

# 1 **Drying and rewetting conditions differentially affect the mineralization of** 2 **fresh plant litter and extant soil organic matter**

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12  
13 **Abstract** Drought is becoming more common globally and has the potential to alter patterns of  
14 soil carbon (C) storage in terrestrial ecosystems. After an extended dry period, a pulse of soil  
15 CO<sub>2</sub> release is commonly observed upon rewetting (the so-called ‘Birch effect’), the magnitude  
16 of which depends on soil rewetting frequency. But the source and implications of this CO<sub>2</sub>  
17 efflux are unclear. We used a mesocosm field experiment to subject agricultural topsoil to two  
18 distinct drying and rewetting frequencies, measuring Birch effects (as 3-day cumulative CO<sub>2</sub>  
19 efflux upon rewetting) and the overall CO<sub>2</sub> efflux over the entire drying-rewetting cycle. We  
20 used <sup>14</sup>C-labelled wheat straw to determine the contribution of fresh (recently incorporated)  
21 plant litter or extant soil organic matter (SOM) to these fluxes, and assessed the extent to which  
22 the amount of soil microbial biomass + K<sub>2</sub>SO<sub>4</sub>-extractable organic C (fumigated-extracted C,  
23 FEC) before rewetting determined the magnitude of Birch effect CO<sub>2</sub> pulses. Our results  
24 showed a gradual increase in SOM-derived organic solutes within the FEC fraction, and a  
25 decrease in soil microbial biomass, under more extreme drying and rewetting conditions. But,  
26 contrary to our hypothesis, pre-wetting levels of FEC were not related to the magnitude of the  
27 Birch effects. In the longer term, rewetting frequency and temperature influenced the overall  
28 (31-day cumulative) amount of CO<sub>2</sub>-C released from SOM upon rewetting, but the overall  
29 <sup>14</sup>CO<sub>2</sub>-C respired from fresh straw was only influenced by the rewetting frequency, with no  
30 effect of seasonal temperature differences of ~15 °C. We conclude that the mineralization of  
31 fresh plant litter in soils is more sensitive to water limitations than extant SOM in soils under  
32 drying-rewetting conditions. Moreover, we found little evidence to support the hypothesis that  
33 the availability of microbial and soluble organic C before rewetting determined the magnitude  
34 of the Birch effects, and suggest that future work should investigate whether these short-term

35 CO<sub>2</sub> pulses are predominantly derived from substrate-supply mechanisms resulting from the  
36 disruption of the soil organo-mineral matrix.

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## 43 **1. Introduction**

44

45 When a dried soil is rewetted, an immediate sharp increase in CO<sub>2</sub> efflux typically follows. This  
46 peak of CO<sub>2</sub> efflux upon rewetting is referred to as the 'Birch effect' (Birch, 1958; Jarvis et al.,  
47 2007), which has a mean, albeit variable, duration of 3-4 days (Kim et al., 2012). It has been  
48 observed in a wide range of terrestrial ecosystems and under laboratory conditions, and may  
49 represent a substantial proportion of the total annual CO<sub>2</sub> efflux in arid, semi-arid and  
50 Mediterranean soils (Borken and Matzner, 2009; Kim et al., 2012). Previous work has shown  
51 that the Birch effect can be attributed to a large increase in the microbial mineralization  
52 (respiration) of soil organic matter and the release of CO<sub>2</sub>-C into the atmosphere (Casals et al.,  
53 2011), but the specific sources of organic C that contribute to this CO<sub>2</sub> flux are less clear.

54 A number of experiments have shown that many factors can modulate the magnitude of soil C  
55 release by the Birch effect. Respiration peaks only occur when there are substantial differences  
56 between pre- and post-rewetting soil water content (Chowdhury et al., 2011), and the amount  
57 of soil organic matter (SOM) mineralized upon rewetting normally declines with increasing  
58 number of previous drying-rewetting (D-RW) cycles (Mikha et al., 2005; Wu and Brookes,  
59 2005), which suggests that the mineralization of SOM after rewetting is related to the number  
60 and extent of previous soil desiccations (Williams and Xia, 2009; Unger et al., 2010;  
61 Chowdhury et al., 2011). The availability of SOM to soil microorganisms before rewetting is  
62 also thought to regulate the magnitude of the rewetting response (Casals et al., 2009), and soil  
63 temperature and/or water content after rewetting may also play a role (Cable et al., 2011;  
64 Suseela et al., 2012). The relative importance of these multiple factors and their interactions are  
65 still a source of great uncertainty for predicting the magnitude of soil respiration responses to  
66 the expected extension of dry periods in many ecosystems (Wetherald and Manabe, 2002;  
67 Borken and Matzner, 2009; Falloon et al., 2011; Suseela et al., 2012).

68 Several mechanisms are thought to contribute to the post-rewetting increase in SOM  
69 mineralization, and many of them are closely related to the supply of organic substrates in the  
70 soil solution: i) release of intracellular compounds from microbial cell lysis after severe soil  
71 desiccation, and subsequent respiration by surviving microbes (Bottner, 1985); ii) microbial  
72 release, re-uptake and respiration of intracellular osmolytes, to cope with the sudden increase  
73 of soil water potential upon rewetting (Kieft et al., 1987; Halverson et al., 2000; Fierer and  
74 Schimel, 2003); iii) spatial redistribution of soil microorganisms and/or organic solutes upon  
75 rewetting, due to increased mobility of microbes or substrate within soil pores (Van Gestel et  
76 al., 1993; Xiang et al., 2008); iv) desorption of soluble SOM from a plethora of organo-mineral  
77 complexes (Kalbitz et al., 2000; Lopez-Sangil and Rovira, 2013); and v) aggregate disruption  
78 and exposure of previously occluded SOM particles during the drying phase or upon rewetting  
79 (Denef et al., 2001). The relative contribution of these mechanisms is poorly understood and  
80 likely to be modulated by factors such as soil structure or depth within the profile, which  
81 determine levels of water retention and rewetting abruptness under D-RW conditions (Xiang et  
82 al., 2008; Sanaullah et al., 2011; Rovira and Vallejo, 1997). For instance, desiccation and  
83 rewetting extremes decline with soil depth (Sanaullah et al., 2011; Lopez-Sangil et al., 2013),  
84 which may promote specific mechanisms over others as they exhibit different sensitivities to  
85 physical disturbance (Borken and Matzner, 2009; Williams and Xia, 2009).

86 The relationship between SOM decomposition rates and the size of the soil microbial biomass  
87 is the subject of much debate, but it is also directly relevant to C dynamics during D-RW cycles  
88 (Coleman and Jenkinson, 1996; Shen et al., 1997; Probert et al., 1998; Sato and Seto, 1999;  
89 Franzluebbers et al., 2000; Bapiri et al., 2010). A major portion of the soil microbial biomass  
90 can be in a dormant or in a completely non-viable state in dry soil, and consequently the supply  
91 of available substrates (rather than the size of microbial biomass) could be a better predictor of  
92 the magnitude of SOM mineralization upon rewetting (Wang et al., 2003). The amount of

93 soluble (i.e., extractable) organic C has been proposed as an indicator of substrate availability  
94 for soil microbes (Boyer and Groffman, 1996), and this C fraction may help to explain the  
95 dynamics of SOM mineralization during D-RW cycles (Wang et al., 2003; Casals et al., 2009).  
96 Improving our understanding of these relationships could refine our modeling tools and land-  
97 use strategies for reducing soil C release to the atmosphere.

