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2 **Title**

3 Microendoscopy reveals positive correlation in multiscale length changes and variable sarcomere  
4 lengths across different regions of human muscle.

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14 **Running Head**

15 Sarcomere and fascicle length changes in passive human muscle

16 **Keywords**

17 muscle fascicle, fibre, second harmonic generation, biomechanics

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## 24 New and Noteworthy

- 25 • Sarcomere and fascicle lengths were measured *in vivo* from human muscle to examine the  
26 relationship between the different scales of organisation.
- 27 • Changes in fascicle length were moderately related to sarcomere length changes, however  
28 sarcomere length and number per fibre varied from proximal to distal regions of the muscle.
- 29 • Differences in average sarcomere operating lengths across the muscle suggests potentially different  
30 stresses or strains experienced within different regions of muscle.

## 31 Abstract

32 Sarcomere length is a key physiological parameter that affects muscle force output; however, our  
33 understanding of the scaling of human muscle from sarcomere to whole muscle is based primarily on  
34 cadaveric data. The aims of this study were to explore the *in vivo* relationship between passive fascicle length  
35 and passive sarcomere length at different muscle-tendon unit lengths and determine whether sarcomere  
36 and fascicle length relationships are the same in different regions of muscle. A microendoscopy needle probe  
37 capable of *in vivo* sarcomere imaging was inserted into a proximal location of the human tibialis anterior  
38 muscle at three different ankle positions (5° dorsiflexion [DF], 5° plantar flexion [PF], 15° PF) and one distal  
39 location at a constant ankle position (5° PF distal). Ultrasound imaging of tibialis anterior fascicles, centred  
40 on the location of the needle probe, was performed for each condition to estimate fascicle length. Sarcomere  
41 length and fascicle length increased with increasing muscle-tendon unit length, although the correlation  
42 between sarcomere length change and muscle fascicle length change was only moderate ( $r^2 = 0.45$ ). Passive  
43 sarcomere length was longer at the distal imaging site than the proximal site ( $P = 0.01$ ). When sarcomere  
44 number was estimated from sarcomere length and fascicle length, there were fewer sarcomeres in the fibres  
45 of distal location than the proximal location ( $P = 0.01$ ). These data demonstrate that fascicle length changes  
46 are representative of sarcomere length changes, although significant variability in sarcomere length exists  
47 within a muscle, and sarcomere number per fibre is region dependent.

## 48 Introduction

49 The length of sarcomeres that are arranged in-series within a striated muscle fibre is one of the most  
50 important determinants of muscle force. Sarcomere length influences overlap of actin and myosin, which  
51 affects contractile force (20), calcium sensitivity and activation dynamics (17, 41) and muscle energetics (2).  
52 Consequently, to understand the mechanics of in-vivo muscle contraction it is important to understand how  
53 sarcomere length varies with muscle length changes (34). The relationship between muscle length and  
54 sarcomere length is dependent upon the number of sarcomeres in series within the muscle's fibres, and this  
55 number has a strong influence on sarcomere strains and strain rates during movement.

56 Sarcomere length and operating range vary considerably both within and across species (8). However, there  
57 is general consensus that the average operating length range of sarcomeres favours force production for the  
58 tasks required for that particular muscle (36, 48) and that sarcomere arrangement is likely to be an important  
59 adaptation of muscle to chronic changes in mechanical loading. For instance, stretching muscle passively or  
60 actively increases the number of sarcomeres in series within a muscle fibre (58), whereas muscle denervation  
61 in a shortened position can cause a reduction in sarcomere number for a given muscle (59). Such adaptations  
62 are variable and likely dependent on the specific mechanical stimulus experienced (9, 10) and on muscle  
63 architecture [e.g. pennation angle (22)].

64 There are several methods to assess sarcomere length in different muscle preparations. Muscle fixation  
65 followed by fibre dissection and direct measurement using light microscopy has been used to characterise  
66 the diversity of sarcomere lengths within different muscles (15, 16). Laser diffraction is another method that  
67 can be used in intact muscle (33), muscle biopsies (50) and fully dissected muscles (18). Laser diffraction has  
68 provided invaluable information about human sarcomere arrangement and adaptation (31, 32); however this  
69 method is relatively invasive and is typically done under surgical conditions. Microendoscopy using second  
70 harmonic generation (SHG) imaging is a promising new method to assess *in vivo* sarcomere lengths in both  
71 human and animal muscle (35). Recent investigations using novel needle probes have provided new  
72 information about the both the relationship of sarcomere length to joint position in passive muscles (11-13)  
73 and the time course of muscle twitches (49). These investigations have demonstrated variability in  
74 sarcomere lengths within and across muscles (13), which is in general agreement with similar measures made  
75 using table top SHG imaging on intact muscle (42) or direct imaging across frozen sections of muscle (44).

