Holocene land-sea climatic links on the equatorial Pacific coast (Bay of Guayaquil, Ecuador)

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<th>Journal:</th>
<th>The Holocene</th>
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<tr>
<td>Manuscript ID:</td>
<td>HOL-15-0112.R1</td>
</tr>
<tr>
<td>Manuscript Type:</td>
<td>Paper</td>
</tr>
<tr>
<td>Date Submitted by the Author:</td>
<td>02-Sep-2015</td>
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<tr>
<td>Complete List of Authors:</td>
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Holocene land-sea climatic links on the equatorial Pacific coast (Bay of Guayaquil, Ecuador)

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Abstract

We analyzed the pollen content of a marine core located near the bay of Guayaquil in Ecuador to document the link between sea surface temperatures (SST) and changes in rainfall regimes on the adjacent continent during the Holocene. Based on the expansion/regression of five vegetation types, we observe three successive climatic patterns. In the first phase, between 11,700 and 7700 cal yr BP, the presence of a cloud (Andean) forest in the mid altitudes and mangroves in the estuary of the Guayas Basin, were associated with a maximum in boreal summer insolation, a northernmost position of the Intertropical Convergence Zone (ITCZ), a land-sea thermal contrast and dryness. Between 7700 and 2850 cal yr BP, the expansion of the coastal herbs and the regression of the mangrove indicate a drier climate with no weak ITCZ and low ENSO variability while austral winter insolation gradually increased. The interval between 4200 and 2850 cal yr BP was marked by the coolest and driest climatic conditions of the Holocene due to the absence of ITCZ weak influence of the ITCZ and a strengthening of the Humboldt Current. After 2850 cal yr BP, high variability and amplitude of the Andean forest changes occurred when ENSO frequency and amplitude increased, indicating high variability in land-sea connections. The ITCZ reached the latitude of Guayaquil only after 2500 cal yr BP inducing the bimodal precipitation regime we observe today. Our study shows that besides insolation, the ITCZ position and ENSO frequency, changes in eastern equatorial Pacific SSTs play a major role in determining the composition of the ecosystems and the hydrological cycle of the Ecuadorian Pacific coast and the Western Cordillera in Ecuador.

key words : ITCZ, Humboldt Current, ENSO, Ecuador, Western Cordillera, Pacific SST, Holocene
1 - Introduction

The equatorial Eastern Pacific coast represents one of the largest desertic areas of the planet. This desert stops abruptly on the Peruvian-Equatorial margin, between 1° and 3°S latitude, where within a few kilometers of distance, it is suddenly replaced by a luxuriant and diverse tropical rainforest (Barthlott et al., 2005). This contrasted biogeographical pattern is created by the boundary effect of the position of the Intertropical Convergence Zone (ITCZ) from the north and by the intensity of the cold Humboldt Current from the south (Jorgensen and Leon-Yanez 1999). The interplay between the northern and the pole-equator southern hemisphere seasonal insolation and pole-equator temperature gradient controls the position and amplitude of the ITCZ, oscillating between 10°N and 3°S, and the characteristic bimodal regional rainfall distribution of the tropics (Garreaud et al., 2009). Today, the cooling of surface waters in the southeastern equatorial Pacific during the austral winter, displaces the SST maximum (and thus the zone of convergence) into the Northern Hemisphere, and inversely during the austral summer.

Superimposed to this ocean-atmospheric coupling, the climate is regularly submitted to the interannual variability of the El Niño Southern Oscillation (ENSO) (Vuille et al., 2000; Garreaud et al., 2009; Schneider et al., 2014). On the equatorial eastern Eastern equatorial Pacific, ENSO is characterized by an abrupt change in Sea Surface Temperature (SST) that affects the amplitude of the seasonal shifts of the ITCZ or the location of the northernmost limit of influence of the Humboldt Current that will control the hydrological cycle on the continent (Leduc et al., 2009; Garreaud et al., 2009).

Seasonal shifts of the ITCZ during the Holocene are well documented on the northern coast of Venezuela (Haug et al., 2001). The seasonally varved marine record of Cariaco off the coast of Venezuela shows the Holocene wettest period between 10,500 and 5400 cal yr BP, a period called the Holocene Thermal Maximum and, is related to a more northerly position of the ITCZ. This moist period is followed by large century-scale variations in precipitation between 3800 and 2800 cal yr BP explained by increased frequency of ENSO events. Marine and terrestrial records off the Peruvian and Ecuadorian margin reveal that the ITCZ position also varied trough time (Cane et al., 2005), and the hydroclimate was in anti-phase with that of the Cariaco basin (Mollier-Vogel et al., 2013). Superimposed to this long-term hydroclimate variability, millennial-scale ENSO events in the southern and northern tropics punctuated the Holocene. The frequency of these events increased from 7000 to 1000 cal yr BP, with a 2 to 7-year cyclicity since 5000 cal yr BP (Moy et al., 2002). The maximum of ENSO frequency was reached between 3500 and 2600 cal yr BP (Haug et al., 2001; Riedinger et al., 2002), possibly in response to a threshold reached by the gradual decrease in
boreal summer insolation (Rodbell et al., 1999; Clement et al., 2000), and leading to the observed marked
aridity/humid trends period at Cariaco/Guayas. Recent climate simulations showed that insolation is the major driver
of SST changes in the equatorial Eastern Pacific and has a greater effect on seasonality and interannual variability
since the beginning of the Holocene (Braconnot et al., 2012).
However, the processes and degree of coupling between, on the one hand, equatorial Eastern Pacific
SST and rainfall distribution and intensity on land and, on the other hand, insolation forcing, ITCZ position and ENSO
variability, are far from being understood. Here, we address this issue by presenting the first continuous marine
pollen record of the equatorial Eastern Pacific tropical margin and use the related changes in vegetation and SST to
classify the boundaries of the ITCZ and the Humboldt Current during the Holocene. This direct comparison
between terrestrial and oceanic climatic tracers is an original approach for this complex region.

