



31 under a range of operating conditions. The degree to which the system is able to achieve this,  
32 under both normal and abnormal conditions, is termed its *reliability*. An indication of system  
33 reliability can in principle be calculated though the simulation of multiple system states under  
34 an array of different network conditions and configurations (Maier et al. 2001). However,  
35 this is likely to be computationally intensive and infeasible if optimal system solutions are  
36 being sought. To overcome this limitation, various indicators have been developed that aim  
37 to represent reliability yet do not have the computational requirements associated with the  
38 direct analysis techniques (Baños et al. 2011). Ostfeld (2004) and Lansey (2006) reviewed a  
39 number of definitions for reliability, spanning from simple topology or connectivity to more  
40 complex definitions accounting for the hydraulic operation of a network and concluded that  
41 each indicator has its strengths and weaknesses, but will typically only capture (to some  
42 extent) the particular feature of reliability for which it was designed.

43 Reliability is typically sub-divided into two aspects. Mechanical reliability reflects the  
44 degree to which the system can continue to provide adequate levels of service under  
45 unplanned events such as component failure (e.g. pipe bursts, pump malfunction). Hydraulic  
46 reliability reflects how well the system can cope with changes over time such as deterioration  
47 of components or demand variations. Wagner et al. (1988) argued that both mechanical and  
48 hydraulic reliability are important factors to consider during WDS design and both should be  
49 accounted for explicitly.

50 Previous studies (Farmani et al. 2005; di Nardo et al. 2010; Raad et al. 2010) have examined  
51 the extent to which key indicators (singly or in combination) are able to quantify both forms  
52 of reliability (mechanical and hydraulic) within simple water distribution networks. This  
53 paper presents a comprehensive, comparative analysis of popular reliability indicators based  
54 on a more complex network containing pumps and tanks. The aim is to establish which  
55 indicator, or combination of indicators, is able to accurately represent both the mechanical  
56 and hydraulic reliability of a WDS, or whether a more comprehensive indicator is required.

## 57 **Reliability Indicators**

58 As mentioned a range of reliability indicators have been developed of various degrees of  
59 sophistication. In general, these all give some indication of the ability of a WDS to cope with  
60 changing conditions and are straightforward to calculate so are useful for optimisation studies  
61 that compare the performance of one instance of a network design with another. None are

62 particularly significant as standalone values. This section presents the definition of the key  
63 indicators and their derivatives, together with advantages and disadvantages where known.

64 **Resilience Index**

65 Todini's resilience index is a popular surrogate measure within the WDS research field  
66 (Todini 2000; Prasad and Park 2004; Farmani et al. 2005; Saldarriaga and Serna 2007; Reza  
67 2008), which considers surplus hydraulic power as a proportion of available hydraulic power.  
68 The resilience index,  $I_r$ , is measured in the continuous range [0...1] (for feasible solutions of  
69  $h_{a,i} \geq h_{r,i}$ ) and is formulated as (Todini 2000):

$$I_r = \frac{\sum_{i \in IN}^{nn} q_i (h_{a,i} - h_{r,i})}{\left( \sum_{i \in IN}^{nn} Q_i H_i + \sum_{j=1}^{np} \frac{P_j}{\gamma} \right) - \left( \sum_{i \in IN}^{nn} q_i h_{r,i} \right)} \quad (1)$$

$nn$	Number of supply and demand nodes
$np$	Number of pumps
$IN$	Set of supply nodes (reservoir/emptying tanks)
$h_{a,i}$	Available head at supply node $i$ (kPa)
$h_{r,i}$	Required head at supply node $i$ (kPa)
$q_i$	Demand at node $i$ ( $m^3/s$ )
$Q_i$	Supply at input node $i$ ( $m^3/s$ )
$H_i$	Head from input node $i$ (kPa)
$P_j$	Power from pump $j$ (kW)
$\gamma$	Specific weight of water ( $N/m^3$ )

71  
72 The resilience index has been shown to be correlated to hydraulic and to some extent  
73 mechanical reliability (Farmani et al. 2005), yet the function has also been shown to exhibit  
74 some weaknesses. Several adaptations of the resilience index have been developed in order to  
75 account for (a) the degree of uniformity of pipe diameters entering nodes, i.e. the *network*  
76 *resilience* (Prasad and Park 2004), and (b) to combat inconsistencies with the indicator when  
77 considering multiple sources, i.e. the *modified resilience index* (Jayaram and Srinivasan  
78 2008). Baños et al. (2011) compared the three indexes in a two objective (cost vs. reliability  
79 indicator) study and revealed that there was some correlation between each resilience  
80 indicator and hydraulic reliability but that the two newer indicators did not particularly  
81 improve on the original. Indeed, with no overall 'best' indicator, it was suggested that all of

82 these resilience indicators are incapable of fully considering the connectivity of a network  
83 and thus are unable to identify the most critical areas in systems requiring reinforcement.

#### 84 **Entropy**

85 The entropy reliability indicator was first developed by Awumah et al. (1990) and later used  
86 by Tanyimboh & Templeman (1993). It assesses the ‘disorder’ of flow around a network by  
87 taking into account the proportions of flow entering individual nodes, thus providing a  
88 surrogate measure of network connectivity (number of possible flow paths). Maximising  
89 entropy has been shown to increase a network’s mechanical reliability (Awumah et al. 1990).  
90 The maximum achievable entropy value has no standard range, and is dependent upon the  
91 number of nodes within a network and the number of pipes attached to these. Tanyimboh  
92 and Templeman’s (1993) formulation of entropy (S) is given in equation 2:

$$S = - \sum_{i \in \text{IN}} \left( \frac{Q_i}{T} \right) \ln \left( \frac{Q_i}{T} \right) - \frac{1}{T} \sum_{i \in \text{IN}} T_i \left[ \left( \frac{q_i}{T_i} \right) \ln \left( \frac{q_i}{T_i} \right) + \sum_{j \in N_i} \left( \frac{q_{ij}}{T_i} \right) \ln \left( \frac{q_{ij}}{T_i} \right) \right] \quad (2)$$

*T* Total network inflow from reservoir/tanks ( $m^3/s$ )  
*T<sub>i</sub>* Total flow reaching node *i* ( $m^3/s$ )  
*N<sub>i</sub>* Set of direct upstream nodes *j* connected to node *i*  
*q<sub>ij</sub>* Flow rate in pipe *ij* ( $m^3/s$ )

93  
94 Setiadi et al. (2005) performed a comparative study between entropy and mechanical  
95 reliability (operation of the network after pipe failure) concluding that the two have a strong  
96 correlation despite having different methods of calculation. Further developments in entropy  
97 have been made through examining its application to more advanced networks (e.g. multiple  
98 sources with demands split between them (Yassin-Kassab et al. 1999)).

