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The 'Supporting Kids, avoiding Problems' (SKIP) study. Relationships between school exclusion, psychopathology, development and attainment; a case control study

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SCHOLARONE™ Manuscripts The 'Supporting Kids, avoiding Problems' (SKIP) study. Relationships between school exclusion, psychopathology, development and attainment; a case control study

Introduction

Schools have a recognised role in the identification and for the social, emotional and behavioural needs of the child (NICE, 2008, Department for Education, 2014a). Persistent disruptive behaviour is the most common reason given by headteachers for excluding a child from school (Department for Education, 2015b). The breakdown or potential breakdown of a child's school placement particularly during primary school should prompt a thorough assessment to explore tractable contributing factors related to learning, mental health and the relationships between the school-child-family context.

Research suggests that children may be excluded from school with unidentified, unsupported, or poorly managed Attention Deficit Hyperactivity Disorder (ADHD) (O'Regan, 2010). Similarly, a small case-control study identified undetected autistic behavioural traits among children reported to be at risk of exclusion due to persistent disruptive behaviour (Donno et al., 2010). Failure to recognise or accurately identify a child's additional needs could be a significant problem as further school placements may rupture, with potential adverse educational, social and health consequences for the child and their family, and with an inevitable economic burden to society. School exclusion predicts many adverse outcomes including offending, substance misuse and poor educational attainment (Daniels et al., 2003, Hayden and Dunne, 2001, Hemphill et al., 2010, Parsons et al., 2001).

Childhood psychopathology places a heavy burden on schools and the government and NHS England have recently confirmed the launch of 'The Mental Health Services and Schools Link Pilots' (Department for Education, 2015a). In a large nationally representative study of children's mental health the most commonly consulted professionals regarding children's mental health were teachers; while the proportion of children with psychiatric disorder in contact with special educational professionals equalled the proportion (25%) in contact with child and adolescent mental health services (Ford et al., 2007). These mental health related contacts with the education system incur costs that dwarf those to other public sector services (schools £799.2 million, specialist educational services £508.8 million, £162.8 million for health and welfare combined; 2007-8 prices (Snell et al., 2013)).

Government statistics report a continuing overall downward trend in exclusions from school in England (Department for Education, 2015b). The rate of permanent exclusions (expulsions) reportedly decreased from 12 exclusions per 10,000 pupils enrolled in 2006/07, to 6 exclusions per 10,000 pupils enrolled in 2013/14 (Department for Education, 2015b). A similar longer term downward trend has been reported for pupils receiving a fixed-term exclusion (suspension), however the number of fixedterm exclusions from primary schools has increased, accounting for 0.02% of pupil enrolment (Department for Education, 2015b). Some groups of children are disproportionately represented in the exclusion figures. Boys are over three times more likely to receive a permanent exclusion from school than girls and are more likely to be excluded at a younger age. Similarly, children from certain ethnic groups, namely Gypsy/Roma and Traveller of Irish Heritage, Black Caribbean and White and Black Caribbean dual heritage all have a higher rate of exclusion, as do children eligible for Free School Meals. It is particularly concerning seven in ten of all permanent exclusions are of children with a statement of Special Educational Needs (SEN), as are six in ten for fixed-term exclusion. Similarly, children with SEN without a statement are nine times more likely to be excluded than their peers without SEN (Department for Education, 2015b). This last point would seem to suggest that inadequate support and resources may contribute to the exclusion of vulnerable children.

It is however likely that the decline in overall rate of exclusions presented by government statistics are misrepresentative for a number of reasons. Managed moves, where children are formally moved between schools to avoid exclusions, are thought to be becoming more common and are not included in statutory returns to government (Abdelnoor, 2007). More worryingly it has been suggested that pressures on schools to remain inclusive have led to higher levels of *hidden* exclusions; the Children's Commissioner for England reported there to be a number of illegal exclusions from school where for example, the headteacher would send pupils home to 'cool off' (Children's Commissioner, 2012, Children's Commissioner, 2013).

Few recent studies have explored the relationship between exclusion from school and children's psychopathology (Parker et al., 2014, Whear et al., 2013). This case-control study aimed to explore the level of psychopathology and learning difficulties and the extent to which they were recognised and supported among children who had been excluded from school or were *at risk* of exclusion (cases) compared to peers of the same age and gender who were coping well with school (controls). Based on government statistics and literature regarding the potential vulnerabilities of this group we predicted that there would be higher levels of psychopathology, greater levels of developmental difficulties, and lower levels of attainment among the cases, but that most cases would have recognised needs and have accessed services for support.

Methods

Ethical approval was granted by the University of Exeter Medical School Research Ethics Committee. Written consent was obtained from parents and teachers. Verbal assent was gathered from children and distress during assessment was treated as withdrawal of assent for that appointment.

Design and Sample

This was a prospective case-control study with additional comparison to normative population data where available. Cases were defined on the basis of *exclusion/risk of exclusion*. Cases were eligible for inclusion if after the start of the study they were either excluded permanently or for a fixed-term or if they were identified by an educational or mental health practitioner (for example, Special Educational Needs Coordinator, Educational Psychologist, Behavioural Support Teacher) as being at risk of exclusion.

Eligible children were those aged between 4-12 years, which included all primary school year groups and those in Year 7 (first year) of secondary school whose current or most recently attended school was a mainstream school. Families were excluded from the study if any health, education or social care practitioners working with them deemed them to be too vulnerable, or family members did not speak sufficient English in order to complete the questionnaires and assessments despite support. Families were also excluded from the study if children had voluntarily left school (e.g. parental removal, child refusing to attend).

The control group included children who were identified as *currently* not struggling with school at the time of the study, whether or not they had identified psychiatric disorders, SEN or had previous exclusions from school.

Recruitment

The study was advertised through newsletters distributed to all schools and to key education professional networks in Devon during the period of November 2011-July 2013. Parents of potential

cases were notified directly of the study if their child had experienced a permanent or fixed-term exclusion from school either by an educational or mental health professional who was known to them or through a letter sent to them by the Inclusion and Reintegration Team. Following consent the parents' details were passed onto the researcher by the professional involved or the parents could contact the researcher directly. Parents of children who had experienced multiple exclusions during the period of study were sent a maximum of two letters inviting them to participate in the study during the six months after the initial exclusion.

Nineteen primary schools and four secondary schools within Devon were approached to take part in the study as control schools, and four primary schools and two secondary schools agreed to participate. Information sheets were distributed by the schools to those children they deemed were currently "not struggling" with school at the time of the study. Although we initially aimed to match control children to each case by age and gender, recruitment proved difficult and schools were therefore encouraged to select children of the same gender and age to the cases where possible. Consequently, this was not an individually matched design, but recruitment of controls was stratified by year group and gender.

Parents of both cases and controls indicated to the researchers whether they gave consent for the school staff to complete the measures described below.

Measures

Psychopathology

Strengths and Difficulties Questionnaire (SDQ)

The SDQ is a validated (Cronbach alpha, 0.73, test-retest reliability of 0.62) and widely used behavioural screening tool for common childhood psychopathology among children aged 4-16 years (Goodman, 2001). It was completed by both parents and teachers and comprises 25 items, half stated as positive and half as negative. These items contribute to five sub-scales; emotional symptoms, conduct difficulties, hyperactivity/inattention, peer problems and pro-social behaviour. A total difficulties score is calculated by adding the sub-totals from the first four subscales, and ranges between 0 and 40 with high scores indicating distress. In contrast, the prosocial scale is scored so that high scores indicate strong social skills. The impact supplement asks the informant about whether they consider the child to have a significant mental health problem and if so the impact of these in terms of the distress to the child, the impact for the child on their home life, friendships, classroom learning and leisure activities; and the burden on the informant. The SDQ impact supplement asked parents and teachers how much burden the child's difficulties had put on 'you and the family' or 'you and the class' as a whole, ranging from not a lot, to a great deal.

Development and Well-being Assessment (DAWBA)

The DAWBA is a validated, standardised diagnostic interview used to generate psychiatric diagnoses based on the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition (DSM-IV) (Goodman et al., 2000, APA, 1994). The DAWBA combines both structured and semi-structured features of interviews, with the closed questions relating directly to diagnostic criteria within the International Classification of Diseases, Tenth Edition (ICD-10) (WHO, 1993) and the DSM IV (APA, 1994). The open-ended questions enable the informant to provide any further information about the child's difficulties, which were used by the clinician alongside the generated computer algorithm to decide on diagnosis. A validation study demonstrated clear differentiation between clinical and community samples (Goodman et al., 2000). Within the community sample those with

and without disorders demonstrated markedly different characteristics and prognosis, while there was substantial agreement (Kendall's Tau from 0.47- 0.70) between the DAWBA and clinical case notes among the clinical sample, despite a lack of detail and poor recording of comorbidity in the clinical notes.

The DAWBA was completed by parents and teachers. Two child psychiatrists (TF, OM) used the quantitative and qualitative information from all respondents to assign clinical diagnoses according to DSM IV (APA, 1994). The level of chance corrected agreement between them was high across the main categories of disorder (any disorder, emotional disorder, any ADHD, any behavioural and any Autism Spectrum Disorders (ASD), Kappa= 0.93, 0.82, 0.96, 0.97. 0.77 respectively).

Deliberate Self Harm

The DAWBA interview contained questions for parents about whether their child had talked about or deliberately harmed themselves in the last 4 weeks and whether their child had *ever* tried to harm/hurt themselves over their lifetime. Parents could respond "yes" or "no".

Affective Reactive Index (ARI)

The ARI is a novel measure of irritability (Stringaris et al., 2012). The measure comprises of six items where parents are asked 'in the last six months and compared to others of the same age, how well does each of the following statements describe the behaviour/feelings of your child?' Parents could respond on a three point scale to give an overall score and a separate impairment score. The measure has been found to be reliable in mental health settings in the US (Cronbach's alpha, 0.92) and the UK (Cronbach's alpha 0.89) (Stringaris et al., 2012). The ARI was completed by parents only.

Development

Learning difficulty was reported by the parents (responding "yes" or "no") within the DAWBA interview. Parents were asked *does child have a specific learning difficulty?*

Children's Communication Checklist (CCC2)

The CCC2 was used to assess aspects of communicative and language impairment (Bishop, 2003). It is a widely used, validated (test-retest reliability 0.86-0.96) assessment tool that enables the identification of children with possible speech and language impairment as well as those children who may warrant further investigation (Bishop, 2003). The measure comprises of 70 items, divided into 10 subscales (speech, syntax, semantics, coherence, inappropriate initiation, stereotyped language, use of context, nonverbal communication, social relations and interests). Each scale includes seven questions; five of these refer to difficulties that may affect the child's ability to communicate and two refer to the strengths of the child. The CCC2 was completed by parents only.

The Short Sensory Profile (SSP)

The SSP completed by the parent is a widely used standardised measure (Cronbach's alpha 0.47-0.91) designed to screen and identify whether a child is experiencing sensory modulation difficulties (Dunn, 1999). It includes 38 items, grouped into seven categories; tactile sensitivity, taste/smell sensitivity, movement sensitivity, under responsive/seeks sensation, auditory filtering, low energy/weak and visual/auditory sensitivity. Parents can respond to the items on a five-point Likert scale these are scored from, 1 'always' – 5 'never'. Scores at or above one standard deviation of the mean for each category represent 'typical performance', those at or above two standard deviations below the mean (but lower than one standard deviation), represent 'probable difficulties' and those falling two standard deviations below the mean are deemed to have 'definite difficulties'.

British Picture Vocabulary Scale (BPVS)

The BPVS provided a measure of receptive vocabulary of the child (Dunn et al., 1997). The measure administered by the researcher (CP) to the child, contains 14 sets of 12 test items (168 in total). Each page contains four black and white illustrations that get progressively more difficult as the sets proceed. It is a widely used measure, reliability (0.91) (Dunn et al., 1997).

The Emotional Literacy Scale (Faupel, 2003) was completed by the parent, teacher and child if aged seven years or older. The parent and the pupil measures both included 25 questions compared to 20 questions in the teacher questionnaire; which all provided an overall emotional literacy score. Descriptive categories were used to interpret the child's total emotional literacy score; these ranged from "well below average" to "well above average" on a five point scale. Internal consistency for the total emotional literacy score was 0.76, 0.94 and 0.87 for the pupil, parent and teacher scale respectively (Faupel, 2003).

Attainment

Raven's Coloured Progressive Matrices

The child's intellectual potential was estimated using the Ravens Coloured Progressive Matrices (CPM) (Raven, 2008). The measure was administered by the researcher (CP) to the child and consists of 36 diagrammatic puzzles. These are designed to assess the intellectual processes of young children, and do not require high levels of verbal ability. These are therefore often used with children who have SEN or language difficulties. The test manual reports most studies test-retest reliability to be above r=0.80 (Raven, 2008).