76 Although measures of passive sarcomere length within specific muscles are useful, to gain insight into the  
77 number of sarcomeres per fibre, estimates of muscle fibre lengths are also required (34). Ultrasound imaging  
78 has become a popular tool for measuring fascicle length, which is often used as a proxy for fibre length  
79 assuming the fibre length is the same as fascicle length (14). Classic studies using the ultrasound technique  
80 in human lower limb muscles have shown that during isometric contractions, muscle fascicles can shorten  
81 up to 35% of the initial length (27, 38, 43). This has implications when considering the relationship between  
82 passive and active sarcomere length measurements. It is common to use measures of human fascicle length  
83 as a proxy for sarcomere number because of ease of measurement. For example, by determining the  
84 optimum fascicle length during contraction and assuming an optimum sarcomere length of 2.64 (55), one  
85 can estimate the total number of sarcomeres within the imaged muscle fibres (37). The limitation of this  
86 approach is that it relies on local measures of fascicle length changes, ignores potential sarcomere and fibre  
87 length heterogeneity within the muscle (34, 54, 57), and makes assumptions about optimal sarcomere  
88 length.

89 Here we provide the first simultaneous *in vivo* measurement of both fascicle length and sarcomere length in  
90 passive human muscle, so that estimates of sarcomere numbers per fibre can be determined. The first aim  
91 was to use microendoscopy to explore the relationship between passive fascicle length and passive

92 sarcomere length within the same region of the human tibialis anterior muscle for different muscle-tendon  
93 unit lengths. We hypothesised that sarcomere number calculations should be consistent across muscle-  
94 tendon unit lengths, as sarcomere numbers should not change. We also hypothesised that as muscle-tendon  
95 unit length was passively changed, fascicle length changes would be correlated with sarcomere length  
96 changes, as is typically assumed (60). The second aim was to determine whether sarcomere and fascicle  
97 length relationships are homogenous across different regions of the human tibialis anterior muscle, at a  
98 single muscle-tendon unit length. We hypothesised that passive sarcomere length would vary across  
99 different regions, based on results from numerous studies in animal muscles (42, 44, 54, 57), and as such,  
100 that the sarcomere number estimated per fibre would vary depending on muscle fibre location.

## 101 Methods

### 102 Protocol

103 Eight healthy participants [6 male and 2 female; age =  $31 \pm 4$  years; height =  $1.78 \pm 0.1$  cm; mass =  $73.4 \pm$   
104  $14.1$  kg (mean  $\pm$  standard deviation)] who were free from lower limb injury or neuromuscular disorders  
105 provided written consent to participate in this study. The Stanford University Institutional Review Board and  
106 The University of Queensland Human Research Ethics Committee approved the experimental protocol.

107 Participants sat in a chair with their knee flexed at a constant angle of approximately  $15^\circ$  from full extension  
108 and their foot strapped to a rigid foot-plate such that the ankle was in an anatomically neutral position (Figure  
109 1). The angle of the foot plate could be adjusted to place the ankle in three different positions:  $15^\circ$  plantar  
110 flexion ( $15^\circ$ PF),  $5^\circ$  plantar flexion ( $5^\circ$ PF), and  $5^\circ$  dorsiflexion ( $5^\circ$ DF), as measured by the angle made by the  
111 line between the fibula head and lateral malleolus and the line made by the base of the foot along the foot  
112 plate. The range of ankle angles ( $5^\circ$ DF -  $15^\circ$ PF) was selected to correspond to the plateau and ascending limb  
113 of the length-tension relationship based on torque vs. angle data during maximum voluntary contractions,  
114 and to avoid passive tension in the muscle (40).

