1	The influence of foot-strike technique on the neuromechanical function of the foot
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3	Dr Luke A Kelly <sup>1</sup> , Dr Dominic J Farris <sup>1</sup> , A/Professor Glen A Lichtwark <sup>1</sup> & Professor Andrew
4	G Cresswell <sup>1</sup>
5	1 - School of Human Movement and Nutrition Sciences, The University of Queensland,
6	Australia
7	
8	Corresponding Author:
9	Dr Luke Kelly
10	School of Human Movement and Nutrition Sciences
11	The University of Queensland
12	Blair Drive
13	St Lucia
14	QLD, Australia
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# 27 Abstract

*Purpose:* The aim of this study was to investigate the influence of foot-strike technique on
longitudinal arch mechanics and intrinsic foot muscle function during running.

Methods: 13 healthy participants ran barefoot on a force-instrumented treadmill at 2.8ms<sup>-1</sup> with a forefoot (FFS) and rear-foot (RFS, habitual) running technique, while kinetic, kinematic and electromyographic (EMG) data from the intrinsic foot muscles were collected simultaneously. The longitudinal arch was modeled as a single "mid-foot" joint representing motion of the rearfoot (calcaneus) relative to the forefoot (metatarsals). An inverse dynamic analysis was performed to estimate joint moments generated about the mid-foot, as well as mechanical work and power.

37 *Results:* The mid-foot was more plantar flexed (higher arch) at foot contact when running with 38 a forefoot running technique (RFS  $0.2 \pm 1.8^{\circ}$  v FFS  $6.9 \pm 3.0^{\circ}$ , ES = 2.7), however there was no 39 difference in peak mid-foot dorsiflexion in stance (RFS -11.6  $\pm$  3.0° v FFS -11.4  $\pm$  3.4°, ES = 40 0.63). When running with a forefoot technique, participants generated greater moments about 41 the mid-foot (27% increase, ES = 1.1) and performed more negative work (240% increase, ES42 = 2.2) and positive work (42% increase, ES = 1.1) about the mid-foot. Stance phase muscle 43 activation was greater for Flexor Digitorum Brevis (20% increase, ES = 0.56) and Abductor 44 Hallucis (17% increase, ES = 0.63) when running with a forefoot technique.

45 *Conclusion:* Forefoot running increases loading about the longitudinal arch and also increases the 46 mechanical work performed by the intrinsic foot muscles. These findings have substantial 47 implications in terms of injury prevention and management for runners who transition from a rear-48 foot to a forefoot running technique.

### 49 Keywords

50 Intrinsic foot muscles, longitudinal arch, forefoot running, plantar fascia, foot biomechanics

51

## 52 Introduction

53 The orientation of a runner's foot at the moment of foot-ground impact is often employed as a 54 method to classify running technique (2, 7, 29, 33). Foot-strike patterns are generally classified 55 in three groups; (1) runners who land in a heel-first manner (rear-foot strike), (2) runners who 56 land on the forefoot (forefoot strike), and (3) runners who land with a simultaneous heel and 57 forefoot contact (mid-foot strike) (3, 7). Although the majority (>75%) of distance runners 58 adopt a rear-foot running technique (14, 25), it has been suggested that a forefoot running 59 technique is mechanically advantageous, as it affords the runner greater opportunity to recycle 60 the energy associated with foot-ground impact via elastic stretch and recoil of the tendons and 61 ligaments of the ankle and foot (28, 29, 33). Consequently, the popularity of running "re-62 training" programs that promote a forefoot landing pattern to reduce injury risk and improve 63 running performance have surged (3, 10, 15).

64

65 The longitudinal arch (LA) of the human foot behaves in a spring-like manner when running, 66 temporarily storing and then subsequently returning a considerable portion of the mechanical 67 energy required for each stride (22, 36, 39). Compression (lowering and lengthening) of the LA 68 during the first half of stance phase allows mechanical energy to be temporarily stored within 69 the stretched ligaments, muscles and tendons that span this structure (20, 22, 39). This energy 70 is subsequently returned in late stance, as the resultant ground reaction force (GRF) declines 71 and the stretched elastic structures shorten to allow the LA to recoil (rise and shorten) (20, 22, 72 33).

73

Recently studies have explored the hypothesis that a forefoot running technique enhances footspring function by allowing a greater proportion of mechanical energy to be recycled during

76 each stride (30, 33, 39). Consistent with the hypothesis of enhanced foot-spring function, these 77 studies have all reported an increase in LA compliance with a forefoot technique, compared to 78 a rear-foot technique, when running at matched velocities. However, the increased compliance 79 appears to be due to a higher LA at foot contact, rather than an increase in peak LA compression 80 in mid-stance (30, 33, 39). A key finding in each of these studies was that peak LA compression 81 and model derived plantar aponeurosis strains were similar, regardless of foot-strike technique 82 (30, 33, 39). Given that peak strain primarily determines the magnitude of stored elastic energy, 83 this finding suggests no energetic benefits to a forefoot running technique. The studies by 84 McDonald et al. (2016) and Wager & Challis (2016) provide valuable insight into the 85 biomechanics of the LA when running with rear-foot and forefoot running techniques. 86 However, these studies have a methodological limitation in their assumption that the foot is a 87 passive structure with no active muscular control of its biomechanical function.

