

1           A sensitivity analysis of the impact of rain on  
2           regional and global sea-air fluxes of CO<sub>2</sub>

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11

## 12 **Abstract**

13 The global oceans are considered a major sink of atmospheric carbon dioxide  
14 (CO<sub>2</sub>). Rain is known to alter the physical and chemical conditions at the sea  
15 surface, and thus influence the transfer of CO<sub>2</sub> between the ocean and  
16 atmosphere. It can influence gas exchange through enhanced gas transfer  
17 velocity, the direct export of carbon from the atmosphere to the ocean, by  
18 altering the sea skin temperature, and through surface layer dilution. However,  
19 to date, very few studies quantifying these effects on global net sea-air fluxes  
20 exist. Here, we include terms for the enhanced gas transfer velocity and the  
21 direct export of carbon in calculations of the global net sea-air fluxes, using a 7-  
22 year time series of monthly global climate quality satellite remote sensing  
23 observations, model and in-situ data. The use of a non-linear relationship  
24 between the effects of rain and wind significantly reduces the estimated impact  
25 of rain-induced surface turbulence on the rate of sea-air gas transfer, when  
26 compared to a linear relationship. Nevertheless, globally, the rain enhanced gas  
27 transfer and rain induced direct export increase the estimated annual oceanic  
28 integrated net sink of CO<sub>2</sub> by up to 6 %. Regionally, the variations can be larger,  
29 with rain increasing the estimated annual net sink in the Pacific Ocean by up to  
30 15% and altering monthly net flux by  $> \pm 50\%$ . Based on these analyses, the  
31 impacts of rain should be included in the uncertainty analysis of studies that  
32 estimate net sea-air fluxes of CO<sub>2</sub> as the rain can have a considerable impact,  
33 dependent upon the region and timescale.

## 34 **1.0 Introduction**

35 The sea-air exchange of the greenhouse gas carbon dioxide (CO<sub>2</sub>) is a critical part  
36 of the climate system and a major factor in the biogeochemical development of  
37 the oceans. It is widely accepted that more accurate and higher resolution  
38 calculations of these gas exchanges (fluxes) are required if we are to fully  
39 understand and predict our future climate. Such knowledge is also required for  
40 understanding and monitoring chemical water quality (e.g. in relation to ocean  
41 acidification).

42 The impact of raindrops falling on the sea can influence the rate of gas transfer  
43 between the ocean and the atmosphere by increasing surface turbulence. The  
44 addition of rainwater will change the temperature, salinity and carbonate  
45 composition of surface waters, affecting the solubility and partial pressure of CO<sub>2</sub>  
46 ( $pCO_2$ ) in the surface layer. Rain will also directly transfer dissolved CO<sub>2</sub> to the  
47 ocean (termed wet deposition).

48 Early work by Ho *et al.* (1) highlighted how rain can significantly enhance gas  
49 transfer and provided the first parameterisation of rain-driven gas transfer  
50 velocity for freshwater environments. This work was extended towards  
51 determining the physical mechanisms underlying this enhancement, showing  
52 that the impact of rain in freshwater systems caused surface bubbles and waves  
53 and that the enhancement of gas transfer was mainly due to an increase in  
54 surface turbulence (2). It was subsequently shown that the rain-driven gas  
55 transfer velocity was similar for freshwater and saltwater systems, although  
56 differences in vertical mixing in the saltwater system due to stratification meant  
57 that gas flux in the seawater system was lower (3). More recently, Zappa *et al.* (4)  
58 showed that rain-induced turbulence was the main reason for rain enhanced gas  
59 transfer in saltwater systems. They also showed that the gas transfer velocity  
60 scaled with the turbulent dissipation rate.

61 Rain and wind effects were initially understood to combine linearly to influence  
62 gas transfer velocity,  $k$  (3). However, Harrison *et al.* (5) showed that whilst rain  
63 can contribute significantly to the total sea-air gas flux at low wind speeds, at  
64 higher wind speeds the effects become negligible and a new non-linear  
65 parameterisation for the gas transfer in field conditions was presented (5).

66 The changes in temperature, salinity and carbonate composition of surface  
67 waters caused by the introduction of rainwater will alter the solubility and  
68 partial pressure of CO<sub>2</sub> ( $pCO_2$ ) in the surface layer. Data sets gathered from in-  
69 situ or satellite remote sensing are selected or adjusted to represent the surface  
70 water conditions that dominate sea-air gas flux (6). On the spatial and temporal  
71 scales resolved by these data, stratification and the influence of rain on surface  
72 waters will be represented and thus accounted for in exchange calculations.

73 However, rain events will occur at spatial and temporal scales that are not  
74 resolved by the data used for these global studies, such as short, intense rain  
75 showers. As such, the temporary dilution of surface water during rain events,  
76 that has the potential to affect the sea-air gas flux, will not be resolved in this  
77 analysis.

78 Dilution effects have received less research attention to date when compared  
79 with the enhancement of gas transfer due to rain, although Turk *et al.* (7) provide  
80 initial experimental evidence that dilution affects regional sea-air CO<sub>2</sub> flux.

81 Salinity gradients in the top few meters of the ocean surface due to freshwater  
82 input from rain have been studied for their influence on remotely sensed salinity  
83 measurements (8), and can be related to rain rate (9). Santos-Garcia *et al.* (10)  
84 present a physics-based model that draws on very high resolution modeled  
85 precipitation estimates (NOAA CMORPH) and surface wind data in order to  
86 predict surface stratification due to rain. However, the spatial and temporal  
87 resolution required to resolve individual rain events is not currently compatible  
88 with the global data sets used in the calculations presented here.

89 Previous studies of the type presented here include Komori *et al.* (11), who  
90 accounted for enhanced transfer velocity using results from their laboratory  
91 tests and direct wet-deposition. They estimated that the global effect of rainfall  
92 on net sea-air fluxes for the year 2001 was to increase the sink of atmospheric  
93 CO<sub>2</sub> by <5%. Following this, Turk *et al.* (7) incorporated laboratory-derived  
94 parameterisations of wet deposition, rain-enhanced  $k$  and surface  $pCO_2$  dilution,  
95 into flux estimates for a single location in the Western Equatorial Pacific. When  
96 extrapolated across the region, these point values indicated an increased uptake  
97 of CO<sub>2</sub>, with the net flux in the Western Equatorial Pacific Ocean changing from a  
98 source to a sink. The findings highlight the significant role that rain can play,  
99 particularly in regions characterised by low winds and high precipitation and  
100 support the need for the global and regional impact of rain to be considered in  
101 gas flux studies.

