1 Application of an integrated geotechnical and topographic monitoring system in the Lorano

| 2 | marble o | uarry (A | Apuan A | lps. Italy) |
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33 Keywords: Marble quarry; Slope stability; Rock buttress; Monitoring system; Robotic total station;
34 Displacement analysis.

35 1. Introduction

36 The use of monitoring systems to assess and predict geological hazards, especially rockfall, and 37 correctly plan future excavation activities is becoming an established practice to protect quarry 38 workers. However, the deployment of an adequate monitoring system is often impossible due to a 39 lack of scientific experience and funding. In addition, instrumental monitoring may not be feasible 40 unless only a small area is examined for specific purposes (Wieczorek and Snyder, 2009). 41 The impact of human factors on slope stability has been indicated for the Vajont rockslide, Italy 42 (Semenza, 1965), and other events that have recently occurred all over the world (e.g., Griffiths et 43 al., 2004; Sarwar, 2008; Robbins et al., 2013; Pankow et al., 2014). A monitoring system for early-44 warning of rock failure needs to consider this aspect. There are different types of monitoring 45 systems with varied accuracy, invasiveness, field of view, distance range and cost. There is no 46 universal monitoring system because of different geological, morphological, physical or human 47 factors among sites (Wieczorek and Snyder, 2009). 48 Slope stability studies may be complex and even hazardous to undertake in certain environments 49 such as quarries with tall walls. The problems can be overcome by using remote sensing techniques 50 like digital terrestrial photogrammetry (DTP) and terrestrial laser scanning (TLS) (Sturzenegger and 51 Stead, 2009; Firpo et al., 2011; Fekete and Diederichs, 2013; Salvini et al., 2013, 2014a, b), this 52 provides a basis for selecting and installing appropriate monitoring systems. Geotechnical 53 monitoring systems such as extensioneters, crackmeters and clinometers have also been 54 successfully integrated with topographic instruments such as ground-based InSAR (interferometric 55 synthetic aperture radar) (e.g., Schulz et al., 2012; Kristensen et al., 2013), TLS (e.g., Aryal, 2013; 56 Teza et al., 2014), GPS (global positioning system) (e.g., Liu et al., 2004; Gigli et al., 2011; Kenner

et al., 2014), and total station (e.g., Kuhlmann and Glaser, 2002; Tsai et al., 2011; Giordan et al.,
2013).

59 The present study deals with a quarry in the Apuan Alps marble district (Fig. 1), which is 60 characterized by several artificial high walls often located at the bottom of natural slopes with 61 complex morphologies. In this context, it is important to characterise and reduce geological risk for 62 the safety of the workforce. This study conducted engineering geological surveys in accessible areas 63 and used remote sensing techniques (DTP and TLS) in inaccessible areas (Salvini et al. 2014a, b). 64 An integrated monitoring system with three components was installed, comprising: a terrestrial 65 interferometer operated by "La Sapienza" University of Rome from July to December 2012, a 66 geotechnical system operated by USL1 of Massa and Carrara and "Cooperativa Cavatori Lorano" 67 since July 2012, and a robotic total station (RTS) run by the University of Siena since November 68 2012 (Salvini et al. 2014c). This paper describes the monitoring systems and analyses the findings, 69 focusing on data collected by the RTS.

70 2. Geographical and geological setting

The Lorano quarry is located in the Province of Massa and Carrara, northwestern Tuscany (Italy).
In 1997, a rockfall occurred in the quarry resulting in an interruption to excavation activities for a
few weeks. Remediation works were subsequently carried out, with the aim of ensuring safe
conditions in the quarry, and a marble buttress accessible from three sides was emplaced (Fig. 1).
Due to the ongoing quarrying activity at present the buttress is about 150 m high, 30 m wide and 40
m thick.

77 The quarry is located in a fold-and-thrust belt of the Northern Apennines, derived from the Tertiary

collision (66 Ma) between the Sardinia-Corsica block and the Adria plate (Boccaletti et al., 1971;

