

1 **Usefulness of species traits in predicting range shifts**

2 Alba Estrada^{1, 2}, Ignacio Morales-Castilla³, Paul Caplat⁴ and Regan Early*⁵

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4 1: CIBIO/InBIO, Universidade de Évora, Portugal

5 2: Department of Integrative Ecology. Estación Biológica de Doñana (EBD-CSIC). Sevilla,

6 Spain

7 3: Department of Biology, McGill University, Montréal, QC, Canada

8 4: Centre for Environmental and Climate Research, Lund University, Sweden

9 5: Centre for Ecology and Conservation, University of Exeter, Penryn Campus, Cornwall, UK.

10 Twitter: @ReganEarly

11

12 *Corresponding author: Early, R. (r.early@exeter.ac.uk).

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14

15 **Abstract**

16 Information on species' ecological traits might improve predictions of climate-driven range
17 shifts. However, the usefulness of traits is usually assumed rather than quantified. We
18 present a framework to identify the most informative traits, based on four key range-shift
19 processes: (i) emigration of individuals or propagules away from the natal location, (ii) the
20 distance a species can move, (iii) establishment of self-sustaining populations, and (iv)
21 proliferation following establishment. We propose a framework that categorises traits
22 according to their contribution to range-shift processes. We demonstrate how the
23 framework enables the predictive value of traits to be evaluated empirically, how this
24 categorisation can be used to better understand range shift processes, and illustrate how
25 range shift estimates can be improved.

26

27 **Predictive traits as a new paradigm in climate change ecology**

28 Mitigating the threat from climate change to biodiversity and ecosystems requires a robust
29 understanding of how species will respond to new climatic conditions. The most common
30 method for estimating a species' exposure to climate change is to compare future climatic
31 conditions against the conditions in which a species currently lives [1]. While there is
32 disagreement about the accuracy of these techniques, they are well explored, and there is
33 literature on best practice [2, 3]. Species vulnerability to exposure is less well understood. A
34 major uncertainty is whether species are able to colonise newly climatically suitable areas as
35 current geographic ranges become unsuitable. Such "range shifts" (see Glossary) could
36 mitigate threats from climate change.

37 Predictive traits have been suggested as a simple way to improve estimation of species'
38 range-shift capacities, identifying how well species are likely to cope with climate change [4-
39 7]. 'Range-shift capacity' could be interpreted as the likelihood of range shifts occurring or
40 the timescale over which range shifts might occur. The emerging approach is to integrate
41 information on both a species' exposure to climate change and the traits expected to drive
42 range-shift capacity, producing a relative metric of species risk [4-6]. Quantitative evidence
43 is rarely used to inform the choice of predictive traits in these approaches, and trait
44 selection often relies on expert opinion and data availability [4, 6]. There is no consensus as
45 to the traits that should be considered in range shift forecasts, meaning that studies are not
46 comparable, and that trait data could obscure rather than clarify climate change threats.
47 Consequently, there is a timely need to quantify how different traits contribute to species
48 range-shifts, and to identify potentially informative traits for which we do not have
49 sufficient information.

50 **A framework to evaluate and employ predictive traits**

51 The framework we propose permits the use of existing evidence bases to identify the most
52 important traits and range shift processes for a given taxa, improved testing of the
53 relationship between traits and range shifts, and superior assessments of the range-shift
54 capacities of large numbers of species. Given the hundreds of traits that could be analysed
55 for different taxa, this framework would permit future studies to be comparable. And while
56 the evidence bases we discuss are correlative, choosing traits to analyse based on our
57 framework would generate testable hypotheses as to causal mechanisms.

58 In addition to climate change ecology, bodies of theory within metapopulation, invasion,
59 life-history, restoration and reintroduction ecology deal with range shifts, i.e. the

60 establishment and expansion of new populations. We draw from these disciplines to
61 identify four key range shift processes (Box 1). Despite widespread acceptance of these
62 range shift processes, their importance for any given taxa or range-shift scenario is poorly
63 known. Traits could be used to indicate success at each range shift process, but the relevant
64 traits are numerous and diverse, will differ between taxa, and some are poorly quantified
65 for many species. This presents difficulties for evaluating the importance of a given trait. We
66 therefore propose a trait categorisation (Box 2) that corresponds to range shift processes.
67 This categorisation results in testable hypotheses as to causal mechanisms underlying the
68 relationship between traits and range shifts. The categorisation also allows trade-offs and
69 interactions between traits to be recognised and accounted for. For example, migratory
70 status could affect range-shift capacity positively by conferring high movement ability, or
71 negatively by limiting emigration because migrants show fidelity to breeding and over-
72 wintering sites between years [8, 9, Box 2]. Indeed species with migratory ability appear to
73 have low range-shift potential in [10], possibly because migrants show site fidelity (Table 1).
74 We demonstrate how the framework could be applied to improve range-shift predictions in
75 box 3.