102 In synopsis, previous work studying the impact of rain on sea-air fluxes of CO<sub>2</sub>  
103 has focussed on laboratory studies (1-3, 5, 12), localised field studies, including

104 the Biosphere 2 model ocean (1, 4, 12), and the use of one-dimensional  
105 numerical-models (3, 4, 7). Regional and global estimates of integrated net sea-  
106 air fluxes have largely ignored the impact that rain can have, and most global  
107 studies do not account for rain within their uncertainty analyses. The exception  
108 to this is the work of Komori *et al.* (11) who applied laboratory-derived  
109 parameterisations to study global sea-air fluxes for a single year (2001) using  
110 model, climatology and Global Precipitation Climatology Project (GPCP) data.

111 The FluxEngine software tool offers an efficient mechanism to exploit up to 20  
112 years of Earth observation (EO) and blended EO and in situ data, in order to  
113 calculate global and regional estimations of sea-air CO<sub>2</sub> flux (6). Global gas  
114 exchange requires a large and complex set of calculations. Variations or errors in  
115 these calculations can hinder intercomparison between studies and are difficult  
116 to identify without interrogating actual calculations procedures. The FluxEngine  
117 has been created to provide a consistent set of calculations that reduce the  
118 repeated effort required for studies in this field. It has been extensively verified  
119 against known datasets to provide a common baseline for the international  
120 community, such that its use minimises errors and helps maintain consistent  
121 analysis between studies. The software tool and associated publications are open  
122 access and can be accessed through the project website ([www.oceanflux-](http://www.oceanflux-ghg.org)  
123 [ghg.org](http://www.oceanflux-ghg.org)). The source code is also open source. It is continually updated to keep  
124 up with advances in the field and can be downloaded here,  
125 [github.com/oceanflux-ghg/FluxEngine](https://github.com/oceanflux-ghg/FluxEngine).

126 The work in this paper uses FluxEngine to build upon and extend the work of  
127 Komori *et al.* (11) by applying recent advances, parameterisations and tools in  
128 order to characterise the potential global and regional impacts that rain can have  
129 on the different components of the sea-air flux calculation. The components  
130 considered are rain-induced gas transfer velocity and the direct wet deposition  
131 of CO<sub>2</sub> by raindrops landing on the ocean surface. Results are presented as  
132 monthly and annual net fluxes for global and regional seas, providing an inter-  
133 annual and seasonal assessment of the net impact of rain on global flux of CO<sub>2</sub>.  
134 These estimates are driven by two different CO<sub>2</sub> climatologies, that presented by

135 Takahashi *et al.* (13), and that provided by SOCAT (14). These climatologies are  
136 referenced to single years, 2000 and 2010 respectively. As such, inter-annual  
137 variability is estimated solely through changes in sea surface temperature (SST),  
138 wind and rainfall, and does not reflect changes in  $p\text{CO}_{2w}$ . Inter-annual results are  
139 analysed in terms of the sensitivity of the global and regional estimates to rain,  
140 identifying regions where rain can have a significant impact on sea-air  $\text{CO}_2$  gas  
141 exchange, whilst acknowledging the unknown effect of changes in  $p\text{CO}_{2w}$ . The  
142 final part of the paper includes a discussion of the impact of rain-driven dilution  
143 of the surface layer, including an initial analysis of the impact of rain-driven  
144 variations in the sea skin temperature ( $\text{SST}_{\text{skin}}$ ).

## 145 **2.0 Methods**

146 The global impact of rain on sea-air  $\text{CO}_2$  fluxes is studied using monthly, multi-  
147 year data. The following sections describe the datasets used as well as the  
148 methods for calculating the monthly sea-air  $\text{CO}_2$  fluxes, the rain-driven gas  
149 transfer velocity and the wet deposition of  $\text{CO}_2$ . Calculations were undertaken  
150 using the FluxEngine open source processing toolbox (6). This toolbox allows  
151 users to easily parameterize and generate global and regional sea-air  $\text{CO}_2$  flux  
152 estimates. For this study the toolbox was extended to allow rain induced transfer  
153 and wet deposition to be included in the air-sea gas flux parameterisation. Here  
154 we study the four major ocean basins, Atlantic, Indian, Pacific and Southern.  
155 Detailed definitions of these regions, verification of the system and the range of  
156 configurations available are presented in Shutler *et al.* (6).

### 157 **2.1 Datasets**

158 To characterise the sea surface, we first used satellite EO data from the European  
159 Space Agency (ESA) Sea Surface Temperature Climate Change Initiative data  
160 (version 1.1.1) for  $\text{SST}_{\text{skin}}$ , (K) (15) and ESA GlobWave for wind speed at 10 m,  
161  $U_{10}$  ( $\text{m s}^{-1}$ ) (16). Both of these datasets are calibrated, bias corrected, well-  
162 characterised with known uncertainties and designed for use in climate studies.  
163 For ice cover we use satellite based, Special Sensor Microwave Imager (SSM/I)  
164 global percentage ice cover data (17, 18). These datasets have been re-gridded

165 onto a  $1^\circ \times 1^\circ$  grid where each grid value was the statistical mean of all  
 166 contributing data (6). For surface salinity ( $S$ ), we use the World Ocean Atlas  
 167 salinity data provided within Takahashi *et al.* (13). For in-water  $pCO_2$  ( $pCO_{2W}$ ) we  
 168 use two different data sets. Firstly, the climatological data from Takahashi *et al.*  
 169 (13) with a reference year 2000 and an estimated global increase in  $pCO_{2W}$  of  $1.5$   
 170  $\mu\text{atm yr}^{-1}$  (eq. 5). Moving further away from this reference year, the estimated  
 171 temporal correction for the  $CO_2$  climatology becomes less robust. Thus, the study  
 172 using (13) was limited to the years 1999 – 2006, where the correction is most  
 173 appropriate. The flux estimates are expected to be strongly dependent on the  
 174 accuracy of the  $pCO_{2W}$  climatological data. In order to examine this sensitivity, an  
 175 alternative climatological  $pCO_{2W}$  dataset was also used, which is derived from the  
 176 SurfaceOcean  $CO_2$  Atlas (SOCAT) (14). Notably here, the reference year is 2010.  
 177 The timescales between these two  $pCO_{2W}$  data sets do not match, but they do  
 178 overlap, allowing the impact of the choice of  $pCO_{2W}$  dataset to be determined.  
 179 We calculate atmospheric  $pCO_2$  ( $pCO_{2A}$ ) using modelled air pressure ( $P$ ) and  
 180 climatological concentration of  $CO_2$  in dry air ( $X_{CO_2A}$ ) from the NCEP CFSR model  
 181 (13). The  $pCO_{2W}$ ,  $P$  and  $X_{CO_2A}$  data were linearly interpolated to the same  $1^\circ \times 1^\circ$   
 182 grid as the other datasets. For rain rate we used the daily  $1^\circ \times 1^\circ$  GPCP, version  
 183 2.2 (19). There is still considerable debate about the absolute magnitudes of the  
 184 global distribution of precipitation and its seasonal variation (20, 21), although  
 185 the GPCP dataset is widely accepted as one of the most reliable.