115 Sarcomere and fascicle length measures were first made in a proximal region of the tibialis anterior muscle  
116 using a microendoscope needle probe (see Sarcomere Imaging and Analysis section for details) and B-mode  
117 ultrasound imaging (see Ultrasound Imaging and Analysis section). The needle probe was inserted so that the  
118 imaging site was approximately 1.5 cm deep in the superficial compartment (before the probe was drawn  
119 out) and 3 cm distal to the proximal end of the central aponeurosis, identified by ultrasound imaging (Figure  
120 1). To image multiple muscle fibres within the muscle region, the needle/microscope was slowly drawn out  
121 of the muscle by up to 1cm (without removing it from the muscle) and reinserted to the initial depth when  
122 imaging was complete. Measurements were performed at each of the three different ankle angles in a  
123 randomly selected order. Between each ankle angle, the microscope attached to the needle probe was  
124 removed but the needle remained within the muscle to ensure that the same region of muscle fascicles was  
125 imaged across different ankle positions. Note to accommodate the length change of the fascicles, the needle  
126 probe rotated by approximately  $15^\circ$ , however the microscope could still be attached to the probe and held  
127 by the operator. Prior to moving between ankle positions, ultrasound images of the fascicles were collected  
128 such that the embedded needle sat directly next to the middle of the transducer (see Ultrasound Imaging  
129 and Analysis section for details and Figure 2).

130 Sarcomere and fascicle length measures were then made at a more distal location (approximately 4 cm from  
131 the distal end of the superficial compartment of the tibialis anterior muscle) with the ankle at 5 degrees PF  
132 by re-inserting the microendoscope needle probe (Figure 1). The time between removal of the needle probe  
133 from the proximal region to the insertion in the distal region was approximately 10 minutes, during which  
134 time the needle was placed in a disinfecting solution. The distance between insertion points was  
135 approximately 4-8 cm, depending on the length of superficial compartment of the TA muscle.

## 136 Sarcomere Imaging and Analysis

137 Sarcomeres were imaged using a microendoscope system that accessed the muscle via a needle probe (2 cm)  
138 with a side-mounted lens (49). A commercially available system (Zebrascope, Zebra Med Tech, CA) that uses  
139 second harmonic generation (SHG) imaging to visualise the repeating patterns of thick filaments (myosin)  
140 was used. A 1030 nm, femtosecond excitation pulse was directed out the side of a transmitting needle via a  
141 small lens centred 4 mm from tip of the needle. Unlike previous designs, which excite and receive the  
142 reflected signal in a single lens (35, 49), in the present study the emitted SHG signal was collected through a  
143 receiving lens in a separate needle that lay parallel to transmitting needle at a distance of 1 mm (Figure 2,  
144 inset). This has additional advantages in that the received signal strength is stronger and less susceptible to  
145 interference due to blood or fluid around the needles. The imaging distance was adjustable between 0 and  
146 150  $\mu\text{m}$  from the surface of the emitting needle.

147 The needle probe is attached to a housing that aligns the laser to a handheld microscope, which subsequently  
148 interfaces with the laser. The needles were inserted into the muscle using a spring-loaded device that rapidly  
149 inserts the needles. Prior to insertion, B-mode ultrasound imaging (LogicScan, Telemed, Lithuania) was  
150 performed using a flat shaped ultrasound transducer (6 cm transducer width, mean frequency 6 MHz) to  
151 determine the line of action of the muscle fascicles (Figure 2). The correct plane of the fascicles was assumed  
152 to be the plane where muscle fascicles were clearly visible and continuous throughout the image of the  
153 superficial compartment and where a clear central aponeurosis was visible and approximately perpendicular  
154 to the imaging plane (6). The ultrasound image was also used to define the proximal and distal insertion sites  
155 based on the criteria described above. The probe was then inserted so that the transmitting and emitting  
156 needles were inserted approximately in the middle of the image and along the plane of the image so that the  
157 line from one lens to the other was approximately perpendicular to the fascicle plane. As such, the fibres of  
158 interest should have been uncompromised between the two needles. The microscope was then attached to  
159 the needle probe to begin imaging.

160 A sequence of images was collected as the microscope and needle were slowly moved in and out of the  
161 muscle as has previously been reported (11, 12). Image depth was approximately 5-15 mm into the muscle,  
162 limited by the length of the needle and the thickness of skin and subcutaneous fat. Images were collected at  
163 1 Hz with the operator being able to see the images in real-time. A second operator adjusted the image depth  
164 and power of the signal to obtain as clear images as possible as the images were recorded to file for  
165 subsequent analysis. Sequences of images ranging from 20 seconds to 2 minutes were collected while  
166 reasonable images were detected visually by the operators.