76 In addition to traits, various range shift stages might be affected by species' exposures to
77 climate change and thus by species' climatic tolerances. Here we deal exclusively with how
78 traits can be employed to improve range-shift predictions, but raise the aforementioned
79 issues in box 4 (Outstanding Questions).

80 **Evidence bases for the relevance of predictive traits to range-shift capacities**

81 There are multiple metrics for which a wealth of data exists that can be used to evaluate the
82 predictive value of traits. Traits that correlate with biogeographical patterns and their

83 changes through time are likely to be the same traits that correspond to the processes of
84 climate-driven range shifts. Below we discuss potential metrics for evaluating predictive
85 traits, summarize their advantages and disadvantages and the major lessons learned for the
86 use of predictive traits in estimating range-shift potential. We illustrate these points with
87 selected examples from the literature (Table 1). We focus on terrestrial systems, for which
88 we found the most evidence as to the processes that drive range shifts.

89 *Recent range shift*

90 Detecting the traits shared by species that have undergone the greatest range shifts in
91 recent decades is the most direct way to infer traits that will promote or hinder modern
92 range shifts (which must also take place in tens to hundreds of years due to the current pace
93 of climate change). However, analyses of traits that correspond to recent range shifts have
94 yielded equivocal results [11-15] (Table 1). One explanation might be that the drivers of
95 range shift are so complex that a few decades worth of data are insufficient to draw
96 generalisations. A second explanation is that these analyses have rarely considered species'
97 exposure to climate change. If no areas become newly climatically suitable then no
98 colonisation can occur, and if species can tolerate new conditions then no range contraction
99 should occur. It has often been difficult to calculate exposure due to challenges in obtaining
100 accurate data on climatic tolerances for the species that have undergone range shifts
101 (though see [15]). Where this is not possible, measuring shifts along climatic gradients
102 should yield more insights than using only latitudinal or altitudinal shifts [16]. The
103 requirement for data at multiple time points restricts measurement of recent range shifts to
104 a handful of very well-studied taxa and geographic regions, reinforcing biases that already
105 exist in the climate change literature [17]. Despite these limitations, the few trends that

106 have emerged correspond to results obtained using other biogeographical metrics (range
107 size or range filling, see below, Glossary and Table 1). We therefore suggest that, while
108 monitoring ongoing range shifts is highly important for understanding the predictive value
109 of traits, proxies such as range filling and range size are also useful.

110 *Range size*

111 Widespread species with large ranges have colonised and maintained persistent populations
112 over large areas [18], and should therefore be able to colonise and proliferate in newly
113 climatically-suitable areas. This is particularly the case in regions where species ranges are
114 substantially affected by historic climate change. For instance, in Europe, species' current
115 ranges are largely determined by the degree to which species have been able to expand
116 since the Last Glacial Maximum [19]. The traits that correlate with the range size of
117 European species are therefore expected to be traits that have facilitated post-glacial range
118 expansion [20]. Traits that correlate with Extent of Occupancy (EOO) would indicate the
119 furthest distance at which new populations might be established, whereas traits that
120 correlate with Area of Occupancy (AOO) would indicate the distance at which most
121 populations will be established. A major caveat is the difficulty in distinguishing the degree
122 to which range size is determined by species' capacities to colonise and persist in suitable
123 areas, or by the availability of suitable environmental conditions. Range sizes could also be
124 affected by historical biogeographical processes, and analytical techniques are emerging to
125 quantify these effects [21]. These caveats also apply to range filling, below.

126 *Range filling*

127 The rationale behind the use of range filling to inform predictive traits is that, when
128 potential range is calculated using suitable climatic conditions (using an SDM or

129 physiological data [2, 3, 15]) unoccupied portions of the potential range must be due to non-
130 climatic range limitations (e.g. edaphic conditions, dispersal limitations, biotic interactions).
131 Traits associated with range filling would therefore indicate vulnerability to historic non-
132 climatic range limitations [22, 23], and thus inform species' vulnerability to similar
133 limitations during modern range shifts [20]. However, range-filling reflects processes that
134 occurred over thousands of years, some of which might not be at play in modern range-
135 shifts, due to the faster pace of current climate change. For example, biotic interactions like
136 competitive exclusion are often more observable over long timescales [24, 25]. Therefore,
137 traits that correspond to competitive ability might be more important to range filling than to
138 modern range shifts; a hypothesis that could be tested using available evidence bases and
139 our framework.