79 Scandone, 1979; Dercourt et al., 1986). With the closure of the Ligurian sector of the Ligurian-

80 Piemontese ocean, the Ligurian and sub-Ligurian accretionary wedge was thrust above the external

| 81 | Tuscan and Umbria-Marche domains (Elter, 1975; Marroni et al., 2010). The Apuan Alps |
|-----|--|
| 82 | metamorphic complex (Fig. 2), first described by Zaccagna (1932), represents one of the deepest |
| 83 | structural levels in the inner portion of the orogenic belt and consists of two main tectono- |
| 84 | metamorphic units, the Massa and Apuan. The Massa Unit is well exposed in the westernmost part |
| 85 | of the Apuan Alps, represented by a Paleozoic basement and an Upper Permian-Upper Triassic |
| 86 | sedimentary succession. The quarry is located in the Apuan Unit, made up of the Paleozoic |
| 87 | basement unconformably overlain by the Upper Triassic-Oligocene metasedimentary sequence. |
| 88 | The basement is exposed in large outcrops, composed of the Upper Cambrian-Lower Ordovician |
| 89 | phyllites and quarzites with intercalated mafic volcanic rocks; Middle Ordovician metavolcanics |
| 90 | and metavolcanoclastics; Upper Ordovician quartzic metasandstones and phyllites; Silurian black |
| 91 | phyllites and Orthoceras-bearing metadolostones; and Lower Devonian calcschists (Gattiglio et al., |
| 92 | 1989; Conti et al., 1993). The basement rocks recorded pre-Alpine deformation and greenschist- |
| 93 | facies metamorphism. The Mesozoic cover-rocks include thin Triassic continental to shallow |
| 94 | marine Verrucano-like deposits followed by Upper Triassic-Liassic carbonate platform |
| 95 | metasediments comprised of dolomites and marbles; and Upper Liassic-Lower Cretaceous cherty |
| 96 | metalimestones, radiolarian cherts and calcschists (Conti et al., 2004). |
| 97 | The two major tectonic events of the Apuan Alps, D1 and D2, were identified in the metamorphic |
| 98 | complex (Carmignani et al., 1980; Carmignani and Kligfield, 1990). The D1 phase is related to |
| 99 | ductile compression due to the continental collision between the Sardinia-Corsica block and the |
| 100 | Adria plate. Deformation structures generated by the compression are easily identified in the |
| 101 | northern Apuan Alps, including kilometric thrusts, isoclinal folds, regional greenschist foliations |
| 102 | (S_1) that often completely transpose the original stratification, and SW–NE oriented stretching |
| 103 | lineations (L1) interpreted as the main transport direction of the inner Northern Apennines |
| 104 | (Carmignani et al., 1978; Molli, 2008). The S_1 schistosity is parallel to the axial plane of the |
| 105 | isoclinal folds, with rotated hinges that produce sheath folds having axial planes sub-parallel to L_1 |
| 106 | (Carmignani et al., 1993). |

107 The D2 deformation phase was mainly an extensional ductile event that led to isostatic re-108 equilibration and progressive unroofing and exhumation of the metamorphic units (Carmignani and 109 Kligfield, 1990). The structures of the D1 phase are overprinted by different generations of shear 110 zones and folds with a generally low-dipping to sub-horizontal S₂ schistosity (Carmignani and 111 Giglia, 1975, 1977; Pertusati et al., 1977; Carmignani et al., 1991). According to Carmignani et al. 112 (1978), Carmignani and Giglia (1979) and Carmignani and Kligfield (1990), extension of the 113 metamorphic complex generated a complex mega-antiform with an Apennine-trending axis (NW-114 SE). Non-cylindrical parasitic folds characterized by sub-horizontal axial planes with transport 115 direction to the E and W were identified respectively on the eastern and western limbs of the 116 antiform. During the final stages of the D2 phase, ductile deformation was replaced by the 117 development of brittle structures (low- and high-angle faults and joint systems) contemporary with 118 the final exhumation and uplift of the metamorphic units in the framework of the late- to post-119 orogenic regional extension of the inner portion of the Northern Apennines (Ottria and Molli, 2000; 120 Molli et al., 2010). 121 In this geological context (Fig. 2), the monitored marble quarry is located in the normal limb of the 122 "Pianza anticline" that, together with the "Vallini syncline", represents an antiform-synform pair 123 with core of Jurassic marbles and cherty meta-limenstone. They are minor folds (hectometre-scale) 124 between two D1 structures known as "Carrara syncline" and "Vinca anticline" located to the NW

125 and SE, respectively (Molli and Meccheri, 2012).

126 Most of the quarried marble belongs to the White Marble Group, characterized by homogeneous

127 marbles of medium-fine grain size (about 100-200 µm) and colours ranging from white to ivory-

128 white and from pearl-white to light grey (Ente Regionale Toscano di Assistenza Tecnica e

129 Gestionale - ERTAG, 1980). Also present in the quarry is Ordinary Marble (Meccheri, 1996), with

130 a medium grain size (about 200 μ m) and colours ranging from pearl-white to light grey.

131 Microcrystalline pyrite may form centimetric grey spots and rare light- to dark-grey irregular veins.

132 Two other subordinate types of marble in the quarry are *Veined Grey Marble* and *Breached Marble*133 (Carmignani et al., 2007).