The British Ability Scales (BAS-III)

The BAS were used to gather an overall impression of the child's level of attainment (Elliot and Smith, 2011). The BAS-III is a standardised assessment battery that is widely used by educational psychologists to test cognitive abilities and educational achievement. Five subscales were selected to be completed by the child, this included; quantitative reasoning (or picture similarities if the child was aged 5 years), digits forward, digits backwards, number skills and word reading. The subscales were chosen to mirror educational attainment skills.

Standardised t-scores and s-scores are presented for both the Raven's CPM and the BAS-III, to enable comparison to the normative populations. All tests were administered by the lead author (CP).

Other relevant measures used

General demographic information about the child and their family were gathered within the on-line DAWBA interview. Information was provided on the type of school the child attended, the level of support given within the school, external services attended and the number of exclusions, if the child had received any. The Family Life Questionnaire (FaLQ) was completed by the parent, (Last et al., 2012) and provided a measure of the child's experience within the family environment. Fourteen items assessed four theoretical scales; affirmation (four items), discipline (four items), special allowances (three items) and rules (two items). Internal consistency of the four scales ranged from 0.40-0.70, with affirmation and rules particularly good (Cronbach's alpha =0.61-0.74) and good test retest reliability (Intraclass correlation coefficient (ICC) =0.80, 0.70 respectively), discipline showed poor internal consistency (Cronbach's alpha =0.30-0.40) but good test retest reliability (ICC=0.60) (Last et al., 2012).

Parents' postcodes were used to link to the Index of Multiple Deprivation (IMD) scores (ONS, 2001), which provided a measure of neighbourhood deprivation for small geographical areas. The IMD

score was ranked from the most deprived (0-20%) to the wealthiest quintile (80-100%) based on a combination of factors that included, income, education, health, housing and living environment (Department for Communities and Local Government, 2007, Shilling et al., 2011).

Procedure

An initial meeting was set-up between the parent and the researcher to discuss participation and to gain informed consent after parents had been sent information about the study. Parental assessments were either completed face to face or the parents completed the assessments independently, completing the DAWBA interview online and mailing the questionnaires back to the researcher. With parental permission the school completed assessments; the informant was selected by the parents as a member of staff who knew the child well. Parents' specified whether they would prefer the child assessments to be completed at home or at school, and assessments were completed as rapidly as possible after the exclusion or referral into the study.

Once all the assessments had been completed the results were shared with the parents in the SKIP feedback form (available from the author's on request). Parents were encouraged to share the feedback with the school and any other services deemed important that may be working with the child (multiple copies were provided as per parents' requests).

Data analysis

The sample was grouped by diagnosis and difficulties using the DAWBA and SDQ impact supplement in order to explore the extent to which psychopathology was recognised. The SDQ impact supplement asks 'has s/he got difficulty in one or more of the following areas: emotions, concentration, behaviour or being able to get on with other people'; possible responses are on a four point Likert scale; no, yes: minor difficulties, yes: definite difficulties or yes: severe difficulties. The child was recorded as having no difficulty if the parent and teacher had report no or yes: minor difficulties or as having a difficulty if both had reported yes: definite or yes: severe. Children were split into four groups using this recognition variable and the presence / absence of psychiatric disorder according to the DAWBA. A "no disorder" group, those children who had no clinical diagnosis and neither the parent or teacher had reported any difficulty. A "sub-clinical" group included children who had no DAWBA diagnosis but the parent and teacher did report there was a difficulty. An "unrecognised" group in which children had a DAWBA diagnosis but neither parent nor the teacher had reported a difficulty and a "recognised" group, who had both a DAWBA diagnosis and a parent or teacher reported difficulty.

Population norms were sought for as many measures as possible because of concerns the size and selection of the control sample. Descriptive statistics were examined to seek systematic differences between the cases and the control group, and population norms where available. Fisher's Exact tests was used to examine the significance of associations between categorical variables. The Wilcoxon Rank-Sum test was used to assess the significance of differences between cases and controls for continuous variables, given its improved efficiency compared to the t-test for non-normal distributions.

Multivariable logistic regression models were fitted to explore combined effects of explanatory factors on case-control status. Following initial screening of unadjusted models the following variables were considered for inclusion in multivariable models: psychopathology, communication, sensory differences and emotional literacy. These variables were selected due to clinical consideration.

The results will be presented in three sections that discuss psychopathology, development and lastly attainment.

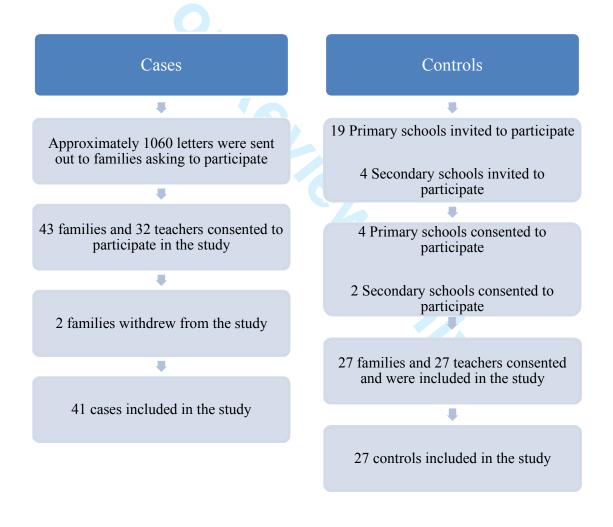
All analysis was conducted in STATA version 13.0 (StataCorp, 2013).

Results

Sample

A total of 70 families were recruited, of whom 43 were cases and 27 controls (Figure 1). With parental consent, 54 teachers completed assessments (cases n=32 (74%), controls n=27 (100%)). Subsequently two of the cases withdrew from the study and three parents opted to not involve the school in the study. The majority of missing data in the case group was accounted for by teacher non-response. All teacher and child assessments for the control group were completed.

Figure 1 - Flow diagram of recruitment for both cases and controls



As expected, the majority of the sample were boys, and there were only five children from year seven (Table 1). Cases and controls did not differ in terms of age, gender, ethnicity or general health, which suggests some success in the selection process. The cases had attended more schools and received more support within the school compared to the control group, as would be expected for a group of

children experiencing difficulties in coping with school. All except two of the cases had experienced an exclusion from school with just over half of them experiencing three or more exclusions (n=22, 56.4%). The cases experienced more psychological distress within their families compared to the control families. Parents of the cases gave significantly less affirmation (mean 10.6, SD 1.5) compared to parents of the controls (mean 11.5, SD 1.0) and had a higher mean for coercive parenting (discipline subscale) but no difference was found for the implementation of rules and boundaries (Table 1).

>>>INSERT TABLE 1 ABOUT HERE

Psychopathology

Table 2, shows the mean scores and percentages for psychopathology among the cases, controls and normative group. Clear differences are apparent between the cases and controls and also in comparison between the cases and normative samples. Children's mean SDQ total difficulty scores from both the parent and teacher showed cases to score more than two standard deviations higher than the population norm and significant differences were shown between the cases and controls (parent: z=-6.007, p<0.001; teacher: z=-6.463). This was consistent across all subscales of the SDQ, with the exception of parent reported peer problems.

The impact of psychopathology reported by parents (Table 2) was much higher among cases than the controls (mean impact score for cases = 6.7, SD 2.6; mean for controls=0.2, SD 0.8; z = -12.3 p<0.001) and normative data (mean =0.5, 1.5; z = -25.2 p<0.001). Similarly, teachers reported significantly higher levels of impact among cases than controls (mean for cases = 4.6, SD 1.5; mean for controls =0.04, SD 0.2; z = -16.0 p<0.001) and normative data (mean=0.4, 0.9; z = -23.2 p<0.001). Both parents and teachers of the cases experienced high levels of burden, although 20% of parents had reported '*no/only a little' burden*, which may indicate the school-based nature of these children's difficulties.

>>>INSERT TABLE 2 ABOUT HERE

Cases had higher percentages of disorder across all the categories (see Tables 2 and 3); almost all cases had a diagnosis of any behavioural disorder (n=36, 90.0%) but half had an emotional disorder (n= 20, 50%, Table 3). Cases had over five times the prevalence of oppositional defiant disorder (ODD) and more than 25 times the prevalence of conduct disorder than children of a comparable age in the general population. The majority of the cases (n=39/40) and one of the controls had a comorbid diagnoses, compared with 20% in the general population. A fifth of the cases (n= 9, 22%) compared with 2% of the school age children within the general population have experienced deliberate self-harm at some point during their lives (Table 2).

>>>>INSERT TABLE 3 ABOUT HERE

Development

The sensory profile of the cases was atypical, with over two thirds of them categorised as having 'definite' difficulties, compared to only one in the control group (Table 4). Similarly, the mean total communication scores among the cases were below the 10th percentile whereas controls had significantly higher levels of communication. Interestingly, the receptive vocabulary of cases and controls receptive vocabulary did not differ. Parents of the cases reported over half of them to have a learning difficulty compared to none of the controls; this however may reflect a recruitment bias of children with additional problems whose parents wished to access assessment via the study. The total

emotional literacy scores from all three informants (parent, child and teacher) were almost two standard deviations below the population mean among cases while the control group scored consistently higher than the population mean, suggesting that the cases struggled to read, understand and regulate their emotions (Table 4).

>>>> INSERT TABLE 4 ABOUT HERE

Attainment

Table 5, shows the differences between the cases and controls in terms of their attainment and ability. The majority of the control group were performing at levels appropriate for their age across subscales of the BAS, while cases performed less well on all but picture similarities. Cases and controls did not differ in performance on the Ravens Coloured Progressive Matrices; however the range of scores was much greater among the cases, which suggests that a larger sample may have detected differences, and implies that more children scored at both extremes of the distribution among the cases.

>>>>INSERT TABLE 5 ABOUT HERE

>>>INSERT TABLE 6 ABOUT HERE

A model was developed to explore whether if the child was a case or a control was associated with the child's psychopathology and / or the presence / absence of psychiatric disorder (Table 6). Initial unadjusted logistic regression models showed that psychopathology, communication, sensory processing and emotional literacy were statistically significant predictors of group membership, but that age, gender and ethnicity were not. The second model included all four variables (SDQ, CCC2, SSP and ELS), but only the SDQ remained significant suggesting that the child's psychopathology and behaviour was the most significant driver of whether a child was a case or control (Table 6).

Recognition and support of difficulties

Of the 40 cases who had completed the SDQ and DAWBA, nearly two thirds were reported to have 'definite' or 'severe' problems with emotions, behaviour or paying attention by both the parent and teacher (n=25, 62.5%) (Table 7). More children had difficulties reported only by the teacher (n=8, 20%) compared to the parents (n=4, 10%), which may be related to differences in reporting how the child functions in different environments, and / or the perceptions of these key adults about how the child functions. The remaining children had unrecognised difficulties (n=2) or were in the subclinical group (n=1). One parent did not complete the SDQ. Disagreement about the presence/absence of difficulties might complicate home and school relationships. Most families of children who were cases had made contact with services (n= 39, 90.7%); the mean number of services consulted for the cases was five and the range 0 to 9. Teachers were most commonly consulted professional (n=34, 82.9%, Figure 2. 36.6% (n=15) of cases had a learning difficulty as reported by the parent, none of the controls had a reported learning difficulty (Table 4). Given that these children were at risk of exclusion from their schools and nearly all met criteria for psychiatric disorder, it is perhaps surprising that the level of contact with specialist services, particularly in education and mental health is not higher than indicated in Figure 2.

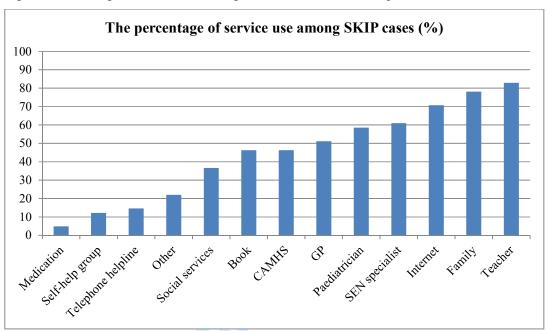


Figure 2- Percentage of service use among the cases of the SKIP sample

Discussion

The SKIP study aimed to explore the levels of psychopathology, development and attainment among children who were at risk of or had been excluded from school in the Southwest of England. Through systematic assessment the study highlighted the complex and overlapping pattern of difficulties across multiple functional domains experienced by this group of children compared to our control group and to normative data. There was a high level of recognition of difficulty by parents and teachers, but given the severity of the children's needs the proportion of cases in contact with services was surprisingly low. The findings reflect the challenges for families, schools and services to both *identify* and *support* the needs of this vulnerable group. Parental psychological distress was evident among the cases families and studies have shown potentially tractable links between parental and child mental health (Schepman et al., 2011). Of particular concern within this study was the high proportion of children reported to have deliberately self-harmed, which was unexpected among such young children.