## 186 **2.2 Sea-air $CO_2$ flux**

187 The sea-air flux of  $CO_2$  ( $F$ ,  $\text{g m}^{-2} \text{s}^{-1}$ ), is calculated using the product of a gas  
 188 transfer velocity,  $k$  ( $\text{m s}^{-1}$ ), and the difference in  $CO_2$  concentration ( $\text{g m}^{-3}$ )  
 189 between the base [ $CO_{2AQW}$ ] and the top [ $CO_{2AQ0}$ ] of a thin ( $\sim 10$  to  $250 \mu\text{m}$ )  
 190 boundary layer at the sea surface:

$$191 \quad F = k \left( [CO_{2AQW}] - [CO_{2AQ0}] \right) \quad (1)$$

192 The concentration of  $CO_2$  in seawater is the product of its solubility,  $\alpha$  ( $\text{g m}^{-3}$   
 193  $\mu\text{atm}^{-1}$ ), and its fugacity,  $fCO_2$  (in  $\mu\text{atm}$ ). Gas solubility is a function of salinity and

194 temperature and as such, it varies across the aqueous boundary layer. Equation  
195 (1) then becomes:

$$196 \quad F = k (\alpha_w fCO_{2W} - \alpha_s fCO_{2A}) \quad (2)$$

197 where the subscripts denote values in water ( $W$ ), at the sea-air interface ( $S$ ) and  
198 in air ( $A$ ). For simplicity we can substitute partial pressure for fugacity because  
199 their values differ by <0.5% over the temperature range considered (22).

200 Therefore we estimate the sea-air flux using:

$$201 \quad F = k (\alpha_w pCO_{2W} - \alpha_s pCO_{2A}) \quad (3)$$

202 Climatological estimates of  $pCO_{2W}$  ( $pCO_{2Wclim}$ ) must be adjusted to the SST for the  
203 period of study. Following previous studies (23-25), the  $pCO_{2W}$  values were  
204 corrected to reflect SST using the relationship provided by Takahashi *et al.* (13):

$$205 \quad pCO_{2W} = pCO_{2Wclim} (\exp (0.0423(SST - T_{clim})) - 4.35 \times 10^{-5} [SST^2 - T_{clim}^2]) \quad (4)$$

206 where  $T_{clim}$  is the temperature from the Takahashi *et al.* (13) climatology in °C,  
207 and  $SST$  is estimated as  $SST_{skin} + 0.17$  and converted to °C (26).

208  $pCO_{2A}$  (in  $\mu\text{atm}$ ) was calculated by including a global average increase of 1.5  
209  $\mu\text{atm yr}^{-1}$  using: (13)

$$210 \quad pCO_{2A} = X_{CO2A}(P - pH_2O) + 1.5(y - 2000) \quad (5)$$

211 where  $y$  is the year,  $P$  is the daily average air pressure (in  $\mu\text{atm}$ ),  $X_{CO2A}$  is the  
212 zonal mean molar fraction of  $CO_2$  in the dry atmosphere (in parts per million)  
213 and  $pH_2O$  is the saturation vapour pressure in  $\mu\text{atm}$  (27):

$$214 \quad pH_2O = 1013.25 \exp[24.45 - (67.45(100/SST_k)) - (4.85 \ln(SST_k/100)) - 0.00054S] \quad (6)$$

216 where salinity,  $S$  is on the Practical Salinity Scale and air temperature, and  $SST_k$  is  
217 subskin sea surface temperature in Kelvin.

## 218 **2.3 Rain impacts**

219 The sea-air flux due solely to wet deposition is estimated using (11):

$$220 \quad F_{DIC} = -Rn \alpha pCO_{2A} \quad (7)$$



221 where  $Rn$  is the rain rate in  $\text{mm h}^{-1}$  and  $\alpha$  is the solubility of  $\text{CO}_2$  in fresh water,  
 222 calculated for local air temperature, but with salinity set to 0, using the  
 223 formulation in Wanninkhof (28).

224 Initial laboratory experiments derived a linear increase in the transfer velocity  
 225 during rain events, dependent on  $Rn$ , (1)

$$226 \quad k_{total} = k_{wind} + k_{rain} \quad (8a)$$

227 where,

$$228 \quad k_{rain} = (0.929 + 0.679 Rn - 0.0015 Rn^2) \quad (8b)$$

229 Recent work has shown how the rain influences the gas transfer velocity in a  
 230 nonlinear fashion (5). Therefore, the total gas transfer velocity ( $k_{total}$ ) due to  
 231 wind and rain is defined as:

$$232 \quad k_{total} = k_{wind} + [1 - \exp(-a\beta)] k_{rain} \quad (9)$$

233 where  $a = 0.3677$  and  $\beta = KEF_r / KEF_w$ , where  $KEF_r$  is the kinetic energy flux due  
 234 to rain, and  $KEF_w$  is that imparted to the water by surface winds. Harrison *et al.*  
 235 (5) assume a Laws-Parsons raindrop-size distribution to derive a simplified  
 236 relationship,  $KEF_r = 0.0112Rn$  and define  $KEF_w = \rho_a u^{*3}$ , where  $\rho_a$  is the density of  
 237 air (in  $\text{kg m}^{-3}$ ) defined as  $\rho_a = P / (R SST_k)$ , where  $P$ , is the air pressure (in Pa) and  
 238  $R$  is the specific gas constant for dry air (in  $\text{J kg}^{-1} \text{K}^{-1}$ ). The friction velocity  $u^*$  (in  
 239  $\text{ms}^{-1}$ ) is given by  $u^{*2} = C_D U_{10}^2$ , where  $C_D$  is the drag coefficient as defined by  
 240 Yelland and Taylor (29).