2. Present-day Environmental setting

2.1 - Climate and oceanic circulation

The climate of the Guayaquil region is controlled by seasonal shifts of the ITCZ. The rainfall distribution shows a
bimodal with a 4-month rainy season (JFMA) when the ITCZ is located at the latitude of Guayaquil and a long dry
season (JJASON) when the ITCZ is located further north at the equator (climate diagram in Fig. 1). Consequently
today the maxima in precipitation and river discharge in the Guayaquil basin and western Cordillera are observed
during the austral summer (Rincón-Martínez et al., 2010). Further south, the rainfall distribution of the Pacific coast and of the western Andean Cordillera, from southern Perú until the latitude of
Guayaquil, is under the influence of the Humboldt current, a cold current that provokes an upwelling along the
western margin of the South American continent, maintaining a long line of coastal desert (Vuille et al., 2000,
Buytaert et al., 2006). Aridity is found along the path of the cold Humboldt Current, which stabilizes the atmosphere
under high pressures. The desert stops exactly south of Guayaquil where the Humboldt Current is deviated to the
west.
Anomalies in the seasonal pattern of rainfall distribution are observed during the ENSO, when the temperature gradient between the Eastern and Western Pacific is modified. This interannual variability shows two phases (Wyrtki, 1975; Markgraf and Diaz 2000): La Niña (cold) phase is characterized by SST decrease in the equatorial Pacific margin and drier climate on the continent; during the El Niño (warm) phase of the oscillation, the sea current is reversed leading to wind decrease in the tropical Pacific and warm water along the Peruvian margin and more precipitation on the continent. Warming of the eastern tropical Pacific is therefore related to precipitation increase in this region. ENSO is therefore the main cause of present-day climatic variability of these regions at the edge of the tropical Pacific Ocean (Tudhope et al., 2001; Moy et al., 2002). Additionally, previous results show that ENSO variability at multi-decadal scale is more important for long-term climate characterization than considering the interannual variability (or number of El Niño La Niña events / year) (Morales et al., 2012, Ledru et al., 2013).

2.2 - Present-day vegetation and its pollen representation

As the bay of Guayaquil is situated in a transitional zone generated by the interplay between the cold Humboldt Current and the Equatorial warm current, the distribution of the ecosystems is divided into two main types: a desertic vegetation cover in the South and a wet tropical ecosystem forests with mangrove swamps in the North of the study area. Within the basin of Guayaquil the distribution of the vegetation is subdivided into five main ecosystems (pie chart in Fig. 1, Table I). Their respective pollen indicator taxa have been grouped according to published pollen-vegetation calibration datasets (Urrego et al. 2011; Ledru et al. 2013) and list of plants (Jorgensen and Leon-Yanez 1999) with:

1. The Andean forest refers to the evergreen and ombrophilous forests up to a mean elevation of 3600 m. This vegetation is essentially represented by Podocarpus, Alnus, Morella (Myrica) and Myrsine, and associated with cool and relatively wet environmental conditions all year round. Alnus occurs on wet soils along rivers in this montane forest but can also occur as swamp forest (carr) around water bodies (Marchant et al., 2002). Modern studies on the pollen representation of the Eastern Cordillera, western Cordillera, and Eastern Cordillera vegetation (Urrego et al., 2011) show that Hedyosmum is also a frequent component of this forest between 1500 and 3000 m asl, although it also occurs in the Pacific forest.
2. The Pacific rainforest is composed of evergreen and semi-deciduous species and distributed between the coastal piedmont in the periphery of the mangrove swamp and the western Western Cordillera. This forest is essentially represented by Urticaceae/Moraceae-type, and directly affected by the evolution-development (expansion and contraction) of the mangrove forest.

3. The Coastal herbs group is composed of desert shrubs and herbs and essentially represented by Chenopodiaceae/Amaranthaceae, Acalypha and Ambrosia peruana that develop under cold and dry conditions.

4. The Páramos or high-elevation tropical grasslands are located above treeline the upper forest line and are represented by Acaena-Polylepis-type and Asteraceae-Baccharis-type that groups all the Asteraceae tubuliflorae excluding Ambrosia-type and Acaena-Polylepis-type.

5. The mangrove swamp forest, essentially represented by Rhizophora corresponds to coastal vegetation related to a wet and salty saline environment in the tropical and subtropical regions dominated by the Equatorial warm current. It is impacted by the marine dynamics (sea level, tidal system, salinity) but also by hydric and nutrient contributions of the riverine runoff.

Pollen grains from these main vegetation types are mostly transported by the Guayas River as previous works (e.g. Heusser and Balsam, 1977) clearly show that cores located close to river mouth recruit preferentially pollen via fluvial transport. This is particularly true for this region where the dominant winds come from the ocean. Once in the sea, planktonic filter feeder organisms consume sinking debris (including pollen grains) and produce fecal pellets which have a greater sinking velocity in the water column (Hooghiemstra et al., 2006).

