

# Pathogen selection drives nonoverlapping associations between HLA loci

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Edited\* by Robert M. May, University of Oxford, Oxford, United Kingdom, and approved October 14, 2013 (received for review March 21, 2013)

**Pathogen-mediated selection is commonly invoked as an explanation for the exceptional polymorphism of the HLA gene cluster, but its role in generating and maintaining linkage disequilibrium between HLA loci is unclear. Here we show that pathogen-mediated selection can promote nonrandom associations between HLA loci. These associations may be distinguished from linkage disequilibrium generated by other population genetic processes by virtue of being nonoverlapping as well as nonrandom. Within our framework, immune selection forces the pathogen population to exist as a set of antigenically discrete strains; this then drives nonoverlapping associations between the HLA loci through which recognition of these antigens is mediated. We demonstrate that this signature of pathogen-driven selection can be observed in existing data, and propose that analyses of HLA population structure can be combined with laboratory studies to help us uncover the functional relationships between HLA alleles. In a wider coevolutionary context, our framework also shows that the inclusion of memory immunity can lead to robust cyclical dynamics across a range of host–pathogen systems.**

infectious disease | major histocompatibility complex | mathematical model | human evolution | population genetics

**H**LA, found on the surface of all nucleated cells, present pathogen peptides to T lymphocytes and are thus a keystone of adaptive immunity. Demonstrable associations of particular HLA alleles with resistance or susceptibility to severe disease (1, 2) underscore the importance of their role in protection against death from infection. The genes encoding HLAs are found in the 3.6-Mb-long MHC on chromosome 6 and are distinguished by their exceptional polymorphism (3), which is likely the result of selection from pathogens (4–6). Despite this enormous diversity, most human populations are dominated by a relatively small number of combinations of the alleles present at the class I HLA (A, B, C) and the principal class II HLA (DP, DQ, and DR) loci (7–12). Here we present a coevolutionary model demonstrating that pathogen selection can drive such long-term, long-range associations between HLAs. We show that this mechanistic process can be distinguished from other evolutionary effects by virtue of generating a higher degree of nonoverlap between HLA repertoires than might be expected under founder effects or hitchhiking.

## A Multilocus Model for Host–Pathogen Coevolution with Allele-Specific Adaptive Immunity

We first explored the properties of a deterministic epidemiological model (*Methods*) in which (i) the pathogen population was represented by four potential strains defined by two antigenic loci containing alleles ( $a, b$ ) and ( $x, y$ ), respectively, and (ii) we defined within a diploid host, alleles (A,B) and (X,Y) at two linked “recognition loci” (i.e., HLA loci), each only capable of responding to the corresponding parasite allele (or epitope) given in lowercase above. We assumed that immunity developed in an allele-specific manner conferring complete protection against infection by any other antigenic type containing that

allele, but that there was a risk of death if a host was incapable of recognizing either allele of the infecting pathogen strain (Fig. 1).

In line with previous observations, the pathogen population was observed to adopt a discrete, nonoverlapping strain structure (13). However, once any two strains (e.g.,  $ax$  and  $by$ ) achieve dominance, host homozygotes AX/AX and BY/BY suffer from increased mortality because each is only able to mount an immune response against one of the two circulating pathogen strains (all other host genotypes can recognize at least one allele of both strains). The numbers of these homozygotes fall until eventually the only host haplotypes left in the population are AY and BX. Thus, the strain structuring of the pathogen population by host immune selection generates nonrandom associations among the immune recognition genes of the host.

This scenario will be stable (Fig. 2A) in the absence of pathogen mutation, or when the basic reproduction number of the pathogen ( $R_0$ ; a measure of its fundamental transmission potential) (14) is low. Conversely, if  $R_0$  is above a certain threshold, no genetic structuring is possible in either pathogen or host (*SI Appendix, Fig. S1*). Between these two extremes, we observe coevolutionary cycling (Fig. 2B) in place of permanent structuring. This dynamic emerges due to the fact that as soon as the pathogen population becomes dominated by a particular set of strains (say  $ax$  and  $by$ ), haplotypes that are incapable of recognizing any one of the dominant pathogen strains (i.e., BY and AX) start to go down in frequency, and haplotypes that can recognize both the dominant pathogen strains (i.e., BX and AY) increase in frequency. Eventually the proportion of BX and AY in the population will be so high that it will be in the pathogen’s interest to switch its strain structure to ( $ay, bx$ ) so as to exploit the infection reservoirs created by homozygotes of these haplotypes (BX/BX cannot become immune to  $ay$ ). The system is capable of generating nonrandom associations between recognition alleles

## Significance

**Human leukocyte antigens (HLA), first identified in tissue-matching for transplantation, play a critical role in immunity. HLAs are extraordinarily diverse, but certain sets of HLA genes are more likely to be found together than others. Here, we show that associations between HLA genes can arise through their coevolutionary interaction with pathogens. Technological advances are making it easier to determine HLA types, but DNA sequence alone cannot fully predict an HLA’s functional properties. Our work offers a new evolutionary approach to tackling this problem.**

Author contributions: S.G. designed research; B.S.P. performed research; B.A. and C.O.B. contributed new reagents/analytic tools; B.S.P. analyzed data; and B.S.P. and S.G. wrote the paper.

