

1 **Running title:** mechanisms of spinosad resistance in *Tuta absoluta*

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3 **Mutation (G275E) of the nicotinic acetylcholine receptor  $\alpha 6$  subunit is**  
4 **associated with high levels of resistance to spinosyns in *Tuta absoluta***  
5 **(Meyrick) (Lepidoptera: Gelechiidae)**

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7 Wellington M. Silva<sup>1</sup>, Madeleine Berger<sup>2</sup>, Chris Bass<sup>2</sup>, Martin Williamson<sup>2</sup>, Danielle  
8 M. N. Moura<sup>3</sup>, Lílian M. S. Ribeiro<sup>1</sup> and Herbert A. A. Siqueira<sup>1\*</sup>

9

10 <sup>1</sup>Departamento de Agronomia - (Entomologia), Universidade Federal Rural de  
11 Pernambuco, 52171-900, Recife, PE, Brazil

12 <sup>2</sup>Department of Biological Chemistry and Crop Protection, Rothamsted Research,  
13 Harpenden AL5 2JQ, United Kingdom

14 <sup>3</sup>Departamento de Microbiologia, Aggeu Magalhães - FIOCRUZ, 50732-970, Recife,  
15 PE, Brazil

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19 \*Correspondence to:

20

21 Herbert Álvaro A. de Siqueira  
22 Universidade Federal Rural de Pernambuco  
23 Departamento de Agronomia (Entomologia)  
24 Rua Dom Manoel de Medeiros S/N  
25 Bairro Dois Irmãos  
26 Recife, PE – CEP 52171-900  
27 Brazil  
28 Ph: +55 (81) 3320-6234  
29 Fax: +55 (81) 3320-6205  
30 Email: herbert.siqueira@pq.cnpq.br.

31

32 **Abstract**

33 The tomato leafminer, *Tuta absoluta*, now a major pest of tomato crops worldwide, is  
34 primarily controlled using chemical insecticides. Recently, high levels of resistance to  
35 the insecticide spinosad have been described in *T. absoluta* populations in Brazil.  
36 Selection of a resistant field-collected strain led to very high levels of resistance to  
37 spinosad and cross-resistance to spinetoram, but not to other insecticides that target the  
38 nicotinic acetylcholine receptor (nAChR). In this study the mechanisms underlying  
39 resistance to spinosad were investigated using toxicological, biochemical and molecular  
40 approaches. Inhibition of metabolic enzymes using synergists and biochemical  
41 assessment of detoxification enzyme activity provided little evidence of metabolic  
42 resistance in the selected strain. Cloning and sequencing of the nAChR  $\alpha 6$  subunit from  
43 *T. absoluta*, the spinosad target-site, from susceptible and spinosad-resistant strains was  
44 done to investigate the role of a target-site mechanism in resistance. A single nucleotide  
45 change was identified in exon 9 of the  $\alpha 6$  subunit of the resistant strain, resulting in the  
46 replacement of the glycine (G) residue at position 275 observed in susceptible *T.*  
47 *absoluta* strains with a glutamic acid (E). A high-throughput DNA-based diagnostic  
48 assay was developed and used to assess the prevalence of the G275E mutation in 17  
49 field populations collected from different geographical regions of Brazil. The resistant  
50 allele was found at low frequency, and in the heterozygous form, in seven of these  
51 populations but at much higher frequency and in the homozygous form in a population  
52 collected in the Iraquara municipality. The frequency of the mutation was significantly  
53 correlated with the mortality of these populations in discriminating dose bioassays. In  
54 summary our results provide evidence that the G275E mutation is an important  
55 mechanism of resistance to spinosyns in *T. absoluta*, and may be used as a marker for  
56 resistance monitoring in field populations.

57 **Keywords:** Tomato leafminer, insecticide resistance, nACh receptor, target-site  
58 alteration, metabolism

## 59 **1. Introduction**

60 The tomato leafminer, *Tuta absoluta* is a global threat to tomato production because of  
61 its great damage potential, short life cycle and high reproductive capacity [1-3]. *T.*  
62 *absoluta* originated in South America and was introduced to Brazil sometime between  
63 1979 – 1980, after which it quickly spread nationwide causing serious damage to  
64 tomato crops [4, 5]. This pest arrived in Europe in 2006 and then subsequently spread to  
65 North Africa and the Middle East, and is now a serious problem for tomato cropping in  
66 a large number of countries [2].

67 The main method of *T. absoluta* control is through the application of chemical  
68 insecticides, however, the reliance on insecticides for control has led to the evolution of  
69 resistance [6]. The intensive use of organophosphates, carbamates, pyrethroids,  
70 benzoylureas, avermectin, indoxacarb and diamides has led to reports of resistance in *T.*  
71 *absoluta* populations in Brazil, Chile, Argentina, Greece and Italy [3, 7-13].

72 The insecticide spinosad was commercially introduced for pest control in 1997 [14].

73 The active ingredient of spinosad is a mixture of two compounds, spinosyn A and  
74 spinosyn D, produced by the microorganism *Saccharopolyspora spinosa* [15]. Spinosad  
75 acts on the nicotinic acetylcholine receptor (nAChR) causing a change in receptor  
76 conformation, leading to the opening of ion channels to the conduction of nerve  
77 stimulation, causing tremors, paralysis and death of the insect [16-18]. The nAChR is  
78 composed of five subunits, arranged symmetrically around a central pore with each  
79 subunit containing four transmembrane (TM1-TM4) domains and an extracellular N-  
80 terminal domain that includes the acetylcholine binding site [19]. Most insect genomes  
81 contain around 10-12 genes encoding nAChR subunit subtypes [20, 21]. Of these  
82 spinosad appears to specifically target the  $\alpha 6$  subunit as studies on a number of insect

83 species have described an association between modification of this subunit subtype and  
84 spinosad resistance. For example, in the fruit fly, *Drosophila melanogaster* a strain with  
85 a D $\alpha$ 6 knockout was shown to be highly resistant to spinosad [22]. In the crop pests, the  
86 diamondback moth, *Plutella xylostella* and the oriental fruit fly *Bactrocera dorsalis*  
87 spinosad resistance was linked to mutations in the nAChR  $\alpha$ 6 subunit that result in  
88 truncated transcripts [23, 24]. Recently, spinosad resistance in western flower thrips,  
89 *Frankliniella occidentalis*, and melon thrips, *Thrips palmi*, was reported to be  
90 associated with a single nucleotide change in the nAChR  $\alpha$ 6 subunit, resulting in the  
91 replacement of a glycine (GGG) residue in susceptible insects with a glutamic acid  
92 (GAG) in resistant insects [25, 26] at position 275, which is located in a conserved  
93 region towards the top of TM3.

94 In *T. absoluta*, high levels of resistance to spinosad were recently described in a field  
95 population from Brazil [27]. Further selection led to a strain which exhibited extremely  
96 high levels of resistance to spinosad [28]. The resistance of this strain was autosomal,  
97 recessively inherited, monofactorial, and showed strong cross-resistance to spinetoram  
98 (spinosoid) but not to thiamethoxam (a neonicotinoid) suggesting resistance is mediated  
99 by a target-site mechanism [28]. Despite this finding, the underlying mechanisms of  
100 resistance to spinosyns in *T. absoluta* remain to be characterized.

