

1 **Smoke and Clouds above the Southeast Atlantic: Upcoming Field**

2 **Campaigns Probe Absorbing Aerosol's Impact on Climate**

3 Paquita Zuidema*

4 *University of Miami, Miami, Florida*

5 Jens Redemann

6 *NASA AMES Research Center, Mountain View, California*

7 James Haywood

8 *University of Exeter, Exeter, United Kingdom*

9 Robert Wood

10 *University of Washington, Seattle, Washington*

11 Stuart Piketh

12 *North-West University, Potchefstroom, South Africa*

13 Martin Hipondoka

14 *University of Namibia, Windhoek, Namibia*

15 Paola Formenti

16 *Laboratoire Interuniversitaire des Systemes Atmospheriques, Creteil, France*

¹⁷ **Corresponding author address:* Rosenstiel School of Marine and Atmospheric Science, University
¹⁸ of Miami, Miami, FL, 33149
¹⁹ E-mail: pzuidema@rsmas.miami.edu

ABSTRACT

20

21 From July through October, smoke from biomass burning fires on the southern African sub-
22 continent are transported westward through the free troposphere over one of the largest stratocu-
23 mulus cloud decks on our planet (Fig. 1). Biomass burning aerosol (smoke) absorbs shortwave
24 radiation efficiently. This fundamental property implicates smoke within myriad small-scale pro-
25 cesses with potential large-scale impacts on climate that are not yet well-understood. A coordi-
26 nated, international team of scientists from the United States, United Kingdom, France, South
27 Africa and Namibia will provide an unprecedented interrogation of this smoke-and-cloud regime
28 from 2016 to 2018, using multiple aircraft and surface-based instrumentation suites to span much
29 of the breadth of the southeast Atlantic.

30 The scientific motivations are many. Smoke warms the atmosphere, in contrast to the climate
31 cooling provided by the reflected sunlight from the extensive low clouds residing mostly below
32 the smoke layer. Yet, the low clouds also respond to the presence of the smoke, in counter-
33 intuitive ways that can either strengthen or weaken the low cloud deck. Smoke can stabilize
34 the atmospheric temperature profile, by warming the free troposphere, and cooling the surface
35 below. The stabilization strengthens the low cloud deck, so that the net smoke+cloud effect is an
36 enhanced cooling. This effect is thought to dominate the low cloud response, because space-based
37 lidar informs us that much of the BB aerosol resides above the cloud deck (Fig. 1). In contrast, if
38 the smoke mixes directly into the cloud layer, warming provided by the smoke could reduce the
39 relative humidity and help dissipate the cloud. Changes in the amount of aerosol nucleating the
40 clouds also alters the cloud microphysics and the cloud's likelihood of rain. Other effects exist,
41 for example, from the moisture associated with the aerosol layer, while further effects may yet still
42 remain to be discovered. At a larger scale, the change in atmospheric warming from the smoke
43 affects the neighboring precipitation distribution. The smoke's influence on the surface energy

44 budget ultimately affects the equatorial climate and its variability through the trade winds, and
45 changes the energy distribution between the northern and southern hemisphere.

46 The complexities of the southeast Atlantic climate are not currently well captured by mod-
47 els (Fig. 2). The aerosol spatial and vertical distribution must be modeled well, along with the
48 aerosols' capacity to absorb shortwave radiation - the single-scattering albedo. Equally important
49 to capturing the aerosol's direct radiative effect is the ability to accurately represent the underlying
50 low cloud deck. Smoke overlying a bright cloud will darken the scene when viewed from space,
51 whereas smoke overlying a dark ocean will brighten the scene. Thus, the ability to represent the
52 low cloud albedo, and in turn the distribution of cloud properties, with and without smoke present,
53 is critical to modeling the regional and by extension global climate. Climate change projections for
54 Africa indicate strong future warming and changing precipitation patterns; in particular increases
55 in the variability of the rainfall has strong implications for agriculture in the arid regions.

56 Basic aspects of the meteorology such as the trade winds and free-tropospheric easterlies reveal
57 a strong coupling between the atmosphere, ocean, and land neighboring the southeast Atlantic.
58 For example, the deep land-based anticyclone over southern African encourages the recirculation
59 of offshore smoke back to the continent, at times from long distances. Many open questions
60 remain, and much of what is hypothesized about this regime comes from satellite studies, surface-
61 based sun photometers at a few widely-separated locations, and modeling simulations. Satellite
62 studies indicate clouds are thicker, and the cloud deck is larger, when smoke is present overhead,
63 consistent with a response to a more stable atmosphere, but the meteorology encouraging the
64 smoke outflows may also be advecting warmer air above the cloud top. The cloud response is
65 highly sensitive to details of the aerosol-cloud vertical structure, but even our most sophisticated
66 satellite tool, a space-based lidar, has difficulty determining whether the typically-diffuse bottom
67 of a smoke layer is touching the cloud top.

