

1 [Title Page](#)

2 **Title**

3 Microendoscopy reveals positive correlation in multiscale length changes and variable sarcomere
4 lengths across different regions of human muscle.

5 **Authors**

6 Glen A. Lichtwark ¹, Dominic J. Farris ^{1,2}, Xuefeng Chen ³, Paul W. Hodges ⁴, Scott L. Delp ³

7 **Affiliation**

8 ¹ The University of Queensland, School of Human Movement & Nutrition Sciences, Centre for Sensorimotor
9 Performance, Brisbane, QLD, Australia

10 ² The University of Exeter, Sport and Health Sciences, College of Life and Environmental Sciences, Exeter, UK

11 ³ Stanford University, Department of Bioengineering, Stanford, CA, USA

12 ⁴ The University of Queensland, School of Health and Rehabilitation Sciences, Centre for Clinical Research
13 Excellence in Spinal Pain, Injury and Health, Brisbane, QLD, Australia

14 **Running Head**

15 Sarcomere and fascicle length changes in passive human muscle

16 **Keywords**

17 muscle fascicle, fibre, second harmonic generation, biomechanics

18 **Address for Correspondence**

19 Glen Lichtwark

20 School of Human Movement and Nutrition Sciences, The University of Queensland, QLD, Australia,
21 4072

22 Email: g.lichtwark@uq.edu.au

23 Telephone: +61 7 33653401

24 New and Noteworthy

- 25 • Sarcomere and fascicle lengths were measured *in vivo* from human muscle to examine the
26 relationship between the different scales of organisation.
- 27 • Changes in fascicle length were moderately related to sarcomere length changes, however
28 sarcomere length and number per fibre varied from proximal to distal regions of the muscle.
- 29 • Differences in average sarcomere operating lengths across the muscle suggests potentially different
30 stresses or strains experienced within different regions of muscle.

31 Abstract

32 Sarcomere length is a key physiological parameter that affects muscle force output; however, our
33 understanding of the scaling of human muscle from sarcomere to whole muscle is based primarily on
34 cadaveric data. The aims of this study were to explore the *in vivo* relationship between passive fascicle length
35 and passive sarcomere length at different muscle-tendon unit lengths and determine whether sarcomere
36 and fascicle length relationships are the same in different regions of muscle. A microendoscopy needle probe
37 capable of *in vivo* sarcomere imaging was inserted into a proximal location of the human tibialis anterior
38 muscle at three different ankle positions (5° dorsiflexion [DF], 5° plantar flexion [PF], 15° PF) and one distal
39 location at a constant ankle position (5° PF distal). Ultrasound imaging of tibialis anterior fascicles, centred
40 on the location of the needle probe, was performed for each condition to estimate fascicle length. Sarcomere
41 length and fascicle length increased with increasing muscle-tendon unit length, although the correlation
42 between sarcomere length change and muscle fascicle length change was only moderate ($r^2 = 0.45$). Passive
43 sarcomere length was longer at the distal imaging site than the proximal site ($P = 0.01$). When sarcomere
44 number was estimated from sarcomere length and fascicle length, there were fewer sarcomeres in the fibres
45 of distal location than the proximal location ($P = 0.01$). These data demonstrate that fascicle length changes
46 are representative of sarcomere length changes, although significant variability in sarcomere length exists
47 within a muscle, and sarcomere number per fibre is region dependent.

48 Introduction

49 The length of sarcomeres that are arranged in-series within a striated muscle fibre is one of the most
50 important determinants of muscle force. Sarcomere length influences overlap of actin and myosin, which
51 affects contractile force (20), calcium sensitivity and activation dynamics (17, 41) and muscle energetics (2).
52 Consequently, to understand the mechanics of in-vivo muscle contraction it is important to understand how
53 sarcomere length varies with muscle length changes (34). The relationship between muscle length and
54 sarcomere length is dependent upon the number of sarcomeres in series within the muscle's fibres, and this
55 number has a strong influence on sarcomere strains and strain rates during movement.

56 Sarcomere length and operating range vary considerably both within and across species (8). However, there
57 is general consensus that the average operating length range of sarcomeres favours force production for the
58 tasks required for that particular muscle (36, 48) and that sarcomere arrangement is likely to be an important
59 adaptation of muscle to chronic changes in mechanical loading. For instance, stretching muscle passively or
60 actively increases the number of sarcomeres in series within a muscle fibre (58), whereas muscle denervation
61 in a shortened position can cause a reduction in sarcomere number for a given muscle (59). Such adaptations
62 are variable and likely dependent on the specific mechanical stimulus experienced (9, 10) and on muscle
63 architecture [e.g. pennation angle (22)].