140 *Naturalisation potential*

141 Predictive traits are widely investigated as indicators of species' potential to naturalise,
142 spread, and impact ecosystems following introductions by humans [26-28]. Similar
143 ecological and evolutionary processes occur during climate change in the native region and
144 during naturalisation, as species encounter novel climates and biotic communities [29].
145 Most of the world's many thousands of human-mediated naturalisations have occurred
146 within the last 200 years, many within the last 50-100 years [30], i.e. a similar timescale to
147 that over which the impacts of climate change on native species are normally considered
148 [30]. However, many studies of predictive traits in naturalisation involve species classified as
149 invasive [31]. Few introduced species are thought to become invasive (though estimates
150 vary from, e.g. 1% [32] to 25% [33]). Thus, invasive species may not be representative of
151 most species, in particular species that are rare as natives and thus of particular concern

152 under climate change. Indeed, when traits related to invasion success are inverted they do
153 not indicate extinction risk [34]. However, the vast majority of naturalised species do not
154 become problematic or widespread invasives and are thus broadly representative,
155 suggesting that tapping this information source would be valuable.

156 *Abundance shifts*

157 Examining changes in abundance across geographic space offers insights into population
158 growth rate that cannot be gained by studying range (i.e. occurrence) shifts alone [16, 17].
159 Abundance changes might occur before range shifts can be observed, and could give specific
160 insight into the traits associated with establishment. The relationship between predictive
161 traits and trends in abundance have been fairly well studied [35-37]. Traits related to
162 abundance declines suggest susceptibility to anthropogenic stressors, which could in turn
163 limit range-shift capacity, particularly in human dominated areas [38]. The heavy data
164 demands for calculating population trends limit these data to a few countries and for
165 conspicuous groups of species (Table 1). Recent research has investigated spatial abundance
166 dynamics at the community level rather than focusing on individual species [e.g. 39]. This is
167 particularly useful when individual species are rare or show only small abundance changes.
168 However, community indices might mask hidden drivers or differences between species
169 [40], and to our knowledge no study has yet linked them to predictive traits.

170 *Threat status*

171 Examining the traits that correspond to species' threat status is commonly done thanks to
172 large, standardised datasets such as the IUCN Red List [41]. However, threat status is driven
173 by a plethora of environmental stressors, of which climate change is a relatively recent
174 factor. We consider threat status to be a weak proxy for species' range-shift capacities

175 under climate change. Nonetheless this line of enquiry has generated much expertise and
176 understanding of the relationship between traits and species vulnerability.

177

178 **Traits explaining distribution changes: what is done, and what could be done**

179 Table 1 summarises 40 studies that are excellent examples of the above evidence bases
180 because they analysed a large number of species from different taxa and a variety of traits
181 from different regions. The studies were selected following criteria in Appendix S1 (section
182 A), and include all metrics discussed above. There are two major hurdles to interpreting
183 existing evidence bases. First, the suite of traits analysed rarely represent all processes that
184 could drive either the response variable (metric of trait predictive value) or climate-driven
185 range shifts (Box 1). This has limited the mechanistic insight that can be obtained, as links
186 between traits and the response variable might be dependent on trade-offs and interactions
187 with unmeasured traits [9]. Second, traits employed by different studies are not
188 standardized between taxa, and often not within taxa [11]. The literature therefore does not
189 yet permit a meta-analysis, but it is possible to identify high quality studies with broad
190 taxonomic representation from which we can assess evidence for the importance of trait
191 categories (Box 2). See box 2 and table for traits included in each category.

192 The taxa for which most trait-based analyses have been undertaken are plants, terrestrial
193 vertebrate endotherms, and butterflies (Table 1). Geographic areas analysed are mainly in
194 Europe and North America. Notably, fewer than half of the studies specify hypotheses for
195 the ecological processes that could underlie the relationship between traits and the
196 response variable [e. g. 20, 23, 42, 43].

197 Movement ability, ecological generalization and reproductive strategy were the most widely
198 studied trait categories (Table 1). Movement and ecological generalization were identified
199 as important more frequently than any other category. However, movement ability had a
200 significant and positive effect in fewer than half of the cases it was studied. Thus, while the
201 movement process is clearly a major determinant of range shift capacity, other range-shift
202 processes also play an important role. Ecological generalization was found to have a positive
203 effect in the majority of studies that assessed it, whereas reproductive strategy had a
204 positive effect in a quarter of the cases in which it was studied. The equivocal support for
205 reproductive strategy might be the result of trade-offs with other traits such as competitive
206 ability or persistence in unfavourable climatic conditions [44].

207 Persistence in unfavourable climatic conditions is rarely studied, but the proportion of
208 positive results in Table 1 suggests it should be considered in the future. For instance,
209 Estrada *et al.* [20] found that seed bank persistence in plants was even more important than
210 movement ability or ecological generalization in predicting range size and filling for plant
211 species in Europe. For animals, hibernation has been hypothesised as improving range shifts
212 by enabling individuals to avoid unfavourable conditions [11] (Box 2), but showed no
213 predictive power (Table 1). No support was found for longevity (Box 2), possibly because
214 longevity trades off with age of first reproduction, reflecting slower colonization [44]. Thus,
215 traits that correspond clearly to persistence might be difficult to define for animals.