98 A potential way to address some of these uncertainties is to assess separately the contributions  
99 of fresh organic matter (recently incorporated) and extant SOM (more stable) as potential C  
100 sources of the Birch effect. Distinguishing between these two substrates can enhance our  
101 mechanistic understanding of soil C respiration sources (Bottner, 1985; Casals et al., 2000), and  
102 can provide modelers with information about how the mineralization of different organic  
103 substrates in soil may respond distinctly to changes in pedoclimatic conditions. Although fresh  
104 plant litter decomposition exhibits a lower sensitivity to temperature than SOM due to its lower  
105 recalcitrance (Bosatta and Agren, 1999), previous work shows that, contrastingly, it can be very  
106 susceptible to water limitations (Rovira and Vallejo, 1997; Magid et al., 1999). This  
107 susceptibility is not necessarily related to substrate quality (Sanaullah et al., 2012), but could  
108 be a result of decreased substrate availability during desiccation, or greater drought sensitivity  
109 of litter-decomposing microbes, although the mechanisms involved are still speculative.  
110 Moreover, it is still uncertain how soil temperature and moisture interact to control the  
111 mineralization of distinct substrates during Birch effects.

112 In this study, we hypothesized that 1) the amount of C that is available to microbes (i.e., readily  
113 accessible) before rewetting will explain the magnitude of CO<sub>2</sub> release during subsequent Birch  
114 effects; and 2) the mineralization of extant soil organic matter would be less affected by  
115 extended drought periods than that of fresh plant litter inputs. To test these hypotheses, we  
116 assessed the effect of extended drought periods on microbial biomass and soluble (i.e.,  
117 extractable) organic C fractions in a Mediterranean agricultural soil, and its subsequent impact

118 on soil CO<sub>2</sub> emissions. By incubating soil horizons mixed with <sup>14</sup>C-labelled wheat straw at  
119 different depths, we aimed to identify which soil C sources are mostly affected by D-RW  
120 conditions and more able to explain the observed Birch effect CO<sub>2</sub> pulses.

121

## 122 **2. Material and methods**

### 123 *2.1. Experimental design and straw <sup>14</sup>C-labelling*

124 We assessed the influence of two distinct drying-rewetting frequencies on two different  
125 simulated soil profiles, using the mesocosm incubation design described in [Lopez-Sangil et al.](#)  
126 [\(2013\)](#). We constructed 44 mesocosms, each consisting of a PVC cylinder (12-cm inner  
127 diameter, 24-cm height) with a funnel, a 2.7- $\mu$ m glass microfiber filter and a nylon tube attached  
128 to the bottom to collect possible leachates ([Figure 1](#)). Each mesocosm was packed with 560 g  
129 agricultural topsoil (henceforth, ‘topsoil’) and 2635 g mineral subsoil (see section 2.2),  
130 simulating a 20-cm depth soil profile. Both soils were air-dried, homogenised and sieved (2-  
131 mm) before filling the mesocosms. To trace the carbon from recent plant litter inputs, the topsoil  
132 in each mesocosm was thoroughly mixed with homogeneously <sup>14</sup>C-labelled wheat straw (0.42  
133 g straw kg<sup>-1</sup> soil; fragment size 2 mm - 50 $\mu$ m; 2550.9 Bq mg<sup>-1</sup> C). The initial total organic C  
134 content of the labelled topsoil was 25.35 mg C g soil<sup>-1</sup>, of which 0.62% was labelled straw  
135 (equivalent to 2 kg straw ha<sup>-1</sup>). The topsoil formed a 4-cm deep horizon (bulk density = 1.24 g  
136 cm<sup>-3</sup>) and a 7-mm pore nylon mesh separated the topsoil from the mineral subsoil (1.61 g cm<sup>-3</sup>)  
137 while maintaining hydraulic conductance. As the intensity of drying-rewetting cycles  
138 declines with soil depth ([Sanaullah et al., 2011](#); [Lopez-Sangil et al., 2013](#)), we accounted for  
139 differences in drying-rewetting disturbance with depth by distinguishing two ‘depth  
140 treatments’: the topsoil horizon was placed on the surface of 22 mesocosms (0-4 cm; ‘surface

141 mesocosms'), whereas in the other 22 mesocosms, the topsoil formed a subsurface horizon at  
142 10-14 cm depth ('subsurface mesocosms'; [Figure 1](#)).

143

## 144 *2.2. Soil substrates*

145 The agricultural topsoil was a clay loam Haplic Calcisol ([IUSS Working Group WRB, 2006](#))  
146 with an organic C content of 2.52% and carbonate content of 37.9%. It was collected from the  
147 upper 10-15 cm of a maize cropland (*Zea mays* L.) in north-eastern Spain (42°16'51"N;  
148 2°58'37"E). The mineral subsoil was a sandy loam with very low organic C and carbonate  
149 concentrations (0.12% and 0.8% respectively), obtained from a nearby quarry. Further details  
150 are given in [Lopez-Sangil et al. \(2013\)](#).

151

## 152 *2.3. Incubation and irrigation frequencies*

153 The mesocosms were subjected to two different drying-rewetting frequencies and incubated for  
154 366 days under field conditions. To maintain natural daily and seasonal variation of soil  
155 temperature, we incubated the mesocosms at the experimental field site of the University of  
156 Barcelona, sunken in the ground so that the surface of the mesocosm soil profile was levelled  
157 with the surrounding soil. A plastic cover protected the area from rainfall, increasing air  
158 temperature by 2-3 °C. Mean annual temperature of topsoil horizons was 21 °C for all  
159 treatments. To avoid external C inputs, we kept the mesocosms plant-free by carefully removing  
160 any germinating seedlings.

161 Each irrigation event consisted of applying 350 ml solution per mesocosm (0.35 mM CaCl<sub>2</sub>)  
162 during 20-25 min, simulating heavy rainfall (31 L m<sup>-2</sup>). Each event accounted for 53% of the  
163 water holding capacity (WHC) of the entire mesocosm profile, avoiding water limitations for  
164 soil microbial activity (e.g., [Wu and Brookes, 2005](#); [Miller et al., 2005](#); [Gordon et al., 2008](#)).

165 We used CaCl<sub>2</sub> solution rather than deionised water to maintain conductivity and soil structure  
166 (Kjaergaard et al., 2004).

167 All mesocosms were first irrigated on 22 November 2006, and left to dry for two months  
168 ('installation period') to allow the soil columns to settle and also minimize the potential impact  
169 of priming effects from the added straw during the irrigation period (Guenet et al., 2010).  
170 During the installation period, only soil respiration measurements were performed (see below).  
171 On 15 January 2007, we started the 366-day 'irrigation period' using two distinct soil rewetting  
172 frequencies: half of the mesocosms per depth treatment were randomly assigned to either i) a  
173 control irrigation frequency ('IRG'), in which each rewetting event was followed by a 31-45  
174 day period of natural desiccation; or ii) a reduced irrigation frequency ("DRO"), in which  
175 mesocosms were subjected to longer desiccation periods (i.e. only rewetted on every third IRG  
176 rewetting event). The IRG treatment corresponded to 250 mm precipitation year<sup>-1</sup>, representing  
177 semiarid-to-arid climate conditions according to the Köppen-Geiger classification (Peel et al.,  
178 2007).

179

#### 180 *2.4. Measurement of CO<sub>2</sub>-C efflux and Birch effects from topsoil horizons*

181 We measured daily total and <sup>14</sup>C-labelled CO<sub>2</sub> effluxes in two randomly selected mesocosms  
182 per depth and rewetting treatment ( $n = 2$ ), using alkali traps (10 ml 0.5 M NaOH; Casals et al.,  
183 2000), which were sealed with air-tight lids for 24 h to create a closed chamber above the soil  
184 profiles (Figure 1). To calculate the <sup>14</sup>CO<sub>2</sub> efflux derived from wheat straw, we mixed two  
185 aliquots of alkali (1 ml each) with 10 ml scintillation cocktail (Ultima Gold™, PerkinElmer)  
186 and counted scintillations for 10 min using a scintillation counter (Packard Tri-carb 2100 TR;  
187 <sup>14</sup>C-counting efficiency *c.* 95%), which was periodically standardized (Transformed External  
188 Standard Spectrum method using <sup>133</sup>Ba). We used the remaining alkali to quantify total CO<sub>2</sub>-



189 C by back-titration with 0.25 M HCl after addition of BaCl<sub>2</sub> in excess (Black, 1965). To account  
190 for soil respiration from the mineral subsoil, we installed twelve 'blank' mesocosms without  
191 topsoil horizon (six per rewetting treatment). The blank mesocosms were subjected to identical  
192 installation, conditions and measurement procedures as the mesocosms containing the labelled  
193 topsoil. Daily total- and <sup>14</sup>C-CO<sub>2</sub> effluxes from topsoil horizons were then calculated by  
194 subtracting the mean respiration rate of the blank mesocosms each day ( $n = 2$ ) from the  
195 respiration rate of the labelled mesocosms. Although we measured CO<sub>2</sub> efflux from all  
196 mesocosms, the CO<sub>2</sub> data for subsurface mesocosms was discarded as mass balance  
197 calculations revealed a low CO<sub>2</sub>-C recovery from these mesocosms (only 86-97%; data not  
198 shown).