Our findings reflect similar results from earlier studies of children excluded from primary school in England in the 1990's (Hayden, 1997, Hayden and Dunne, 2001, Hayden and Lawrence, 1995, Parsons et al., 2001) and those conducted more recently in the US (Bowman-Perrott et al., 2011). A case control study of 26 children identified to have persistent disruptive behaviour found the majority of them to have social communication impairment that had not previously been identified (Donno et al., 2010). The SKIP study extended these findings further by the inclusion of a broader range of assessments on a larger group of children and their families.

The majority of the SKIP cases were boys, in line with government statistics (Department for Education, 2015b) with nearly all of them reaching research diagnostic criteria for conduct or

oppositional defiant disorder. Improving behaviour in the classroom is an ongoing focus of recent policy (Department for Education, 2014a, Great Britain, 2011). A survey conducted by the OECD found 30% of effective teaching time was lost due to poor behaviour in schools (Department for Education, 2012). This study indicates the importance that school staff are trained and supported to manage and understand the challenging behaviour that they will inevitably be required to handle. Interestingly, we found that there were less extensive differences between the cases and controls with regards to their levels of attainment, suggesting that poor mental health was more influential than poor attainment among the ability of children who struggled to function in school. The range of scores for the cases was much greater, which suggests a spectrum of ability that did not cluster towards the lower ability range as might have been expected. Our sample of cases included children who were attaining above average for their age and might therefore be expected to thrive within school.

Nearly all the cases had emotional and behavioural needs recognised by both the teacher and parent and both informants reported a great deal of impact and burden. As previously reported (Ford et al., 2007), teachers were the most consulted source of support by parents in the SKIP study. Similarly, nearly two thirds of the cases had sought support from specialist educational sources. Given the severity and prevalence of psychiatric disorder (97.1%) detected, the proportion in contact with child and adolescent mental health services is surprisingly low (46.3%) but exceeds the 25% reported in previous epidemiological studies (Ford et al., 2007). Considering all of the cases were having severe difficulties within the school environment we might expect a higher percentage to be in contact with special education practitioners, however our findings that some children faced the possible rupture of their primary school placement without such input could reflect a serious lack of resources to respond effectively and promptly. The costs of specialist provision are high and early identification and remediation may actually cost less in the longer term.

Strengths and Limitations of the study

The SKIP study benefitted from the breadth and robustness of the assessments used with the child, family and school. The SKIP study encouraged a joint understanding of the child's strengths and needs from both a health and educational perspective, and enabled the sharing of assessments through the development of the feedback form (available from the author's on request) to support access to support.

A number of limitations need to be considered when considering these findings. Difficulties with recruitment were anticipated when working with hard to reach populations at a particularly vulnerable time (Curtis, 2004, Macnab, 2007). Although all families who were at risk of or experienced an exclusion from school were invited to take part in the study the actual participants were likely to be families who were more predisposed to undergo assessments and to want to understand their child's difficulties. A very small proportion of children who had experienced exclusion from school were recruited, given the number of letters that were dispatched to families of excluded children, which limited the extent of the analysis that we could undertake as well introducing the threat of selection bias. Although we achieved a larger number of cases than the only other similar study (Donno et al. 2010) we studied a much broader range of outcomes and while interpreting our findings it is worthwhile remembering that at a level of p=0.05, one in twenty tests is likely to be significant by chance alone. The demographic profile of the children included in the SKIP sample were not completely reflective of national data (Department for Education, 2015b); although the majority of the cases were boys and over a third of them had learning difficulties the majority of the cases were from a white British ethnicity and two thirds lived in more affluent neighbourhoods (middle to upper levels of the index multiple deprivation). This could be a reflection of the area the study was located as well as the types of families that were willing to participate.

The control group were atypical to the normative data on some of the measures, performing better than average compared to the cases and the normative group; however they were not more privileged to the cases in terms of neighbourhood deprivation. These potential selection biases might inflate differences between the cases and control groups and were addressed by the presentation of population-based normative data where possible. Missing teacher data for the cases may also have meant that diagnoses of ADHD or conduct disorder were underestimated, as multiple sources of information facilitates the most accurate estimate of these diagnosis (Meltzer et al., 2000).

The measures and assessments selected for the study were based on guidance from literature and our steering group, which consisted of a number of educational and clinical professionals; however future research may benefit exploring other measures. As with all psychometric tests, there are difficulties in the consistency of reporting and the impact that events will have on how the child, parent and teacher completes the measure. Many of the measures relied on self-report data which could lead to concerns about the accuracy of the information provided. Most of the measures focused on the child or young persons 'typical' behaviour, or the behaviour over the last few months however, for the parents whose children were very distressed this may have been difficult to quantify and they may have been reporting on isolated incidents of distress. This therefore, may mean the results were fluctuations due to distress and / or social desirability but these influences would work in different directions. The selection of validated measures and sensitive timing and conduct of data collection aimed to minimise the impact of these potential biases.

While we had data on services accessed, we had no data on any intervention offered. Service contact does not necessarily imply that needs are accurately assessed or supported. However, we were keen to keep the level of burden on our participants as low possible and focused our attention on getting detailed descriptions of the child's mental health status, developmental level and attainment. Similarly, we do not have detailed information about how parents and teachers' understood children's difficulties only that they thought that the child did or did not have a difficulty. Both could be usefully explored in depth in future studies, as how people understand difficulties is likely to influence what and how support is sought, and it would be important to understand schools and families experiences of the effectiveness of interventions in this complex and vulnerable group.

Future research

Future work could also develop and evaluate the implementation of standardised assessments in response to a child who risks future exclusion from school. However, assessment would need to be combined with effective intervention to improve outcomes. Khan and colleagues (2015) reported there to be a wealth of interventions related to clinically diagnosable mental health conditions for children that are effective at improving outcomes related to their social, emotional, learning, communication and peer relations. The balance between the costs of intervention against future gains in specialist input and provision requires formal economic evaluation to support commissioning decisions.

Further longitudinal studies could explore the predictors and developmental, scholastic and mental health trajectories of children who are later excluded from school in more detail. Although this study was able to capture the impact of the exclusion on the parents and teachers in terms of quantitative findings, it would be of interest to conduct further qualitative research to gather these experiences, and to include measures of the school context. Few such studies have reported the views and experiences of primary school age children and their parents.

Implications for practice

This paper is timely in identifying the complex difficulties faced by children excluded from school, particularly in light of the recent changes in Special Educational Needs and Disability (SEND) and a focus on the mental health and well-being of children (Department for Education and Department of Health, 2014, CMO, 2014). Most of the children were recognised by parents and / or teachers to have poor mental health, which suggests a failure to provide adequate support, whereas the current policy focus aspires to early identification and *prevention rather than remediation* (Taggart et al., 2006); (Department for Education and Department of Health, 2014).

The promotion of the emotional mental health and well-being of pupils is emphasised actively in the literature and current policy (Department for Education, 2014a, Kidger et al., 2012, Weare and Markham, 2005, DeSocio and Hootman, 2004). A recent government initiative to improve the knowledge and confidence with mental health issues among those working within children in any capacity includes the MindEd website, which is a cost-free resource covering a wide range of topics related to children's mental health (MindEd, 2014). Schools are thought to be well placed to identify and potentially support the mental health needs of children (Department for Education, 2014a, NICE, 2008) and recent initiatives to improve joint working between schools and child mental health services are hoping to harness links further between education and children's mental health (Department for Education, 2015a). Recent policy changes have replaced statements of educational needs with education, health and care plans (Great Britain, 2014), which enables a broader approach to thinking about children's needs that can explicitly include mental health. There are however, limits to the level of input provided within the school environment and teachers have reported feeling uncomfortable about devoting scarce funds to manage such what are perceived by some an "non-educational" needs of their pupils (Gowers, 2004).

The Department for Education (2012) stated, 'disruptive behaviour may indicate unmet need, so it is essential to explore reasons behind'. While our findings clearly identified a number of vulnerabilities among the cases, the child's behaviour seem key to their difficulties in school, which is unsurprising as this is the main reason given by headteachers to exclude a pupil (Department for Education, 2014b). Teachers need support in the management of disruptive behaviour at all levels as a matter of urgency. Comprehensive assessment of children's mental health, development and attainment may provide opportunities to think differently about the way these children are disciplined and supported. There is a recognised need to be cautious in terms of the adverse effects of *labelling* and the appropriateness of diagnosis at such a young age, in terms of stigma and separation. These issues around stigma and labelling relate as much as to how the information from such assessments is or is not shared and applied as to the assignment of the labels themselves (Shah and Mountain, 2007). It should also be remembered that, exclusion from school also carries stigma. Some children will require specialist provision, but surely it is preferable for all concerned if this occurs as planned transition for the child's benefit, rather than as a result of the rupture of a school placement. The failure to conduct systematic broad-based assessments can mean that particular types of difficulties may be missed, and may not respond to the non-specific psychological interventions that then tend to be offered. We should be careful not to deprive families and children of information that could support their access to resources. Ours findings illustrate the complex needs of this group of children, and emphasise that they are not just *naughty* children; the risk of the breakdown of a primary school placement should trigger systematic and comprehensive assessment for tractable difficulties that may respond to remediation.

Conclusion

This study has shown that children who struggle to cope in primary school to the extent that they are excluded from school often have impairing levels of psychopathology, developmental and learning difficulties. Worryingly, the study found a number of children who had experienced very low mood at a comparatively young age or even self-harmed, and given the severity of the difficulties found it is surprising that not all children had accessed services. Disruptive behaviour was almost universal, emphasising the need for effective management and training for staff and families to understand and support children who are displaying challenging behaviour and distress. The current study underlines the importance of situating and seeing the child within their context and thoroughly understanding all of their needs in order to support them engage in education and fulfil their potential. A systematic assessment as presented in this study may enable an improved identification of the child's needs and strengths, and if coupled with appropriate support could lead to improved outcomes for children, their families and schools. There is a wealth of evidence that outlines the potential adverse outcomes associated with exclusion from school; by understanding and addressing the needs further through joint working we will potentially divert vulnerable children onto positive trajectories for the future.



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The 'Supporting Kids, avoiding Problems' (SKIP) study. Relationships between school exclusion, psychopathology, development and attainment; a case control study

Introduction

Schools have been a recognised as a place to identify and supportrole in the identification and for the social, emotional and behavioural needs of the child (NICE, 2008, Department for Education, 2014a). Persistent disruptive behaviour is the most common reason given by headteachers for excluding a child from school (Department for Education, 2015b). The breakdown or potential breakdown of a child's school placement, particularly atduring primary school, strongly suggests the need for should prompt a thorough assessment to explore tractable contributing factors related to learning, mental health and/or the relationships between the school- child- family context.

Research suggests that children may be excluded from school with unidentified, unsupported, or poorly managed Attention Deficit Hyperactivity Disorder (ADHD) (O'Regan, 2010). Similarly, a small case-control study identified undetected autistic—like behavioural—difficulties amongtraits among children reported to be at risk of exclusion due to persistent disruptive behaviour (Donno et al., 2010). Failure to recognise or accurately identify a child's additional needs could be a significant problem as further school placements may rupture, with potential adverse educational, social and health consequences for the child and their family, and not to mention a largewith an inevitable economic burden to society. School exclusion predicts many adverse outcomes including offending, substance misuse and poor educational attainment (Daniels et al., 2003, Hayden and Dunne, 2001, Hemphill et al., 2010, Parsons et al., 2001).

Childhood psychopathology; places a heavy burden on schools and the government and NHS England have recently confirmed the launch of 'The Mental Health Services and Schools Link Pilots' (Department for Education, 2015a). In a large nationally representative study of children's mental health teachers were the most commonly consulted professionals regarding children's mental health were teachers; and—while the proportion of children with psychiatric disorder in contact with special educational professionals equalled the proportion (25%) in contact with child and adolescent mental health services (Ford et al., 2007). The costs of tThese mental health related additional contacts with the education system incur costs that dwarfed those to other public sector services (schools £799.2 million, specialist educational services £508.8 million, £162.8 million for health and welfare combined; 2007-8 prices (Snell et al., 2013)).

Government statistics report a continuing overall downward trend in exclusions from school in England (Department for Education, 2015b). The rate of permanent exclusions (expulsions) reportedly decreased from 12 exclusions per 10,000 pupils enrolled in 2006/07, to 6 exclusions per 10,000 pupils enrolled in 2012/132013/14 (Department for Education, 2015b). A similar overall longer term downward trend has been reported for pupils receiving a fixed-term exclusion (suspension), but with a slight rise inhowever the number of fixed-term exclusions from primary schools has increased, accounting for 0.02% of pupil enrolment (Department for Education, 2015b). Some groups of children are disproportionately represented in the exclusion figures. Boys are approximately over three times more likely to receive a permanent be excluded from exclusion from school than girls, and are more likely to be excluded at a younger age. Similarly, children from certain ethnic groups, namely Gypsy/Roma and Traveller of Irish Heritage, Black Caribbean and White and Black Caribbean dual heritage, all have a higher rate of exclusion, as do children eligible for Free School Meals (FSM) (FSM, Department for Education, 2015b). It is particularly concerning that seven in ten of all permanent exclusions are of children with a statement of Special Educational Needs (SEN) are

approximately six times more likely to be excluded from school than their peers with no SEN, as are six in ten for fixed-term exclusion. Similarly, children, while a child with SEN without a statement is tenare nine times more likely to be excluded than their peers without SEN (Department for Education, 2015b). This last point would seem to suggest that inadequate support and resources may contribute to the exclusion of vulnerable children.