167 Image sequences were then analysed using a modified process that was previously reported (11, 12). First, a  
168 fast Fourier transform and a Gaussian filter were applied to the image. White noise was then subtracted from  
169 the Fourier image and the strongest frequency spectrum between that predicted for sarcomere lengths  
170 between 1.5 and 5  $\mu\text{m}$  was calculated across the image. Feasible images were selected based on the intensity  
171 of the image and signals that fell within the set sarcomere length range and these images were used for  
172 further analysis. To ensure that single fibres were analysed separately, feasible images were then examined  
173 by an operator who placed regions of interest along the length of any separate visible fibres within the image  
174 (between 1 and 3 fibres can be distinguished at once). The same Fourier transform calculation of sarcomere  
175 lengths was then performed on each region of interest (Figure 2) to get the sample sarcomere length, which  
176 represents the average sarcomere length across the region of interest ( $\sim 100 \mu\text{m}$  in length). Individual fibres  
177 were only selected once within a sample, to the best of the ability of the image analyser (GL). Between 6-90  
178 separate muscle fibre images (mean  $28.4 \pm 19.2$ ) were collected and used in the analysis for every ankle  
179 position (or location within the muscle), for each participant. The number of suitable images was assessed  
180 offline, post collection, depending on quality of image sequences.

## 181 Ultrasound Imaging and Analysis

182 B-mode ultrasound images were acquired when the microscope was removed from the needle, but while the  
183 needle was still embedded in the muscle for each insertion site and at each joint angle. The same ultrasound  
184 system used to determine the insertion point for the microscope needle probe (see above) was used to  
185 determine muscle fascicle length in the same region of muscle as the needle. Ultrasound images were  
186 acquired with the ultrasound transducer as close to the needle insertion point as possible by aligning the flat  
187 ultrasound transducer next to the needle connector (Figure 2) and in an orientation to obtain clear,  
188 continuous images of fascicles and aponeurosis in the superficial compartment (see image examples in Figure  
189 1) and ensuring that the fascicles were at the maximum length. Although it was not possible to image the  
190 same fascicles that were imaged between the needles on the probe, fascicle images within approximately 5  
191 mm from the imaging site and at the same proximo-distal location were imaged. Fascicle length is likely to  
192 be homogenous within this close range. Muscle fascicle length was determined as the straight-line distance  
193 from superficial aponeurosis to the central aponeurosis, along the line of action of the fascicles, within the  
194 middle of the image (14, 47). All distances were converted from the pixel scale to millimetre scale using the  
195 known depth and width calibration factors of the image.

## 196 Statistical Analysis

197 Sarcomere length data from each condition (proximal 5°DF, 5°PF, 15°PF and distal 5°PF) were averaged across  
198 each individual and a one-way repeated measures ANOVA was used to assess the effect of ankle joint angles  
199 on the sarcomere number, sarcomere length, and fascicle lengths at the proximal imaging location. A paired  
200 Student's t-test was used to assess the effect of proximal vs. distal imaging location (in the 5°PF ankle position  
201 only) on sarcomere number, sarcomere length and fascicle length. Multiple linear regression was used to  
202 establish potential relationships between fascicle length changes and sarcomere length changes across all  
203 measurements at the proximal location, using each participant as a categorical predictor (sarcomere length  
204 \* participant) to account for multiple measurements made across participants in the data used in the  
205 regression (4). Fascicle and sarcomere length changes were expressed relative to the mean value across all  
206 measurements for each individual, to account for individual variation. To understand the variability of  
207 measurements, the coefficient of variation was determined for each individual and at each measurement  
208 site. All statistical tests were conducted in Matlab using SPM1D.org software (version 0.4) with the alpha  
209 level set at  $P < 0.05$ .

## 210 Results

211 Individual participant sarcomere data were averaged across measurements made at each joint angle or  
212 location. This was based on the following average number of sarcomere measurements per participant at  
213 each of the joint angles or imaging locations: 5°DF:  $21 \pm 12$  measurements per participant; 5°PF:  $36 \pm 19$   
214 measurements per participant; 15°PF:  $21 \pm 13$  measurements per participant; 5°PF (distal location):  $35 \pm 27$   
215 measurements per participant.

