Power-capture assessment of a nearshore, modular, flap-type wave energy converter in regular, head-on waves*

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Abstract

35   Bottom-hinged, flap-type wave energy converters (WECs) are efficient devices, in terms of

36   power capture, and are usually sited in the nearshore region. They typically comprise a single

37   flap spanning their full width. However, a potentially beneficial design change would be to split

38   the flap into multiple, vertical modules, to make a 'Modular Flap'. This could provide

39   improvements, such as increased power production in directional waves, reduced foundation

40   loads and lower manufacturing and installation costs. Assessed in this fundamental work is the

41   hydrodynamic power-capture of this device, based on 30th scale physical modelling.

42   Comparisons are made to a single, equivalent width 'Rigid Flap'. Tests are conducted in

43   regular, head-on waves. The simplest control strategy, of damping each module equally, is

44   employed.

45   The results show significant differences in the magnitudes of the power produced by individual

46   flap modules. On average, the central modules generate 72% of the total power. Phase

47   differences are also present. This total power produced by the Modular Flap was 28% more

48   smooth than that generated by the Rigid Flap.

49   The Modular Flap had a 3.3% +/-2.9% lower power-capture than the Rigid Flap. The

50   advantages of the modular concept may therefore be exploited without significantly

51   compromising the power-capture of the flap-type WEC.

52   **Keywords**: wave energy converter power modular flap

53   **1.**

54   **Introduction**

55   There is a need to improve the economic viability of wave energy converters (WECs), in order

56   for them to compete in the energy market. Design solutions must be found that have high

57   energy yields, whilst offering manageable manufacturing, installation, maintenance and

58   decommissioning costs.

59   Bottom-hinged flap-type WECs, also known as Oscillating Wave Surge Converters, are

60   designed to absorb energy from the horizontal acceleration of water in ocean waves (Whittaker

61   and Folley, 2012). They usually consist of a buoyant flap, with its hinge mounted on the seabed

(Folley et al., 2007). These devices are typically sited in the nearshore region, in water depths of 10-20 m. There is an extensive body of work on this type of device (Henry, 2008; Renzi et al., 2014; van 't Hoff, 2009). Through comparative assessments, it has been shown to be one of the most efficient in terms of power conversion (Babarit, 2015; Babarit et al., 2012). As a result, the device type has received significant commercial focus, with a number of large scale devices deployed, such as Aquamarine Power Ltd's Oyster machines (Henry et al., 2010; Whittaker and Folley, 2012) and AW-Energy's WaveRoller (AW-Energy, 2012).

Most flap-type devices employ a single body for their rotating section (Folley et al., 2007). If this is large, for example 26 m in width like the Oyster 800 device (Aquamarine Power Ltd, 2011), then asymmetric pressure across the flap results in twisting of the structure, including its foundation (Wilkinson et al., 2014). The use of a single wide unit like this can also necessitate the use of large, expensive installation vessels (Aquamarine Power Ltd, 2009). Additionally, the power produced by the device is sensitive to the direction of the incident wave (Henry, 2008). A new concept, the 'Modular Flap', formed by splitting the rotating body into a number of narrow, mechanically independent, vertical modules, may reduce the magnitudes of these problems. This could provide improvements, such as increased power production in directional waves, reduced foundation loads, an indicator of capital cost, and lower manufacturing and installation costs. There could also be operational advantages such as increased redundancy in the system, reducing the effect of failure on one module versus a whole flap. Additionally, a modular formation would make the scaling up of devices, in terms of overall width, arguably more feasible.

There are a number of studies on offshore structures comprised of multiple flaps (Mei et al., 1994; Wilkinson et al., 2014). In Wilkinson et al (2014), it was shown that the foundation loads were reduced for such a device, by up to 73% in the parasitic twisting yaw and roll degrees of freedom. However, the application of such a device for the purpose of wave energy extraction has only recently received attention (Abadie, 2016; Sammarco et al., 2013; Sarkar et al., 2016; Wilkinson et al., 2015). These studies investigated the behaviour of the device, in terms of motion amplitudes, and most of them included a power-capture assessment. The latter is a key element of a techno-economic evaluation of a WEC concept. Sarkar et al (2016), for example, presents a mathematical power-capture assessment of a 24 m wide device, made up of six

92   cylindrical modules. Regular, head-on waves were used in the study. It was found that the

93   power-capture of the modular system was highly dependent on the power take-off (PTO)

94   damping strategy. With each module damped equally, both devices achieved similar levels of

95   power-capture. However, using different damping on each module, the modular flap

96   outperformed the rigid flap, due to the occurrence of multiple resonances. While this study

97   provided an insight into the potential of the device, there were limitations of the modelling that

98   was used, such as not considering nonlinear and viscous effects (Sarkar et al., 2016). The use

99   of scale physical modelling can address these issues by working in a real fluid. It also provides

100   reasonably fast generation of sufficiently long data time-series, compared to, for example

101   computational fluid dynamics (Abadie, 2016). In this paper, physical modelling in a wave tank is

102   used to assess the hydrodynamic power-capture of the Modular Flap. This is carried out across

103   a range of wave conditions. Comparisons are made to a single device with an equivalent total

104   width, referred to throughout this work as the 'Rigid Flap'. Shown first, in section 2, are the

105   modelling and analysis methodologies, followed by presentation of the results in section 3,

106   discussion of the significance of the results in section 4 and finally, in section 5, some

107   conclusions and suggestions for further work.

108   **2.**

109   **Methodology**

110   **2.1**

111   This section presents the key information on the physical modelling methodology. This includes

112   details on the physical model, the wave conditions, the wave tank and the modelling and

113   analysis procedures that were used. The physical modelling was conducted at 30th scale.

114   Froude scaling has been used to convert the variables and results into full-scale values.
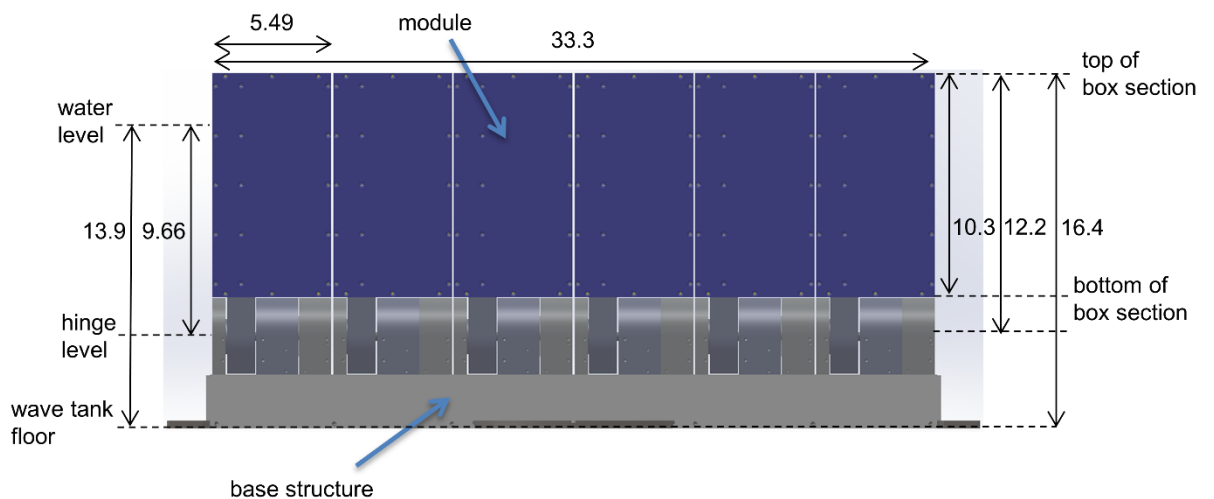
115   **Physical Model**

116   A physical model was used to represent the device in this study. The model was made up of six

117   box-shaped, surface-piercing modules. The total width of the model was approximately 33 m at

118 full scale, which is similar to the Oyster 800 machine (Aquamarine Power Ltd, 2011). A single

119 model was used to complete the tests, which could be configured either as the Modular Flap or

120 the Rigid Flap. The Rigid Flap was formed by attaching the modules together with PVC sheets

121 on the front and back faces. The modules, when independent, also had PVC sheets attached to

122 them, to maintain consistent mass and geometric properties. The flap modules were mounted

123 on a base structure, attached to the wave tank floor. 3D CAD renderings of the Modular and

124 Rigid Flaps are provided in Figure 1; the key dimensions of the model are shown in Figure 2;

125 the model, installed and operating in the wave tank, is shown in Figure 3; a diagram illustrating

