pressure varies regularly between 13 kPa and 28 kPa,

566 and its amplitude decreases with the increase of cycles. The distribution of earth

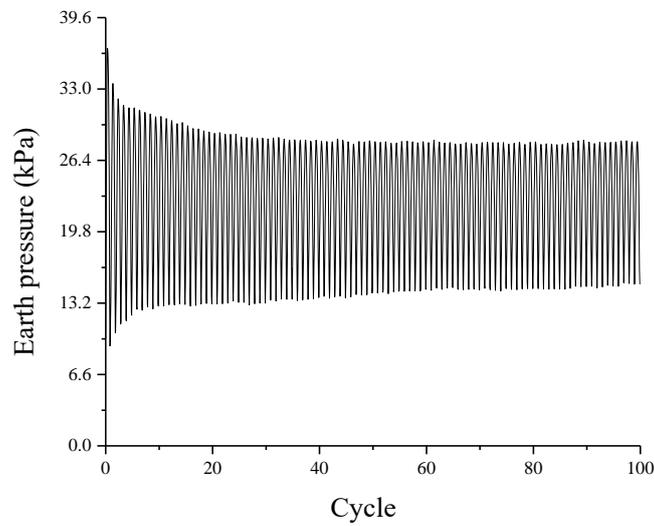
567 pressure in different positions is analyzed, as shown in Fig. 19. In the vertical direction,

568 since positions 2# and 5# are on the same line as the loading area, the value of earth
569 pressure is larger. The earth pressure amplitude of the upper position 2# is 13.2 kPa,
570 which is 1.5 times that of position 5#. However, there is an obvious difference of earth
571 pressure in the horizontal direction. The earth pressure amplitudes of positions 4#, 5#
572 and 6# are 1.2 kPa, 8.8 kPa and 0.3 kPa respectively from left to right. This shows that
573 the earth pressure in the area above the cave is high, which leads to a greater risk of
574 collapse. After the subgrade is reinforced with geogrids, the stress concentration effect
575 is improved, and the internal earth pressure can be effectively borne by the geogrid
576 reinforcement layer in the vertical direction. In addition, the excellent tensile
577 performance of geogrid can play a significant role in the horizontal direction and reduce
578 the stress diffusion. This kind of stable reinforcement under cyclic load is particularly
579 important and meaningful.

580 In addition, the variation of the earth pressure above the karst cave (position 5#)
581 under cyclic loading needs to be further analyzed. Fig. 20 shows the test results of
582 subgrade with different geogrid layers and geogrid spacings. Among these influencing
583 factors, the number of geogrid layers has a great influence on the earth pressure, as
584 shown in Fig. 20(a). For the subgrade with underlying karst cave reinforced with
585 double-layer geogrids (with a spacing of 70 mm), the peak earth pressure reaches 16.9
586 kPa, which is about twice that of the subgrade reinforced with single-layer geogrid. On
587 this basis, the change of geogrid spacing will also affect the earth pressure. In general,
588 the peak earth pressure decreases with the increase of geogrid spacing, as shown in Fig.
589 20(b). The earth pressure for all test cases exhibits a half-sine waveform at each cycle,

590 and its peak value is 18.7 kPa at a minimum geogrid spacing of 50mm. It can be seen
 591 that reasonable arrangement of geogrid reinforcement layer can effectively control the
 592 stress transfer in soil. Especially under cyclic load, the earth pressure variation of
 593 subgrade can maintain a stable variation, which proves that geogrid reinforcement can
 594 improve the safety of the subgrade with underlying karst cave.