The quarry area is characterized by a typical Mediterranean climate with hot, dry summers and cold, wet winters. Precipitation is abundant (over 3000 mm yr⁻¹) with a primary rainfall peak in autumn and two secondary peaks in winter and spring (D'Amato Avanzi et al., 2004). During winter, severe cold waves can drive temperatures to -20° C (Sassolini, 2012).

138 **3.** Methods

139 3.1 Engineering geological surveys

140 Engineering geological surveys were carried out to characterize the geomechanical properties of 141 discontinuities. The first survey was carried out in accessible areas using traditional scan-line 142 mapping techniques. About 100 discontinuities more than 10 m in length were identified in seven 143 scan lines. Collected data were compared with those by Profeti and Cella (2010) for the same area 144 and integrated with DTP and TLS data in inaccessible zones (Salvini et al., 2014b). The attitude of 145 301 joints was calculated manually by creating patches that best fit the identified discontinuity planes in the point cloud produced from TLS and extracting their orientation using the LeicaTM 146 147 Cyclone software. In addition, a total of 236 discontinuities were manually identified from 148 stereoscopic photos from an unmanned aerial vehicle (UAV). DTP analysis within the StereoAnalyst module of ERDASTM IMAGINE allowed us to identify joints and to represent them 149 150 by coplanar triangles whose attitudes were calculated using spatial analysis techniques (Salvini et 151 al., 2013).

On the basis of engineering geological surveys and data from ERTAG (1980), a kinematic stability
analysis of the buttress was carried out using the Markland test (Markland, 1972). Testing was

154 undertaken in order to identify potential failures and the most suitable sensor positions for the

- 155 geotechnical and RTS monitoring systems. The tests for planar sliding, wedge sliding, and direct
- 156 toppling were conducted for every accessible face of the buttress, in particular:

157 1) Southern side of the buttress – strike/dip N80°SE/80°;

158 2) Eastern side of the buttress – strike/dip N170°E/vertical;

- 159 3) Western side of the buttress strike/dip N160°E/vertical.
- 160

161 *3.2 Monitoring systems*

162 3.2.1 Geotechnical system

163 The geotechnical monitoring system was the first installed on the buttress and it has been run by 164 "Cooperativa Cavatori Lorano" and USL1 of Massa and Carrara. It consists of four multipoint 165 borehole extensioneters (three bases, the deepest of which is placed at a depth of 30 m), 12 166 monoaxial mechanical crackmeters, one three-directional crackmeter, and two biaxial clinometers 167 (examples are shown in Fig. 3). The technical specifications of the sensors indicate that the 168 accuracy of monoaxial crackmeters and of extension measuring either up to 25 or 50 mm 169 range, is respectively 0.025 and 0.05 mm. The three-directional crackmeter can measure 170 displacements of up to 50 mm and has a resolution of 0.1 mm, with a specified precision better than 171 0.5% of full scale, corresponding to 0.25 mm. Clinometers have a resolution of 0.001% of the full 172 scale, with a declared precision better than $\pm 0.04^{\circ}$. The data are registered by an electronic control 173 unit every 2 hours. This system has been operative since July 27, 2012, providing high temporal 174 frequency deformation trends to be compared with seasonal variations in the climatological data 175 (rainfall and temperature).

176

177 3.2.2 Topographic systems

As specified earlier, the topographic monitoring system consists of an RTS and a ground-based
InSAR. Results from the latter are not reported in this paper since, up to now, it was operative for
only 6 months and overlapped with other monitoring systems in November 2012 alone. The RTS

| 181 | monitoring system consists of a Leica TM TCA2003 instrument placed approximately 300 m from |
|-----|--|
| 182 | the buttress, protected by a metallic cage with anti-aberration glasses. This system was employed |
| 183 | because it allows the automatic measurement of angles in both azimuth and zenith directions using |
| 184 | an electronic theodolite, and distances of visible prisms through a laser infrared distancemeter. Data |
| 185 | are collected and sent to a remote PC through an ADSL telephone line. Software packages |
| 186 | (GeoMoS Monitor from Leica TM , Analysis from Geodesia TM , and System Anywhere from |
| 187 | Geodesia TM) subsequently process the data and produce instantaneous time-displacement graphs. |
| 188 | The instrument complies with ISO-17123 and the maximum range is about 1,000 m. The declared |
| 189 | range accuracy (RA) is \pm 0.5 mm up to 100 m, while for longer distance RA is approximately \pm 1 |
| 190 | mm plus 1 ppm/Km (parts per million per Km). |
| 191 | The instrumental angular accuracy (AA) of TCA2003 is 0.000135° and complies with DIN-18723. |
| 192 | The accuracy of the reading at each prism is dependent on the slope distance from the RTS and is |
| 193 | calculated as follows: |
| 194 | |
| 195 | $AA = \tan 0.000135^{\circ} * slope \ distance \tag{1}$ |
| 196 | |

