

1 **Exploring the case for a national-scale soil conservation and soil condition**
2 **framework for evaluating and reporting on environmental and land use**
3 **policies**

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12 **Running title:** Soil Conservation and Condition Framework

13

14 **Abstract**

15 It has long been realised that the conservation of soil capital and ecosystem services are of
16 paramount importance, resulting in a growing case for a change in attitude and policy making
17 in respect of soils. Current UK and EU approaches are risk-based and focused on measures
18 to manage and remediate the adverse impact of current policies and practices directed at
19 maximising productivity and profit, rather than one of resource conservation. Increasing soil
20 loss and degradation is evidence that current policy is not working and a new approach is
21 needed. In the UK there is governmental ambition to progress towards natural capital led
22 land use policies; but, in the absence of a framework to determine the relative condition of the
23 soil resource, the delivery of sustainable soil conservation policies will continue to be
24 inhibited. Common Standards Monitoring (CSM) is an established monitoring and
25 management framework (based on ecosystem structure, function and process) and has been
26 effectively deployed for almost two decades by the UK Government for the monitoring and
27 reporting of key biological and earth science natural capital and ecosystem services from
28 'field' to local, regional and national levels to the European Commission. It is argued that a
29 CSM for soils could be developed for the UK's soil resources as well as for those elsewhere,
30 and would be able to deliver a conservation rather than the current risk-based approach. It is
31 capable of accommodating the complexities and variation in soil types and functions, and
32 potentially being practical and cost effective in its implementation.

33

34 **Key Words:** soil resources, common standards monitoring, natural capital, ecosystem
35 services, land degradation

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37

38 Introduction

39 The importance of ~~the soil~~-natural capital of soil and ecosystem services for the wellbeing
40 and prosperity of past civilisations and contemporary society has been well articulated
41 (Leopold, 1949; Richter & Markewitz, 2001; Diamond, 2005; Montgomery, 2012). The
42 scale of land and soil degradation affecting soil capital and the provisioning of food, fibre and
43 other essential services is longstanding and global in its extent (Jacks & Whyte, 1938;
44 Lowdermilk, 1953). Whilst climate and other drivers can be important factors, land use and
45 management practice are considered to have been the main agents; driven by humans, their
46 population growth, socio-economic and political aspirations, and cultural attitudes (Leopold,
47 1949; Stocking & Murnaghan, 2001; Stika, 2016). In 1935, the US Government was the first
48 to attempt to address the agricultural degradation of soil capital and services with the
49 formation of a dedicated national Soil Conservation Service (SCS) (Helms et al, 1996;
50 Natural Resources Conservation Service, 2016). On a global scale, this was followed nearly
51 sixty years later by the formulation of land use and soil conservation planning and
52 management policy objectives of Chapters 10 and 14 of the Rio Summit Agenda 21 (United
53 Nations Environment Programme, 1992), and more recently ‘The World Soil Charter’ (FAO,
54 2015). Despite all of the 178 attending governments being in agreement to the outcomes of
55 the Rio Summit, the impact of the past intentions seems to have been minimal given the
56 continuing extent of land degradation and the potential to increase further with climate
57 change (Montanarella *et al.*, 2016).

58 Leopold (1949) and Hyams (1952), and more recently other commentators (Conford, 1988;
59 Stocking & Muranghan, 2001; Montgomery, 2012; Stika, 2016), have suggested that a
60 fundamentally different approach to land and soil use is required to maintain local and global
61 natural soil capital and ecosystem services. A simple scan of the current scientific literature
62 about soils and their use, not unsurprisingly because of the need to feed the growing human

63 population and concomitant commercial aspirations, indicates it is largely focused on the
64 measures to maintain productivity and profitability despite there has been a growing literature
65 about the importance of soil ecosystems. At our cost, the consequence of simply mitigating
66 the adverse effects of the current production led system will be to perpetuate current thinking
67 and policy making, without fundamentally changing how we view our finite land and soil
68 resources.

69 What sort of system this should be and the how changes and risks should be measured can
70 only be answered by having an appropriate framework in place (Brouwer & Crabtree, 1999;
71 Prager, Helming & Hagedorn, 2011). The purpose of this paper is therefore to explore the
72 case for applying an existing ecosystem-based approach to soil resource conservation and
73 management to direct future UK and EU policy making.

74 **Soils in the European Policy Context**

75 In the European context, the current farming-based system arose because of the significant
76 increase in population due to industrialisation and urbanisation, and the need to strive for
77 self-sufficiency in food supply during and following World Wars I and II. The manifestation
78 of the current adverse environmental and soil related impacts on soil capital and ecosystem
79 services in the UK and mainland Europe are accepted to be a result of the introduction and
80 widespread use of chemicals (fertilisers and pesticides) and developments in mechanisation
81 since the 1960s and 1970s (Parliamentary Office of Science and Technology, 2006; Haygarth
82 & Ritz, 2009; Prager *et al.*, 2011; Graves *et al.*, 2015; Smith *et al.*, 2015; Environment Audit
83 Committee, 2016a & b; Kibblewhite *et al.*, 2016).