Table I

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<thead>
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<th>Material and methods</th>
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<td>3.1- The marine sedimentary sequence</td>
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A piston core of 1,062 cm length (M772-056, 4°44', 99°S, 81°07', 25°W, and 350 m water depth) was drilled in 2008 in the southern part of the Bay of Guayaquil (Mollier-Vogel et al., 2013). This region is characterized by a sedimentary platform, the biggest sedimentary basin of the Andes, and the largest drainage system in western Ecuador (Stevenson, 1981), with an outer shelf break into the continental margin located at a water depth of ~100 m.
(Witt and Bourgois, 2010). It is the outcome of This platform, which begins on the island of Puna and extends up to 100 km into the Ecuadorian inland and up to an elevation of more than 6000 m, resulted from a major subsidence phenomenon combined with an important sedimentary load of fluviomarine alluvial sediments from the estuary of the river Guayas, which begins on the island of Puna and extends up to 100 km into the Ecuadorian inland and up to an elevation of more than 6000 m. Today the sediments at the coring site are dominated by siliciclastic material, and secondarily they contain marine biogenic carbonates (Mollier-Vogel et al., 2013). Sedimentary discharge into the Gulf of Guayaquil is mainly linked to the Guayas River runoff, which integrates rainfall from a catchment located North of Guayaquil on the western flank of the Ecuadorian Andes (Twilley et al., 2001). The catchment area of this river drains the 32.674 km$^2$ (Fig. 1) of the Guayaquil basin that represents 64% of the total drainage sediments (Rincón-Martínez et al., 2010). The Guayas River discharge closely tracks the integrated precipitation and snow melting from land to the basin catchment without any time lag (Twilley et al., 2001).

### 3.2.1 Chronology

The chronology of core M772-056 is based on 11 radiocarbon measurements on the planktonic foraminifera *Neogloboquadrina dutertrei* performed at the Leibniz Laboratory for Radiometric Dating and Stable Isotope Research, Kiel University (Mollier-Vogel et al., 2013) (Table II). Ages $^{14}$C ages were converted into calendar ages using the CALIB 6.0 program (Stuiver and Reimer, 1993). Radiocarbon ages were first corrected using MARINE09 (Reimer et al., 2009), with a constant R of 200 +/-50 years based on sites with known reservoir ages situated closest to our core location in the marine reservoir correction database (http://calib.qub.ac.uk/marine/). The age model was established by linear interpolation (Mollier-Vogel et al., 2013).

### 3.2.2 Micropaleontological analyses

One hundred twenty-five silty-clay samples (between 4 and -10 cm$^3$) were taken at 10 cm intervals except for the upper 80 cm that covers the last millennium, where the sampling resolution was 5 cm. Pollen preparation follows the protocol detailed at [http://www.epoc.u-bordeaux.fr/index.php?lang=fr&page=eq_paleo_pollens](http://www.epoc.u-bordeaux.fr/index.php?lang=fr&page=eq_paleo_pollens). After chemical treatment (cold 10%, 25% and 50% HCl, cold 45% and 70% HF and then KOH), the samples were sieved through a 5...
µm nylon mesh to concentrate the palynomorphs in the final residue. Two Lycopodium tablets with known concentration were added to each sample to calculate the pollen and spore concentrations. The final residue for pollen analysis was mounted unstained in bidistilled glycerine. Pollen grains were counted using a Zeiss Axioscope light microscope at ×400 and ×1000 (oil immersion) magnification. The identification of the different palynomorphs was based on a recent pollen reference collection held at the Institute of Evolutionary Science at the University of Montpellier-2, and on published morphological descriptions (Hooghiemstra, 1984; Roubik and Moreno 1991). In most of the 125 samples analyzed, we counted more than 125 pollen grains-excluding spores, and between 25-40 morphotypes including herbs, shrubs and trees. The pollen percentages for terrestrial taxa are based on a main pollen sum which excludes spores, aquatic, unidentifiable (corroded, broken, crumpled) and unknowns. The percentages for fern spores, aquatic, unidentifiable and unknowns are based on the total sum that corresponds to all the counted pollen grains and the main pollen sum plus fern spores. Aquatic, identified, aquatic, unidentifiable and unknowns. During this study, we identified and counted a total of 94 taxa, 18,207 pollen grains and 3138 monolete and trilete Pteridophyte pteridophyte spores. The 94 terrestrial spore and pollen taxa were grouped into six main groups according to their ecological affinities: the five vegetation types of the basin of Guayaquil, Páramo, coastal herbs, mangrove, Andean and Pacific forests, and a group called ubiquitous that includes taxa that are found under several types of vegetation cover (Table I).

4-Results and interpretation

4.1- Long-term vegetation and climate changes in the Guayaquil basin during the Holocene

The chronology of core M772 056-5 encompasses the very end of the Late Glacial and the entire Holocene, from 12,300 cal years yr BP to the present. The sedimentation rate is relatively constant varying between ~63 and 117 cm
The temporal resolution of the pollen analysis is one century on average, oscillating ranging between 170 and 48 years. The last millennium has the finest resolution, i.e. 60 years in average, several decades.

The interpretation of the pollen diagram was assisted by the analysis of the top core sample, taken at 3.5 cm core depth, that shows the pollen representation of the vegetation of the Guayaquil basin during the last decade (Fig. 1).

We observed that the pollen assemblage is mainly composed of arboreal pollen, including 60.8% of Andean forest (7.2 % of Alnus) and 1.3% of Pacific rainforest, 19% of mangrove, 3.9% of Páramo and 16.3% of coastal herbs. These pollen percentages reflect the pollen production and proportion of the surface occupied by the five main vegetation communities of the Guayaquil basin and the efficiency of pollen transport to the ocean floor (Figure 1).

Therefore, we consider that changes in the pollen record accurately represents an integrated image of the vegetation dynamics in the Guayaquil basin during the Holocene (Fig. 2), and therefore, of the regional climate, as previously observed for other world regions (Heusser, 1985; Dupont, 2003; Hooghiemstra et al., 2006). The cluster analysis performed on the total pollen counts show two major clusters that are in turn sub divided into two and three zones, respectively (Figure 2).