126 the module numbering system that is used for results presentation is shown in Figure 4.
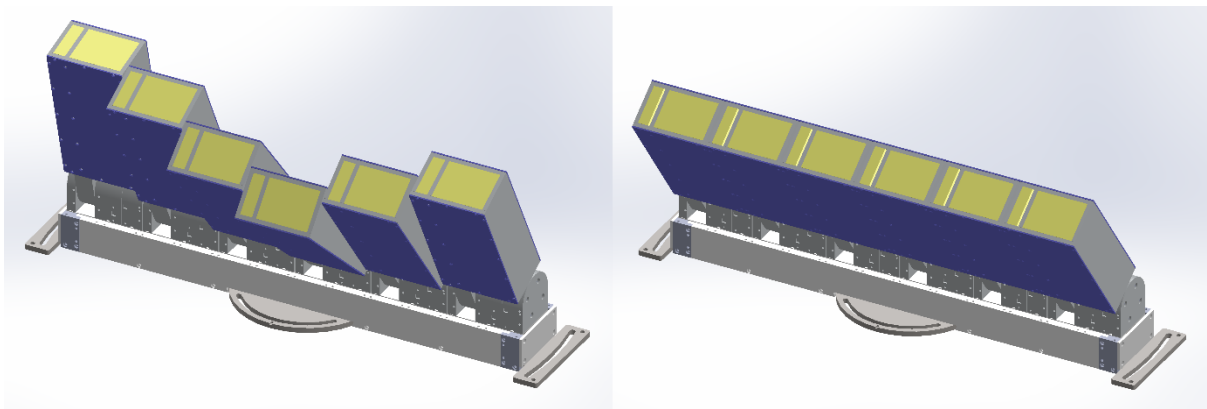
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128 **Figure 1. CAD renderings of physical model in Modular Flap (left) and Rigid Flap (right)**

129 **configurations.**

130

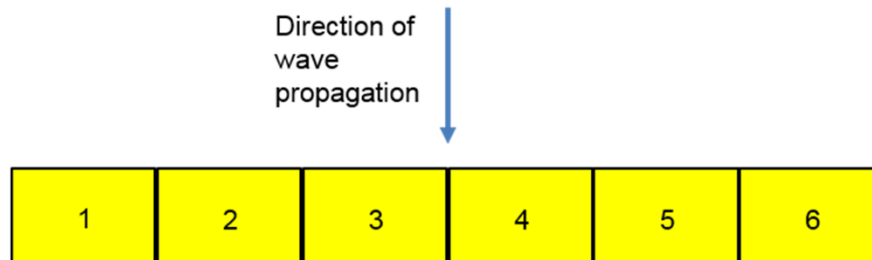131 **Figure 2. Device dimensions, in full scale, in m. Note that the thickness of the device was 3.6 m.**

Figure 3. Photograph of the physical model, in its Modular Flap configuration, operating in the wave tank.

Figure 4. Plan-view diagram illustrating module numbering system. Geometry is to scale.

At the hinge axis of each module were housings for bearings and instrumentation. The instrumentation included sensors to measure instantaneous rotation and applied damping torque. Each module also contained a magnetic particle brake, to simulate a Coulomb-damping PTO mechanism. Fur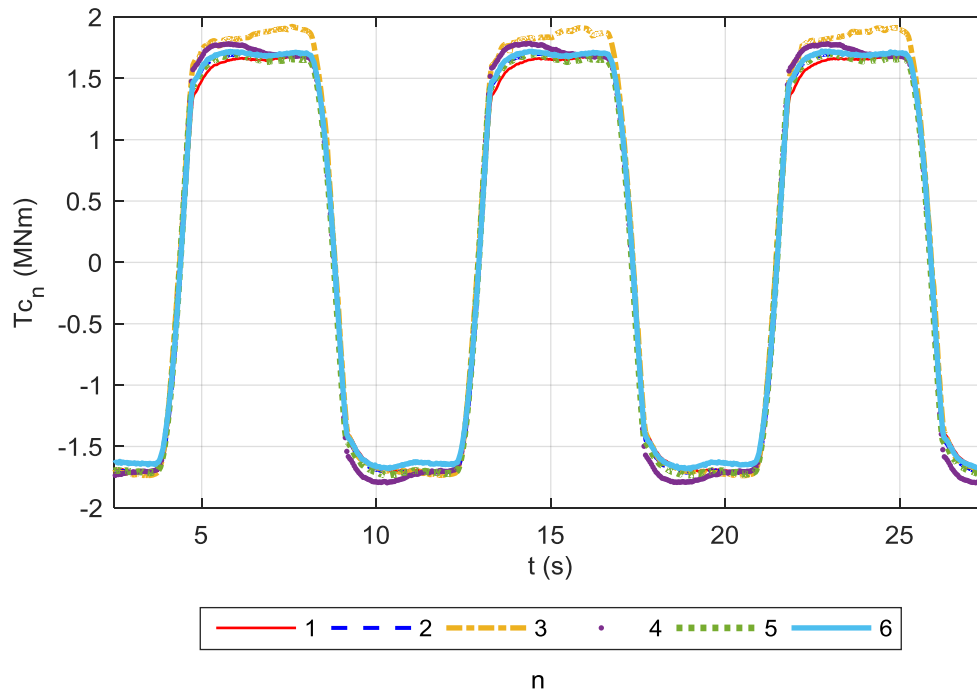ther model details can be found in (Wilkinson et al., 2015). In this study, the simplest control strategy, of applying damping equally to each module, was applied. This was executed by controlling the supply voltages in a LabVIEW program (National Instruments, 2016). Example time-series of damping torques are shown in Figure 5.

Figure 5. Example of variation in damping torque, Tc_n, with time, t, for six modules (n = 1-6) fixed together in the Rigid Flap configuration.

2.2

Wave Conditions

Regular, head-on waves were used, to generate a fundamental understanding of the Modular Flap. The response of a WEC is generally dependent on the wave period, even for a fairly broad-banded device like a flap-type WEC (Clabby et al., 2012; Whittaker and Folley, 2012). Therefore, the chosen variable for this investigation was the wave period. Eight wave periods were used, approximately evenly spaced between 5.5 s and 13.5 s at full scale. These limits represent the typical range for peak periods at a wave energy site (Babarit et al., 2012).

Variation in wave amplitude was not considered as it was not thought to be the most significant parameter relating to power capture. A nominal wave amplitude, of 1 m, at full scale, was selected, with maximum variation of only 2%. The wave direction was not varied either, with all waves being head-on, i.e. with their crests parallel to the hinges of the model. The power-capture of a flap-type WEC is sensitive to direction (Henry, 2008). However, such a device is most likely to be installed in an orientation that matches the mean wave direction

161   at a site. The head-on case therefore represents the most important condition to first test in.

162   The full range of wave conditions are presented in Table A.1.

163   **2.3**

164   **Wave Tank**

165   The QUB Portaferry Wave Tank (Queen's University Belfast, 2016) was selected due to its

166   suitability for shallow water studies, high wave homogeneity (O'Boyle, 2013) and low

167   blockage ratio. A layout of the wave tank, with the model position indicated, is provided in

168   Figure 6. The tank was operated at a depth of 0.463 m at model scale, or 13.9 m at full scale,

169   at the model location.
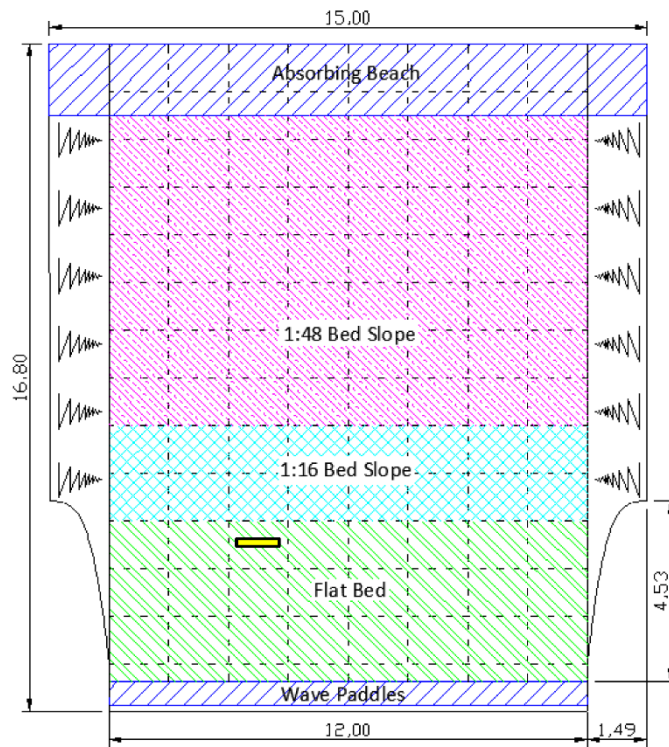
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171   **Figure 6. Portaferry Wave Tank layout, to scale, with model scale dimensions in m (O'Boyle, 2013).**

172   **Model position is indicated by yellow rectangle. Model geometry is approximately to scale.**

173   **2.4**

174   **Modelling and Analysis Procedures**

175   The aim of the study, as discussed, was to determine the hydrodynamic power-capture

176   achieved by the two devices for a range of wave conditions. In order to achieve this, the total

177   average powers were recorded for a range of damping levels, for each wave condition. The

178   maximum average powers were then determined by fitting a curve through the damping-power

179   pairs, with the peak providing the maximum power. The power-capture was then evaluated

180   using the metric, capture factor. The mean difference in the capture factor values achieved by

181   the two devices, relative to those associated with the Rigid Flap, was then the ultimate metric

182   that was computed. This analysis process started with evaluation of the instantaneous results.

