1	Near-future carbon dioxide levels impair the olfactory system of a marine fish
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16 Survival of marine fishes exposed to elevated near-future CO₂ levels is threatened by their altered responses to sensory cues. Here we demonstrate a 17 novel physiological and molecular mechanism in the olfactory system which 18 helps explain altered behavior under elevated CO₂. We combine 19 electrophysiology and transcriptomics with behavioral experiments to 20 21 investigate how elevated CO₂ affects the olfactory system of European sea bass (Dicentrarchus labrax). Under elevated CO2 (~1000 µatm) fish must be up 22 23 to 42% closer to an odor source for detection, compared with current CO_2 levels (~400 µatm), decreasing their chances of detecting food or predators. 24 Compromised olfaction correlated with the suppression in the transcription of 25 genes involved in synaptic strength, cell excitability, and wiring of the 26 27 olfactory system in response to sustained exposure to elevated CO₂. Our findings complement the previously proposed impairment of y-aminobutyric 28 acid receptors, suggesting both the olfactory system and central brain 29 function are compromised by elevated CO₂. 30 Fish rely heavily on their olfaction for finding food^{1,2}, recognizing conspecifics and 31 predators^{1,3,4}, for the perception of reproductive status⁵ and homing towards suitable 32

habitats^{6,7,8,9}, including spawning grounds and larval settlement¹⁰. The predicted

 $_{34}$ end-of-the-century CO₂ levels (800-1000 μ atm) cause ocean acidification (elevated

³⁵ H⁺, reduced pH) and have been shown to have negative effects on the sensory-

related behavior and learning of many fish species studied to-date^{3,4,11,12,13} including

37 sharks^{14,15}. Moreover, coral reef fishes exposed to elevated CO₂ levels predicted for

the year 2100, show a 9-fold increase in mortality, when returned to the wild as

³⁹ compared with fish exposed to current conditions⁴. This suggests the potential for a

40 major ecologically-relevant impairment of fitness of marine fishes as a consequence
41 of exposure to elevated CO₂.

42	To explain the effects of elevated CO_2 on fish behavior, changes in brain
43	neurotransmitter function have been proposed as the sole mechanism
44	responsible ^{11,16,17,18} . To date, there has been no consideration of mechanisms
45	operating outside of the central processing of sensory information. A direct effect of
46	seawater pH or CO_2 on the peripheral olfactory system was dismissed in marine
47	fish ¹⁹ without any empirical testing. We have challenged this view and hypothesized
48	that elevated CO ₂ would directly affect the olfactory system of fish, given that the
49	olfactory epithelium is in intimate contact with sea water. We propose a novel
50	physiological mechanism by which ocean acidification can alter fish behavior and
51	learning directly via the olfactory system, and used the European sea bass as a
52	model to test this hypothesis.

53 **Results**

54 Firstly, we confirmed that the behavior of European sea bass was affected by elevated CO₂ levels as demonstrated in other fish species. Juvenile sea bass were 55 exposed to current (~430 µatm; control) and predicted end of the century levels of 56 CO₂ (~1000 µatm; elevated CO₂) and their behavioral responses to a likely predator 57 odor²⁰ (bile from monkfish, *Lophius piscatorius*; dilution 1:1,000,000) was quantified. 58 59 Our data demonstrated that sea bass exposed to elevated CO₂ reduced their baseline activity (swimming) by up to 40% compared with control fish (p=0.008, Fig 60 61 1a). This difference was independent of the duration of exposure (p=0.30). 62 Furthermore, control sea bass reduced their activity by 50% in the presence of the predator odor, whereas sea bass exposed to elevated CO₂ reduced their activity by 63 64 only 20-27% (P=0.009, Fig. 1b). Both control and elevated CO₂ exposed fish

displayed freezing behavior (not moving for more than 5 seconds at a time) after 2
and 7 days of exposure (Fig. 1a,b). However, at 14 days, fish exposed to elevated
CO₂ spent significantly more time freezing (p=0.043) before and during exposure to
a predator cue (Fig. 1e).

Having established that end-of-century levels of CO₂ in the water result in 69 70 pronounced alterations of behavior, we tested if exposure to elevated CO_2 at the olfactory epithelium alone was sufficient to reduce the detection of odorants. We 71 72 used electrophysiological recordings from peripheral sensory neurons of the olfactory system, allowing us to isolate peripheral olfactory responses from central 73 74 brain processes. We measured changes in the activity of the olfactory nerve whilst 75 exposing the olfactory epithelium to sea water containing ten different olfactory 76 stimuli dissolved in either control or elevated CO₂ seawater, while fish were 77 maintained under control CO₂ levels. We tested the olfactory nerve response of sea 78 bass to a wide range of odorants: amino acids (L-cysteine, L-serine, L-alanine, Larginine and L-glutamate), as odorants principally mediating food detection²¹; bile 79 acids involved in chemically-mediated interactions between conspecifics and other 80 81 teleost species (cyprinol sulphate) and potentially predatory shark species (scymnol sulphate)²²; body fluids (intestinal fluid, bile from conspecifics, and alarm cue), potent 82 chemical signals that can elicit behavioral responses vital for escape 83 from/awareness of predators or recognition of conspecifics^{23,24,25} (see Methods 84 85 section for a full description). The amplitude of the response indicates the change in magnitude of the nerve activity in response to an odorant, and the detection 86 87 threshold is defined as the concentration of odorant that produced a detectable 88 response (above baseline). Overall, elevated CO_2 reduced the amplitude of the 89 response for 6 out of the 10 odorants compared to control (Fig. 2), and increased the

90 detection threshold (i.e. reduced sensitivity) in 4 out of the 10 odorants (Fig. 3), but 91 had no effect on the remainder (i.e. elevated CO_2 did not increase amplitude or sensitivity of the response to any of these odorants). Under elevated CO₂ the 92 93 responses to L-alanine, L-arginine and L-glutamate, cyprinol sulphate, scymnol sulphate and alarm cue were up to 46% lower than those of controls (n=6-11 per 94 95 odorant per treatment, p=0.027, p=0.040, p=0.028, p=0.011, p=0.012, p=0.018 respectively, Fig. 2). The thresholds of detection for L-cysteine (p=0.049), L-alanine 96 97 (p=0.029) and L-glutamate (p=0.0047), and conspecific bile (p=0.029) were 2 to 5 fold higher in elevated CO_2 (Fig. 3). Therefore, under elevated CO_2 these odorants 98 99 would need to be present in the water at concentrations up to 5 times greater than in 100 current CO_2 conditions in order to be detected by sea bass.