199 At each rewetting event, alkali traps were installed 5 minutes after the end of the irrigation  
200 process. The mesocosms were normally left open for at least 3-4 days between measurements  
201 to allow evaporation. CO<sub>2</sub> measurements were performed on 135 days of the 366-day irrigation  
202 period, with measuring days mainly allocated after rewetting events to capture soil respiration  
203 peaks, and measurement intervals during periods of low, stabilized respiration rates; Figure 2).  
204 For those days in which soil respiration was not measured, we estimated daily topsoil CO<sub>2</sub>  
205 effluxes by linear interpolation (Casals et al., 2009). Volumetric soil water content (SWC) was  
206 measured in conjunction with CO<sub>2</sub> measurements in dedicated equivalent (but unlabelled)  
207 mesocosms subjected to the same conditions, with side holes for moisture sensors (Thetaprobe,  
208 Delta-T, Cambridge, UK).

209 Cumulative total and labelled CO<sub>2</sub> efflux from topsoil horizons was calculated from the mean  
210 of  $n = 2$  mesocosms at each measurement date, using cumulative maximum and minimum daily  
211 CO<sub>2</sub> efflux as standard errors. In line with previous studies, the amount of organic C released  
212 by the Birch effect at each irrigation event was considered to be the cumulative CO<sub>2</sub>-C efflux  
213 from the topsoil horizon during the first three days upon rewetting (Franzluebbbers et al., 2000;

214 [Kim et al., 2012](#)). The overall amount of organic C released during the drying-rewetting cycle  
215 was considered to be the cumulative CO<sub>2</sub>-C efflux from the topsoil horizon during the first 31  
216 days upon rewetting, after which soil respiration was negligible.

217

### 218 *2.5. Destructive sampling and gravimetric SWC*

219 We harvested three replicate mesocosms per depth and irrigation treatment immediately before  
220 the rewetting events in May 2007, September 2007 and January 2008, and four replicates in the  
221 January 2007 rewetting event ([Table 1](#)). The topsoil horizons were carefully separated from the  
222 mineral subsoil and the outermost part of each horizon was removed to discard 'edge effects'  
223 from the mesocosm walls. Immediately after each harvest, we oven-dried topsoil subsamples  
224 at 65°C for 48 h to measure gravimetric soil water content (SWC).

225

### 226 *2.6. Microbial + extractable organic C fractions (FEC)*

227 We determined the amount of 'available' C (total and <sup>14</sup>C-labelled) as the fumigation-extraction  
228 C (FEC), the sum of the microbial and extractable organic C fractions using the method of  
229 [Vance et al. \(1987\)](#). The FEC fraction is considered the readily-accessible C pool supporting  
230 microbial activity ([Casals et al., 2009, 2011](#); [Rovira et al., 2010](#)). Briefly, fresh subsamples of  
231 the topsoil (20 g dry-weight equivalent) were fumigated for 24 h in the dark with ethanol-free  
232 chloroform (CHCl<sub>3</sub>) inside a vacuum chamber, then extracted with 100 ml 0.5 M K<sub>2</sub>SO<sub>4</sub> by  
233 shaking for 60 min, centrifuged and filtered following [Jones and Willet \(2006\)](#). Paired 20-g  
234 fresh subsamples were extracted without CHCl<sub>3</sub>-fumigation. 3-ml aliquots of both fumigated  
235 and non-fumigated extracts were then analysed colorimetrically at 600 nm for total organic C,  
236 after dichromate oxidation at 155°C for 30 min ([Nelson and Sommers, 1996](#)). Straw-derived  
237 <sup>14</sup>C was measured by mixing 1-ml aliquots of both fumigated and non-fumigated extracts with

238 10 ml of scintillation cocktail and counting for 10 min (more details in [Lopez-Sangil et al.,](#)  
239 [2013](#)). The base-line  $^{14}\text{C}$  signature of the daily ‘blank’ respiration measurements was then  
240 subtracted. Microbial biomass C (MBC) was calculated as the difference between fumigated  
241 and non-fumigated organic C in the extracts (values not corrected for extraction efficiency  
242 unless stated otherwise; [Vance et al., 1987](#)). Total and labelled soil amounts were calculated  
243 after accounting for aliquot and soil sample sizes. The relative contribution of MBC to the total  
244 ‘available’ C pool was calculated as the ratio between MBC and FEC (MBC:FEC).

245

## 246 *2.7. Data analyses*

247 All data analyses were performed in R 3.2.3 (R Core Team 2017). First, we assessed the  
248 influence of rewetting frequency treatment and soil temperature on  $\text{CO}_2$  or  $^{14}\text{CO}_2$  released by  
249 three-day (Birch effect) and 31-day cumulative total or  $^{14}\text{C}$ -labelled  $\text{CO}_2$  efflux using linear  
250 mixed effects models with treatment, temperature, and their interaction as fixed effects and time  
251 as a random effect (*lmer* function in the lme4 package; [Bates et al., 2015](#)). We then assessed  
252 the effect of rewetting treatment on the FEC fraction and MBC:FEC ratio in the topsoil horizons  
253 using nested linear mixed effects models including treatment, horizon depth and their  
254 interaction as fixed effects, and sampling time as a random effect. Nested models were  
255 compared using the Akaike Information Criterion (AIC) and *p*-values and simplified by  
256 sequentially dropping terms until a minimum adequate model was reached ([Pinheiro and Bates,](#)  
257 [2000](#)). Statistics are given for the comparison between the final models and the corresponding  
258 null model and individual terms are reported as significant at  $p < 0.05$ . Finally, we used linear  
259 regression to assess the relationships between soil water content (SWC) and MBC:FEC ratio,  
260 and to test whether the magnitude of Birch effects (three-day cumulative  $\text{CO}_2$ ) was related to  
261 the amounts of ‘available’ C prior to rewetting (FEC, microbial and extractable organic C

262 fractions were tested separately; measurements and samples taken in Jan-07, May-07 and Sep-  
263 07;  $n = 5$ ).

264

### 265 **3. Results**

#### 266 *3.1. Birch effect and total CO<sub>2</sub>-C effluxes from surface horizons*

267 The release of CO<sub>2</sub> by Birch effects (three-day efflux after rewetting) was strongly influenced  
268 by rewetting treatment and temperature for both SOM-derived CO<sub>2</sub> and straw-derived <sup>14</sup>CO<sub>2</sub>  
269 (CO<sub>2</sub>:  $\chi^2 = 54.7$ ,  $p < 0.001$ ; <sup>14</sup>CO<sub>2</sub>:  $\chi^2 = 62.4$ ,  $p < 0.001$ ). There was a significant interaction  
270 between treatment and temperature for <sup>14</sup>CO<sub>2</sub> Birch effect efflux ( $p = 0.002$ ) but not for SOM-  
271 derived CO<sub>2</sub>. The magnitude of Birch effects was clearly greatest in the DRO treatment, with a  
272 lower rewetting frequency (Figure 3A, B).