216 A box and whisker plot (mean, 25<sup>th</sup> and 75<sup>th</sup> percentile) and individual average data points for sarcomere  
217 length, fascicle length and sarcomere number at different ankle flexion angles is shown in Figure 3. At the  
218 proximal site of imaging, sarcomere length increased significantly ( $P = 0.016$ ) with ankle angle change from  
219 the dorsiflexed position (5°DF) to the plantar flexed position (15°PF). There was also a significant increase in  
220 length of the fascicles with the same change in ankle position ( $P < 0.001$ ). There was no significant difference  
221 in sarcomere number when estimated from the sarcomere and fascicle lengths at each of the three ankle  
222 joint angles in the proximal imaging position ( $P = 0.502$ ).

223 A box and whisker plot and individual average data points for sarcomere length, fascicle length and  
224 sarcomere number in different regions of the muscle is shown in Figure 4. Sarcomere length was greater in



225 the proximal than distal imaging locations in 5°PF ankle position ( $P = 0.011$ ). There was a tendency for shorter  
226 fascicles in the distal region, but this difference was not significant ( $P = 0.084$ ). When sarcomere number was  
227 estimated from these two measures, there was a significantly lower sarcomere number in the distal location  
228 than the proximal location ( $P = 0.013$ ).

229 Relationships between sarcomere length change and fascicle length change, for the proximal imaging  
230 location, are shown in Figure 5. Length changes were calculated relative to the average lengths for each  
231 individual across all joint angles measured. There was a significant positive correlation ( $P < 0.006$ ) between  
232 the length change of the sarcomeres and that of the fascicles. The variance in fascicle length change predicted  
233 45% of the variance in the sarcomere length change when adjusted for individuals to account for multiple  
234 measures for each individual.

235 The variance in measurements across individuals and measurement sites is shown in Figure 6. There was a  
236 large variation of sarcomere lengths within individuals, with an average co-efficient of variation (CV) of 7.81%  
237 ( $\pm 2.48\%$ ). The variance was similar across all conditions ( $P = 0.449$ ) (Figure 6B).

## 238 Discussion

239 In agreement with our first hypothesis, we found that estimates of sarcomere number are consistent across  
240 different muscle-tendon unit lengths when average measures are made from the same region of muscle  
241 using microendoscopy combined with ultrasound imaging. Measured sarcomere length and fascicle length  
242 both increased significantly with muscle-tendon unit length, and there was a moderate positive correlation  
243 between sarcomere length change and fascicle length change when using the microendoscopy technique to  
244 determine mean sarcomere length from a relatively large sample of images from the muscle. This relationship  
245 only explained 45% of the overall variance, which is best explained by variability in measurement within  
246 participants and potential errors in measurement of length for both microendoscopy (sarcomere) and  
247 ultrasound (fascicle) measurements. In support of our second hypothesis, sarcomere number per fibre was  
248 greater in the proximal region of the muscle than the distal region, despite similar muscle fascicle lengths.  
249 This result suggests heterogeneity of sarcomere number and length between regions of individual human  
250 muscles and has implications for how fascicle level mechanics can be interpreted in terms of the stresses and  
251 strains that muscle fibres might experience during contractions or movement.

252 Sarcomere number for a given fibre cannot change with changes in muscle length due to joint rotation. The  
253 finding of constant sarcomere number for average measures made from a single region of the muscle adds  
254 confidence in the use of the microendoscopy to quantify sarcomere lengths/numbers *in vivo*, without  
255 necessarily validating the measurements. Using ultrasound imaging to assess fascicle lengths is known to be  
256 susceptible to errors due to transducer alignment, although this is generally unbiased (7). While we used  
257 procedures to try ensure optimum alignment (6), errors in fascicle length certainly confound the relationship  
258 between fascicle length changes and sarcomere length changes. However, there are also additional  
259 considerations when applying the microendoscopy technique to measure sarcomere length that should also  
260 be considered. We first consider whether the sarcomere lengths measured agree with expected lengths.

261 The resting sarcomere lengths for all locations and ankle positions were considerably higher than the  
262 predicted optimum ( $2.64 \mu\text{m}$ ) (55), even at  $15^\circ$  PF, which is the known optimal angle for maximum  
263 dorsiflexion force production (37). The likely explanation for this discrepancy is the large length changes that  
264 occur during contraction as the muscle stretches the in-series elastic tissues. Maganaris and Paul (39)  
265 measured TA muscle belly shortening during isometric contraction to be on the order of 18% (12 mm).  
266 Attributing this 18% shortening entirely to the sarcomeres would estimate that the active sarcomere length  
267 in the  $15^\circ$  plantar flexed position to be  $2.56 \mu\text{m}$ , which is in the region of optimum sarcomere lengths that  
268 has been estimated for human muscle (32, 55). In another study, maximum isometric contractions were