101 The active space of a chemical is defined as the largest volume of water a fish 102 can occupy that still contains a concentration of odorant at or above the olfactory threshold for detection^{25,26}. This is a useful parameter to help estimate how much 103 104 closer a fish would need to be (on average) to detect an odor-source under these 105 elevated CO_2 conditions. We assumed a homogeneous distribution of the odorant in 106 the water. Although this assumption may be simplistic for most natural environments 107 (due to the constant movement of water associated with currents, tidal movements, etc.), it allows for a good estimate of the average change for most circumstances. 108 109 The largest reduction in threshold of detection (5 fold) was for glutamate (Fig. 3). We 110 calculated that the active space (i.e. a 3 dimensional volume) for glutamate detection 111 would be reduced by 80%, which would translate into fish having to be 42% closer to 112 the odorant source before detection occurred (i.e. based on the one dimensional 113 radius of the three dimensional active space sphere; Fig. 3 - see Methods for 114 calculation details and assumptions).

115 Lastly, RNA sequencing was used to elucidate the molecular mechanisms 116 underpinning the negative effects of elevated CO_2 on sea bass olfaction. Sea bass 117 were exposed for 2 and 7 days to either control (~450 μ atm) or elevated CO₂ (~1000 118 µatm). Global gene transcription was measured in tissue samples taken from the olfactory epithelium (n=6) and the olfactory bulb (n=4) using an Illumina HiSeg 2500 119 platform. De novo reference transcriptomes were constructed for each tissue using 120 the Trinity pipeline²⁷ (see Methods for full description). Transcript abundances were 121 calculated using RSEM²⁸ and differences in gene transcription were determined 122 using EdgeR²⁹ and a selection of scripts provided by Trinity. 123 124 Calcium/calmodulin-dependent protein kinase II beta 2 (CAMKII) directly regulates α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) glutamate 125 receptors, known to be involved in synaptic plasticity³⁰. In the olfactory bulb, fish 126 127 exposed to elevated CO_2 for 2 days showed a significant down-regulation of 128 camk2ga (gene encoding CAMKII) and nptxr (encoding for a protein involved in 129 synaptic plasticity and the clustering of AMPA receptors). This was followed by the 130 downregulation of gria1b (homologous to a gene encoding AMPA glutamate receptors), downregulation of map2k2a (gene encoding mitogen activated protein 131 kinase kinase involved in olfactory learning in the olfactory bulb in rats³¹), and the 132 133 upregulation of *tmub1* (gene encoding a protein involved in the regulation of AMPA 134 receptors at the cell surface) at 7 days. Additionally, genes involved in 135 neurotransmitter re-uptake (*slc6a17* and *slc1a8*) including glutamate were downregulated in the olfactory epithelium in fish exposed to elevated CO_2 for 7 days. 136 137 In the olfactory epithelium there was an upregulation of *slc4a8* (gene encoding the 138 sodium/bicarbonate cotransporter) at 2 days, similar to that observed for long-term 139 adjustments of bicarbonate (HCO₃⁻) transport in the gills of marine fish in response to

elevated CO₂³². Four chemosensory G-protein coupled receptor gene families have 140 been identified in teleosts: main olfactory receptors (ORs), trace amine-associated 141 receptors (TAARs), and vomeronasal receptors 1 and 2 (VR1 and VR2)³³ and all of 142 these were well represented in the olfactory epithelium transcriptome (see 143 Supplementary Table S6). In the olfactory epithelium two OR genes (or and or142) 144 145 were significantly down-regulated after 7 days of exposure; however, no OR genes 146 were up-regulated. Interestingly, in the olfactory bulb two additional OR genes (or 147 and *or120*), likely expressed in the axons of sensory neurons reaching the olfactory 148 bulb, were also downregulated in fish exposed to elevated CO_2 for 7 days. In 149 mammals, the presence of odorant receptor mRNA in the axons of sensory neurons is well established, and these mRNAs are likely involved in the wiring of the olfactory 150 system³⁴. These results suggest that fish exposed to elevated CO₂ did not activate 151 152 compensatory molecular mechanisms to adjust for the loss of olfactory sensitivity 153 measured using nerve recording, and that the wiring of the olfactory system might be 154 affected by ocean acidification. 155 Genes involved in excitatory neurotransmission such as the nicotinic

acetylcholine receptor (chrna7) and glutamate receptor (gria1b) were down-156 157 regulated in the olfactory bulb of fish exposed to elevated CO_2 levels for 7 days, while a gene involved in decreasing neuronal excitability (calcium-activated 158 159 potassium channel, kcnn3) was up-regulated in the same tissue after 2 days of 160 exposure. In the olfactory epithelium, fish exposed to elevated CO_2 for 7 days 161 showed down-regulation of excitatory neurotransmission (scn4ab, cacna2d), and 162 neuronal growth and development (zak and efnb2a). These results indicate 163 mechanisms for decreased excitability of neurons in the olfactory epithelium and

bulb at both time points, and thus, a decrease in olfactory information being

transmitted to higher brain centers.

166 **Discussion**

167 Elevated CO₂ affects behavior

Adult sea bass spawn offshore and the newly-hatched larvae must navigate back to 168 169 the safety of coastal nursery habitats using their olfactory senses to home in on these sites and to avoid predators³⁵, and this life history strategy is shared by many 170 fish species. This is the most vulnerable stage in the life cycle of sea bass being 171 associated with high mortality from predation³⁵. Here, we report that juvenile sea 172 173 bass exposed to levels of CO₂ predicted for the end of the century demonstrate impaired behavior in response to a predator cue (Fig. 1). This response is similar to 174 175 those reported for other fish species studied to date and, importantly, an increased effect was observed with exposure time^{3,4,11,12}. However, in sea bass the baseline 176 177 activity was lower after exposure to elevated CO₂ (Fig. 1), which is unlike most fish 178 species studied to date (generally higher activity was reported after exposure to elevated CO_2)^{36,37}. Although a recent study found no effects of elevated CO_2 on the 179 routine swimming behavior of early juvenile sea bass³⁸, this could have been 180 181 influenced by much higher control CO₂ conditions (585 μ atm vs 430 μ atm in our 182 study). This higher level of CO_2 (585 μ atm) is predicted to be reached during the mid 21st century, and behavioral impairments were reported in some species following 183 exposure to this level of CO_2^{39} . In our study, juvenile sea bass exposed to elevated 184 185 CO_2 also spent more time freezing compared to those exposed to control CO_2 levels; 186 this is consistent with previous findings showing that rockfish exposed to elevated CO_2 had elevated levels of anxiety compared to control fish¹¹. 187