595

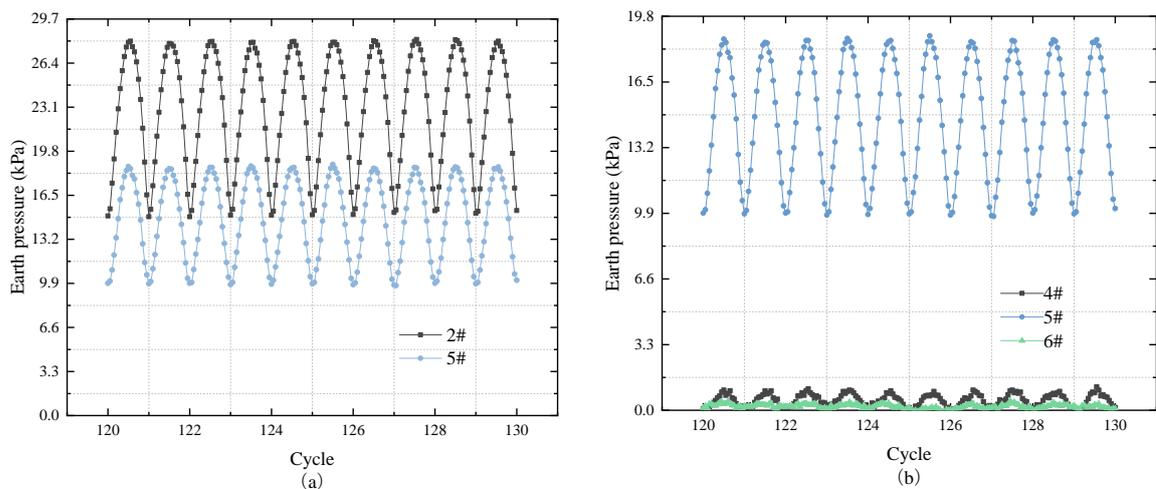


596

597 **Fig. 18.** The curve of earth pressure depending on cyclic load

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599



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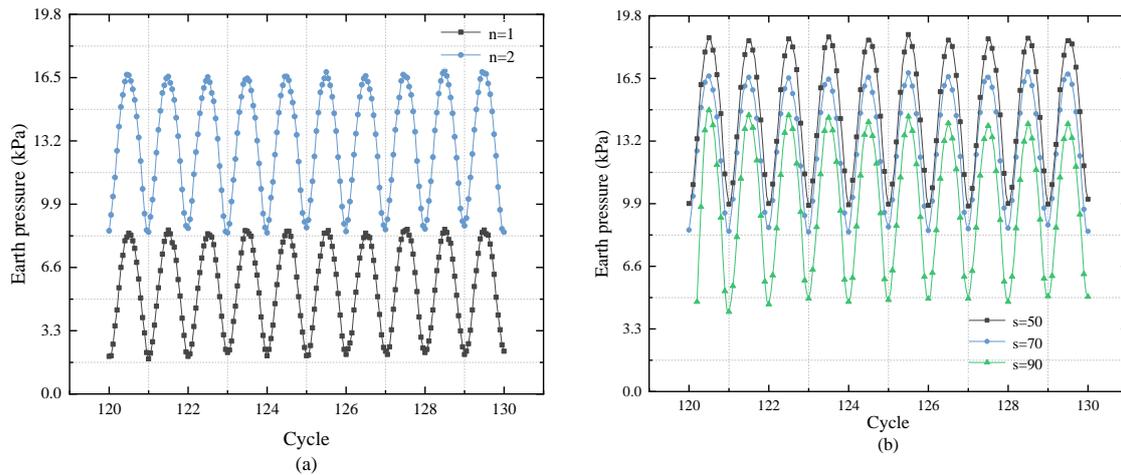
601 **Fig. 19.** The earth pressure-cycle curve of subgrade under test condition C1. (a)

602

603 Vertical direction; (b) Horizontal direction

603

604



605

606 **Fig. 20.** The earth pressure- cycle curve of position 5# under different influencing
607 factors. (a) Number of geogrid layers; (b) Spacing of geogrids

608

609 3.3.3 Deformation

610 Because of the vibration caused by cyclic loading, it is generally difficult to
611 accurately obtain the deformation data of subgrade during the whole loading process.
612 However, the FBG sensor combined with geogrid by 3D printing technology not only
613 monitors the deformation of a specific location, but also plays a reinforcement role. Fig.
614 21 shows the wavelength variation curve of the middle measuring point (2-2#) under
615 test condition C1, where the wavelength demodulator recorded the wavelength every
616 200ms. In this process, the sand becomes more and more compact and the wavelength
617 presents a trend of reciprocating growth. The partial enlargement of Fig. 21 shows that
618 the wavelength is approximately one cycle every five points, that is, one strain cycle
619 per second. This is consistent with the frequency of cyclic loading, which also reflects
620 the reliability of FBG sensor measurement data.