197 Total accuracy (TA) at each prism =

198
$$\sqrt{RA^2 + AA_{azimuth}^2 + AA_{zenith}^2}$$
 (2)

199

The positioning of the prisms on the buttress took into account findings from the engineering geological surveys and the location of the geotechnical sensors. The RTS is installed in an external stable site (Fig. 4) and automatically takes measurements of 24 prisms fixed to the rock mass by spit anchors. Twenty prisms were placed on the buttress (approximately 300 m from the RTS), most of them located near the geotechnical instruments (Fig. 5), at the hanging wall and footwall of the 205 main discontinuities. Four additional prisms serving as reference points were placed in stable
206 external areas, at a distance ranging from 30 to 430 m.

207 The selection of the four reference points was critical to obtain accurate results. A thorough 208 geomorphological and structural study was therefore carried out to identify suitable reference point 209 locations. Such points are required to discern relative and absolute displacements; without them, 210 and without proper system calibration, the reliability of results is compromised. Table 1 summarizes 211 the expected accuracy for each prism and its slope distance from the RTS. 212 Measurement cycles are performed every day at 0:00, 06:00, 12:00 and 18:00 hours. Multiple 213 measurements are taken at every point in order to have a qualitative control of standard deviations. 214 The stability of the RTS was verified during the first few months of data collection through 215 statistical analysis. This enabled processing of multi-temporal data based only on the geometric 216 factors of orientation and scale. The described configuration of the RTS monitoring system, 217 together with the geotechnical one, allowed daily control of the behavior of the buttress and the 218 acquisition of sufficient data. 219 All 24 prisms were georeferenced in absolute coordinates (UTM-WGS84 Zone 32N) using a 220 differential GPS survey. GPS observations with a static measurement of more than 3 hours were 221 post-processed using differential methods and records from the two nearest permanent GPS stations 222 (La Spezia and Lucca). The orthometric height was calculated in collaboration with the Italian 223 Military Geographic Institute. Consequently the RTS position was calculated with an accuracy of 224 about 1.0 cm. Because the position of the RTS has remained stable since the system became 225 operative (November 26, 2012), measurements of the prisms and their possible displacements are 226 referred to these coordinates. After a literature review (Lavine et al., 2003; Giordan et al., 2013) and 227 other RTS references, the slope distance parameter was selected to illustrate the obtained results. 228 This parameter presents two major advantages over the others: it can be interpreted intuitively and 229 is theoretically accurate because angular measurements are not included.

230 **4. Results**

231 4.1 Joint characterization

232 Data processing highlighted the following four sets of discontinuities describing the current state of

- the buttress (Fig. 6): S1 SW dipping with average dip of about 50°; K1 SE dipping, sub-vertical;
- K2 NE dipping with average dip of about 50°; and K3 SW dipping, sub-vertical.
- 235 The K1, K2, and K3 systems are characterized by metric spacing, millimetric to centimetric
- apertures, moderate roughness and no infill (Salvini et al., 2014b). According to the Rock Mass
- 237 Rating (RMR Bieniawski, 1989) the rock mass is of good quality (basic RMR or $RMR_b = 76$).
- 238 The identified joint systems can be related to the deformational history of the area. The S1 system,

for example, is clearly linked to an axial plane schistosity (S_1) , resulting from D1 phase ductile

240 deformation. The K1, K2, and K3 discontinuity systems, instead, are linked to the late stage of the

241 D2 event characterized by the development of brittle structures.

According to Carmignani et al. (2002), the Carrara marble district is characterized by three main

243 systems of discontinuity. The first system, corresponding to K3 of the present study, is often

244 pervasive and almost parallel to S₁; it ranges in direction from N120°E to N150°E and dips steeply

to the SW. The second system, corresponding to K1, shows an average anti-Apennine direction

ranging from N20–30°E to N80–90°E and a general vertical inclination or sub-vertical (dipping up

247 to $50-60^{\circ}$ both to the NW and the SE). The third system, corresponding to K2 of the present study,

shows a direction similar to K3 but with a medium-high dip generally to the E and NE.