273 The total amount of SOM-derived CO<sub>2</sub> released upon rewetting (31-day cumulative CO<sub>2</sub>) was  
274 mainly influenced by treatment, but temperature also had a marginal effect ( $p = 0.07$ ), Figure  
275 3C) and the final model included both treatment and temperature but not their interaction ( $\chi^2 =$   
276  $26.4$ ,  $p < 0.001$ ). 31-day cumulative <sup>14</sup>CO<sub>2</sub> efflux was also strongly influenced by treatment ( $\chi^2$   
277  $= 42.8$ ,  $p < 0.001$ ) but not soil temperature ( $p = 0.540$ ), despite differences of *c.* 15 °C between  
278 the rewetting events (Figure 3D). Interestingly, for the first two rewetting events, the 31-day  
279 cumulative <sup>14</sup>CO<sub>2</sub> effluxes were near identical for IRG (4.7 ±0.2 and 3.3 ±0.1) and DRO  
280 treatments (4.7 ±0.2 and 3.5 ±0.1, respectively), despite the differences in rewetting frequency  
281 and seasonal pedoclimatic conditions (Figures 3D, 2D). The flux values declined with  
282 increasing number of rewetting events (Figure 3D).

283 In May to September, when soil desiccation at surface horizons was more severe (Table 1), the  
284 evolution of the daily soil CO<sub>2</sub> efflux rates followed an exponential decay pattern, in which  
285 respiration rates were highest on the first day after rewetting but declined sharply and remained

286 low until the next rewetting (Figures 2A, B). This pattern was much less distinct during the  
287 coldest seasons, when desiccation was less extreme (Figures 2A, B; Table 1). The magnitude  
288 of the Birch effects was strongly determined by soil desiccation levels (Figure 4). The CO<sub>2</sub>  
289 released in the first 3 days upon rewetting, as a proportion of the total (monthly) CO<sub>2</sub> efflux,  
290 was negatively related to soil water contents before rewetting, both for SOM- and plant litter-  
291 derived C respiration ( $R^2 = 0.85, p < 0.001$ ). This indicates that the peak in microbial respiration  
292 was greater, and its subsequent exponential decline steeper, when soils were drier prior to  
293 rewetting. Including both IRG and DRO treatments ensured that this effect was not simply  
294 driven by differences in temperature throughout the year.

### 295 3.2. Microbial biomass and extractable organic C

296 The depth of the topsoil horizon in the profile significantly influenced the pre-wetting levels of  
297 available C (FEC) derived from bulk SOM ( $\chi^2 = 7.87, p = 0.005$ ) but there was no overall effect  
298 of the rewetting frequency treatment (Figure 5). SOM-derived FEC levels in the surface horizon  
299 tended to increase steadily throughout the successive drying-rewetting cycles, regardless of  
300 treatment, whereas they decreased in the subsurface horizon (Figure 5A). In contrast, FEC  
301 derived from <sup>14</sup>C-labelled straw was influenced by both rewetting frequency and depth, but not  
302 their interaction ( $\chi^2 = 460.3, p < 0.001$ ; treatment effect  $p < 0.001$ ; depth effect =  $p < 0.001$ ).  
303 The subsurface horizons had higher pre-wetting levels of <sup>14</sup>C-labelled FEC at the beginning of  
304 the irrigation period, but they showed greater depletion over time compared to the surface in  
305 both treatments. The decrease in both horizons was greater in the IRG compared to the DRO  
306 treatment, resulting in higher concentrations of <sup>14</sup>C-labelled FEC in the DRO mesocosms by  
307 the end of the experiment (Figure 5B). The relative proportion of straw-derived <sup>14</sup>C in the FEC  
308 fractions was more than two-fold higher than the proportion of SOM-derived FEC at the  
309 beginning of the experiment (Figure 5), indicating rapid incorporation of fresh plant litter

310 compounds into the most active soil C fractions. This difference disappeared during the summer  
311 as labile, straw-derived compounds were rapidly depleted by microbial mineralization.

312 Both rewetting frequency and horizon depth had a strong influence on soil microbial biomass  
313 during the drought periods. The relative contribution of SOM-derived microbial biomass C to  
314 the FEC fraction (MBC:FEC) was greater in the IRG compared to the DRO mesocosms, and in  
315 the subsurface horizons compared to the surface ( $\chi^2 = 68.31, p < 0.001$ ). There was a significant  
316 treatment  $\times$  depth interaction ( $p = 0.019$ ), as the MBC:FEC ratio was higher in the subsurface  
317 horizons of the IRG (and the difference between horizon depths was greater) than in the DRO  
318 mesocosms. For straw-derived  $^{14}\text{C}$  ( $\chi^2 = 68.31, p < 0.001$ ), there was a similar significant effect  
319 of treatment ( $p = 0.037$ ) and depth ( $p < 0.001$ ) but no interaction. The strong effect of depth on  
320 MBC:FEC can be explained by more severe desiccation in the surface horizons between  
321 rewetting events: MBC:FEC declined significantly with gravimetric soil water content for both  
322 SOM- and straw-derived C ( $R^2 = 0.63, p < 0.001$  and  $R^2 = 0.43, p < 0.001$ , respectively; **Figure**  
323 **6**), indicating that living soil microorganisms represented a decreasing part of the FEC fraction  
324 as the soil desiccated. Contrary to expectations, we found no relationships ( $p > 0.1$ ) between  
325 the magnitude of Birch effects and any of the soil C fractions deemed readily accessible to  
326 microbes immediately prior to rewetting (microbial biomass C, extractable organic C or overall  
327 FEC contents; January, May and September events,  $n = 5$ ) for both SOM- and straw-derived C.

328

## 329 **4. Discussion**

### 330 *4.1. Decomposition of organic C substrates and frequency of rewetting events*

331 The greatest Birch effects were observed after extended drought periods (DRO treatment) and  
332 during the warmer season (**Figure 3**). Seasonal temperature variation had a strong influence on  
333 the magnitude of the Birch effect (**Figure 3**), which is likely a result of 1) greater water

334 evaporation at higher temperatures, which accelerates soil desiccation and exacerbates drought;  
335 and 2) increased microbial activity upon rewetting, as higher temperatures promote more rapid  
336 mineralization of organic substrates (Davidson and Janssens, 2006). At higher temperatures,  
337 the combination of increased soil desiccation and microbial activity results in larger short-term  
338 C losses from soils (Chowdhury et al., 2011). It is possible that a small proportion of the  
339 observed Birch effects was derived from inorganic sources, as result of the increase in soil pore  
340 water and CO<sub>2</sub> (lower pH) associated with rewetting and microbial respiration (Emmerich,  
341 2003; Stevenson and Verburg, 2006). In a calcareous soil with similar organic:inorganic C ratio,  
342 Stevenson and Verburgh (2006) found that up to 13% of total soil CO<sub>2</sub>-C efflux was derived  
343 from soil carbonates. By contrast, soil rewetting did not significantly increase inorganic CO<sub>2</sub>  
344 effluxes from different Mediterranean calcareous soils (Inglima et al., 2009). Similarly, in our  
345 study, the potential contribution of soil carbonates to the observed Birch effects was deemed  
346 negligible. Firstly, mass balance calculations of the topsoil SOC respired, leached and  
347 remaining always produced 99 to 101% C across samplings (100.1 ±0.3%). Secondly, direct  
348 measurements on the topsoil horizons showed a high stability of the soil carbonates equilibrium  
349 during the incubation period, with negligible further incorporation of litter-derived <sup>14</sup>CO<sub>2</sub> into  
350 carbonates (Lopez-Sangil et al., 2013).