269 performed at different ankle positions to estimate the optimal fascicle length (37). Assuming that optimal  
270 fascicle length corresponded to when most sarcomeres were at optimal lengths (2.64  $\mu\text{m}$ ), Maganaris (37)  
271 estimated the number of sarcomeres in the TA muscle fibres at 21 500 for a scanning location similar to the  
272 more proximal measurements made here. This estimate of sarcomere number is remarkably close to our  
273 estimates (mean 21 712 sarcomeres in proximal region) based on direct measures of passive sarcomere  
274 length and fascicle length. Our estimate is also extremely close to the direct measures of sarcomere number  
275 per fibre from the TA of human cadavers (21 751 sarcomeres per fibre) (56). Although the average sarcomere  
276 length measurements are in accordance with expectations, the interpretation further demonstrates the  
277 requirement to consider the influence of series compliance, as previously demonstrated (29, 33), when  
278 inferring optimal muscle fibre lengths or muscle-tendon unit lengths from passive measurements of  
279 sarcomere lengths.

280 There was considerable between- and within-subject variability in sarcomere length measurements within a  
281 single region of muscle at the same ankle position (Figure 6). This likely contributed to the moderate  
282 relationship between sarcomere length change and fascicle length change across all individuals. The between  
283 participant variability is to be expected, and is likely due to differences in how the muscle is used during  
284 everyday life. For instance, participants who regularly undertake exercise that involves eccentric contraction  
285 (e.g. downhill walking), might have shorter sarcomeres across at comparable ankle positions (10). However,  
286 we also found large within participant variability. The coefficients of variation averaged 7.81% across all  
287 measurement sites within individuals. A major proportion of this variability is likely explained by natural  
288 variation within the muscle and is within the range of reported variance measured from both dissected  
289 animal muscle (44) and *in situ* muscle (42). For example, a recent study using SHG imaging to examine  
290 sarcomere length within an *in situ* mouse muscle (42) found considerable variability both within and across  
291 different muscle regions, with a coefficient of variation of approximately 5% across all sites of the same  
292 muscles (and up to 8% at shortest lengths).

293 Several methodological details might also contribute to the variability of our measurements. To sample  
294 sarcomeres from multiple fibres in each region, we withdrew the needle probe through the muscle from  
295 deep to superficial regions. Due to the pennation angle of the fibres, we will have sampled from different  
296 regions of individual fibres, primarily from the mid- to distal-regions of the fibres. There is evidence from  
297 both isolated fibres (25, 26) and whole muscle (42, 52) suggesting that sarcomere lengths may differ between  
298 sites along a muscle and hence this could contribute to some of the variability we measured. The lack of  
299 systematic control of where we imaged in each fibre means that we have randomly sampled and makes it  
300 difficult to reconcile the source of variability in our measures. Sampling at different locations in the same  
301 fibre/s was beyond the scope of this study, but would certainly provide greater insight into the source of the  
302 variability and particularly the potential for sarcomere length change heterogeneity across and between  
303 individual fibres in different locations of the muscle. There is some early evidence that fibre strains in human  
304 muscle may be highly heterogeneous during both passive length changes (45) and light contractions (28).  
305 This could help explain the only moderate relationship between muscle length changes and measured  
306 sarcomere length changes and questions the assumption that fibre length changes directly reflect sarcomere  
307 length changes.

308 Some of the variance in our study is also likely attributable to the measurement technique. For instance, the  
309 imaged fibre section may be slightly distorted by up to 9% due to the needle or image plane (12), however  
310 this correction (which represents the maximum possible distortion) has recently been considered to be not  
311 required (51), likely because most of the fibres that are imaged are farther from the needle where distortion  
312 is minimal. Finally, it is also possible that some fibres may be damaged by the needles or some fibres might  
313 not be completely passive during imaging (i.e. low levels of underlying activation).



314 Overall, it is clear that with a sufficient number of measures, reasonable estimates of mean sarcomere length  
315 can be made, which result in consistent sarcomere number estimates at different muscle lengths. This  
316 highlights one limitation of the imaging methods – a relatively large sample size is needed to ensure a  
317 representative mean value is obtained and this is limited to relatively small areas of the muscle that is  
318 sampled. Another promising sarcomere imaging technique recently proposed, termed resonant reflection  
319 spectroscopy, samples much greater regions of muscle with good temporal resolution and minimal  
320 invasiveness. Although that technique may yield lower variability in individual measurements (61), there are  
321 presently no reports that have used this technique in human muscle.