183   The two instantaneous measurements that were made were damping torque, Tc_n, in MNm, and

184   rotation, θ_n, in radians (rad.). Data were recorded at 128 Hz, at model scale. θ_n was

185   differentiated with respect to time, to find the angular velocity, $\dot{\theta}_n$, in rad/s. These signals were

186   post-processed using a low-pass filter (MathWorks, 2016a), with a cut-off frequency of 5 Hz, at

187   model scale. The instantaneous power, P_n, in kW, was then calculated using Equation 1.

188
$$P_n = Tc_n\dot{\theta}_n \qquad (1)$$

189   The instantaneous total power, P_T, was then calculated using Equation 2.

190
$$P_T = \sum_{n=1}^{M} P_n \qquad (2)$$

191   Where M is the number of modules, 6.

192   Each damping level was applied for a duration of 351 s at full scale. The magnitude of each

193   damping level was evaluated as the root-mean-square (RMS) damping torque, $Tc_{n,RMS}$; the

194   equivalent value for the power-capture was the mean power, $\bar{P}_n$. These statistics were

195   generated using Equations 3 and 4, respectively.

196
$$Tc_{n,RMS} = \sqrt{\frac{\sum_{i=1}^{N} Tc_{n,i}^2}{N}} \qquad (3)$$

197
$$\bar{P}_n = \frac{\sum_{i=1}^{N} P_{n,i}}{N} \qquad (4)$$

198   Where N is the number of samples in the time series.

199   The device statistics were the total RMS damping torque, $Tc_{RMS,T}$, and total mean power, $\bar{P}_T$,

200   which were calculated using Equations 5 and 6, respectively.

$$Tc_{RMS,T} = \sum_{n=1}^{M} Tc_{n,RMS} \qquad (5)$$

$$\bar{P}_T = \sum_{n=1}^{M} \bar{P}_n \qquad (6)$$

Another point of interest was how smooth the generated power was. A smoother delivery of power to the electrical grid is less problematic for the network (Molinas et al., 2007). The metric for 'smoothness', S_P, was calculated using Equation 7. A higher S_P value indicates a greater level of smoothing.

$$S_P = \frac{\bar{P}_T}{P_{T,max} - P_{T,min}} \qquad (7)$$

Where $P_{T,max}$ and $P_{T,min}$ are the maximum and minimum values, respectively, of the instantaneous total power, P_T.

The maximum mean power for each wave condition, for each device, $\bar{P}_{T,max}$, was estimated by fitting a quadratic curve to the $Tc_{RMS,T}$, $\bar{P}_T$ pairs and finding the peak. The x-axis value of this peak corresponded to the optimum damping level. An example power curve is provided in Figure 7.
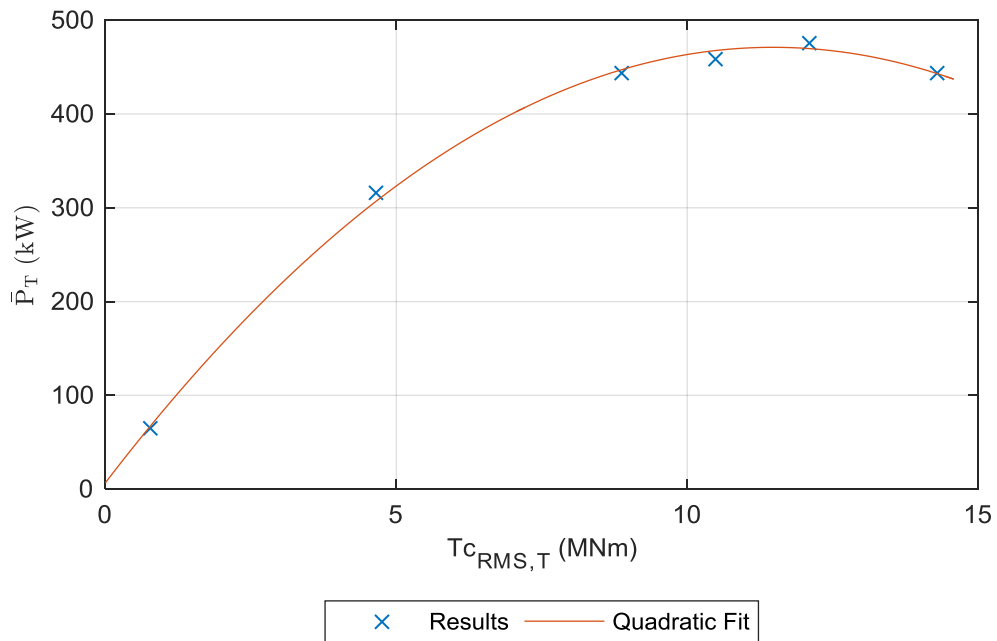
Figure 7. Example of power curve, showing total mean power, $\bar{P}_T$, against total RMS damping torque, $Tc_{RMS,T}$, with quadratic curve fit.

217   The capture factor, *CF*, is a useful measure of the efficiency of a device (Folley et al., 2007).

218   *CF* is the ratio of generated power, in this case $\bar{P}_{T,max}$, to incident power and was calculated

219   using Equation 8.

220
$$CF = \frac{\bar{P}_T}{P_{inc}\cos(A)W} \quad (8)$$

221   Where, P_{inc} is the incident power per metre of crest, in kW/m; A is the installation angle, 0, in

222   rad.; W is the device width, 33.3, in m. P_{inc} was calculated for each wave condition, using

223   standard formulae, provided in (United States Naval Academy, n.d.). The results are presented

224   in Table A.1.

225   The relative differences in the *CF* values achieved by the two devices, $\Delta CF'$, were then

226   computed using Equation 9.

227
$$\Delta CF' = \frac{CF_{Mod} - CF_{Rig}}{CF_{Rig}} \quad (9)$$

228   Where CF_{Mod} and CF_{Rig} are the *CF* values achieved by the Modular and Rigid Flaps,

229   respectively.

230   The mean relative difference in the *CF* values, $\overline{\Delta CF}'$, was then computed using Equation 10.

231
$$\overline{\Delta CF}' = \frac{\sum_{j=1}^{P} \Delta CF_j'}{P} \quad (10)$$

232   Where *P* is the number of wave conditions, 8, and, for the *j*th wave condition, $\Delta CF_j'$ is the

233   relative difference in *CF* values and $CF_{j,Rig}$ is the *CF* value achieved by the Rigid Flap.

234 **2.5**

235   **Uncertainty Analysis**

236   An uncertainty analysis was completed to ascertain the usability of the results for statistical

237   significance of the conclusions that were drawn. This section contains some background

238   information, discussion of the uncertainty sources and a description of the calculation method

239   for the dominant source. All calculation methods are provided in Appendix B.

240 Uncertainties arise from random or systematic errors (Coleman and Steele, 2009), for example

241 due to temperature variation or calibration of sensors, respectively. The outcome of an

242 uncertainty analysis is an estimation of a range, +/- U_X, around the best measurement of a

243 result, X_{best}. It is believed that the true value, X_{true}, lies within this range, to a certain degree of

244 confidence (Coleman and Steele, 2009; Lamont-Kane et al, 2013). In this study, the 95%

245 confidence limit was used, which is standard for engineering applications (Coleman and Steele,

246 2009). The aim of the uncertainty analysis in this paper was to estimate U_X for the mean

247 relative differences in CF between the two devices, $\overline{\Delta CF'}$, as defined in Equation 10, $U_{\overline{\Delta CF'}}$.

248 Only those sources of uncertainty which were deemed significant were considered. These are

249 listed below, with the category of the source provided in brackets:

250   1. Torque sensor calibration slopes (systematic)

251   2. Rotation sensor calibration accuracy (systematic)

252   3. Variation of wave conditions and model behaviour (random)

253   4. Model orientation (systematic)

254 The dominant source of uncertainties was number 2, the 'rotation sensor calibration accuracy'.

255 The reason that this was included was that systematic uncertainties in the rotation signals were

256 noticed. These were made apparent when the modules were fixed together as the Rigid Flap.