The authors declare no conflict of interest.

\*This Direct Submission article had a prearranged editor.

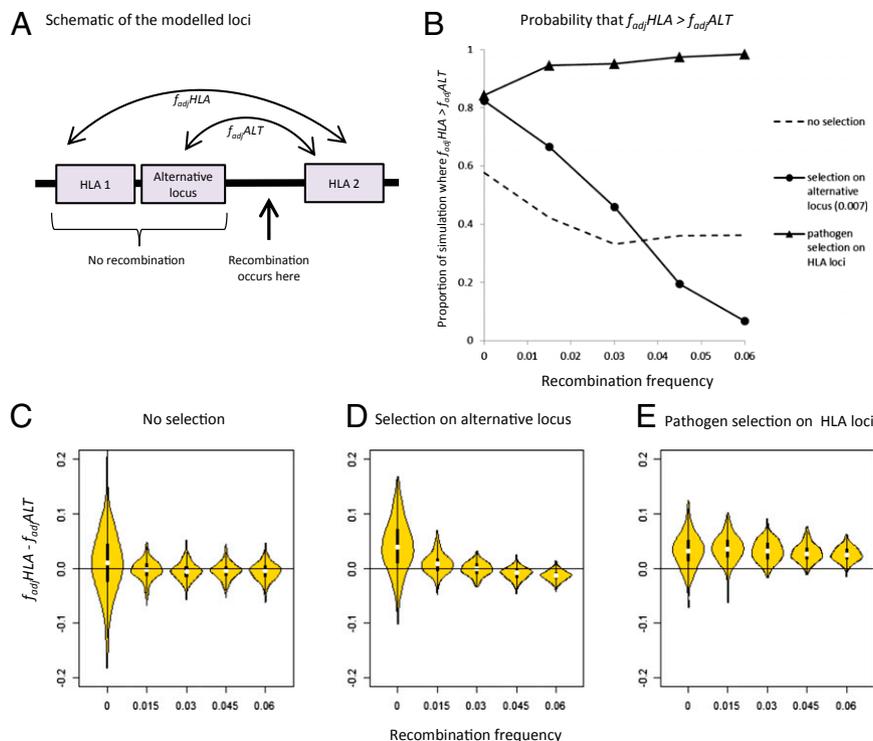
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This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1304218110/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1304218110/-DCSupplemental).







**Fig. 5.** Identifying a unique signature of HLA/pathogen coevolution. (A) Schematic representation of the loci within our model framework, indicating the pairs of loci between which  $f_{adj}HLA$  and  $f_{adj}ALT$  measure the degree of nonoverlap. (B) Probability that  $f_{adj}HLA > f_{adj}ALT$  under different selection regimes and levels of recombination. (C–E) Violin plots (32) produced using the violplot package in R version 2.15.2, representing the distribution of values of  $f_{adj}HLA - f_{adj}ALT$  for 350 simulations at each indicated selection regime and level of recombination. Only results for surviving populations are shown. The  $f_{adj}HLA - f_{adj}ALT$  values displayed are average values calculated over the final 2,500 y of 5,000-y simulations (Methods). SI Appendix describes the stochastic framework used for the simulations, and provides parameter definitions. We let there be five possible alleles at each of the two HLA loci. Parameter values were as follows:  $b = 0.07$ ;  $m = 0.0001$ ;  $\varphi = 0.0015$ ;  $\alpha = 0.002$ ;  $Q = 5$ ;  $\theta = 1.1$ ;  $\Omega = 0.1$ ;  $C = 2,000$ ;  $k = 0.004$ .  $r$  was varied between 0 and 0.06, as indicated in the x axes of each panel. For “no selection,”  $\varpi = 0$  and  $d = 0$ ; for “selection on alternative locus,”  $\varpi = 0$  and  $d = 0.007$ ; and for “pathogen selection on HLA,”  $\varpi = 0.012$  and  $d = 0$ .

## Future Directions

The system we present is necessarily a minimal caricature of the MHC, and suffers from a number of limitations. Most importantly, we have only considered the effects of interaction with a single pathogen. However, though a single HLA locus undoubtedly presents peptides from a variety of pathogens (as well as self), the selective pressure upon it will mainly arise from the pathogens causing the highest mortality. Take, for example, an HLA system as described by Fig. 1 and assume that it is under assault from  $n$  pathogens whose allelic variants may be represented according the convention we have established as  $(a_i, b_i)$  and  $(x_i, y_i)$ ; if the most deleterious pathogen adopts the configuration  $(a_x, b_y)$ , then the homozygotes that are most disadvantaged will still be AX/AX and BY/BY.