351 The frequency of rainfall events is crucial for soil carbon dynamics in arid and semiarid  
352 ecosystems. In support of our second hypothesis, our results indicate that forecast scenarios of  
353 infrequent heavy rainfalls and longer drought periods (IPCC, 2013; Prein et al., 2016) will have  
354 a greater impact on the decomposition of recent plant litter compared to that of more stable  
355 SOM (Figures 2D, 3). This is in line with Magid et al. (1999), who found that drying-rewetting  
356 conditions in lab soil incubations retarded the mineralization of fresh plant litter (but not SOM)  
357 compared to constantly moist soils. Interestingly, in our study soil temperature did not affect  
358 the overall amount of straw-derived <sup>14</sup>C respired during the month after rewetting, despite the

359 positive influence of temperature on the magnitude of Birch effects. Instead, the mineralization  
360 of plant litter during each drying-rewetting cycle was only related to the number of previous  
361 rewetting events, resulting in nearly identical amounts of CO<sub>2</sub>-<sup>14</sup>C released after the same  
362 number of irrigations, despite seasonal temperature differences of 15°C (Figure 3D). Our results  
363 contrast with the general relationship between temperature and plant litter decomposition in  
364 soils (Davidson and Janssens, 2006), and with the temperature effects observed on the short-  
365 term <sup>14</sup>CO<sub>2</sub> release upon rewetting. Using the magnitude of short-term respiration flushes as a  
366 proxy for soil C mineralization and quality (Franzluebbbers et al., 2000) may therefore be  
367 inappropriate, especially for organic matter recently incorporated into soils.

#### 368 4.2. Soluble and microbial organic C fractions, and their relation to CO<sub>2</sub> efflux

369 The pattern of declining Birch effects with increasing number of drying-rewetting cycles  
370 (Figures 2, 3) has also been observed in other studies (Casals et al., 2009; Mikha et al., 2005;  
371 Wu and Brookes, 2005). Although a gradual depletion of available C (FEC) has been proposed  
372 as the main reason for this decline over time (Casals et al., 2009), we found no evidence to  
373 support our hypothesis that the magnitude of Birch effects was related to pre-existing soil  
374 microbial or extractable organic C contents before rewetting. Others have questioned whether  
375 the organic solutes present within the soil matrix constitute a major C source for microbial  
376 respiration (De Troyer et al., 2011). It has been shown that the extractable organic C fraction  
377 can remain unchanged despite large increases in soil respiration (Xiang et al., 2008), casting  
378 doubts about its biodegradability. Moreover, large proportions of dissolved OM (up to 85%)  
379 can remain in incubated soils for several months (Zsolnay and Steindl, 1991; Qualls and Haines,  
380 1992). Instead, it is possible that a significant part of the FEC fraction is not easily-degradable,  
381 and that labile C solutes pass rapidly through the extractable pool before being mineralized (De  
382 Troyer et al., 2011; Xiang et al., 2008). Our results suggest that C sources other than the



383 microbial and K<sub>2</sub>SO<sub>4</sub>-extractable organic C fractions were mineralized during the Birch effect  
384 pulses in this experiment.

385 Extreme soil desiccation (and subsequent rewetting) events can destabilize SOM protection  
386 mechanisms and release organic solutes into the media (Borken and Matzner, 2009). The severe  
387 drying-rewetting conditions in our experiment stimulated the incorporation of SOM-derived  
388 organic solutes into the FEC fraction at surface horizons (Figure 5A) and this increase occurred  
389 despite an overall decrease in SOM content during the incubation period (Lopez-Sangil et al.,  
390 2013). Two mechanisms could trigger this FEC replenishment in our experiment: i) SOM-  
391 mineral detachments, involving either chemical desorption of soluble and colloidal OM (Lopez-  
392 Sangil and Rovira, 2013) or physical disruption of aggregates (Denef et al., 2001), which expose  
393 new organic surfaces to microbes; and ii) microbial breakdown of free organic particles by  
394 microbial enzymatic activity (Burns et al., 2013). Both mechanisms are likely to be promoted  
395 by a higher recurrence of soil drying-rewetting cycles: SOM-mineral detachments are induced  
396 by the physical forces during the drying and/or rewetting phases (Denef et al., 2001; Xiang et  
397 al., 2008), whereas more frequent rewetting events allow for longer periods of microbial  
398 activity. Accordingly, soil respiration in our study was greater in the IRG compared to DRO  
399 treatment (Figures 2C, D; Figure 3), but we only found evidence of FEC replenishment when  
400 topsoil was incubated at surface (Figure 5), where desiccation and rewetting phases were more  
401 intense and abrupt. The gradual decrease in <sup>14</sup>C within the FEC fraction (Figure 5B) evidences  
402 the progressive depletion of the initial litter inputs (Lopez-Sangil et al., 2013), but can also  
403 result from a preferential consumption of these easily-degradable compounds by soil microbes  
404 (De Troyer et al., 2011), which can lead to a gradual increase in FEC recalcitrance as soil  
405 desiccation progresses. Together, these findings support the idea that these 'available' C  
406 fractions in desiccated soils, which have been proposed as a primary source of C for subsequent

407 Birch effects (Wang et al., 2003; Casals et al., 2009), may be mainly composed of SOM-  
408 derived, relatively recalcitrant substrates.

#### 409 4.3. Mechanisms underlying Birch effects

410 The observed Birch effects could not be predominantly attributed to any one of the other  
411 commonly proposed mechanisms. Microbial cell lysis and/or osmoregulation have been  
412 proposed as the main C source of Birch effects in agricultural soils (Mikha et al., 2005),  
413 grassland soils (Warren, 2016) and Mediterranean *dehesa* (Unger et al., 2010), although other  
414 D-RW studies found no evidence for this (Williams and Xia, 2009; Boot et al., 2013). In our  
415 study, microbial biomass was severely reduced during extreme drought, as indicated by the  
416 decline in the proportion of MBC within the FEC fraction (Figure 6). However, only the CO<sub>2</sub>-  
417 C released during the first day upon rewetting accounted for more than 90% of the pre-wetting  
418 levels of FEC (May-2007, DRO mesocosms), and more than 60% of the microbial biomass C  
419 (Sep-2007; corrected for extraction efficiency, Vance et al., 1987), for both SOM- and straw-  
420 derived C, which is substantially higher than the estimated maximum osmolyte C  
421 concentrations of *c.* 10% and up to 30-40% in soil fungi and bacteria (Schimel et al., 2007).  
422 Finally, the spatial redistribution of existing organic solutes and/or microbes upon rewetting  
423 could not explain the respiration pulses observed in our study, as it depends largely on FEC  
424 levels at the time of rewetting (Van Gestel et al., 1993; Xiang et al., 2008) and we found no  
425 relationship between FEC, extractable C and Birch effects. These lines of evidence do not  
426 exclude microbial lysis and/or osmorregulatory mechanisms, but show they are insufficient to  
427 explain the magnitude of the observed CO<sub>2</sub>-C pulses during the first three days after rewetting.  
428 Instead, other authors have highlighted the relevance of physical disturbance on soil aggregates  
429 in driving the Birch effect pulses (Navarro-Garcia et al., 2012), suggesting that additional  
430 mechanisms (such as remnant exoenzymatic activity, Fraser et al., 2016) may contribute to the

431 respiration of the newly-exposed organic surfaces. These substrate-supply mechanisms are in  
432 line with our FEC results in surface horizons (Figure 5). We propose that a great proportion of  
433 the observed CO<sub>2</sub> pulses could originate from the physical disruption of organo-mineral  
434 surfaces during severe drying-rewetting conditions (Williams and Xia, 2009; Navarro-Garcia  
435 et al., 2012), exposing a plethora of new organic substrates that would be rapidly and  
436 preferentially consumed by microbes depending on their decomposability, leading to an  
437 increased recalcitrance of the soluble C fraction as desiccation progresses.

438 In contrast to our study, previous work in a Mediterranean *dehesa* (Casals et al., 2009; 2011)  
439 found evidence that Birch effect was related to the pre-wetting FEC contents. We suggest that  
440 this discrepancy arises from: i) differences in soil structure between the *dehesa* (80% sand, 9%  
441 clay) and the topsoil in this experiment (41% sand, 31% clay) resulting in lower organo-mineral  
442 protection (Lopez-Sangil and Rovira, 2013), aggregate stability and microporosity in the *dehesa*  
443 soil, which would affect the contribution of non-biomass SOM to post-rewetting respiration  
444 peaks (Van Gestel et al., 1993); and ii) the absence of plant roots in our study, as fine-root  
445 rhizodeposition constitute an important input of fresh labile C into the FEC fraction during  
446 droughts (Yao et al., 2012; Preece and Peñuelas, 2016), which can fuel pulses of microbial  
447 respiration upon rewetting. This was already suggested as an explanation to similar  
448 discrepancies between lab and field experiments, in which no correlation was found between  
449 soluble organic C and soil mineralization processes upon rewetting (Lundquist et al., 1999).