101 The aim of the current study was to investigate the molecular and biochemical  
102 mechanisms underlying resistance to spinosyns in resistant strains. The possible  
103 involvement of detoxification enzymes such as cytochrome P450-dependent  
104 monooxygenases (P450<sub>s</sub>), glutathione *S*-transferase (GST<sub>s</sub>) and carboxylesterases (CE<sub>s</sub>)  
105 was examined using insecticide synergists and enzymatic assays. To explore the role of  
106 a target-site alteration in resistance the *T. absoluta* nAChR  $\alpha$ 6 subunit (*Taa6*) was PCR  
107 amplified, cloned and sequenced from resistant and susceptible strains. Finally, a

108 molecular diagnostic tool was developed that allowed sensitive detection of a  
109 resistance-associated mutation in individual *T. absoluta* and used to screen 17 field  
110 populations collected from different geographical regions of Brazil.

## 111 **2. Material and Methods**

### 112 *2.1 Chemicals*

113 Spinosad (Tracer 480 g AL/l concentrated suspension) was obtained from Dow  
114 AgroSciences industrial Ltda, Franco da Rocha, SP, Brazil. The insecticide synergists  
115 used in this study were dimethyl maleate (DEM - 99%, Sigma, Milwaukee, WI, USA),  
116 S,S,S triphenyl phosphate (DEF - 93%, Sigma, Milwaukee, WI, USA) and piperonyl  
117 butoxide (PBO - 90%, Sigma, Milwaukee, WI, USA). The reagents and solvents used in  
118 enzyme assays were purchased from Sigma-Aldrich (Milwaukee, WI, USA), except for  
119 the protein assay kit which was purchased from Pierce Chemical Co. (Rockford, IL,  
120 USA).

### 121 *2.2. Insects*

122 The susceptible strain of *T. absoluta* (Pelota – RS, named here as PLT-Sus) was  
123 collected and maintained in the laboratory without exposure to insecticide. A strain of *T.*  
124 *absoluta* from Iraquara-BA, previously reported as resistant to spinosad [27], was  
125 divided into two cultures: one without exposure (named as IRA-Unsel) and the other  
126 (named as IRA-Sel) subjected to further selection with spinosad and maintained  
127 indefinitely under selection with 500 mg Al/l of spinosad under laboratory conditions  
128 [28]. For phenotyping and genotyping, 15 other populations collected from different  
129 geographical regions of Brazil were used as detailed in Table 1.

### 130 *2.3 Bioassays*

131 Full dose response toxicological bioassays were conducted using the PLT-Sus, IRA-  
132 Unsel, and IRA-Sel strains using a completely randomized design with two replications  
133 per treatment, with the whole bioassay repeated twice. Seven to eight concentrations of  
134 spinosad that resulted in mortality between 0 and 100% were used, with bioassays  
135 performed as described previously [29]. The spinosad solutions were diluted in water  
136 containing 0.01% Triton X-100. Control solution consisted of diluent minus insecticide.  
137 Spinosad-treated (or diluent treated in the case of controls) tomato leaflets were placed  
138 in Petri dishes (80 mm diameter) with ten 2<sup>nd</sup> instar larvae of *T. absoluta* and bioassays  
139 were maintained under controlled environmental conditions ( $25 \pm 1$  °C temperature,  $65$   
140  $\pm 5\%$  relative humidity and 12:12 (L:D) photoperiod. Larval mortality was assessed 48  
141 hours after exposure by prodding the insects with a fine paintbrush. Larvae were  
142 considered dead if they were unable to move the length of their body.

143 Discriminating dose bioassays were performed using the doses identified previously by  
144 Campos et al. [28]. To discriminate heterozygous and homozygous resistant insects the  
145 doses 0.25 and 5 mg AI /l of spinosad prepared as above were used respectively. Five  
146 replicates each comprising ten 2<sup>nd</sup> instar larvae of *T. absoluta* + a control treatment were  
147 used. Mortality was assessed 48 hours after exposure.

#### 148 *2.4 Synergism bioassays*

149 Second instar larvae of spinosad susceptible (PLT-Sus), unselected (IRA-Unsel) and  
150 selected (IRA-Sel) strains were exposed to spinosad + PBO, + DEF or + DEM in  
151 concentration-mortality bioassays to determine if metabolism is involved in the  
152 resistance. The bioassays were performed essentially as described for the concentration-  
153 mortality bioassays, but with all larvae topically treated ( $0.2 \mu\text{L larvae}^{-1}$ ) with 1.0 mg  
154 AI/ml of either PBO, DEM, or DEF before exposure to spinosad. The selected synergist  
155 concentrations caused no mortality when used alone on *T. absoluta*.

156 *2.5 Sample extraction for enzyme assays*

157 For enzyme assays, 10 L2 larvae of each population were transferred to a microfuge  
158 tube with three replicates for each assay. For esterase and glutathione *S*-transferase  
159 assays, each sample was homogenized in 200  $\mu$ L of sodium phosphate buffer at 0.02 M,  
160 pH 7.2 or sodium phosphate buffer (0.1 M, pH 7.5), using a Potter-Elvehjem  
161 homogeniser. Homogenates were centrifuged at 15,000 g and 4°C for 15 min and  
162 supernatants harvested and stored at -20°C. For cytochrome P450-dependent  
163 monooxygenase assays, samples were homogenised in 500  $\mu$ L sodium phosphate buffer  
164 (0.1M, pH 7.5) + glycerol at 20% and microsomes were prepared in the same buffer.  
165 Homogenates were centrifuged at 15,000 g and 4°C for 15 min to separate cell debris  
166 and the supernatant was ultra-centrifuged at 100,000 g for 60 min in an Optima™ L-80  
167 XP ultracentrifuge (Beckman Coulter, Palo Alto, CA) to obtain microsomes with the  
168 resulting microsomal pellet resuspended in homogenization buffer containing 20%  
169 glycerol [30]. Quantitation of protein was determined by the bicinchoninic acid method  
170 using bovine serum albumin (BSA) as standard [31].

171 *2.6 Esterase assays*

172 Esterase activity was measured with a method adapted from van Asperen [32]. Stock  
173 solutions (250mM) of  $\alpha$ -naphthyl acetate and  $\beta$ -naphthyl acetate were prepared in  
174 acetone. For esterase analysis using  $\alpha$ -naphthyl acetate as substrate each reaction  
175 consisted of 2  $\mu$ L  $\alpha$ -naphthyl acetate, 10  $\mu$ L of sample diluted to 1:100 and 188  $\mu$ L of  
176 sodium phosphate buffer (0.02M, pH 7.2). The same procedure was carried out for  
177 esterase analysis using  $\beta$ -naphthyl acetate as substrate, however the samples were  
178 diluted to 1:10. Samples were then incubated at 30°C for 15 minutes and reactions  
179 stopped using 33.2  $\mu$ L of 0.3% FAST Blue B. Absorbance was read at 595 nm on a  
180 microtiter plate reader (Elx800, BioTek®, Winooski, VT, USA). Each sample was

181 analysed in triplicate. A standard curve was prepared with  $\alpha$ -naphthol and  $\beta$ -naphthol.