257 The measurements of rotation and angular velocity should have been the same for this

258 configuration. However, it was noticed that there were appreciable consistent differences, with

259 an example shown in Figure 8. This issue was thought to have arisen due to the way in which

260 the sensors were calibrated. The sensors were calibrated simultaneously by first fixing the

261 modules together with an aluminium bar. For practical reasons, this was conducted outside of

262 the wave tank. The modules were then installed in the wave tank and fixed together with PVC

263 sheets to form the Rigid Flap. The deviations in velocity may have therefore resulted from the

264 slight differences in constraint, between that supplied by the bar and the PVC sheets.
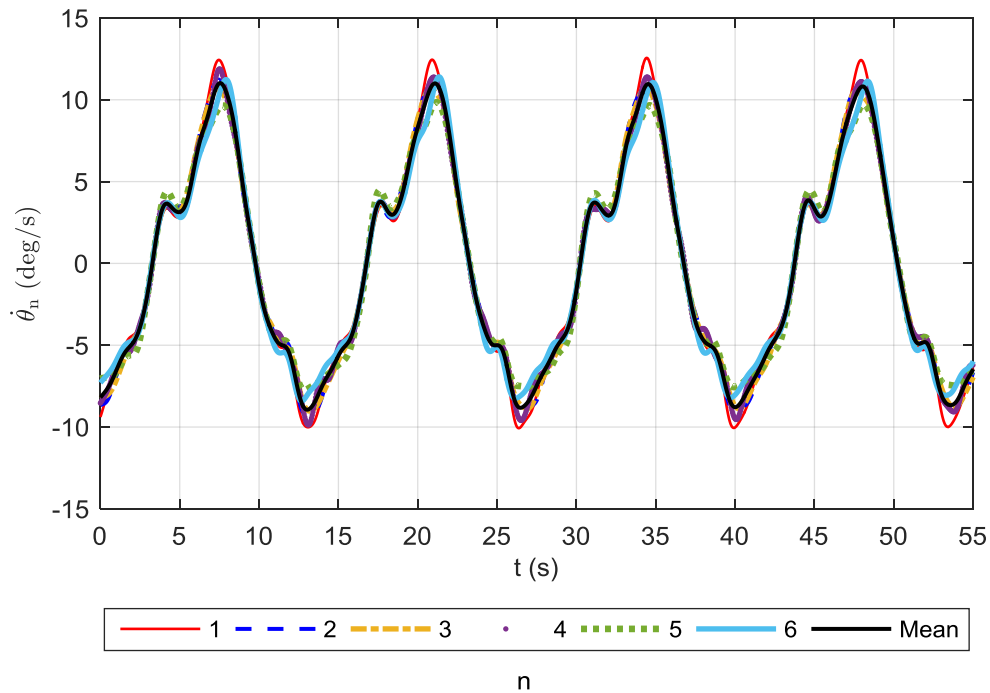
Figure 8. Example of variation in angular velocity, $\dot{\theta}_n$, with time, t, for six modules (n = 1-6), fixed together in the Rigid Flap configuration, and the mean values.

The differences shown in Figure 8 were present across the testing range. This resulted in consistent over or under-estimation of the power produced by each module.

The systematic uncertainties associated with the module velocities were quantified using different, but similar, approaches for the Rigid and Modular Flaps. For the Rigid Flap, direct comparison to the instantaneous mean of the module velocities was used, which was deemed the most accurate method. For the Modular Flap though, as a result of the hydrodynamics, there were natural differences in the velocities of the modules. This meant that comparison to the instantaneous mean velocity could not be used and therefore a different method was applied. If the velocity signals were first approximated as sinusoidal traces, the differences manifested themselves as consistent deviations in their peak spectral amplitudes. The peak amplitudes were found using a Fast Fourier Transform (FFT) (MathWorks, 2016b). The sensor for module 1, for example, consistently had a peak velocity amplitude of approximately 3 deg/s, at model scale, greater than the mean of the peak amplitudes for a particular wave condition. The mean deviation in peak velocity amplitude, for each module, was then used to generate sinusoidal traces of systematic uncertainties in the module velocities.

An example time-series of contributions to systematic uncertainty on the module power is shown in Figure 9. Note that the relative magnitude of the total systematic uncertainties shown in this particular example were comparatively low. However, this example illustrates the dominance of the velocity component.
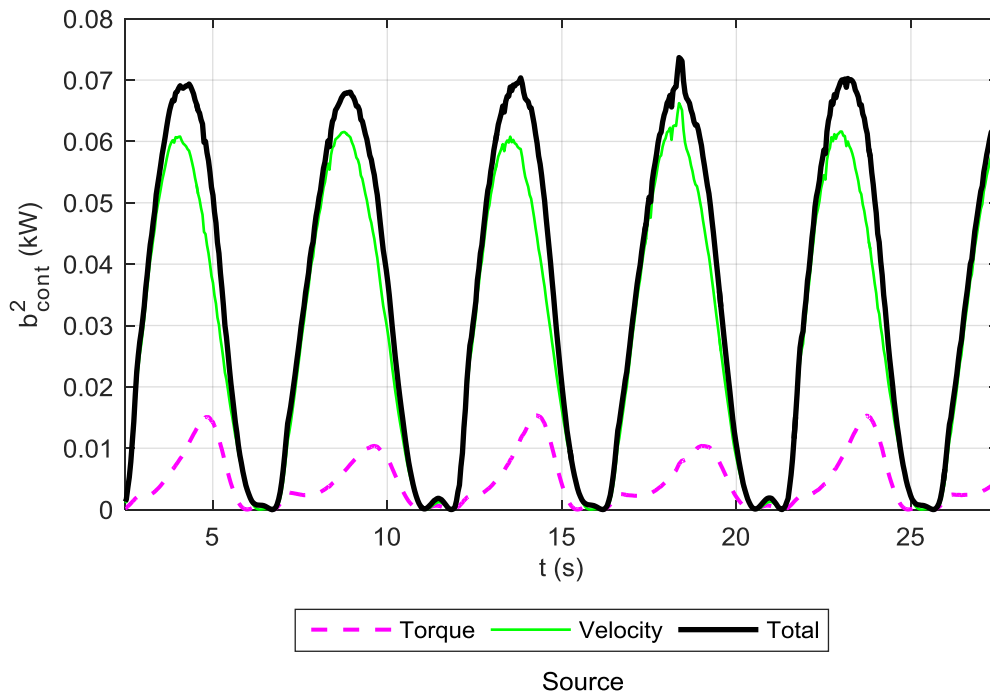
Figure 9. Example plot of variation in squared contributions to systematic uncertainties on module power, b^2_{cont}, with time, t, for a single module. b^2_{cont} values were calculated as the squared products of the systematic uncertainty on velocity and damping torque (and vice versa). Please refer to Equation B.4 for further clarification. This example was for the Modular Flap.

The systematic contribution to the combined uncertainty on the total mean power for each damping level was significantly higher than the random contribution. Using the same case shown in Figure 9 as a typical example to demonstrate this, the systematic and random contributions were 97% and 3%, respectively. Please refer to Equation B.8 for clarification on how this was calculated. The combined uncertainty on the maximum total mean power for each wave condition was then the dominant contribution to the uncertainty on the capture factors, CF. Using the same example again, the relative expanded uncertainties on the maximum total mean power and the installation angle were 4.4% and 0.8%, respectively. When added in quadrature, it can be seen that the former contribution is dominant. This therefore shows that the systematic uncertainty in the module velocity measurements was the most influential source.

303   The resulting expanded uncertainties on the *CF* values were 0.02-0.04 (4-8% relative) for the

304   Modular Flap and 0.03-0.06 (6-10% relative) for the Rigid Flap. However, because the devices

305   used the same instrumentation, most of the uncertainties were correlated. This resulted in the

306   absolute expanded uncertainties on the differences between the *CF* values being smaller than

307   the absolute expanded uncertainties on the individual *CF* values. This meant that the

308   experimental system was adequate for showing statistical significance in the mean relative

309   differences in *CF* between the two devices, $\overline{\Delta CF'}$.

310 **3.**

311 **Results**

312   This section presents the key results from the study. First shown are results for the individual

313   modules, with the model configured as the Modular Flap, followed by those for the whole

314   devices. Within each sub-section, compared first are the power time-series. Inspection of these

315   allows one to gauge their relative magnitudes and phases. This is followed by presentation of

316   mean power results.

317 **3.1**

318 **Modular Flap Modules**

319   Figure 10 shows an example of power time-series for modules 1-3, which occupied one side of

320   the model. Also shown are time-series of damping torques and velocities, to allow further