249 Ottria and Molli (2000) describe how the mentioned discontinuities can locally evolve into faults

250 with moderated offset. Their paper confirms the complexity of the geological setting, describing a

251 polyphased brittle evolution with two main stages of deformation, DS1 and DS2. The DS1 event

252 was mainly responsible for the development of strike-slip and normal faults related to tensors with

- 253 horizontal E–W σ 3 axes, and σ 1/ σ 2 axes permutations due to trans-extensional tectonics. This is
- congruent with striae (azimuth/plunge 350°/70°) identified by the authors on an important K3

255 fracture surface of the quarry wall. The DS2 event may be related to an extensional stress regime

256 characterized by poorly constrained σ 3 axes; this event produced NE–SW trending normal faults, in

agreement with striae (azimuth/plunge 220°/55°) on a K1 discontinuity in the quarry wall. Ottria

and Molli (2000) constrained the timing of DS1 and DS2 phase brittle fracturing to between the

259 Late Pliocene and the Middle Pleistocene, during the final stages of the Apuan uplift.

260

261 4.2 Kinematic stability analysis

A discontinuity friction angle of 35° was used in the analysis neglecting a cohesion contribution: this agrees with data in the literature (Chang et al., 1996, Perazzelli et al., 2009) and previous studies carried out by quarry's advisors (Profeti and Cella, 2010). Table 2 shows the potential failures identified through kinematic stability analysis (examples are shown in Fig. 7).

266 The installation of the monitoring systems was based on results from the kinematic stability

analysis. The dynamic analysis of forces and the computation of the safety factors is described inSalvini et al. (2014a).

269

270 *4.3 Geotechnical monitoring system*

To date (March 2014), the analysis of data from the geotechnical monitoring system has revealed no critical situations on the buttress. Fig. 8 shows, as an example, the trends relative to base 1 of extensometer 4 (ES4) and crackmeter 4 (FS4), with maximum displacement values from -0.5 to

274 +1.5 mm.

Although the limited displacements confirm the general stability of the monitored sites, there is a general sinusoidal trend that may be attributed to thermal expansion in summer. Crackmeter data clearly indicate that thermal expansion tends to close fractures while the extensometer data from about 10 m deep show that expansion in winter due to water and ice within fractures is greater than that in summer.

281 4.4 Topographic monitoring system

282 Figs. 9 to 12 illustrate the data acquired by the RTS from December 2012 to February 2014. Even in 283 this case, although instrumental accuracy is lower than that of the geotechnical system because of 284 the measurement range, there is a sinusoidal trend similar to the one discussed above. For example, 285 Fig. 9 highlights the correlation between P4 prism displacements and temperature variations, 286 confirming the high thermal susceptibility of the external face of the rock mass. In the summer of 287 2013, average temperatures reached 27°C with a peak up to 38°C (MeteoApuane, 2015); such high 288 temperatures reduced the slope distance between the RTS and prisms. At the beginning of the cold 289 season there is a change of inflection due to the gradual movement of prisms away from the RTS. 290 In addition to the general trend, the figures show numerous anomalous displacement peaks towards 291 the RTS that in some cases exceed instrumental tolerance. In this case, there is a direct correlation 292 between major rainfall events and peaks registered by the RTS (e.g., Fig. 10). This phenomenon 293 concerns all the prisms installed on the buttress and likely affects the entire rock mass. The 294 displacement of prisms generally appears 1-2 days after rainfall and disappears in as many days 295 without leaving residuals. 296 The presence of such anomalous peaks, which can reach up to 2 mm, was observed during both the 297 first and second cold seasons. In contrast, no similar anomalies were recorded during the only

warm season analysed to date (summer of 2013). Note that none of the reference prisms installed

outside the buttress (neither the closest, R2, nor the farthest, R4, approximately 30 m and 430 m

300 respectively from the RTS - Fig.11) show such anomalous displacements. Furthermore, doing the

301 dynamic analysis using all the prisms but with none assigned as reference points, does not change

302 the trend of displacement of processed prisms.

303 Fig. 12 shows a comparison between the diagram of prism G19 and the extensometer ES4, whose

deepest base (base 3) is located 30 m inside the slope, and ES3 (base 1) in the buttress.