64 There are several methods to assess sarcomere length in different muscle preparations. Muscle fixation
65 followed by fibre dissection and direct measurement using light microscopy has been used to characterise
66 the diversity of sarcomere lengths within different muscles (15, 16). Laser diffraction is another method that
67 can be used in intact muscle (33), muscle biopsies (50) and fully dissected muscles (18). Laser diffraction has
68 provided invaluable information about human sarcomere arrangement and adaptation (31, 32); however this
69 method is relatively invasive and is typically done under surgical conditions. Microendoscopy using second
70 harmonic generation (SHG) imaging is a promising new method to assess *in vivo* sarcomere lengths in both
71 human and animal muscle (35). Recent investigations using novel needle probes have provided new
72 information about the both the relationship of sarcomere length to joint position in passive muscles (11-13)
73 and the time course of muscle twitches (49). These investigations have demonstrated variability in
74 sarcomere lengths within and across muscles (13), which is in general agreement with similar measures made
75 using table top SHG imaging on intact muscle (42) or direct imaging across frozen sections of muscle (44).

76 Although measures of passive sarcomere length within specific muscles are useful, to gain insight into the
77 number of sarcomeres per fibre, estimates of muscle fibre lengths are also required (34). Ultrasound imaging
78 has become a popular tool for measuring fascicle length, which is often used as a proxy for fibre length
79 assuming the fibre length is the same as fascicle length (14). Classic studies using the ultrasound technique
80 in human lower limb muscles have shown that during isometric contractions, muscle fascicles can shorten
81 up to 35% of the initial length (27, 38, 43). This has implications when considering the relationship between
82 passive and active sarcomere length measurements. It is common to use measures of human fascicle length
83 as a proxy for sarcomere number because of ease of measurement. For example, by determining the
84 optimum fascicle length during contraction and assuming an optimum sarcomere length of 2.64 (55), one
85 can estimate the total number of sarcomeres within the imaged muscle fibres (37). The limitation of this
86 approach is that it relies on local measures of fascicle length changes, ignores potential sarcomere and fibre
87 length heterogeneity within the muscle (34, 54, 57), and makes assumptions about optimal sarcomere
88 length.

89 Here we provide the first simultaneous *in vivo* measurement of both fascicle length and sarcomere length in
90 passive human muscle, so that estimates of sarcomere numbers per fibre can be determined. The first aim
91 was to use microendoscopy to explore the relationship between passive fascicle length and passive

92 sarcomere length within the same region of the human tibialis anterior muscle for different muscle-tendon
93 unit lengths. We hypothesised that sarcomere number calculations should be consistent across muscle-
94 tendon unit lengths, as sarcomere numbers should not change. We also hypothesised that as muscle-tendon
95 unit length was passively changed, fascicle length changes would be correlated with sarcomere length
96 changes, as is typically assumed (60). The second aim was to determine whether sarcomere and fascicle
97 length relationships are homogenous across different regions of the human tibialis anterior muscle, at a
98 single muscle-tendon unit length. We hypothesised that passive sarcomere length would vary across
99 different regions, based on results from numerous studies in animal muscles (42, 44, 54, 57), and as such,
100 that the sarcomere number estimated per fibre would vary depending on muscle fibre location.

101 Methods

102 Protocol

103 Eight healthy participants [6 male and 2 female; age = 31 ± 4 years; height = 1.78 ± 0.1 cm; mass = $73.4 \pm$
104 14.1 kg (mean \pm standard deviation)] who were free from lower limb injury or neuromuscular disorders
105 provided written consent to participate in this study. The Stanford University Institutional Review Board and
106 The University of Queensland Human Research Ethics Committee approved the experimental protocol.

107 Participants sat in a chair with their knee flexed at a constant angle of approximately 15° from full extension
108 and their foot strapped to a rigid foot-plate such that the ankle was in an anatomically neutral position (Figure
109 1). The angle of the foot plate could be adjusted to place the ankle in three different positions: 15° plantar
110 flexion (15° PF), 5° plantar flexion (5° PF), and 5° dorsiflexion (5° DF), as measured by the angle made by the
111 line between the fibula head and lateral malleolus and the line made by the base of the foot along the foot
112 plate. The range of ankle angles (5° DF - 15° PF) was selected to correspond to the plateau and ascending limb
113 of the length-tension relationship based on torque vs. angle data during maximum voluntary contractions,
114 and to avoid passive tension in the muscle (40).