A second important limitation of this model is that specific host recognition loci “target” specific pathogen epitopes—in other words, why should all variants at locus 1 of the pathogen specifically be recognized by locus 1 within the host? When considering associations between class I and class II HLAs, it seems justifiable to assume that different epitopes from any given pathogen are displayed by each, but it may not be strictly correct to distinguish between class I loci (particularly A and B) on this basis.

Future work in this area should also place the HLA in its wider genomic context. The very architecture of the MHC will have an effect: in the chicken, for example, the relative proximity of the TAP and class I MHC loci may have led to tight coevolution between them, limiting the possible coexpression of class I genes (20). Furthermore, in humans, HLAs interact directly with a

second family of immune system genes: Killer-cell Ig-like receptors (KIRs). KIRs display a striking haplotypic structure (21); particular KIR/HLA genotypes have been associated with different infectious disease outcomes (22, 23), and a direct effect of KIR/HLA coevolution on HLA haplotypes has recently been suggested (24).

If proven to be robust, this framework may, in principle, be able to assist in developing functional classifications of HLA alleles. It is possible to categorize HLA alleles into broad “supertypes,” based on their binding properties (25); at the same time, it is clear that a very small change in sequence (e.g., a single amino acid) can have very significant functional consequences (26). Furthermore, the ability of an HLA to bind to a specific pathogen epitope is not in itself a guarantee of an effective T-cell response to that epitope (27). If nonoverlapping allelic patterns are a signature of disease selection, they offer an alternative evolutionary approach to solving this problem. The multilocus framework described here provides a flexible platform for investigating the population-level consequences of interactions between diverse immune system genes and the pathogens they help recognize.

## Methods

**Deterministic Model.** We used a system of linked ordinary differential equations to capture both the population genetics of the host and the disease dynamics of the pathogen. A range of coevolutionary frameworks have been developed to combine population genetics and epidemiology (28–30); the differential equation approach, first used by Gupta and Hill (31), offers a highly flexible framework that is especially amenable to the inclusion of immunological memory.

The pathogen population was represented by four potential strains ( $P = 1-4$ ) defined by two antigenic loci containing epitopes ( $a, b$ ) and ( $x, y$ ) respectively (SI Appendix, Table S1). Our host population was diploid, possessing recognition alleles at two linked loci (A, B) and (X, Y), making up four possible host haplotypes ( $h = 1-4$ ; SI Appendix, Table S2) and giving 10 possible host genotypes ( $i = 1-10$ ; SI Appendix, Table S3). To mount an immune response against a pathogen epitope represented by a particular lowercase letter, a host must possess the recognition allele represented by the corresponding uppercase letter. The various combinations of epitopes,  $E_j$ , to which a host could be immune are shown in SI Appendix, Table S4; of these, only a subset  $\{E_k\}^1$  will be accessible to host genotype  $i$  (e.g., host genotype AXAX can only be immune to epitope sets  $E_1, E_3$ , or  $E_5$ ). A host immune to the epitopes in  $E_j$  can be infected by any pathotype not displaying those epitopes. Hosts immune to the epitopes in  $E_k$  can become immune to the epitopes of  $E_j$  by being infected by strain  $p$ , where strain  $p$  contains epitopes in  $E_j$  but not in  $E_k$ .

The dynamics of this system can be described by the following set of equations:

$$\frac{dN_i^j}{dt} = \alpha_j \omega_i + (1 - \alpha_j) \sum_{p,k} (\lambda_p N_k^i) - \left( \sum_{q,q \neq v} \lambda_q + \mu_1 \right) N_i^j - \delta_i \mu_2 G_i^j$$

$$\frac{dG_i^j}{dt} = \lambda_v (N_i^j - G_i^j) - (\sigma + \mu_1 + \mu_2) G_i^j$$

$$\frac{dI_u}{dt} = \lambda_u S_u - (\sigma + \mu_1) I_u$$

Here,  $N_i^j$  is the number of hosts of genotype  $i$  who are immune to the set of epitopes  $E_j$ .  $G_i^j$  is the number of these hosts who are infected with strain  $v$ , to which they can never mount an immune response and from which they risk dying at a rate  $\mu_2$ ; this only applies to homozygous hosts in this system (Fig. 1), so  $\delta_i = 0$  for all heterozygous host genotypes.  $I_u$  is the number of hosts who are currently infected with pathogen strain  $u$  and will become immune to at least one of the epitopes of strain  $u$ .  $S_u$  is the sum of all those hosts who are not yet immune to strain  $u$  but are capable of becoming immune to at least one of the epitopes of  $u$ . All individuals recover from infection at rate  $\sigma$  and suffer a natural mortality rate  $\mu_1$ .