182 Esterase activity was expressed as  $\text{mmol min}^{-1} \mu\text{g}^{-1}$  of protein<sup>-1</sup>.

### 183 2.7 Glutathione S-transferase assays

184 Conjugation activity of reduced glutathione was determine using CDNB (1-chloro-2,4-

185 dinitrobenzene) substrate in the presence of glutathione S-transferase, forming 2,4-

186 dinitrophenyl-S-glutathione [33]. CDNB solution (150 mM) was prepared in ethanol

187 and reduced glutathione (10 mM) was dissolved in sodium phosphate buffer (0.1M, pH

188 7.5). For each reaction, 138  $\mu\text{L}$  of sodium phosphate buffer (0.1 M, pH 7.5), 10  $\mu\text{L}$  of

189 sample containing 1  $\mu\text{g}$  of protein and 150  $\mu\text{L}$  of reduced glutathione (10 mM) were

190 mixed. The mix was incubated in a water bath at 30°C for 5 minutes before 2  $\mu\text{L}$  of

191 CDNB (150 mM) was added to the reaction. The formation of 2,4-dinitrophenyl-S-

192 glutathione was immediately measured using a biophotometer (Eppendorf) at 340 nm

193 with the reaction monitored for 5 minutes with read intervals of 30 sec. Each sample

194 was analyzed in triplicate, and measurements comprised a total of nine replicates.

195 Absorbance data was analysed as function of reaction time after addition of CDNB. The

196 slope of the line (absorbance/min) was transformed using the extinction coefficient of

197 CDNB ( $9.6 \text{ mM}^{-1} \cdot \text{cm}^{-1}$ ).

### 198 2.8 Cytochrome P<sub>450</sub>-dependent mono-oxygenase (O-demethylase) assays

199 Activity of cytochrome P<sub>450</sub> was determined through O – demethylation by monitoring

200 the conversion of substrate *p* – nitroanisole ( $\text{O}_2\text{N}-\text{C}_6\text{H}_4-\text{O}-\text{CH}_3$ ) to nitrophenol [34].

201 The activity of cytochrome P<sub>450</sub>-dependent monooxygenase was measured by mixing

202 178.8  $\mu\text{L}$  of sodium phosphate resuspension buffer (0.1M, pH 7.5), 56.2  $\mu\text{L}$  of sample,

203 2.5  $\mu\text{L}$  *p* – nitroanisole (150 mM in ethanol) and 12.5  $\mu\text{L}$  of reduced NADPH (9.6 mM)

204 in each well and in this order. The mix was incubated for 15 min at 37°C before HCl

205 (1M) was added to stop the reaction. Subsequently the mix was centrifuged at 14,000g

206 for 10 min, and 200  $\mu\text{L}$  of supernatant was transferred to microtiter plate wells and read  
207 at 405  $\text{nm}$  on a microplate reader. Each sample was analysed in triplicate, and  
208 measurements comprised a total of nine replicates. Activity of cytochrome  $\text{P}_{450}$ -  
209 dependent monooxygenases per sample was determined based on a standard curve of *p*-  
210 -nitrophenol in  $\text{nmoles min}^{-1} \mu\text{g}^{-1}$  of protein $^{-1}$ .

### 211 2.9 RNA extraction and cDNA synthesis

212 Total RNA was isolated from three independent pools of 10 larvae of susceptible and  
213 resistant *T. absoluta* strains, using Trizol reagent (Invitrogen® Life Technologies)  
214 following the manufacturer's protocol. SuperScript® III First Strand Synthesis Kit for  
215 RT-PCR system (Invitrogen® Life Technologies) was used for cDNA synthesis.  
216 Reactions comprised 200 ng of RNA, 1  $\mu\text{L}$  of random hexamers (50  $\text{ng } \mu\text{L}^{-1}$ ) and 1  $\mu\text{L}$   
217 dNTPs (10mM). Samples were incubated at 65°C for 5 min placed on ice for 1 min and  
218 the following reagents added: 4  $\mu\text{L}$  10X RT buffer, 1  $\mu\text{L}$  25 mM  $\text{MgCl}_2$ , 1  $\mu\text{L}$  DDT, 1  
219  $\mu\text{L}$  of RNase Out, 1  $\mu\text{L}$  Superscript III (200 U/ $\mu\text{L}$ ), samples were then incubated for  
220 25°C for 10 min, 50°C for 50 min and 85°C for 15 min. Any remaining RNA was then  
221 removed from cDNA preparations by adding 1  $\mu\text{L}$  of RNA H to each reaction and  
222 heating at 37°C for 20 min.

#### 223 2.9.1 Molecular cloning of the *T. absoluta* nAChR $\alpha 6$ subunit ( $\text{Ta}\alpha 6$ )

224 Nested PCR was used to amplify the  $\alpha 6$  subunit of the nAChR receptor in two  
225 amplicons using cDNA prepared from susceptible, unselected and selected *T. absoluta*  
226 strains. Specific primers were based on a nAChR  $\alpha 6$  sequence from a *de novo*  
227 assembled transcriptome of *T. absoluta* (unpublished). Primary PCR reactions were  
228 performed with 1  $\mu\text{L}$  of cDNA containing 10 pmol of each primer pair: Spod\_a6\_F3  
229 (TGC CCG TRT CGG AGC AAG) and Tuta\_nachr\_mid\_R1 (GAG TCT GGT GGC  
230 AGT GTA) were used to amplify the first half of the subunit and Tuta\_nachr\_mid\_F1

231 (GGA GGC GAT TTA TCA GAC T) and Tuta \_nachr\_R1 (AAT AGT GTG AAC  
232 ACG AAC AGG) to amplify the second half. In secondary reactions, 1 µL of the  
233 primary PCR product was used, containing the primers Spod\_a6\_F3 and  
234 Tuta\_nachr\_QPCR\_R1 (AACACATGGCACGATCAGGT) for the first half and  
235 Tuta\_nachr\_mid\_F2 (TGG CGA ATG GTA TTT GAT AGG) and Tuta\_nachr\_R2  
236 (ACC TGT CAA CAA CCA TCG C) for the second half. PCR reactions also contained  
237 5 µL of 10X AccuPrime™ PCR Buffer II, 0.2 µL of AccuPrime™ *Taq* DNA  
238 Polymerase High Fidelity (Invitrogen® Life Technologies) and 41.8 µL of nuclease-  
239 free H<sub>2</sub>O. The amplification profile consisted of the following steps: initial denaturation  
240 at 94° C for 2 min followed by 35 cycles (94° C / 30 s, 52° C / 1min and 72° C / 2 min,  
241 followed by a final extension step at 72° C for 5 min. PCR products (~800 bp) were run  
242 on 1.5% agarose gels pre-stained with SYBR Safe DNA stain (Invitrogen® Life  
243 Technologies), and products purified from gel slices using the Wizard® SV Gel and  
244 PCR Clean-Up System (Promega, USA) according to the manufacturer's  
245 recommendations. Amplified fragments were cloned into pCR® 2.1-TOPO® TA vector  
246 (Invitrogen® Life Technologies) and sequenced on a ABI 3500 sequencer (Applied  
247 Biosystems, Cleveland, Ohio, USA). Analysis of the sequencing results was performed  
248 using Geneious R7.1 (Biomatters Ltd., New Zealand).