321   understanding of the results.
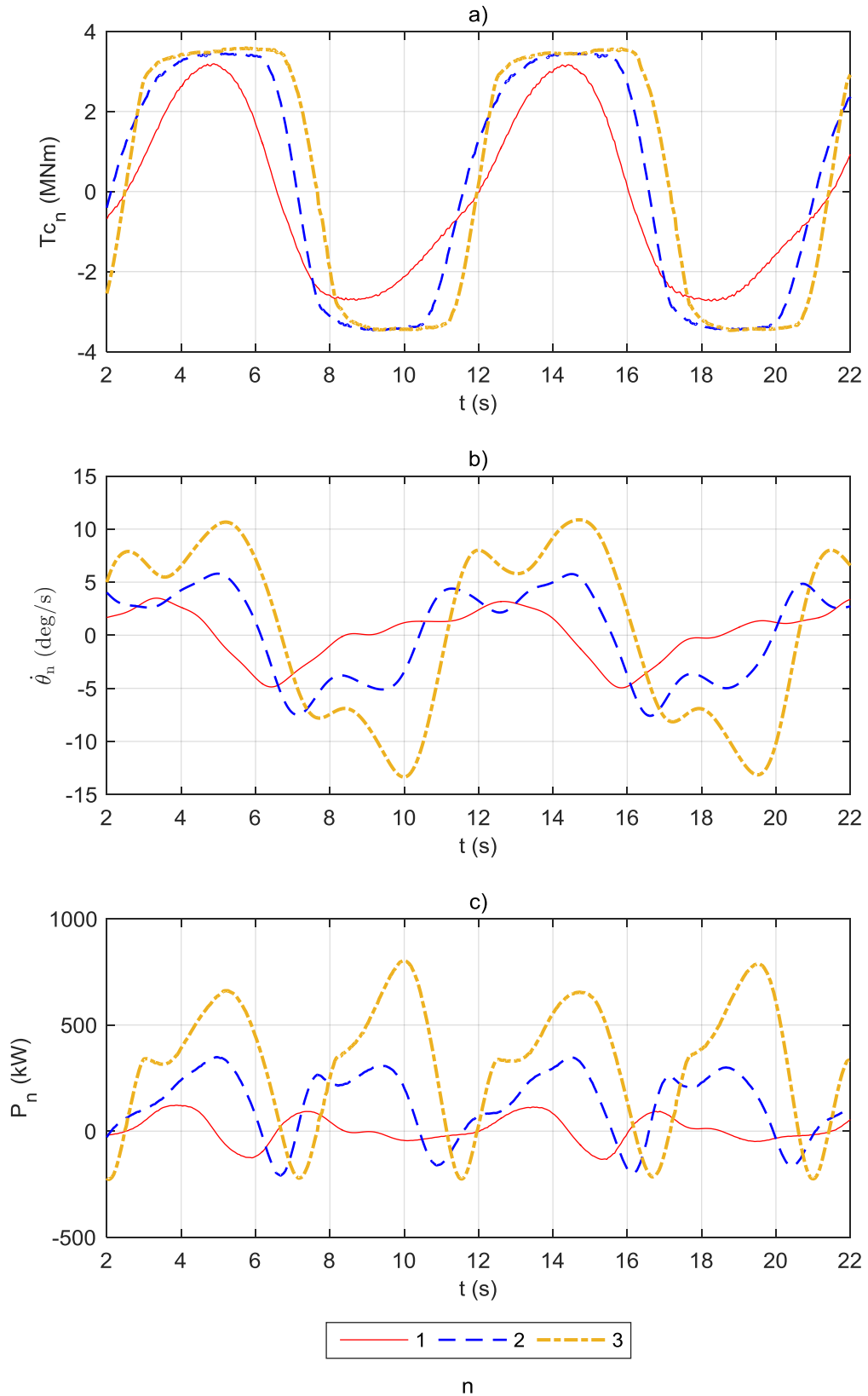
Figure 10. Example of variation of individual module damping torques, Tc_n, (a), velocities, $\dot{\theta}_n$, (b) and powers, P_n, (c) for modules 1, 2 and 3, with time, t.

326   Firstly, subplot c) of Figure 10 shows that there was a short period of each oscillation where the

327   power was negative. This is thought to have resulted from a spring effect in the dampers when

328   the modules changed direction. This will have injected some torque into the system. For this

329   short period, the damper acted like a motor. The negative power values were included in the

330   calculation of mean power. It is likely though that the effect on the mean power values will have

331   been small. This is because the effect of the negative power phase will likely have been

332   cancelled out by an increase in the positive power due to an increased velocity.

333   There were also double-peaks present in the velocity and power signals. This may have been

334   due to waves radiated by each flap module interacting with adjacent units. The difference in

335   magnitudes in the maximum values of power for alternate strokes, especially prominent for

336   module 3, were likely due to asymmetry in the surge forces.

337   The key features of Figure 10**Error! Reference source not found.** though are the differences

338   in magnitudes and phases of the signals. Subplot a) of Figure 10 shows that the damping

339   torque applied to the outer module (n = 1) was approximately sinusoidal in shape. This

340   suggests that the damping torque was greater than the wave excitation torque. This resulted in

341   the module velocities and powers being virtually 0, as shown in subplots b and c, respectively.

342   Moving towards the centre modules, the damping torque signals bared greater resemblance to

343   Coulomb damping profiles. The magnitudes of the velocities and powers also increased

344   towards the centre of the device.

345   The phase differences in the signals shown in Figure 10 will have been caused by diffracted

346   and radiated waves meeting the different modules at different points in time. The signals for

347   symmetrical pairs, for example modules 3 and 4, were generally in phase. For adjacent

348   modules on one half of the device though, phase differences were present. The greatest

349   difference was between the outer and centre modules, for example numbers 1 and 3. From

350   Figure 10, differences of approximately 1/3 of the wave cycle were present in the velocity and

351   power signals. Across the range of wave periods, there were phase differences in the velocities

352   and powers, though no distinct relationship was shown. This means that the device was

353   displaying similar behaviour to the out-of-phase motions shown by closely-spaced flap units in

354   works such as Adamo and Mei (2005).

355   The instantaneous values were then averaged to find the mean module powers. The results, for

356   the modules paired about the centre of the device, are compared in Figure 11 across the range

357   of wave periods.
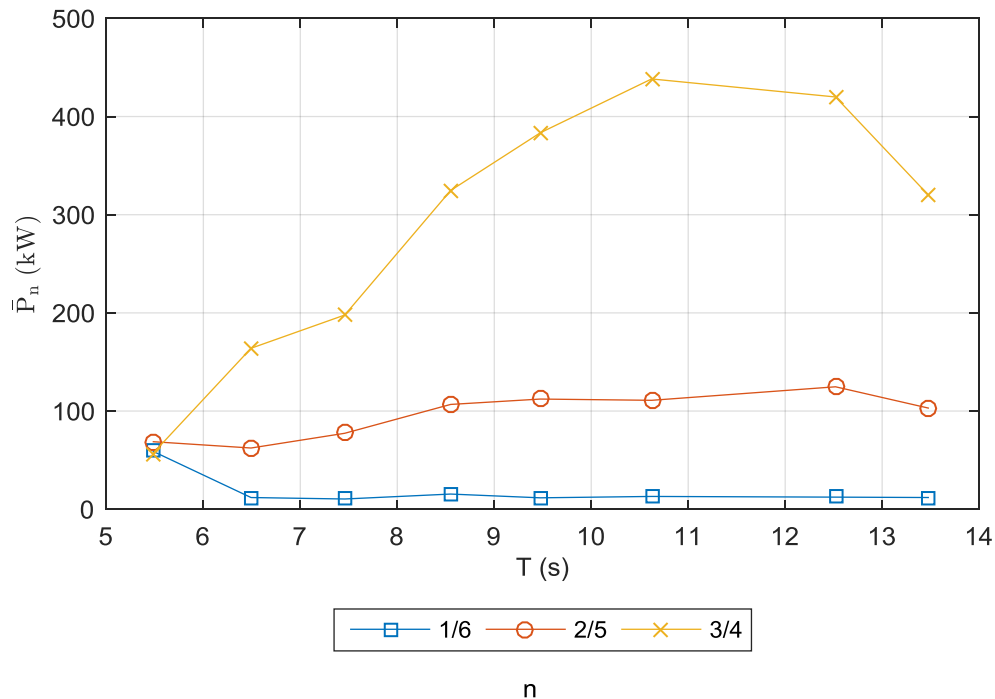
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359   **Figure 11. Average mean powers per module for symmetrical pairs of modules, $\bar{P}_n$, against wave**

360   **period, T. The values are from tests that corresponded to the optimum recorded damping level.**

361   Figure 11 shows that the mean powers generally increased towards the centre of the device,

362   with the outer most modules generating least power and the inner most modules generating

363   most. This agrees with the findings by Sarkar et al. (2016). Comparing the mean powers of

364   each set of pairs to the total power produce by the device, the middle modules (3/4) produced

365   72% of the total power, the inner modules (2/5) 24% and the outer modules (1/6) only 5%. It is

366   likely that this was due to wave excitation torques being greater there, as shown in Sarkar et al.

367   (2016).

368   As also indicated in Figure 11, the variation of mean module powers changed with wave period.

369   The coefficient of variation was used for comparison. Variation was lowest, at 10 %, for the

370   shortest periods, and highest for the longer periods, maximising at 119% for a period of 10.6 s.