305

306 4.4.1 Displacement vectors

307 In order to verify the displacement entity and direction of each prism, the absolute coordinates of 308 the prisms on different dates were converted to vectors. Fig. 13 shows the displacement vectors and 309 relative error ellipses for the period December 2012 – December 2013. The final coordinates of 310 prisms are calculated as an average of 10-day measurements to avoid anomalous daily responses 311 due to rain, haze, etc.; ellipses were calculated based on instrumental tolerance and prism distances 312 from the RTS (see Table 1 for details). 313 The annual displacement vectors indicate that the behavior of the rock mass may not be completely 314 elastic. The moduli vary between 2 and 3 mm, with peaks of 6–7 mm for prisms P8, G13 and G19, 315 and have S–SW directions of displacement. Only three out of 20 prisms (G20, G18 and P10) 316 diverge considerably from this direction. This difference can be explained by local multi-directional 317 movements caused by fracturing. However, note that the moduli of the latter three vectors do not 318 exceed instrumental tolerances and are therefore considered unreliable. The displacement directions 319 of all other prisms are often concordant and the moduli often exceed instrumental accuracy. 320 The analysis of the prism movement after a single rainfall event confirms that displacement vectors 321 for the maximum peak have an S-SW direction. For example, Fig. 14 shows the displacement 322 vectors for three prisms (P4, G14 and G20). The same direction of movement is then confirmed also 323 after single rainfall events, without exceeding instrumental tolerances.

324 **5.** Discussion

The results of the present paper highlight two main aspects: the response of the buttress to the temperature variations and the rainfall events, and the entity and direction of prisms displacement vectors. The trend wave recorded by all the analysed monitoring systems, related to temperature variations, can be associated with the properties of marble subject to thermoclasty, whose behavior can be linked to the contraction or elongation of calcite determined by crystallographic axes (Siegesmund et al., 2000; Malaga-Starzec et al., 2002). Different climate conditions may affect

diversely the response of some prisms; for example, winter 2013 – 2014 was characterized by
average temperatures about 2°C higher than the previous year and some prisms showed residual
displacement at the end of the analysed period (e,g., Figs. 9, 10, and 12). Nevertheless, further
studies covering more seasonal cycles are necessary to verify whether the behavior of the rock mass
is elastic or inelastic.

336 Concerning the anomalous peaks of displacement during major rainfall events, the influence of 337 atmospheric conditions on RTS measurements has already been addressed in the literature. Afeni 338 and Cawood (2013) illustrate how rainfall events combined with low visibility due to haze can lead 339 to errors in measurement. In the present study, however, the anomalous measurements persist 340 several hours to 2 days after the rainfall and in sunny weather. Moreover, the absence of anomalous 341 peaks in the charts of reference prisms reasonably excludes errors due to adverse atmospheric 342 conditions. Nevertheless, the differences between the diagrams for prisms measured by the RTS and 343 the geotechnical sensors in the same area lead to two considerations. First, the registered peaks of 344 displacement could be linked to the overall behavior of the structure, irrespective of individual 345 discontinuities; only the RTS can record this behavior because of the availability of data from the 346 four reference prisms outside the buttress and the slope. Second, water may infiltrate deep in the 347 mountain and neither the deepest extensometer ES4 in the slope, nor ES3 in the buttress, can 348 register it entirety. The geotechnical sensors may record only relative movements, not absolute 349 displacements, because they move integrally with the buttress. Therefore, data processing in 350 relation to the four stable external reference points was essential. 351 Sensitivity to rainfall events may be due to a set of NE–SW trending pseudo-vertical discontinuities 352 intersecting the rock mass on top of and at the back of the buttress (Fig. 15). During relevant rainfall 353 events, water infiltrated in discontinuities can cause a pressure that may dilate joints. Note that 354 recovery after rainfall appears to be elastic, although a longer series of data is needed to adequately

355 investigate this.

356 We hypothesize that the observed S–SW displacement recorded by the prisms on the buttress can be 357 connected to a stress field favored by the jointed morphology of the back slope of the buttress, 358 detensioning due to ongoing excavation activity, and extensional stresses toward SW due to the 359 geological uplift of the entire Apuan core complex (Ottria and Molli, 2010). Despite the short 360 monitoring period to discuss the elastic/inelastic behavior of the rock mass, newly formed brittle 361 fractures on the buttress support the above inference. Fig. 16 shows an example of brittle fractures 362 at the toe of the buttress. The attitude of the newly formed fractures (Dip Direction/Dip $19^{\circ}/85^{\circ}$ – 363 K4 in Salvini et al., 2014b) agrees with the presumed tensional stress field. However, this 364 consideration is still hypothetical and it has to be confirmed by in-situ stress measurements in the 365 future.