Figure 2

In the first cluster, 12,300-7700 cal yr BP, is divided into we recognize two zones. The first zone, between 12,300 and 10,000 cal yr BP, is characterized by the progressive increase and full development of the mangrove (Rhizophora) and Andean forest (Alnus, Podocarpus, Myrica, Myrsine) while the coastal herbs vegetation (Chenopodiaceae-type, Acalypha and Ambrosia-type) frequencies progressively decrease. In the second zone, 10,000-7700 cal yr BP, the mangrove started to decrease whereas the Andean forest shows a maximum frequency proportion of Podocarpus and a slow decrease in mangrove swamps reflecting relatively warm climate and relatively low frequencies of the coastal herbs are observed. Warmer and wetter conditions are revealed by this first cluster.

In the second cluster, from 7700 until the present, is divided into three zones can be recognized. The first zone from 7700 to 4200 cal yr BP is characterized by a substantial increase of Alnus frequencies woodlands, the maximum frequency proportion of Podocarpus and a slow decrease in mangrove swamps reflecting relatively warm climate and the wettest conditions frequencies. However, the strong expansion high frequencies of Podocarpus, Alnus, Myrica Morella and fern spores in the Andean forest group is followed by their progressively decrease during this zone time.
interval, while the coastal herbs vegetation (Chenopodiaceae-type, Acalypha and Ambrosia-type) increase expands. The mangrove frequencies forest shows low and high stands with a maximum frequencies development at 6000 cal yr BP and two minima at 7500 cal yr BP and at 5600 cal yr BP. Maximum warmth and humidity conditions reverse during this zone as reflected by the long-term substantial decrease of the Andean and mangrove forests. The second zone, between 4200 and 2850 cal yr BP, is characterized by the maximum frequencies expansion of the coastal herbs vegetation, low pollen percentages reduction of Alnus woodlands, the minimum frequencies of the other Andean forest taxa (Podocarpus, Myrica, Morella, Myrsine) and the lowest frequency proportion of the mangrove taxa. This zone reveals the driest conditions in the Guayas basin. The third zone, from 2850 cal yr BP to the present, is a period characterized by a slight decrease but still high Páramo (Asteraceae-Baccharis-type, Polylepis/Acaena-Polylepis-type) and coastal herb frequencies vegetation (Chenopodiaceae-type, Acalypha and Ambrosia-type), and the increase of Alnus and mangrove frequencies. An alternation of increases and decreases in the Andean forest cover oscillates is inferred between 2850 and 850 cal yr BP. This trend reverses with an increase of Andean forest from 850 cal yr BP up to the present at the expenses of both the Páramo and the coastal herbs. A wet trend characterizes this time period.

Our marine pollen sequence record M772056-5 was compared with the closest terrestrial pollen record, i.e. the Surucucho pollen sequence (Fig. 3) located in the Guayaquil basin at more than 3000 m asl (Colinvaux et al., 1997; Weng et al., 2004). Both marine and terrestrial pollen sequences show similar fluctuations in the evolution development of the Alnus forest. As already shown by the pollen analysis of the top-most core sample, the marine sequence accurately records not only the coastal vegetation but also the vegetation occupying the river basin further inland and up to high altitudes (3600 m). Andean alders Alders reach their maximum expansion from 8000 cal yr BP to the present, indicating warm and moist conditions at high altitudes, except between 4200 and 2850 cal yr BP when Alnus groves woodlands declined synchronously with the Andean forest reduction (Colinvaux et al., 1997; Weng et al., 2004), reflecting the regional driest and coldest period of the Holocene.

Figure 3

4.2- Short-term vegetation and climate changes

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Superimposed to these long-term trends, our results suggest twenty-nine short-term vegetation oscillations changes from Andean forest to coastal herbs-vegetation and back to forest and inversely (Fig. 2).

The 3000-year period between the onset of the Holocene, 11,700 and 7,700 cal yr BP was interrupted by five multi-centennial cooling and drying events. These oscillations are inferred from the repeated contractions of the Andean forest: odd pollen subzones M772-1 and M772-3 and even pollen subzones M772-6 to 10. The first maximum of the Andean forest, M772-5, occurred at around 10,100 cal yr BP, synchronous with the highest values of mangrove. Then, the mangrove contracted contemporaneously with a second maximum of Andean forest between 9700 and 9250 cal yr BP (M772-7). Between 9250 and 9000 cal yr BP, the Andean forest setback was associated with the maximum values of the Pacific forest (M772-8) (Fig. 2).

A The long-term regional drying trend characterized the second long-term phase from 7700 to 2850 cal yr BP. This phase was punctuated by three multi-centennial dry events (Andean forest contractions at odd zones M772-12 to 16). After the driest period of the Holocene in Guayas, between 4200 and 2850 cal yr BP, the third long-term phase marked by a wetter trend since 2850 cal yr BP was interrupted by two dry intervals respectively at 2400-1950 cal yr BP and 1600-1400 cal yr BP (zones M772-20-21 and 22-23) marked by the reduction of both Andean forest and mangrove and a minima of Podocarpus. The last 1000 years have been analyzed at an increased higher resolution (Fig. 2) and despite coarser 14C chronology for this interval, the M772 056-5 record suggests five intervals of landscape and regional vegetation climate changes that indicate an alternation of wetter and drier periods. The drier intervals 1000-750, 450-350, 200-100 cal yr BP (MM772-24, 26 and 28) are characterized with reflected by low or decreasing Andean forest, mangrove swamps and Alnus frequencies woodlands. The highest values most abundant presence of Alnus occurred between 650 and 450 cal yr BP and 350 and 200 cal yr BP (MM772-25 and 27) record moisture increase in the Guayas Basin. In the last century, from 100 cal yr BP to 33 cal yr BP (M772-29), we observe a simultaneous expansion of the mangrove and of the Andean forest, for the second time after the one observed at the beginning of the Holocene, which relates to indicates a warming trend.