84 Whilst there are continuing land degradation issues such as loss of soil carbon, soil erosion
85 and compaction across Europe and the UK (Kibblewhite *et al.*, 2016), there remains no
86 specific soil conservation framework for land and soil use policy, despite an attempt to

87 establish one (Commission of the European Communities, 2006). Hence, soil related policy
88 remains largely secondary and consequential, being the result of other environmental
89 objectives (particularly biodiversity, air and water quality). For example, compliance with the
90 EU Common Agricultural Policy (CAP) legislation, specifically the obligation to maintain
91 ‘good agricultural and environmental condition’ (GAEC) ~~in order~~ to receive agricultural
92 subsidies is mitigation driven and aimed at minimising impact on the environment and soils,
93 whilst maintaining land use and production practices (Louwagie *et al.*, 2011; Prager, Helming
94 & Hagedorn, 2011; Prager *et al.*, 2011; Prospero *et al.*, 2011; Verspecht *et al.*, 2011). It is
95 within this context that UK and EU policy has been developed and exercised with both
96 environmental and economic adverse consequences (Posthumus *et al.*, 2011; Graves *et al.*,
97 2015).

98 **Framework for Future UK Policies**

99 *Policy Development*

100 From the late 1990s, government ~~policy-policy~~-making in the UK became more explicitly
101 evidence informed (Davies, 2004). This shift in the process theoretically extended to those
102 policies which are land-use and soil-based. However, until very recently with the
103 development of natural capital and ecosystem services concepts, UK Government policy and
104 vision (in respect of safe-guarding the UK’s soil natural capital) gave little consideration ~~for~~
105 to the capacity (health) of soil resources to function. Here, the policy drivers were primarily
106 concerned with maintaining profitable returns from agricultural production, reducing
107 pollution and the legacies of contaminated land (particularly in relation to human health)
108 (DEFRA, 2009). However, The Natural Choice White Paper (UK Government, 2011), the
109 UK National Ecosystem Assessment (2011), and the Natural Capital Committee (2013)
110 together reset the UK Government’s vision for future policy making by introducing soil in the

111 context of critical natural capital which supports crucial health and social, economic and
112 environmental ecosystem services. This value-based thinking has the potential to direct
113 future advice and content of government policy making for land use and soils, but not how it
114 should happen.

115 Concerns have been expressed to the UK House of Commons' Environmental Audit
116 Committee (Environmental Audit Committee, 2016a) that land use policies and visions for
117 the environment and for profitable farming in the forthcoming Department for Environment,
118 Food and Rural Affairs' (DEFRA) Environment Plan (see UK Government, 2016) are in
119 danger of continuing to be treated as separate entities despite emanating from the same
120 government department. It is evident from the published departmental plan for 2015-2020
121 that the UK government's strategic approach continues to be management focused on
122 reducing the risks of current land use policies and practices on soils. This suggests that the
123 transition to a holistic natural capital and ecosystem services thinking is not taking place.
124 Hence, the UK Government has yet to resolve the conflicting tensions between their
125 environmental objectives and those for food and farming in its forthcoming 25-year
126 environmental plans (Environmental Audit Committee, 2016b). Some in the UK see it being
127 resolved by a policy of designating 'spare land' (i.e. partitioning of land use) for
128 environmental functions (e.g. pollution and flood control, biodiversity), from those allocated
129 for intensive food and fibre production (Garnett & Godfray, 2012; Fairbank *et al.*, 2013).
130 Others consider alternative production systems will be necessary (Smith, 2013). In such a
131 policy framework, it would seem that greater intensification and efficiency measures are
132 envisaged so that UK agricultural profitability and competitiveness are at least maintained
133 (Barnes & Thomson, 2013; Fish, Winter & Lobley, 2014; DEFRA, 2017). Meanwhile, the
134 EU's CAP 'greening' subsidy policy aims to off-set the damage to and degradation of soils
135 and their ecosystems (European Union, 2013). How this approach is supposed to resolve the

136 conflicts between sustainability and production will remain uncertain until there is a soil
137 conservation framework in place for their proper evaluation.

138 As pointed out by the UK Natural Capital Committee (2013), if there is to be progress there
139 needs to be an evaluative framework in place whereby the assets can be defined and changes
140 and risks to the natural capital and ecosystem services can be measured. The recent review of
141 soil capital and soil health put to the UK House of Commons' Environmental Audit
142 Committee (Environment Audit Committee, 2016a) established that for both the
143 environmental and farming aspects of land use and soils there are no frameworks fit for
144 purpose currently in place. This raises the question of what basis could a newly focused plan
145 and policy on soil natural capital and ecosystem services be formulated and assessed for *both*
146 the environment and farming together?

147 *Frameworks & Indicators*

148 Frameworks provide the basic structures for concepts or systems, whereas indicators are the
149 parameters or values, which describe states and fluxes within the concepts and systems, and
150 their frameworks (OECD, 1994 & 1999).