68 Clues about the aerosol absorption have primarily come from surface-based sun photometer
69 data. Such measurements suggest that the biomass-burning aerosols become less absorbing as the
70 burning season evolves, perhaps because the type of fire fuel and combustion conditions change.
71 A well-maintained sun photometer has been present on Ascension Island (14.5° W, 8°S) since
72 2000, but nevertheless single-scattering albedo data remain scarce because of strict retrieval crite-
73 ria (Fig. 3). The little available data are consistent with a seasonal evolution documented for fire
74 sources on land: smoke particles that absorb less sunlight as the biomass-burning season evolves.

75 The data in Fig. 3 are intriguing, but too sparse to be much more than anecdotal, and ignore
76 other factors, such as the possible presence of aerosols from South America. Existing sparse
77 datasets highlight the need for in-situ data of important climate variables. This is now poised to
78 occur. The aircraft campaigns and surface-based instrumentation suites currently committed are
79 shown in Fig. 4. These will also serve to improve satellite retrievals, and initialize and test model
80 simulations at all scales.

81 The campaigns possess unique foci, detailed below.

- 82 • The NASA Earth Venture Suborbital-2 ORACLES (ObseRvations of Aerosols above Clouds
83 and their interactions; <http://espo.nasa.gov/oracles>) campaign will sample a different month
84 (August to October) from each of 2016, 2017 and 2018, using a P-3 airplane. The high-
85 altitude ER-2 plane will additionally participate in 2016. The multiple-year deployments
86 allow ORACLES to characterize the seasonal evolution in the single-scattering albedo and
87 loading of the offshore BB aerosol, and in aerosol-cloud interactions. Its multi-aircraft de-
88 ployment in 2016 allows for stacked aircraft flight patterns that optimize careful remote sens-
89 ing retrieval development and produce datasets for supporting future satellite instrument con-
90 stellations and designs. Airborne lidar and radar capture the aerosol-cloud vertical structure.

91 One-half of the campaign is devoted to facilitating model comparisons through survey flights
92 occurring along regular latitude-longitude lines. Remaining flights target specific assessments
93 of the direct radiative effect from BB aerosol, and changes in atmospheric stability, circula-
94 tion and cloud properties from the absorption of solar radiation by smoke. While the 2016
95 deployment will be based in Walvis Bay, Namibia, efforts will be made to survey the larger
96 Atlantic basin, potentially using auxiliary bases or overnight stops on equatorial Sao Tome
97 (6.5° E), Ascension Island, and even St. Helena Island (15°S, 5°W) throughout the three
98 years. Another separate NASA initiative will add more sun photometers and a new micro
99 pulse lidar to sites in southern Africa and St. Helena.

- 100 ● The UK CLARIFY (CLOUDS and Aerosol Radiative Impacts and Forcing: Year 2016) cam-
101 paign plan to bring the UK FAAM BAe-146 plane to Namibia in August-September 2016,
102 overlapping with ORACLES-2016. In conjunction with the UK Met Office, CLARIFY is
103 also planning to instrument St. Helena island with additional radiosondes, a Doppler lidar, a
104 passive microwave radiometer, optical particle counter. This suite would then be joined by the
105 U of Miami 94 GHz Doppler cloud radar through a DOE-NOAA-UM collaboration. CLAR-
106 IFY's goal is to improve the representation and reduce uncertainty in UK Meteorological
107 Office model estimates of the direct, semi-direct and indirect radiative effects.
- 108 ● The DOE LASIC (Layered Atlantic Smoke Interactions with Clouds;
109 <http://www.arm.gov/campaigns/amf2016lastic>) campaign deploys the ARM Mobile Fa-
110 cility 1 (AMF1) to Ascension Island from June 1, 2016 - October 31, 2017. Ascension
111 Island is located 2000 km offshore of continental Africa in the trade-wind cumulus regime
112 over near-equatorial warm waters (Fig. 1). Its deepening boundary layer, combined with the
113 subsiding aerosol layer aloft, increases the chances that smoke will be entrained into the

114 cloud layer. LASIC includes a large suite of both aerosol in-situ and remote sensors and
115 cloud remote sensors, including a lidar to fully profile the aerosol vertical structure of the
116 partially-cloudy skies and several cloud radars. Multiple radiosondes per day will provide
117 the first characterization of the diurnal cycle with and without smoke present overhead. The
118 diurnal cycle serves as one test for smoke-cloud interaction hypotheses, and is useful for
119 climate model assessments of low cloud representations. The 17-month time span overlaps
120 with two of the ORACLES deployments, robustly sampling the seasonal cycle in both aerosol
121 and cloud properties. The dual instrumentation of Ascension and St. Helena also allow for
122 an examination of the evolution of the boundary layer flow between the two islands from
123 stratocumulus to shallow cumulus, with and without the presence of BB aerosols overhead.