#### 450 4.4. Conclusions

451 In response to severe soil drying-rewetting conditions, we observed a gradual increase in SOM-  
452 derived organic solutes, and a significant decrease in microbial biomass size. However,  
453 although osmoregulation or pre-wetting availability of soil organic solutes may contribute to  
454 Birch effects, we found little evidence to support the hypotheses that they are the main carbon

455 sources determining the magnitude of the soil CO<sub>2</sub> flushes during Birch effects. Birch effects  
456 derived from both SOM and fresh litter sources were positively correlated with seasonal  
457 fluctuations in temperature. But in terms of overall CO<sub>2</sub>-C release during the entire drying-  
458 rewetting cycle, only the efflux derived from SOM decomposition, and not that from fresh litter,  
459 was positively related to temperature. Our results highlight differences in the mechanisms  
460 controlling post-rewetting soil respiration depending on the C source, and emphasize the need  
461 for improving our understanding of soil C mineralization at different time-scales and under  
462 variable climatic conditions. Further work is needed to clarify how soil disruption by repeated  
463 drying-rewetting cycles contributes to the release of new organic solutes, as this could  
464 accelerate SOC depletion in dryland ecosystems (Xiang et al., 2008; Casals et al., 2009).

465

#### 466 **Acknowledgements**

467 This study was partially funded by the Spanish Research Agency (MEC: VULCA, CGL2005-  
468 08133-CO2). Luis Lopez-Sangil had a pre-doctoral fellowship (APIF 2008-2012; 00154) from  
469 the University of Barcelona, and was granted with a short-stay fellowship at the University of  
470 Exeter by the ESF-funded MOLTER program. Both Pere Rovira and Pere Casals have a I3  
471 post-doctoral grant from the Spanish Ministry of Science and Innovation. E. J. Sayer was  
472 supported by a European Research Council Starting Grant under the European Union's Seventh  
473 Framework Programme (FP/2007-2013; ERC Grant Agreement No. 307888).

474

#### 475 **Data statement**

476 The data Masterfile, including the data used in statistical tests and the raw soil CO<sub>2</sub> efflux  
477 datasets, will be uploaded in an online open-access data repository upon acceptance of the  
478 manuscript for publication.

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659 **TABLES**

660 **TABLE 1** Gravimetric soil water content (in water:soil weight %; 65 °C for 48 h) of the agricultural  
661 soil horizons at the four destructive samplings (mean  $\pm$  SE; n = 3 except for Jan/07: n = 4).

662

		Jan/07	May/07	Sep/07	Jan/08
0 - 4 cm	IRG	6.6 $\pm$ 0.3	2.3 $\pm$ 0.1	2.2 $\pm$ 0.1	7.9 $\pm$ 0.3
	DRO		1.8 $\pm$ 0.0	1.5 $\pm$ 0.0	2.5 $\pm$ 0.0
10-14 cm	IRG	11.3 $\pm$ 0.1	9.4 $\pm$ 0.4	9.7 $\pm$ 0.3	13.6 $\pm$ 0.8
	DRO		4.1 $\pm$ 0.1	3.3 $\pm$ 0.1	4.6 $\pm$ 0.1

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664

665 **Figure captions**

666 **FIGURE 1** Scheme of the mesocosms with the labeled agricultural topsoil horizons at 0–4 cm  
667 (surface level; left-hand diagram) and 10–14 cm depth (subsurface; right-hand diagram). The  
668 mineral subsoil fills the rest of the soil profile. An alkali trap measuring daily soil CO<sub>2</sub>-C effluxes  
669 from surface topsoil horizons is represented.

670 **FIGURE 2** CO<sub>2</sub>-C effluxes from the labelled topsoil horizons at surface level: **A, B**) daily respiration  
671 rates for total soil organic C (TOC) and straw-derived <sup>14</sup>C, respectively (mean ± SE; n = 2); grey  
672 area represent daily mean soil temperature, with negligible differences between IRG and DRO  
673 treatments; red arrows indicate the four soil destructive sampling points. **C, D**) cumulative  
674 respiration for total soil organic C (TOC) and straw-derived <sup>14</sup>C, respectively (mean ± SE;  
675 cumulative error bars sparsely; n = 2); only those days in which CO<sub>2</sub> measurements were  
676 performed are represented; the in-between days were calculated by linear interpolations. The  
677 'irrigation period' extended from 15-Jan to 15-Jan (366 days), Data from the 'installation period'  
678 (Nov/06 to Jan/07) not shown.

679 **FIGURE 3** Cumulative CO<sub>2</sub>-C losses from soil microbial respiration at surface horizons (mean ±  
680 SE; n = 2): **A, B**) first 3 days upon rewetting ("Birch effect"); **C, D**) 31 days upon rewetting. Left  
681 hand-side figures (hexagons) correspond to total, SOM-derived respiration, right hand-side  
682 figures (triangles) to straw-derived <sup>14</sup>C respiration. Grey areas represent the mean soil  
683 temperature for the assessed periods.

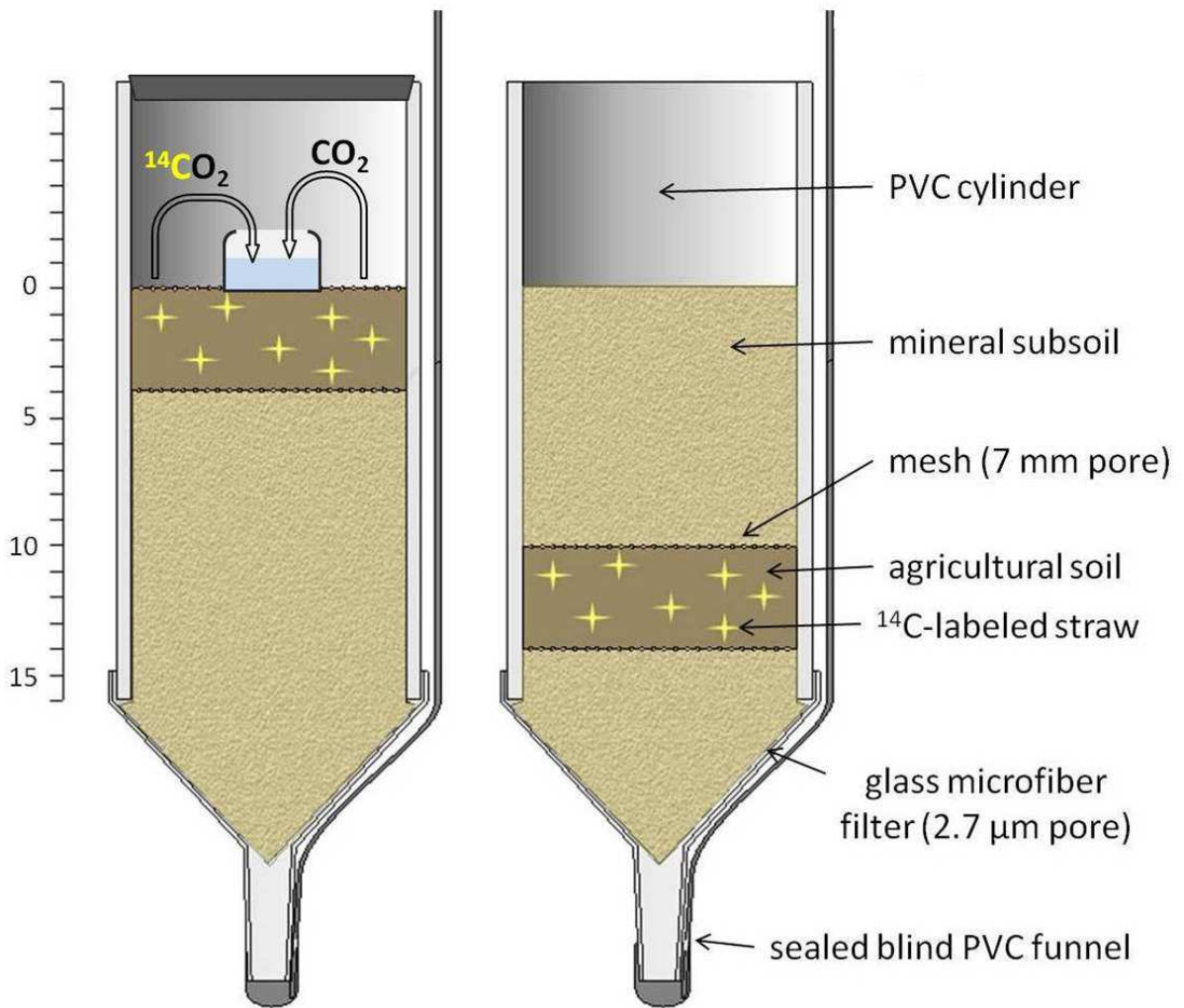
684 **FIGURE 4** Linear regression between volumetric soil water content prior to rewetting (SWC; in  
685 water:soil volume %) and the magnitude of Birch effect (3-day cumulative) as a proportion of the  
686 total (31-day cumulative) soil CO<sub>2</sub>-C losses upon rewetting. N = 20 (each form is mean ± SE; n =  
687 2). White forms correspond to DRO treatment.