188 Elevated CO₂ affects olfactory sensitivity

189 The decreased behavioral response to the predator odor was accompanied 190 by a decrease in olfactory sensitivity (either via response amplitude or detection threshold) to 8 out 10 odorants tested, indicating that olfaction is generally impaired 191 192 in sea bass exposed to elevated CO_2 . The sensitivity of some odorants was more 193 affected than that of others, suggesting that the guality of the perceived odor might 194 be altered in fish exposed to elevated CO₂. This may help to explain the 195 inappropriate (rather than simply inhibited) behavioural responses to complex predator and home-reef odours previously described in the literature^{3, 4}. Furthermore, 196 our results are consistent with studies in freshwater pink salmon (Oncorhynchus 197 198 gorbuscha) and marine shore crabs (*Carcinus maenas*) exposed to elevated CO_2 199 showing that olfactory sensitivity is impaired, even after prolonged exposure to 200 elevated CO₂, and can be restored within two hours or less of return to control conditions^{40,41}, indicating that this effect persists during chronic exposure to elevated 201 202 CO₂, but is readily reversible. In freshwater fish and marine crabs exposed to 203 elevated CO₂/low pH the loss of response to some odorants, including alarm cue, 204 has been attributed to structural and functional changes of the chemical cues themselves^{19,41}. This is also a potential explanation for how elevated CO₂ affects 205 206 odorant-receptor binding in the current study.

We estimate that under elevated CO_2 sea bass must be up to 42% closer to the odorant source than in current day conditions to allow detection (Fig. 3). This would increase the risk of predation or decrease the ability to find food, resulting in a direct impact on survival. This is consistent with the observation that coral reef fish raised in elevated CO_2 show a 9-fold increase in mortality in the wild⁴. The activity of sea bass exposed to elevated CO_2 was significantly reduced (Fig. 1) likely resulting in reduced energetic costs. Importantly, this reduced activity would also further

reduce their chances of encountering odors in elevated CO_2 conditions. Therefore, if these changes in detection thresholds persist during longer term exposure to elevated CO_2 (as found in freshwater salmon⁴⁰), these could have important ecological consequences at the population level, affecting communication with conspecifics, prey detection and, particularly, predator avoidance.

219 Elevated CO₂ affects gene expression

220 Only one recent study has investigated the effect of elevated CO₂ on global 221 gene expression patterns in the brain of fish, and found that in juvenile spiny 222 damselfish (Acanthochromis polyacanthus) genes associated with brain glucose, 223 serine and glycine metabolism were differentially expressed in fish exposed to elevated CO₂⁴². Interestingly, in our study both electrophysiology and RNA-Seq 224 225 results indicated that the response to glutamate was most affected by elevated CO₂. 226 We show that in fish exposed to elevated CO₂ genes encoding CAMKII, MAPK 227 kinase and AMPA glutamate receptors were downregulated, and genes associate 228 with AMPA receptor cycling (*tmub1* and *nptx2*) were upregulated. These genes are 229 involved in long term depression (a long-lasting decrease in synaptic strength), a process associated with a decline in learning and memory in higher brain centres³⁰. 230 231 The expression of genes involved in maintaining neuronal excitability (chrna7, 232 gria 1b, scn4ab, cacna2d) also decreased in fish exposed to elevated CO_2 A 233 decrease in synaptic plasticity in the olfactory bulb and a decrease in neuronal 234 excitability in the olfactory system suggest that less olfactory information was being 235 sent to higher brain centers. Additionally, OR genes in the olfactory bulb were 236 downregulated in fish exposed to elevated CO_2 . These genes have been shown to 237 be involved in the patterning of the olfactory bulb in mammals, particularly during the development of the olfactory system³⁴. Interestingly, these findings are consistent 238

with impaired learning in responding to a predator odor in larval damselfish
 (*Pomacentrus amboinensis*) exposed to elevated CO₂¹³, perhaps due to reduced
 olfactory information reaching higher brain centers, compromising learning and
 memory formation.

243 Novel physiological mechanism

244 We propose a novel mechanism based in the olfactory system to explain how 245 elevated CO_2 alters the behavior of fish (Fig. 5). First, we show that elevated CO_2 246 can have a direct effect on the sensitivity of olfactory reception to various odorants in sea bass, likely by reduced affinity of odorant-receptor binding in the olfactory 247 248 epithelium. Our electrophysiology data show that fewer impulses are sent to the 249 olfactory bulb in response to most odorants, regardless of concentration. This would 250 result in a decrease in the activity of olfactory bulb synapses, detected by a change 251 in the timing and the frequency of calcium cycling in these neurons, a process that can lead to a decrease in synaptic plasticity³⁰. Indeed, gene expression results show 252 253 that sea bass exposed to elevated CO₂ downregulate genes involved in synaptic 254 plasticity and maintaining the excitability of both peripheral olfactory receptor 255 neurons and central olfactory bulb neurons, supporting our hypothesis. Therefore, 256 we propose that under future levels of elevated CO₂ fish may sense less information through their olfactory receptors, and this would be compounded by less peripheral 257 258 olfactory information being transmitted to higher brain centers. Additionally, we also 259 found decreases in the expression of genes involved in in the wiring of the olfactory 260 system, an important developmental process for juvenile fish. These physiological 261 and molecular changes are consistent with the altered behavior observed in this 262 study and others and have strong implications for fitness in the wild.