It is, however, likely that these the decline in overall rate of exclusions presented by government statistics are misrepresentative for a number of reasons. Managed moves, where children are formally moved between schools to avoid exclusions, are thought to be becoming more common and are not included in statutory returns to government routinely recorded (Abdelnoor, 2007). More worryingly it has been suggested that pressures on schools to remain inclusive have led to higher levels of *hidden* exclusions; the Children's Commissioner for England reported there to be a number of illegal exclusions from school, where for example, the headteacher would send pupils home to 'cool off' (Children's Commissioner, 2012, Children's Commissioner, 2013).

Few recent studies that-have explored the relationship between exclusion from school exclusion and children's psychopathology (Parker et al., 2014, Whear et al., 2013). This case-control study aimed to explore the level of psychopathology and learning difficulties; and the extent to which they were recognised and supported; among children who had been excluded from school or were *at risk* of exclusion (cases) compared to peers of the same age and gender who were coping well with school (controls). Based on government statistics and literature regarding the potential vulnerabilities of this group Wwe predicted that there would be higher levels of psychopathology, greater levels of developmental delays difficulties, and lower levels of attainment among the cases, but that most cases would have recognised needs and have accessed services for support.

Methods

Ethical approval was granted by the University of Exeter Medical School Research Ethics Committee. Written consent was obtained from parents and teachers. Verbal assent was gathered from children and distress during assessment was treated as withdrawal of assent for that appointment.

Design and Sample

This was a prospective case-control study with additional comparison to normative population data where available. Cases were defined on the basis of *exclusion/risk of exclusion*. Cases were eligible for inclusion if, after the start of the study, they were either excluded permanently or for a fixed-term or if they were identified by an educational or mental health practitioner (-for example, Special Educational Needs Coordinator, Educational Psychologist, Behavioural Support Teacher) as being at risk of exclusion.

Eligible children were those aged between 4-12 years, which included all primary school year groups and those in Year 7 (first year) of secondary school, whose currently attended or their most recently attended school was a mainstream school. Families were excluded from the study if any health, education or social care practitioners working with them deemed them to be too vulnerable, or family members did not speak sufficient English in order to complete the questionnaires and assessments, even with despite support. Families were also excluded from the study if children had voluntarily left school (e.g. parental removal, child refusing to attend).

The control group included children who were identified as *currently* not struggling with school at the time of the study, whether or not they had identified psychiatric disorders, SEN or had previous exclusions from school.

A power calculation was not conducted as based on a<u>The_previous similar</u> study conducted by Donno and colleagues (2010) they reported a sample of 26 was required in order to have the power to detect an effect as large as d =0.9 (Cohen, 1992) on(Bishop, 2003).

Recruitment

The study was advertised through newsletters distributed to all schools and to key education professional networks in Devon during the period of November 2011-July 2013. Parents of potential cases were notified directly of the study if their child had experienced a permanent or fixed-term exclusion from school either by through an educational or mental health professional who was known to them or through a letter sent to them by the Inclusion and Reintegration Team. Following consent the Pparent's' details were passed onto the researcher by the professional involved if they family agreed, or the parents could contact the researcher directly. Parents of children who had experienced multiple exclusions during the period of study were sent a maximum of two letters inviting them to participate in the study, during the six months after the initial exclusion.

Nineteen primary schools and four secondary schools <u>within Devon</u> were approached to take part in the study as control schools, <u>and f. Four primary schools</u> and two secondary schools agreed to participate. Information sheets were distributed by the schools to those children they deemed were currently "not struggling" with school at the time of the study. Although we <u>were</u> initially aimed <u>to recruit match for</u> control children <u>to be matched</u> to each case <u>on by year groupage</u> and gender, <u>due to recruitment proved difficult and difficulties this was not possible.</u>, <u>sSs</u>chools were <u>therefore</u> encouraged to select children <u>that reflected the of the same</u> gender and age to the cases <u>where possible</u>. <u>Consequently, tThis was therefore</u> not an individually matched design, but recruitment of controls was <u>then</u> stratified by year group and gender.

Parents of both cases and controls indicated to the researchers whether they gave consent for the school <u>staff</u> to complete <u>the measures described below</u>.

Measures

Psychopathology

Strengths and Difficulties Questionnaire (SDQ)

The SDQ is a well-validated (Cronbach alpha, 0.73, test-retest reliability of 0.62) and widely used behavioural screening tool for common childhood psychopathology among children aged 4-16 years (Goodman, 2001). The SDQIt was completed by both parents and teachers and comprises 25 items, half stated as positive and half as negative. These items contribute to five sub-scales; emotional symptoms, conduct difficulties, hyperactivity/inattention, peer problems and pro-social behaviour. A total difficulties score is calculated by adding the sub-totals from the first four subscales, and ranges between 0 and 40 with high scores indicating distress. In contrast, the prosocial scale is scored so that high scores indicate strong social skills. The impact supplement asks the informant about whether they consider the child to have a significant mental health problem and if so the impact of these in terms of the distress to the child, the impact for the child on their home life, friendships, classroom learning and leisure activities; and the burden on the informant. The SDQ also included an impact scale; which asked supplement asked parents and teachers how much burden the child's difficulties had put on 'you and the family' or 'you and the class' as a whole, ranging from not a lot, to a great deal.

Development and Well-being Assessment (DAWBA)

The DAWBA is a validated, standardised diagnostic interview used to generate psychiatric diagnoses, based on the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition (DSM-IV) (Goodman et al., 2000, APA, 1994). The DAWBA combines both structured and semi-structured features of interviews, with the closed questions relating directly to diagnostic criteria within the International Classification of Diseases, Tenth Edition (ICD-10) (WHO, 1993) and the DSM IV (APA, 1994). The open-ended questions enable the informant to provide any further information about the child's difficulties, which weare used by the clinician alongside the generated computer algorithm to decide on diagnosis. A validation study demonstrated clear differentiation between clinical and community samples (Goodman et al., 2000). Within the community sample those with and without disorders demonstrated markedly different characteristics and prognosis, while there was substantial agreement (Kendall's Tau from 0.47- 0.70) between the DAWBA and clinical case notes among the clinical sample, despite a lack of detail and poor recording of comorbidity in the clinical notes.

The DAWBA was completed by parents and teachers. Two child psychiatrists (TF, OM) used the quantitative and qualitative information from all respondents to assign clinical diagnoses according to DSM IV (APA, 1994). The level of chance corrected agreement between them was high across the main categories of disorder (any disorder, emotional disorder, any ADHD, any behavioural and any Autism Spectrum Disorders (ASD), Kappa= 0.93, 0.82, 0.96, 0.97. 0.77 respectively).

Deliberate Self Harm

The DAWBA interview contained questions for parents about yes or no—whether their child had talked about or deliberately harmed themselves in the last 4 weeks and whether their child had *ever* tried to harm/hurt themselves over their lifetime. <u>Parents could Responses were "yesrespond "yes"</u> or "no".

Affective Reactive Index (ARI)

The ARI is a novel measure of irritability (Stringaris et al., 2012). The measure comprises of six items where parents are asked 'in the last six months and compared to others of the same age, how well does each of the following statements describe the behaviour/feelings of your child?' Parents could respond on a three point scale to give an overall score and a separate impairment score. The measure has been found to be reliable in mental health settings in the US (Cronbach's alpha, 0.92) and the UK (Cronbach's alpha 0.89) (Stringaris et al., 2012). The ARI was completed by parents only.

Development

Learning difficulty was reported by the parents (responding "yes" or "no") within the DAWBA interview. Parents were asked *does child have a specific learning difficulty?* They could respond "yes" or "no".

Children's Communication Checklist (CCC2)

The CCC2 was used to assess aspects of communicative and language impairment (Bishop, 2003). It is a widely used, well-validated (test-retest reliability 0.86-0.96) assessment tool that enables the identification of children with possible speech and language impairment as well as those children who may warrant further investigation (Bishop, 2003). The measure comprises of 70 items, divided into 10 subscales (speech, syntax, semantics, coherence, inappropriate initiation, stereotyped language, use of context, nonverbal communication, social relations and interests). Each scale includes seven questions; five of these refer to difficulties that may affect the child's ability to communicate and two refer to the strengths of the child. The CCC2 was completed by parents only.

The Short Sensory Profile (SSP)

The SSP; completed by the parent is a widely used standardised measure (<u>Cronbach's</u> alpha 0.47-0.91) designed to screen and identify whether a child is experiencing sensory modulation difficulties (Dunn, 1999). It includes 38 items, grouped into seven categories; tactile sensitivity, taste/smell sensitivity, movement sensitivity, under responsive/seeks sensation, auditory filtering, low energy/weak and visual/auditory sensitivity. Parents can respond to the items on a five-point Likert scale; these are scored from, 1 'always' – 5 'never'. Scores at or above one standard deviation of the mean for each category represent 'typical performance', those at or above two standard deviations below the mean (but lower than one standard deviation), represent 'probable difficulties' and those falling two standard deviations below the mean are deemed to have 'definite difficulties'.

British Picture Vocabulary Scale (BPVS)

The BPVS provided a measure of receptive vocabulary of the child (Dunn et al., 1997). The measure administered by the researcher (CP) to the child, contains 14 sets of 12 test items (168 in total). Each page contains four black and white illustrations that get progressively more difficult as the sets proceed. It is a widely used measure, reliability (0.91) (Dunn et al., 1997).

The Emotional Literacy Scale (Faupel, 2003) was completed by the parent, teacher and child if aged seven years or older. The parent and the pupil measures both included 25 questions compared to 20 questions in the teacher questionnaire; which all provided an overall emotional literacy score. Descriptive categories were used to interpret the child's total emotional literacy score; these ranged from "well below average" to "well above average" on a five point scale. Internal consistency for the total emotional literacy score was 0.76, 0.94 and 0.87 for the pupil, parent and teacher scale respectively (Faupel, 2003).

Attainment

Raven's Coloured Progressive Matrices

The child's intellectual potential was estimated using the Ravens Coloured Progressive Matrices (CPM) (Raven, 2008). The measure was administered by the researcher (CP) to the child and consists of 36 diagrammatic puzzles. These are designed to assess the intellectual processes of young children, and do not require high levels of verbal ability. and are These are therefore often used with children who have SEN or language difficulties. The test manual reports most studies test-retest reliability to be above r=0.80 (Raven, 2008).

The British Ability Scales (BAS-III)

The BAS were used to gather an overall impression of the child's level of attainment (Elliot and Smith, 2011). The BAS-III is a standardised assessment battery that is widely used by educational psychologists to test cognitive abilities and educational achievement. Five subscales were selected to be completed by the child, this included; quantitative reasoning (or picture similarities if the child was aged 5 years), digits forward, digits backwards, number skills and word reading. The subscales were chosen to mirror educational attainment skills.

Standardised t-scores and s-scores are presented for both the Raven's CPM and the BAS-III, to enable comparison to the normative populations. <u>All tests were administered by the lead authorresearcher</u> (CP).

Other relevant measures used

The Emotional Literacy Scale (Faupel, 2003) was completed by the parent, teacher and child if aged seven years or older. The parent and the pupil measures both include 25 questions compared to 20 questions in the teacher questionnaire; which all provided an overall emotional literacy score. Descriptive categories were used to interpret the child's total emotional literacy score; these ranged from "well below average" to "well above average" on a five point scale. Internal consistency total was 0.76, 0.94 and 0.87 for the pupil, parent and teacher scale respectively for the overall emotional literacy score (Faupel, 2003).

General demographic information about the child and their family were gathered within the on-line DAWBA interview. Information was provided on the type of school the child attended, the level of support given within the school, external services added attended and the number of exclusions, if the child had received any. The Family Life Questionnaire (FaLQ) was completed by the parent, (Last et al., 2012) and provided a measure of the child's experience within the family environment. Fourteen items assessed four theoretical scales; affirmation (four items), discipline (four items), special allowances (three items) and rules (two items). Internal consistency of the four scales ranged from 0.40-0.70, with affirmation and rules particularly good (Cronbach's alpha =0.61-0.74) and good test retest reliability (Intraclass correlation coefficient (ICC) =0.80, 0.70 respectively), discipline showed poor internal consistency (Cronbach's alpha =0.30-0.40) but good test retest reliability (ICC=0.60) (Last et al., 2012).