- 124 ● The French AEROCLO-sA (AErosol RadiatiOn and CLouds in southern Africa) is a long-
125 term collaboration with South Africa and Namibia taking aerosol column and *in-situ* mea-
126 surements at the Henties Bay Aerosol Observatory, approximately 100 km north of Walvis
127 Bay, since 2012. AEROCLO-sA will augment its observational capabilities during August-
128 September 2016 with sophisticated measurements of the aerosol chemical, physical, optical
129 and hygroscopic properties using a mobile surface station that includes two lidars. Dust is
130 the most dominant aerosol by mass over much of southern Africa, typically residing in the
131 boundary layer. The lidars will determine the relative vertical structure of both the dust and
132 smoke, to distinguish their radiative effects and potential interactions with clouds. Measure-
133 ments from the French F20 aircraft, equipped with a high-resolution lidar and based in Walvis
134 Bay to maximize international synergy, will improve polarimetric satellite retrievals of cloud
135 properties.

136 ● The Sea Earth Atmosphere Linkages Study in southern Africa (SEALS-sA) proposes to use
137 research vessel measurements to better understand the complex coastal land-atmosphere-
138 ocean coupling, in which strong northward along-shore winds upwell cold nutrient-rich wa-
139 ters into one of the most productive fisheries in the world. Inland, additional aerosol mea-
140 surements are planned to examine aerosol-fog interactions and land-atmosphere interactions,
141 building on a depth of expertise in unique arid land ecosystems. A focus on coastal fog,
142 the dominant source of moisture for life in the arid near-coastal Namib Desert, is naturally
143 complemented by the interest of other partners on low cloud processes. The international
144 scientists can mutually benefit from each other's expertise, through expanded local hands-on
145 research involvement in the Namibian-based aircraft and surface-based campaigns. These sci-
146 entific exchanges will potentially extend to visits to US and European institutions, including
147 graduate studies, and lay the groundwork for long-lasting scientific collaborations. Further
148 collaborations contemplated include summer schools on climate change modeling, remote
149 sensing and instrumentation.

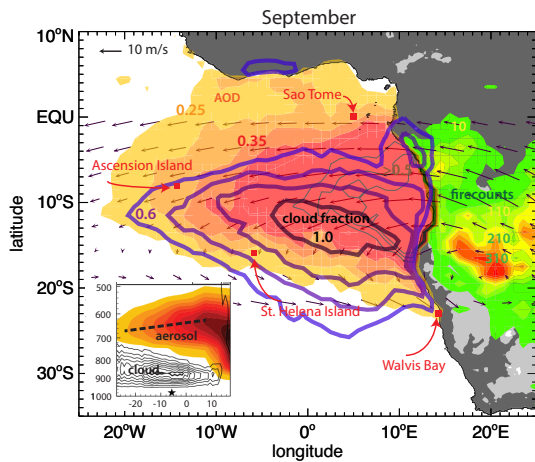
150 These active observational and modeling strategies form COLOCATE: the Clarify-Oracles-
151 Lasic-aerOClo-seAls Team Experiment. International collaboration is already apparent in the
152 combined efforts of UK and US scientists to instrument St. Helena Island. A significant aspect of
153 field experiments is their ability to focus attention on specific scientific problems. Pre-deployment
154 modeling and analysis of existing satellite datasets combined with reanalysis are valuable in their
155 own right and sharpen the driving hypotheses. The representation of absorbing aerosol in climate
156 models was first treated explicitly in the Intergovernmental Panel on Climate Change (IPCC) 2001
157 assessment, then subsumed in the IPCC 2007 assessment with all other aerosol, but is now ex-
158 plicitly recognized again as an important constraint on climate model behavior. The local direct

159 radiative forcing over the southeast Atlantic is much stronger than the global mean. The focus
160 on southeast Atlantic reflects a larger consensus within the research community that absorbing
161 aerosol's impact on climate must be better understood. Significant progress can now be made in a
162 five-year time frame, and other related initiatives will very likely augment those already planned
163 in the near future. We encourage further initiatives for becoming involved, for example through
164 DOE's guest instrumentation program. The opportunity for complementary science over the re-
165 mote Atlantic exists until October, 2018, the date for ORACLES' last deployment, and extend
166 much longer within Namibia. The airfield at Sao Tome provides an excellent base from which to
167 access the main continental aerosol outflow plume. Additionally, St. Helena Island will acquire
168 its first-ever airfield in the spring of 2016, providing a potential new aircraft deployment base
169 strategically located in the remote stratocumulus region. We are anxious to hear from others with
170 complementary interests.