88

89 Forefoot running is characterized by the centre of pressure (COP) being located further anterior 90 in the foot and higher peak GRF (3, 6, 7, 31). Thus in a similar manner to the ankle joint (35), 91 an increase in the length of the GRF moment arm, combined with the higher peak GRF, will 92 likely increase loading of the LA when running with a forefoot technique. Given that the 93 intrinsic foot muscles have similar anatomical pathways to the plantar aponeurosis (24, 27, 38) 94 and have the capacity to alter the stiffness of the LA (18, 20) it is highly likely that these muscles 95 also contribute to the observed alterations in LA mechanics between foot-strike techniques. An 96 increase in activation of the intrinsic foot muscles when running with a forefoot technique could 97 potentially reduce excessive LA compression and reduce plantar aponeurosis strain, providing 98 an explanation for the lack of difference in plantar aponeurosis strain observed in previous 99 studies (30, 39). Furthermore, these muscles are also known to display preparatory activation 100 prior to foot contact (20, 21), thus it is conceivable that increased activation of these muscles in

101 late swing may also explain the increased LA height at foot-contact when running with a102 forefoot technique.

103

104 It is currently unknown if the intrinsic foot muscles contribute to the regulation of LA motion 105 when switching from a rear-foot to a forefoot running technique. It is also unknown if loading 106 of the LA changes when converting to forefoot running technique. Therefore, the aim of this 107 study was to test the hypothesis that a forefoot running technique results in higher magnitudes 108 of activation in the intrinsic foot muscles during the stance and swing phases while running, 109 due to the higher mechanical loading occurring about the LA.

110

#### 111 Methods

112 Participants

Thirteen healthy participants (five females and eight males, age  $24 \pm 6$  years; height:  $172 \pm 8$ cm; mass:  $72 \pm 12$  kg) with no diagnosed history of lower limb injury in the previous six months or known neurological impairment volunteered to participate in the study. All participants were habitually shod recreational runners, who classified themselves as non-forefoot strikers. Written informed consent was obtained from each subject. The study protocol was approved by the institutional human research ethics committee and conducted in accordance with the Declaration of Helsinki.

120

121 Experimental Protocol

Following a 3-min warm up period and familiarization procedure, participants ran barefoot on a force-instrumented treadmill (AMTI, force-sensing tandem treadmill, Watertown, MA, USA) at 2.8 m.s<sup>-1</sup> with their habitual foot-strike (HFS) pattern and a forefoot strike pattern (FFS), in a counter-balanced order. During the <u>familiarization</u> session, foot-strike pattern was confirmed 126 by the investigator, using visual analysis. None of the participants displayed a habitual forefoot 127 running technique. Prior to commencement of each experimental condition, participants were 128 given as much time as necessary to familiarize themselves with running on the treadmill with 129 a forefoot strike pattern. Participants were considered familiarized with the forefoot running 130 technique once they were able to perform 20 seconds of consecutive forefoot strikes at the 131 experimental speed and reported to be comfortable running with this technique. Foot-strike 132 pattern during the familiarisation period was confirmed visually by the investigator (LK). 133 Kinetic, kinematic and electromyographic (EMG) data were collected simultaneously for 134 approximately 15-20 strides (toe-off to ipsilateral toe-off) across a 15 s data collection period 135 for each running condition (HFS and FFS).

136

137 Data Acquisition

138 Kinematic and kinetic measurements

Motion data was captured at 200 Hz using an eight-camera 3D optoelectronic motion capture
system (Oqus, Qualysis, Gothenburg, Sweden). GRF and EMG data were synchronously
captured with the motion data at 4000 Hz using a 14-bit analogue to digital converter using and
the Qualisys Track Management software (Qualisys, Gothenburg, Sweden).

143

Three-dimensional (3D) motion of the foot and shank was modelled from motion capture data during each running trial. In order to quantify motions of the foot segment and the LA (21, 26) retro-reflective markers (9.0 mm diameter) were secured on the skin of the right foot overlying the medial and lateral malleoli, posterior calcaneus, navicular tuberosity and head of the first and fifth metatarsals. Additional markers were applied to the medial and lateral femoral condyles and a rigid cluster of four markers was placed on the antero-lateral aspect of the shank. During a standing calibration trial, markers located on the segment endpoints were used to 151 generate a two-segment model of the shank and foot. A virtual marker (med-knee) 152 corresponding to the position of the medial marker was also generated in order to reduce the 153 influence of soft tissue artefact on skin-mounted markers located at the knee. Following the 154 calibration trial, the medial and lateral knee markers were removed and the motion of the shank 155 and med-knee virtual marker was tracked using the rigid marker cluster located on the shank. 156 Markers were adhered with double sided adhesive and further secured with cohesive bandage, 157 allowing secure positioning for both running conditions. Markers were not removed between 158 experimental trials.

159

## 160 *Electromyography*

161 Identification of the abductor hallucis (AH) and flexor digitorum brevis (FDB) muscles in the 162 right foot of each subject was conducted using real-time B-mode ultrasound imaging (10 MHz 163 linear array, Ultrasonix RP, USA). Subsequently, bi-polar fine-wire electrodes (0.051 mm 164 stainless steel, Teflon coated wires, Chalgren, USA) with a detection length of 4 mm and inter-165 electrode distance of 4 mm were inserted using delivery needles (0.5 mm x 50 mm) into the 166 muscle tissue of AH and FDB under ultrasound guidance, in accordance with previously 167 described methods (19). Sterile techniques were used for the insertion of all wires. Surface 168 EMG data was additionally collected from medial gastrocnemius (MG) and soleus (SOL) from 169 the right leg of all participants using Ag-AgCl electrodes with a diameter of 10 mm and an 170 inter-electrode distance of 20 mm (Tyco Healthcare Group, Neustadt, Germany). A surface 171 reference electrode, of the same type and size, was placed over the right fibula head. Prior to 172 electrode placement, the areas of the leg corresponding to the electrode placement sites were 173 shaved, lightly abraded and cleaned with isopropyl alcohol. All EMG signals were amplified 174 1000 times and hardware filtered with a bandwidth of 30 to 1000 Hz (MA300, Motion Labs, 175 LA, USA). In order to minimize movement artefacts, the fine-wire electrodes, surface electrodes, connectors, cabling and pre-amplifiers were secured with cohesive bandage aroundthe foot and shank.