322 In agreement with some previous literature (42, 44), we found different sarcomere lengths in different  
323 regions of the muscle despite no change in muscle-tendon unit length. Our study is unique in that we were  
324 also able to determine the fascicle length corresponding to the imaging region, and the fascicle length was  
325 similar for both the proximal and distal regions imaged. Combined with the longer sarcomere lengths, this  
326 resulted in significantly smaller sarcomere numbers in the muscle fibres in distal region of the human tibialis  
327 anterior muscle. This difference in sarcomere length could result in up to a 20% difference in force potential  
328 upon initial activation, based on a standard length-tension relationship of sarcomeres, scaled for human  
329 muscle (Figure 7). We speculate that the difference in the sarcomere number may relate to the strains  
330 experienced during active contractions or movement profiles. There is evidence that muscles fibres  
331 experience variable strains within different regions of muscle during passive length changes (52) and dynamic  
332 contractions (1). Simulation studies suggest that this is driven by differences in how muscles must distort  
333 during contraction (23) and other factors like myofascial force transmission (24, 62). Such differences in strain  
334 amplitudes could provide stimulus for having heterogeneity in sarcomere lengths across the muscle and may  
335 influence force generating capacity under different conditions, and this heterogeneity has been suggested to  
336 improve the force generating capacity of muscle through the range(23).

337 In human muscle, ultrasound imaging studies have reported conflicting reports regarding whether fascicles  
338 experience uniform strains during active contractions. The gastrocnemius has been shown to undergo  
339 relatively homogenous strain throughout (21, 30), however other human muscles such as the biceps femoris  
340 (3) and biceps brachii (46) muscles have shown some regional differences in fascicle length and shortening  
341 during contraction. It is possible that the sarcomere number may be regulated to ensure that sarcomeres  
342 operate at more uniform or optimum lengths during contraction, based on the shortening or strain  
343 experienced in the relevant portion of the muscle. Our hypothesis from the current data would be that if all  
344 fibres shorten a similar amount during contraction, fibres in the distal portion of tibialis anterior would  
345 undergo greater relative shortening during fixed-end muscle contraction than fibres in the proximal region  
346 of this muscle. Under this paradigm, sarcomeres in the distal part of the TA would reach similar lengths to  
347 sarcomeres in the proximal region once the muscle is in a contracted state, despite starting from a longer  
348 initial sarcomere length. This requires further experimentation and/or simulations to confirm.

349 The results of this study have important implications for understanding muscle mechanics and adaptation.  
350 First, it is clear from the present data that there is a moderate linear relationship between sarcomere length  
351 changes and fascicle length changes when stable estimates of measures are obtained from averaging multiple  
352 samples. Therefore, it is reasonable to assume that changes in fascicle length reflect changes in sarcomere  
353 length across the muscle. However, there was variability in individual measurements from the same muscle  
354 and hence a sufficient number of samples needs to be measured from an individual muscle region to accurately  
355 determine average sarcomere lengths. Second, in lower limb muscles which have substantial in series  
356 compliance, such as the tibialis anterior, the passive sarcomere length may be substantially longer than the  
357 optimal length. It is presently technically difficult to sample sarcomere lengths from active muscle, however  
358 the significant shortening that is known to occur during isometric contraction should be accounted for when

359 trying to predict optimum lengths. Third, sarcomere numbers varied between different muscle regions, even  
360 when muscle fascicles were of similar length. This has implications for interpreting passive fascicle length  
361 differences in both cross-sectional and prospective studies. For instance, various concentric or eccentric  
362 strength training protocols (e.g. 5, 53) have been shown to induce changes in passive fascicle length at  
363 specific joint configurations. However, it is difficult to determine whether this would directly relate to  
364 changes in sarcomere number or overall lengthening of sarcomeres. It is also difficult to determine whether  
365 adaptations might be consistent across different regions across the muscle. For instance, there is some recent  
366 evidence that focal adhesion kinase (FAK), a mechanotransduction protein, is activated after eccentric and  
367 concentric exercise in a region-dependent manner in human muscle (VL), with the largest effects in the distal  
368 site of the muscle (19), which could regulate region-specific adaptations. However, the only way to assess  
369 whether sarcomere level adaptations occurs in different regions of the muscle would be through direct  
370 sarcomere length measurement, as has been achieved here. The method used here would therefore be  
371 generally useful for investigation of adaptation in structure and function in response to training, disuse or  
372 pathology.