151

152 The current framework for assessing and monitoring soil conservation is determined by
153 current EU policy (European Union, 2013). There has been much published over the past
154 twenty years on the selection and use of possible indices and indicators of soil health and soil
155 quality in relation to the evaluation of current policies (see Brouwer & Crabtree, 1999;
156 Paoletti, 1999; Pankhurst *et al.*, 1997; Rickson, *et al.*, Undated; Natural England, 2015;
157 Kibblewhite *et al.*, 2016; Schroder *et al.*, 2016). For example, soil carbon is now commonly
158 used as an indicator of soil health owing to its functional relationships with soil aggregate
159 stability and soil erosion, soil fertility, and its relevance to the global carbon cycle with

160 respect to atmospheric carbon fluxes and climate change (Smith *et al.*, 2015). Others have
161 considered the use of soil physical indicators such as bulk density with largely inconclusive
162 results (e.g. Corstanje *et al.*, 2016) and even operational measures such as the trafficability
163 (i.e. accessibility) of land for cultivation (Bouma & Wösten, 2016).

164 | ~~Whilst~~ Although the deployment of such indicators enable the formulation of management
165 practices and remedial actions, they have largely been concerned with the resilience of soils
166 to productive farming policies and practices. As Rickson *et al.* (2012) point out, there is the
167 question of whether individual quantitative indicators can ever be related to ecosystem
168 services, let alone being the basis for a conceptual model. What is needed is an overarching
169 framework, which considers soil condition (health) at its core and values the soil at least as
170 much as the livelihood and wealth derived from it.

171 With the possibility of greater land use intensification and efficiency measures, it seems
172 almost inevitable that separate frameworks for the environment and farming will be proposed
173 despite the call for a holistic approach to soil conservation (Usher, 2005; Parliamentary
174 Office of Science and Technology, 2012a). A more holistic approach to soil conservation
175 would be to consider all land uses and soils together. Only when this is established, can
176 appropriate indicators be identified and applied. Graves *et al.* (2015) suggested the
177 development of the Landis based ‘Soilscape’ (Cranfield University, 2017a) as an integrator of
178 soil textural and habitat types and Kibblewhite *et al.* (2014) used this to assess the spatial risk
179 of soil erosion in England and Wales. However, currently, the application of Soilscares for
180 policy making is limited as it is not real-time based and cannot differentiate between land use
181 histories on a land utilisation basis (i.e. individual fields or parcels of land).

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183

184 **A Soil Condition-Based Framework**

185 Importantly, any re-thinking should be independent and based on principles and evidence,
186 and not governed by commercial self-interest and/or political malaise. An ecological-based
187 approach is most compelling as soils are part of the plant-soil land-based ecosystems (Jenny,
188 1980). Such an approach is timely given the renewed interest in the nature and functioning of
189 soil ecosystems and services (Bardgett *et al.*, 2005; Bardgett & Wardle, 2010; Wall, 2012),
190 and sits well scientifically with the concept of healthy (functioning) soils from a land use
191 perspective (Pankhurst *et al.*, 1997; Doran & Zeiss, 2000; Wall, 2012) and that of ecosystem
192 services.

193 Any new framework will need to encompass and recognise both agricultural and non-
194 agricultural soils as part of the wider UK resource capital as a whole and not treat them as
195 separate entities in policy formulation, as is the case at present. It would also need to
196 recognise self-maintaining plant-soil systems as those which have the full cyclic elements of
197 primary production, decomposition and cycling, along with their attendant biological
198 components (Bardgett *et al.*, 2005; Wall, 2012). Such situations are manifest in the form of
199 mature largely undisturbed semi-natural woodlands, grasslands, and other habitat types found
200 across the UK (JNCC, 2010). In these states soils are likely to maintain their fully
201 functioning ecosystems in terms of nutrient cycling, biodiversity etc. ~~Although Whilst~~
202 ~~somesome~~ of these habitats are used commercially for food and fibre, such as rangeland
203 grazing or rotational harvesting of timber, these are likely to be undertaken with little
204 intervention and disruption of the ecosystem processes that support their ability to function
205 and provide services.

206 Land use practices such as the repeated cultivation of soil, application of quantities of
207 ~~artificial-manufactured~~ fertilisers and manures, and establishment of mono-cultures are

208 known to lead to the alteration and degradation of soil ecosystems, and ultimately their
209 dysfunction and reduced service provision (Graves et al, 2015; Smith *et al.*, 2015;
210 Environmental Audit Committee, 2016a). Hence, ~~there are~~ concerns about erosion,
211 compaction, degradation of soil structure and loss of soil carbon in the UK and the
212 concentration on policies which remediate and minimise their occurrence (Posthumus *et al.*,
213 2011; Verspecht *et al.*, 2011; DEFRA, 2016; Kibblewhite *et al.*, 2016).