178

179 Prior to data collection, each participant was asked to perform foot manoeuvres known to 180 activate each of the muscles separately. Activation of the AH muscle was assessed by asking 181 the participant to abduct and flex the great toe about the metatarso-phalangeal (MTP) joint, 182 while avoiding flexion of the interphalangeal (IP) joint. The FDB activation was assessed by 183 asking the participant to flex toes 2-4 about the MTP joints, while minimising flexion of the 184 distal IP joints (Kelly, Kuitunen, Racinais, & Cresswell, 2012; Kelly, Racinais, & Cresswell, 185 2013). When predicted EMG patterns could be detected, it was concluded that the fine-wire 186 electrodes were in the correct location. If not, the electrodes were withdrawn by approximately 187 1 mm until appropriate activation patterns could be detected and possible crosstalk excluded. 188 In order to confirm signal integrity and quality between trials, the EMG signals were re-tested 189 following each trial using the same foot manoeuvres applied above. A Velcro strap was secured 190 around the participant's waist, which enabled the EMG amplifier box to be secured to the 191 subject without interfering with their gait. A lightweight optical cable connected the amplifier 192 box to the analogue to digital converter that was positioned well away from the subject and 193 treadmill.

194

195 Data analysis

Marker trajectories and GRF data were exported to Matlab (Matlab, The Mathworks Inc., USA)
while EMG data files were exported to Visual3D (C-motion Inc., Germantown, MD, USA) for
post processing and analysis.

199

200 Ground reaction forces

GRF data was filtered using a <u>35</u> Hz recursive, low-pass second order Butterworth filter. A vertical GRF threshold was set to define each toe-off as occurring when vertical GRF fell below 50 N, while foot contact was defined as occurring when vertical force rose above 50 N. The magnitude of the peak vertical and antero-posterior (A-P) components of the GRF were calculated and normalized to body weight (BW) for each participant. Peak propulsive force was defined as the peak positive value of the A-P component of the GRF.

207

#### 208 Stride temporal characteristics

Swing phase was defined as the period from right toe-off to right foot contact, while stance phase was defined as occurring between right foot contact and right toe-off. One stride cycle was considered as right toe-off to the subsequent <u>right</u> toe-off based on GRF data. Stride duration and ground contact times were calculated for both conditions, for all participants.

213

# 214 Joint kinematics

215 Prior to calculation of joint kinematics, marker trajectories were low pass filtered using a 216 recursive second order Butterworth filter at 20 Hz. Initially, an assumed rigid segment was 217 created for the foot (21, 26). All marker data were transformed to the foot segment co-ordinate 218 system, allowing all sagittal plane angles to be represented relative to the orientation of the z-219 axis of the foot. Thus, all sagittal plane rotations represent flexion-extension of their respective 220 joints. Ankle angle was defined as the planar angle created by the bisection of a vector 221 projecting from the medial malleolus to the med-knee virtual marker, with another vector 222 projecting from the head of the first metatarsal to the medial malleolus, projected onto the 223 sagittal plane of the foot. Ankle angle was offset to the ankle angle during quiet bipedal stance, 224 calculated during the standing calibration trial. Foot-strike angle was defined as the sagittal 225 plane ankle angle at foot contact. Minimum ankle angle was defined as the minimum angle during stance phase, representing peak ankle dorsiflexion. Ankle angle at toe-off was alsocalculated.

228

229 In order to gain a deeper understanding of the mechanical behaviour of the foot, we also 230 modelled the foot as a two-segment structure with rear-foot and mid-foot joints. The rear-foot 231 joint angle was defined as the internal angle created by the bisection of a vector projecting from 232 the med-knee virtual marker to the medial malleolus with another vector projecting from the 233 navicular marker to the medial malleolus marker, projected onto the sagittal plane of the foot 234 (Figure 1). Positive rear-foot joint angles indicate plantar-flexion of the rear-foot relative to the 235 shank. Thus the rear-foot angle is representative of the ankle joint in our multi-segment foot 236 model.

237

238 The LA is a complex structure comprised of a series of articulations made by a number of 239 different bones (16, 17). Given the difficulties in quantifying the rotations about a series of 240 small joints, located in such close proximity, the LA is often modelled as a single functional 241 'mid-foot' joint, representing the generalised angular motion of this structure (5, 11, 20, 21, 26, 242 39). Therefore the biomechanical function of the LA during running was represented by a 'mid-243 foot' joint, defined as the internal angle created by the bisection of a vector projecting from the 244 medial malleolus marker to the navicular marker (rear-foot segment) and another vector 245 projecting from the head of the first metatarsal to the navicular marker, projected onto the 246 sagittal plane of the foot (26) (forefoot segment, Figure 1). A decrease in mid-foot angle 247 signifies dorsiflexion, or LA compression (upwards rotation of the distal end of the forefoot 248 segment) relative to the rear-foot. The rear-foot and mid-foot angles were offset to the 249 corresponding angles calculated during quiet bipedal stance, so that 0° represent the angle in

quiet bipedal stance. Angle at foot contact, minimum stance angle (peak dorsiflexion) and angle
at toe-off were calculated separately for the rear-foot and mid-foot joints, for all participants.