216 Although rarely accounted for in range-shift forecasts, competitive ability was studied in 14
217 cases, and had a positive effect in nine. For plants there are well-established frameworks to
218 evaluate competitiveness (e.g. the Competitor-Stress tolerator-Ruderal framework [44]) but
219 there is as yet no corresponding framework for terrestrial animals. For animals therefore,

220 we included traits in this category that correspond to dominance (e.g. local abundance),
221 intra-generic co-occurring species richness (indicating the number of similar, potential
222 competitor, species), and brain size (which corresponds to innovation, a key component of
223 competition avoidance [27]). Given the surprisingly high importance for these traits, we
224 recommend more work towards understanding the impacts of competition on species
225 ranges.

226 The least studied and least supported trait categories in our sample were avoidance of small
227 population effects, which corresponds to colonisation ability, and site (in)fidelity, which
228 corresponds to emigration (Box 2). Categorising avoidance of small population effects in
229 plants is fairly straightforward: self-fertilization and vegetative regeneration are key traits in
230 this respect and are widely measured. However, in the papers we sampled for animals,
231 relevant traits analysed were population or social group size, which could be too simplistic
232 to capture complex outcomes of animal behaviour for small population sizes. We note that
233 traits that correspond to reproductive strategies, which were important in some cases,
234 could also contribute to avoidance of small population effects. The limited support for traits
235 related to avoidance of small population effects might imply that the colonisation process
236 does not strongly limit range shifts for the majority of taxa studied (Box 1). However, we
237 suggest that the importance of the avoidance of small population effects should not be
238 precluded until a broader range of relevant traits is examined. With respect to site
239 (in)fidelity, although there is little evidence for the predictive value of relevant traits in Table
240 1 modelling studies support the importance of emigration to climate-driven range shifts
241 [45]. We therefore suggest that site (in)fidelity traits merit further investigation.

242 **Limitations of existing evidence bases**

243 A lack of support in the evidence bases we sampled should not be taken to suggest that a
244 given trait is not important for any species. Rather, positive or negative effects in Table 1
245 indicate evidence across many species that a given trait is sufficiently tightly linked to a
246 range-shift process that it could be used to inform relative estimates of range-shift
247 likelihood in multi-species analyses. A caveat to all correlative approaches we outline is that
248 trait plasticity and evolution (past or ongoing) might obscure the relevance of traits to range
249 shifts.

250 While trait data are becoming increasingly available, potentially important traits are often
251 unavailable, e.g. movement distance in animals. Alternatives are to use values for
252 congeneric species, or morphological proxies [9, 14, 46]. Increasing awareness of the
253 usefulness of traits (particularly non-movement traits) to inform responses to climate
254 change should stimulate collection and curation of potentially informative traits.

255 Macro-ecological analyses of predictive traits typically use a single trait value for the whole
256 species (usually the mean of all recorded values) [14, 20], despite increasing evidence of
257 substantial intraspecific variation [47, box 4: Outstanding Questions]. Whenever possible,
258 the use of trait variance together with the mean can provide new insights and more
259 accurate separation of species' range shift capacities. However, information on intraspecific
260 variation is rarely available, so it is not yet possible to develop a comprehensive macro-
261 ecological analysis for a large number of traits. Nevertheless, the findings in Table 1 indicate
262 that characterizing species with single trait values does detect relative interspecific variation
263 in metrics that correspond to range-shift capacity.

264 **Concluding remarks**

265 We encourage the use of predictive traits in assessment of species potential to colonise new
266 areas in response to climate change. We recommend that all range-shift processes should
267 be represented in the choice of traits, and we demonstrate how traits can be categorised in
268 terms of their contribution to these processes and used to inform range shift potential.
269 While correlative methods are still the main option for assessing predictive traits for large
270 numbers of species, employing our framework would formalise hypotheses on the
271 mechanistic underpinning of the relationship between trait and range shift. This would
272 permit synthesis across studies and meta-analysis, ultimately leading to a broad
273 understanding of how traits mediate range shift. While we encourage new analyses to
274 improve understanding for different global regions, taxonomic groups, and under-studied
275 range-shift processes, there is already sufficient evidence that traits corresponding to
276 movement, ecological generalization, persistence in unfavourable climatic conditions,
277 reproductive strategy, and competitive ability should be considered for inclusion in range-
278 shift evaluations. Further experimental studies could use our proposed framework to better
279 make mechanistic linkages between traits and range shifts and site persistence.

280

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287

288 **Boxes**

289

290 **Glossary**

291 **AOO:** area of occurrence, the geographic area that is actually occupied by a species [48],
292 often defined as the number of occupied grid cells (which vary in size between atlases) [20].