263 The mechanism of altered neurotransmitter function previously hypothesized to explain the impairments of sensory-induced behaviors observed in coral reef fish is 264 limited to alterations at the level of the brain⁴³. It proposes that extracellular acid-265 base regulatory changes that fish undergo in response to exposure to elevated CO₂ 266 lead to changes in gradients for HCO₃⁻ and Cl⁻ ions across neuronal cell membranes 267 268 in the brain. In turn these changes are suggested to interfere with the normal 269 functioning of the gamma-aminobutyric acid A (GABA_A) receptor, causing increased 270 excitation rather than inhibition of the nervous system and the observed downstream behavioral impairments^{18, 43}. However, not all fish are good acid-base regulators, and 271 272 some do not regulate extracellular pH at all when facing elevated CO₂ environments⁴⁴. The mechanism proposed here is independent of any changes in 273 274 blood acid-base chemistry but is instead dependent on the external (seawater) 275 changes in CO_2/H^+ . This raises the possibility that all fish species exposed to 276 elevated CO₂ are potentially susceptible to the direct impairment of peripheral 277 olfactory sensitivity proposed here, whereas the central brain impairment of sensory 278 behavior will principally be relevant to species that are good acid-base regulators. 279 An apparent discrepancy is that in some studies behavioral abnormalities 280 previously shown for fish exposed to ocean acidification are not evident for the first 24 hours of exposure⁴. However, these previous observations are based on fish 281 exposed to strong odors, probably well above the threshold of detection^{3,4,43}. Thus, 282 283 even a 50% reduction in the olfactory sensitivity at these high odorant concentrations 284 would not prevent fish from smelling these strong predator odors, giving rise to some 285 form of behavioral response under elevated CO₂ conditions. By contrast, the 286 peripheral mechanism proposed here would impair olfaction following any duration of elevated CO₂ conditions, particularly when odorants are close to their detection 287

288 threshold, a more realistic scenario in a natural environment. Secondly, the 289 behavioral responses documented previously are downstream of the central brain 290 GABA-regulated processes that should only be impaired secondary to acid-base regulation and changes in blood chemistry⁴⁵. It is also important to recognize that the 291 292 two models (the one proposed here based in the olfactory system and the previously 293 proposed impairment of GABA receptor function) are not mutually exclusive. Indeed, 294 it seems likely that they would operate together during exposures lasting longer than 295 24 h, in particular for acid-base regulators, impacting sensory behavior through two 296 distinct physiological mechanisms and ultimately impairing fitness.

297 Conclusions

298 Recent studies, including ours, indicate that behavioral responses persist, or 299 become more pronounced, with prolonged experimental exposure to elevated $CO_2^{4,12}$ and in fish that live in naturally high CO_2 environments (near CO_2 seeps)⁴⁶. 300 301 Additionally, it is not known if the relatively fast change in CO₂ predicted for this 302 century would allow sea bass and other fishes to acclimate or adapt to a high CO_2 world, but one generation is apparently not enough to mitigate the effects of elevated 303 CO_2^{47} . We propose that the impairment of sensory behavior is induced via not one, 304 305 but two complementary physiological mechanisms, acting on the olfactory system 306 and on the GABA receptor function in the brain. In essence, fish are impacted at two 307 distinct levels of the sensory-behavioral system, both at the periphery and the central 308 nervous system affecting their behavior. This suggests that complete adaptation may 309 require phenotypic modification at both of these targets of CO₂ exposure. In turn this 310 could either increase selection pressure on this sensory pathway or increase the 311 time required for selection compared to if there was only one target mechanism, and 312 thus complicate predictions about the length of time required for adaptation to occur.

- Ultimately, it is becoming clear that an elevated CO₂ environment has the potential
- 314 for major negative impacts on olfactory-mediated behavior of fish across a wide
- range of habitats and latitudes. This highlights the potential for ecologically
- significant population-level impacts on fishes, and perhaps other marine fauna,
- including on economically and ecologically important species.

318 Funding

319 This study was supported by grants from Association of European Marine Biology

Laboratories [227799], the Natural Environment Research Council [RWW;

- NE/H017402/1], the Biotechnology and Biological Sciences Research Council
- 322 [RWW; BB/D005108/1], Fundação para a Ciência e Tecnologia (Portuguese Science
- 323 Ministry) [UID/Multi/04326/2013] and a Royal Society Newton International
- Fellowship to CSP. CSP is also a beneficiary of a Starting Grant from AXA.

325 Author contributions

- 326 CSP and RWW designed the behavior experiments. CSP performed the experiments
- and analyzed those data; CSP, PCH and RWW designed the electrophysiology
- study, CSP and PCH performed the electrophysiology experiments. CSP, TMUW,
- RvA, and EMS designed the transcriptomics experiments, CSP performed the
- experiments and constructed the libraries. CSP performed the bioinformatics
- analysis and interpreted the results with help from TMUW, RvA, and EMS; all
- authors contributed to and provided feedback on various drafts of the paper.

333 Acknowledgements

- The authors are grateful to Drs Lee Hagey and Alan Hofmann (UCSD) for their kind
- 335 gift of cyprinol sulphate and scymnol sulphate. We would also like to thank the
- Aquatic Research Centre (ARC) staff at the University of Exeter for their assistance
- 337 with fish husbandry and experimental setup, Bas Verbruggen for helpful
- bioinformatics advice, and Louisa Salisbury for help with tissue sampling.

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490 Methods

491 1.1 Fish maintenance

- Juvenile European sea bass (*D. labrax*; 4–8 g, 7 cm total length) were obtained from
- 493 Ecloserie Marines de Gravelines, France and initially held within a stock tank
- 494 containing recirculating artificial seawater (15°C) in the University of Exeter Aquatic
- 495 Resource Centre. Photoperiod was controlled (12:12 light:dark) and fish were fed
- 496 twice daily ad libitum with Perla MP pellets (Skretting, Shay Lane, Longridge,

497 Preston, UK).

- 498 1.2 Exposure to simulated ocean acidification
- 499 All experiments were conducted under approved protocols according to the UK
- 500 Home Office regulations for use of animals in scientific procedures, and approved by
- the University of Exeter Ethics committee. Sea bass were exposed to simulated
- ⁵⁰² ocean acidification for 2, 7 and 14 days as described previously⁴⁸. For water
- 503 chemistry parameters during exposure for behavioral and the transcriptomics
- 504 experiments see Supplementary Table S1.
- 505 1.3 Behavioral testing

506 Fish were fed 1% body mass of Perla MP pellets (Skretting, Shay Lane, Longridge,

507 Preston, UK) before behavioral experiments to prevent hunger from over-riding

- ⁵⁰⁸ behavioral responses to a predator⁴⁹. Sea bass were then transferred by scoop
- 509 (without air exposure) to a flume (60 cm x 16 cm x 8 cm) using flow-through control
- or elevated CO₂ (same as exposure; see Supplementary Table S2). Sea bass were
- ⁵¹¹ left for 30 min in the flume as preliminary experiments indicated this was sufficient
- time for them to resume normal activity and behavior after transfer. Fish behavior
- ⁵¹³ was recorded from above using a video camera (Sony Handycam, DCR-SR190).
- 514 The bottom of the flume had a black grid in order to quantify activity. Activity was

recorded for 5 min to determine baseline activity, after which diluted (1:1,000,000)
monkfish (*Lophius piscatorius*) bile was added to the inflowing water for a further 5
min. Two fish were tested at the same time (one from each treatment) and they were
randomly assigned.