241 The wind speed parameterised gas transfer velocity,  $k_{wind}$ , was estimated  
 242 following the method in (13) such that,  $k_{wind} = 0.26(U_{10})^2 (Sc/660)^{-1/2}$ , where  $Sc$   
 243 represents the Schmidt number of the gas in question.  $k_{wind}$  was used to calculate  
 244 a reference flux,  $F_{ref}$ , in which no contribution from rain was included:

$$245 \quad F_{ref} = k_{wind} (\alpha_W pCO_{2W} - \alpha_S pCO_{2A}) \quad (10)$$

246 The  $[1 - \exp(-a\beta)] k_{rain}$  term in equation (9) represents the enhancement of the  
 247 gas transfer velocity due to rain rate through a non-linear relationship with wind  
 248 speed. The combined wind and rain sea-air  $\text{CO}_2$  flux is then given by:

249  $F_{k-rain} = k_{total} \alpha_W (pCO_{2W} - pCO_{2A})$  (11)

250 The total sea-air flux,  $F_T$ , that includes the rain impacts described above is then  
251 the sum of the gas transfer and the wet deposition components:

252  $F_T = F_{k-rain} + F_{DIC}$  (12)

253 The contributions from rain effects were then calculated as the difference  
254 between the rain affected flux values, ( $F_T$ ,  $F_{k-rain}$  and  $F_{DIC}$ ) and  $F_{ref}$ . In this study,  $F$   
255 values represent the sea-air CO<sub>2</sub> flux. Positive values represent an outgassing of  
256 CO<sub>2</sub> from the ocean to the atmosphere, whilst negative values represent a  
257 transfer (sink) of CO<sub>2</sub> from the atmosphere to the oceans.

## 258 **2.4 Integrated net sea-air fluxes**

259 Integrated fluxes over a given region are calculated from the monthly mean flux  
260 at each pixel, adjusted for ice and the pixel's total area, which is calculated  
261 assuming the Earth to be an oblate spheroid. Missing data values are accounted  
262 for using a regional average and added to the integrated net flux from valid data  
263 values, to give an estimate of the total regional integrated net flux (6). Global  
264 values are estimated by treating the entire globe as a single region. We refer the  
265 reader to the (6) for a detailed description of the integrated net flux tool which is  
266 part of the FluxEngine.

## 267 **2.5 Uncertainties**

268 An ensemble approach was adopted to assess the uncertainties in  $F_T$ . Random  
269 errors were used to perturb input data for multiple runs, according to known  
270 variability in the input data sets. Uncertainties in the rain data set are provided  
271 through the GPCP (19) as a variance for each datum,  $\sigma_i^2$ , which includes both  
272 algorithm and random sampling errors that can vary in time and space. Bias is  
273 considered to be zero (19). Using the values presented in Land *et al.* (25), the  
274 variabilities of  $U_{10}$ ,  $SST$  and  $pCO_{2W}$  were estimated as published global standard  
275 deviations that do not vary in time or space.

276 Following the method used by Land *et al.* (25), a random noise signal was  
277 generated for each parameter and used to perturb the input data. For rain rates,

278 noise was added by using a value drawn at random from a normal distribution  
279 with mean  $X_i$  equal to the original value and standard deviation  $\sigma_i$  equal to the  
280 uncertainty value provided,  $N(X_i, \sigma_i)$ . Resulting rain rates less than zero were set  
281 to 0. For  $U_{10}$ ,  $SST$  and  $pCO_{2w}$ , noise was added by using a value drawn at random  
282 from a log-normal distribution, with a (natural) log mean equal to the log of the  
283 original data point and the published log standard deviation,  $\exp[ N(\ln X_i, \sigma) ]$ .  
284 This process was repeated with 10 different perturbations for the year 2000,  
285 producing 10 separate sets of monthly and annual results. The uncertainty  
286 estimates provided here are the standard deviation of results across these 10  
287 runs. The cumulative effect of the uncertainties on each parameter was used as  
288 an indication of total uncertainty in the resulting flux. This assumes that all of the  
289 errors are uncorrelated. In reality, there will be some inter-dependence between  
290 the input parameters, which will affect the stated errors in CO<sub>2</sub> flux.

## 291 **3.0 Results**

292 The following sections present the results for the global oceans and the  
293 individual oceanic basins.

### 294 **3.1 Annual integrated net sea-air CO<sub>2</sub> fluxes**

295 For the CO<sub>2</sub> climatology reference year (2000), the estimated annual global sea-  
296 air CO<sub>2</sub> flux without any rain impacts is -1.4 Pg C yr<sup>-1</sup>. The FluxEngine has been  
297 validated with previous outputs in this research field (6) and these annual net  
298 integrated values are consistent with the original publication of Takahashi *et al.*  
299 (13). When the CO<sub>2</sub> climatology was used to study subsequent years (eq. 5),  
300 applying SST, wind and other data from each year, the annual values are  
301 consistently negative and between -1 Pg C yr<sup>-1</sup> and -1.6 Pg C yr<sup>-1</sup>, i.e. the global  
302 ocean is a net sink of CO<sub>2</sub> (Figures. 1, 2 & Table 1). Global estimates are  
303 significantly lower during the years 1999 and 2000, meaning the net sink of CO<sub>2</sub>  
304 is at its greatest. Notably, these years correspond to a strong La Niña event.

305 Figure 1. Mean monthly CO<sub>2</sub> flux between January 1999 and December 2005 for  
306 a reference dataset (no rain components)

307 Figure 2. Annual (right axis, solid lines) and monthly (left axis, dashed lines)  
 308 global net sea-air CO<sub>2</sub> flux, without the effects of rain,  $F_{ref}$ , and with the effects of  
 309 rain,  $F_T = F_{DIC} + F_{k-rain}$ .