252

253 Joint Kinetics

254 An inverse dynamic analysis was performed using custom written software (Matlab, The 255 Mathworks Inc., USA), allowing estimation of net joint moments about the ankle, rear-foot and 256 mid-foot during stance phase. Unfiltered marker trajectories, ground reaction force and centre 257 of pressure data were expressed relative to the foot co-ordinate system. Subsequently, proximal 258 segment forces were resolved from the ground up using a link segment model (40). The mid-259 foot segment was defined as the vector projecting from the navicular marker to the marker 260 located on the head of the first metatarsal, projected onto the sagittal plane of the foot. The rear-261 foot segment was defined as the vector projecting from the medial malleolus marker to the 262 navicular marker, projected onto the sagittal plane of the foot. A traditional rigid foot segment 263 was also defined in order to estimate ankle joint moments, enabling comparison of multi-264 segment foot kinetics with traditional calculations based on the assumption of a rigid foot 265 segment. Segment masses and inertial properties were incorporated from previous literature (9, 266 11), with the centre of mass location for each segment being defined as 50% of the distance 267 between the segment end points (11). Each joint was modelled as a pin joint with rotation 268 occurring about the joint created by the bisection of the two vectors (Figure 1). Each joint axis 269 was oriented parallel to the z-axis of the foot co-ordinate system. Following estimation of joint 270 moments, joint powers were calculated for the stance phase by multiplying the net joint moment 271 by the angular velocity of each corresponding joint (40). During early stance in a rear-foot 272 running technique, GRF is applied to the rear-foot and forefoot segments simultaneously when 273 the foot is flat on the ground. In order to overcome the difficulty in determining the relative 274 percentage of GRF that is acting on the forefoot and rear-foot segments during early stance,

275 joint moments for the rear-foot and mid-foot were only calculated during stance phase after the 276 COP had progressed distal to the axis of the mid-foot joint, minimising any potential errors 277 associated with segment force assignments (37). Subsequently, joint moments and joint powers 278 for the ankle, rear-foot and mid-foot were filtered using a 20 Hz recursive, second order low-279 pass Butterworth filter (Edwards, Troy, & Derrick, 2011). Net negative and positive work 280 performed by each joint during stance phase was calculated by applying a trapezoidal 281 integration of joint power curves across the stance phase. Mid-foot stiffness was quantified by 282 diving the change in mid-foot joint moment by the change in mid-foot angle during stance phase 283 (Farley & Morgenroth, 1999).

284

285 Muscle activation

286 All EMG signals were high-pass filtered using a recursive second order Butterworth filter at 35 287 Hz to remove any unwanted low-frequency movement artefact. Subsequently, EMG signals 288 were visually inspected in order to identify any remaining artefact, which was defined as an 289 abnormal spike in the signal, typically associated with foot contact. Any remaining artefacts 290 resulted in the EMG data for that particular stride being excluded from further analysis. 291 Following DC-offset removal, root mean square (RMS) signal amplitude was calculated across 292 all EMG data using a moving window of 50 ms to generate an EMG envelope. The EMG 293 envelope for each muscle was then normalized to the maximum RMS amplitude found across 294 all conditions for the respective muscle. The normalized peak EMG RMS envelope amplitude 295 was calculated during stance phase, additionally the normalized mean EMG RMS was 296 calculated during both the stance and swing phases, allowing comparisons in magnitude of both 297 stance and swing phase muscle activation between HFS and FFS conditions.

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300 *Statistics* 

301 For each individual, the motion, force and EMG data were time normalized and ensemble 302 averaged across a minimum of 8 stride cycles to form individual variable means for each 303 condition. Paired Student's t-tests were used to describe the influence of foot-strike pattern on 304 stride temporal characteristics, peak ground reaction forces, joint kinematics and kinetics, as 305 well as muscle activation. Statistical differences were established at  $P \le 0.05$ . Effect sizes (ES) 306 are presented as standardized mean differences (Cohen's D) with  $\leq 0.70$  representing a small 307 effect, >0.70 and <1.2 representing a moderate effect, and  $\geq 1.2$  representing a large effect 308 (Hopkins, 2017). Results are presented as mean ± standard deviation (SD) unless otherwise 309 stated.

310

- 311 **Results**
- 312 Stride temporal characteristics

Participants ran with similar stride durations (ES = 0.07, P = 0.54) and ground contact times

(ES = 0, P = 0.77, Table 1), regardless of the orientation of their ankles at ground contact.

315

316 Ground reaction forces

317 When running with a habitual foot strike pattern, vertical GRF- time profiles displayed a

318 characteristic initial early impact transient, followed by a second, much later, larger peak

319 (Figure 2). The initial impact peak transient seen for habitual foot strike running was absent

- 320 when the participants ran with a forefoot technique (Figure 2), however the magnitude of the
- 321 peak vertical GRF was significantly higher with the forefoot technique (ES = 0.81, P  $\leq 0.05$ ,
- 322 Table 1). Propulsive and breaking force was similar between conditions (Figure 2).
- 323