214 It is evident from the above that there is sufficient understanding of soil ecosystem
215 composition and functioning to be able to ascribe diagnostic traits to indicate soil health of
216 habitats and land use types. A fully functioning ecosystem will be associated with definable
217 traits ~~which that~~ would signify healthy soils and differentiate them from dysfunctional ones.
218 It is notable that the metaphor ‘health’ has been used to confer a condition in relation to soils
219 and UK policy (DEFRA, 2009), but not synonymously with ecosystem function.

220 *An Existing Ecosystem Condition Framework*

221 An existing framework and methodology known as Common Standards Monitoring (CSM)
222 provides a standard and consistent approach for the evaluation of the conservation status and
223 dynamics for the long term maintenance and risks to the integrity (extent) and supporting
224 functional attributes (structure and natural processes, regeneration potential, distinctiveness)
225 of important biological resources (JNCC, 2004a). The CSM was initially developed for the
226 UK’s semi-natural resources such as ~~Upland-upland Grasslands~~~~grasslands~~,
227 ~~Woodland~~~~woodland and~~, ~~Mires~~~~mires~~.; ~~but~~-later ~~being~~ extended to earth science geological
228 capital. CSM defines ~~the~~-ecosystem’s attributes and the conservation objectives, ~~and~~-sets
229 targets for judging the current condition according to defined terminology
230 (Favourable/Unfavourable/Destroyed) ~~and~~-relative to a past condition, thereby providing an
231 indication of trend (Maintained, Recovering/Declining). Importantly, CSM provides a

232 methodology for practical application at a scale ranging from individual features to larger
233 catchment, regional and national scales. The outcomes can be presented as tabulated data
234 ~~and/or~~ as maps that can be interrogated and used in strategic policy making, management
235 planning and reporting the effectiveness of their implementation (Williams, 2006).

236 A soil resource-based CSM would also be based on habitat ~~or~~ land use feature ~~-based~~. Here,
237 the conservation objectives would be to maintain the integrity of the entirety of the ~~feature's~~
238 soil resource of that feature and the favourable status of the ecosystem's structural, biological
239 and regenerative functional attributes. The soil conservation status and trends can be inferred
240 from well-established associations with habitat type and land use practice. For example, the
241 favourable condition of structural integrity and functioning of soils is known to be maintained
242 in the long-term absence of or infrequent disturbance (e.g. cultivation) of the soil, ~~along~~
243 together with the maintenance of the functional biological processes with persistent
244 vegetation cover and diversity, and root longevity (Bardgett *et al.*, 2005; Bardgett & Wardle,
245 2010; Stockdale & Watson, 2012; Natural England, 2015). Conversely, soil structure and
246 biological capacity are degraded by disturbance and certain cropping practices, resulting in
247 unfavourable soil conditions and which, in agricultural practice, are often a focus of
248 intervention or changes in practices to mitigate and maintain production levels (Watts *et al.*,
249 2001; Roger-Estade *et al.*, 2004; Hamza and Anderson, 2005; Bilotta *et al.*, 2007; Batey,
250 2009; Alvarez *et al.*, 2012; Ball *et al.*, 2012; Munkholm *et al.*, 2013; Osman, 2013;
251 Abdollahi *et al.*, 2014; Cui *et al.*, 2014; Abdollahi *et al.*, 2015). Soil ecosystem recovery is
252 possible, but dependent on time and the reinstatement of favourable habitats and land use,
253 and (if needed) intervention practices. Hence, soil condition can be inferred from the
254 ~~feature's~~ habitat type and land use history of the feature, which can be collected by remote
255 sensing, historical records and numerous local and national data bases, site inspection,
256 interview and census.

257 The following is work in progress, however, it is set out here for illustration of the mechanics
258 of a soil-based CSM approach to soil resource conservation.- Conservation objectives, for
259 each ~~of the feature's~~ attributes of the feature would be set to maintain the integrity of the
260 physical extent of the resource, and the functioning of its structural composition and natural
261 processes, potential for regeneration, and, where appropriate, the local distinctiveness of
262 particular soil types, associations and geomorphological features ~~et~~among others. (Table 1).
263 Threshold limits would be set to enable the evaluation of the conservation status and
264 established trends. For the extent and distinctiveness of soil resources, these can be directly
265 determined, but for the other attributes it is anticipated that they can be determined indirectly
266 from the extensive and long established scientific evidence-base supporting associated
267 habitats and land use histories.

268 Soils used for agricultural cropping could be a separate land use or ecosystem category.
269 ~~Whilst/Although~~, such soils are equitable to disturbed land, if the intent is for cropped land to
270 be a functional land use (rather than a transitional state, such as like glacial moraines) it
271 would be expected to have structural and process or functional attributes that equated with a
272 'favourable' and sustainable soil condition. It could be argued that cropped soils having
273 'Good' structural characteristics (according to visual evaluation methods (e.g. Ball &
274 Munkholm, 2015)) could qualify as being in a functional and hence favourable condition,
275 whereas, 'Poor' soils would be intrinsically unfavourable. Such outcomes would take into
276 account soil-type potential and agricultural practice. In this respect, there is seemingly a
277 strong association between risk of soil erosion and run-off, and cropping and land-use
278 practices (Table 2). The likelihood of cultivated soils being in a favourable condition could
279 be assessed from land use practice and histories, and verified using visual evaluation
280 methods. Hence, cropped land need not necessarily rank lower than other land, but would be

281 a function of climate, land use practice, soil type and landscapes (Environmental Agency,
282 2007).