310 The change to global net sea-air flux due to direct wet deposition of CO<sub>2</sub>,  $F_{DIC}$ ,  
 311 varies from -60 to -64 Tg C yr<sup>-1</sup> (Figures. 3,4 & Table 1). The effect of rain  
 312 enhancing gas transfer velocity, for a non-linear model (eq. 9), varies from 3 to 6  
 313 Tg C yr<sup>-1</sup> (Figures. 3,5 & Table 1). Assuming a linear sum of these components  
 314 gives an effect on annual global net sea-air CO<sub>2</sub> flux of -56 to -58 Tg C yr<sup>-1</sup>  
 315 (Figures. 3,6 & Table 1). When compared to the estimated annual net integrated  
 316 sea-air CO<sub>2</sub> flux without any rain impacts, this equates to an increase in the  
 317 global oceanic CO<sub>2</sub> sink of 3.5 to 6%.

318 Figure 3 The monthly mean global CO<sub>2</sub> flux attributed to the enhancement of  
 319 transfer velocity (both non-linear,  $F_{rain-k}$  and non-linear,  $F_{rain-k (linear)}$ ) and Direct  
 320 deposition,  $F_{DIC}$ , TgC month<sup>-1</sup>

321 Figure 4. The mean effect of wet deposition on monthly CO<sub>2</sub> flux between January  
 322 1999 and December 2005,  $F_{DIC} - F_{ref}$

323 Figure 5 The effect of rain on monthly CO<sub>2</sub> flux between January 1999 and  
 324 December 2005, given a non-linear model of transfer velocity (eq. 9),  $F_{k-rain} - F_{ref}$ .

325 Figure 6. The combined effect of wet deposition and non-linear gas transfer  
 326 velocity on CO<sub>2</sub> flux between Jan 1999 and Dec 2005,  $(F_{DIC} + F_{k-rain}) - F_{ref}$ .

327

Table 1. Annual global integrated net flux,  $F_T$  (Tg C yr<sup>-1</sup>) with and without rain (left hand columns) and the impact of each rain component on  $F_T$  (Tg C yr<sup>-1</sup>) (right hand columns), where  $F_T = F_{DIC} + F_{k-rain}$ , (non-linear).

	Net CO2 Flux, $F$ , Tg C yr <sup>-1</sup>		Effect on CO2 flux, $\Delta F$ , Tg C yr <sup>-1</sup>			
	Reference, $F_{ref}$	$F_T$	$F_T$	$F_{DIC}$	$F_{k-rain}$ , linear	$F_{k-rain}$ , non-linear
1999	-1584.24	-1640.88	-56.64	-59.70	-27.76	3.06
2000	-1427.66	-1484.11	-56.45	-60.47	-18.29	4.03
2001	-1094.42	-1150.03	-55.61	-60.08	-2.45	4.47
2002	-1097.07	-1153.14	-56.07	-62.85	2.56	6.78
2003	-1057.83	-1114.33	-56.50	-62.40	4.27	5.90
2004	-997.91	-1053.65	-55.74	-62.19	3.96	6.45
2005	-1014.53	-1073.01	-58.48	-63.59	2.67	5.11
2006	-1122.76	-1180.59	-57.83	-63.67	2.13	5.84

328

329 Comparison of flux estimations between those made using a linear relationship  
 330 between wind and rain (eq. 8) and those made with a non-linear relationship

331 (eq. 9) showed notably different results. The non-linear parameterisation  
 332 decreased the oceanic CO<sub>2</sub> sink, whilst the linear parameterisation increased the  
 333 oceanic CO<sub>2</sub> sink (Figures, 3 & 7). The linear parameterisation also exhibited  
 334 seasonal variations with magnitude up to 10 times greater than the non-linear  
 335 parameterisation (Figure 3 & Table 2). A similar effect was observed in average  
 336 global flux, where the linear parameterisation showed significantly higher  
 337 geographic variability, ranging from an average of -0.4 to 0.4 Tg C month<sup>-1</sup>,  
 338 compared to -0.02 to 0.02 Tg C month<sup>-1</sup> for the non-linear parameterisation.  
 339 Following recommendations in Harrison *et al.* (5), the non-linear  
 340 parameterisation was adopted and the remaining results in this paper that  
 341 include  $k_{rain}$  or  $F_{k-rain}$  refer to the non-linear parameterisation (eq. 9).

342 Figure 7. The mean effect of rain on monthly CO<sub>2</sub> flux between January 1999 and  
 343 December 2005, given a linear model (Ho 2004),  $F_{k-rain(linear)} - F_{ref}$ . Note different  
 344 scale compared to figures 4,5 and 6.

Table 2. Monthly global integrated net flux,  $F_T$  (Tg C yr<sup>-1</sup>) with and without rain (left hand columns) and the impact of each rain component on  $F_T$  (Tg C yr<sup>-1</sup>) (right hand columns), where  $F_T = F_{DIC} + F_{k-rain}$  (non-linear).

Month	Net CO2 Flux, $F_T$ Tg C mnth <sup>-1</sup>		Effect on CO2 flux, $\Delta F$ , Tg C mnth <sup>-1</sup>			
	Reference, $F_{ref}$	$F_T$	$F_T$	$F_{DIC}$	$F_{k-rain}$ , linear	$F_{k-rain}$ , non-linear
Jan	-139.08	-143.92	-4.84	-5.33	-1.31	0.49
Feb	-114.47	-118.83	-4.37	-4.76	-0.14	0.40
Mar	-119.72	-124.62	-4.90	-5.49	0.00	0.59
Apr	-112.40	-117.11	-4.71	-5.21	-0.58	0.50
May	-117.18	-122.16	-4.97	-5.33	-2.09	0.35
Jun	-87.63	-92.30	-4.67	-4.99	-1.64	0.32
Jul	-53.22	-57.80	-4.58	-5.02	1.22	0.44
Aug	-27.04	-31.72	-4.68	-5.11	2.29	0.43
Sep	-21.46	-25.93	-4.47	-4.96	2.33	0.49
Oct	-76.81	-81.46	-4.66	-5.13	0.44	0.47
Nov	-135.23	-140.01	-4.78	-5.11	-2.19	0.33
Dec	-166.98	-171.88	-4.90	-5.30	-2.43	0.39

345

### 346 3.2 Spatial Variability

347 In general,  $F_{DIC}$  dominates the combined effect of rain on CO<sub>2</sub> sea-air flux and the  
 348 global distribution follows that of the precipitation estimates (Figure 4).