#### 99 **Minimum Surplus Head**

100 In a WDS, Minimum surplus head, *I<sub>s</sub>*, is defined as the lowest nodal pressure difference  
101 between the minimum required and observed pressure, formulated as:

$$I_s = \min(h_{a,i} - h_{r,i}); \quad i = 1, \dots, nn \quad (3)$$

102 Farmani et al. (2005) found that increasing the minimum surplus head in addition to the  
103 resilience index can improve the connectivity and thus mechanical reliability. It is not known  
104 if this same conclusion is valid with respect to the entropy indicator.

### 105 **Performance**

106 Two recent studies have shed some light on the performance of the resilience index and  
107 entropy. Di Nardo et al. (2010) concluded that the two measures provided different  
108 information about network hydraulic behaviour. The resilience index was shown to be  
109 strongly correlated with system pressure under failure conditions while entropy was revealed  
110 to have no significant correlations with any hydraulic performance measure. Their study also  
111 highlighted that entropy values were sensitive to minor changes in the structural layout of the  
112 simple network test.

113 Raad et al. (2010) examined the relationship between resilience index, network resilience,  
114 entropy, and a combination of resilience index and entropy with hydraulic and mechanical  
115 reliability. Their research concluded that although the resilience index correlated more  
116 significantly with both forms of reliability than the other indicators, it was less effective in  
117 ensuring the good connectivity needed in effective WDS design (Walski 2001). It was  
118 concluded that a combination of resilience index and entropy gave the best alternative to the  
119 resilience index alone.

### 120 **Method**

121 Multi-objective design optimisation will be used to generate a wide range of comparable  
122 WDS solutions (i.e. with similar costs but varying reliability indicator values) based on a  
123 basic case study. WDS solutions associated with different indicator values will be compared  
124 through analysis of cost-indicator trade-offs and network components identified that  
125 contribute most to increasing the magnitude of the indicators. Relationships between the  
126 optimisation objectives will be explored to understand whether and how they are correlated.  
127 Finally, the performance of the various indicators will be evaluated in terms of their  
128 effectiveness in promoting high mechanical and hydraulic reliability of the WDS solutions.

129 The indicator combinations will be used for multi-objective optimisation to generate a  
130 selection of cost-benefit trade-off solutions:

- 131 A) Cost ( $C_{TOTAL}$ ) vs. Resilience Index ( $I_r$ )
- 132 B) Cost vs. Entropy ( $S$ )

- 133 C) Cost vs. Resilience Index vs. Minimum Surplus Head ( $I_s$ )  
 134 D) Cost vs. Entropy vs. Minimum Surplus Head  
 135 E) Cost vs. Resilience Index vs. Entropy

136 Optimisation analysis for cases A-E will be performed using a WDS hydraulic simulation of  
 137 the Anytown network (see below) in EPANET2 (Rossman 2000) coupled with the NSGAI  
 138 genetic algorithm (Deb et al. 2000).

139 **Case Study: Anytown**

140 The widely used benchmark network Anytown is a reasonably complex WDS with  
 141 requirement for both pumping and storage tanks and is thus well suited to this comparative  
 142 study (Walski et al. 1987). The underperforming Anytown network requires rehabilitation  
 143 and expansion in order to meet new nodal demands while satisfying all constraints presented  
 144 in Table 1. The network re-design requires the selection of existing pipes for cleaning or  
 145 duplication, along with sizing and siting of new tanks and identification of an appropriate  
 146 pump schedule for normal-day operation. In this study, this gives an opportunity not merely  
 147 to design to the minimum level of network operation (lowest cost feasible network) but to  
 148 allow for additional operational benefit (through optimisation of the surrogate reliability  
 149 measures against cost) in order to make the WDS more reliable under uncertain conditions  
 150 (the extent of which is to be determined through in this study). This will allow generation of  
 151 solutions with differing values of the surrogate reliability measures that can be used for  
 152 comparison. The Anytown WDS layout is shown in Fig. 1. The network is divided into two  
 153 costing-zones; the city (bold lines) and suburban (thin lines), where rehabilitative actions  
 154 taken inside the city-zone are more costly to instigate. The total cost ( $C_{TOTAL}$ ) for  
 155 implementing the selected rehabilitation procedures for a given solution are calculated as the  
 156 sum of pipe costs ( $C_{PIPE}$ ), new tank costs ( $C_{TANK}$ ) and the net present value of pump  
 157 operational costs over a period of 20 years ( $C_{PUMP}$ ). Where:

$$C_{PIPE} = \sum_{i=1}^{nl} L_m c_p(D_m, Z_m, A_m) \quad (4)$$

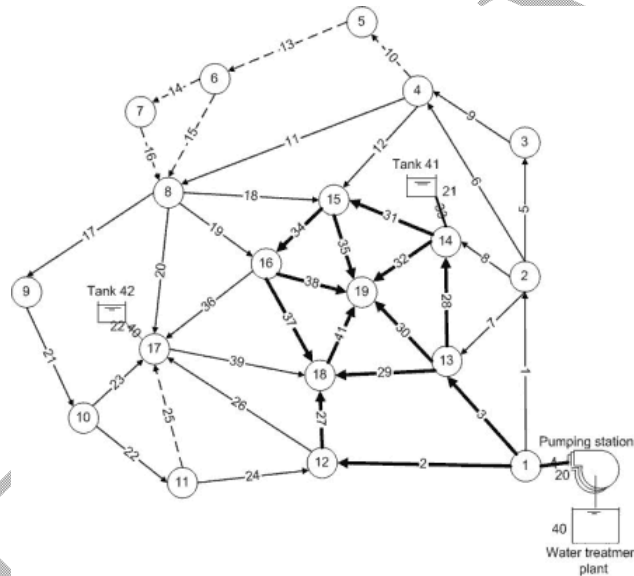
$$C_{TANK} = \sum_{n=1}^{nt} c_t(V_t) \quad (5)$$

$$C_{PUMP} = \left( \frac{1-a}{1-a^n} \right) c_e \sum_{i=1}^{np} E_p \quad (6)$$

$$C_{TOTAL} = C_{PIPE} + C_{TANK} + C_{PUMP} \quad (7)$$

- $nl$  Number of pipes
- $L_m$  Length of pipe  $m$  (m)
- $c_p$  Unit length cost of pipe to perform action (\$/m)
- $D_m$  Diameter of pipe  $m$  (m)
- $Z_m$  Pipe zone of pipe  $m$  (city or suburbs)
- $A_m$  Action for pipe  $m$  (clean, duplicate or new)
- $V_t$  Total volume of tank  $t$  ( $m^3$ )
- $c_t$  Cost of tank  $t$  as a function of volume (see CWS for calculation)
- $c_e$  Unit energy cost (\$/kWh)
- $E_p$  Total energy used by pump  $p$  over 24h (kWh)
- $n$  Investment period (yrs)
- $r$  Rate of return ( $r=12\%$ )

159



160

161 **Fig. 1.** Anytown Benchmark Network (Farmani et al. 2005)

162 The location-dependant unit-length costs for cleaning, duplicating and adding new pipes (8  
 163 discrete pipe diameters),  $c_p(D,Z,A)$ , new tank installation costs,  $c_t(V)$ , and unit energy costs  
 164 for pumping,  $c_e$ , along with further definition of the benchmark, are available from CWS  
 165 (2004). A set of constraints used within the study (defining a feasible solution) are presented  
 166 in Table 1, including ensuring existing tanks are used to their full daily operational capacity  
 167 in addition to satisfying minimum individual nodal pressures for the five operational  
 168 scenarios (by changing nodal demand to simulate peak flow and fire-flow conditions).  
 169 The variables used for optimisation, associated with the selection of new and duplicate pipes,  
 170 cleaned pipes, tank properties and pump scheduling, are given in Table 2.