283 Table 3 provides an illustration of the CSM approach for some habitat features and their
284 hypothetical land use histories. Here, outcomes range from where the soil resources were

285 being maintained in a 'Favourable condition', to those in 'Unfavourable recovering' and
286 '~~declining~~ Declining' conditions, and those in 'Favourable recovered' or 'Unfavourable no
287 change' states (where intervention measures might have been applied). Where particular
288 types of soils are of uncommon occurrence or perform special (environmental) functions, the
289 integrity of these assets would be part of the reporting process.

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290 Discussion

291 As CSM is process-based, the principles are capable of being extended to general situations,
292 other than the legally designated nature conservation and geological sites in the UK for which
293 it was originally developed. For example, it has been successfully applied in a general
294 context of assessing ecosystem health in the rehabilitation of highly disturbed land after
295 mining (Humphries, 2014; Humphries, 2016). Hence, it is argued here that a CSM-like
296 approach based on habitats ~~or~~ land use and integrity ~~or~~ functional attributes would provide
297 for a generally applicable and possibly a more 'global' approach for the long-term monitoring
298 and management of UK and EU soil resources.

299 The development of a soil-based CSM approach could enable an inventory of the local and
300 national extent and condition of soil capital in the UK and elsewhere. It could contribute
301 significantly to the formulation and monitoring of protection and strategic policies, and the
302 evaluation of their effectiveness. For example, future policies might encourage and even
303 support the conversion of arable usage to woodland or grassland land uses that are non-
304 intensive, grassland land uses where particular types of soil or climate change make

305 | intervention practices less effective and there is a high risk of degradation ~~and~~ or loss of soil
306 | resource (Environment Agency, 2007).

307 | *Prioritisation and Division of Responsibility*

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308 | As introduced earlier, the importance of soils and the risks to the future of the Earth's finite
309 | land resources ~~has~~ have long been argued by influential members of the land user and
310 | scientific communities. This has been acted upon by some government bodies and
311 | intergovernmental organisations, such as the EC, UK and FAO, but with arguably mixed
312 | results-. Despite the continuing accumulation of evidence, there is seemingly little sign of a
313 | widespread change in the attitudes of policy makers.- Seemingly, too many of those using
314 | land see soils as inert entities, rather than as living and supporting ecosystems that- should be
315 | conserved and maintained accordingly. As a consequence, it has been argued that current
316 | policy making in the UK and the EU continues to be open to short-term exploitative
317 | production foci as opposed to long-term conservation of the soil resource and sustainability
318 | of its living functions (Environmental Audit Committee, 2016a).- The reasons for the
319 | seeming lack of prioritising soil conservation are likely to be a complex mix of political and
320 | commercial influences, and institutional inertia as illustrated by the failure of EU Member
321 | States' ~~failure~~ to agree a Directive for the protection of soil and land (European Commission,
322 | 2016a). Irrespective of the lack of progress towards a EU wide Directive, with the prospect of
323 | the UK leaving the EU, there is an opportunity for the UK Government to rethink its
324 | approach to soils and land use, and agricultural policy in general (Humphries, 2017).

325 | The division of responsibilities for natural resources, environment and land use policy is
326 | known to contribute to the inhibition of strategic and integrated policy making (Parliamentary
327 | Office of Science and Technology, 2012b). This raises the question which bodies or
328 | organisations in the UK and the EU should be charged with the development of soils and land

329 | use policy? Should ~~it-such a policy~~ be led by ~~one-an organization~~ concerned with the
330 | production of food and fibre, rather than one focused on the environment or the conservation
331 | of resources, or should it be a new body? Delegation of responsibility will probably not be
332 | enough and satisfactory progress may only be made by legislation for the conservation of soil
333 | resources; as has been the case for biodiversity and water resources in the EU and its
334 | transposition into the UK Statutes. The science-based lead for the conservation of
335 | biodiversity natural and earth science natural capital, and the deployment of the CSM in the
336 | UK is by DEFRA's conservation advisory body, the Joint Nature Conservation Committee
337 | (JNCC). ~~The -JNCC's-~~ current advisory remit ~~of JNCC~~ for influencing government policy
338 | could be widened to include the conservation of soil resources.

339 | *A Risk Based or Conservation Approach*

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340 | The proposed EC Directive on soil protection (European Commission, 2006) was founded on
341 | a risk-based approach and measures necessary to protect soils from wind erosion, reduction in
342 | soil organic matter, compaction, salinization, landslides and contamination in order ~~that~~ they
343 | have the capacity to carry out their environmental, economic, social and cultural functions
344 | (i.e. soil ecosystem provisions and services). This risk-based approach was adopted by
345 | DEFRA (2009) in their strategy for safeguarding soils in the UK. The approach has been
346 | criticised for being inadequate for future soil conservation policy making by some of those
347 | making submissions to the Environmental Audit Committee (Environmental Audit
348 | Committee, 2016a).