366 6. Conclusions

367 Safety in quarries and the risk of slope instability is a complex matter, especially in a dynamic 368 environment where anthropogenic perturbations may induce geomorphological hazards. In a quarry, 369 an adequate monitoring system is very important for preventing such hazards. The monitoring 370 system installed at the "Lorano" marble quarry is an example of a modern, integrated system 371 comprising traditional geotechnical instruments, a robotic total station and, for a brief period, a 372 terrestrial interferometer. The identification of the location and type of slope instability is important, 373 therefore we conducted engineering geological surveys, photointerpretation of UAV images and 374 kinematic stability analyses. 375 This research demonstrates a fourteen month analysis of system data and findings. A longer period 376 of time must elapse and in situ stress measurements must be made to gain a more complete 377 understanding of the behavior of the buttress under study. To date, findings indicate that the slope is 378 generally stable, no rock fall has occurred, and that safety limits have never been exceeded, not 379 even in the few potentially critical areas. However, data highlighted a general sinusoidal trend

380 possibly linked to structural responses to seasonal temperature variations. The robotic total station 381 also recorded an elastic response of the buttress after major rainfall events although the geotechnical 382 sensors did not detect this because they are only sensitive to relative movements, not the absolute 383 displacements of the entire structure. The absence of anomalous responses of the geotechnical 384 sensors after the major rainfall events indicates that the recorded displacements are not linked to 385 water circulation within minor fractures in the pillar. Although the geotechnical monitoring system 386 has a higher accuracy, only the RTS system provides a complete picture of the buttress deformation. 387 Therefore, the use of external reference prisms turned out to be appropriate; they were used to 388 exclude errors due to atmospheric interference and to assess the displacements of the buttress that 389 would otherwise have been undetected without very deep borehole extensometers. The direction of 390 prism displacement both during the entire investigated period and after intense rainfalls, as well as 391 the presence of newly formed brittle fractures, suggests a tensional stress field with NE-SW 392 extension. These observations confirm the importance of integrating different monitoring systems to 393 provide indications at different spatial scales.

394

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618 Tables

619 Table 1. Range and the expected angular and total accuracy (acc.) of measurement for each prism.

| Prism | Slope distance (m) | Range acc. (± mm) | Angular acc. (±°) | Angular acc. (± mm) | Total acc. (± mm) |
|-------|--------------------|-------------------|-------------------|---------------------|-------------------|
| G1 | 301 | 1.20 | 0.000135 | 0.72 | 1.58 |
| G2 | 305 | 1.20 | 0.000135 | 0.73 | 1.59 |
| G13 | 300 | 1.20 | 0.000135 | 0.72 | 1.58 |
| G14 | 298 | 1.20 | 0.000135 | 0.72 | 1.57 |
| G15 | 295 | 1.19 | 0.000135 | 0.71 | 1.56 |
| G16 | 292 | 1.19 | 0.000135 | 0.70 | 1.55 |
| G17 | 295 | 1.19 | 0.000135 | 0.71 | 1.56 |
| G18 | 294 | 1.19 | 0.000135 | 0.71 | 1.55 |
| G19 | 329 | 1.20 | 0.000135 | 0.79 | 1.64 |
| G20 | 312 | 1.20 | 0.000135 | 0.75 | 1.60 |
| P3 | 290 | 1.19 | 0.000135 | 0.70 | 1.55 |
| P4 | 289 | 1.18 | 0.000135 | 0.70 | 1.54 |
| P5 | 286 | 1.18 | 0.000135 | 0.69 | 1.53 |
| P6 | 285 | 1.18 | 0.000135 | 0.69 | 1.53 |
| P7 | 293 | 1.19 | 0.000135 | 0.71 | 1.55 |
| P8 | 294 | 1.19 | 0.000135 | 0.71 | 1.56 |
| P9 | 282 | 1.18 | 0.000135 | 0.68 | 1.52 |
| P10 | 283 | 1.18 | 0.000135 | 0.68 | 1.52 |
| P11 | 280 | 1.18 | 0.000135 | 0.68 | 1.52 |
| P12 | 282 | 1.18 | 0.000135 | 0.68 | 1.52 |
| R1 | 111 | 1.01 | 0.000135 | 0.27 | 1.08 |
| R2 | 34 | 0.54 | 0.000135 | 0.08 | 0.55 |
| R3 | 320 | 1.20 | 0.000135 | 0.77 | 1.62 |
| R4 | 431 | 1.31 | 0.000135 | 1.04 | 1.97 |

620

621 Table 2. Potentially unstable joint systems along the three different slopes of the buttress.

| Buttress side | Planar sliding | Wedge sliding | Direct Toppling |
|---------------|----------------|---------------|-----------------|
| Southern | S1 | K1/S1 | K1/K3 |
| Eastern | K1 - K2 | K1/K2 - K1/K3 | - |
| Western | S1 - K3 | K1/K3 - K1/S1 | - |