3.2

Devices

373 It is interesting to assess how the phase differences in the individual instantaneous module

374 powers, shown in Figure 10c, affected the total power produced. The same example as used in

375 Figure 10 was employed to explore this, in Figure 12. Shown for comparison are also the

376 equivalent results for the Rigid Flap.
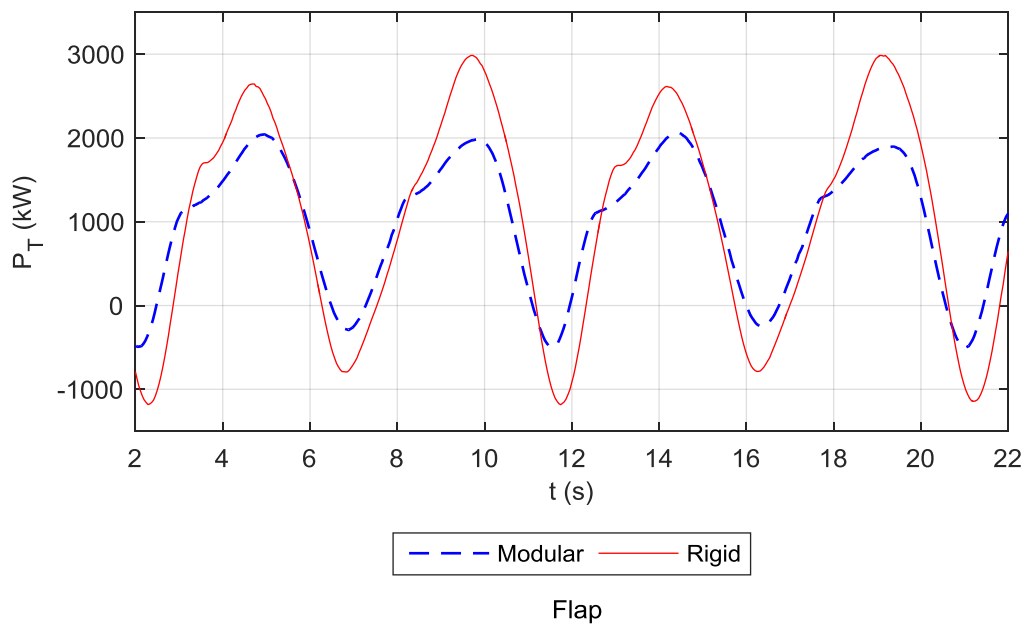
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378 **Figure 12. Variation of total power, P_T, with time, t, for Modular and Rigid Flaps. Both devices had**

379 **the same total damping torque level applied to them. Note that the time has been adjusted so that**

380 **the time-series approximately overlay.**

381 Figure 12 shows that the total power signal for the Modular Flap, when compared to the

382 individual module powers in Figure 10c, did not have the same relative magnitude of

383 oscillations. While phase differences were shown in the module power values, the total power

384 signal also combined into a single oscillation.

385 The mean values of the power signals shown in Figure 12 were 1020 kW for the Modular Flap

386 and 1110 kW for the Rigid Flap. The ranges of the powers were 2,600 kW and 4,350 kW,

387 respectively. From these values, the smoothness metric, S_P, was calculated as 0.39 and 0.26,

388 respectively. This indicates a higher level of smoothness for the power generated by the

389 Modular Flap. The S_P values were then calculated for all of the total power time-series that

390 corresponded to the optimum recorded damping levels. The mean values were 0.38 and 0.29,

391 respectively. This indicates that, using this metric, the total power produced by the Modular

392 Flap was, on average, 28% more smooth. This is likely due to the out-of-phase power

393 production by the individual modules, indicated in Figure 10c.

394 Presented now are comparisons of the capture factors achieved by the two devices across the

395 range of wave periods. Also computed were the expanded combined uncertainties on the

396 capture factors, defined in Equation B.12, which are depicted as error bars. The results are
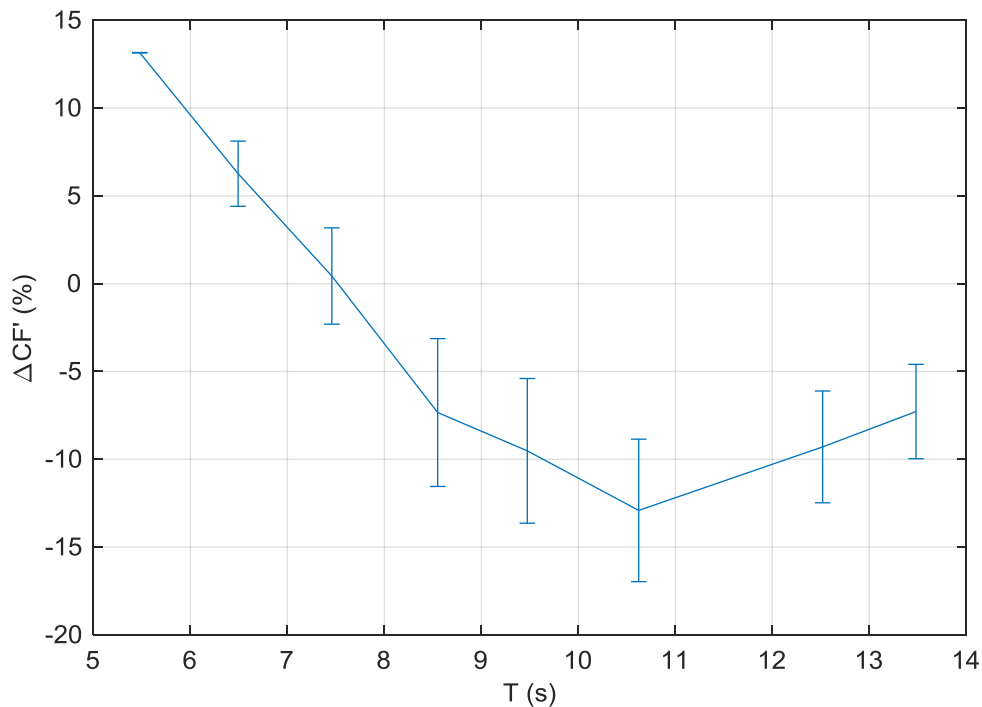
397 shown in Figure 13.

398
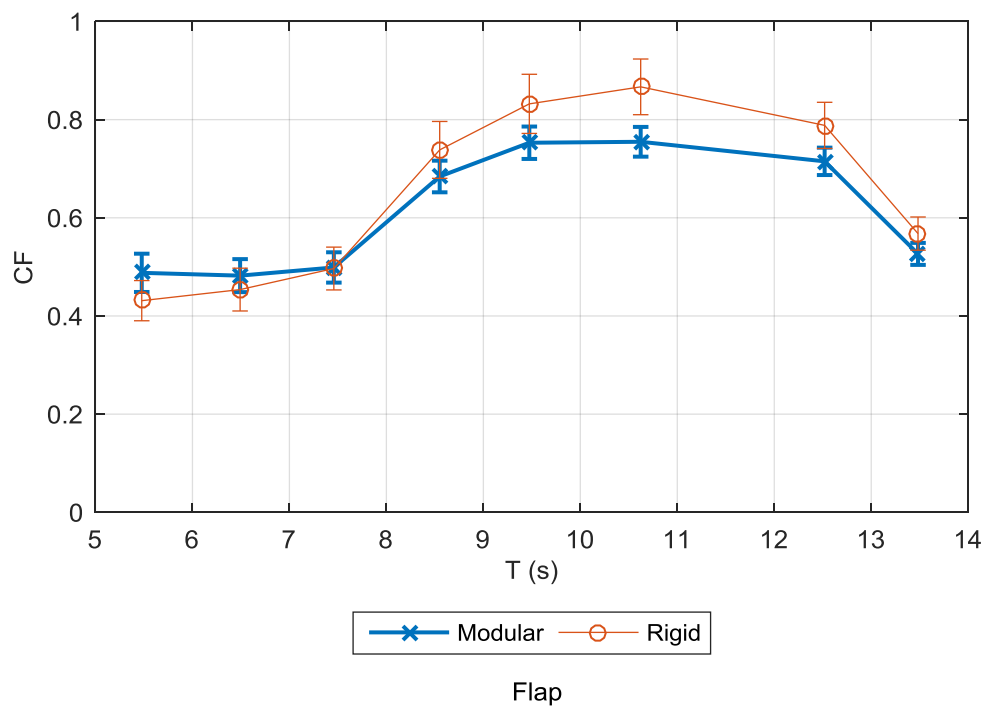
Figure 13. Capture factors, *CF*, with associated expanded combined uncertainties, against wave period, *T*, for the Modular and Rigid Flaps.

401 Figure 13 shows that both devices achieved relatively high capture factors across the range of

402 wave periods, indicating a broad bandwidth. Though not well defined, both devices peaked at

403 around a period of 10.6 s, with a capture factor of approximately 0.8. Figure 13 also indicates

404 that there was variation in the relative differences in the capture factors achieved by the two

405 devices. Figure 14 shows this in more detail by presenting the computed values for each wave

406   period.

408   **Figure 14. Relative differences in the capture factors, *CF*, achieved by the Modular and Rigid**
409   **Flaps, *ΔCF'*, with associated expanded combined uncertainties, against wave period, *T*.**

410   Figure 14 shows that there was generally an inverse relationship between the relative

411   differences in capture factor and the wave periods. For the lower wave periods, the Modular

412   Flap outperformed the Rigid Flap, by up to 13%. This may have been due to a near-excitation

413   of a natural mode of the system, such as shown for a similar system in (Adamo and Mei, 2005).