688 **FIGURE 5** Evolution of the CHCl<sub>3</sub>-fumigated K<sub>2</sub>SO<sub>4</sub>-extractable organic C fraction (FEC) in the  
689 agricultural topsoil horizons at two different depths during the four soil destructive samplings  
690 prior to rewetting (mean ± SE; n = 3 except Jan/07: n = 4). Right **A**) total soil organic C (TOC), left  
691 hand-side figures; **B**) straw-derived <sup>14</sup>C, right hand-side figures. Dotted areas show the microbial  
692 biomass C (MBC), resulting from the difference between fumigated (FEC) and non-fumigated  
693 extractable organic C (Vance et al. 1987; MBC values not corrected for extraction efficiency). Right  
694 Y-axis units refer to the percentage of C respect that initially present in the soil horizon before  
695 incubation started, and is directly proportional to the left Y-axis.

696 **FIGURE 6** Relationship between gravimetric soil water content and microbial biomass C (MBC),  
697 previously standardized with respect to the amount of CHCl<sub>3</sub>-fumigated extractable organic C  
698 (FEC). Data from surface and subsurface horizons are included. **A**) total organic C; **B**) straw-  
699 derived organic <sup>14</sup>C. Black (IRG) and white dots (DRO) refer to the rewetting treatments; grey dots  
700 refer to Jan/07 sampling (prior to establishing the differential rewetting frequencies). First-order  
701 inverse polynomial equations were those that fitted best to empirical data.

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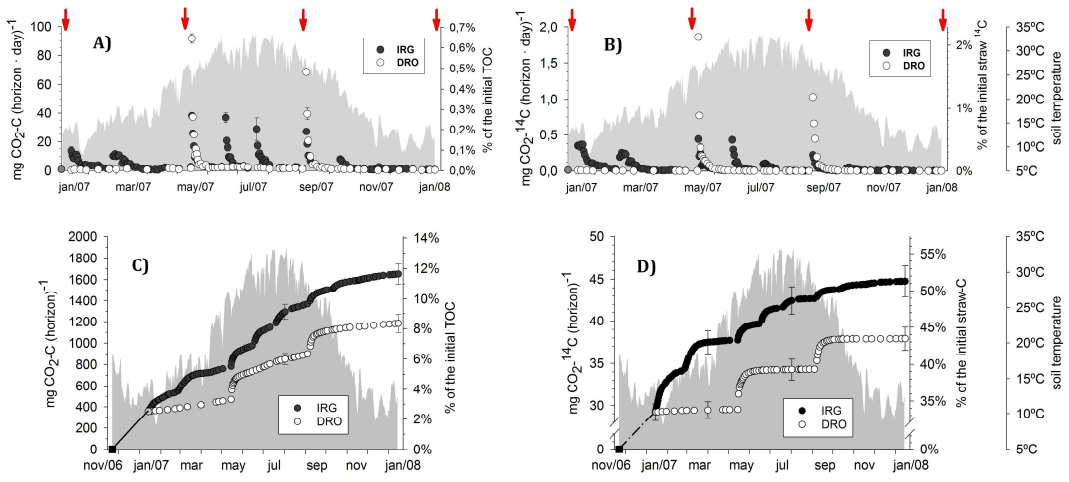
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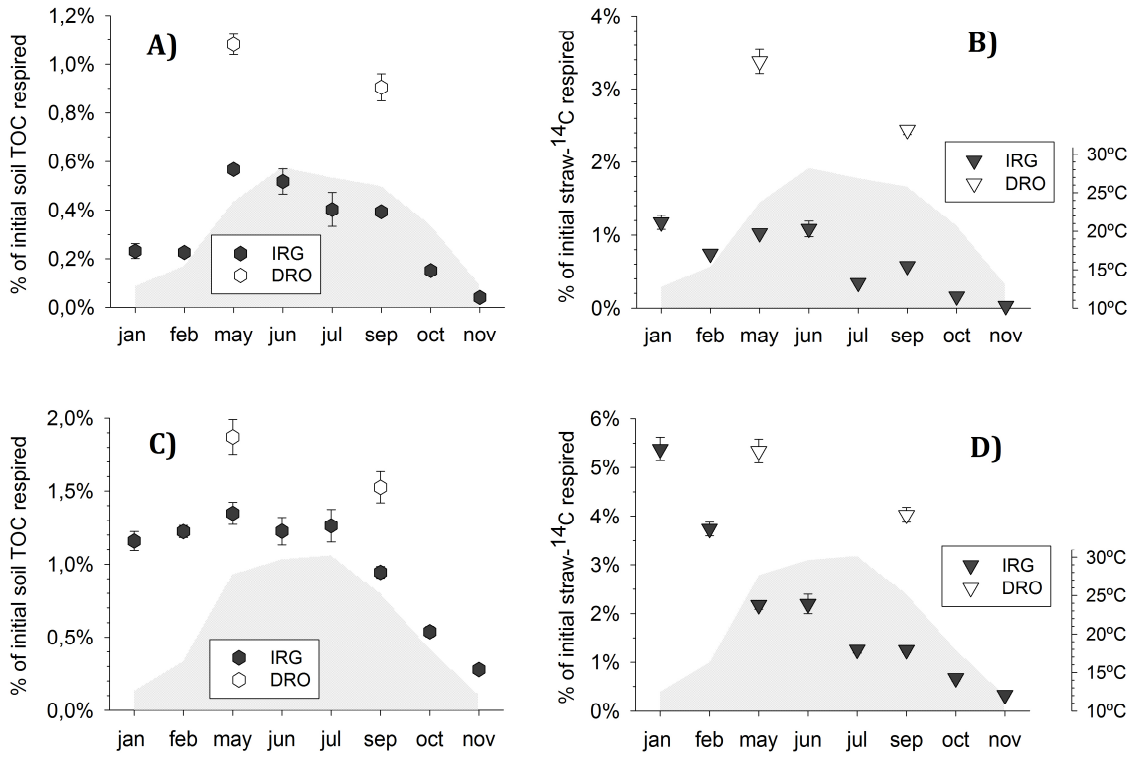
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707 **FIGURE 2**