324 Joint kinematics

- 325 When running with a habitual foot strike pattern, participants generally made initial ground
- 326 contact with the heel or mid-foot. One participant landed with the ankle in slight plantar
- 327 <u>flexion (5.4°)</u>, while the remaining participants landed with ankle the ankle in slight
- 328 dorsiflexion (range -8.5° to -2.0°, Figure 3). None of the participants landed with a forefoot
- 329 strike as their habitual foot-strike pattern. Participants landed with their ankles in a
- 330 significantly more plantar flexed orientation when instructed to run with a forefoot strike
- 331 (range  $6.4^{\circ}$  to  $20.6^{\circ}$ , ES = 3.5, P  $\leq 0.05$ , Table 2). Peak ankle dorsiflexion occurred in mid-
- 332 stance for both conditions and was significantly greater when running with a habitual foot-
- strike (ES = 0.88, P  $\leq$  0.05, Table 2). At toe-off the ankle was in a more plantar flexed
- orientation in the forefoot running condition (ES = 1.1,  $P \le 0.05$ , Table 2).
- 335
- The rear-foot rotated in a similar manner to the ankle, with dorsiflexion occurring from
- 337 forefoot contact through to mid-stance and plantar flexion occurring from mid-stance to toe-
- 338 off. The rear-foot was in a more plantar flexed orientation at foot contact when running with a
- forefoot strike (ES = 1.1,  $P \le 0.05$ , Table 2 and Figure 3). Peak rear-foot dorsiflexion
- 340 occurred in mid-stance and was reduced in magnitude when running with a forefoot strike
- pattern (ES = 3.5,  $P \le 0.05$ , Table 2). The rear-foot was more plantar flexed at toe-off with the
- 342 <u>forefoot running technique (ES = 1.1, P  $\leq$  0.05, Table 2).</u>
- 343

For both running conditions, the mid-foot dorsiflexed (LA compression) from early stance through to mid-stance (Figure 3) and subsequently plantar flexed through to toe-off. At foot contact, the mid-foot joint was more plantar flexed when running with a forefoot strike (ES =  $2.7, P \le 0.05$ , Table 2), indicating a higher LA at foot contact. However, there was no difference in peak mid-foot dorsiflexion between running conditions (ES =0.06, P = 0.63,

- Table 2). The mid-foot was in a position of greater plantar flexion at toe-off when participants ran with a forefoot strike (ES = 0.9, P  $\leq$  0.05, Table 2).
- 351
- 352 Joint kinetics
- 353 Peak ankle plantar flexion moment was greater when running with a forefoot strike pattern

354 (ES = 1.3, P  $\leq$  0.05, Table 3 and Figure 3). Peak negative ankle power (ES = 2.4, P  $\leq$  0.05,

Table 2) and positive ankle power (ES = 0.74,  $P \le 0.05$ , Table 2) were also greater when

running with a forefoot strike technique. A greater amount of negative work (ES = 2.1, P  $\leq$ 

357 0.05, Table 2) and positive work (ES = 1.0,  $P \le 0.05$ , respectively) was performed at the ankle

- 358 when running with a forefoot strike.
- 359

360 For both running conditions, peak rear-foot plantarflexion moment occurred in mid-stance

361 (Figure 3). Peak rear-foot plantar flexion moment was greater when running with a forefoot

technique (ES= 1.3,  $P \le 0.05$ , Table 3 and Figure 3). Peak negative power was greater when

running with a forefoot technique (ES = 1.6,  $P \le 0.05$ , Table 3), however there was no

difference in peak positive power between running conditions (ES = 0.3, P = 0.13, Table 3). A

365 greater amount of negative work (ES = 1.8,  $P \le 0.05$ , Table 3) and positive work (ES = 0.6, P

 $\leq 0.05$ , Table 3) was performed at the rear-foot in the forefoot running condition.

367

368 In a similar manner to the ankle and rear-foot, peak mid-foot plantar flexion moment occurred

369 <u>in mid-stance for both running conditions (Figure 3).</u> Peak mid-foot plantar flexion moment

370 was greater when running with a forefoot technique (ES = 1.1,  $P \le 0.05$ , Table 3 and Figure

- 371 3). Peak negative mid-foot power (ES = 2.7, P  $\leq$  0.05, Table 3) and positive mid-foot power
- 372 (ES = 0.77, P  $\leq$  0.05, Table 3) was also greater when running with a forefoot strike. <u>A greater</u>
- 373 <u>amount of negative work (ES = 2.2, P  $\leq$  0.05) and positive work (ES = 1.1, P  $\leq$  0.05, Table 3)</u>

374was also performed at the mid-foot when running with a forefoot technique, suggesting that a375greater magnitude of mechanical energy is absorbed, returned and/or generated about the mid-376foot. Despite similar peak deformation and an increased plantar flexion moment, the mid-foot377was less stiff (more compliant) when running with a forefoot technique (ES = 0.65, P ≤ 0.05,378Table 3).

379

380 *Muscle activation* 

381 The AH muscle displayed a large burst of activity that commenced during late swing-phase,

and continued through to toe-off, with peak activation occurring in mid-stance (Figure 4).

383 When running with a forefoot strike, mean AH activity was greater in swing (ES = 0.67, P  $\leq$ 

384 0.05, Table 4) and stance (ES = 0.63,  $P \le 0.05$ , Table 4) phase. Peak stance phase muscle

activation was also greater when running with a forefoot strike (ES = 0.71, P  $\leq$  0.05, Table 4). 386

The FDB activation pattern was characterised by a large burst of activity commencing at foot contact, with a mid-stance peak and de-activation shortly after toe-off (Figure 4). As this muscle is primarily active in stance phase, mean swing phase activation was similar between conditions (ES = 0.01, P = 0.95, Table 4). Mean FDB stance phase activation substantially higher when running with a forefoot strike (ES = 0.56, P  $\leq$  0.05, Table 4), however there was no significant difference in peak stance phase activation for this muscle (ES = 0.49, P = 0.19, Table 4).