414   For the higher periods though, the Rigid Flap outperformed the Modular Flap by up to 13%.

415   This may have resulted, indirectly, from greater module velocities at the higher wave periods.

416   Due to the higher total surface areas of the sides of the devices, the total shear stresses will

417   have likely been greater for the Modular Flap at these periods. This will therefore have resulted

418   in greater power losses, providing some explanation for the reduced capture factors. The

419   inverse relationship may have also been connected with the variation of the magnitudes of the

420   module rotations. The peak rotation amplitudes were computed, using an FFT, for the tests that

421   corresponded to the optimum recorded damping level. The coefficients of variation, the generic

422   formula for which is defined in Equation B.6, of the amplitudes were then evaluated. Figure 15

423   shows the relationship between the relative differences in capture factor and these coefficients

424   of variation.
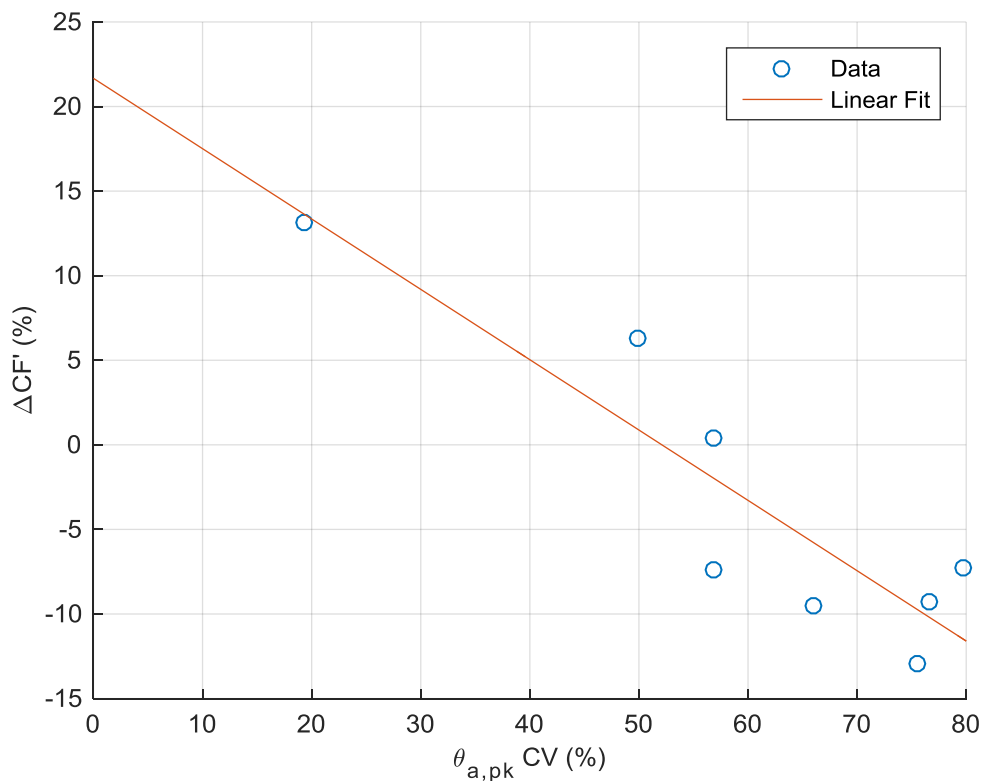
Figure 15. Relative difference in capture factors, *ΔCF'*, against coefficient of variation of peak module rotation amplitudes, $\theta_{a,pk}$ *CV*, for all wave periods. The values are from tests that corresponded to the optimum recorded damping level.

The relationship shown in Figure 15 suggests that there was an inverse correlation between the relative power production and the level of variation in the module rotation amplitudes. This suggests that, with opening up of larger gaps between the modules, water leakage occurs through the gaps, resulting in reduced power production. This suggests that greater power production is achieved by minimising the level of variation of the rotation of the modules. This could be realised by applying different damping levels to each module. The effects of damping strategy on the power-capture of the Modular Flap is an area for further work.

As discussed earlier, the ultimate aim of the study was to compare the power-capture of the Modular Flap to the Rigid Flap for a range of wave conditions. The mean value of the relative differences in the capture factor across the wave periods, shown in Figure 14, was therefore recorded. This was -3.3%, with an expanded combined uncertainty of +/- 2.9%. This shows that there was a statistically significant, but small, reduction in efficiency when comparing the Modular Flap to the Rigid Flap. This was likely due to a combination of increased total shear

442   stress on the device and small amounts of water leakage through the gaps between the

443   modules.

444   **4.**

445   **Discussion**

446   This section provides some reflection on the results, placing them in the wider context. For the

447   Modular Flap, it was shown that there was no significant reduction in power-capture, compared

448   to the Rigid Flap. This was for the case where damping was equal across the modules and,

449   therefore, some degree of validation is also provided for the work by Sarkar et al. (2016). If

450   damping were allowed to vary, as was the case in Sarkar et al. (2016), then greater power

451   production may have been achievable. Nevertheless, the Rigid Flap concept has high

452   conversion efficiency (Babarit, 2015; Babarit et al., 2012). The Modular Flap has advantages,

453   such as reduced parasitic foundation loads (Wilkinson et al., 2014), the possibility of less

454   expensive installation, and, shown in this study, smoother power generation. These benefits

455   can therefore be exploited without significantly compromising one of the flap-type WEC's

456   greatest advantages, its efficiency.

457   For the Modular Flap, the low rotation amplitudes and power production of the outer modules,

458   especially at longer wave periods, suggests that they were serving the purpose of funnelling the

459   incident wave into the inner modules. For sites characterised by these conditions, it may in fact

460   therefore be most economical to have inexpensive structures, without PTOs, in place of the

461   outer modules.

462   The results that have been presented here have been for regular, head-on waves. In the real

463   ocean though, waves are irregular. There is also some level of directionality, both in terms of

464   the mean direction and spreading, even in nearshore sites (Herbers et al, 1999). The broad-

465   banded response shown, in Figure 13, though, means that the trends should be similar, for

466   irregular waves, to those shown in regular waves. For directional waves though, it is expected

467   that the Modular Flap would outperform the Rigid Flap, due to the independent operation of the

468   modules.

469 **5.**

470 ## Conclusions

471 This paper has presented a power-capture assessment of a modular flap-type WEC, referred to

472 here as the 'Modular Flap'. The device was made up of six modules, with a total width, at full-

473 scale, of 33 m. Comparisons were made to a single equivalent unit, named the 'Rigid Flap'.

474 The assessment was carried out with 30th scale physical modelling in a wave tank. The waves

475 that were used were head-on and regular, with the period varied and the amplitude held

476 constant. The simplest damping strategy was employed, which was to damp each module

477 equally.

478 The power produced by the individual flap modules was very different, with power increasing

479 significantly towards the centre. On average, the central pair of modules produced 72% of the

480 total power, the inner modules 24% and the outer modules only 5%. Phase differences were

481 also shown between the powers produced by the modules. These are thought to have caused

482 a smoothing effect in the total instantaneous power. Using the ratio of the mean to the range as

483 a metric, the Modular Flap produced power that was, on average, 28% more smooth.

484 On average, the power-capture of the Modular Flap was 3.3% lower than the Rigid Flap. This

485 difference had an expanded combined uncertainty of +/- 2.9%. This shows that there was a

486 small, but statistically significant reduction in power for the Modular Flap. The high conversion

487 efficiency of the flap-type WEC and the range of techno-economic advantages mean though

488 that the Modular Flap is a promising concept.

489 Further work will evaluate the effects of the use of irregular and directional waves. The potential

490 benefits of employing different damping control strategies will also be investigated. Optimisation

491 of the modular concept, for example in terms of geometry, will also be carried out. This could

492 investigate the effects of parameters such as the size of modules, the total device width and the

493 spacing between the modules.

494 **6.**

495 **Acknowledgements**

503 **7.**

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Appendix A - Wave Conditions

Wave Identifier	Model-Scale			Full-Scale		
	Measured Wave Amplitude, a (m)	Wave Period, T (s)	Incident Wave Power, P_{inc} (W/m)	Measured Wave Amplitude, a (m)	Wave Period, T (s)	Incident Wave Power, P_{inc} (kW/m)
1	0.033	2.5	9.82	0.99	13.5	48.4
2	0.033	2.3	9.44	0.99	12.5	46.5
3	0.033	1.9	9.04	1.00	10.6	44.6
4	0.033	1.7	8.18	0.98	9.5	40.3
5	0.034	1.6	7.97	1.01	8.5	39.3
6	0.033	1.4	7.01	1.00	7.5	34.6
7	0.033	1.2	5.95	1.00	6.5	29.3
8	0.033	1.0	4.72	1.00	5.5	23.3

Table A.1. List of wave conditions that were used.

Appendix B - Uncertainty Analysis Calculations

This section provides details on how the uncertainties on the results were calculated. The ultimate aim of the analysis was to calculate the expanded uncertainty on the mean relative difference in capture factors achieved by the Modular and Rigid Flaps, $U_{\overline{\Delta CF}}$. Formulae were used and adapted from Coleman and Steele (2009) and publications by the ITTC (2014a, 2014b). The uncertainties in the measured variables were propagated to the results through the use of the Taylor Series Method (TSM), a standard technique (Coleman and Steele, 2009).

The uncertainty analysis was started at the instantaneous level, instead of using the mean values, as carried out in ITTC (2014a), because of the periodic nature of the test data. Only the

582   systematic uncertainties were considered at the instantaneous level. This was because it was

583   assumed that the random instantaneous fluctuations in the signals would have a negligible

584   impact on the generated statistics, e.g. the mean module powers.