171 **Table 1.** Design Constraints

Description	Violation Condition
24h normal-day operation	Any node < 276kPa
Instantaneous peak demand (1.8 times average demand)	Any node < 276kPa
0.158m <sup>3</sup> /s (2500gpm) fire flow in node 19, DM of 1.3 at all other nodes	Any node < 138kPa
0.095m <sup>3</sup> /s (1500gpm) fire flow in nodes 5,6 & 7, DM of 1.3 at all other nodes	Any node < 138kPa
0.063m <sup>3</sup> /s (1000gpm) fire flow in nodes 11 & 17, DM of 1.3 at all other nodes	Any node < 138kPa
Existing tanks use their full operational volume	< 100%
Tank start level same as tank end level over 24h	> 0m

172 **Table 2.** Design Variables

Description	Range	Number of variables
Tank maximum level relative to attached node	61.0-76.2m	2
Tank simulation start level	0-100%	4
Size of emergency storage (height below minimum operating tank level)	0-7.6m	2
Diameter for new cylindrical tanks	1.5-30.5m	2
Level difference for normal day operation tank storage	0-15.2m	2
Locations of new tanks	0-32	2
Do nothing, clean an existing pipe or duplicate it	0-15	35
Assign discrete diameter to new pipe	0-15	8
Pump schedule for each time period of a 24h simulation	0-4	8

173 **Results**174 **General Performance**

175 In order to understand which network components may influence or be influenced by the  
 176 reliability indicators, results from cases A-E were used to identify correlations (through  
 177 regression analysis) between the reliability indicators and the following:

- 178 • Total network costs and cost breakdown (pipes, tanks and operation)
- 179 • Minimum surplus head
- 180 • Alternative indicator comparison (Resilience Index vs. Entropy)

181 **Network Costs**

182 Examination of the two-objective (total rehabilitation cost (Eq.7) vs. indicator) trade off  
 183 curves produced for cases A and B showed that the maximum resilience index for the  
 184 Anytown benchmark can be achieved at much lower total cost ( $C_{TOTAL}$ ) than that of the  
 185 maximum entropy. Cost was examined in more detail by breaking it down into components

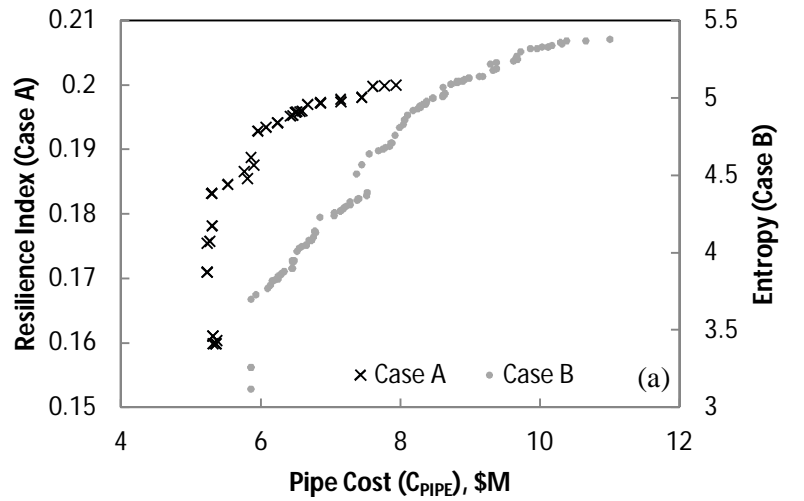


186 (Eq. 4-6). The analysis showed that overall costs ( $C_{TOTAL}$ ) for both sets of reliability  
187 indicators were strongly correlated ( $R^2=0.998$  in both cases) to network pipe cost ( $C_{PIPE}$ ).  
188 However, for case A, an initial improvement of the resilience index appeared to be achievable  
189 by altering pump scheduling and tank properties whilst maintaining consistent piping  
190 expenditure (Fig. 2a). In contrast, case B (entropy) was mostly dependant on pipe costs,  
191 which followed a linear path. For operational pumping cost ( $C_{PUMP}$ ), a moderate negative  
192 correlation ( $R^2=0.51$ ) was noted against overall cost in case B suggesting that higher cost  
193 entropy solutions have reduced operational cost. On inspection of Fig. 2b, the pumping  
194 operational cost data for solutions was divided into several “clusters,” for which the  
195 optimised tank locations were deemed as a possible cause (each cluster could be attributed to  
196 separate new tank locations).

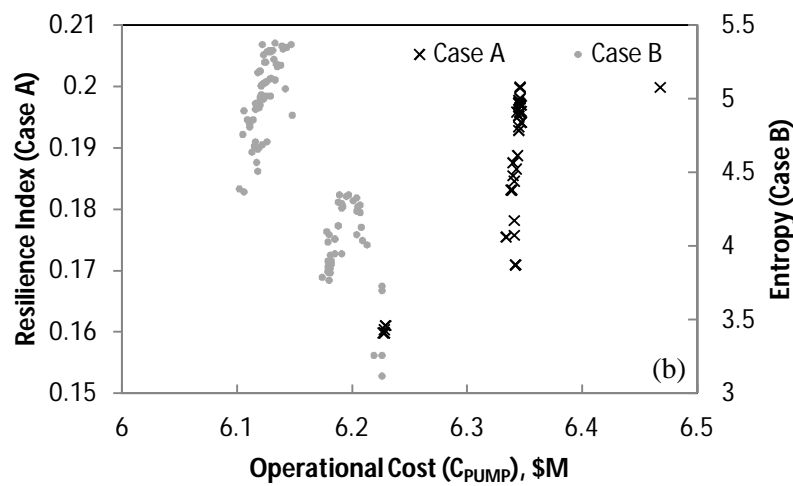
197 The overall cost of resilience index solutions ( $C_{TOTAL}$ ) presented limited correlation  
198 ( $R^2=0.171$ ) with respect to tank cost ( $C_{TANK}$ ) (Fig. 2c). This is most likely because tank cost  
199 is directly related to volume rather than height, operation or location, which necessitate  
200 additional pumping capacity and thus are instead most likely reflected in operational cost  
201 ( $C_{PUMP}$ ). In contrast, the entropy index presented a reasonable correlation against tank cost  
202 ( $R^2=0.7$ ), although arguably this could be attributed to the weighting influence of the  
203 previously identified location-dependant clusters.