5-Discussion

5.1 Related changes in vegetation and sea-surface temperature in the Guayaquil basin

The interval between 12,000 and 10,000 cal yr BP is characterized by the progressive development of the mangrove and the Andean forest with a maximum at around 10,000 cal yr BP (Fig. 4). Terrigenous input estimated from the
same core (see log Ti/Ca on in figure Fig. 4), show high river discharges at the onset of the Holocene in agreement with the concomitant rapid and strong glacier melting observed in the Cordillera (Mollier-Vogel et al., 2013; Jomelli et al. 2011). The progressive decrease in terrigenous input after the early-Holocene peak of glacier melting suggests weak-low precipitation on the continent. On the other hand the increase of Andean forest suggests warming and higher moisture rates. However the concomitant observed low Alnus frequencies-percentages could characterize both cooling in coastal areas and a different moisture regime than that prevailing today with drier edaphic conditions and more cloud dripping. At the same time, SSTs remain low (Mollier-Vogel et al., 2013). Such divergences between coastal and highland temperatures are also observed in modern climate reconstructions with the development of a strong vertical stratification of temperature trends in the atmosphere (Vuille et al., 2015). This specific pattern of vegetation can be explained by the development of a cloud forest formation of fog and cloud condensation on the flanks of the western cordillera Cordillera enhanced by a high temperature contrast between a cold sea and a warming land at the onset of the interglacial as already noticed by Jomelli et al. (2011).

Between 10,000 and 7700 cal yr BP the cloud andean forest was continuously present-maintained although progressively decreasing. We know from the Atlantic side that the ITCZ was maintained in a northernmost position (Haug et al 2001). In this study, relatively cold SSTs also show the absence weak influence of the ITCZ on the Pacific side at lower latitudes making a link with the ITCZ on the Atlantic. The position of the ITCZ to the north of our study area prevented from the installation of a bimodal seasonality that would bring austral summer rainfalls. At Guayas during this time interval, the SST was warmer than during the previous time interval, the land-sea temperature contrast weaker and the cloud formation on the continent less active. The climate became warmer and the continent progressively drier. The development of Alnus overall followed the progressive SST warming (Fig. 4). This land-sea coupling can be related to the progressive increase of austral winter insolation at the latitude of Guayaquil.

Between 7700 and 4200 cal yr BP SSTs show maximum values. The vegetation was characterized by a maximum of Alnus and Podocarpus stands while the Andean forest cover continues to decrease. This opposite trend between Alnus and the rest of the Andean forest was also observed during the last glacial maximum (Mourguiart and Ledru,
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Alnus is a heliophilous species that requires less atmospheric moisture supply than the Andean forest although it benefits from azonal wet soils which may exist even under relatively low precipitation levels.

Mangrove swamps substantially decreased from 7700 cal yr BP, and reached a minimum between ~5500 and ~2850 cal yr BP that could indicate a decrease of SST due to the stronger penetration of the Humboldt Current in the bay or mean La-Niña like conditions as observed in the southern Pacific (Carré et al 2011). However, as the SST reached their highest values during this interval (Fig. 4), the first hypothesis is rejected. Previous studies showed the tight link between fluctuations in the mangrove extent and sea level changes in the Caribbean region (Ellison and Stoddart, 1991; Parkinson et al., 1994). Therefore, mangrove contraction in the bay of Guayaquil seems to respond preferentially to the reduction of marshlands due to a deceleration in sea level rise.

Between 7700 and 6000 cal yr BP a slight re-expansion of the mangrove coincides with the stabilization of the sea level dated between 7000 and 6000 cal yr BP (Lambeck and Chappell, 2001; Siddall et al, 2003), and the formation of a delta (Stanley and Warne, 1994). The maximum reduction of mangrove stands at ~5000 cal yr BP was also documented in the Panamá basin, and interpreted as the replacement of the mangrove swamp by the lowland forest as the result of the sea level stabilization and the progradation of fluvial sediments (González and et al., 2006). However this second hypothesis does not explain the decrease in precipitation and the contraction of the Andean forest on the continent.

Another hypothesis is that the southern shift of the ITCZ did not reach the latitude of Guayas during the austral summer and remained to at a northern position as shown by the Cariaco record (Haug et al 2001). The SSTs were warm but the summer rainy season was weak or absent as shown by the low terrigenous input in the sediment.

According to the study of Haug et al. (2001), the ITCZ started to move south after 5400 cal yr BP which is also in agreement with the increase of river discharge into the bay of Guayaquil (Fig. 4). Therefore we infer changes in sea levels that controlled the expansion of the mangrove on the coast and a weak influence of the ITCZ that prevented the expansion of the Andean forest on the flanks of the western cordillera during this interval.