585   The systematic uncertainties on the instantaneous damping torque signals, b_{Tc_n}, in MNm, were

586   calculated using Equation B.1.

$$b_{Tc_n} = V_n b_{m_{Tc,n}} \qquad \text{(B.1)}$$

588   Where, for the nth torque sensor, V_n is the instantaneous recorded voltage, in V, and $b_{m_{Tc,n}}$ is

589   the systematic uncertainty on the calibration slope, in MNm/V. The $b_{m_{Tc,n}}$ values were

590   quantified using the method provided in ITTC (2014b). The absolute and relative $b_{m_{Tc,n}}$ values

591   are provided in Table C.1.

592   The systematic uncertainties on the instantaneous module angular velocity, $b_{\dot\theta_n}$, in rad./s, were

593   calculated using Equations B.2 and B.3 for the Rigid Flap and Modular Flap, respectively.

$$b_{\dot\theta_n} = \dot\theta_n - \bar{\dot\theta} \qquad \text{(B.2)}$$

595   Where, $\bar{\dot\theta}$ is the instantaneous mean of the module angular velocity, $\dot\theta_n$, values with the model

596   configured as the Rigid Flap.

$$b_{\dot\theta_n} = \bar{\Delta\dot\theta}_{a,n} \cos(2\pi f_{pk} t + \varphi_{pk}) \qquad \text{(B.3)}$$

598   Where, $\bar{\Delta\dot\theta}_{a,n}$ is the mean of the differences between the peak amplitudes of the module

599   angular velocities and the mean of the values, across the range of wave conditions, in rad./s,

600   f_{pk} is the peak frequency of the incident wave, in Hz, t is the time, in s, and φ_{pk} is the phase of

601   the incident wave for f_{pk}, in rad.

602   The instantaneous systematic uncertainties on the module powers, b_{P_n}, in kW, were calculated

603   using a sum of squares approach as given by Equation B.4. Note that the uncertainties on

604   module damping torques, Tc_n, and angular velocities, $\dot\theta_n$, were assumed to be uncorrelated.

605

$$b_{P_n} = \sqrt{Tc_n{}^2 b_{\dot\theta_n}{}^2 + \dot\theta_n{}^2 b_{Tc_n}{}^2} \qquad \text{(B.4)}$$

607   The relative systematic uncertainties on the mean module powers were significantly higher than

608   the equivalent values for the RMS module damping torques. Therefore, only the systematic

609   uncertainties on the mean module powers, $b_{\bar{P}_n}$, are considered here and were calculated with

610   Equation B.5. This method allowed consideration of the correlation between the values

611   throughout the time-series.

612

$$b_{\bar{P}_n} = \frac{\sum_{i=1}^{N} b_{P_{n,i}}}{N} \quad \text{(B.5)}$$

613   Where N is the number of samples in the time series.

614   The random uncertainties on the power-capture were evaluated using a set of repeat tests for

615   each device. The test was conducted by leaving the waves running for five test durations and

616   keeping the damping levels the same. A single, mid-range wave condition, of period 8.5 s and

617   nominal amplitude 1.01 m was used. The level of variation was then assumed constant across

618   the range of wave conditions. This variation was measured using the coefficient of variation

619   (CV), which presents the standard deviation of a dataset as a fraction of the mean. The generic

620   formula for CV is provided in Equation B.6.

621

$$CV_X = \frac{s_X}{\bar{X}} \quad \text{(B.6)}$$

622   Where, s_X is the standard deviation of the sample of repeat measurements of variable X and $\bar{X}$

623   is the mean of the repeat X measurements.

624   The random uncertainties for the total mean power-capture, $s_{\bar{P}_T}$, were calculated using

625   Equation B.7.

626

$$s_{\bar{P}_T} = \frac{CV_{\bar{P}_T}\bar{P}_T}{\sqrt{N}} \quad \text{(B.7)}$$

627   Where, $CV_{\bar{P}_T}$ is the CV value for the total mean power capture and N is the number of repeats,

628   5. At only 0.7% for the Modular Flap and 0.3% for the Rigid Flap, the CV values were low

629   enough to not contribute significantly to the standard combined uncertainties on the total mean

630   power capture.

631     $b_{\bar{P}_n}$ and $s_{\bar{P}_T}$ were then used to find the standard combined uncertainties on the total mean

632   power capture, $u_{\bar{P}_T}$, using Equation B.8.

633

$$u_{\bar{P}_T} = \sqrt{\left(\sum_{n=1}^{M} b_{\bar{P}_n}{}^2\right) + s_{\bar{P}_T}{}^2} \qquad \text{(B.8)}$$

634   The standard combined uncertainty on the maximum total mean power, $u_{\bar{P}_{T,max}}$ was then

635   estimated. This involved calculating $u_{\bar{P}_T}$ for each damping level, defined by the total RMS

636   damping torque, $Tc_{RMS,T}$. The $Tc_{RMS,T}$-$u_{\bar{P}_T}$ pairs were then plot, with a quadratic curve

637   subsequently fit through the data. The $u_{\bar{P}_{T,max}}$ value was then the value on the curve that

638   corresponded to the optimum damping level, i.e. the one that resulted in maximum power

639   generation.

640   The expanded combined uncertainties on the capture factors, U_{CF}, were then calculated. The

641    $u_{\bar{P}_{T,max}}$ values were first considered as systematic uncertainties, as shown in Equation B.9.

642

$$b_{\bar{P}_{T,max}} = u_{\bar{P}_{T,max}} \quad \text{(B.9)}$$

643   The standard combined uncertainty on the capture factors, u_{CF}, was then calculated using

644   Equation B.10.

645

$$u_{CF} = \sqrt{\frac{b_{\bar{P}_{T,max}}{}^2 + b_{\cos(A)}{}^2}{P_{inc}{}^2 W^2}} \quad \text{(B.10)}$$

646   Where, $b_{\cos(A)}$ is the systematic uncertainty on the cosine of the installation angle. $b_{\cos(A)}$ was

647   calculated using Equation B.11.

648

$$b_{\cos(A)} = 1 - \cos(b_A) \qquad \text{(B.11)}$$

649   Where, b_A, is the systematic uncertainty on the installation angle, which was estimated to be,

650   quite conservatively, 5 deg. U_{CF} was then calculated using Equation B.12.

651

$$U_{CF} = k_p u_{CF} \qquad \text{(B.12)}$$

652   Where, k_p is the coverage factor, 2, for a 95% confidence level (ITTC, 2014a).

653   The standard combined uncertainty on the differences in capture factors achieved by the two

654   devices, $u_{\Delta CF}$, were then calculated using Equation B.13.

655

$$u_{\Delta CF} = \sqrt{\frac{b_{\bar{P}_{T,max,Rig}}^2 + \bar{P}_{T,max,Rig}^2 b_{\cos(A)}^2 + b_{\bar{P}_{T,max,Mod}}^2 + \bar{P}_{T,max,Mod}^2 b_{\cos(A)}^2 - 2b_{\bar{P}_{T,max,Rig}} b_{\bar{P}_{T,max,Mod}}}{P_{inc}^2 W^2}}$$

656
(B.13)

657 Where terms with 'Rig' or 'Mod' in their subscripts referring to values associated with the Rigid

658 and Modular Flaps, respectively.

659 The standard combined uncertainties on the relative differences of the capture factors, $u_{\Delta CF}'$,

660 were then calculated using Equation B.14.

661
$$u_{\Delta CF}' = \sqrt{\frac{u_{\Delta CF}^2}{CF_{Rig}^2} + \frac{\Delta CF^2}{CF_{Rig}^4} u_{CF,Rig}^2 - 2\frac{\Delta CF}{CF_{Rig}^3} u_{\Delta CF} u_{CF,Rig}} \qquad \text{(B.14)}$$

662 The expanded combined uncertainties on the relative differences of the capture factors, $U_{\Delta CF}'$,

663 were then calculated using Equation B.15.

664
$$U_{\Delta CF}' = k_p u_{\Delta CF}' \qquad \text{(B.15)}$$

665 The mean expanded combined uncertainty on the relative differences of the capture factors,

666 $U_{\overline{\Delta CF}'}$, was then calculated using Equation B.16.

667
$$U_{\overline{\Delta CF}'} = \frac{\sum_{j=1}^{P} U_{\Delta CF_j}'}{P} \qquad \text{(B.16)}$$

668 Where, P is the number of wave conditions, 8, and $U_{\Delta CF_j}'$ is the expanded combined uncertainty

669 on the relative difference of the capture factors for the jth wave condition.

670 **Appendix C - Torque Sensor Calibration Slope Uncertainties**

Torque Sensor No., n	Calibration Slope Uncertainty (Model Scale) $b_{m_{Tc,n}}$ (Nm/V)	Calibration Slope Uncertainty (Full Scale) $b_{m_{Tc,n}}$ (MNm/V)	Relative Calibration Slope Uncertainty, $b_{m_{Tc,n}}'$ (%)
1	0.0014	0.0011	-0.15

2	0.0004	0.0003	-0.04
3	0.0019	0.0015	-0.21
4	0.0009	0.0007	-0.10
5	0.0010	0.0008	-0.11
6	0.0037	0.0030	-0.42

671 **Table C.1. Absolute and relative torque sensor calibration slope uncertainties.**