Parents' postcodes were used to link to the Index of Multiple Deprivation (IMD) scores (ONS, 2001), this which provided a measure of neighbourhood deprivation for in small geographical areas. The IMD score was ranked from the most deprived (0-20%) to the wealthiest area quintile (80-100%) based on a combination of factors that included, income, education, health, housing and living environment (Department for Communities and Local Government, 2007, Shilling et al., 2011).

Procedure

An initial meeting was set-up between the parent and the researcher to discuss participation and to gain informed consent after parents had been sent information about the study. Parental assessments were either completed face to face or the parents completed the assessments independently, completing the DAWBA interview online and mailing the questionnaires back to the researcher. With parental permission; the school completed assessments; the informant was selected by the parents as a member of staff who knew the child well. Parents' specified whether they would prefer the child assessments to be completed at home or at school, and assessments were completed as rapidly as possible after the exclusion or referral into the study.

Once all the assessments had been completed, the results were shared with the parents in the SKIP feedback form (Supplementaryavailable from the author's on request). Parents were encouraged to share the feedback with the school and any other services deemed important that may be working with the child (multiple copies were provided as per parents' requests).

Data analysis

The sample was grouped by diagnosis and difficulties using the DAWBA and SDQ impact supplement in order to explore the extent to which psychopathology was recognised. The SDQ impact supplement asks 'has s/he got difficulty in one or more of the following areas: emotions, concentration, behaviour or being able to get on with other people'; possible responses are on a four point Likert scale; no, yes: minor difficulties, yes: definite difficulties or yes: severe difficulties. A binary variable was derived, tThe child was recorded as having no difficulty if the parent and teacher

had report *no* or *yes: minor difficulties* or as having a difficulty if both had reported *yes: definite* or *yes:* severe. Children were split into four groups using theis binary recognition variable and the presence / absence of psychiatric disorder according to the DAWBA. A "no disorder" group, those children who had no clinical diagnosis and neither the parent or teacher had reported any difficulty. A "sub-clinical" group included children who had no DAWBA diagnosis but the parent and teacher did report there was a difficulty. An "unrecognised" group in which children had a DAWBA diagnosis but neither parent nor the teacher had reported a difficulty and a "recognised" group, who had both a DAWBA diagnosis and a parent or teacher reported difficulty.

Population norms were sought for as many measures as possible, because of concerns the size and selection of the control sample. Descriptive summaries statistics were examined to seek systematic differences between the cases and the control group, and population norms where available. Fisher's Exact tests was used to examine the significance of associations between categorical variables. The Wilcoxon Rank-Sum test was used to assess the significance of differences between cases and controls for continuous variables, given its improved efficiency compared to the t-test for non-normal distributions.

Multivariable logistic regression models were fitted to explore combined effects of explanatory factors on case-control status. Following initial screening of unadjusted models the following variables were considered for inclusion in multivariable models: psychopathology, communication, sensory differences and emotional literacy. These variables were selected due to clinical consideration.

The results will be presented in three sections that discuss psychopathology, development and lastly attainment.

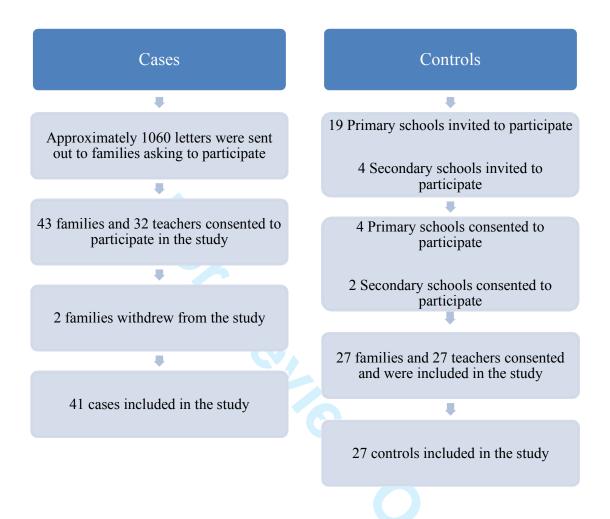
All analysis was conducted in STATA version 13.0 (StataCorp, 2013).

Results

Sample

A total of 70 families were recruited, of whom 43 were cases and 27 controls (<u>Figure 1 Figure 1</u>). With parental consent, 54 teachers completed assessments (cases n=32 (74%), controls n=27 (100%)). <u>Subsequently Tt</u>wo of the cases withdrew from the study and three parents opted to not involve the school in the study. The majority of missing data in the case group was accounted for by teacher non-response. All teacher and child assessments for the control group were completed.

Figure 1 - Flow diagram of recruitment for both cases and controls



As expected, the majority of the sample were boys, and there were only five children from year seven (Table 1). Cases and controls did not differ in terms of age, gender, ethnicity or general health, which suggests some success in the selection process. The cases had attended more schools and received more support within the school compared to the control group, as would be expected for a group of children experiencing difficulties in coping with school. All except two of the cases had experienced an exclusion from school with just over half of them experiencing three or more exclusions (n=22, 56.4%). The cases experienced more psychological distress within their families compared to the control families. Parents of the cases gave significantly less affirmation (mean 10.6, SD 1.5) compared to parents of the controls (mean 11.5, SD 1.0) and had a higher mean for coercive parenting (discipline subscale) but no difference was found for the implementation of rules and boundaries (Table 1).

>>>>INSERT TABLE 1 ABOUT HERE

Psychopathology

Table 2, shows the mean scores and percentages for psychopathology among the cases, controls and normative group. Clear differences are apparent between the cases and controls and also in

comparison between the cases and normative samples. Children's mean SDQ total difficulty scores from both the parent and teacher showed cases to score more than two standard deviations higher than the population norm and significant differences were shown between the cases and controls (parent: z=-6.007, p<0.001; teacher: z=-6.463). This was consistent across all subscales of the SDQ, with the exception of parent reported peer problems.

The impact of psychopathology reported by parents (Table 2) was much higher among cases than the controls (mean impact score for cases = 6.7, SD 2.6; mean for controls= 0.2, SD 0.8; z = -12.3 p<0.001) and normative data (mean =0.5, 1.5; z = -25.2 p<0.001). Similarly, teachers reported significantly higher levels of impact among cases than controls (mean for cases = 4.6, SD 1.5; mean for controls=0.04, SD 0.2; z = -16.0 p<0.001) and normative data (mean=0.4, 0.9; z = -23.2 p<0.001). Both parents and teachers of the cases experienced high levels of burden, although 20% of parents had reported 'no/only a little' burden, which may indicate the school-based nature of these children's difficulties.

>>>>INSERT TABLE 2 ABOUT HERE

Cases had higher percentages of disorder across all the categories (see Tables 2 and 3); with almost all cases having had a diagnosis of any behavioural disorder (n=367, 90.0%) and but; half had an emotional disorder (n=20,50%, Table 3). Cases had over five times the prevalence of oppositional defiant disorder (ODD) and more than 25 times the prevalence of conduct disorder than children of a comparable age in the general population. The percentage of cases with a diagnosis of oppositional defiant disorder (ODD) was 13 times what would be expected within the school age population, with five times 50 times the prevalence of conduct disorder. A quarter of the cases had comorbid diagnoses The majority of the cases (n=309/40) and none of the controls had a comorbid diagnoses three quarters (n=30) had two or more comorbid diagnos, es compared with 20% in the general population. A fifth of the cases (n=9, 22%) compared with fewer than half a per cent2% of the school age children within the general population) had been reported to ever experience deliberate self-harmhave experienced deliberate self-harm at some point during their lives (Table 2).

>>>INSERT TABLE 3 ABOUT HEREE

The impact of psychopathology reported by parents was much higher among cases than the controls (mean impact score for cases = 6.7, standard deviation 2.6; mean for controls = 0.2, SD 0.8; z = -12.3 p<0.001) and normative data (mean =0.5, 1.5; z = 25.2 p<0.001). Similarly, teachers reported significantly higher levels of impact among cases than controls (mean for cases = 4.6, SD 1.5; mean for controls =0.04, SD 0.2; z = -16.0 p<0.001) and normative data (mean=0.4, 0.9; z=-23.2 p<0.001). Both parents and teachers of the cases experienced high levels of burden, although 20% of parents had reported 'no/only a little' burden, which may indicate the school-based nature of these children's difficulties.

Development

The sensory profile of the cases was atypical, with over half two thirds of them categorised as having 'definite' difficulties, compared to only one in the control group (Table 4). Similarly, the mean overall total communication scores among the cases were below the 10th percentile whereas controls had significantly higher levels of communication. Interestingly, the receptive vocabulary of cases and controls receptive vocabulary did not differ. Parents of the cases reported over half of them to have a learning difficulty compared to none of the controls; this however may could reflect a recruitment bias of children with additional problems whose parents wished to access assessment via the study. The

total emotional literacy scores from all three informants (parent, child and teacher) were almost two standard deviations below the population mean among cases while the control group scored consistently higher than the population mean, suggesting that the cases struggled to read, understand and regulate their emotions (Table 4).

>>>> INSERT TABLE 4 ABOUT HERE

Attainment

Table 5, shows the differences between the cases and controls in terms of their attainment and ability. The majority of the control group were performing at levels appropriate for their age across subscales of the BAS, while cases performed less well on all but picture similarities. Cases and controls did not differ in performance on the Ravens Coloured Progressive Matrices; however the range of scores was much greater among the cases, which suggests that a larger sample may have better detected differences, and implies that more children scored at both extremes of the distribution among the cases.

>>>INSERT TABLE 5 ABOUT HERE

>>>>INSERT TABLE 6 ABOUT HERE

A model was developed to explore whether if the child was a case or a control was associated with the child's psychopathology and / or the presence / absence of psychiatric disorder (Table 6). Initial unadjusted logistic regression models showed that psychopathology, communication, sensory processing and emotional literacy were statistically significant predictors of group membership, but that age, gender and ethnicity were not. The second model included all four variables (SDQ, CCC2, SSP and ELS), but only the SDQ remained significant suggesting that the child's psychopathology and behaviour was the most significant driver of whether a child was a case or control (Table 6).

Recognition and support of difficulties

Of the 40 cases who had completed the SDQ and DAWBA, nearly two thirds were reported to have 'definite' or 'severe' problems with emotions, behaviour or paying attention by both the parent and teacher (n=25, 62.5%) (Table 7). More children had difficulties reported only by the teacher (n=8, 20%) compared to the parents (n=4, 10%), which may be related to differences in reporting how the child functions in different environments, and / or the perceptions of these key adults about how the child functions. The remaining children had unrecognised difficulties (n=2) or were in the subclinical group (n=1). One parent did not complete the SDQ. Disagreement about the presence/absence of difficulties might complicate home and school relationships. Most families of children who were cases had made contact with services (n=39, 90.7%); the mean number of services consulted for the cases was five and the range 0 to 9. Teachers were most commonly consulted professional (n=34, 82.9%, Figure 2), and 36.596% (n=15) of cases had a learning difficulty as reported by the parent, none of the controls had a reported learning difficulty (Table 4). Given that these children were at risk of exclusion from their schools and nearly all met criteria for psychiatric disorder, it is perhaps surprising that the level of contact with specialist services, particularly in education and mental health is not higher than indicated in Figure 2Figure 2.

>>>>INSERT TABLE 7 ABOUT HERE

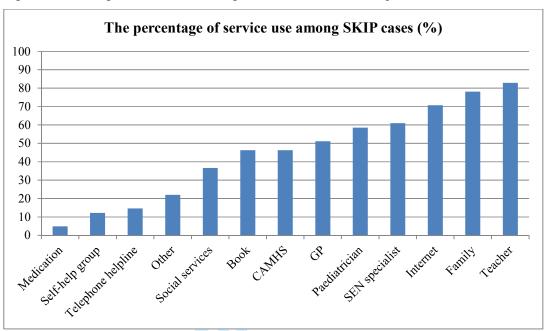


Figure 2- Percentage of service use among the cases of the SKIP sample

Discussion

The SKIP study aimed to explore the levels of psychopathology, development and attainment among children who were at risk of or had been excluded from school within Devonin the, Southwest of England. Through a systematic assessment, the study highlighted the complex and overlapping pattern of difficulties across multiple these functional domains faced experienced by this group of children compared to our control group and to normative data. As we predicted, Tthe study found tThere to be was a high level of recognition of difficulty by parents and teachers, but given the severity of the children's needs, the proportion of cases in contact with services was surprisingly low. The findings reflect the challenges for families, schools and services to both identify and support the needs of this vulnerable group. Parental psychological distress was evident among the cases families and studies have shown potentially tractable links between parental and child mental health (Schepman et al., 2011). Of particular concern within this study was the high proportion of children reported to have deliberately self-harmed, which would was unexpected in among such a young group of children. and that parental report consistently under estimates self harm compared to self report—these all illustrate that our estimates are enormous and emphasise that being excluded from school should be a red flag to indicate the need for mental health assessment

Two recent systematic reviews also identified associations between children who had been excluded from school and psychiatric disorder. Our findings also reflect similar results from earlier studies of children excluded from primary school in England in the 1990's (Hayden, 1997, Hayden and Dunne, 2001, Hayden and Lawrence, 1995, Parsons et al., 2001) and those conducted more recently in the US (Bowman-Perrott et al., 2011). A case control study of 26 children identified to have persistent disruptive behaviour found the majority of them to have social communication impairment that had not previously been identified (Donno et al., 2010). The SKIP study extended these findings further

by the inclusion of a <u>larger numberbroader range</u> of assessments on a larger group of children and their families.