From 4200 to 2850 cal yr BP the contraction of Alnus-dominated woodlands contemporaneously with the reduction of Andean forest and mangrove characterize cooler and drier climatic conditions on the continent. Both the expansion of the coastal desert herbs, and particularly high-salinity tolerant plants such as Chenopodiaceae, and the cooler SSTs suggest a more northern influence of the Humboldt Current than today. The weak river discharges attest of low moisture rates on the continent. The influence of the ITCZ was still weak or absent and seasonal rainfall was reduced.
After 2850 cal yr BP the re-expansion of the Andean alders and of the mangrove swamp characterizes the return to warmer conditions on land. After a short cooling, SSTs also exhibit a warming trend. The Andean forest long-term decreasing trend from ~2850 up to 1850 cal yr BP can be explained by low elevation cloud formation due to a weak land-sea temperature contrast. This trend reversed during the last two millennia as that which were marked by a rainfall increase. The last 2850 cal yr BP was also punctuated by a high frequency and amplitude of contraction/expansion of the different ecosystems, Andean forest, mangrove or coastal desert, with three alternated phases of major expansion – regression of the Andean forest versus coastal herb vegetation. Therefore, the observed increase in Alnus frequencies woodlands in the forest over the last two millennia could also be, however, also explained by agroforestry as demonstrated in an Andean archeological site (Chepstow-Lusty et al., 2000).

5.2- Orbitally-driven climatic variability in the Guayaquil region.

The last 12,000 years are characterized by three steps in the amount of insolation (Fig. 4). The first step shows maxima in the Northern Hemisphere (NH) boreal summer insolation and minima in Southern Hemisphere (SH) summer austral winter insolation. The second step is a progressive increase of the insolation values in the SH with the associated progressive decrease in the NH. The third step is the reverse situation when comparing with the early Holocene.

In the early Holocene the maximum summer insolation at 65°N variations in the NH mid and high latitudes (Berger et al., 1978), and the progressive sea level rise associated with the last deglaciation, ended at ~7000 cal yr BP. Between the early and late Holocene the progressive decrease/increase of insolation values in the NH/SH is well reflected in the global trends of vegetation development with, for instance, the progressive decrease of Andean forests and mangroves and the increase of coastal herbs. However superimposed to this orbital forcing, variability in the SSTs and the mean position of the ITCZ bring some local effects in the climate and environmental features of the continent such as the extremely dry event observed between 4200 and 2850 cal yr BP.

Our data indicate that long-term changes of the Andean forest cover were controlled by insolation variations at 65°N affecting the ITCZ position and related precipitation. The period between 4200 and 2850 cal yr BP coincides with low boreal summer insolation but high summer insolation at 3°S, and shows a minimum development of Andean forest, mangrove and Alnus synchronous with an optimal development of coastal herbs and slightly lower SSTs. Insolation
forcing drives the temperature gradients between low and high latitudes and consequently the position of the ITCZ.

A southern migration of the ITCZ should induce a rainfall increase in the Guayaquil basin. However, we observe the driest conditions of the Holocene suggesting that the ITCZ was located further north, somewhere between 1°S and 3°S where high moisture rates are observed (Lim et al 2014). This period, 4,200-2,850 cal yr BP, also coincides with weaker ENSO frequency compared to the last 2000 yr (Moy et al 2002).

The third long-term phase, since 2,850 cal yr BP up to the present, is characterized by progressive, but irregular, increases in both SSTs and river discharge (terrigenous material) that followed the same trend than the austral SH winter-summer insolation. Annual rainfall distribution responds to the southward ITCZ shifts that reached the latitude of Guayaquil and adopted the same bimodal seasonality than today (Fig. 1). However Andean forest, coastal herbs and mangrove do not follow the orbital trend and show high variability with an opposite pattern between, on the one hand, mangrove and Andean forest expansion and, coastal herbs regression on the other hand.

5.3- Millennial scale variability in the Guayaquil basin

Superimposed to the orbitally-driven climate variability, a succession of millennial-scale warm-wet/cool-dry intervals are recorded in the region of Guayaquil. Most of the regional forest cover contractions, indicating cooling/drying events are contemporaneous with SST decreases that are weak, but larger than the error of the alkenone method (0.4°C) (Pailler and Bard, 2002). We observe that the weak and low frequency ENSO events identified between 12,000 and 5,000 cal yr BP (Moy et al., 2002; Liu et al., 2014) coincide with muted Andean forest contraction/expansion in the Guayaquil basin (Fig. 5). We also observe that the high variability and amplitude of the Andean forest changes is observed when ENSO frequency and amplitude increased, i.e. during the last 3000 years (Fig. 5). Based on Andean forest changes, a major increase of precipitation in the Bay of Guayaquil occurred at ~3,000, 2,000 and 1,200 cal yr BP which coincides with precipitation increase observed-recorded at the Galapagos lake El Junco (Conroy et al., 2008). On the other hand, the coolest events observed at ~2,500, 1,500 and 1,000 cal yr BP in the Guayas Guayaquil basin are contemporaneous with cooling in the Bay of Guayaquil and could be related to a further northward penetration of the Humboldt Current along the coast of Peru. Therefore we infer abrupt changes in the upwelling system driven either by the Humboldt Current or by ENSO at multidecadal scales, or both, for the last millennia, thus reinforcing (or weakening) the average ITCZ-forced high (low) precipitations in the Guayaquil Guayas basin.
5.4 The last 1000 years

During the last 1000 years the re-expansion of the glaciers was associated with three dry phases interrupted by two wet phases composed of a wet phase interrupted by a dry one phase in the Eastern Ecuadorian Andes; the wet phase is bracketed by two drier ones (Reuter et al 2009; Ledru et al 2013). During this interval, we also observed in the western Cordillera five changes in the development of Andean forest (Fig. 6). Forest contraction, ~400-300 cal yr BP, is inferred in the middle of the LIA bracketed by two periods of higher Andean forest cover indicating a one century dry event mimicking the climatic evolution already inferred from regional speleothem and other pollen records. These five intervals are also observed in the pollen record of Papallacta (00°21'30 S; 78°11'37 W at an elevation of 3815 m asl). They reflect changes in the Pacific Ocean SST and ITCZ shifts on both sides of the Andean Cordillera. Between 1000 and 750 cal yr BP the dry environment is related to low SST and low terrigenous deposits in the Bay of Guayaquil. At Cascayunga (Reuter et al., 2009) the moisture rates decrease showing that our records are in-phase and may display a regional climate trend. This interval reflects cold and dry climatic conditions during a high interdecadal ENSO variability and a northern position of the ITCZ that reduced the amount of rainfall at the latitude of Guayaquil.