293 **Competitive ability:** the ability of an individual of one species to reduce the availability of
294 contested resources to an individual from another species, and to tolerate or avoid
295 reduction in contested resource availability by an individual from another species [49].

296 **Ecological generalization:** the ability to use a wide variety of a given resource type, e.g.,
297 ecological generalists could breed in a wide variety of land cover types, have a broad diet, or
298 tolerate a broad range of soil types.

299 **Emigration:** first range-shift process, in which an individual embarks on a journey
300 (movement) outside its natal location.

301 **EOO:** extent of occurrence, the area within the outer limits of the species geographic
302 distribution [43, 48].

303 **Establishment:** range-shift process following movement, in which one or more individuals
304 reproduce and found a self-sustaining population.

305 **Indicative traits:** species' characteristics related to environmental tolerance, habitat
306 specialization, geographical boundaries or spatial distribution [5, 7, 50]. These traits can be
307 measured at the individual or population level, so they are not life-history traits in strict
308 sense.

309 **Life-history traits:** those morphological, physiological or phenological characteristics
310 measurable at the individual level that have an effect on individual performance [51].

311 **Movement ability:** ability of an individual or propagule to travel outside its natal location.
312 This ability is often represented as the average or upper end of the distance moved in the
313 lifetime of an individual or propagule. Note that this can be informed by, but is not
314 restricted to, natal dispersal distances (movement from natal to breeding site). We
315 specifically use 'movement ability' instead of 'dispersal ability' to avoid confusion, as the
316 latter term is widely used to include emigration, movement, and establishment [52]. The
317 movement process in dispersal has also been called transience, transport, and transfer [52].

318 **Persistence in unfavourable climatic conditions:** a population's capacity to survive during
319 periods in which poor climate conditions leads to zero or negative population growth rate.
320 Unfavourability could occur through climate change directly, or as a result of climatically
321 induced changes in other elements of habitat suitability.

322 **Potential range:** the geographic area in which environmental conditions are suitable for a
323 given species, even if the species is present or not. Potential range is often calculated using
324 SDMs.

325 **Predictive traits:** we define predictive traits as any species' feature that can be used to
326 predict (a) the likelihood and extent of range shift given exposure to climate change, or (b)
327 the species' interactions with other species and non-climatic elements of the environment,
328 which directly or indirectly affect range shifts. Predictive traits might include 'intrinsic'
329 ecophysiological, life-history and demographic traits [51], as well as broader, non-
330 organismal 'indicative' traits such as habitat requirements or spatial distribution [5, 7, 11].

331 **Proliferation:** fourth range-shift process in which established populations become more
332 than self-sustaining, producing individuals that will in turn disperse and cause further
333 population spread.

334 **Range filling:** The proportion of its potential range that a species occupies.

335 **Range shift:** expansion of one part of the range margin following colonisation events. Range
336 shift may or may not be accompanied by a contraction in another part of the range margin.

337 **Reproductive strategy:** the number, timing and degree of investment in each reproductive
338 event, which are related to demography, fecundity and speed of life history. Species with an
339 'r' strategy reproduce early, have small body mass, and many offspring per year. Species
340 with a 'K' strategy are older at first reproduction, have larger body mass and fewer
341 offspring.

342 **SDM:** species distribution model. SDMs relate a species distribution with the environmental
343 conditions in which species are found, in order to calculate environmentally suitable areas
344 for that species.

345 **Site (in)fidelity:** reflects the likelihood that an individual will embark on a dispersal event to
346 emigrate away from the natal patch. High site fidelity corresponds to a low likelihood of
347 emigration and thus low range-shift capacity. We therefore use 'site (in)fidelity' in line with
348 the other six trait categories, for which the terms correspond to a positive effect on range-
349 shift capacity.

350 **Small population effects:** factors that make it difficult for small populations to grow, and
351 thus hinder population establishment. These include Allee effects, genetic drift, and
352 susceptibility to demographic or environmental stochasticity.