#### 249 *2.9.2 DNA extraction*

250 Insects were placed in liquid nitrogen and homogenized individually in a 1.5 ml  
251 microfuge tube using a mini pestle. After the addition of 200 µl of DNAzol®  
252 (Invitrogen® Life Technologies) homogenates were centrifuged at 15,000 g at 4°C for  
253 15 min. 100µl of 100% ethanol was then added to precipitate DNA and samples were  
254 centrifuged at 15,000 g at 4°C for 20 min. Pellets were washed with 70 % ethanol, air-  
255 dried for 5 min at room temperature and dissolved in 30 µl of nuclease-free H<sub>2</sub>O. The

256 quality and quantity of DNA was assessed using a spectrophotometer (NanoQuant  
257 Infinite 200, Tecan, Switzerland).

### 258 *2.9.3 Intron amplification*

259 To facilitate the development of a DNA-based diagnostic assay long PCR enzyme mix  
260 (Thermo Scientific, USA) was used to amplify intronic sequence upstream of exon  
261 nine/downstream of exon 8. The primers Ta\_a6\_ex8\_761F (TCT CGC TGA CGG TGT  
262 TTT TGA ACC TG) and Ta\_a6\_ex9\_934R (GCA TCT CAT GAA TGT CCG CCG  
263 TTC GAT) were designed for this purpose. PCR reactions consisted of 2.5 µl of 10X  
264 Long PCR (Fermentas, Life Sciences) buffer with 15 mM MgCl<sub>2</sub>, 1 µl of dNTP mix  
265 (10mM), 18 µl of nuclease-free water, 1 µl of forward primer (10 µM), 1 µl of reverse  
266 primer (10 µM) and 0.5 µl of Long PCR Enzyme Mix per reaction. Genomic DNA (50  
267 ng) was added to each sample. A 16 hour programme (94°C 2 min, 35 cycles of: 94°C/  
268 10 seconds, 55°C/20 seconds, 68°C /25 minutes, with a final extension of 68°C/ 20  
269 min) was performed. PCR products were cleaned using the Wizard® SV Gel and PCR  
270 Clean-Up System (Promega, USA). The purified PCR products were sequenced by  
271 Eurofins Genomics, Germany.

### 272 *2.9.3 TaqMan diagnostic assays*

273 Forward and reverse primers and two probes were designed using the Custom TaqMan  
274 Assay Design Tool (Applied Biosystems). The primer G275E\_F ACA CTG TAA GCA  
275 CAA TAC TGTTGATCTAAT and G275E\_R- GCC ACC ATA AAC ATG ATG CAA  
276 TTGA, were used to amplify the region encompassing the G275E mutation site. For all  
277 assays the probe labelled with VIC (TGG CAG GGA CTTAC), was specific for the  
278 wild-type allele, while a second probe, labelled with FAM (TGG CAG AGA CTT AC)  
279 was specific for the mutant allele. Each probe also carried a 3' non-fluorescent  
280 quencher. PCR reactions (15 µl) contained 2 µL of genomic DNA extracted from

281 individual insects using DNazol reagent, 7.5 µl of SensiMix DNA kit (Quantace), 800  
282 nM of each primer and 200 nM of each probe. Samples were run on a Rotor-Gene 6000  
283 (Corbett Research) using the temperature cycling conditions of: 10 min at 95°C  
284 followed by 40 cycles of 95°C for 10 s and 65°C for 45 s. The increase in fluorescence  
285 of the two probes was monitored in real time by acquiring each cycle on the yellow  
286 (530 nm excitation and 555 nm emission) and green channel (470 nm excitation and  
287 510 nm emission) of the Rotor-Gene respectively.

#### 288 *2.9.4 Data analysis*

289 Mortality data obtained from concentration–response bioassays were corrected with the  
290 mortality observed in the control treatment [35] and analysed by probit analysis at  $P >$   
291 0.05 [36] using the program Polo-Plus<sup>®</sup> [37]. Resistance ratios were calculated through  
292 the “lethal ratio test” and were considered significant if 95 % confidence interval (CI)  
293 did not include the value 1.0 [38]. Data on the activity of esterases, glutathione *S*-  
294 transferases, and cytochrome P450-dependent monooxygenases were analysed using  
295 SAS [39]. The assumptions of normality and homoscedasticity were tested using PROC  
296 UNIVARIATE and PROC GLM [39]. The activity data were subjected to an analysis of  
297 variance (ANOVA) using PROC ANOVA and the Tukey’s test (HSD) at  $P < 0.05$  for  
298 grouping the means [39]. The R allele frequency from genotyping data was subjected to  
299 correlation analysis with the mortality data from discriminating dose bioassay of each  
300 population, using PROC CORR [39].

### 301 **3. Results**

#### 302 *3.1 Bioassays*

303 Diagnostic bioassays detected low levels of resistance in Brazilian populations of *T.*  
304 *absoluta*, except in Iraquara-BA where significantly higher levels of resistance were  
305 observed. Mortality ranged from  $7 \pm 3$  to 100 % using a concentration of 0.25 mg

306 spinosad L<sup>-1</sup> and when a concentration of 5mg spinosad L<sup>-1</sup> was used only 2 populations  
307 showed any survivorship (Table 1). In the dose-response bioassays the PLT-Sus  
308 population had an LC<sub>50</sub> of 0.020 mg spinosad L<sup>-1</sup> and the IRA-Unsel population had an  
309 LC<sub>50</sub> of 5.8 spinosad L<sup>-1</sup>, while the IRA-Sel population presented an LC<sub>50</sub> of 1001 mg  
310 spinosad L<sup>-1</sup> (Table 2). The IRA-Unsel population had a resistance ratio of 284-fold,  
311 while the population subjected to selection pressure showed a resistance ratio of 48,900-  
312 fold (Table 2).

### 313 3.2 Synergism Assays

314 The synergistic ratio of PBO, DEF and DEM for spinosad was 1.1-, 3.5- and 1.6-fold  
315 respectively in the PLT-Sus population, and 2.4-, 3.3- and 4.1-fold in the IRA-Unsel  
316 population (Table 3). Synergism of spinosad was statistically significant for all three  
317 synergists for the unselected population but only for DEF in the PLT-sus population. In  
318 the IRA-Sel population, synergistic ratios were 0.5- 0.6- and 0.6-fold for PBO, DEF and  
319 DEM respectively, which were not statistically significant suggesting no involvement of  
320 metabolism in resistance to spinosad.