394

For both conditions, MG displayed a large burst of activation commencing in late swing,
continuing through stance, with de-activation occurring during late stance-phase (Figure 4).
Mean swing phase activation was substantially greater when running with a forefoot strike

398 (ES = 2.36, P  $\le$  0.05, Table 4) while mean (ES = 0.57, P = 0.09, Table 4) and peak (ES =

0.06, P = 0.84, Table 4 stance phase activity was similar for both running conditions.

400

The SOL activation pattern was similar for both running conditions, with a single burst of activity that commenced in terminal swing phase, peaked during mid-stance and ceased prior to toe-off (Figure 4). Swing phase activation was comparable for both running techniques (ES = 0.2, P = 0.39), as was mean (ES = 0.50, P = 0.19) and peak (ES = 0.69, P = 0.21) stance phase activity (Table 4).

406

### 407 **Discussion**

This study provides novel evidence for active alterations to the mechanical function of the foot when <u>acutely</u> switching between non-forefoot and forefoot running techniques. When running at matched velocities, forefoot running resulted in higher mid-foot joint moments, more positive and more negative work being performed about the mid-foot. Increases in joint moments for forefoot striking occurred concurrently with increased intrinsic foot muscle activation and no increase in peak mid-foot dorsiflexion. Thus, it seems that the intrinsic foot muscles may be activating to stiffen the foot during mid-stance when running with a forefoot technique.

415

### 416 LA kinematics

Peak LA deformation was not influenced by foot-strike technique, despite the increased joint moments about the mid-foot when running on the forefoot. This finding is analogous to a number of previous studies that also reported no difference in peak LA deformation when running with rear-foot or forefoot running techniques (30, 33, 39). Interestingly, the previous studies by Wager & Challis (2016) and McDonald et al (2016) have made the assumption in their modelling that the foot is a passive structure with no muscular control of LA stiffness. The findings of our current study highlight that the foot is not passive and the plantar aponeurosis is not the sole structure responsible for maintaining LA stiffness during running. If the LA compression was indeed controlled purely by passive elastic structures (e.g. plantar aponeurosis), the increased torques observed when running with a forefoot technique would produce an increase in LA deformation (12, 22). This was not the case in the current study, or in the previously mentioned studies (30, 33, 39), indicating that active muscular control must be contributing to control of LA mechanics when switching between foot-strike techniques.

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431 Recently published studies performed within our laboratory have highlighted the importance of the 432 plantar intrinsic foot muscles in actively controlling the LA during quiet stance and locomotion 433 (19-21). When activated, these muscles have the capacity to provide buttressing support for the 434 LA, resisting excessive deformation that may occur at loads exceeding body weight (18). 435 Furthermore, it appears that the central nervous system (CNS) can vary the relative contribution of 436 these muscles in controlling the LA, depending on the magnitude of forces encountered when 437 running (20). Based on our knowledge of the function of the intrinsic foot muscles (Kelly, 438 Cresswell, Racinais, Whiteley, & Lichtwark, 2014a; Kelly, Lichtwark, & Cresswell, 2014b), it 439 becomes apparent that the observed increase in AH and FDB activation during stance may be 440 responsible for the lack of difference in peak LA deformation between running techniques, despite 441 obvious differences in joint moments. An increase in force output from the intrinsic foot muscles 442 when running with a forefoot technique may serve to reinforce the LA in mid-stance, preventing 443 excessive LA deformation and any associated increase in plantar aponeurosis strain. Recently 444 McDonald et al. (2016) suggested that the risk of injury to the plantar aponeurosis (i.e. plantar 445 fasciopathy) might not be influenced by foot-strike technique, due to the similarity in peak plantar 446 aponeurosis strains between techniques. The current study reveals that there is a substantial 447 increase in loading and energy absorbed about the mid-foot when running with a forefoot technique 448 and that this increase is likely associated with an increase in force output from the plantar intrinsic 449 foot muscles. Therefore, without specific training, habitual rear-foot runners may not have the 450 strength or endurance in the intrinsic foot muscles to sustain a forefoot running technique for 451 prolonged periods. This may subsequently influence the loading on the plantar aponeurosis. <u>Further</u> 452 <u>investigations may now be warranted to determine the time required sufficiently strengthen the</u> 453 intrinsic foot muscles, in order to safely transition to a forefoot running technique.

454

455 Within the current study we observed a reduction LA stiffness when participants ran with a 456 converted forefoot technique, despite an increase in mid-foot joint moment and no change in peak 457 mid-foot deformation. This reduction in stiffness appears to be due to an increase in mid-foot 458 plantar-flexion (LA height) at foot contact, allowing the mid-foot to move through a greater total 459 range of motion in the forefoot running condition. We observed an increase AH activation in late 460 swing, preceding an increase in LA height at foot contact when participants ran with a forefoot 461 technique. Thus it appears that late swing activation of AH may increase the height of the LA prior 462 to foot contact. An increase in total excursion of the LA and the associated reduction in LA stiffness 463 may enhance the capacity for energy storage and return within this structure, without the potentially 464 harmful effect of increased plantar fascia strain. It must however be noted that due to the lack of 465 difference in peak LA deformation between running techniques, any increase in mechanical work performed about the LA is likely to be performed by active muscle rather than passive elastic 466 467 tissues. Therefore any increase in energy absorption and return may come with increased metabolic 468 cost. 469

470 Joint Moments

471 Forefoot running resulted in higher plantar flexion moments being generated about the ankle and

472 mid-foot. When running with a forefoot technique, the resultant GRF is generally positioned within

473 the anterior portion of the foot, as the forefoot makes initial contact with the ground. Conversely, 474 with a rear-foot technique the COP is initially located on the lateral aspect of the heel and 475 progresses forward as the body progresses over the foot (6, 7, 32). If the COP is located further 476 anterior when running with a forefoot technique, it will have a greater moment arm about the ankle 477 and mid-foot, which, alongside an increase in peak vertical GRF, likely explains the increase in 478 plantar flexion moments that are generated about these joints. While the finding of an increase in 479 plantar flexion moment about the mid-foot is quite novel, a similar increase in ankle joint moment 480 has been reported in a number of previous studies examining differences in ankle joint kinetics 481 when switching between rear-foot and forefoot running techniques (23, 35).