#### 204 **Minimum Surplus Head**

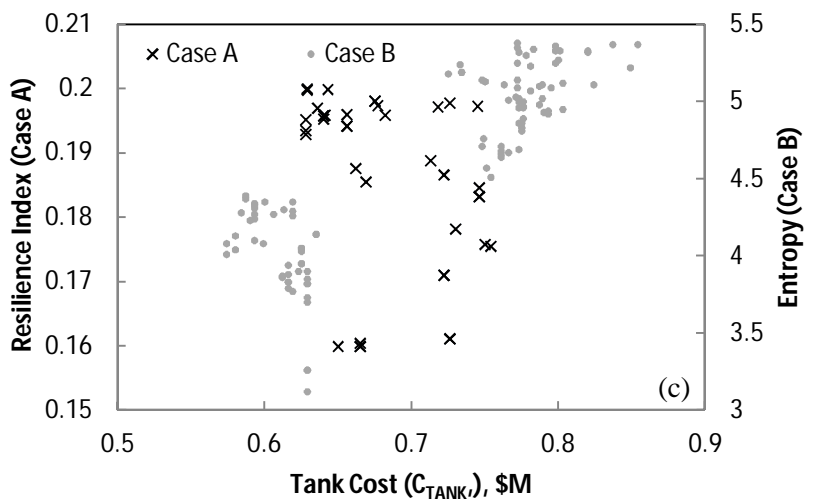
205 The influence of minimum surplus head was also investigated. The results from case A (Fig.  
206 3a) show a positive correlation between the resilience index and minimum surplus head  
207 ( $R^2=0.94$ ). However, case C (Fig. 3a) shows that the level of minimum surplus head can be  
208 further increased for most resilience index values if considered together. For entropy, a weak  
209 negative correlation ( $R^2=0.39$ ) was noted against minimum surplus head (Fig. 3b). In a  
210 similar manner to the resilience index, there is potential to increase the minimum surplus  
211 head for different entropy values if optimised together (Case D). This suggests for both cases  
212 that the inclusion of minimum surplus head as a third objective should allow identification of  
213 more valuable network solutions at equivalent cost. This conclusion, at least for the case of  
214 resilience index, is supported by Farmani et al. (2005).



215



216



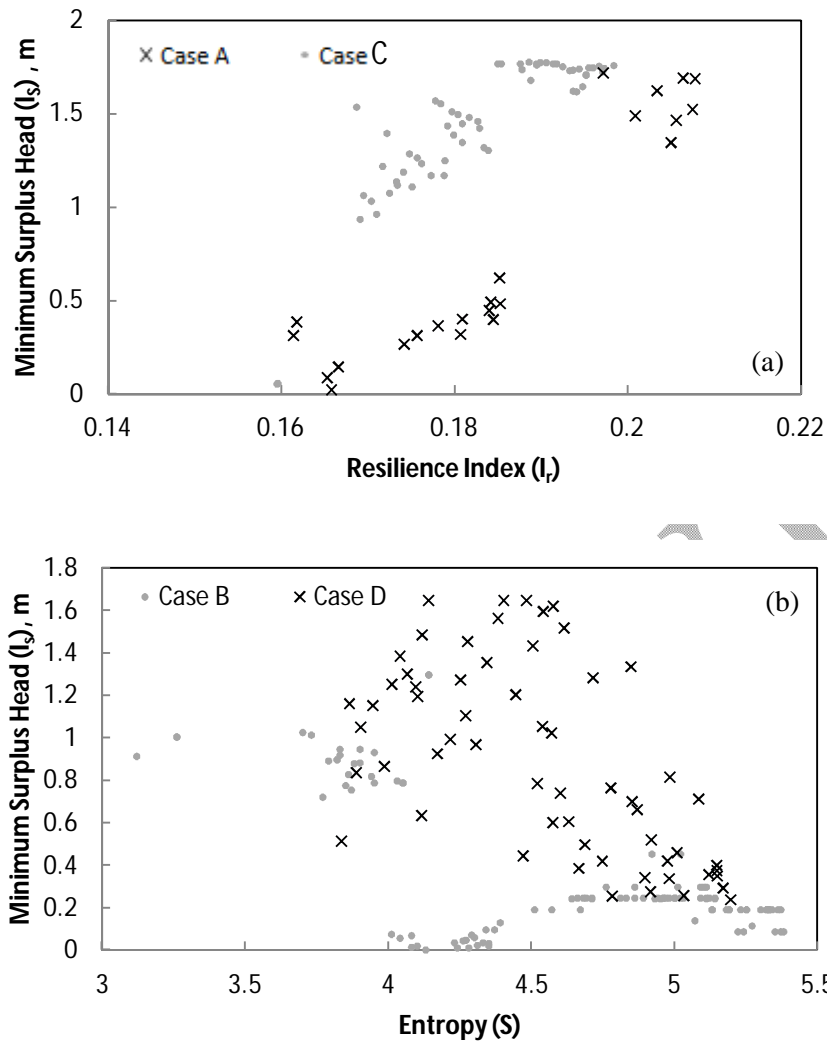
217

218

219

220

**Fig. 2.** Cost breakdown for solutions; Cases A & B (a) Total pipe costs (for new, clean and duplicated pipes) (b) Pumping energy costs (NPV over 20 years) (c) New tank installation costs



221

222

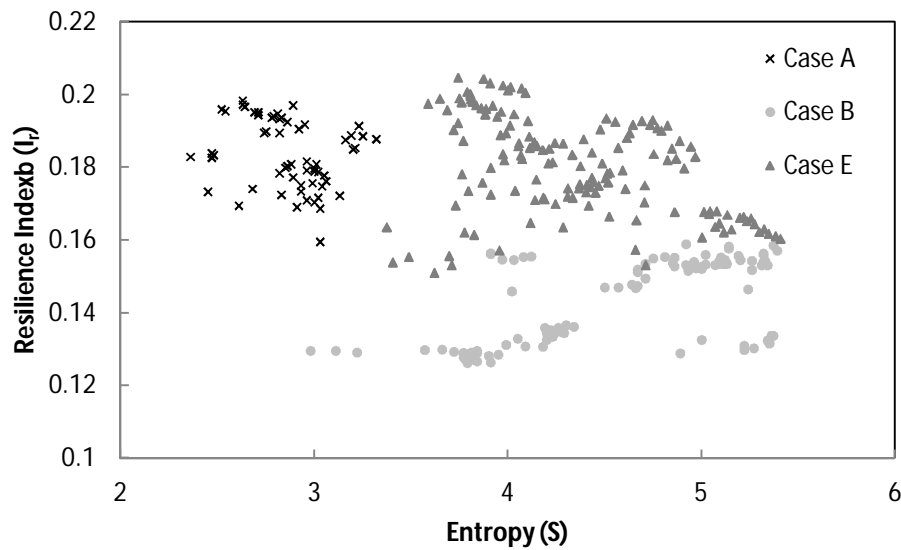
223 **Fig. 3.** Cost vs. Minimum Surplus Head Relationship (A-D) (a) Minimum surplus head for  
 224 resilience index solutions (b) Minimum surplus head for entropy solutions