### 321 3.3 Enzyme Assays

322 Biochemical assays of esterase activity differed significantly among populations of *T.*  
323 *absoluta* using both  $\alpha$ -naphthyl acetate and  $\beta$ -naphthyl acetate as substrates. The  $\alpha$ -  
324 esterase activity was  $0.02 \pm 0.004$  mmol/ min/ $\mu\text{g}^{-1}$ ,  $0.05 \pm 0.005$  mmol/min/ $\mu\text{g}^{-1}$  and  
325  $0.03 \pm 0.008$  mmol/min/ $\mu\text{g}^{-1}$  for the PLT-Sus, IRA-Unsel and IRA-Sel strains  
326 respectively (Table 4). While the  $\beta$ -esterase activity varied from  $0.050 \pm 0.010$   
327 mmol/min/ $\mu\text{g}^{-1}$  (IRA-Sel) to  $0.09 \pm 0.010$  mmol/min/ $\mu\text{g}^{-1}$  (PLT-Sus) (Table 4). Assays  
328 of glutathione S-transferase (GST) activity showed significant differences between the  
329 strains with variation of 2.4-fold observed. The IRA-Sel strain had the greatest GST  
330 activity ( $72.6 \pm 1.1$   $\mu\text{mol/ min/}\mu\text{g}^{-1}$ ) while the PLT-Sus strain had the lowest activity (30

331  $\pm 3.6 \mu\text{mol/ min}/\mu\text{g}^{-1}$ ) (Table 4). The activity of cytochrome P450–dependent  
332 monooxygenases differed significantly between the strains tested with variation of 3 -  
333 fold. Activity of cytochrome P450–dependent monooxygenases mediated by *O*-  
334 demethylase, ranged from  $0.02 \pm 0.004 \text{ nmoles}/\text{min}/\mu\text{g}^{-1}$  for the susceptible population  
335 to  $0.06 \pm 0.002 \text{ nmoles min}/\mu\text{g}^{-1}$  for the selected population (Table 4).

#### 336 *3.4 Cloning the nAChR alpha 6 subunit of T. absoluta (Taα6)*

337 The nAChR  $\alpha 6$  subunit of the PLT-Sus, IRA-Unsel and IRA-sel populations was PCR  
338 amplified, cloned, sequenced and deposited with Genbank under accession number  
339 KP771859. Comparison of the sequence obtained from the resistant IRA-Unsel and  
340 IRA-sel strains with that of the susceptible strain revealed the presence of a single point  
341 mutation in the unselected and resistant populations resulting in an amino acid  
342 substitution of glycine (GGG) to glutamic acid (GAG) at position 275 in exon 9 of the  
343  $\alpha 6$  subunit (see figure 1). The codon for the mutated amino acid was found to span exon  
344 9 and exons 8a/8b, with the resistance-associated mutation being at the start of exon 9.  
345 A TaqMan diagnostic assay was developed (see below) and used to determine the exact  
346 frequency of the mutation in the IRA-sel strain (69%) and IRA-Unsel strain (67.5%).

#### 347 *3.5 TaqMan diagnostic assays*

348 After optimization the TaqMan assay allowed sensitive detection of the G275E  
349 mutation in individual insects (see figure 2). The assay uses two probes, one specific for  
350 the resistant (mutant) allele labelled with FAM and the other specific for the susceptible  
351 (wild-type) allele labelled with VIC. A homozygous resistant individual produced a  
352 strong increase in FAM fluorescence, whilst a homozygous wild-type individual  
353 produces a strong increase in VIC fluorescence. Heterozygous individuals produce an  
354 intermediate increase in both channels (Fig. 2). The TaqMan assay was used to screen

355 340 *T. absoluta* individuals collected from different regions in Brazil. This revealed that  
356 the frequency of the G275E allele is present at only low frequency in populations of *T.*  
357 *absoluta* in Brazil (Table 1). The resistant allele was only observed, exclusively in the  
358 heterozygous form, in populations of Anápolis - GO, Brasília - DF, Gameleira II - DF,  
359 João Dourado I - BA, João Dourado III - BA, Lagoa Grande - PE, Paulínia -SP e  
360 Sumaré – SP ranging in frequency from 2.5-12.5%. However, the Iraquara-BA  
361 population was the exception to this trend where the mutation was detected at high  
362 frequency (67.5%) and in the homozygous form (Table 1). The frequency of the  
363 resistant allele was found to correlate strongly with the level of mortality observed for  
364 each population in discriminating dose bioassay with increasing R allele frequency  
365 correlated with a decrease in mortality in bioassays (DD<sub>1</sub>,  $r=-0.835$ ,  $P<0.0001$ ,  $N=17$ )  
366 and (DD<sub>2</sub>,  $r=-0.958$ ,  $P<0.0001$ ,  $N=17$ ).

#### 367 **4. Discussion**

368 The indiscriminate use of insecticides in Brazil for the control of *T. absoluta* has  
369 resulted in the rapid emergence of resistant populations [10, 11, 27]. The loss of  
370 efficacy of traditional, cheaper products to resistance has in turn caused an increase in  
371 the use of newer chemistry such as spinosad. As a result resistance to this compound  
372 has now been described in *T. absoluta*, with a recent study describing high levels of  
373 spinosad resistance in certain *T. absoluta* populations from Brazil [27]. Furthermore,  
374 laboratory selection of a spinosad resistant field strain from Brazil led to a rapid  
375 increase in resistance to this insecticide [28]. The primary objective of the current study  
376 was to characterize the molecular and biochemical basis of spinosad resistance in *T.*  
377 *absoluta* with the aim of developing a mechanism-specific molecular diagnostic that can  
378 be used to rapidly screen populations across the country.

379 The three enzyme systems we analyzed in the present study are those most commonly  
380 involved in resistance to several insecticides in a range of different insect species [40-  
381 43]. Increased monooxygenase (*O*-demethylase) activity has been associated with  
382 resistance to spinosad in *S. exigua* and *H. armigera* [44, 45]. In the case of *T. absoluta*  
383 Reyes et al. [46] showed that resistance to spinosad in Chilean populations was  
384 associated with increased cytochrome P450-dependent monooxygenases. However, we  
385 did not find enhanced P450 activity or synergism of spinosad using a P450 inhibitor in  
386 the IRA-Sel population, which would explain the very high level of resistance,  
387 suggesting metabolism may be associated with lower levels of resistance. However,  
388 significant synergism of spinosad was observed in the IRA-Unsel founder population  
389 using all three inhibitors. Campos et al. [28] observed a decreased activity of  
390 cytochrome P450-dependent monooxygenases and esterases following the selection  
391 course of the IRA-Unsel colony. Other studies report that the synergists DEF and PBO  
392 did not enhance the toxicity of spinosad in *P. xylostella*, *M. domestica*, *S. exigua* and *F.*  
393 *occidentalis* [47-49].  
394 Cloning and sequencing of the spinosad target-site, the nAChR  $\alpha 6$  subunit revealed a  
395 single non-synonymous change in the IRA-sel and IRA-Unsel strain compared to the  
396 susceptible strain that results in an amino acid substitution, G275E, predicted to lie at  
397 the top of the third  $\alpha$ -helical transmembrane domain. This amino acid substitution has  
398 been previously reported in two thrip species, *F. occidentalis* and *T. palmi* where it was  
399 also associated with resistance to spinosad [25, 26]. In *F. occidentalis* the G275E  
400 substitution was identified in a laboratory-selected strain displaying high levels of  
401 resistance (resistance ratio > 350,000) to spinosad that was selected from a field  
402 population collected in Almeria, Spain, that had been subjected to intensive treatment  
403 with spinosad [47]. Resistance to spinosad in this strain was reported to be autosomal,