Between 750 and 450 cal yr BP the high terrigenous are in phase with the high moisture rates showed as shown by in the speleothem record in Cascayunga (Reuter et al., 2009). However the Andean forest was not well developed during this interval and we rather infer a melting phase of the glacier at high elevation in phase with the high SST than higher precipitation rates on the western Cordillera. Our pollen record also shows a development in the desertic environment related to the strength of the Humboldt Current that could be related to the low interdecadal ENSO variability at low latitudes.

Between 450 and 350 cal yr BP the SSTs are decreasing and the terrigenous input is low reflecting cold and wet climatic conditions with a progressive drying trend along this interval. The ITCZ is reaching the latitude of Guayaquil...
at the beginning of the interval and progressively moving northward as attested by the following interval. Between 350 and 250 cal yr BP, where the vegetation, the low SST and the low terrigenous input characterize reflect
the presence of stronger Humboldt Current, the absence of and a weak influence of the ITCZ under low interdecadal
ENSO variability. During this interval the moisture rates were lower than in the previous phase on both sides of the
Cordillera. This interval characterized the Little Ice Age in the Ecuadorian Andes (Ledru et al. 2013). After 250 cal yr BP
the SST increase and the composition of the vegetation reflects the installation of a warm and wet climate on the
continent. The moisture rates observed at Guayaquil are out of phase with the speleothem record of Cascayunga as
were those of Papallacta on the eastern Cordillera. A different origin for moisture, such as cloud
dripping and upslope convective activity, was inferred at Papallacta to explain these differences between
groundwater level (speleothem) and development of a wet rain forest on the slopes of the Cordillera (pollen records).

6-Conclusions

Our marine record is well connected with the orbitally-driven and SST-controlled climate changes of the tropical
Andes (Jomelli et al 2011; Polissar et al 2013). Moreover we assess the responses of the vegetation to these forcings
and their associated ocean-atmosphere couplings on the equatorial Pacific coast and on the Western Cordillera.

We confirm that marine pollen records collected from the river outlets accurately represent an integrated image of
the regional vegetation of the adjacent landmasses and, consequently, the climatic parameters under which this
vegetation developed. We show that changes in insolation, SSTs and ITCZ control the hydrological cycle in this area.
Derived changes in the seasonality, in the strength of the Humboldt Current activity and in multi decadal scale ENSO
variability show three main phases of ocean-atmosphere coupling during a continuous increase/decrease trend of
southern winter/northern boreal summer hemisphere insolation. These three climatic phases are associated
to specific vegetation assemblages on the continent and more specifically on the Western Cordillera. During the early
to middle Holocene, between 11,700 and 7700 cal yr BP, climate conditions in the Guayaquil Guayas basin were
controlled by the position of the ITCZ. The ITCZ was located further north and southern/northern hemisphere austral
/boreal summer insolation was at a minimum/maximum. Rainfalls were scarce but clouds formed on the western Cordillera due to a warm land-cold sea thermal contrast while glaciers were rapidly melting in the Andes. This dry period coincides with simulated relatively weak ENSO strength. The progressive increase of SSTs, along the sea level rise, induced the full development of the mangrove in the
Bay of Guayaquil. In the second phase, 7700-2850 cal yr BP, the SSTs reached a maximum and showed high variability between two extreme cold events (at ~4,500 and 3,500 cal yr BP) and one extreme warm event (~4000 cal yr BP).

The progressive southward shift of the mean position of the ITCZ had not yet reached the latitude of Guayaquil and precipitations remained low on the western cordillera. The development of the vegetation is following the progressive increase/decrease of austral/southern and northern/northern winter/summer insolation in southern/northern hemisphere and is characterized by the regression of the Andean forest on the western Cordillera/Western Cordillera while *Alnus* became more abundant. The simultaneous regression of the mangrove and expansion of the dry coastal herbs suggest lower moisture rates, mainly related, in agreement with model simulations (Braconnot et al 2011), mainly related to the absence of the seasonal shift of the ITCZ under at this latitude as the SSTs remained high and ENSO variability was low. The short interval between 4200 and 2850 cal yr BP shows the coolest and driest climatic conditions of the Holocene and a northward shift of the Humboldt Current influence are inferred together with a northern position of the ITCZ. In the third phase, between 2850 cal yr BP and today, a high variability in the land-sea connections likely related with the high variability in frequency and strength of ENSO is documented by successive large expansion and contraction phases of the tropical forest and coastal herbs. Pacific Ocean SSTs represent the main climate forcing with abrupt changes within the system such as those induced by ENSO. The continent became globally warmer and wetter with a strong variability during the latest part of the Holocene. The southern limit of the ITCZ reached the latitude of Guayaquil after 2500 years ago and induced the bimodal seasonal climate that still prevails today. We conclude that changes in equatorial Eastern Pacific Ocean SSTs and summer insolation are the main determinants of the composition of the ecosystems and the hydrological cycle of the eastern Pacific coast and the western Cordillera/Western Cordillera.