Video analysis was used to quantify the number of squares visited by each fish in the minute before monkfish bile and during the 5 min in which monkfish bile was present. Video analysis was carried out blind regarding CO₂ treatment. The total number of seconds spent freezing was quantified (expressed as % of time) in the 5 min prior to and during presence of monkfish bile. A fish was considered to be freezing when it spend more than 5 sec without moving.

525 Statistical analysis

526 To test for the effects of treatment and time (day) on baseline activity and 527 change in activity a two-way analysis of variance was performed (SigmaPlot, version 528 9.2). A Holm-Sidak post hoc test was used to test for differences from control and 529 between different times. To test for the effects of treatment and presence of predator 530 odor on freezing behavior a two-way repeated measures analysis of variance was 531 performed, followed by Holm-Sidak post hoc test when significant differences were detected (at 7 and 14 days). A significance level of P < 0.05 was used throughout the 532 analysis. 533

534 1.4 Transcriptomics

535 RNA extraction, library preparation and sequencing

536 Fish were humanely sacrificed on day 2 and 7 of the exposure period (see above) by

a lethal dose of benzocaine (0.5 g L-1; Sigma-Aldrich). Brains and olfactory

538 epitheliums were dissected and snap frozen in liquid nitrogen and stored at -80°C

539 prior to transcript profiling. Transcript profiling was conducted in the brain and 540 olfactory epithelium of 6 and 4 fish per treatment group, respectively. 541 RNA was extracted using an RNeasy mini kit (QIAGEN, Catalog # 74104), and on-542 column DNAse treatment was performed, according to the manufacturer's instructions. The concentration, purity and integrity of RNA were determined using a 543 544 NanoDrop ND-1000 Spectrophotometer (NanoDrop Technologies, USA) and an 545 Agilent 2100 Bioanalyzer (Agilent Technologies, Inc., USA). All RNA input to library 546 construction was of high quality with A_{260}/A_{280} and A_{260}/A_{230} ratios > 2.0 and RIN 547 scores > 8.5. ERCC spike-in control mixes (Ambion) were added to all individual 548 RNA samples, according to the manufacturer's instructions to allow for analysis of 549 the accuracy of the transcript quantification and dynamic range (Supplementary 550 Table S3-S4). cDNA libraries for all samples were then prepared using the NextFlex 551 Rapid RNA-Seg Kit (Cat # 5138-02), multiplexed with 12 and 16 samples per lane for 552 the olfactory epithelium (OE) and bulb (OB), respectively (4 lanes total). The 553 samples were sequenced using an Illumina HiSeg 2500 in standard mode, to 554 generate 100 bp paired reads. Sequencing of the OE and OB produced 1007 and 555 349 million paired 100 bp reads, respectively, averaging 41.9 and 21.8 million reads 556 per library, respectively.

557 Data analysis

All analyses were carried out on a local server running the NEBC Bio-Linux 7 environment⁵⁰. Raw sequence reads were quality-trimmed using Trimmomatic⁵¹ (v0.32). The first 12 bp were removed from each read (to remove 5' bias caused by non-random hexamer priming) and a 4-base wide sliding window was used to cut when the average Phred score quality per base was below 20. Furthermore, bases were trimmed of the end of the reads when the Phred score quality was less than 15

564 and reads shorter than 36 bp were discarded. Digital normalization was performed to 565 remove highly duplicated reads using the normalize-by-median.py script part of the khmer package described by Brown et al.⁵², with the recommended k-mer value of 566 20 and a coverage threshold of 30. Following digital normalization, a total of 69.4 567 and 47.2 million paired reads originating from all the OE and OB sequence libraries, 568 respectively, were retained and input into the *de novo* transcriptome assemblies. The 569 assemblies were constructed using Trinity⁵³ (version 2.1.1) and the default 570 parameters, specifying a minimum contig length of 200 bp (see Supplementary 571 572 Table S5 for comparative summary statistics for each assembly). All transcripts in the final assemblies were annotated using Blastx⁵⁴ against the Ensembl peptide 573 databases⁵⁵ (Release 79; March 2015) using an E-value cut-off of 1e⁻¹⁵ and 'best 574 575 hits' were assigned to transcripts in the following preferential order to facilitate 576 assigning Gene Ontology (GO) terms for subsequent functional annotation analysis: 577 zebrafish (Danio rerio); human (Homo sapiens) and mouse (Mus musculus); 578 stickleback (Gasterosteus aculeatus), medaka (Oryzias latipes), Japanese pufferfish (Takifugu rubripes), tilapia (Oreochromis niloticus), green spotted puffer fish 579 580 (Tetraodon nigroviridis), cod (Gadus morhua) and spotted gar (Lepisosteus oculatus). Additional annotation was performed using Diamond blastx⁵⁶ (v0.8.22.84; 581 E-value $< 1e^{-10}$) against the full NCBI nr protein database (March 2016). Transcripts 582 that were not annotated or that showed similarity to non-metazoan genes only 583 584 (n=826 and n=964 for the olfactory epithelium and olfactory bulb, respectively) were removed from the assembly prior to differential expression analysis, using MEGAN 585 5⁵⁷ (version 5.11.3, January 2016). All four families of olfactory receptors were well 586 587 represented in the olfactory epithelium transcriptome (Supplementary Table S6).

588 Reads from individual samples were mapped against the Trinity transcriptome 589 assemblies for each tissue and transcript abundances were calculated using RSEM and the align and estimate abundance.pl script supplied by Trinity (version 2.1.1). 590 591 Differential expression analysis was carried out at the gene level using EdgeR and the run DE analysis.pl script provided by Trinity. Genes were considered 592 593 differentially expressed with a FDR < 0.1 (Benjamini-Hochberg correction; see 594 Supplementary File 2 and Figures S2-S4). Hierarchical clustering was performed on 595 all differentially expressed genes using the analyze diff expr.pl script. Functional 596 analysis was then performed for differentially expressed genes from each tissue using the Database for Annotation, Visualization and Integrated Discovery⁵⁸ (DAVID 597 598 v6.8, Oct 2016), using the zebrafish gene identifiers and the *de novo* transcriptomes 599 for each tissue as a background (see Supplementary Tables S7-S10). Kyoto 600 Encyclopedia of Genes and Genomes (Kegg) pathways (http://genome.jp/kegg/) and 601 Gene Ontology (GO) terms (http://geneontology.org/) for Biological Process, Cellular Component and Molecular Function were considered significantly over-represented 602 603 when adjusted P < 0.1 (Benjamini-Hochberg).