404 almost completely recessive and controlled by a single locus [50]. The effect of this  
405 mutation on nAChR function was characterized through the expression of the analogous  
406 mutation (A275E) in the human nAChR  $\alpha 7$  subunit in *Xenopus oocytes* where it was  
407 found to abolish the modulatory effects of spinosad but had no significant effect upon  
408 activation by the natural ligand acetylcholine [26]. The G275E mutation has also been  
409 recently associated with spinosad resistance in two strains of *T. palmi* collected from  
410 Japan, although synergist bioassays provided evidence that metabolic mechanisms may  
411 also contribute to resistance in at least one of these strains [25].

412 In *P. xylostella* resistance to spinosad has been associated with a truncated nAChR  $\alpha 6$   
413 subunit sequence in resistant individuals [23]. Rinkevich et al. [51] also reported that  
414 resistance to spinosad in this species is associated with mutations that generate  
415 premature stop codons shortly after TM3. Truncated nAChR  $\alpha 6$  subunits were also  
416 associated with resistance to spinosad in *B. dorsalis* and *D. melanogaster* [24, 52]. In  
417 contrast to these studies our findings represent an example of spinosad resistance in a  
418 lepidopteran species resulting from a point mutation that may not lead to receptor loss  
419 of function as found for *F. occidentalis*.

420 The G275E mutation was identified in this study in two spinosad resistant lab strains of  
421 *T. absoluta* and its presence also associated with resistance in field strains (see below).  
422 However, it is unlikely to fully explain the extreme resistance phenotype exhibited by  
423 the spinosad-selected IRA-sel strain as the frequency of the G275E mutation in this  
424 strain was essentially the same as that of the unselected IRA-Unsel strain, suggesting  
425 additional mechanisms contribute to resistance in the IRA-sel strain. One potential  
426 alternative mechanism is metabolic resistance. However, as detailed above bioassays  
427 using inhibitors of the three main enzyme systems frequently involved in resistance and  
428 biochemical assessment of enzyme activity failed to provide evidence of metabolic

429 resistance in the IRA-sel strain. Furthermore, no additional mutations or indels were  
430 consistently observed in the gene encoding the nAChR alpha 6 between the IRA-Unsel  
431 and IRA-sel resistant strain. Further molecular characterisation of the resistance  
432 observed in this strain is therefore required with investigation of alternative xenobiotic  
433 detoxification systems and reduced insecticide penetration two possible areas of future  
434 research.

435 To determine the current frequency of the G275E mutation in populations of *T. absoluta*  
436 in Brazil and its association with resistance we developed a high-throughput DNA-  
437 based diagnostic assay that can be used to screen individual insects for the presence of  
438 the mutation. This platform has been used previously to screen global populations of *T.*  
439 *absoluta* for *kdr* mutations associated with pyrethroid resistance [53, 54]. In the current  
440 study the TaqMan assay was used to screen 17 field-collected populations for the  
441 G275E mutation and the results obtained were compared with the observed mortality of  
442 these strains in two discriminating dose bioassays. Overall the monitoring revealed that  
443 the frequency of the resistance-associated mutation is low or zero in most populations of  
444 *T. absoluta* in Brazil. In populations where it was found it was usually observed in the  
445 heterozygous form. The exception to this was the population collected from Iraquara  
446 where 45% of the insects tested were homozygous for the G275E mutation. It is also  
447 noteworthy that this strain was the only population to display low levels of mortality in  
448 discriminating dose bioassays using 5mg/L spinosad. Although the mutation monitoring  
449 and discriminating dose bioassays reveal that resistance to spinosad is currently low in  
450 *T. absoluta* populations in Brazil, the results for the Iraquara population provide a  
451 worrying demonstration that mutation frequency and resistance can reach much higher  
452 levels in local hotspots where selection pressure is higher. Furthermore, it has recently  
453 been demonstrated that much higher levels of spinosad resistance can be selected in *T.*

454 *absoluta* after just a few generations of selection [28]. Previous research has also  
455 demonstrated that spinosad resistance in *T. absoluta* is associated with cross-resistance  
456 to other spinosyns [27].  
457 To avoid the development of spinosad resistance in populations of *T. absoluta* across  
458 Brazil it is now paramount that a resistance management strategy be developed based on  
459 rotation of spinosad with insecticides of different modes of action that currently retain  
460 efficacy, such as the diamides and chlorfenapyr. It will also be important to regularly  
461 monitor the distribution and frequency of resistance in national populations. In this  
462 regard the use of diagnostic concentration bioassays, to detect novel resistance, in  
463 combination with high-throughput diagnostic assays can allow resistance to be detected  
464 at an early stage and help guide the implementation of informed control and resistance  
465 management strategies.

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635

Table 1. Corrected mortality, R-allelic and genotype frequencies of *Tuta absoluta* larvae exposed to spinosad diagnostic doses.

| Population               | DD <sup>a</sup> <sub>1</sub> 0.25 mg AI/l | DD <sup>b</sup> <sub>2</sub> 5 mg AI/l | R-Allele Freq (%) | Gen Freq - SS(%) | Gen Freq - RS(%) | Gen Freq - RR(%) |
|--------------------------|---|--|-------------------|------------------|------------------|------------------|
| América Dourada – BA     | 100                                       | 100                                    | 0                 | 100              | 0                | 0                |
| Anápolis – GO            | 97 ± 3                                    | 100                                    | 2.5               | 95               | 5                | 0                |
| Brasília – DF            | 83 ± 9                                    | 100                                    | 2.5               | 95               | 5                | 0                |
| Gameleira 2 – BA         | 83 ± 7                                    | 100                                    | 0                 | 100              | 0                | 0                |
| Gameleira 1 – BA         | 61 ± 21                                   | 100                                    | 10                | 80               | 20               | 0                |
| Guaraciaba do Norte - CE | 71 ± 14                                   | 100                                    | 0                 | 100              | 0                | 0                |
| Iraquara – BA            | 7 ± 3                                     | 21 ± 4                                 | 67.5              | 10               | 45               | 45               |
| João Dourado - BA I      | 54 ± 33                                   | 97 ± 3                                 | 10.5              | 78.9             | 21.1             | 0                |
| João Dourado - BA II     | 69 ± 7                                    | 100                                    | 0                 | 100              | 0                | 0                |
| João Dourado - BA III    | 93 ± 3                                    | 100                                    | 12.5              | 75               | 25               | 0                |
| Lagoa Grande – PE        | 97 ± 3                                    | 100                                    | 5                 | 90               | 10               | 0                |
| Paulínia – SP            | 100                                       | 100                                    | 12.5              | 75               | 25               | 0                |
| Pelotas – RS             | 100                                       | 100                                    | 0                 | 100              | 0                | 0                |
| Pesqueira – PE           | 100                                       | 100                                    | 0                 | 100              | 0                | 0                |
| Sumaré – SP              | 100                                       | 100                                    | 7.5               | 85               | 15               | 0                |
| Tianguá – CE             | 100                                       | 100                                    | 0                 | 100              | 0                | 0                |
| Venda Nova – ES          | 83 ± 7                                    | 100                                    | 0                 | 100              | 0                | 0                |