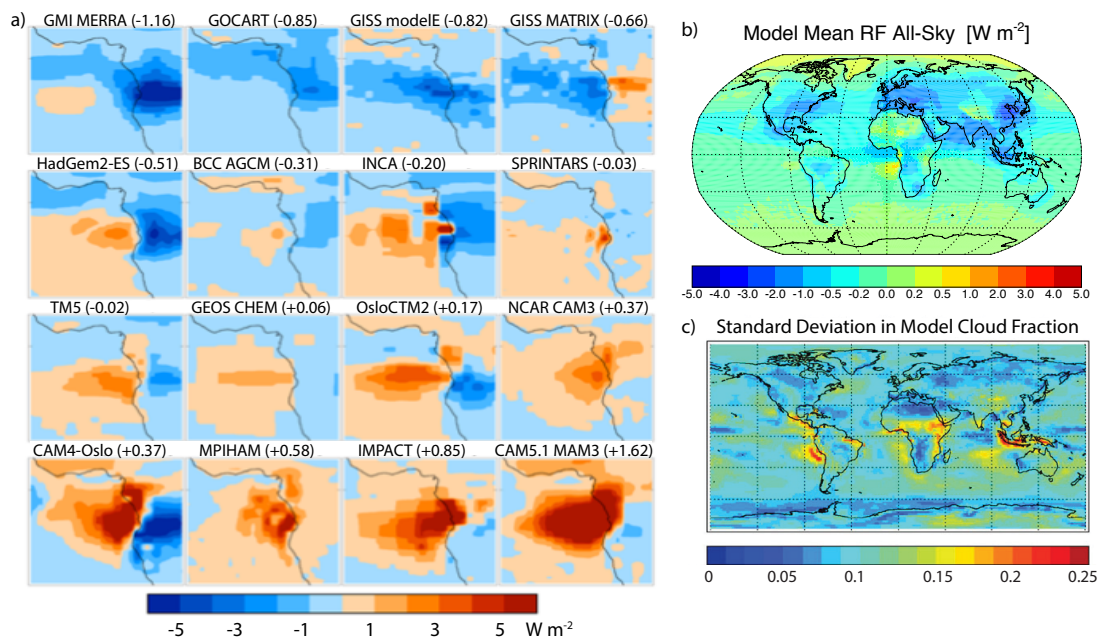
171 *Acknowledgments.* ORACLES is funded by NASA Earth Ventures Suborbital-2 grant
172 NNX15AF98G. The planning for LASIC is funded through DOE grant DE-SC0013720.
173 CLARIFY-2016 is funded by the Natural Environment Research Council project, grant code
174 NE/L013797/1. AEROCLO-sA is funded by the French Agence National de la Recherche (ANR)
175 under contract ANR-15-CE01-0014, the French national programs LEFE/INSU and LEFE/PNTS,
176 and the Centre National des Etudes Spatiales (CNES).