353

354 **Box 1. Range-shift processes.**

355 The first range-shift process (see Figure 1) is that individuals embark on a journey away from
356 their natal location (**emigration**). For animals the motivations involved include high
357 population density, low resource availability, and harassment from prospective mates [45].
358 For sessile taxa, such as plants, some aspects of reproductive behaviour can affect the
359 probability that propagules disperse away from the adults' location, for example timing of
360 seed shed to maximise dispersal by animals [53]. Species that have a physical or behavioural
361 mechanism that promotes emigration are more likely to respond to poor environmental
362 conditions (as driven by climate change) by leaving the natal location than those that do not
363 have these mechanisms. Recent applications of metapopulation modelling approaches to
364 climate change highlight the importance of emigration for range shifts [45, 54]. **Movement**
365 itself, i.e. the transfer of individuals or propagules away from the location in which they
366 originated [54], is the second and most widely studied range-shift process. The upper limit
367 of a species' dispersal distance is one of the strongest limitations on metapopulation
368 persistence, invasive spread, and population recovery [55-57]. The third range-shift process
369 is **establishment**, i.e. the ability of dispersing individuals to reproduce and found new
370 populations following a dispersal event. While probability of establishment is affected by
371 the number of arriving propagules at a site, dispersal, invasion and reintroduction ecology
372 demonstrate that non-movement traits and their interaction with local conditions also
373 mediate ease of establishment [58]. The fourth range-shift process is **proliferation**, i.e. the
374 growth of established populations to become more than self-sustaining, producing
375 individuals that will in turn disperse and cause further population spread [59]. In the short

376 term, spreading outwards to locations immediately surrounding the newly colonised
377 location will improve population robustness. In the longer term, a large number of
378 emigrating individuals will sustain the range-shift itself. Life-histories that permit rapid
379 proliferation contribute greatly to the long term success of naturalised and reintroduced
380 populations [47]. Predictive traits employed in climate-change risk assessments should
381 correspond to all of the above processes.

382 **Figure I**

383 Figure I. Schematic representation of range-shift processes and their relationship with the
384 trait categories we suggest (Box 2). We do not suggest that there is an exclusive
385 correspondence between a given trait category and range-shift process. Rather, we identify
386 the links between trait categories and range-shift process that evidence suggests are the
387 most directly informative. Note that we are considering species traits and not their
388 interaction with the environment, e.g. we do not include the effect that climate-driven
389 resource limitation could have on emigration.

390

391 **Box 2. Categorisation of predictive traits**

392 We propose seven trait categories related to range-shift processes (Figure I). Trade-offs and
393 interactions between traits mean that some categories cannot be tied exclusively to one
394 range-shift process. We demonstrate how traits addressed by studies in Table 1 could be
395 categorised. First, **site (in)fidelity** corresponds to emigration, and can be informed by
396 migration (migrants show fidelity to breeding and over-wintering sites between years [8]),
397 and breeding behaviour (social or territorial) that encourages individuals to remain at natal

398 locations or to disperse to new breeding territories [60]. Sessile taxa can also have traits
399 that correspond to site (in)fidelity, for example plants can time seed shed to maximise
400 dispersal by animals [53]. Second, **movement ability** corresponds directly to the movement
401 process, and for plants can be informed by properties related to dispersal vectors [20]. In
402 animals, movement can be informed by natal dispersal [14] and by behaviours such as
403 migratory status [61], home ranging area [37], or flight period length [62], and morphologies
404 such as wing or leg length [63]. Third, **small population effects** hinder establishment, and
405 particularly occur in animals that rely on group behaviour for breeding, resource acquisition,
406 or predator avoidance [41], and in plants that cannot reproduce vegetatively or self-
407 pollinate [20]. Fourth, **persistence in unfavourable climatic conditions** aids establishment
408 under climate change because newly colonised locations can fluctuate in climatic suitability
409 before becoming consistently suitable for a given species [64, 65]. This category can be
410 informed in plants by seed-bank persistence [20], and in animals by 'sleep' (e.g. hibernation,
411 dormancy) and 'hide' (use of burrows, caves, tree-holes) behaviours that might allow
412 species to 'wait out' unfavourable periods [66] or by traits indicating the importance of each
413 reproductive event to lifetime reproduction (e.g. longevity). Fifth, **ecological generalisation**
414 aids establishment and proliferation by increasing resource availability, and includes diet
415 breadth, land-cover types occupied, and breadth of diurnal cycle [11]. Sixth, species with an
416 'r' **reproductive strategy** will rapidly achieve high local abundances (aiding establishment),
417 thus driving emigration (aiding proliferation). Reproductive strategy can be informed by
418 traits linked to the number, timing and degree of investment in each reproductive event [11,
419 27]. Seventh, low **competitive ability** hinders establishment when competition with
420 incumbent individuals occurs, and hinders proliferation by slowing the growth of already-
421 established populations. Competitive ability could be informed by population traits (e.g.

422 local density [34]) the number of co-occurring congeners [67]), by brain size in animals [27]
423 and by leaf-economic traits in plants [44, 68].