482

## 483 Mechanical work and power

484 The energetic benefits of the spring-like function of the LA during running have been well 485 documented, with this mechanism providing between 8 - 17% of the mechanical energy required 486 for each stride via the elastic stretch and recoil of the plantar aponeurosis (22, 36). Previous studies 487 exploring the influence of foot-strike technique on elastic energy storage in the LA (30, 39) have 488 reported that a forefoot running technique does not promote increased utilization of the elastic 489 energy, due to the lack of difference in peak plantar aponeurosis strain between running techniques. 490 Within our current experiment we observed an increase in mechanical power absorption and 491 generation and an associated increase in negative and positive work about the LA, in the absence 492 of any additional increase in LA deformation. The plantar intrinsic foot muscles are known to 493 actively lengthen and shorten during the stance phase of running and therefore have the potential 494 to absorb and generate mechanical power about the LA (20). The increase in intrinsic foot muscle 495 activation when running with a forefoot technique indicates that the contribution of these muscles 496 to mechanical work about the LA increases when running with a forefoot technique. The intrinsic 497 foot muscles have relatively long tendons and short muscle fibres (24) and thus may be well suited

498 to elastic energy storage and return. The additional negative work done at the mid-foot joint when 499 running with a forefoot technique may allow greater utilization of the foot spring mechanism, 500 allowing additional energy to be stored in the tendons of the intrinsic foot muscles, without the 501 potentially detrimental effects of excessive strain on the plantar aponeurosis. In this sense we might 502 consider the intrinsic foot muscles as reserve actuators, able to act in parallel with the plantar 503 aponeurosis when a certain level of force generation is required. However, it must also be noted 504 that the increase in work performed about the LA when running with a forefoot technique may not 505 translate directly into metabolic energy savings, due to the added energetic cost of muscle 506 activation required to perform this work. The increased cost of activation in the intrinsic foot 507 muscles and also the posterior leg muscles when running with a forefoot technique may in part 508 explain the lack of difference in energetic cost between habitual rear-foot and forefoot runners (4, 509 13) as the increased utilization of spring-like running mechanics may be offset by the additional 510 energetic cost of muscle activation.

511

512 Runners with an habitual forefoot technique generally display shorter ground contact times, shorter 513 stride length and an increased cadence, compared to runners who are habitual rear-foot strikers 514 (Gruber, Umberger, Braun, & HAMILL, 2013; Lieberman et al., 2010; Stearne, Alderson, GREEN, 515 DONNELLY, & Rubenson, 2014). In the current experiment, our group of habitual rear-foot 516 runners maintained relatively constant stride temporal characteristics when acutely changing to a 517 forefoot technique. This finding is in line with a number of previous studies that have shown a lack 518 of immediate change in stride temporal characteristics, when participants shifted from an habitual 519 rear-foot technique to an imposed forefoot technique (Almeida, Davis, & Lopes, 2015). Thus, it is 520 apparent that changes in stride temporal characteristics do not occur automatically when changing 521 foot-strike technique{papers2\_bibliography}. Specific training to encourage a shorter stride length

- 522 and increased cadence, may also need to be included as part of a habituation process in a transition
   523 to forefoot running.
- 524

### 525 Methodological considerations

The LA is a multi-articular structure (17). We have modelled this structure as single functional mid-foot joint. This technique allows important general insights into the function of the LA, however, it may also be an over-simplification of the complex sequence of rotations and translations that occur about a series of small joints within this overall structure. <u>Furthermore, we</u> <u>have only modelled the function of this structure in the sagittal plane. It is likely that motion of the</u>

531 LA also occurs in the frontal and transverse planes (Kelly et al., 2014a; Leardini et al., 2007).

532

533 The use of an inverse dynamic solution allowed us to provide unique insight to the mechanical 534 function of the LA when running with rear-foot and forefoot running techniques. A limitation of 535 this approach is that it is difficult to quantify the relative percentage of GRF that is acting on the 536 forefoot segment at any given time in stance, as some force may be simultaneously applied to the 537 rear-foot. However, as the COP rapidly progresses anteriorly in stance phase and the forefoot bears 538 a substantial portion of the GRF force for the majority of stance duration (6, 7), any errors 539 associated with this assumption are likely to be small and localized to the initial period of stance 540 in the habitual running condition. In order to mitigate this limitation, we have calculated rear-foot 541 and mid-foot moments and powers after the COP has progressed anterior to the axis of the mid-542 foot joint. The COP passed the axis of the mid-foot at approximately 25% stance phase in the 543 habitual running condition and after this time we are confident that the vast majority of contact 544 forces are applied to the forefoot. When running with a forefoot running technique the COP was 545 anterior to the axis of the mid-foot joint for the entire duration of stance phase, therefore the GRF 546 is applied to the forefoot segment from the instant of foot contact. If small errors do exist based on

547 our GRF assignment assumption, these are limited to the rear-foot running condition and will lead 548 to an over-estimation in LA joint moments, therefore the magnitude of differences in joint kinetics 549 between conditions may actually be slightly larger than those reported. Providing further support 550 to the validity of our inverse dynamic approach, the joint moments at the rear-foot are comparable 551 to the ankle joint moments using a traditional rigid foot segment, both within our current study and 552 also to the values presented in previous studies reporting ankle joint moments during running at 553 similar speeds (34).