349 | The risk approach taken by the EC is strikingly different from that of conservation for
350 | biological resources enacted by their Habitats Directive (European Commission, 1992), and
351 | appears to reflect the focus and expertise of the stakeholder communities involved. For
352 | example, there was no legal requirement of the Member States to have in place policies and

353 actions that aim to maintain their soil and land resource in a favourable biological condition,
354 other than when there are threats to the provisioning and service capacities (which may be
355 provided for in less than favourable circumstances). Importantly, there were no requirements
356 for conservation objectives and targets to be set, nor the monitoring and reporting of the
357 conservation status and long-term dynamics, as for the Habitats Directive. Notably, it was to
358 be left to the Member States to decide and administer, thereby frustrating the development
359 and enactment of an EU wide soil conservation policy.

360 The Habitat Directive imposed a legal requirement on Member States to monitor and report
361 on the long-term maintenance of a favourable conservation status of nationally and
362 internationally important biodiversity capital in the European Union. In response, the UK
363 Government's nature conservation advisor, the JNCC, devised a condition-based framework
364 and methodology which were subsequently adopted by DEFRA (Rowell, 1993; JNCC,
365 2004a; JNCC, 2017). The approach has been accepted by the European Commission as being
366 compliant with the Directive's monitoring and reporting requirements at the pan-European
367 and international levels (Williams, 2006). The CSM framework and methodology has also
368 been applied by the JNCC and adopted by DEFRA for evaluating the conservation status of
369 the UK's important earth science (geology and geomorphology) natural capital (JNCC,
370 2004b). Importantly, the JNCC has used CSM to inform DEFRA's policy making and
371 monitoring of policy outcomes, as well as for the management of the natural capital and for
372 strategic planning and budgeting purposes. Here, it is argued that the CSM approach **is**
373 potentially ~~of~~has wider geographical and natural resource application than just the UK and
374 biodiversity, and could inform future policy making for a more 'global' approach to the
375 conservation of soil resources.

376 *A Resource Rather than a Classification Approach*

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377 The UK's vegetation is a complex of different community compositions, mixes, gradients and
378 mosaics represented by the National Vegetation Classification (Rodwell, 2006), similarly the
379 multitude of types of European vegetation communities approach (Mucina *et al.*, 2016). The
380 CSM ~~approach~~ avoided the difficulty posed by the complexity and stricture of classification
381 by being broad habitat and land use based. The soil-based CSM could use the same
382 approach. Hence, the inherent complexity of the types of soils and their classifications
383 (Kubiěna, 1953; Soil Survey Staff, 1960; Clayden and Hollis, 1984; Buol *et al.*, 2011), that
384 has seemingly frustrated the formulation of the European Commission's proposed Soil
385 Directive, should also not be a conceptual bar to the deployment and the development of the
386 CSM approach for national and pan-national applications. Where there are distinct traits or
387 particular conservation or ecosystem service qualities of particular soil resources, these could
388 be accommodated by the CSM methodology, as has been the case for the UK's nature
389 conservation assets.

390 Adoption of the CSM approach is likely to be criticised on account of over simplification of
391 the complexity of soils and their condition. Here, there are basically two contrasting mind-
392 sets of how this is approached by scientists and practitioners alike. There are those who are
393 concerned in the detail and accounting of the contributing individual factors, ~~whilst~~ whereas
394 others approach it as their aggregate, as processes ~~or~~ functions (see Sherwood & Uphoff,
395 2000; Kibblewhite *et al.*, 2008). Inherently, CSM is an aggregated approach as ~~is~~ are the
396 visual soil evaluation methodologies of soil condition (see Ball & Munkholm, 2015).

397 The same arguments of over simplification of the complexity and variation between soil
398 types and characteristics could be levelled in the application of visual approaches such as
399 VESS, VSA, SOIL.pak (see Ball & Munkholm, 2015; Ball *et al.*, 2017) in the evaluation and
400 subsequent management of soils. Despite this, the visual approach has been widely adopted
401 across different climates and soils. Visual assessment is accepted as valid and repeatable

402 methods for both practitioners and academic researchers. The approach has been adopted by
403 the UK's Environment Agency in its 'Think Soils Manual' guidance to farmers for reducing
404 soil loss and degradation (Environment Agency, 2007). In essence the CSM approach could
405 be considered as an extension of the visual evaluation family.