### 225 Alternative Indicator Comparison: Resilience Index vs. Entropy

226 In a similar manner to the minimum surplus head test, the relationship between the resilience  
 227 index and entropy of optimised solutions was also investigated. Fig. 4 indicates no  
 228 correlation for case A ( $R^2=0.067$ ) and a weak positive correlation ( $R^2=0.356$ ) for case B; yet  
 229 data for case B was clustered (clusters again related to separate tank locations). This implies  
 230 that optimising for either indicator individually will not necessarily achieve a high value of  
 231 the other indicator and simultaneous consideration (as in case E) may be necessary to  
 232 improve both.

233 Examination of the trade off between entropy and resilience index for the Anytown network  
 234 provides a clearer picture as to the interactions between the two indicators. Case E (where  
 235 both resilience index and entropy are optimised) in Fig. 4 clearly shows a maximum  
 236 resilience index after a certain level of entropy ( $S=3.74$ ) is achieved. This suggests that there

237 is a considerable trade off between the two if higher entropy is desired. A similar shape can  
 238 also be noted in Fig. 3b (case D) for entropy and minimum surplus head.



239  
 240 **Fig. 4.** Entropy vs. Resilience Index Relationship Analysis (cases A, B & E)

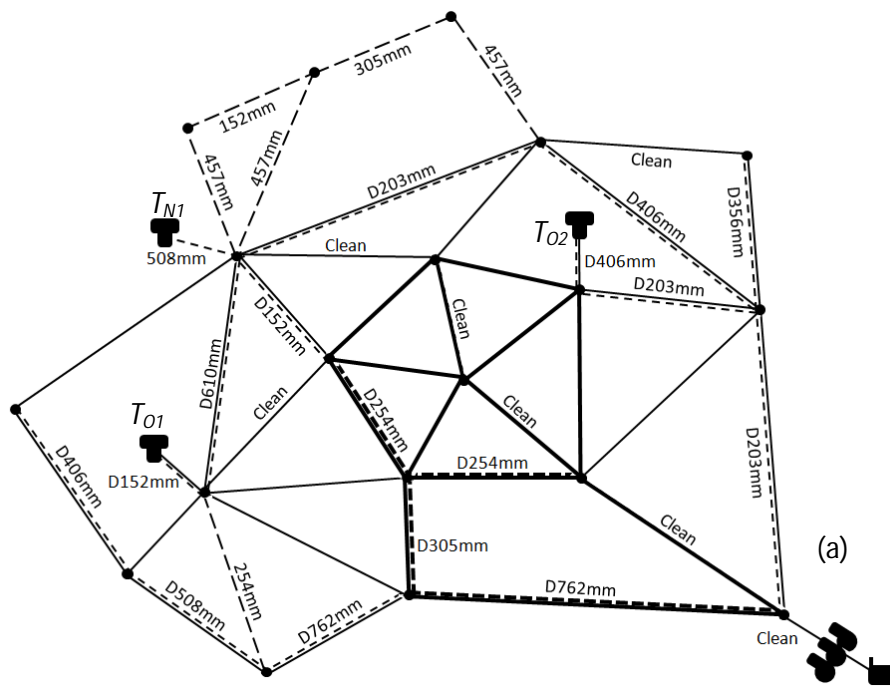
#### 241 **Network Layout and Operation**

242 This section focuses on identifying the extent to which the indicators improve the hydraulic  
 243 operation and reliability of the WDSs. This exercise is clearly important as the identified  
 244 trade-off between the resilience index and entropy means that it is unlikely that both can be  
 245 maximised simultaneously and therefore the reliability benefits from each will most likely  
 246 require trade-off.

247 Selected optimised solutions for cases A-E were considered for network level analysis to  
 248 identify which reliability indicator combinations were correlated to more desirable network  
 249 layout and operational features in terms of new pipe distribution (related to connectivity) and  
 250 hydraulic operation (in terms of pump scheduling and tank operation). Individual solutions  
 251 were selected systematically from the case A-E pareto-sets with the intention of providing a  
 252 range of indicator levels, while maintaining a similar cost for comparison between cases  
 253 (Table 3). This table provides a breakdown of information for each of the solutions  
 254 considered in this section.

255 On examination of the network layouts, it was noted that networks with mid-value resilience  
 256 indices (in cases A and C) appear to have duplicated pipes resembling a branched network  
 257 (Fig. 5a). This most likely ensures additional flow reaches each node with minimum

258 expenditure. In contrast the high resilience index solutions appear to exhibit additional looped  
 259 zones reinforcing supply to nodes furthest from the source (Fig. 5a).



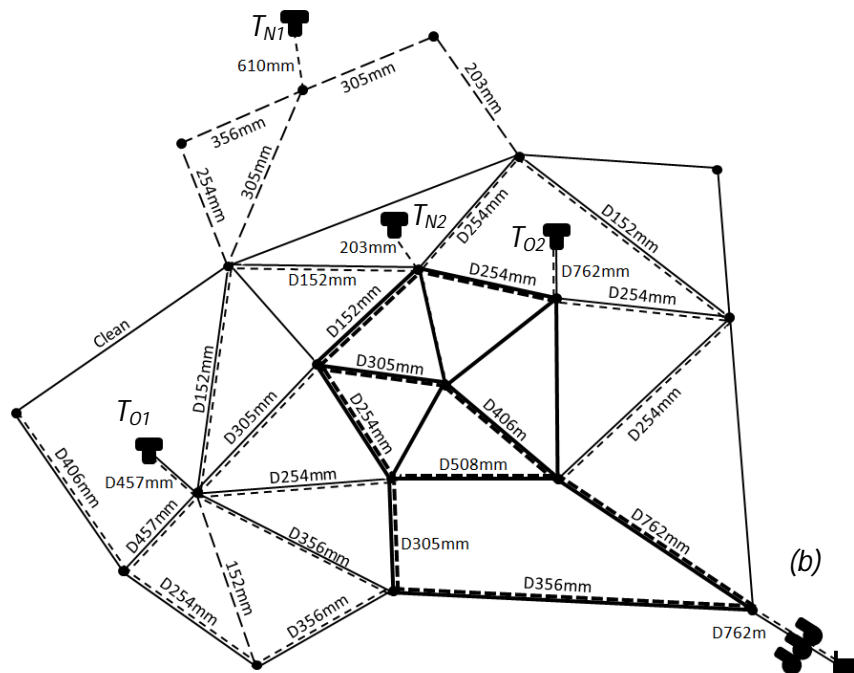
260

261  $T_{N1}$  Storage Tank (O=original, N=New)

Original Pipe (suburban/city)

262 Duplicated Pipe (XXmmD=Duplicated pipe diameter)

New Pipe (XXmm=New pipe diameter)



263

264 **Fig. 5.** Network example layouts for selected solutions (Both approx  $C_{TOTAL} = \$14.5M$ ) (a)  
 265 Resilience index solution (C3), (b) Entropy solution (D2).