349 However, the strongest reductions in sea-air CO<sub>2</sub> flux were in higher latitudes,  
 350 where  $k_{rain}$  and wet deposition combined and a cumulative reduction in sea-air  
 351 flux was observed. Reductions in sea-air flux were also observed in tropical  
 352 regions with high rainfall, which represent an increase in the estimated oceanic  
 353 sink of CO<sub>2</sub>. In tropical areas with lower rainfall, an increase in net sea-air  
 354 transfer was observed, decreasing the estimated oceanic sink of CO<sub>2</sub> (Figure 6).

### 355 Regional Analysis

356 Table 3 provides the estimated sea-air CO<sub>2</sub> flux for the four regions, representing  
 357 the main oceanic basins. The effect of rain on gas transfer alters the annual  
 358 regional oceanic basin net integrated sea-air CO<sub>2</sub> flux by -0.03 to 4.5 Tg C yr<sup>-1</sup> and  
 359 is primarily positive, decreasing the oceanic sink. Wet deposition alters the  
 360 annual regional oceanic basin net integrated sea-air CO<sub>2</sub> transfer by -2 to -32 Tg  
 361 C yr<sup>-1</sup>, increasing the oceanic sink of CO<sub>2</sub>.

Table 3. Annual integrated net flux with rain components,  $F_T$  (Tg C yr<sup>-1</sup>) from 1999 – 2006, for each of the ocean basins, and the impact of each rain component on  $F_T$  (Tg C yr<sup>-1</sup>), where  $F_T = F_{DIC} + F_{k-rain}$  and all-rain =  $F_T - F_{ref}$ .

Year	Atlantic				Indian				Pacific				Southern			
	$F_T$	All-rain	$F_{DIC}$	$F_{k-rain}$ non-linear	$F_T$	All-rain	$F_{DIC}$	$F_{k-rain}$ non-linear	$F_T$	All-rain	$F_{DIC}$	$F_{k-rain}$ non-linear	$F_T$	All-rain	$F_{DIC}$	$F_{k-rain}$ non-linear
99	-493	-13.2	-14.0	0.8	-355	-11.4	-12.3	0.9	-686	-28.3	-29.6	1.3	-68.1	-2.4	-2.4	-0.02
00	-504	-13.1	-13.7	0.6	-334	-11.6	-12.6	1.0	-517	-28.1	-30.4	2.3	-91.8	-2.3	-2.3	-0.03
01	-473	-12.5	-13.1	0.6	-270	-10.7	-11.6	0.9	-326	-27.3	-30.0	2.7	-16.9	-2.0	-2.0	-0.01
02	-564	-13.7	-14.2	0.6	-290	-11.4	-13.0	1.6	-198	-27.2	-31.7	4.5	-69.9	-2.3	-2.3	-0.02
03	-469	-13.5	-14.3	0.8	-322	-11.8	-13.3	1.5	-281	-27.7	-31.2	3.5	-15.9	-2.2	-2.2	-0.01
04	-447	-13.4	-14.0	0.6	-362	-11.2	-12.8	1.6	-182	-28.0	-31.6	3.6	-36.9	-1.7	-2.2	0.01
05	-385	-13.9	-14.7	0.8	-430	-12.1	-13.4	1.3	-182	-28.3	-31.3	2.9	-47.8	-2.6	-2.5	-0.01
06	-377	-13.7	-14.5	0.8	-446	-11.8	-13.2	1.4	-296	-28.1	-31.6	3.5	-33.4	-2.9	-2.9	-0.02

362 Regionally, during 1999 and 2000, the Pacific Ocean shows the most negative  
 363 sea-air flux values,  $F_T = -686$  and  $-517$  Tg C yr<sup>-1</sup>, respectively (Table 3). However,  
 364 subsequent years show this reducing by approximately 60% to a 2001-2006  
 365 mean of  $F_T = -244$  Tg C yr<sup>-1</sup>, meaning that between 2002 and 2006, less CO<sub>2</sub> is  
 366 absorbed by the Pacific Ocean than both the Atlantic and Indian Oceans. The  
 367 substantial differences between global flux during 1999-2000 and subsequent

368 years (Figure 2 & Table 1), particularly evident in results from the Pacific (Table  
369 3), agree with previous results (30). The values for  $p\text{CO}_2$  have been fixed by the  
370 climatology (eq. 5) and variations in overall flux estimations can be attributed to  
371 changes in wind speed and water temperature during these years. The observed  
372 differences in 1999-2000 are likely to be related to the strong La Niña event  
373 during this time.

374 All regions consistently show an overall reduction in annual  $\text{CO}_2$  flux due to rain  
375 effects, increasing the oceanic  $\text{CO}_2$  sink. The Pacific reduction is the strongest,  
376 varying between 5% and 15% of the total estimated flux from this region. The  
377 change in estimated  $\text{CO}_2$  flux in the Atlantic is approximately half the magnitude  
378 of that in the Pacific, with that in the Indian Ocean slightly less again. As such,  
379 rain effects comprise between 2.4 and 4% of annual net flux in the Atlantic and  
380 Indian ocean basins, increasing the oceanic  $\text{CO}_2$  sink. The Southern Ocean  
381 exhibits the smallest net change to  $\text{CO}_2$  flux due to rain effects. However, due to  
382 the low net total  $\text{CO}_2$  flux in this region, the predicted changes due to rain  
383 represent between 3% and 14% of total flux, again increasing the oceanic sink of  
384  $\text{CO}_2$ .

### 385 **3.3 Temporal variability**

386 The monthly estimated global net  $\text{CO}_2$  flux shows a strong, consistent seasonal  
387 cycle (Figure 2). The influence of rain is again dominated by wet deposition,  $F_{DIC}$ .  
388 The global net influence of  $F_{DIC}$  varies between -5 and -5.5 Tg C month<sup>-1</sup> (Table 2),  
389 representing an increase in the oceanic  $\text{CO}_2$  sink each month. During September,  
390 this represents 20% of the global net flux,  $F_{ref}$ , reducing to 3% for December. A  
391 seasonal pattern can be observed in  $F_{DIC}$ , although this is not consistent for all  
392 years.

393 The influence of the non-linear  $k_{rain}$  term is between 0.3 and 0.5 Tg C month<sup>-1</sup> and  
394 increases net flux, which represents a decrease in the oceanic  $\text{CO}_2$  sink (Figures  
395 3,5 & Table 1). This represents between 0.2% of total flux during December and  
396 2% during September.