The majority of the SKIP cases were boys, in line with government statistics (Department for Education, 2015b) with nearly all of them reaching research diagnostic criteria for conduct or oppositional defiant disorder. Improving behaviour in the classroom is an ongoing focus of recent policy (Department for Education, 2014a, Great Britain, 2011). A survey conducted by the OECD found 30% of effective teaching time was lost due to poor behaviour in schools (Department for Education, 2012). This study indicates the importance that school staff are trained and supported to manage and understand the challenging behaviour presented that they will inevitably be required to handle. Interestingly, we found that there were less extensive differences between the cases and controls with regards to their levels of attainment, suggesting that poor mental health was more influential than poor attainment among the ability of children who struggled in their ability to function in school. The range of scores for the cases was much greater, which which suggestsings a spectrum of ability that did not entirely cluster towards the lower ability range as might have been expected. Our sample of cases included children who were attaining above average for their age and might therefore be expected to thrive within school.

Nearly all the cases had emotional and behavioural needs recognised by both the teacher and parent and both informants reported a great deal of impact and burden. As previously reported (Ford et al., 2007), teachers were the most consulted source of support by parents in the SKIP study. Similarly, a nearly two thirds of the cases had sought support from specialist educational sources. Given the severity and prevalence of psychiatric disorder (97.1%) detected, the proportion in contact with child and adolescent mental health services is surprisingly low (46.3%) but exceeds the 25% reported in previous epidemiological studies (Ford et al., 2007). Considering all of the cases were having severe difficulties within the school environment you wouldwewe might expected a higher percentage ofto be in contact with special education practitioners service contact. Thehowever the low proportion of contacts with educational professionals couour findings that some children faced the possible rupture of their primary school placement without such input could reflect ld be a reflection of a serious lack of resources to respond effectively and promptly. The costs of specialist provision are high and early identification and remediation may actually cost less in the longer term, and finances from schools to access further support:

Strengths and Limitations of the study

The SKIP study benefitted from the breadth and thoroughness or obustness of the assessments used with the child, family and school. Well-validated, reliable measures were used in order to provide comparable findings that were relevant to identify a range of factors that may be impacting the child's ability to cope at school. The SKIP study encouraged a joint understanding of the child's strengths and needs from both a health and educational perspective, and. It enabled the sharing of assessments through the development of the feedback form (Supplementaryavailable from the author's on request) which we hoped supported and facilitated joint working of parents and schools across Devon to support access to support. This study contributes to further understanding of primary school children who have been excluded from school, by also including those children in the first year of secondary school we were able to capture the transition from a primary to secondary setting that may have brought difficulties to light, although the numbers were small.

A number of limitations need to be considered when considering these findings. <u>Difficulties with</u> recruitment were anticipated when working with hard to reach populations at a particularly vulnerable time (Curtis, 2004, Macnab, 2007). -Although all families who were at risk ofed or experienced an

exclusion from school, or were at risk, were invited to take part in the study -the actual participants weare likely to be families who were particularly concerned about their child and wished were more predisposed to undergo to access assessments and to want to understand their child's difficultiesing. A very small proportion of children who had experienced exclusion from school were recruited, given the number of letters that were dispatched to families of excluded children, which limited the extent of the analysis that we could undertake as well introducing the threat of selection bias. Although we achieved a larger number of cases than the only other similar study (Donno et al. 2010), we studied a much broader range of outcomes, and while interpreting our findings, it is worthwhile remembering that at a level of p=0.05, one in twenty tests is likely to be significant by chance alone. This is to be expectedwas anticipated when working with hard to reach populations at a particularly vulnerable time. The demographic profile of the children included in the SKIP sample were not completely reflective of national data (Department for Education, 2015b); although the majority of the cases were boys and over a third of them had learning difficulties the majority of the cases were from a white British ethnicity and two thirds were in the middle to upper levels of the index of multiple deprivation, which is reflective of more well-off areas lived in more affluent areas neighbourhoods (middle to upper levels of the index multiple deprivation). This could be a reflection of the area the study was located as well as the types of families that were willing to participate. Our sample size was constrained by these difficulties in recruitment into the study of these often vulnerable families at a particularly stressful time in their lives. We, therefore, lacked power to do anything other than a descriptive analysis. Although we achieved a larger number of cases than the only other similar study (Donno et al, 2010), we studied a much broader range of outcomes, and while interpreting our findings, it is worthwhile remembering that at a level of p=0.05, one in twenty tests is likely to be significant by chance alone.

The control group were also-atypical to the normative data on some of the measures, performing better than average compared to the cases and the normative group; however they were not more privileged to the cases in terms of neighbourhood deprivation. -These potential selection biases might inflate differences between the cases and control groups which and were addressed by the presentation of population-based normative data where possible. Missing teacher data for the cases may also have meant that diagnoses of ADHD or conduct disorder were underestimated, as m. Multiple sources of information facilitates the most accurate estimate of these diagnosis (Meltzer et al., 2000). particularly for diagnoses such as ADHD which is made more robust by identification of the child's difficulties within the school environment as well as the parent report.

The measures and assessments selected for the study were based on guidance from literature and our steering group, which consisted of a number of educational and clinical professionals; however future research may benefit exploring other measures. As with all psychometric tests, there are difficulties in the consistency of reporting and the impact that events will have on how the child, parent and teacher completes the measure. Many of the measures relied on self-report data which could lead to concerns about the accuracy of the information provided. Most of the measures measured-focused on the child or young persons 'typical' behaviour, or the behaviour over the last few months; however, for the parents whose children were very distressed this may have been difficult to quantify and they may have been reporting on isolated incidents of distress. This, therefore, may mean the results were fluctuations due to distress and / or social desirability; but these influences would work in different directions. The selection of validated measures, and sensitive timing and conduct of data collection aimed to minimise the impact of these potential biases.

While we had data on services accessed, we had no data on the any actual type of intervention offered. (if any) provided for these children. Service contact does not necessarily imply that needs are accurately assessed or supported. However, we were keen to keep the level of burden on our participants as low possible and focused our attention on getting detailed descriptions of the child's mental health status, developmental level and attainment. Similarly, we do not have detailed information about how parents and teachers' understood children's difficulties only that they thought that the child did or did not have a difficulty. Both could be usefully explored in depth more fully in future studies, as how people understand difficulties is likely to influence what and how support is sought, and it would be important to understand schools and families experiences of the effectiveness of interventions in this complex and vulnerable group.

Future research

Future work is required could also develop and evaluate the implementation of standardised assessments in response to a child who risks future exclusion from school. However, assessment would need to be combined with effective intervention to improve outcomes. Khan and colleagues, (2015) reported there to be a wealth of interventions related to clinically diagnosable mental health conditions for children that are effective at improving outcomes related to their social, emotional, learning, communication and peer relations. The , and it is balanceing between these costs of intervention against future gains in specialist input and provision that requires formal economic evaluation attention to support by commissioning decisionsers.

Further longitudinal studies could explore the predictors and developmental, scholastic and mental health trajectories of children who are later excluded from school in more detail. Although this study was able to capture the impact of the exclusion on the parents and teachers in terms of quantitative findings, it would be of interest to conduct further qualitative research to gather these experiences, and to include measures of the school context. Few such studies have reported the views and experiences of primary school age children and their parents.

Implications for practice

This paper is timely in identifying the complex difficulties faced by children excluded from school, particularly in light of the recent changes in <u>Special Educational Needs and Disability (SEND)</u> and a focus on the mental health and well-being of children (Department for Education and Department of Health, 2014, CMO, 2014). <u>Most of the children were recognised by parents and / or teachers to have having-poor mental health, which suggests a failure to provide adequate support, whereas the current policy focus aspires to early identification and <u>prevention rather than remediation</u> (Taggart et al., 2006); (Department for Education and Department of Health, 2014).</u>

The notion of early identification of difficulty for children who are struggling is acknowledged throughout literature and policy (Department for Education and Department of Health, 2014, Kim-Cohen et al., 2003, Taggart et al., 2006). The previous SEN code of conduct (Department for Education and Skills, 2001) placed a clear focus on the priority of early identification of need (Taggart et al., 2006), which continues to be a priority in the most recent version of the code of conduct (Department for Education and Department of Health, 2014). This is based on the concept that those children who are identified earlier will receive support that will aid their development and ability to access the curriculum, supporting the notion of *prevention rather than remediation* (Taggart et al., 2006).

Many of the needs were recognised by parents and teachers within the group suggesting that there are possible gaps in the provision, support and resources available for these childrenthose working

with children who are struggling at school. The SKIP study clearly identified a group of children who have a number of vulnerabilities but the child's behaviour seem key to their difficulties in school. This is maybe unsurprising as this is the main reason given by headteachers to exclude a pupil (Department for Education, 2014b).

The promotion of the emotional mental health and well-being of pupils is emphasised actively in the literature and through current policy drives (Department for Education, 2014a, Kidger et al., 2012, Weare and Markham, 2005, DeSocio and Hootman, 2004). A recent government initiative to improve the knowledge and confidence with mental health issues among those working within children in any capacity includes the MindEd website, which is a cost-free resource covering a wide range of topics related to children's mental health (MindEd, 2014). Schools are thought to be well placed to identify and potentially support the mental health needs of the children they teach (Department for Education, 2014a, NICE, 2008) and recent initiatives to improve joint working between schools and child mental health services are hoping to harness links further between education and children's mental health (Department for Education, 2015a), Although often i, f a child is struggling within school the initial thought may be to implement support around the child's education, this study has shown that this might not be the most helpful response. Recent policy changes have replaced statements of educational needs with education, health and care plans (Great Britain, 2014), which enables a broader approach to thinking about where the child's needschildren's needs that can exlicitylyexplicitly include mental health may lie. There are -however, limits to the level of input provided within the school environment, and teachers have reported feeling uncomfortable about devoting scarce funds to manage such what are perceived by some an "non-educational" needs of their pupils (Gowers, 2004). A recent government initiative to improve the knowledge and confidence with mental health issues among those working within schools has been recently launched by the introduction of the MindEd website (MindEd, 2014).

The findings of this study illustrate the complex needs of this group of children, that they are not just naughty children. The Department for Education (2012) stated, 'disruptive behaviour may indicate unmet need, so it is essential to explore reasons behind'. While our findings clearly identified a number of vulnerabilities among the cases, the child's behaviour seem key to their difficulties in school, which is unsurprising as this is the main reason given by headteachers to exclude a pupil (Department for Education, 2014b). Teachers need support in the management of disruptive behaviour at all levels as a matter of urgency. By taking a different perspective to these Comprehensive assessment- of children's difficulties mental health, development and attainment #may provide opportunities to think differently about the way these children are disciplined and supported. There is a recognised need to be cautious in terms of the adverse effects of *labelling* and the appropriateness of diagnosis at such a young age, in terms of stigmatisation and separation. These is Issues around stigma and labelling relate as much as to how the information from such assessments is or is not shared and used shared and applied as to the assignment of the labels themselves (Shah and Mountain, 2007).- It should also be remembered that, while exclusion from school also carries stigma. Some children will require specialist provision, but surely it is preferable for all concerned if this occurs as planned transition for the child's benefit, rather than as a result of the rupture of a school placement. However, by careful and accurate assessment and understanding of the needs of these children we may be able to offer timely support to improve the trajectory of their schooling, which will have impacts on later outcomes. These assessments have implications for those working with the child and their families. The failure to conduct systematic broad-based assessments is that can mean that particular types of difficulties may be missed, and may not respond to the non-specific psychological interventions that then tend to be offered. Issues around stigma and labelling relate as

much as to how the information from such assessments is or is not shared and used as to the assignment of labels (Shah and Mountain, 2007), while exclusion from school also carries stigma. We should be careful not to deprive families and children of information that could support their access to resources. Ours findings illustrate the complex needs of this group of children, and emphasise that they are not just naughty children; t—The risk of the breakdown of a primary school placement should trigger systematic and comprehensive assessment for tractable difficulties that may respond to remediation.