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## 380 Author Contributions

381 All authors were involved in the conception or design of the research and drafting the work or revising it  
382 critically for important intellectual content. G.L., D.F., X.C., and S.D. were involved in acquisition, analysis and  
383 interpretation of data for the work.

## 384 Disclosures

385 S.D. has co-founded and has a financial interest in the company that developed the microendoscopy  
386 technology (Zebra Medical Technologies Inc.). All other authors have no other competing interests.

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526  
527

## 528 Figure Legends

529

530 **Figure 1:** Imaging sites on the tibialis anterior muscle for both ultrasound and microendoscopy. Note that the  
531 microendoscopy needle was inserted in the mid-region of the ultrasound image, but the ultrasound  
532 transducer was placed adjacent to the needle, such that the fascicle image was made ~0.5 cm lateral to the  
533 sarcomere measures.

534 **Figure 2:** Experimental equipment and imaging setup. (Left) The needles (inset image) were inserted in the  
535 muscle (red square) and ultrasound imaging was conducted parallel to this site. (Left inset) Image showing  
536 needle probe used in study, which includes two needles (emitter and collector). (Right) Images collected  
537 using the SHG imaging technique. White box indicates the region where the sarcomere length was calculated  
538 using Fourier analysis. Ripples at left and right of image indicate borders of muscle fibre with adjacent fibres.

539 **Figure 3:** Sarcomere length and fascicle length increased significantly as the ankle was moved from a dorsi-  
540 flexed to a plantar flexed position, whereas calculated sarcomere number remained constant. Change in  
541 sarcomere length (A), fascicle length (B) and calculated sarcomere number (C – fascicle length divided by  
542 sarcomere length) are shown for each ankle position: 5° dorsi-flexion (5°DF '+' symbols), 5° plantar flexion  
543 5°PF – 'o' symbols) and 15° plantar flexion (15°PF – 'x' symbols) when measured in the proximal location  
544 only. Average measurements for each individual (N = 8) are shown using points. Box indicates spread  
545 between the 25<sup>th</sup> and 75<sup>th</sup> percentile of the variance and whiskers indicate extreme data points, neglecting  
546 any outliers (red symbols).

547 **Figure 4:** Sarcomere lengths were significantly longer in the distal location compared to proximal, while  
548 fascicle length remained unchanged in the 5°PF position, resulting in reduced sarcomere numbers per fibre  
549 in the distal region. Sarcomere length (A), fascicle length (B) and calculated sarcomere number (C – fascicle  
550 length divided by sarcomere length) as a function of muscle imaging location: Proximal (5°PF 'o' symbols),  
551 Distal (5°PF '∅' symbols). Averages measurements for each individual (N = 8) are shown using points. Box  
552 indicates spread between the 25<sup>th</sup> and 75<sup>th</sup> percentile of the variance and whiskers indicate extreme data  
553 points, neglecting any outliers ('+' symbols outside whiskers).

554 **Figure 5:** A significant, but modest, correlation between fascicle length change and sarcomere length change  
555 across all individuals. Relationship between fascicle length changes (relative to average across all ankle joint  
556 positions) and sarcomere length changes (relative to average across all ankle joint positions) in the proximal  
557 insertion site. Data points are averages for each individual with different symbols representing points  
558 measured at different joint ankle angles (5°DF '+' symbols, 5°PF 'o' symbols, 15°PF 'x' symbols).

559 **Figure 6:** Variability in sarcomere length measurements across different joint positions and locations. A)  
560 Individual data points for each individual participant (indicated by individual column of data points, each with  
561 a different colour, N = 8) at each joint position for proximal measurement and the distal measurement site.  
562 B) Coefficient of variation across all measurements of sarcomere length at each joint position for proximal  
563 measurement and the distal measurement site (Data are group mean ± s.d.).

564 **Figure 7:** Theoretical relationship between sarcomere length and force generating potential and mean ±  
565 standard deviation of sarcomere lengths for each measurement angle in the proximal imaging location (5°DF  
566 '+', 5°PF Proximal 'o', 15°PF 'x') and for the distal imaging location (5°DF Distal '∅'). The theoretical curve is  
567 based off the curve for vertebrate muscle reported by Burkholder & Lieber (8) and scaled based on an  
568 estimated optimal sarcomere length of 2.64 (55).