621 FBG monitoring is done in real-time, and the monitoring data is too huge.

622 Therefore, in order to facilitate the analysis, a monitoring data is taken every 80 points
623 (every 40s). According to the formula in Section 3.1, the measured wavelength can be
624 converted into strain data. The test results show that with the increase of number of
625 cycles, the strain continues to increase. However, the strain of specific location is
626 closely related to the reinforcement effect of geogrid. Fig. 22 shows that when a single-
627 layer geogrid is used to reinforce the subgrade, the position 1-2#, which is closest to
628 footing in horizontal direction, has the largest strain and exhibits irregular inflection
629 during the loading process. But this situation is obviously improved with the increase
630 of geogrid layers. As shown in Fig. 23, the deformation of double-layer geogrid is
631 significantly reduced. In positions 1 and 2, the strain is $1260 \mu\epsilon$ after 14,000 cycles,
632 which is approximately 45% lower than that of single-layer geogrid reinforcement. In
633 the vertical direction, the strain changes at positions 1-2# and 2-2# are relatively stable
634 and reflect the waveform variation of the cyclic load to a certain extent. The existence
635 of the karst cave makes the soil directly below the footing to be squeezed outward, and
636 finally affects the deformation of the geogrid. At the beginning of the cyclic load, the
637 displacement of the footing is small and the single-layer geogrid reinforcement layer
638 acts as a rigid layer. However, with the increase of cycles, the deformation of the
639 geogrid increases. Once the mobilized tensile force exceeds the ultimate tensile strength
640 of geogrid, the fracture of reinforcement can be predicted. Therefore, it is necessary to
641 increase the number of reinforcement layers, which makes the soil bear stronger
642 dynamic characteristics. Although the deformation of geogrid cannot be completely
643 equated with the deformation of subgrade, these strain data can provide necessary

644 information to support the daily maintenance and monitoring of the road, and also have
645 value for research and application.

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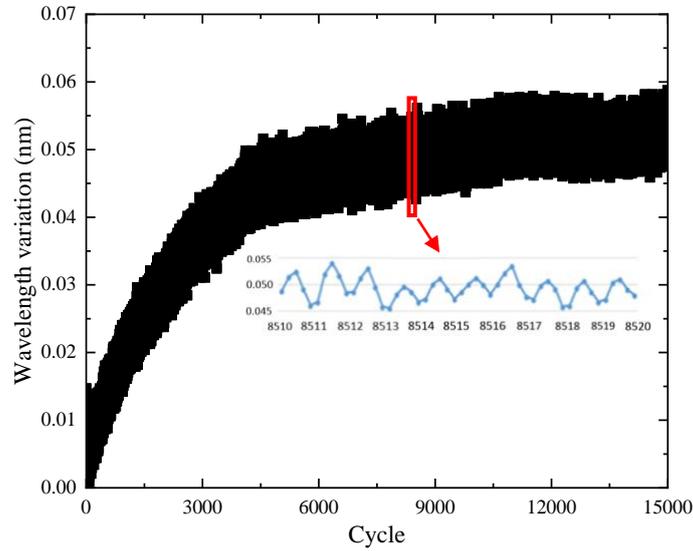
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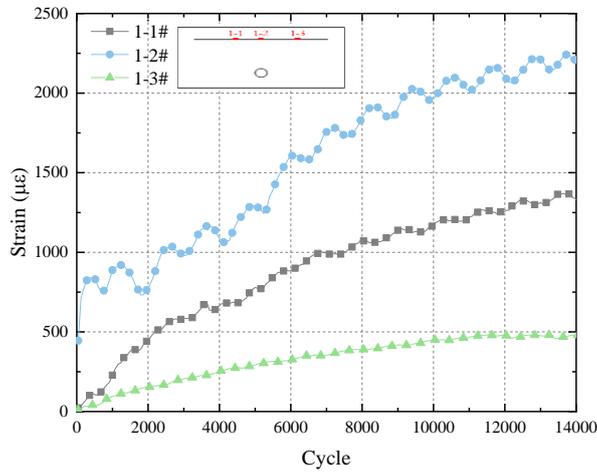
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660 **Fig. 21.** Wavelength variation of 2-2# measuring point under test condition C1