Future research

The reduction in disruptive behaviour is a focus of recent policy drives in England (Great Britain, 2011) and was discussed within the previous CMO report (CMO, 2013). Longitudinal studies have also shown conduct disorders to predict all mental health problems in adulthood (Kim-Cohen et al., 2003), which reiterates the importance of focused interventions on behavioural outcomes and the need for early recognition of these potential needs. The present study has highlighted the importance of not only implementing effective interventions for children presenting with behavioural difficulties in school, but also identifying these difficulties before they reach the threshold of exclusion. Future work is required to develop and evaluate the implementation and use of such a battery of assessments that could be shared with parents, schools and services. The feedback form developed for this study profiles these assessments in an accessible and understandable manner for both parents and schools, and could be used as part of the identification process for special educational needs or other mental health and well-being difficulties. However, without the provision of effective support, the feedback form recognising the child's strengths and needs would be helpful but have limited scope for producing meaningful change. Khan and colleagues, (2015) reported there to be a wealth of interventions related to clinically diagnosable mental health conditions for children that are effective at improving outcomes, related to their social, emotional, learning, communication and peer relationsfor children, and it is balancing these costs against future gains that requires attention by commissioners.

Given As identified in previous research, that there is a lack of current research that explores the association of exclusion from school and mental health health. Ffurther longitudinal studies would be beneficial in order to explore the trajectories of children excluded from school in more detail. Although this study was able to capture the impact of the exclusion on the parents and teachers in terms of quantitative findings, it would be of interest to conduct further qualitative research to gather these experiences, and to include measures of the school context.

Conclusion

This study has shown that children,—who struggle to cope in primary school to the extent that they are excluded from school, often have significant elinicalimpairing levels of psychopathology, developmental delay—and learning difficulties. Worryingly, the study found a number of children who had experienced very low mood at a comparatively young age or even self-harmed, and given the severity of the difficulties found it is surprising that not all children had accessed services. Disruptive behaviour was almost universal, emphasising the need for effective management and training for staff and families to understand and support with children who are challenging to work with displaying challenging behaviour and distress. The current study understanding all of their difficulties needs in order to support help them engage in education and fulfil their potential. A systematic assessment as

presented in this study may enable an improved identification of the child's needs and strengths, and if coupled with appropriate support, could lead to positive improved outcomes for children, their families and schools. There is a wealth of evidence that outlines the potential adverse outcomes associated with exclusion from school; by understanding and addressing the needs further through joint working we will potentially divert vulnerable children onto positive trajectories for the future.



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Tables- Supporting Kids, avoiding Problems (SKIP) study

Table 1- General characteristics of the SKIP sample

Characteristic	N	Cases	Controls	P- value ¹
Gender, n (%)				
Males	65	41 (95.4)	24 (88.9)	0.37
Females	5	2 (4.6)	3 (11.1)	
Age (years)	1	/		
Mean (SD)	70	8.7 (2.1)	8.2 (2.2)	0.32
Range	, ,	5-12	5-12	
Ethnicity, n (%)				
White	59	37 (90.2)	22 (88.0)	1.00
BME	7	4 (9.8)	3 (12.0)	1.00
Index of Multiple Deprivations (IMD), n (%)	,	1 (5.0)	3 (12.0)	
0-20%	11	3 (7.0)	8 (29.6)	0.015
20-40%	16	12 (27.9)	4 (14.8)	0.013
40-60%	19	16 (37.2)	3 (11.1)	
60-80%	16	8 (18.6)	8 (29.6)	
80-100%	8	4 (9.3)	4 (14.8)	
	0	4 (9.3)	4 (14.8)	
General health, n (%)		41 (100 0)	25 (100.0)	
Good	66	41 (100.0)	25 (100.0)	
Poor	0	0 (0.0)	0 (0.0)	
Number of schools attended, n (%)		15 (41.5)	15 (60.0)	0.04
1	32	17 (41.5)	15 (60.0)	0.04
2	21	12 (29.3)	9 (36.0)	
3+	13	12 (29.2)	1 (4.0)	
Type of school, n (%)				
Mainstream	36	12 (29.3)	24 (96.0)	< 0.001
Mainstream (with support)	19	18 (43.9	1 (4.0)	
Special unit (mainstream)	3	3 (7.3)	0 (0.0)	
Special School	1	1 (2.4)	0 (0.0)	
Other	7	7 (17.1)	0(0.0)	
Exclusion from school, n (%)				
Yes	39	39 (90.7)	0.0(0.0)	< 0.001
At risk	2	2 (4.7)	0.0(0.0)	
No	27	2 (4.7)	$25(100.0)^2$	
Number of times excluded from school, n (%)				
1-2	17	17 (43.6)	0 (0.0)	
3-4	11	11 (28.2)	0(0.0)	
5+	11	11 (28.2)	0 (0.0)	
Ever been permanently excluded, n (%)	1	, ,		
Yes	5	5 (12.8)	0 (0.0)	1
No	34	34 (87.2)	0 (0.0)	1
Family life questionnaire, Mean, (SD)	1	- (***-)	. (***)	1
Affirmation	66	10.6 (1.5)	11.5 (1.0)	0.001
Discipline	66	5.5 (1.8)	3.9 (1.5)	<0.001
Rules	66	5.0 (1.2)	4.9 (0.9)	0.58
Special allowances	66	5.1 (1.8)	4.0 (1.6)	0.38
Number of life events, n (%)	00	J.1 (1.0)	7.0 (1.0)	0.01
	20	20 (49 9)	19 (72.0)	0.00
0-1	38	20 (48.8)	18 (72.0)	0.08

¹ Fisher exact test of the association between group (case or control) and categorical exposures and Wilcoxen Rank-Sum test for differences in means for continuous variables

 $^{^{\}rm 2}$ Two control parents did not complete the DAWBA for this information

2-5	28	21 (51.2)	7 (28.0)	
Family stresses (total)				
Mean (SD)	66	4.3 (3.5)	2.5 (2.0)	0.04
Parental mental health (EFQ)				
Mean (SD)	66	16 (7.5)	12.44 (6.3)	0.04
Family type, n (%)				
Traditional	36	18 (43.9)	18 (69.2)	0.19
Single parent	19	13 (31.7)	6 (23.1)	
Reconstituted	9	7 (17.1)	2 (7.7)	
Other	3	3 (7.3)	0 (0.0)	

Table 2- Mean scores and percentages for the psychopathology of the SKIP sample

Characteristic	N	Cases	Controls	P-value	Normative
					data (%
Parental SDQ ¹ , Mean (SD)					
Total difficulties	66	22.3 (6.9)	6.6 (5.8)	< 0.001	$8.2(5.8)^2$
Emotional symptoms	66	4.6 (2.5)	2.1 (1.0)		1.8 (1.9)
Conduct problems	66	5.2 (2.2)	1.2 (1.6)		1.6 (1.7)
Hyperactivity/Inattention	66	8.0 (2.1)	2.3 (2.3)		3.4 (2.7)
Peer problems	66	4.5 (2.6)	1.0 (1.3)		1.3 (1.6)
Pro-social behaviour	66	6.0 (2.1)	8.9 (1.3)		8.8 (1.5)
Impact, Mean (SD)	66	6.7 (2.6)	0.2 (0.8)	< 0.001	0.5 (1.5)
Teacher SDQ ¹¹ , Mean (SD)					
Total difficulties	-59	22.3 (5.1)	3.9 (4.1)	< 0.001	6.6 (5.9) ³
Emotional symptoms	59	4.2 (2.9)	0.8 (1.5)		1.6 (2.0)
Conduct problems	59	5.4 (1.8)	0.4 (0.8)		0.9 (1.6)
Hyperactivity/Inattention	59	8.4 (1.6)	1.8 (2.4)		2.9 (2.8)
Peer problems	59	4.3 (1.9)	0.9 (1.4)		1.2 (1.7)
Pro-social behaviour	59	3.9 (1.9)	8.5 (1.6)		7.7 (2.3)
Impact, Mean (SD)	59	4.6 (1.5)	0.04 (0.2)	< 0.001	0.4 (0.9)
ARI			1/~		
Mean (SD)	47	8.5 (2.5)	1.7 (2.3)	< 0.001	$3.3(3.4)^4$
Range		3-12	0-10		0-11
Deliberate Self Harm, n (%)					
Recently					
Yes	7	7 (17.1)	0 (0.0)	0.039	
No	59	34 (82.9)	25 (100.0)		
Ever					
Yes	10	9 (22.0)	1 (4.0)	0.076	<2 %5
-	•				

¹ Total difficulties score

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² From British Child and Adolescent Mental Health Survey 2004, (n=3918 aged 5-10 years) GREEN, H., MCGINNITY, A., MELTZER, H., FORD, T. & GOODMAN, R. 2005. Mental health of children and young people in Great Britain, 2004. London: Palgrave Macmillan.

³ From British Child and Adolescent Mental Health Survey 2004, (n=3148, aged 5-10 years) ibid.

⁴ Based on data from the UK sample (n=83; aged 5-18, includes both controls and patients) STRINGARIS, A., GOODMAN, R., FERDINANDO, S., RAZDAN, V., MUHRER, E., LEIBENLUFT, E. & BROTMAN, M. A. 2012. The Affective Reactivity Index: a concise irritability scale for clinical and research settings. *J Child Psychol Psychiatry*, 53, 1109-17.

No	56	32 (78.0)	24 (96.0)	

⁵ Data based on parent reports of children aged (5-16) GREEN, H., MCGINNITY, A., MELTZER, H., FORD, T. & GOODMAN, R. 2005. Mental health of children and young people in Great Britain, 2004. London: Palgrave Macmillan., 2% of children with no emotional disorder had ever self-harmed, increases to 14% of children with an emotional disorder, 16% for those with CD. The rates of self-harm in children under 11 years is negligible.

Table 3- Percentage of disorder among the SKIP sample

Characteristic	N	Cases	Controls	P-value	Normative data	2 (0/2)
	11	Cases	Connois	r-value	INUITITATIVE Gate	4 (70)
Any disorder, n (%)	40	20 (07.5)	1 (4 17)	<0.001	7.7 ¹	
Yes	40	39 (97.5)	1 (4.17)	< 0.001	1.1	
Emotional disorder, n (%)	7	20 (50 0)	24 (100.0)			
No emotional disorder	44	20 (50.0)	24 (100.0)	20.001		
Any emotional	20	20 (50.0)	0 (0.0)	< 0.001	2.4	
- Separation anxiety		1 (2.5)	0 (0.0)	1.00^{5}	0.6	
- Specific phobia		0 (0.0)	0 (0.0)		0.7	
 Social phobia 		0 (0.0)	0 (0.0)		0.1	
- Panic disorder		0 (0.0)	0 (0.0)		- 7	
- Agoraphobia		0 (0.0)	0 (0.0)		-	
- PTSD ²		0 (0.0)	0 (0.0)		0.0	
- OCD ³		$1(2.5)^4$	0 (0.0)	1.00 <u>5</u> 5	0.2	Formatted: Not
 Generalised anxiety 		1 (2.5)	0 (0.0)	1.005	0.3	Formatted: Not
- Other anxiety		14 (35.0)	0 (0.0)	$0.001^{\frac{1}{55}}$	0.7	
- Depression		4 (10.0)	0 (0.0)	0.28855	0.2	Formatted: Foot
Behavioural disorder, n (%)						Formatted: Not
No behavioural disorder	27	4 (10.0)	23 (95.8)		- 7	
Any behavioural	37	36 (90.0)	1 (4.2)	< 0.001	4.9	
- ODD ⁶		19 (47.5)	1 (4.17)	< 0.001	3.5	
- Conduct		10 (25.0)	0 (0.0)	0.010	0.9	
Other disruptive		8 (20.0)	0 (0.0)	0.021	0.5	
ADHD, n (%)						
No ADHD	43	0 (0.0)	24 (0.0)			
Any ADHD ⁷	21	21 (52.5)	0 (0.0)	< 0.001	1.6	

¹ From British Child and Adolescent Mental Health Survey 2004, (n=3925, aged 5-10 years) ibid.