<sup>a</sup> Diagnostic doses – 0.25 mg AI/l. <sup>b</sup> diagnostic doses-5 mg AI/l \*: susceptible allele - S; resistant allele - R

Table 2. Susceptibility of *Tuta absoluta* strains to spinosad.

| Population | N <sup>a</sup> | Slope ± SE <sup>b</sup> | LC <sub>50</sub> (CI95%) <sup>c</sup> | LC <sub>80</sub> (CI95%) <sup>c</sup> | χ <sup>2</sup> DF <sup>d</sup> | RR <sub>50</sub> (CI95%) <sup>e</sup> | RR <sub>80</sub> (CI95%) <sup>e</sup> |
|------------|----------------|-------------------------|---------------------------------------|---------------------------------------|--------------------------------|---------------------------------------|---------------------------------------|
| PLT-Sus    | 338            | 2.16 ± 0.20             | 0.020 (0.016 – 0.026)                 | 0.05 (0.04 – 0.07)                    | 7.6 (7)                        | 1.0 (0.8 – 1.3)                       | 1.0 (0.7 – 1.4)                       |
| IRA-Unsel  | 209            | 1.12 ± 0.21             | 5.87 (2.82 – 9.52)                    | 29 (19 – 60)                          | 3.7 (5)                        | 284 (151 – 533)                       | 672 (340 – 1328)                      |
| IRA-Sel    | 210            | 2.12 ± 0.30             | 1001 (729 – 1311)                     | 2488 (1865 – 3706)                    | 3.2 (6)                        | 48900 (34500 – 69500)                 | 49700 (32500 – 75900)                 |

<sup>a</sup> Total number of larvae bioassayed. <sup>b</sup> Standard error. <sup>c</sup> Milligrams spinosad per liter water. <sup>d</sup> Chi-squared and Degree of Freedom. <sup>e</sup> Resistance ratio: ratio between LC<sub>50</sub> resistant and LC<sub>50</sub> susceptible and confidence of interval at 95%, calculated through Robertson et al., (2007) method. \* Resistance ratio non-significant if the confidence interval brackets the value 1.0.

Table 3. Synergism of spinosad in susceptible and resistant strains of *Tuta absoluta*.

| Population | Treatment            | N <sup>a</sup> | Slope ± SE <sup>b</sup> | LC <sub>50</sub> (CI95%) <sup>c</sup> | χ <sup>2</sup> DF <sup>d</sup> | SR <sub>50</sub> (CI95%) <sup>e</sup> |
|------------|----------------------|----------------|-------------------------|---------------------------------------|--------------------------------|---------------------------------------|
| PLT-Sus    | Spinosad             | 338            | 2.16 ± 0.20             | 0.020 (0.016 – 0.026)                 | 7.7 (7)                        | 1.0 (0.7 – 1.4)                       |
|            | + PBO                | 266            | 1.70 ± 0.17             | 0.018 (0.012 – 0.030)                 | 10.2 (7)                       | 1.1 (0.8 – 1.6)                       |
|            | + DEF                | 225            | 1.22 ± 0.19             | 0.006 (0.003 – 0.009)                 | 5.3 (6)                        | 3.5 (2.0 – 6.1)*                      |
|            | + DEM <sup>(†)</sup> | 213            | 1.55 ± 0.24             | 0.26 (0.11 – 0.41)                    | 5.1 (5)                        | 1.6 (0.9 – 3.1)                       |
| IRA-Unsel  | Spinosad             | 209            | 1.12 ± 0.21             | 5.87 (2.82 – 9.52)                    | 3.7 (5)                        | 1.0 (0.5 – 2.1)                       |
|            | + PBO                | 320            | 1.19 ± 0.19             | 1.96 (0.88 – 3.14)                    | 2.1 (5)                        | 2.4 (1.1 – 5.3)*                      |
|            | + DEF                | 375            | 0.84 ± 0.12             | 1.42 (0.40 – 2.90)                    | 7.6 (7)                        | 3.3 (1.4 – 8.1)*                      |
|            | + DEM                | 221            | 0.78 ± 0.17             | 1.42 (0.21 – 3.47)                    | 4.8 (6)                        | 4.1 (1.1 – 15.7)*                     |
| IRA-Sel    | Spinosad             | 210            | 2.12 ± 0.31             | 1001 (729 – 1311)                     | 3.2 (6)                        | 1.0 (0.7 – 1.4)                       |
|            | + PBO                | 316            | 1.53 ± 0.16             | 1941 (1369 – 2710)                    | 7.1 (6)                        | 0.5 (0.4 – 0.8)                       |
|            | + DEF                | 226            | 0.73 ± 0.15             | 1806 (260 – 4337)                     | 9.8 (6)                        | 0.6 (0.3 – 1.1)                       |
|            | + DEM                | 319            | 1.42 ± 0.17             | 1583 (776 – 2503)                     | 7.5 (5)                        | 0.6 (0.4 – 1.0)                       |

<sup>a</sup> Total number of larvae bioassayed. <sup>b</sup> Standard error. <sup>c</sup> Milligrams spinosad per liter water. <sup>d</sup> Chi-squared and Degree of Freedom. <sup>e</sup> Synergism ratio: ratio between LC<sub>50</sub> non synergized and LC<sub>50</sub> synergized for each population and confidence of interval at 95%, calculated through Robertson at al., (2007) method. \* Synergism ratio non-significant if the confidence interval brackets the value 1.0. <sup>(†)</sup> This response line was compared with the response line without diethyl maleate [LC<sub>50</sub>= 0.41 (0.24 – 0.62)], using a different lot of spinosad.

Table 4. Mean ( $\pm$  SE) activity of detoxification enzymes in three *T. absoluta* strains.

| Population | $\alpha$ esterase mmol/min/ $\mu\text{g}^{-1}$ | $\beta$ esterase mmol/min/ $\mu\text{g}^{-1}$ | GST $\mu\text{moles/min/ } \mu\text{g}^{-1}$ | CypO $\eta\text{moles min/}\mu\text{g}^{-1}$ |
|------------|--|---|--|--|
| PTL-Sus    | 0.02 $\pm$ 0.004 b*                            | 0.09 $\pm$ 0.010 a                            | 30 $\pm$ 3.6 a                               | 0.02 $\pm$ 0.004 c                           |
| IRA-Unsel  | 0.05 $\pm$ 0.005 a                             | 0.06 $\pm$ 0.001 b                            | 67 $\pm$ 4.4 b                               | 0.04 $\pm$ 0.005 b                           |
| IRA-Sel    | 0.03 $\pm$ 0.008 ab                            | 0.05 $\pm$ 0.003 b                            | 72 $\pm$ 13.5 b                              | 0.06 $\pm$ 0.002 a                           |

\*Means followed by the same letter within column are not statistically different by Tukey's test at 5% probability.