622

| 624 | Figure captions |
|-----|---|
| 625 | Fig. 1. Study area. a) Topography of the site. Black circle indicates the marble buttress; inset map shows the location of |
| 626 | the study area (modified from Salvini et al., 2014a). b) Panoramic picture of the quarry with the buttress under study in |
| 627 | the foreground. |
| 628 | |
| 629 | Fig. 2. Geological sketch map of the Apuan Alps. The black rectangle indicates the location of the quarry (modified |
| 630 | from Conti et al., 2004). |
| 631 | |
| 632 | Fig. 3. Examples of geotechnical sensors installed on the buttress. a) Monoaxial mechanical crackmeter. b) Biaxial |
| 633 | clinometers. c) Three-directional crackmeter. d) Multipoint borehole extensometer (modified from Profeti, 2013). |
| 634 | |
| 635 | Fig. 4. UAV orthophoto showing the location of prisms, reference points and the RTS. |
| 636 | |
| 637 | Fig. 5. Location of geotechnical sensors and RTS prisms on the western (a), southern and eastern (b) sides of the |
| 638 | buttress. |
| 639 | |
| 640 | Fig. 6. Joint systems. a) Pole plots and mean attitudes of joint systems from engineering geological, DTP and TLS |
| 641 | surveys. Data are shown in stereographic projection using the Schmidt equal-area method (lower hemisphere). b) |
| 642 | Examples of K1, K2, and K3 joint systems and S1 schistosity in the buttress. |
| 643 | |
| 644 | Fig. 7. Examples of kinematic stability analysis carried out using Dips 6.0 (Rocscience TM), stereographic projection |
| 645 | through the Wulff equal-angle method (lower hemisphere). a) Planar sliding on the eastern side of the buttress. b) |
| 646 | Wedge sliding on the eastern side of the buttress. c) Direct toppling on the natural slope above the western side of the |
| 647 | buttress. |
| 648 | |
| 649 | Fig. 8. Time-displacement charts relative to geotechnical sensors: a) ES4 and b) FS4. |
| 650 | |
| 651 | Fig. 9. Time series displacement relative to prism P4 (December 2012 - February 2014) vs daily average temperature |
| 652 | (MeteoApuane, 2015). |

| 654 | Fig. 10. Time series of displacement relative to prism P5 (December 2012 – February 2014) vs daily average |
|-----|---|
| 655 | temperature and rainfall (MeteoApuane, 2015). |
| 656 | |
| 657 | Fig. 11. Time series of displacements relative to reference prisms (December 2012 - February 2014) vs daily average |
| 658 | temperature and rainfall (MeteoApuane, 2015): a) R2 and b) R4. |
| 659 | |
| 660 | Fig. 12. Time-displacement diagrams relative to geotechnical sensors ES3 and ES4 (a) and prism G19 (b) (December |
| 661 | 2012 - February 2014) vs daily average temperatures and rainfall (MeteoApuane, 2015). Inset map shows an aerial |
| 662 | view of the buttress and the location of the three sensors. |
| 663 | |
| 664 | Fig. 13. Displacement vectors and error ellipses for the December 2012 – December 2013 period. |
| 665 | |
| 666 | Fig. 14. Vectors showing the displacement of three prisms after an important rainfall event (pre-event date January 19, |
| 667 | 2013 – post-event date January 21, 2013). |
| 668 | |
| 669 | Fig. 15. UAV ortophoto with the main photointerpreted discontinuities; inset picture shows a fault system intersecting |
| 670 | the rock mass at the back of the buttress. |
| 671 | |

672 Fig. 16. Newly formed set of joints at the toe of the buttress.

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Figure 6 (Color) - 2-column Click here to download high resolution image





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| Kinematic Analysis | Planar Skding | | | |
|-------------------------|---------------|----------|-------|----|
| Slope Dip/Dip Direction | 90/80 | | | |
| Friction Angle | 35' | | | |
| Lateral Limits | 60' | | | |
| | | Critical | Total | 56 |
| Planar Sliding (All) | | 1 | 4 | 25 |



| Kinematic Analysis | Wedge Sliding 90/80 | | | |
|-------------------------|------------------------|----------|-------|----|
| Slope Dip/Dip Direction | | | | |
| Friction Angle | 35' | | | |
| | | Critical | Total | 16 |
| Wedge Sliding | | 3 | 6 | 50 |



| Kinematic Analysis | Direct Toppling | | | | |
|-------------------------------|-----------------|----------|-------|------|--|
| Slope Dip/Dip Direction | 90/250 | | | | |
| Friction Angle | 35 | 35" | | | |
| Lateral Limits | 60 | e | | | |
| | | Critical | Total | 56 | |
| Direct Toppling (Intersection | 1) | 1 | 6 | 16.6 | |
| Oblique Toppling (Intersecti | on) | 0 | 6 | 0 | |
| Base Plane (All) | | 2 4 | | 50 | |





Monitoring date

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