424 **Box 3. Case studies applying the predictive trait framework to evaluate range-shift**
425 **capacities**

426 We examine pairs of species that are predicted to potentially undergo climate-driven range
427 shift in the 21st century (Table I). We show how different traits can be used to inform the
428 likelihood or speed of range shifts. There are multiple ways in which trait categories could
429 be combined to determine range-shift ability. Here we classify trait values for each species
430 as High, Moderate or Low depending on the species' trait value relative to values for related
431 species. We used only the best-supported traits for a given taxa (Table 1). For the sake of
432 illustration, we consider that a trait category is supported when at least three studies in
433 Table 1 found a significant relationship between the trait category and a response variable.
434 Other approaches are possible, such as i) assigning a numeric score to the results in each
435 trait category and summing across all, or the best-supported categories, ii) two species can
436 be compared by summing the number of differences (positive and negative) between
437 results in each, or the best-supported, trait category. We make no recommendations as to
438 best practice as insufficient information exists on the relative importance of each trait
439 category, but urge research that compares the importance of trait categories amongst
440 species. Both *Populus nigra* and *Carpinus betulus* are predicted to gain climatically suitable
441 areas to the north of their range (Figure II), but *Carpinus betulus* is more likely to colonise
442 this area. *Sylvia cantillans* is predicted to gain proportionally more climatically suitable area
443 than *Corvus monedula* but has less ability to colonise this area, changing relative
444 assessments of climate change effects on these species.

445 **Table I.** Case studies illustrating the application of our framework to improve range-shift
 446 evaluations under climate change.

| Taxa | Plants (trait data from [20]) | | Median values for native European trees in [20] |
|---|--|--|--|
| Species | <i>Carpinus betulus</i> | <i>Populus nigra</i> | |
| Movement ability | High (estimated 1500m, bird and mammal seed dispersal, seed mass 53 mg) | Moderate (estimated 15m, wind seed dispersal, low seed mass 0.81 mg) | Dispersal 500m Seed mass 25mg |
| Persistence in unfavourable climatic conditions | Moderate (seed bank persistence 1-5 years) | Low (seed bank persistence < 1 year) | < 1 year |
| Ecological generalisation | High (2.19 vegetation types occupied) | Low (1.2 vegetation types occupied) | 1.86 vegetation types |
| Reproductive strategy | High (perennial tree species, age of first flowering 15 years) | Moderate (perennial tree species, age of first flowering 6 years) | 8 years |
| Competitive ability | High/moderate (mean height 15m, SLA 24 mm ² /mg) | High/moderate (mean height 50m, SLA 11 mm ² /mg) | Height 20 m SLA 10 mm ² /mg |
| Resulting range-shift ability | High | Moderate / Low | |
| Taxa | Birds (trait data from [69]) | | Median values for native European passerines in [69] |
| Species | <i>Corvus monedula</i> | <i>Sylvia cantillans</i> | |
| Movement ability | High/Moderate (natal dispersal 8.6km, seasonal migrant) | Moderate (seasonal migrant) | 8.45 km |
| Ecological generalisation | High (omnivorous and opportunistic, high habitat breadth 5.4) | Low (omnivorous, low habitat breadth 1.25) | 3.4 vegetation types |
| Reproductive strategy | Low (large-bodied: 248g, late reproducing: year 2, average clutch size 4.46, 1 brood per year) | Moderate (small-body: 9.8 g, early reproducing: year 1, small clutches: 3.86, 2 broods per year) | Body mass 21 g Sexual maturity year 1 Clutch size 4.6 Clutches/year 1.7 |
| Competitive ability | High (large brain size : body mass ratio) | Moderate (small brain size : body mass ratio) | |
| Resulting range-shift ability | High/Moderate | Moderate/Low | |

447
 448 **Figure II.** Current distributions and areas predicted climatically suitable for case study
 449 species in 2071-2100 (see section C in Appendix S1 for details of distributions and forecasts).

450 Black dots are currently occupied, blue areas are climatically suitable in the future, and
451 yellow areas are climatically unsuitable.

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454 biodiversity. *Science (New York, N.Y.)* 344, 1247579-1247579
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620