604 <u>gRT-PCR validation</u>

605 RNA-Seq expression was validated using Quantitative Realtime PCR (gRT-PCR) for 606 a selection of key genes that were shown to be differentially expressed in the 607 olfactory epithelium using RNAseq (see Table S11). We used a combination of the 608 same samples used for the RNA-Seq and additional replicates from the same 609 experiments (n=5-8 replicates per treatment). Primers were designed for the 610 assembled transcripts using OligoArchitect Online (Sigma-Aldrich) and blasted using 611 the NCBI BLAST tool to verify specificity. RNA concentration and purity were 612 assessed with a NanoDrop ND-1000 Spectrophotometer (NanoDrop Technologies,

613 Wilmington, USA). cDNA was synthesized according to manufacturer's instructions 614 from 2 µg of total RNA treated with RQ1 DNase (Promega, Southampton, UK) using random hexamers (MWG-Biotech) and M-MLV reverse transcriptase (Promega). 615 616 cDNA was diluted 1:2 and RT-qPCR was performed in triplicate using a CFX96 617 Touch Real-Time PCR Detection System (Bio-Rad Laboratories, Hercules, CA) 618 using SYBR Green chemistry (Bio-Rad). Each reaction was performed in a total 619 volume of 15 µl. PCR conditions consisted of 10 minutes at 95 °C, followed by 40 620 cycles of 10s at 95°C and 30s at the optimized annealing temperature for each 621 primer pair. High resolution melt analysis (60-95°C, in 0.5 °C increments) was then 622 performed to verify the presence of single amplification products of the expected size 623 and Tm. A template-minus negative control was run in triplicate on each plate to 624 verify the absence of cDNA contamination, and replicates displaying non-specific 625 amplification were removed from the analysis. Annealing temperature for each primer pair was optimized by performing a standard curve. The linear correlation (R^2) 626 627 between the mean Cq and the logarithm of the cDNA dilution was > 0.98 in each 628 case, and efficiencies were between 1.92-2.11. The primer sequences, PCR product 629 sizes, annealing temperatures and PCR efficiencies for each primer pair are shown 630 in Table S11. Efficiency-corrected relative expression levels of each target gene were determined according to Pfafl⁵⁹ and by normalizing to the control gene, *rpl8*. 631 632 This control gene was chosen because it remained constant across all treatments 633 and tissues in the RNA-Seq experiments and has been widely used as a control gene for qPCR experiments in fish⁶⁰. Unpaired two-sample t-tests were used to test 634 for significant differences between treatments. 635

636 1.5 Electrophysiology

637 Experimental animals

638 Fish care and experimentation complied with the guidelines of the European 639 Union Council (86/609/EU) and Portuguese legislation for the use of laboratory 640 animals under a "Group-1" license issued by the Veterinary General Directorate of 641 the Ministry of Agriculture, Rural Development and Fisheries of Portugal. Juvenile 642 European sea bass (Dicentrarchus labrax; 16.6 - 36.0 g) were obtained as 2 month-643 old larvae from the Ifremer experimental aquaculture station in Palavas-las-Flots, 644 France, and raised in flow-through natural sea water from the Ria Formosa (sand-645 filtered and UV sterilized, ~36 psu) at the Ramalhete Aquatic Facilities, Faro, 646 Portugal. Sea bass were transferred to Laboratório Experimental de Organismos 647 Aquáticos and held in recirculated natural sea water (as above) at 20°C on a 12 h light : 12 h dark photoperiod for at least two weeks before the start of experiments. 648

649 Choice of olfactory odorants

650 We tested the effect of acutely elevated CO_2 on the olfactory sensitivity of sea bass to amino acids, as odorants principally mediating food detection²¹. Bile acids 651 are cholesterol derivatives secreted in the bile fluid of vertebrates that aid in the 652 digestion and absorption of lipids and lipid-soluble vitamins^{61, 62}. In fish they are also 653 654 potent olfactory stimuli, which may be involved in chemically-mediated interactions both within and between species⁶³. Cyprinol sulphate is a widely produced bile acid 655 in cyprinids but also found in other teleosts⁶¹ and scymnol sulphate is a major 656 component of elasmobranch bile⁶¹. The body fluids of fish are complex mixtures that 657 can contain potent chemical signals^{1,64,65}, including alarm cues released from 658 damaged skin of some teleost fish that elicit behavioral responses vital for nearby 659 conspecifics to escape from, or be aware of, predators^{23,24}. Therefore, we measured 660 the effect of elevated CO_2/H^+ on the olfactory responses of sea bass to conspecific 661 662 bile, intestinal fluid and damage-released alarm cue.

663 Collection of body fluids and alarm cue

664 Body fluids (bile and intestinal fluid) were collected from three male and three female sea bass (146-205 g) that were being sampled for other experiments. Once 665 666 collected, the samples were kept on ice until return to the lab, then centrifuged, all were pooled and frozen (-20°C) into aliguots until use. For alarm cue four sea bass 667 668 were killed by a sharp blow to the head. Care was used not to touch the flanks of the 669 fish. Using a sharp scalpel, twelve 1 cm superficial cuts were made to one flank of 670 each fish. The flank was rinsed with charcoal-filtered sea water. This was then either 671 immediately frozen in aliguots for later use (no longer than 48 h later) or diluted for 672 immediate use.