406 Also, the functional ecosystem focus of the CSM approach for evaluating the condition status
407 of nature conservation assets is in concert with the more recently developed and widely
408 proffered ecosystem services soil health approach, ~~that~~ which seems to be replacing the risk-
409 based approach. Both CSM and ecosystem services are founded on similar ecosystem
410 function attributes of cover (extent), soil structure, biological composition, nutrient cycling,
411 and recuperation, all being necessary for 'healthy' ecosystems (JNCC, 2004a; Kibblewhite *et*
412 *al.*, 2008). Notably, both CSM and ecosystem service approaches are not based on
413 reductionist hierarchical classifications of composition.

414 *Cost Effective Implementation and Infrastructure*

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415 Conventionally, the approach to monitoring soils has been the systematic collection and
416 analysis of samples of selected biological ~~and~~ or chemical components that are used as
417 indicators to assess condition (health). The sampling approach has been extensively
418 researched and reported for over 30 years (e.g. Pankhurst *et al.*, 1997; Paoletti, 1999; Doran &
419 Zeiss, 2000; Kibblewhite *et al.*, 2008). However, its deployment, other than at the local scale
420 ~~and~~ or very limited sampling, requires considerable investment of time, and technical and
421 financial resources, as would the wholesale deployment of qualitative visual techniques (see
422 Ball & Munkholm, 2015). This sampling limitation and the restriction to a few easily
423 measured parameters makes meaningful interpretation difficult (Smith 2004; Saby *et al.*,
424 2008; Brazier *et al.*, 2014; O'Sullivan *et al.*, 2017). Even if based on existing data sets (e.g.
425 Agri-Food Biosciences Institute, Undated; European Commission, 2016b; Countryside

426 Survey, 2017; Cranfield University, 2017b) the parameters available may not be appropriate
427 for the development and evaluation of policies or a changing environment (Keay *et al.*,
428 2013), besides issues concerning the standardisation of methodologies (O’Sullivan *et al.*,
429 2017). In practice, the conventional sample-based approach is more suited for research and
430 verification purposes.

431 The ecosystem services approach is also said to be based on the selection and periodic
432 analysis of independent sample-based indicators (Kibblewhite *et al.*, 2008), making it
433 uncertain how an evaluation might be arrived at. CMS relies on existing research knowledge
434 and established associations of soil condition with habitat and land use practices, similar to
435 those proposed by Sherwood & Uphoff (2000). Hence, potentially, the CMS approach does
436 not have the same financial and practical limitations as sampling ~~or~~ analytical
437 methodologies. Past and current habitat and land use data would be collected on a real-time
438 (annual) basis from existing historical records, remote sensing and annual returns.

439 Consequently, development costs and time will be ~~low-small~~ and short. There would be no
440 need for the time consuming and costly repetition of the extensive collection and analysis of
441 samples; other than initially and for updating, in the setting and refining of conservation
442 targets, limits and ranges associated soil-habitat ~~or~~ land use during the development of a soil-
443 CSM. Of course, an ongoing and structured sampling programme for quality control
444 purposes and verification of conservation status would be needed, as would be the case for
445 any approach.

446 In the UK and the EU an administrative infrastructure already exists for the national reporting
447 of land use through the implementation of the CAP payment system, which could be re-
448 engineered. In the UK this is currently provided by DEFRA’s Rural Payments Agency or the
449 Member Country’s own agencies. Alternatively, implementation and administration might

450 become an expansion of the remit of DEFRA's JNCC and the UK's Country conservation
451 agencies.

452 Finally, in preparing the above argument for the adoption of the CSM approach to soil
453 conservation policy, it has rightly been pointed out (during the peer review process) that there
454 will be technical, socio-economic and political concerns that will need to be answered in
455 more detail before it is likely to become widely accepted. For example, the reliance on
456 habitat ~~or~~ land use practice as the basis of assessment of soil condition as opposed to the
457 traditional approaches based on soil types and their origin. Also, there is the concern that the
458 CSM approach is inherently biased against the agricultural use of soils and would place the
459 priority of soil conservation above food production with the concomitant result of lower
460 levels of production and the implications this has for commerce and living standards. These
461 and other considerations are clearly relevant and will need to be debated and addressed as the
462 methodology is trialled and developed. These matters are beyond the scope of this paper;
463 given that its purpose was simply to introduce the CSM methodology for developing soil
464 resource conservation policies.

465

466 **Conclusions**

467 For some time there has been a growing case for a change in attitude and policy making ~~in~~
468 with respect ~~of to~~ the UK's and the EU's soil resources. A change from a production to a
469 sustainable soil resource and function perspective could drive this. It ~~could~~ might be better
470 facilitated by approaching soils as a matter of resource conservation rather than risk
471 management.

472 CSM is an existing and proven conservation-based framework and methodology which is
473 currently used in natural resource management and for policy making. The same approach

474 could be deployed to set the conservation objectives and report on the status of soil resources
475 consistently at individual local features as well as at national scales. A soil-based CSM is
476 complementary to and supportive of the emerging policy drivers of ecosystem services and
477 natural capital.

478 | A CSM for soil resources ~~could~~would be quick and cheap to develop, and has the potential to
479 | be simple to administer and cost effective, and capable of being implemented through
480 | existing UK and EU governmental administrative ‘infrastructure’.