The force of infection with strain  $p$  is  $\lambda_p = \frac{\beta(\lambda_p + G_p)}{\sum_{i,j} N_i^j}$ , where  $\beta$  is a transmission coefficient, such that  $R_0 = \frac{\beta}{\sigma + \mu_1}$  for the pathogen in a population of hosts that can mount an immune response against it, and  $R_0 = \frac{\beta}{\sigma + \mu_1 + \mu_2}$  in a population of hosts that cannot mount an immune response against it. In the figures and figure legends, we always quote  $R_0$  values for a pathogen in a host population that can mount an immune response against it.

Pathogen mutation can be included in the model by allowing small perturbations in the force of infection. In the model presented here we included pathogen mutation at rate  $m$  by adjusting the force of infection term, thus

$$\lambda_p^m = (1 - m)\lambda_p + \frac{1}{3} \sum_{q \neq p} \lambda_q$$

The term  $\omega_i$  represents the births into the fully susceptible compartment of genotype  $i$  (thus if  $j = 0$ ,  $\alpha_j = 1$ , if  $j > 0$ ,  $\alpha_j = 0$ ). The birth term for host genotype  $i$  is given by the following:

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$$\omega_i = \kappa f_h f_g (1 + \delta_i),$$

where  $\kappa$  is the total death rate for the entire population;  $\delta_i$  is defined as above, and  $f_h$  and  $f_g$  are the frequencies of the haplotypes that make up host genotype  $i$ .

Haplotype frequencies are calculated as follows, where  $r$  is the host recombination rate. If  $r = 0.5$ , the two host loci are effectively unlinked.

$$f_h = \frac{2 \sum_j N_j^{c1} + \sum_j N_j^{c2} + \sum_j N_j^{c3} + (1-r) \sum_j N_j^{c4} + r \sum_j N_j^{c5}}{2 \sum_{i,j} N_i^j}$$

See SI Appendix, Table S5 for the values of  $c_{1-5}$  that correspond to a particular haplotype.

The total death rate is calculated as follows:

$$\kappa = \mu_1 \left( \sum_{i,j} N_i^j \right) + \sum_{i,j} G_i^j \mu_2$$

Numerical simulations were carried out using the ode45 solver in MatLab version 7.10.0 (R2010b).

**Stochastic Model.** A full description of the stochastic model is provided in SI Appendix, section 1. Briefly, the population was made up of  $N$  hosts, where  $N < C$ , the population carrying capacity. Each host was represented by a 19-element identifier code that recorded age, genotype, infection, and immunity status. As in the deterministic model, host genotype AX/AX was only capable of becoming immune to pathogen epitopes  $a$  and  $x$ , and risked death when infected with a pathogen it could not recognize. Infection, recovery, mortality, and reproduction were all probabilistic events.

**Metrics.** We used a standard metric (Lewontin's  $D'$ , normalized where necessary for  $>2$  alleles per locus, as described in ref. 17) to measure LD.

The  $f^*$  metric for nonoverlap between two loci was calculated as described in ref. 18 and adjusted as follows:

$$f_{adj}^* = (1 - H_{max}) f^*,$$

where  $H_{max}$  is the frequency of the most frequent haplotype in the population.  $f^*$  takes values between 0 and 1, where values closer to 1 indicate a more nonoverlapping pattern. However,  $f^* = 1$  for a population that consists of one haplotype only, which is not a case of true nonoverlap. For  $f_{adj}^*$ , by contrast, populations containing relatively balanced frequencies of non-overlapping haplotypes will receive the highest scores.

To calculate  $f_{adj}^* HLA - f_{adj}^* ALT$  from our simulations in Fig. 5, we measured  $f_{adj}^* HLA - f_{adj}^* ALT$  every 20 y during the final 2,500 y of a 5,000-y simulation, and took the mean of those measurements.

**ACKNOWLEDGMENTS.** We thank Adrian Hill, Angus Buckling, Paul Harvey, and Oliver Pybus for their comments on the manuscript, and Adrian Smith for general guidance on this project. Funding for this work was provided by the Wellcome Trust, the European Research Council (ERC Advanced Grant - DIVERSITY), the Biotechnology and Biological Sciences Research Council, and the Christopher Welch Trust. B.S.P. is a Sir Henry Wellcome Postdoctoral Fellow (Grant 096063/Z/11/Z) and a Junior Research Fellow at Merton College, Oxford. S.G. is a Royal Society Wolfson Research Fellow and an ERC Advanced Investigator.

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