**Acknowledgements**

This research is a contribution of Sonderforschungsbereich 754 Climate-Biogeochemistry interactions in the tropical ocean (http://www.sfb754.de), which is supported by the Deutsche Forschungsgemeinschaft), and to the project of the French Research Agency ANR 2010 BLANC 608-01 ELPASO (MPL) project. We thank Henry Hooghiemstra and an anonymous referee for their insightful comments on the original manuscript.

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**Figure and Table captions**

Figure 1 - Map of the Guayaquil basin showing the *distribution of the* main vegetation types (redrawn from Troll, 1968). Top left a pie diagram show*ing* the pollen assemblage from the core top sample that represents the different pollen percentages (numbers in black) of the vegetation communities (same colors as the map) occupying the Guayas basin during the last decades.

Figure 2 - Pollen percentage diagram with selected taxa of core M772-056. *Changes in pollen percentages are Pollen frequencies are represented along a time scale plotted against age*. Dashed black lines indicate the 5 main pollen zones; grey solid lines indicate the pollen sub-zones. Blue and orange background for humid and dry conditions, respectively.

Figure 3 - *Alnus* pollen frequencies percentage values along a time scale at Surucucho (Colinvaux et al. 1997) and in core M772-056.

Figure 4 - *Direct comparison between SST changes in the Bay of Guayaquil and vegetation-based atmospheric changes in the Guayas basin Environmental changes in the Bay of Guayaquil during the Holocene from the analysis of core M772-056: a) Mangrove and coastal vegetation pollen percentage curves with insolation values for both hemisphere (from Berger and Loutre), b) *Alnus* pollen percentage curve, c) Andean forest pollen percentage curve, d) *Tj/Ca* and changes in SST U37*-based SST changes are represented by U37* (from Moller-Vogel et al., 2013), e) Log* and changes in pollen indicator frequencies, Andean forest, *Alnus*, coastal herbs, mangrove (this study), F) changes in boreal (65°N) summer and austral (3°S) winter summer insolations (Berger, 1978). Blue and orange background for humid and dry conditions, respectively.

Figure 5 - ENSO variability (from Moy et al. 2002) and Andean forest pollen frequencies percentage curve (this study) during the Holocene.
Figure 6 – Precipitation changes during the last 1000 years on the equatorial Eastern Equatorial Pacific coastal region of South America from the: a) δ¹⁸O speleothem record of Cascayunga (CAS A+D, Reuter et al., 2009), b) Alnus, Mangrove and Coastal vegetation pollen percentage curves from core M772-056, c) Andean forest pollen percentage curve from core M772-056, d) UK'®-based SST from core M772-056, e) [Ti/Ca] curve from core M772-056. Blue and orange arrows show wet and dry phases during the Last Little Ice Age (LIA), respectively. Black dashed lines indicate the three hydro-climate intervals based on terrigenous input in the Bay of Guayaquil discussed in the text.

Table I - List of the identified pollen taxa in marine core M772 056-5 (Guayaquil Basin, Eastern Equatorial Pacific) and clustering into included in the 5 main ecological groups after their main ecological affinity found in the Holocene sediments of marine core M772-056-5 (Guayaquil Basin, eastern equatorial Pacific).

Table II- Radiocarbon dates (¹⁴C-AMS) and sample specific data used for the age model of core M772-056 (Mollier-Vogel et al., 2013). Radiocarbon measurements were performed on the planktonic foraminifera Neogloboquadrina dutertrei.

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Map of the Guayaquil basin showing the distribution of the main vegetation types (redrawn from Troll, 1968). Top left a pie diagram showing the pollen assemblage from the core top sample that represents the different pollen percentages (numbers in black) of the vegetation communities (same colors as the map) occupying the Guayas basin during the last decades.

141x215mm (299 x 299 DPI)
Pollen percentage diagram with selected taxa of core M772-056. Changes in pollen percentages are plotted against age. Dashed black lines indicate the 5 main pollen zones; grey solid lines indicate the pollen sub-zones. Blue and orange background for humid and dry conditions, respectively.

263x183mm (300 x 300 DPI)
Alnus pollen percentage values along a time scale at Surucucho (Colinvaux et al. 1997) and in core M772-056.
Direct comparison between SST changes in the Bay of Guayaquil and vegetation-based atmospheric changes in the Guayas basin from the analysis of core M772-056: a) Mangrove and coastal vegetation pollen percentage curves, b) Alnus pollen percentage curve, c) Andean forest pollen percentage curve, d) Uk‘37-based SST changes (Mollier-Vogel et al., 2013), e) Log( Ti/Ca) record, and F) boreal (65°N) summer and austral (3°S) summer insolutions (Berger, 1978). Blue and orange background for humid and dry conditions, respectively.

217x356mm (300 x 300 DPI)
ENSO variability (Moy et al. 2002) and Andean forest pollen percentage curve (this study) during the Holocene.

197x148mm (300 x 300 DPI)
Precipitation changes during the last 1000 years on the Eastern Equatorial Pacific coastal region: a) δ18O speleothem record of Cascayunga (CAS A+D, Reuter et al., 2009), b) Alnus, Mangrove and Coastal vegetation pollen percentage curves from core M772-056, c) Andean forest pollen percentage curve from core M772-056, d) Uk‘37-based SST from core M772-056, e) [Ti/Ca] curve from core M772-056. Blue and orange arrows show wet and dry phases during the Little Ice Age (LIA), respectively. Black dashed lines indicate the three hydro-climate intervals based on terrigenous input in the Bay of Guayaquil discussed in the text.

293x338mm (300 x 300 DPI)
Table 1

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Table 2 - Radiocarbon dates (\(^{14}\text{C-AMS}\)) and sample specific data used for the age model of core M772-056 (Mollier-Vogel et al., 2013).

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