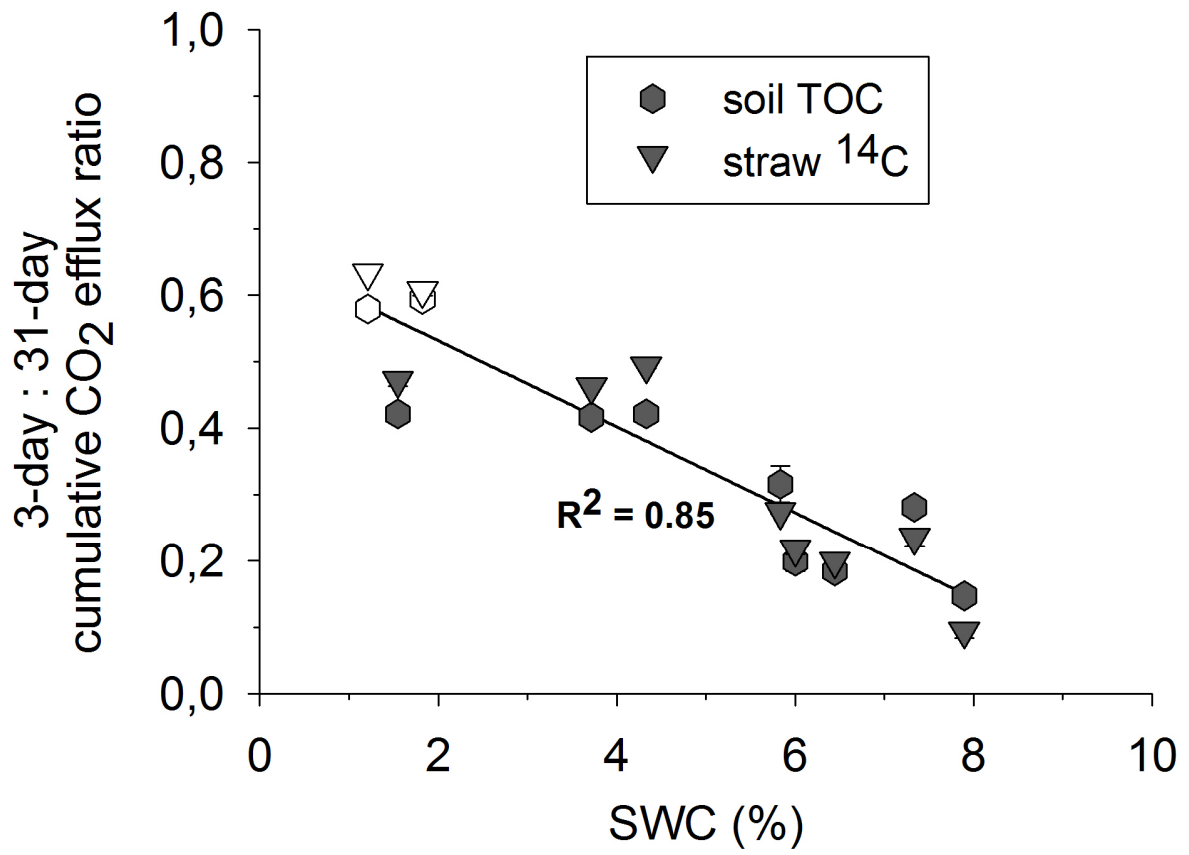
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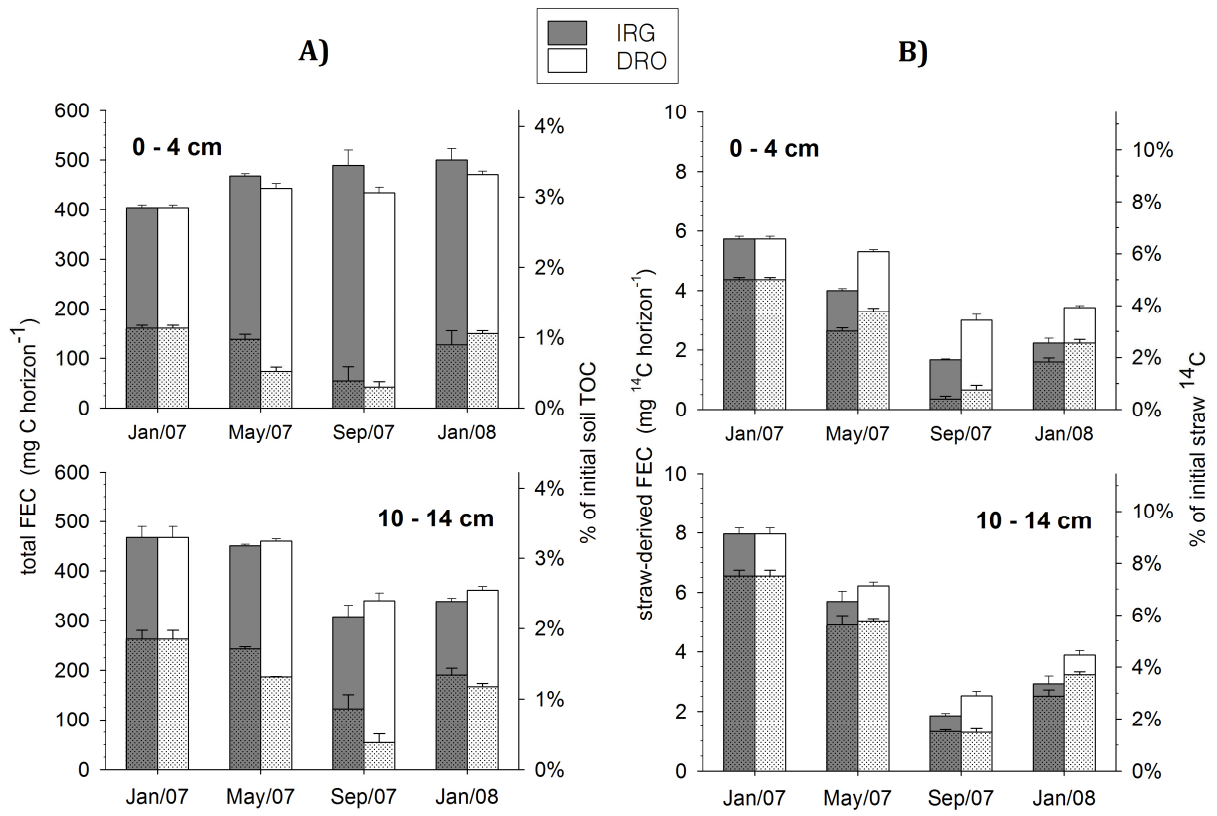
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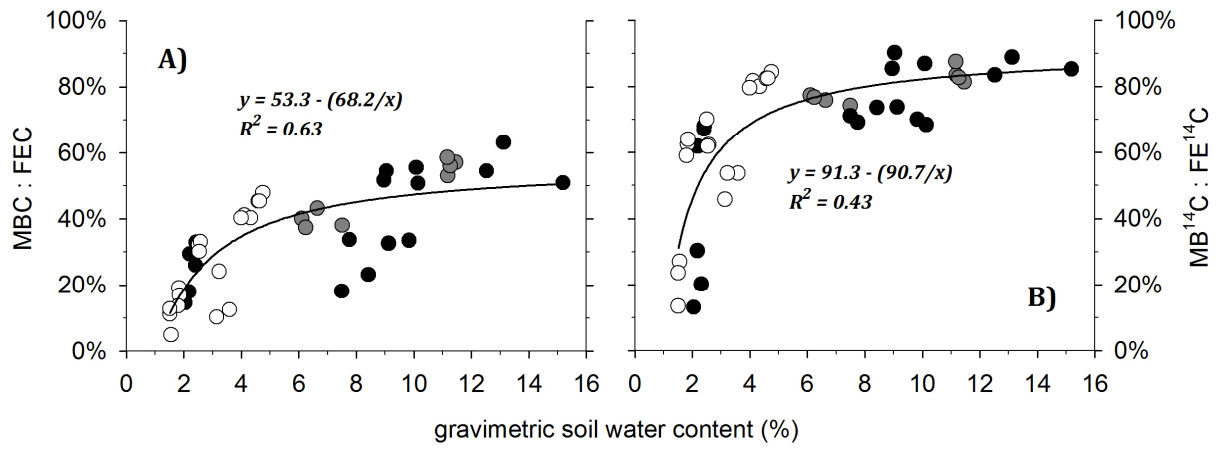




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719 **FIGURE 6**



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