673 Olfactory nerve recording

Sea bass were anesthetized in aerated natural seawater containing 300 mg l⁻¹ 674 675 MS222 (ethyl-3-aminobenzoate methanesulphonate salt: Sigma-Aldrich, Spain) buffered with 0.6 g.l⁻¹ sodium bicarbonate, until response to tail pinch had stopped. 676 An intramuscular injection of gallamine triethiodide (Sigma-Aldrich, Spain; 3 mg kg⁻¹ 677 in 0.9% NaCl) was then given^{66,67,68}. The sea bass were then placed in a padded V-678 support and the gills flushed with aerated natural sea water containing 150 mg l⁻¹ 679 680 MS222 (also buffered as above). The electrodes were placed in the olfactory nerve (near the bulb) in a place that gave maximal response to 10⁻⁴ M L-cysteine. 681 682 Olfactory nerve activity was recorded using tungsten micro-electrodes (0.1 M Ω , World Precision Instruments, UK) as previously described²⁶ (Supplementary Fig S5). 683 684 The fish was connected to earth via a copper wire inserted in the flank. The raw signal was amplified (20,000x; AC pre-amplifier, Neurolog NL104; Digitimer Ltd., 685 Welwyn Garden City, UK), filtered (high pass: 200 Hz, low pass: 3,000 Hz; Neurolog 686 687 NL125, Digitimer Ltd.) and integrated (time constant 1 s; Neurolog NL703, Digitimer

Ltd.). Raw and integrated signals were digitized (Digidata 1440A, Molecular Devices,
Sunnyvale, CA, USA) and recorded on a PC running AxoScope[™] software (version
10.2, Molecular Devices).

691 At all times, the gills of the fish were flushed with sea water equilibrated with atmospheric air (i.e. control conditions, pH 8.15) containing anesthetic (see above); 692 693 therefore, only the olfactory epithelium (also known as the rosette) experienced 694 elevated CO₂ conditions. Charcoal-filtered natural sea water was either bubbled with air (control, pH 8.15 \pm 0.01, 476 \pm 14 μ atm) or CO₂ (pH 7.82 \pm 0.01, 1122 \pm 19 μ atm) 695 696 until the desired pH_{NBS} was reached (see Water Chemistry section for details: 697 Supplementary Table S12). The charcoal-filtered sea water (control or elevated CO_2) 698 was used to make up the odorant solutions and to superfuse the olfactory epithelium 699 during experiments. Amino acid and bile acid solutions were prepared from frozen aliquots of 10⁻² M, while body fluids were prepared from frozen aliquots and all were 700 701 diluted in charcoal-filtered sea water (either control or elevated CO₂) immediately 702 prior to being used. The order of testing of the odorants was randomized, but each odorant was always given from lowest to highest concentration (10^{-8} M to 10^{-3} M). 703 704 All responses to a given stimulus (except alarm cue) were paired: responses 705 were first recorded while the olfactory epithelium was superfused with control sea 706 water, followed by responses to the odorant made up in elevated CO₂ while the olfactory epithelium was also superfused with elevated CO₂ sea water. Initial 707 708 measurements were performed in control conditions, followed by elevated CO₂, and 709 then control again and the response was reversible (see Supplementary Fig S6). 710 Therefore, multiple stimuli were tested on the same fish under both control and 711 elevated CO_2 conditions (see Supplementary Fig S7). For testing the responses to 712 alarm cue, half of the responses were measured first under control and then under

713 elevated CO₂ sea water and the other half were measured in the reverse order to account for the rapid degradation of the alarm cue (see Supplementary Fig S8). 714 715 Each odorant was added through a gravity fed three-way-valve (at a rate of 6 ml min⁻ ¹). A blank stimulus, treated in the same way as the odorants, was recorded at the 716 717 beginning and end of each group of samples. All integrated response amplitudes were normalized to the amplitude of the integrated response to 10⁻⁴ M L-cysteine 718 719 (the 'standard') made up in control charcoal-filtered sea water. Responses to blanks 720 and standards were recorded regularly at the beginning and end of each group of 721 samples (every 3 - 5 samples) throughout the recording session. Each stimulus was 722 applied for 4 seconds, with at least 1 minute between odorants to allow complete recovery of the receptors²⁶. 723

724 <u>Water chemistry measurements</u>

725 Salinity and temperature were measured using a Thermo Scientific 'Orion Star 726 A329' (Thermo Fischer Scientific, Inc., Waltham, MA, USA) probe. Charcoal-filtered 727 sea water was previously equilibrated with atmospheric air, and then for the 728 experiments this seawater supply was either bubbled with air (control) or elevated 729 CO₂ until the desired pH_{NBS} was reached (pH meter Model HI 8314, Hanna 730 Instruments, Leighton Buzzard, UK; pH probe Model pHC2401, Radiometer Analytical, Lyon, France). Sea water samples (12 ml) from both treatments were 731 collected and were preserved using standard methods⁶⁹, which were then stored at 732 4°C for dissolved inorganic carbon (DIC) analysis as previously described. 733

734 <u>Calculations</u>

The 'active space' of an odorant was calculated by dividing the release rate of
that odorant by the predicted detection threshold for it²⁵

737 Active space =
$$\frac{Release rate}{detection threshold}$$
 or $V = \frac{X}{Y}$ (1)

For a 5 fold increase in detection threshold (e.g. glutamate)

739
$$V(CO_2) = \frac{X}{5Y}$$
 or $V(CO_2) = \frac{V}{5}$ (2)

where, $V(CO_2)$ is the active space in elevated CO_2 assuming no change in release rate.

742 We assumed a homogeneous distribution of the odorant in the water. Although this

assumption might be representative of natural environments (due to tidal

movements, wave action etc.), it represents a pragmatic and best estimate of the

average impact. Based on this assumption we calculated the maximum distance

746 from source for odorant detection:

747
$$V = \frac{4}{3}\pi r^3$$
 (3),

where V is the active space and r is the distance from the odorant source.

749
$$r = \sqrt[3]{\frac{3V}{4\pi}}$$
 in control or $r = \sqrt[3]{\frac{3V}{4\pi}}$ or $r = 0.62 * \sqrt[3]{V}$ and

750
$$r = \sqrt[3]{\frac{3V/5}{4\pi}}$$
 in high CO₂ or $r = \sqrt[3]{\frac{3V}{20\pi}}$ or $r = 0.36 * \sqrt[3]{V}$ (4)

Therefore, r will decrease to 58% in elevated CO₂ and fish would have to be 42%
closer.

753 Data and statistical analysis

All statistical analyses and calculations were carried out on normalized data. Detection thresholds were determined from the intercept with the x-axis of linear regression fit to the linear part of individual dose response curves for each odorant and each treatment ^{64, 70} (SigmaPlot, version 9.2). To test for the effects of concentration and treatment a two-way repeated measured analysis of variance was performed for all the odorants (SigmaPlot, version 9.2). A Holm-Sidak *post hoc* test was used to test for differences from control and between different concentrations.