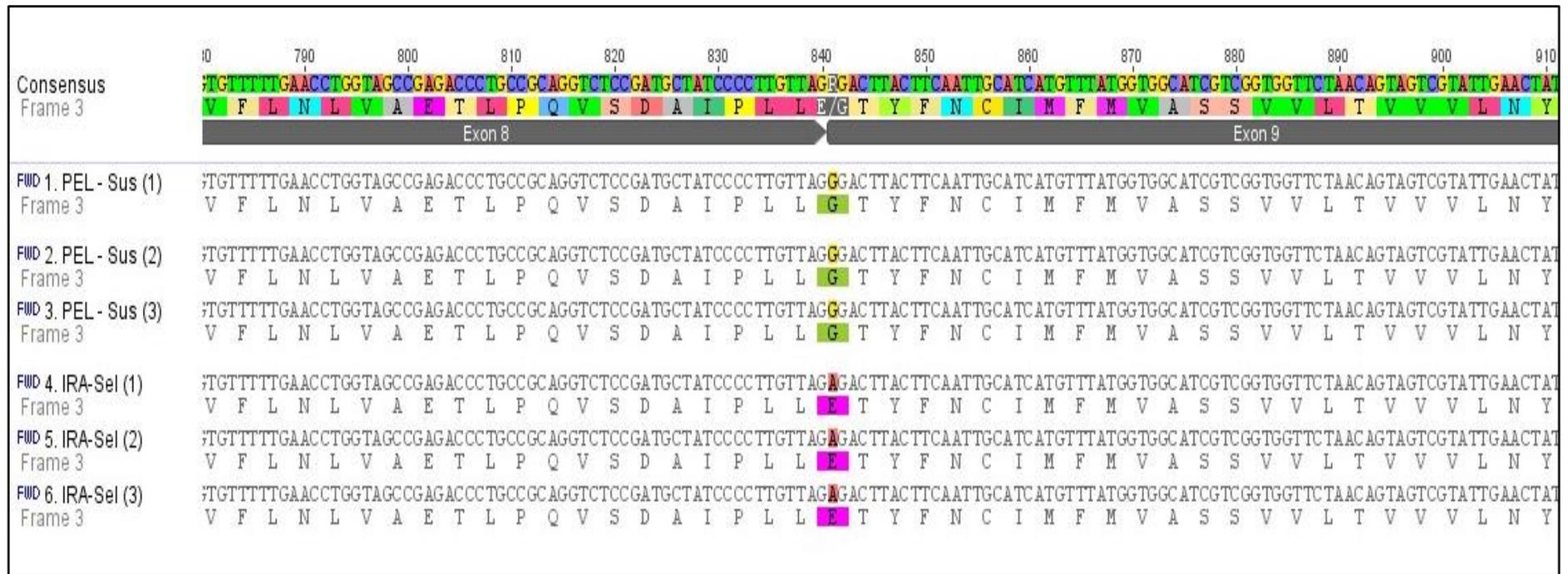


Figure 1. Alignment of nAChr alpha six (Taα6) subunit sequences from the IRA-Sel (spinosad resistant) and Pel (spinosad susceptible) strains of *T. absoluta* showing the presence of an amino acid substitution (G275E) in the resistant strain.

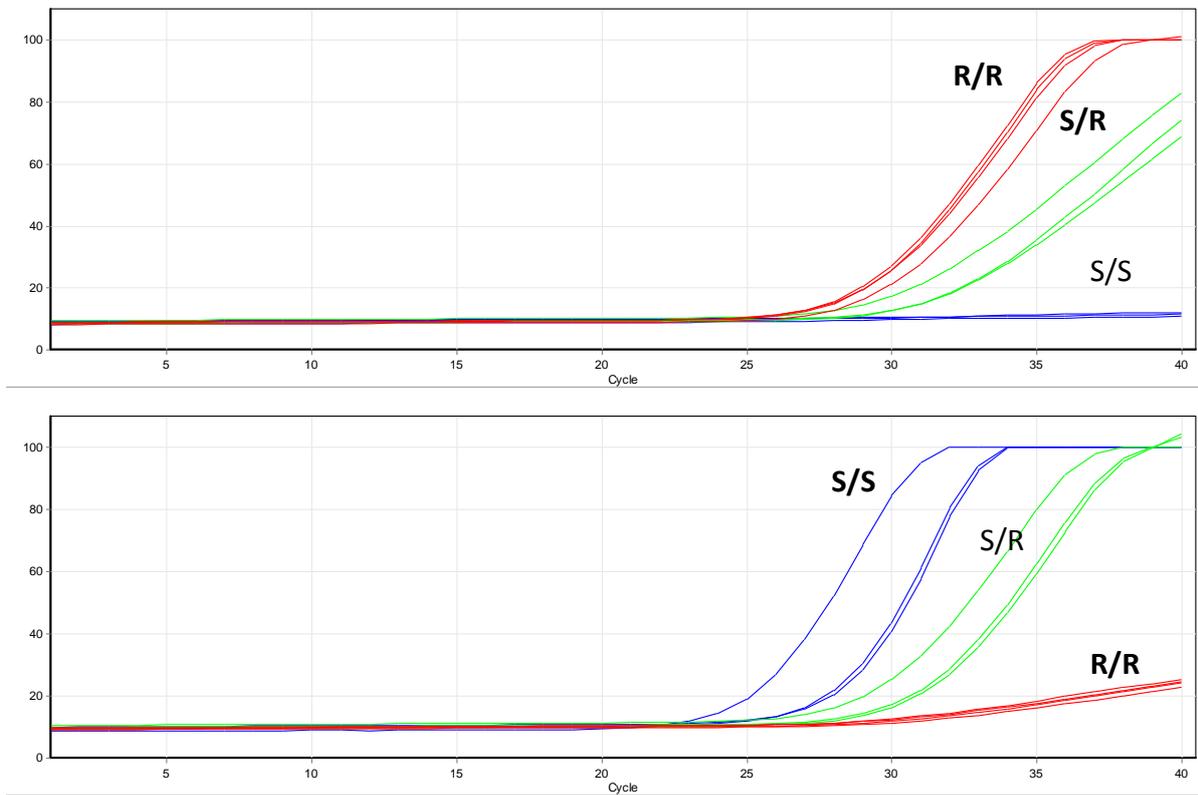


Figure 2. Real-time TaqMan detection of the G275E in *Tuta absoluta*. The top graph shows the FAM-labelled probe specific for the mutant allele, and the bottom graph shows the VIC-labelled probe specific for the wild-type allele. S: wild-type allele; R: resistant allele.