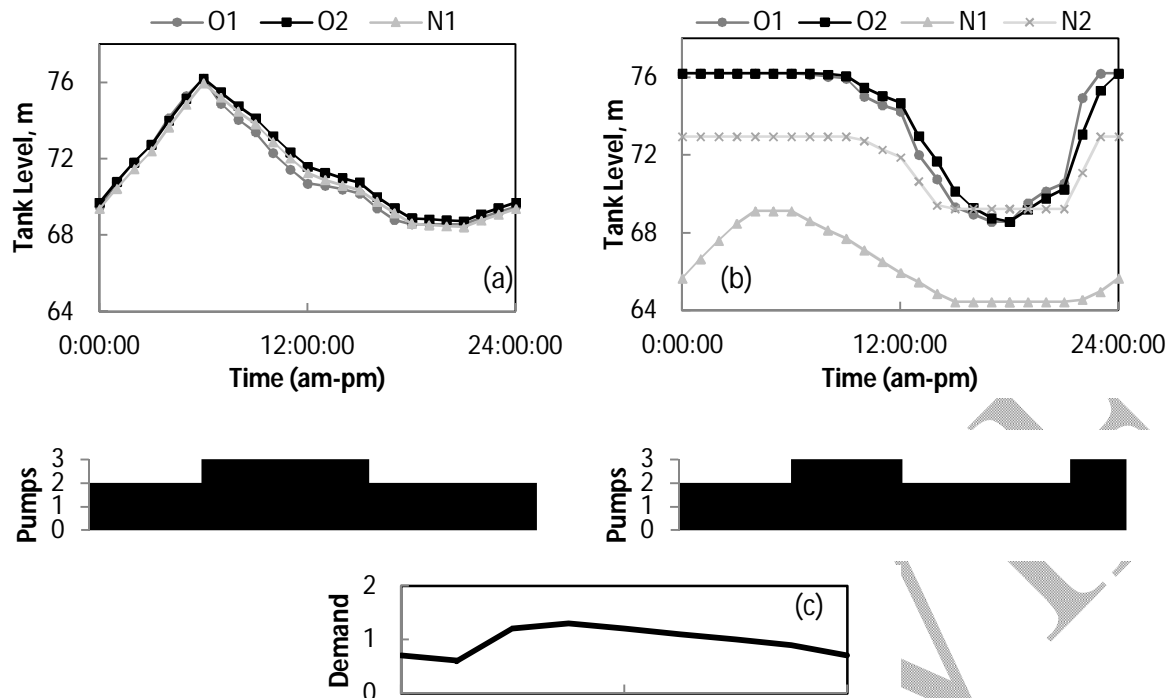
266 Examining the networks for cases B and D it is evident that increasing entropy solutions  
 267 exhibit an even distribution of duplicated pipes and thus a consistently increasing overall  
 268 system capacity (relative to solution cost) (Fig. 5b). This seems to have a generally negative  
 269 effect on the maximum water age for the networks (probably due to decreasing network  
 270 velocity and an increased number of available paths to each node), which is further magnified  
 271 with an increased minimum surplus head (case D).

272 The locations of new tanks are fairly consistent among the mid to high resilience index-based  
 273 solutions, both in case A, and even more so in case C. In contrast, new tank locations in  
 274 entropy solutions are more variable. Furthermore, the entropy indicator is not formulated to  
 275 directly consider tank operation, and indeed this has been apparent through notably poor tank  
 276 sizing in entropy solutions; in many cases increasing average storage time. Consequently, it  
 277 is plausible that the new tanks within (optimised) high entropy networks also add to the  
 278 problem of water aging (Table 3).

279 Examining system operation, it was noted that higher resilience index solutions generally  
 280 have higher new tank elevations (Fig. 6a) than the majority of those within high entropy  
 281 solutions (Fig. 6b). High entropy network tanks are also empty for extended periods of time  
 282 which could be problematic for both water aging and uncertain changes in demand as there is  
 283 consequently limited additional volume available.

284 **Table 3.** Cases A-E: Parameters for selected solutions (Objective in gray)

Case ID	I <sub>s</sub> (m)	I <sub>r</sub>	S	Max Age (hours)	Solution cost breakdown (\$M)			
					Pipes	Tanks	Operation	Total
A1	1.13	<b>0.18</b>	2.9	39.1	4.81	0.6	6.00	<b>11.15</b>
A2	1.26	<b>0.19</b>	2.91	41.8	6.11	0.59	6.05	<b>12.75</b>
A3	1.37	<b>0.21</b>	2.91	42.7	7.62	0.59	6.27	<b>14.47</b>
B1	1.01	0.13	<b>3.26</b>	39	5.86	0.63	6.23	<b>12.71</b>
B2	0.95	0.13	<b>3.83</b>	39	6.22	0.62	6.18	<b>13.01</b>
B3	0.25	0.15	<b>4.66</b>	49	7.68	0.76	6.12	<b>14.56</b>
B4	0.19	0.15	<b>5.30</b>	48	9.72	0.78	6.12	<b>16.62</b>
C1	<b>1.06</b>	<b>0.17</b>	2.61	40	4.74	0.68	6.18	<b>11.62</b>
C2	<b>1.46</b>	<b>0.18</b>	2.47	37	5.96	0.69	6.17	<b>12.82</b>
C3	<b>1.75</b>	<b>0.20</b>	2.52	44	7.69	0.68	6.16	<b>14.52</b>
D1	<b>1.16</b>	0.14	<b>3.86</b>	51	6.36	0.66	6.14	<b>13.14</b>
D2	<b>1.36</b>	0.15	<b>4.34</b>	66	7.72	0.74	6.20	<b>14.67</b>
D3	<b>1.02</b>	0.16	<b>4.57</b>	53	8.53	0.71	6.11	<b>15.36</b>
D4	<b>0.71</b>	0.16	<b>5.08</b>	84	13.0	0.77	6.25	<b>20.06</b>
E1	1.11	<b>0.17</b>	<b>3.79</b>	42	6.81	0.63	6.13	<b>13.57</b>
E2	1.04	<b>0.16</b>	<b>9.96</b>	47	7.96	0.59	6.34	<b>14.88</b>
E3	0.58	<b>0.18</b>	<b>4.53</b>	42	8.69	0.98	6.21	<b>15.88</b>
E4	0.66	<b>0.17</b>	<b>5.01</b>	61	11.5	0.98	6.04	<b>19.01</b>