² Post-traumatic stress disorder

³ Obsessive compulsive disorder

⁴ Diagnosis is unsure

⁵ Fishers exact

⁶ Oppositional defiant disorder

⁷ Combined yes and unsure

ASD ⁸ , n (%) No ASD Disorder	53 11	29 (72.5) 11 (27.5)	24 (100.0) 0 (0.0)	0.005	1.0
Tics, n (%) No Tics Disorder	62 2	38 (95.0) 2 (5.0)	24 (100.0) 0 (0.0)	1.00	<1.0
Co-morbidity, n (%) No disorder One 2+ disorders	34 10 30	1 (2.5) 9 (22.5) 30 (75.0)	23 (95.8) 1 (4.2) 0 (0.0)	<0.001	90.0 6.6 2.9 ¹⁵

Table 4- Developmental characteristics of the SKIP sample

Characteristic	N	Cases	Controls	P-value	Normative data
SSP (total), n (%)					
Typical	30	8 (19.5)	22 (84.6)	< 0.001	≥ 1 SD below mean ¹
Probable	9	6 (14.6)	3 (11.5)		≥ 2 SD below mean
Definite	28	27 (65.9)	1 (3.9)		< 2 SD below mean
CCC2					
Mean GCC ² (SD)	67	50.3 (21.4)	84.7 (14.8)	< 0.001	>55 ³
BPVS (standardised)					
Mean (SD)	67	102.6 (12.2)	106 (11.7)	0.200	100^4
Range		76-128	84-132		
Learning difficulty, n (%)					
Yes	15	15 (36.6)	0 (0.0)	0.001	$161(2.0)^5$
No	52	26 (63.4)	26 (100.0)		7768 (98.0)

¹ Based on North American population DUNN, W. 1999. Overview of the Short Sensory Profile (SSP). Sensory Profile Manual. San Antonio: Harcourt Assessment; The Psychological Corporation., children without disabilities (n=1,037, aged 3-10 years)

⁸ Autistic Spectrum Disorder

¹⁵ From Ford T, Goodman R & Meltzer H. (2003). The British Child and Adolescent Mental Health Survey 1999. Journal of the American Academy of Child and Adolescent Psychiatry 42, 1203-1211

² General Communication Composite, a norm-referenced standard score BISHOP, D. V. M. 2003. *The Children's Communication Checklist: Second Edition CCC-2 Manual*, London, Harcourt Assessment; The Psychological Corporation.

³ Based on validation data from UK sample ibid.manual (n=20, aged 7-16 years), >55 equivalent of above the 10th percentile

⁴ Standardised norm- DUNN, L. M., DUNN, L. M., WHETTON, C. & BURLEY, J. 1997. *The British Picture Vocabulary Scale Manual: Second Edition, London, GL Assessment.manual*

⁵ Based on BCAMHS 2004 data, teacher and parent combined response (n =7929, aged 5-16 years) GREEN, H., MCGINNITY, A., MELTZER, H., FORD, T. & GOODMAN, R. 2005. Mental health of children and young people in Great Britain, 2004. London: Palgrave Macmillan.

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Table 5- Attainment characteristics of the SKIP sample

Characteristic	N	Cases	Controls	P-value	Normative data
Quantitative reasoning ¹ Mean (SD)	51	42.4 (10.8)	50.5 (11.2)	0.012	50^{2}
Picture similarities Mean (SD)	14	55.3 (17.8)	45.3 (8.8)	0.44	-50 <u>2</u> =
Digits forward 1 +					
Mean (SD)	66	47.6 (9.7)	53.7 (7.2)	0.012	5022
Digits backwards 1 +					
Mean (SD)	66	44.9 (10.2)	52.9 (10.2)	0.001	5022
Number skills 1 +					
Mean (SD)	66	99.2 (17.9)	106.7 (11.2)	0.06	100^3
Word reading 1 +					
Mean (SD)	66	94.9 (18.3)	105.5 (14.5)	0.008	1003
Ravens (standardised)					
Mean (SD)	67	97 (21.3)	99.3 (13.1)	0.42	(100)
Range		60-135	80-130		Boys: 99.3 (15.2); Girls: 101.4 (15.7) ⁴

¹ A subscale of the British Ability Scales (BAS-III) ELLIOT, C. D. & SMITH, P. 2011. *BAS3 British Ability Scales: Technical Manual*, London, GL Assessment.

Table 6- Unadjusted and adjusted models exploring the impact of psychopathology, communication, sensory processing and emotional literacy by group

Exposure		N	Unadjusted	Adjusted
			OR^1 (95% CI^2) P-value	OR^{11} (95% CI^{22}) P-value
Step One	SDQ^3	67	1.38 (1.19-1.60) < 0.001	
	CCC2 ⁴	67	0.92 (0.88-0.95) < 0.001	
	SSP ⁵	67	0.92 (0.89-0.96) < 0.001	

¹ Odds Ratio

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⁶ Based on data from FAUPEL, A. 2003. *Emotional Literacy: Assessment and Intervention User's Guide*, London, GL Assessment.(Parent: n=449, aged 7-15 years; Child: n=732, aged 7-11 years; Teacher: n=449, aged 7-15 years)

² Standardised t-score (50, sd ibid.)

³ Standardised s-score (100, sd ibid.)

⁴ Based on a sample of 83 children (Boys n=34; Girls n=49, aged 5-11 years) RAVEN, J. 2008. *Coloured Progressive Matrices and Crichton Vocabulary Scale Manual*, London, Pearson.

² Confidence Interval

³ SDQ

⁴ Children's Communication Checklist

⁵ Short Sensory Profile

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	ELS ⁶	67	0.81 (0.72-0.90) < 0.001	
Step Two	SDQ ³³			1.80 (1.02-3.2) p=0.04
	CCC2 ⁴⁴			0.88 (0.76-1.01) p=0.08
	SSP ⁵⁵			1.10 (0.93-1.30) p=0.27
	ELS.			1.00 (0.84-1.19) p=0.99

⁶ Emotional Literacy Scale

Table 7 - Summary of the number of cases as categorised by recognition or not of their disorder/difficulty

Recognition (n=40 ¹)	Cases n (%)	
No disorder or difficult	1 (2.5)	
Sub-clinical	0 (0.0)	
Unrecognised disorder	2 (5.0)	
Recognised disorder	25 (62.5)	
	4 (10.0)	
	Identified by the Teacher	8 (20.0)

¹ 1 parent (case) did not complete the SDQ or DAWBA

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Reviewer comments

The 'Supporting Kids, avoiding Problems' (SKIP) study, Relationships between school exclusion, psychopathology, development and attainment, a case control study

Reviewer comment	Author response
Reviewer one	Author response
No recommendations	
Reviewer two	
It is worth commenting briefly on why the official number of school exclusions is declining (page 2)	We have added to the paragraph which introduces the reasons for the possible misrepresentation of the official exclusion figures.
	'It is, however, likely that the decline in overall rate of exclusions presented by government statistics are misrepresentative for a number of reasons. Managed moves, where children are formally moved between schools to avoid exclusions are not included in statutory returns to government (Abdelnoor, 2007). More worryingly it has been suggested that pressures on schools to remain inclusive have led to higher levels of hidden exclusions; the Children's Commissioner for England reported there to be a number of illegal exclusions from school where for example, the headteacher would send pupils hom{Meltzer, 2000 #54}e to 'cool off' (Children's Commissioner, 2012, Children's Commissioner, 2013).'
2) It is worth justifying briefly the rationale for the predictions/hypotheses (particularly the one starting "in most cases" – page 3)	We have added to the paragraph linking the justification for the hypotheses. 'Based on government statistics and literature regarding the potential vulnerabilities of this group we predicted that there would be higher levels of psychopathology, greater levels of developmental difficulties, and lower levels of attainment among the cases, but that most cases would have recognised needs and have accessed services for support.'
3) It is not clear where the control schools came from – Devon? Also, the meaning of "that reflected the gender age to the cases" (page 4, lines 10-11) is not clear.	We have added "within Devon" to the paragraph which discusses the recruitment of the control group. We hope this sentence is now clear; control schools were encouraged to select children of the same gender and similar in age to the cases. 'Although we initially aimed to match control children to each case by age and gender, recruitment proved difficult and schools were therefore encouraged to select children of the

		same gender and age to the cases where
		possible.'
4)	Numbers in text and tables need checking. In particular, the numbers in the second para on page 10 do not appear to align. For example, unless I am mistaken: - It should be 36 not 37 - The % with ODD of 47.5 is not 13 times the normative rate (4.9%) or five times the rate of CD (25%) - It says a quarter of cases had a comorbid diagnoses but the table suggests 75% Care is needed to ensure any changes are reflected in the discussion and conclusions. Page 10 line 38 says "over half of them" but it is 66% according to the table — better to say just over two thirds.	Thank you for highlighting these discrepancies we have been through the tables thoroughly and made the following changes: - We have amended pg 10 line 12 to 36 - The % with ODD has been amended to five times what would be expected, with CD being almost twice as likely - The table did suggests 75%, we have double checked this and corrected the text 'The majority of the cases had a comorbid diagnoses; three quarters (n=30) had two or more comorbid diagnoses.' - We have amended pg. 10 line 34 to state 'two thirds'
5)	Also, some of the figures in the	We have directed the reader back to Table 2 and
	paragraph refer to Table 2 e.g. % of ever self-harm (22% - worth putting in text, which currently says "a fifth"), which is a bit confusing unless the reader is directed back to Table 2. It is not clear where the figures in the following paragraph (starting "The impact") come from. The same applies to the first part of the second para on page 11.	added the figures in the text for clarity. 'A fifth of the cases (n= 9, 22%), compared with 2% of the general population have experienced deliberate self-harm at some point during their lives (Table 2).' Thank you for highlighting this – we have added in orientation at the beginning of the paragraph. The first part of the second paragraph on page 11 did not initially have an associated table as we had described the data fully within the text, however we have now added an optional table (Table 7) if you feel that this would be beneficial to include.
7)	On page 11, first para, it is worth reminding the reader at the beginning that the model is looking at predicting whether a child was a case or control (mentioned in the last section).	We have added a sentence to the opening of this paragraph to remind the reader of the purpose of the model. 'A model was developed to explore whether the child was a case or a control was associated with the child's psychopathology and / or the presence / absence of psychiatric disorder (Table 6).'
8)	Where it refers to the figures in Figure 2, is "most cases" (page 11, line 28) referring to parents/families in the cases group? Worth clarifying. Also, there does not appear to be any order to the services	We have added a sentence to clarify that 'most cases' is referring to families from the cases group, 'Most families of children who were cases had
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in Figure 2; I suggest ordering from least to highest unless another clear order can be used.	made contact with services (n=39, 90.7%)' Figure 2 – we have re ordered from least to highest.
9) Some repetition e.g. sentence citing Parker and Whear reviews appears about 3 times (intro, page 12, and discussion).	We have removed the repetition of the reference.
10) Page 13, para 3: Numbers in text and Figure 2 don't appear to align e.g. "a third of casesfrom specialist educational sources" but Fig 2 suggests in is about 60%. Later it says "the low	Thank you for pointing this out, we have been through and double checked the numbers reported in this paragraph and made appropriate changes below.
proportion of" but I think this is also referring to education specialists (and low relative to what?).	'Similarly, nearly two thirds of the cases had sought support from specialist educational sources.'
	'Considering all of the cases were having severe difficulties within the school environment you might expect a higher percentage of service contact, however the low proportion of contacts with educational professionals could be a reflection of a lack of resources and finances from schools to access further support.'
11) Page 14 line 11: does "middle to upper levels of deprivation" mean not so badly off (rather than upper meaning higher deprivation?) This needs clarifying.	We have clarified this sentence further to include the definition of what middle to upper levels include. 'although the majority of the cases were boys and
	over a third of them had learning difficulties, the majority of the cases were from a white British ethnicity and two thirds lived in more affluent neighbourhoods (middle to upper levels of the index of multiple deprivation).'
12) The Khan et al citation on page 16 (line 46) – effective at improving which outcomes?	The review focuses on discussing the outcomes of effective interventions for children and young people with clinically diagnosable mental health conditions.
	We have added a sentence to clarify this within the paper.
	'Khan and colleagues, (2015) reported there to be a wealth of interventions related to clinically diagnosable mental health conditions for children that are effective at improving outcomes related to their social, emotional, learning, communication and peer relations, and it is balancing these costs against future gains that requires attention by commissioners.'
13) The paper argues for more qualitative research on exclusion (p17) – I think it is worth acknowledging that several such	Thank you for this point, we recognise that there are several such studies in this area from the early 1990's particularly in relation to teachers and

studies already exist.	young people there is less related work on parents
	or in relation to primary school children;, we have a paper in press on this topic
14) The discussion and conclusion sections	We have been through the discussion and
together are 5 pages; some good editing	conclusion and made some edits so that is it now
could reduce this by a page or so to make	more concise.
it tighter without losing significant	
content.	
15) Last sentence of article: "By	Thank you we have added this to the final
understanding" suggest adding "and	sentence.
addressing"	
16) The article needs a thorough proof-read	Thank you, we have given the paper a thorough
as there is some odd punctuation (e.g.	proof-read.
"Childhood psychopathology, places	
heavy burden" – page 2 line 11 (no	
need for comma), "Parents details" on	
page 3 line 53 (should be Parents' OR	
The parent's) and some stray words e.g.	
Page 4 line 7 "Although we were initially	
aimed for" (delete "were").	XX 1 1 1 1 1 1 C which the state of the stat
17) Table 5 – some of the numbers in the far	We have amended this formatting issue within
right column should be superscript (e.g. 2	the Table and text.
in 502, 2 in 1003)	We will make the SKIP feedback form available
18) Pages 26ff are interesting but not necessary.	upon request from the author.
19) Article needs a good proofread	We have given the paper a thorough proofread.
17) Atticle needs a good prooffead	we have given the paper a thorough prooffead.