177 **LIST OF FIGURES**

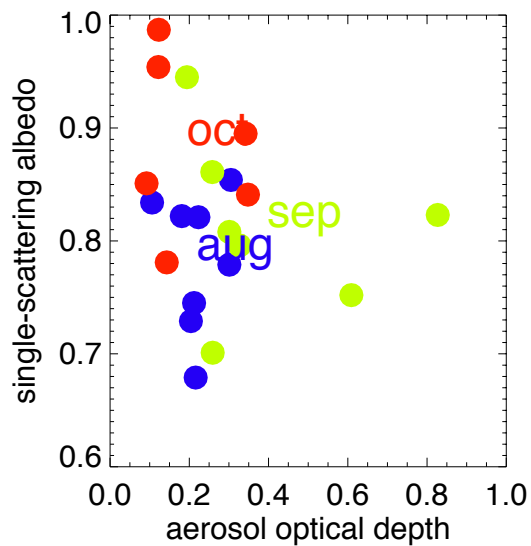
- 178 **Fig. 1.** During September, 600 hPa winds escort the biomass burning aerosol (optical depth in warm
179 colors) emanating from fires in continental Africa (green to red, 50 to 310 firecounts per 1°
180 box) westward over the entire south Atlantic stratocumulus deck (cloud fraction in blue
181 contours). The inset, a 4°E-7°E latitude slice, highlights the subsiding aerosol layer and
182 deepening cloudy boundary layer further offshore, increasing opportunity for direct smoke-
183 cloud interactions. Main figure is based on MODIS 2002-2012 data and the ERA-Interim
184 Reanalysis, inset is based on the space-based Cloud Aerosol Lidar with Orthogonal Polar-
185 ization (CALIOP) and CloudSat 2006-2010 data. Henties Bay is approximately 100 km
186 north of Walvis Bay, other main deployment sites and Sao Tome are indicated. 12
- 187 **Fig. 2.** Modeled August-September direct aerosol radiative forcing in a) individual AeroCom mod-
188 els ordered by their regional- and annual-average difference from the b) ensemble-mean
189 indicating the regional hotspot for biomass-burning aerosol forcing over the southeast At-
190 lantic. c) indicates the large diversity in the models' cloud fraction. The latter also helps
191 determine if the aerosol shortwave absorption influences the climate more than the aerosol
192 scattering. More model details can be found in Myrhe et al., 2013, Atmos. Chem. Phys. 13
- 193 **Fig. 3.** Single-scattering albedo versus daily-mean aerosol optical depth at Ascension Island, us-
194 ing all available daily-mean AERONET (Aerosol Robotic Network) values from August
195 (blue), September (green) and October (red) spanning 2000 through 2013. Single-scattering
196 albedo values (Level 1.5) are only available for these 21 days out of the 398 days with
197 daily-averaged aerosol optical depths. Month names indicate the monthly-mean values. 14
- 198 **Fig. 4.** Space-based CALIOP lidar curtains highlight the prevalence of smoke above the southeast
199 Atlantic stratocumulus deck on a typical September day, with broad arrows indicating the
200 prevailing boundary layer flow (white) and a major recirculation pattern for the BB aerosol
201 (dark yellow). The four aircraft of the ORACLES, CLARIFY and AEROCLO-SA cam-
202 paigns are shown along with the surface-based deployments at Ascension Island, St. Helena
203 Island, and Henties Bay. "A" on small white circles indicate AERONET sites. 15



204 FIG. 1. During September, 600 hPa winds escort the biomass burning aerosol (optical depth in warm colors)
 205 emanating from fires in continental Africa (green to red, 50 to 310 firecounts per 1° box) westward over the
 206 entire south Atlantic stratocumulus deck (cloud fraction in blue contours). The inset, a 4°E - 7°E latitude slice,
 207 highlights the subsiding aerosol layer and deepening cloudy boundary layer further offshore, increasing opportu-
 208 nity for direct smoke-cloud interactions. Main figure is based on MODIS 2002-2012 data and the ERA-Interim
 209 Reanalysis, inset is based on the space-based Cloud Aerosol Lidar with Orthogonal Polarization (CALIOP) and
 210 CloudSat 2006-2010 data. Henties Bay is approximately 100 km north of Walvis Bay, other main deployment
 211 sites and Sao Tome are indicated.



212 FIG. 2. Modeled August-September direct aerosol radiative forcing in a) individual AeroCom models ordered
 213 by their regional- and annual-average difference from the b) ensemble-mean indicating the regional hotspot for
 214 biomass-burning aerosol forcing over the southeast Atlantic. c) indicates the large diversity in the models' cloud
 215 fraction. The latter also helps determine if the aerosol shortwave absorption influences the climate more than
 216 the aerosol scattering. More model details can be found in Myrhe et al., 2013, Atmos. Chem. Phys.



217 FIG. 3. Single-scattering albedo versus daily-mean aerosol optical depth at Ascension Island, using all avail-
 218 able daily-mean AERONET (Aerosol Robotic Network) values from August (blue), September (green) and Oc-
 219 tober (red) spanning 2000 through 2013. Single-scattering albedo values (Level 1.5) are only available for these
 220 21 days out of the 398 days with daily-averaged aerosol optical depths. Month names indicate the monthly-mean
 221 values.



222 FIG. 4. Space-based CALIOP lidar curtains highlight the prevalence of smoke above the southeast Atlantic
 223 stratocumulus deck on a typical September day, with broad arrows indicating the prevailing boundary layer flow
 224 (white) and a major recirculation pattern for the BB aerosol (dark yellow). The four aircraft of the ORACLES,
 225 CLARIFY and AEROCLO-SA campaigns are shown along with the surface-based deployments at Ascension
 226 Island, St. Helena Island, and Henties Bay. "A" on small white circles indicate AERONET sites.