### 397 **3.4 Errors and uncertainty**

398 Random noise was used to perturb the input data for the year 2000, as described  
399 in section 2.5. Due to the perturbation of input signals with random noise, annual  
400 global CO<sub>2</sub> flux values varied with a standard deviation of 0.7 Tg C month<sup>-1</sup>, taken  
401 across all 10 ensemble runs. This represents 0.7% of the estimated net  
402 integrated global flux values, which is of the same order as the 0.5 % random  
403 error reported in CO<sub>2</sub> sea-air fluxes in the Arctic seas (25).

### 404 **3.5 Comparison with SOCAT Climatology**

405 Estimations of  $F_T$  using both rain effects,  $F_{DIC}$  and  $k_{rain}$ , were repeated with the  
406 SOCAT  $pCO_{2w}$  climatological data (14) replacing that of (13). The SOCAT  
407 reference year is 2010 and the trend in equation 5 was applied moving back in  
408 time from 2010. As such, the two climatologies represent global pCO<sub>2</sub> values for  
409 different years, adjusted for changes due to increased levels of atmospheric CO<sub>2</sub>,  
410 but inter-annual variability is not explicitly resolved. Furthermore,  $pCO_2$  values  
411 from Takahashi *et al.* (13) have been smoothed to best represent idealised non  
412 El-Niño conditions, whilst the SOCAT derived data set does not include such  
413 adjustments (14). Estimates were made between 2004 and 2006 both with rain  
414 effects,  $k_{rain}$  and  $F_{DIC}$  and without. During this period, results using SOCAT give an  
415 average CO<sub>2</sub> flux of -1600 Tg C yr<sup>-1</sup> compared to -1120 Tg C yr<sup>-1</sup> using (13).  
416 Importantly for this study, the estimated effect of rain on annual net integrated  
417 CO<sub>2</sub> transfer was in general agreement, with an average difference in global CO<sub>2</sub>  
418 transfer of 57 Tg C yr<sup>-1</sup> using (13) and 42 Tg C yr<sup>-1</sup> using SOCAT. The effect of rain  
419 was to increase the oceanic sink in both cases.

## 420 **4.0 Discussion**

421 In this work, the choice of a linear or non-linear parameterisation of the relative  
422 importance of wind and rain on gas transfer velocity is shown to have significant  
423 impact on the estimation of CO<sub>2</sub> flux (Figure 3). Using a non-linear term (5), both  
424 temporal and spatial variability are diminished and the average net CO<sub>2</sub> transfer  
425 is decreased. Thus, relative to the previous linear parameterisation, importance



426 of rain for gas transfer at a global level is diminished, meaning that  $F_{DIC}$  is the  
427 more important process for the impact of rain on CO<sub>2</sub> flux between the ocean and  
428 air.

429 This research provides a comprehensive global study into the effect of rain.  
430 However, the practicalities of capture, processing and storage of global data sets  
431 mean that it is often necessary to compromise on spatial and/or temporal  
432 resolution. In the case of the rain data from GPCP, the global data set is available  
433 as monthly averages in mm day<sup>-1</sup>, averaged spatially over 1° x 1°. At these scales,  
434 it is not possible to resolve intense episodic or extreme events. This raises three  
435 areas for consideration. Firstly, the transfer velocity during a single day of heavy  
436 rain within a month will not be equivalent to that calculated using equation 8b,  
437 based on a monthly average rain rate. Secondly, as discussed above, the lack of  
438 knowledge of actual rain rates during these episodes will prevent the direct  
439 estimation of the extent of temporary surface dilution and its impact on gas  
440 exchange. Finally, correlation between wind and rain within the month will also  
441 affect the gas exchange and again, cannot be predicted.

442 Taking these three areas in turn, the first is surface dilution. Rain falling onto the  
443 ocean will influence the chemical properties of surface waters. As such, it could  
444 decrease the  $pCO_{2W}$  and directly affect CO<sub>2</sub> exchange. Observational studies from  
445 Biosphere ocean experiments provide evidence for the formation of freshwater  
446 layers (3, 4). There is also in-situ evidence from the Pacific region, with direct  
447 measurements of decreased salinity at the surface during and after rain events  
448 (31). These sources identify a peak in the freshwater layer after approximately 1  
449 hour of persistent rain and highlight changes in surface stratification up to two  
450 days after the rain event.

451 Experimental data to estimate the effect of surface dilution on CO<sub>2</sub> exchange exist  
452 and Turk *et al.* (7) consider dilution for a point in the Western Equatorial Pacific.  
453 The same temporary changes to surface water composition have been seen to  
454 affect remotely-sensed salinity measurements (8) and methods have been  
455 proposed to relate these to rain rate (9), or use physical modeling to predict  
456 their existence, in order to better understand variability in remote sensing data.

457 In theory, such methods could be applied to predict the impact of freshwater  
458 layers on gas exchange. However, the spatial and temporal scales of the  
459 estimates made here are limited by the global data sets and are not sufficient to  
460 resolve individual events.

461 In addition to chemical dilution, rain falling on the sea surface could affect *SST*.  
462 Gas solubility is a function of salinity and temperature and changing *SST* will  
463 affect the CO<sub>2</sub> balance across the surface, altering exchange between air and  
464 atmosphere through equations 10 and 11. The high temperature dependency of  
465  $pCO_{2w}$  suggests that this could be an important process to consider (32, 33).  
466 Gosnell *et al.* (34) used a modeling study to investigate the relative temperature  
467 of the rain to the sea surface through estimations of the changing temperature of  
468 raindrops. In their experiment, a maximum 0.2K difference occurs at maximum  
469 rain rates (100 mm hr<sup>-1</sup>) from maximum height (5000 m). As an initial  
470 investigation, temperature differences were applied to the *SST* input data for the  
471 reference year 2000. A constant bias of 0.2 K was subtracted from surface *SST* for  
472 calculations where the rain rate exceeds 1 mm hr<sup>-1</sup>. The observed differences  
473 were negligible, resulting in the flux being altered (reduced or increased) by up  
474 to 0.02% of monthly regional integrated net CO<sub>2</sub> flux. These results imply that  
475 the rain-induced temperature differences have a negligible effect on the air-sea  
476 gas fluxes and significantly less than the total uncertainties (0.7%) calculated in  
477 section 3.3.