- 761 Paired Student's *t*-tests were used to test for differences between treatments in
- detection thresholds using Microsoft Excel. A significance level of P < 0.05 was used
- throughout the analysis.
- 764

765 Data Availability

- ⁷⁶⁶ Sequence datasets are available through the NCBI database
- 767 (https://www.ncbi.nlm.nih.gov/Traces/sra_sub/sub.cgi?subid2311090) and include
- the individual gene expression sample files. Water chemistry, behaviour and
- relectrophysiology data are available through Pangaea
- 770 (https://doi.pangaea.de/10.1594/PANGAEA.884674).
- 771 <u>Gene expression results</u>
- After 2 days of exposure to elevated CO_2 in both the olfactory bulb and the olfactory
- epithelium there were 23 and 24 differentially expressed genes, respectively
- (Supplementary Fig S2). After 7 days of exposure to elevated CO₂ there were 73
- and 71 differentially expressed genes in the olfactory epithelium and bulb,
- respectively (Supplementary Fig S2). Expression patterns of all five genes used for
- validation using qRT-PCR were in agreement with those obtained with RNAseq
- (Table S12), with three of these being significant (p<0.05) and two being marginally
- not significant (p = 0.05 0.12, *tmp4* and *klc8*).

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794 795 796 797 798 799 800 801	53. 54. 55.	 Haas BJ, Papanicolaou A, Yassour M, Grabherr M, Blood PD, Bowden J, et al. De novo transcript sequence reconstruction from RNA-seq using the Trinity platform for reference generation and analysis. Nat Protocols 8, 1494-1512 (2013). Altschul SF, Gish W, Miller W, Myers EW, Lipman DJ. Basic local alignment search tool. J Mol Bio 215, 403-410 (1990). Yates A, Akanni W, Amode MR, Barrell D, Billis K, Carvalho-Silva D, et al. Ensembl 2016. Nucleic Acids Res 44, D710-716 (2016).
794 795 796 797 798 799 800 801 802	53. 54. 55.	 Haas BJ, Papanicolaou A, Yassour M, Grabherr M, Blood PD, Bowden J, et al. De novo transcript sequence reconstruction from RNA-seq using the Trinity platform for reference generation and analysis. Nat Protocols 8, 1494-1512 (2013). Altschul SF, Gish W, Miller W, Myers EW, Lipman DJ. Basic local alignment search tool. J Mol Bio 215, 403-410 (1990). Yates A, Akanni W, Amode MR, Barrell D, Billis K, Carvalho-Silva D, et al. Ensembl 2016. Nucleic Acids Res 44, D710-716 (2016). Buchfink B, Xie C, Huson DH. Fast and sensitive protein alignment using

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846 **Figure Captions**

847 Figure 1. Behavior responses of European seabass (Dicentrarchus labrax) to a 5 min exposure to a predator odor (monkfish bile). Behaviour recorded after 848 849 exposure to control (~420 μ atm) or elevated CO₂ (~950 μ atm) for 2, 7, and 14 days. **a**, baseline activity after 2, 7, and 14 days exposure to control and elevated CO₂. **b**, 850 851 change in activity before and during the first minute of exposure to predator odor 852 (dilution 1:1,000,000). Period of time spent freezing before and during 5 min 853 exposure to predator odor after 2 (c), 7 (d), and 14 (e) days of exposure. Values are means ± s.e.m. Asterisks indicate statistically significant differences compared to 854 855 control data obtained before exposure to predator odor. Crosses indicate statistical 856 significance between control and elevated CO_2 treatments (p < 0.05).

Figure 2. Elevated CO₂ decreases the olfactory sensitivity of European sea bass to amino acids, bile acids and body fluids. a, L-serine (N=6). b, L-cysteine (N=6). c, L-alanine (N=8). d, L-arginine (N=6). e, L-glutamate (N=6). f, cyprinol sulphate (N=10). g, scymnol sulphate (N=10). h, intestinal fluid dilutions (N=6). i, conspecific bile dilutions (N=6). j, alarm cue dilutions (N=8). Responses measured under control (blue) (pH 8.15 ± 0.01, 476±14 µatm) and elevated CO₂ (orange) (pH 7.82 ± 0.01, 1122±19 µatm). Values are expressed as % of the response to 10^{-4} M Lcysteine and represented as mean ± s.e.m. Different letters indicate significant differences between the response to different concentrations of odorants (p < 0.01). † denotes differences between treatments (p < 0.05). See online Supplementary Fig S7 for raw traces of these responses.

Figure 3. Acute exposure of European seabass to elevated CO_2 (~1000 µatm) decreases the amplitude of the olfactory response and increases the detection threshold of several odorants tested. Elevated CO_2 reduces the active space (represented by the blue sphere) of an odor by up to 80% (represented by the yellow dashed line) and the distance to a detectable odor source (arrow) by up to 42% in European sea bass. This suggests potentially drastic consequences on their ecology and survival (see Methods for calculations and assumptions, and Fig. 2 for amplitude response curves). Asterisks and crosses indicate statistically significant differences from the control group (p < 0.05) in detection threshold and amplitude, respectively. NS, not significant. Sea bass image Kovalevska/shutterstock.com

Figure 4. Differential regulation of genes in the olfactory epithelium and olfactory lobe of European sea bass exposed to control and high CO₂. Genes involved in neuronal growth (*efnb2a*) and development (*zak*) were significantly downregulated in the olfactory epithelium. Additionally genes encoding for ion channels (*scn4, cacna2, chrna7 and kcnn3*) responsible for maintaining cell excitability were also down-regulated in both the olfactory epithelium and the bulb. In the olfactory bulb there was also down regulation of glutamate ionotropic receptors (AMPA), mitogen activated protein kinase kinase (map2k2) and CAMKII indicative of long term depression (process involved in decreased synaptic plasticity). Moreover, olfactory receptor genes were downregulated in both the olfactory epithelium and the bulb, indicating no compensatory mechanism for loss of olfactory function and changes in the wiring of the olfactory system in juvenile sea bass. Arrows represent direct pathways of activation, and T bars represent direct pathways of repression.

Note that the axons of the olfactory sensory neurons in the epithelium synapse with neurons in the olfactory bulb.

Figure 5. Proposed mechanism of action of CO₂-induced ocean acidification on fish behavior via the olfactory pathway. Ocean acidification has an acute effect on the binding of odorants to their receptors, decreasing both detection threshold and amplitude of the response. Long term exposure to high CO₂ decreases cell and neuron excitability, indicating less olfactory information is being transmitted from the olfactory epithelium to higher brain centers. In combination with a decrease in synaptic plasticity, this altered gene expression can affect behavior and learning in fish.