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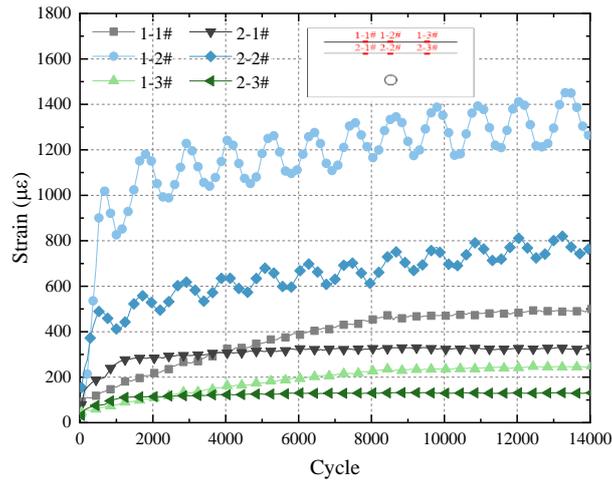


663

664 **Fig. 22.** Variation of strain of geogrid reinforced subgrade with loading cycles under
665 test condition B

666

667



668
 669 **Fig. 23.** Variation of strain of geogrid reinforced subgrade with loading cycles under
 670 test condition C1

671

672 **4. Conclusions**

673 In this paper, a self-sensing geogrid that can monitor strain in real time was developed
 674 and applied to the model test of a geogrid reinforced subgrade with an underlying karst
 675 cave. By controlling the number of geogrid layers and geogrid spacing, the deformation
 676 characteristics and mechanical properties of the subgrade under static and cyclic loads
 677 were analyzed and discussed. The conclusions of this research can be summarized as
 678 the following:

- 679 (1) The self-sensing geogrid designed by combining FBG sensor and 3D
 680 printing technology realizes the complete coordinated deformation
 681 between FBG sensor and geogrid. Through calibration experiment, the
 682 wavelength measured by FBG is effectively related to the strain of geogrid.
 683 This ensures that strain changes can be monitored in real time and
 684 accurately while the subgrade is reinforced by geogrid.

685 (2) The existence of underlying karst cave reduces the stability of the subgrade
686 and poses a threat to the safety. Under static loading, geogrid reinforcement
687 can effectively improve the ultimate bearing capacity of subgrade with
688 underlying karst cave and obviously reduce the surface settlement when
689 the subgrade is damaged. Compared with the unreinforced state, the
690 ultimate bearing capacity of the subgrade reinforced with double-layer
691 geogrids (S=50mm) is increased by 80%. The geogrid deforms the most in
692 the direction in which the load is applied, and the deformation decreases
693 as the number of geogrid layers increases.

694 (3) In the initial stage of cyclic loading, the cumulative settlement of the
695 subgrade with underlying karst cave increases rapidly until the number of
696 cycles reaches 1000, then the growth rate slows down. Compared with the
697 unreinforced subgrade, the cumulative settlement of the reinforced
698 subgrade can be reduced by 40.93% at the maximum. Under the same
699 number of cycles, the cumulative settlement of reinforced subgrade with
700 an underlying karst cave increases with the increase of the loading
701 amplitude.

702 (4) During each cycle, the earth pressure inside the subgrade reinforced by
703 geogrid changes in a half sine wave. Among the many influencing factors,
704 the number of geogrid layers has a great influence on the earth pressure.
705 The peak earth pressure of the subgrade reinforced by double geogrids (S=
706 70mm) reaches 16.9 kPa, which is about twice that of the subgrade

707 reinforced by single geogrids. Moreover, the earth pressure increases
708 further with the decrease of geogrid spacing.

709 (5) Whether under static load or cyclic load, the deformation of geogrid
710 decreases from the loading center to both sides. In the horizontal direction,
711 the deformation of measuring point above the karst cave is the largest. In
712 the vertical direction, the strain change below the loading point reflects the
713 waveform of cyclic load to some extent.

714

715 **Acknowledgement**

716 The authors thank the Funding received from the National Natural Science Foundation
717 of China under grant No. 51808481 and the Natural Science Foundation of Jiangsu
718 Province under grant No. BK20170477.

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