478 Global rain rate retrievable through the GPCP is the monthly average for a 1° x 1°  
479 grid square. Here, this has been used in equations 8 & 9 to calculate the gas  
480 transfer velocity,  $k$  as a combination of wind,  $k_{wind}$  and rain,  $k_{rain}$ , assuming  
481 constant and consistent rain rate throughout the area and throughout the month.  
482 In reality, the rain will fall at varying rates during a month and within a grid  
483 square, which will cause variability in  $k_{rain}$ , as well as the ratio of kinetic energy  
484 flux between wind and rain,  $\beta$ , which governs the contribution of  $k_{rain}$ . Heavy rain  
485 for two days in a month and no other rain, will not affect  $k_{total}$  by the same  
486 magnitude as the same rain spread over the month. However,  $Rn$  used in these  
487 studies will be the same and the temporal (or spatial) variability cannot be

488 accounted for. In order to examine how this will affect the overall outcome, the  
489  $[1 - \exp(-a\beta)] k_{rain}$  term in equation 8 was calculated for an example average  
490 monthly rain rate of 1mm/hr spread over a varying number of days in a month.  
491 At low wind speeds ( $u < 10\text{ m s}^{-1}$ ), spreading the rain over the month (as is  
492 assumed with a monthly mean) gives a higher estimate for  $k_{rain}$ , and  
493 subsequently,  $k_{total}$ , than shorter duration heavier rain. However, at higher wind-  
494 speeds ( $u > 10\text{ m s}^{-1}$ ), the  $\beta$  ratio means that low rain rates are estimated to have  
495 little effect. As such, the shorter duration, heavier rain produces a higher  
496 estimate for the influence of rain on  $k_{total}$ . This means that, the methodology may  
497 be under-estimating the effect of rain in higher latitudes and overestimating in  
498 lower latitudes. Nevertheless,  $F_{k-rain}$  has an impact on the overall flux that is a  
499 factor of 10 smaller than that of direct deposition,  $F_{DIC}$ , limiting the overall  
500 impact of variability on global results. When examining regionally, the effect will  
501 become more important and in the future, more detailed data for the pattern of  
502 rainfall would be beneficial, particularly to small regional studies in areas where  
503  $F_{k-rain}$  is relatively important, such as those with high rainfall and low wind  
504 speeds..

505 Within a grid-square and during a month, there will also be variability in the  
506 wind strength. It is a combination of wind and rain rate will govern the extent  
507 and duration of surface dilution, as well as the effect of temporal and spatial  
508 variability. Typically, in mid-latitudes, rain events are associated with developing  
509 low pressure systems and so correspond with stronger winds than average for a  
510 region. In tropical latitudes, precipitation occurs both in storms and in large  
511 convective systems with relatively gentle low-level convergence (Plate 4 of  
512 Quartly *et al.* (35)). More recently, Quartly *et al.* (36) confirmed the  
513 predominance of rain at low wave heights for a number of regions in the Atlantic,  
514 with, for some seasons, rainfall in mid-latitudes being roughly five times as likely  
515 at low sea state than at high. Further work should look towards measuring the  
516 instantaneous relationship between wind and rain. There are a number of  
517 different remote-sensing technologies that can make estimates of the rain rate at  
518 the Earth's surface. Dual-frequency altimeters can provide simultaneous  
519 estimates of wind speed, wave height and rain rate. These could support studies

520 of the correlation of these conditions (35), or even direct measurement of the  $\beta$   
521 ratio

522 It must also be noted that here we have assumed that the GPCP precipitation  
523 data characterises only rainfall, whereas precipitation also includes sleet, ice and  
524 snow. However, we have no information on how much snow and sleet falls  
525 globally each year so the impact of this assumption is unknown.

## 526 **5.0 Conclusions**

527 This paper has presented analysis of the impact of rain on global and regional  
528 sea-air CO<sub>2</sub> fluxes and the oceanic net sink of CO<sub>2</sub>. The work has exploited the  
529 open source FluxEngine software, cloud computing, advanced methods for  
530 estimating rain induced sea-air gas fluxes and an extensive dataset of climate  
531 quality satellite Earth observation, in situ, model and re-analysis data.

532 The results demonstrate a non-negligible effect of rain when estimating global  
533 and regional integrated net sea-air CO<sub>2</sub> fluxes. Differences of approximately 6%  
534 in annual global CO<sub>2</sub> flux have been estimated, which means that rain serves to  
535 increase the oceanic CO<sub>2</sub> sink.

536 Implementing the non-linear relationship between rain and wind, as  
537 recommended by Harrison *et al.* (5), over the linear relationship originally  
538 proposed by Ho *et al.* (12), significantly reduces the spatial and temporal  
539 variability with which rain enhancement of gas transfer rate affects CO<sub>2</sub> flux. This  
540 serves to diminish the importance of this rain induced gas transfer in the effect  
541 of rain on integrated net sea-air CO<sub>2</sub> fluxes

542 Globally, the observed changes are dominated by the influence of wet deposition,  
543  $F_{DIC}$ . The influence of rain varies regionally and is greatest in the Pacific Ocean  
544 where it represents up to 15% of the annual regional net flux, and up to 50% of  
545 monthly net flux. It is also important in the Southern Ocean, due to the low  
546 overall CO<sub>2</sub> sink estimate, where it represents 13% of annual net flux. Regional  
547 fluxes are more variable, with up to 16% modulation of the annual integrated net

548 CO<sub>2</sub> flux due to rain, which can be responsible for turning the region from a net  
549 source to a net sink.

550 Therefore we conclude that the impacts of rain should be included in the  
551 uncertainty analysis of studies that estimate integrated net sea-air fluxes of CO<sub>2</sub>.  
552 However, for regional or short-term studies, results suggest that rain can have a  
553 considerable impact on the fluxes, dependent upon the region and timescale and  
554 may need to be considered directly in sea-air CO<sub>2</sub> flux estimates.

555 Three key limitations of current global datasets for deriving more accurate  
556 measures of the effect of rain on gas transfer have been highlighted. Further  
557 work to exploit con-incident wind and rain data sets and associated  
558 development of a generalised parameterisation relating wind and rain rate to the  
559 concentration balance of trace gases across the interface offers significant  
560 potential in this area.

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