554

555 Participants within this experiment were shod rear-foot runners who were instructed to run with 556 their habitual foot-strike technique and a forefoot technique. It is possible that different 557 observations may have been made if habitual forefoot runners were included in this experiment. 558 However based on the findings of previous studies (30, 35) it is unlikely that LA kinematics would 559 differ between habitual rear-foot and forefoot runners when instructed to run with forefoot and 560 rear-foot techniques. Furthermore, given that activation of the intrinsic foot muscles is dependent 561 on loading requirement (18), it is unlikely that the activation patterns of these muscles would 562 substantially differ either.

563

## 564 Conclusion

Foot-strike technique substantially influences the mechanical function of the LA during running. A forefoot running technique leads to an increase in loading about the mid-foot and an increase in positive and negative mechanical work performed by the intrinsic foot muscles. These findings suggest that adequate strength and endurance training for the intrinsic foot muscles should be included as part of training programs for runners seeking to transition from a rear-foot to a forefoot running technique.

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690	Figure 1. Depiction of sagittal plane angular rotations about the Ankle (top), Rear-foot
691	(middle) and Mid-foot (bottom) joints. Angles in the text are relative to the respective joint
692	angle in quiet stance, with $0^{\circ}$ representing the joint angle in quiet stance. Negative angles
693	denote dorsiflexion (upwards rotation of the distal segment).
694	<b>Figure 2.</b> Group mean ± standard deviation (shaded area) for vertical ground reaction force
695	(GRF, top) and anterior-posterior GRF (bottom). Data is recorded from each participant
696	running with a habitual (solid) and forefoot running technique (dashed) at 2.8 ms-1. Data are
697	shown for stance only; from right foot contact (FC) to right toe off (TO). All data are
698	normalised to body weight (BW). * denotes a significant difference in peak vertical GRF

699 between the running conditions.

700 **Figure 3.** Group mean ± standard deviation (shaded area) for joint angle (top), normalised 701 joint moment (middle) and normalised joint power (bottom) calculated during stance phase 702 for the mid-foot (left), rear-foot (middle) and ankle (right). Data is recorded from each 703 participant running with a habitual (solid) and forefoot running technique (dashed) at 2.8 ms-704 1. Data are shown for stance only; from right foot contact (FC) to right toe off (TO). Vertical 705 dashed lines denote the time point that the centre of pressure progressed anterior to the axis of 706 rotation of the mid-foot joint in the habitual foot-strike condition. Joint work is calculated by 707 trapezoidal integration of the joint power data.  $\delta$  denotes a significant difference between 708 running conditions in joint angle (at FC, peak or TO) for each joint.  $\gamma$  denotes significant 709 difference in peak joint moment between the running conditions. B denotes a significant 710 difference in peak joint power (negative or positive) and  $\alpha$  denotes a significant difference in 711 negative or positive joint work between running conditions

712 **Figure 4.** Group mean ensembles ± standard deviation (shaded area) for electromyography 713 (EMG) normalised root mean square signal amplitude for medial gastrocnemius (MG), soleus 714 (SOL), flexor digitorum brevis (FDB) and abductor hallucis (AH). Group mean ensembles are 715 defined from toe off (TO) to ipsilateral toe off for the right foot. Data recorded during running 716 at 2.8 ms<sup>-1</sup>. For each muscle EMG data is normalised to the maximal amplitude recorded for 717 all trials. The habitual foot-strike condition is the solid lines and the forefoot condition the 718 dashed lines. Vertical lines denote onset of stance phase at foot contact (FC). \* denotes 719 significant difference between running conditions.

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**Figure 1.** Depiction of the Lower limb marker set employed for collection of kinematic data. Knee markers are removed following a static calibration trial, with the cluster of four markers on a rigid plastic shell used to track the motion of the shank. The posterior calcaneus marker cannot be viewed in this image.



**Figure 2.** Depiction of angular rotations about the longitudinal arch (LA) and rear-foot (RF) joints. Positive angles denote plantar flexion. Increase in LA angle indicates an increase in height of the LA.



**Figure 3.** Group mean ± standard deviation (shaded area) for vertical force (top) and antero-posterior force (bottom). Data is recorded from each participant running with a preferred (solid) and forefoot running technique (dashed) at 2.8 ms<sup>-1</sup> and presented from from foot contact to toe off from the right foot. All data is normalised to body mass.



(bottom) calculated during stance phase for the longitudinal arch (left), rear-foot (middle) and ankle (right). Data is recorded from each participant running with a preferred (solid) and forefoot running technique (dashed) at 2.8 ms<sup>-1</sup>. All data is normalised to body mass. Vertical dashed lines denote initial forefoot loading in preferred foot-strike condition.



Figure 5. Group mean ensembles ± standard deviation (shaded area) for electromyography (EMG) normalised root mean square signal amplitude for gastrocnemius medilais (MG), soleus (SOL), flexor digitorum brevis (FDB) and abductor hallucis (AH). Group mean ensembles are defined from toe off (TO) to ipsilateral toe off for the right foot. Data recorded during running at 2.8 ms<sup>-1</sup>. For each muscle EMG data is normalised to the maximal amplitude recorded for all trials. The preferred foot-strike condition is the solid lines and the forefoot condition the dashed lines. Vertical lines denote onset of stance phase.

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