**Fig. 6.** Tank Levels & Pump Scheduling for Solutions (a) C3 and (b) D2 (with (c) the average 24h demand profile). Refer to Fig. 5 for tank labelling

### **Mechanical Reliability**

The correlation between the reliability indicators and mechanical reliability was next considered. A similar approach to that developed by Farmani et al. (2005) was used to examine the effects of individual pipe failure against the available level of supply. Pipes were closed individually and the fixed network was hydraulically simulated for a 24h average-day operational demand profile (see Fig. 6c). The first hourly time period at which hydraulic failure (pressure deficiency) occurred was noted and the next pipe in the series assessed. If the failure time was in excess of 24h, the pipe was ignored within the simulation, as major pipe failures are expected to be repaired within a day. Table 4 shows the results from the mechanical reliability assessment (cumulative pipes that cause failure over 24hrs) for the selected solutions investigated in section 4.2.

Examination of the results in Table 4 indicates that the resilience index in case A solutions showed limited correlation to total pipes causing pressure failure over 24h. In contrast, case B solutions demonstrated a gradual improvement with increasing entropy. This could be explained by the notion that resilience index (case A) considers the average performance of the network and localised issues (at individual nodes/zones) may not be captured. For increasing entropy, an improvement is unsurprising, as the indicator promotes extra capacity within networks.

309 A notable improvement in correlation between the indicators and pipes that caused failure  
 310 over 24h was observed through failure testing of the selected networks both for case C and D.  
 311 Of these, a considerable improvement was noted in case D, which at the maximum level of  
 312 entropy resulted in no failures over the 24 hour testing period for any single pipe out of  
 313 action. Although for this case, the cost of designing to the maximum level of entropy (which  
 314 exhibited the best mechanical reliability) was almost double that of the minimum cost  
 315 feasible network solution.

316 Case E demonstrated a reasonable compromise for the two sets of indicators, with mechanical  
 317 reliability not necessarily as high as observed in case D, but an improvement on high  
 318 resilience index only networks. Although the utilisation of a combination of indicators (as in  
 319 case E) was also deemed a reasonable compromise by Raad et al. (2010), the results for this  
 320 section indicated some differences to this previous work, as it was identified that the  
 321 resilience index exhibited improved mechanical reliability as compared with entropy. This  
 322 suggests that either the consideration of minimum surplus head or additional WDS  
 323 components (as in this study) may alter the correlation with mechanical reliability for both  
 324 surrogate reliability measures.

325 **Table 4.** Cases A-E: Results for mechanical reliability assessment: cumulative pipes that  
 326 cause pressure failure

Case ID	Failure Test Results (hours to failure)									
	15	16	17	18	19	20	21	22	23	24
A1	4	6	6	6	6	6	6	6	6	6
A2	5	6	6	6	6	6	6	6	6	6
A3	3	6	6	6	6	6	6	6	6	6
B1	3	4	5	5	5	5	5	5	5	5
B2	3	4	4	4	4	4	4	4	4	4
B3	2	2	2	3	3	3	3	3	3	3
B4	0	0	0	1	1	1	1	1	1	1
C1	3	3	3	4	4	4	4	4	4	4
C2	3	4	4	4	4	4	4	4	4	4
C3	2	3	3	3	3	3	3	3	3	3
D1	2	2	2	2	2	2	2	2	2	2
D2	1	1	1	1	1	1	1	1	1	1
D3	1	1	1	1	1	1	1	1	1	1
D4	0	0	0	0	0	0	0	0	0	0
E1	1	1	1	1	2	2	2	2	2	2
E2	0	0	1	1	1	1	1	1	1	1
E3	0	1	1	1	1	1	1	1	1	1
E4	0	1	1	1	1	1	1	1	1	1



328 **Hydraulic Reliability**

329 Hydraulic reliability was evaluated by calculating the maximum average daily demand that a  
330 given WDS solution is able to tolerate whilst maintaining feasible operation. This method is  
331 used to represent a network in the future, when pump scheduling is a low cost option to alter  
332 the hydraulic operation without costly or invasive rehabilitation procedures. For this  
333 assessment, the pumping is optimised for each systematic change in demand to find if the  
334 network is able to operate feasibly (with respect to minimum pressure and tank operation)  
335 under these new demand conditions (further detail of this procedure is presented in Atkinson  
336 et al.(2011).

337 Results from the analysis showed that both the resilience index and entropy alone (cases A  
338 and B) presented limited correlation with hydraulic reliability. This could be attributed to  
339 limited surplus head at underperforming nodes (which are not directly considered within  
340 either indicator). With the additional improvement of minimum surplus head (in cases C and  
341 D) a major improvement in correlation with the hydraulic reliability was noted. Case C  
342 solutions revealed a positive relationship against hydraulic reliability; with the improvement  
343 most likely due to the combination of new tank elevations (higher than entropy solutions) and  
344 additional minimum surplus head. In contrast, the high tank elevations previously attributed  
345 to more expensive case C solutions also appeared constraining for higher future demands (the  
346 networks were unable to provide enough head to fill new tanks due to increased head-loss  
347 when attempting to meet higher demands). This resulted in a capping effect in high resilience  
348 index networks, where the maximum achievable demand is restricted (in the case of Anytown  
349 it was found to be capped at around a 20% demand increase), and thus additional capital  
350 expenditure was required in order to facilitate further demand increase. Case D solutions  
351 revealed a positive correlation between network cost and hydraulic reliability although it was  
352 more expensive to achieve similar hydraulic reliability levels in comparison to that observed  
353 with case C. Nevertheless, a proportion of higher costing case D solutions outperformed any  
354 other case solutions investigated under this category with a tolerance of up to a 25% increase  
355 in demand, most likely due to the reduced system head-loss, and therefore more effective  
356 pump operation (Atkinson et al. 2011). It is therefore difficult to distinguish whether case C  
357 or D could be deemed more beneficial for improving hydraulic reliability, with the resilience  
358 index showing a sharp but capped improvement in hydraulic reliability (against cost)  
359 compared to a steady but less constrained improvement as observed within entropy solutions.

360 **Conclusion**

361 A comparison was conducted between two popular WDS reliability indicators. Comparable  
362 WDS solutions, with respect to cost, were generated through optimisation of the Anytown  
363 case study for each indicator (both individually and combined). The resultant solutions were  
364 compared with respect to their ability to tolerate pipe failure (mechanical reliability) and  
365 change in demand (hydraulic reliability), along with examination of the technical quality of  
366 hydraulic operation.