481 | ~~Whilst~~Although acknowledging there are likely to be concerns about the adoption of a
482 | conservation approach to soil resources and policies governing their use, there are compelling
483 | reasons why a soil-CSM should be explored and debated further.

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791 **Table 1** Draft generic CSM guidance on conservation objectives for soil resources

FEATURE ATTRIBUTE	CONSERVATION OBJECTIVE	TARGET / THRESHOLD	METHODS OF ASSESSMENT	COMMENT
Extent	Maintain topsoil cover and topsoil resource	No significant physical loss to erosion, change in use or development	Direct by remote sensing / survey / historical records / census	Change would be determined by a pre-set date
Structural composition	Maintain potential climatic and textural soil structure development	No significant degradation	Indirect by association with current and historic habitat and land use practice determined by remote sensing / survey / historical records / census	Inferred status determined from literature and updated from verified experience. Scope for intervention measures to be included.
Natural Processes	Maintain carbon transformations, nutrient cycling and biological population regulation	No significant degradation		
Regeneration Potential	Maintain persistent complete plant cover, diversity of plant species and continuity of living root system	No significant degradation	Indirect by association with current and historic habitat and land use practice determined by remote sensing / survey / historical records / census	Inferred status determined from literature and updated from verified experience. Scope for intervention measures to be included.
Indicators of Local Distinctiveness	Maintain soils of local and uncommon distribution and soils having important environmental function	No significant physical loss to erosion, change in use or development	Direct by remote sensing / survey / historical records / census	Does not contribute to the evaluation of conservation state and trend per se, but alerts to provision of other ecosystem services and/or conservation of diversity or scientific interest of resource

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793

794 **Table 2** Land use practices and soil types in the UK at risk of soil erosion and run-off (derived from
 795 Think Soils Manual, Environment Agency, 2007)

Land Use	Soil Type	Conditions	Risk of Erosion	Risk of Run-off
All cereals	Light	Fine smooth seed beds	When dry and exposed	When capped
All cereals	All	Harvested when soils wet		When surface compaction / wheelings
Winter cereals	All	Seed bed formed when soils wet		When surface compaction or shallow underlying compaction
Spring root crops/vegetables – potatoes, -carrots, onions, sugar beet	Light, Peaty	Soils wet at crop establishment and cultivations	When repeated trafficking of headlands & tramlines	When surface compaction / tramlines / wheelings / beds orientated up/down slopes
Autumn harvested crops	All	Soils wet at harvesting		When surface compaction / wheelings
Grassland livestock & vehicular movements	All	Outside over-wintering of stock / stock feeding / access places when soils wet		When surface compaction / poaching
Grassland livestock & vehicular movements	All	Timing of stock and vehicular access when soils wet		When surface compaction / poaching
Forage crop harvesting		Timing of vehicular access when soils wet / low ground cover in early spring		When surface compaction / wheelings
Vehicular movements – e.g. spreading manure	All	Timing when soils wet		When surface compaction / wheelings

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797 **Table 3** Illustrative hypothetical CSM outcomes of conservation state and trend associated with
 798 some feature-based habitats and land use histories

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Feature's Soil Integrity & Functional Attributes	Mature Deciduous Woodland	Upland Hay-Meadow	Lowland Permanent Pasture – Un-intensive / controlled grazing	Short-term Leys – Intensive Grazing	Continuous Arable	Rotational Cropping Without Breaks in Cover	Conversion of Arable to Deciduous Woodland
Land Use History	Woodland established in mid-C19th	Known not to have been cultivated since 1940s	Pasture for 15 years and without restorative intervention, prior continuous arable.	Ryegrass Leys resown 2/3 year cycle.	Including cereals, root crops and maize	Change from continuous arable to rotation of mixed fodder/cereal crops (excluding root crops/maize)	10 year old plantation
Extent	FM – no net loss	FM – no net loss	UNC* – no net further loss	UD*# – annual loss by erosion	UD – annual loss by erosion	UNC* – no further net loss by erosion	UNC* – no further net loss
Structural Composition	FM – no anticipated degradation	FM – no anticipated degradation	UR* – re-establishing	UD# – tillage and/or compaction	UD – tillage and/or compaction	UD# – tillage and/or compaction	UR – re-establishing
Natural Processes	FM – no anticipated degradation	FM – no anticipated degradation	FR – re-established	UD# – tillage and/or compaction	UD – tillage and/or compaction	UD# – tillage and/or compaction	UR – re-establishing
Potential for Regeneration	FM – components present	FM – components present	FR – components re-established	UNC – some components present	UD – absence of components	UNC – some components present	UR – some components present
Overall Conservation Status & Trend	FM	FM	UR*	UD#	UD	UD#	UR
Key FM = favourable maintained FR = favourable recovered UNC = unfavourable no change UD = unfavourable declining UR = unfavourable recovering PD = partially destroyed D = destroyed. Notes: with intervention measures * = potentially FR # = potentially UNC							

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