621

Predictor trait category

| Taxon | Number of species studied | Location | Response variable | Predictor trait category | | | | | | | Reference |
|------------------|---------------------------|-------------------------|-------------------|--------------------------|------------------|---------------------------------------|---|---------------------------|-----------------------|---------------------|--------------------|
| | | | | Site (in) fidelity | Movement ability | Avoidance of small population effects | Persistence in unfavourable climatic conditions | Ecological generalization | Reproductive strategy | Competitive ability | |
| Alpine plants | 133 | Switzerland | RS | | x | x | | | x | | [11] ^p |
| Forest plants | 92 | USA | RS | | x | | | | | | *[70] |
| Plants | 734 | Global | RZ | | | + | | | | | *[71] ^p |
| Plants | 1276 | Europe | RZ | | + | x | + | + | - | + | [20] ^p |
| Angiosperms | 524 | Australia | RZ | | x | | | | | x | [72] ^p |
| Herbaceous flora | 263 | Central England | RZ | | + | | | + | | | [73] ^p |
| Forest plants | 273 | W Europe | RZ | | + | | + | | | | [43] ^p |
| Plants | 1276 | Europe | RF | | + | x | + | + | - | + | [20] ^p |
| Plants | 183 | Austrian Alps | RF | | + | | | + | | x | *[23] ^p |
| Plants | 37 | Fynbos biome | RF | | + | | + | | | + | [42] ^p |
| Trees | 48 | Europe | RF | | + | | | | | | [74] ^p |
| Trees | 55 | Europe | RF | | | | | | x | x | [22] ^p |
| Plants | 150 | E Australia | IS | | + | | | | | + | [68] ^p |
| Woody plants | 278 | N America and Europe | IS | | + | x | x | + | - | + | [26] |
| Plants | 898 | Lowland England | ER | | x | | | + | x | x | [75] |
| Mammals | 28 | NW America | RS | | | | | x | x | x | [11] ^p |
| Mammals | 23 | Australia | RZ | x | x | | - | + | + | | [10] ^p |
| Endemic mammals | 89 | Mexico | RF | | x | | | x | x | | *[76] |
| Mammals | 40 | Australia | IS | + | - | | x | + | x | | [10] ^p |
| Mammals | 292 | USA | AC | | x | | | x | x | | [37] ^p |
| Mammals | 372 | Global | ER | | - | x | | + | + | + | [41] ^p |
| Birds | 254 | N America | RS | | x | | | - | x | | [11] ^p |
| Birds | 97 | UK | RS | | + | | | | + | | [14] ^p |
| Songbirds | 40 | N America | RS | x | x | | | - | - | | [13] ^p |
| Sylvia warblers | 26 | Global | RZ | | + | | | | x | | [63] ^p |
| Passerines | 165 | Global | RZ | - | + | | | + | + | | [9] ^p |
| Birds | 23 | Palaeartic ^a | RF | | + | | | x | | + | [67] ^p |
| Birds | 1813 | N America and Europe | IS | | | | + | + | + | + | [34] |
| Birds | 416 | Global | IS | x | x | | | + | x | | [61] ^p |
| Birds | 428 | Global | IS | x | x | x | x | + | x | + | [27] ^p |
| Birds | 71 | France | AC | x | x | | | + | + | | [35] ^p |
| Passerines | 57 | Spain | AC | x | x | | | + | | x | [36] |
| Passerines | 68 | Czech Republic | AC | x | x | | | - | - | | [77] ^p |
| Forest birds | 18 | New Zealand | AC | | | | | x | x | | [78] |
| Herptiles | 36 | USA | ER | | x | | | + | + | + | [7] |
| Butterflies | 48 | Finland | RS | | + | | | x | | | [62] ^p |
| Butterflies | 95 | Finland | RS | | + | | | + | | | *[12] |
| Odonata | 24 | UK | RS | x | x | | | + | x | | [11] ^p |
| Bees | 187 | NE USA | AC | | | x | | + | | | [50] ^p |
| Butterflies | 95 | Finland | ER | | + | | | + | | | *[79] |
| Total + | | | | 1 | 16 | 1 | 5 | 20 | 7 | 9 | |
| Total - | | | | 1 | 2 | 0 | 1 | 3 | 5 | 0 | |
| Total x | | | | 8 | 16 | 7 | 4 | 7 | 13 | 5 | |

622

623

624 **Table 1. Selected studies testing relevance of predictive traits to range-shift capacities, and a**
625 **summary of the results.** An * before the reference indicates that predictive traits were tested
626 individually, not in concert with other traits. A 'P' after the reference indicates that the study
627 accounts for the phylogeny of the species. 'a': the study area of this reference is Palaearctic,
628 Afrotropics and Indo-Malaya. References are sorted by taxa (plants, mammals, birds, herptiles
629 and invertebrates) and then by the response variable. Response variables are as follows. RS:
630 range shift, RZ: range size, RF: range filling, IS: introduction (naturalisation) or invasion success,
631 AC: abundance change, ER: extinction risk. + indicates positive relationship, - indicates negative
632 relationship, x indicates no relationship, an empty cell means that the trait was not tested. In
633 all cases '+' indicates a greater capacity to undergo range shifts. Thus, for AC '+' corresponds to
634 positive population growth, for ER '+' corresponds to low extinction risk.

635 For each of the trait categories, a '+' sign indicates that the relationship between trait and
636 metric indicates the following increases range-shift capacities. Site (in)fidelity: a high likelihood
637 of leaving the natal location; movement: strong movement ability; avoidance of small
638 population sizes: ability to avoid small population effects; persistence in unfavourable climatic
639 conditions: ability to persist in unfavourable conditions; ecological generalization: ecological
640 generalists; reproductive strategy: an 'r' strategy; competitive ability: strong competitors (see
641 Box 2 for more details). Predictive traits and further rationales for each of the seven categories
642 are detailed in Appendix S1 (section B).