367 It was found that networks with increased minimum surplus head alongside the reliability  
368 indicators had generally improved all round performance in all tests performed. Solutions  
369 with high entropy had notably improved mechanical reliability, while the resilience index  
370 solutions were influenced to a lesser extent. Both indicators showed an improvement in  
371 hydraulic reliability for higher magnitude solutions, although there was identification of a  
372 trade-off between the relatively cheaper resilience index networks (limited to a maximum  
373 redundant capacity) and the more expensive (but less limited capacity) high entropy  
374 networks. In terms of hydraulic operation, the majority of the resilience index solutions  
375 showed more desirable performance in terms of storage tank operation and the average  
376 system water age (which was in many cases unacceptable in high entropy solutions).

377 For the case that the resilience index and entropy were optimised together, the performance  
378 of resultant WDS networks over all testing categories was reasonable but could not easily be  
379 accounted to either indicator individually. For this reason, and the significant observation that  
380 there was considerable trade-off between the resilience index and entropy for higher cost  
381 solutions, it is suggested that a new indicator is required that is able to measure/influence  
382 both the connectivity and demand capacity of a WDS whilst also accounting for the quality of  
383 hydraulic operation and water ageing.

384 **Acknowledgements**

385 This work was supported by the UK Engineering and Physical Science Research Council as  
386 part of the Urban Futures Project (EP/F007426/1).

387 **References**

- 388 Atkinson, S., Farmani, R., Memon, F. A., and Butler, D. (2011). "Comparing reliability  
389 indicators for water distribution networks with a future perspective." *Computing and Control  
390 for the Water Industry 2011*, Exeter, UK.
- 391 Awumah, K., Goulter, I., and Bhatt, S. (1990). "Assessment of reliability in water distribution  
392 networks using entropy based measures." *Stochastic Hydrology and Hydraulics*, 4(4), 309-  
393 320.
- 394 Baños, R., Reza, J., Martínez, J., Gil, C., and Márquez, A. (2011). "Resilience Indexes for  
395 Water Distribution Network Design: A Performance Analysis Under Demand Uncertainty."  
396 *Water Resources Management*, 25(10), 2351-2366.
- 397 Centre for Water Systems (2004). Benchmark networks for design and optimisation of water  
398 distribution networks, <<http://www.exeter.ac.uk/cws/benchmarks>>. (Mar. 01, 2011).
- 399 Deb, K., Pratap, A., Agarwal, S., and Meyarivan, T. (2000). "A fast and elitist multiobjective  
400 genetic algorithm: NSGA-II." Technical Report 200001, Indian Institute of Technology,  
401 Kanpur: Kanpur Genetic Algorithms Laboratory (KanGAL).
- 402 di Nardo, A., Greco, R., Santonastaso, G. F., and di Natale, M. (2010). "Resilience and  
403 entropy indices for water supply network sectorization in district meter areas." 9th  
404 International Conference on Hydroinformatics. HIC 2010, Tianjin, China.
- 405 Farmani, R., Walters, G. A., and Savic, D. A. (2005). "Trade-off between Total Cost and  
406 Reliability for Anytown Water Distribution Network." *Journal of Water Resources Planning  
407 and Management*, 131(3), 161-171.
- 408 Jayaram, N., and Srinivasan, K. (2008). "Performance-based optimal design and  
409 rehabilitation of water distribution networks using life cycle costing." *Water Resour. Res.*,  
410 44(1), W01417.
- 411 Lansey, K. E. (2006). "The evolution of optimizing water distribution system applications."  
412 8th Annual Water Distribution Systems Analysis Symposium, Cincinnati, Ohio, USA.
- 413 Maier, H. R., Lence, B. J., Tolson, B. A., and Foschi, R. O. (2001). "First-order reliability  
414 method for estimating reliability, vulnerability, and resilience." *Water Resour. Res.*, 37(3),  
415 779-790.

- 416 Ostfeld, A. (2004). "Reliability analysis of water distribution systems." *Journal of*  
417 *Hydroinformatics*, 6(4), 281-294.
- 418 Prasad, T. D., and Park, N.-S. (2004). "Multiobjective Genetic Algorithms for Design of  
419 *Water Distribution Networks.*" *Journal of Water Resources Planning and Management*,  
420 130(1), 73-82.
- 421 Raad, D. N., Sinske, A. N., and van Vuuren, J. H. (2010). "Comparison of four reliability  
422 surrogate measures for water distribution systems design." *Water Resour. Res.*, 46(5),  
423 W05524.
- 424 Reza, J. (2008). "Optimal Design of Gravity-Fed Looped Water Distribution Networks  
425 Considering the Resilience Index." *Journal of Water Resources Planning and Management*,  
426 134(3), 234.
- 427 Rossman, L. A. (2000). "EPANET Users Manual." U. S. E. P. Agency, ed., Cincinnati, Ohio.
- 428 Saldarriaga, J. G., and Serna, M. A. "Resilience Analysis as Part of Optimal Cost Design of  
429 *Water Distribution Networks.*" ASCE, 496-496.
- 430 Setiadi, Y., Tanyimboh, T. T., and Templeman, A. B. (2005). "Modelling errors, entropy and  
431 the hydraulic reliability of water distribution systems." *Advances in Engineering Software*,  
432 36(11-12), 780-788.
- 433 Tanyimboh, T. T., and Templeman, A. B. (1993). "Calculating Maximum Entropy Flows in  
434 *Networks.*" *The Journal of the Operational Research Society*, 44(4), 383-396.
- 435 Todini, E. (2000). "Looped water distribution networks design using a resilience index based  
436 heuristic approach." *Urban Water*, 2(2), 115-122.
- 437 Wagner, J. M., Shamir, U., and Marks, D. H. (1988). "Water distribution reliability:  
438 simulation methods." *Journal of Water Resources Planning and Management*, 114(3), 276-  
439 294.
- 440 Walski, T. M. (2001). "The wrong paradigm - why water distribution optimization doesn't  
441 work." *Journal of Water Resources Planning and Management*, 127(4), 203-205.

## Journal of Water Resources Planning and Management

- 442 Walski, T. M., Brill, J. E. D., Gessler, J., Goulter, I. C., Jeppson, R. M., Lansey, K., Lee, H.-  
443 L., Liebman, J. C., Mays, L., Morgan, D. R., and Ormsbee, L. (1987). "Battle of the Network  
444 Models: Epilogue." *Journal of Water Resources Planning and Management*, 113(2), 191-203.
- 445 Yassin-Kassab, A., Templeman, A. B., and Tanyimboh, T. T. (1999). "Calculating Maximum  
446 Entropy Flows in Multi-Source, Multi-Demand Networks." *Engineering Optimization*, 31(6),  
447 695 - 729.

UNDER REVIEW