115 Sarcomere and fascicle length measures were first made in a proximal region of the tibialis anterior muscle
116 using a microendoscope needle probe (see Sarcomere Imaging and Analysis section for details) and B-mode
117 ultrasound imaging (see Ultrasound Imaging and Analysis section). The needle probe was inserted so that the
118 imaging site was approximately 1.5 cm deep in the superficial compartment (before the probe was drawn
119 out) and 3 cm distal to the proximal end of the central aponeurosis, identified by ultrasound imaging (Figure
120 1). To image multiple muscle fibres within the muscle region, the needle/microscope was slowly drawn out
121 of the muscle by up to 1cm (without removing it from the muscle) and reinserted to the initial depth when
122 imaging was complete. Measurements were performed at each of the three different ankle angles in a
123 randomly selected order. Between each ankle angle, the microscope attached to the needle probe was
124 removed but the needle remained within the muscle to ensure that the same region of muscle fascicles was
125 imaged across different ankle positions. Note to accommodate the length change of the fascicles, the needle
126 probe rotated by approximately 15° , however the microscope could still be attached to the probe and held
127 by the operator. Prior to moving between ankle positions, ultrasound images of the fascicles were collected
128 such that the embedded needle sat directly next to the middle of the transducer (see Ultrasound Imaging
129 and Analysis section for details and Figure 2).

130 Sarcomere and fascicle length measures were then made at a more distal location (approximately 4 cm from
131 the distal end of the superficial compartment of the tibialis anterior muscle) with the ankle at 5 degrees PF
132 by re-inserting the microendoscope needle probe (Figure 1). The time between removal of the needle probe
133 from the proximal region to the insertion in the distal region was approximately 10 minutes, during which
134 time the needle was placed in a disinfecting solution. The distance between insertion points was
135 approximately 4-8 cm, depending on the length of superficial compartment of the TA muscle.

136 Sarcomere Imaging and Analysis

137 Sarcomeres were imaged using a microendoscope system that accessed the muscle via a needle probe (2 cm)
138 with a side-mounted lens (49). A commercially available system (Zebrascope, Zebra Med Tech, CA) that uses
139 second harmonic generation (SHG) imaging to visualise the repeating patterns of thick filaments (myosin)
140 was used. A 1030 nm, femtosecond excitation pulse was directed out the side of a transmitting needle via a
141 small lens centred 4 mm from tip of the needle. Unlike previous designs, which excite and receive the
142 reflected signal in a single lens (35, 49), in the present study the emitted SHG signal was collected through a
143 receiving lens in a separate needle that lay parallel to transmitting needle at a distance of 1 mm (Figure 2,
144 inset). This has additional advantages in that the received signal strength is stronger and less susceptible to
145 interference due to blood or fluid around the needles. The imaging distance was adjustable between 0 and
146 150 μm from the surface of the emitting needle.

147 The needle probe is attached to a housing that aligns the laser to a handheld microscope, which subsequently
148 interfaces with the laser. The needles were inserted into the muscle using a spring-loaded device that rapidly
149 inserts the needles. Prior to insertion, B-mode ultrasound imaging (LogicScan, Telemed, Lithuania) was
150 performed using a flat shaped ultrasound transducer (6 cm transducer width, mean frequency 6 MHz) to
151 determine the line of action of the muscle fascicles (Figure 2). The correct plane of the fascicles was assumed
152 to be the plane where muscle fascicles were clearly visible and continuous throughout the image of the
153 superficial compartment and where a clear central aponeurosis was visible and approximately perpendicular
154 to the imaging plane (6). The ultrasound image was also used to define the proximal and distal insertion sites
155 based on the criteria described above. The probe was then inserted so that the transmitting and emitting
156 needles were inserted approximately in the middle of the image and along the plane of the image so that the
157 line from one lens to the other was approximately perpendicular to the fascicle plane. As such, the fibres of
158 interest should have been uncompromised between the two needles. The microscope was then attached to
159 the needle probe to begin imaging.

160 A sequence of images was collected as the microscope and needle were slowly moved in and out of the
161 muscle as has previously been reported (11, 12). Image depth was approximately 5-15 mm into the muscle,
162 limited by the length of the needle and the thickness of skin and subcutaneous fat. Images were collected at
163 1 Hz with the operator being able to see the images in real-time. A second operator adjusted the image depth
164 and power of the signal to obtain as clear images as possible as the images were recorded to file for
165 subsequent analysis. Sequences of images ranging from 20 seconds to 2 minutes were collected while
166 reasonable images were detected visually by the operators.

167 Image sequences were then analysed using a modified process that was previously reported (11, 12). First, a
168 fast Fourier transform and a Gaussian filter were applied to the image. White noise was then subtracted from
169 the Fourier image and the strongest frequency spectrum between that predicted for sarcomere lengths
170 between 1.5 and 5 μm was calculated across the image. Feasible images were selected based on the intensity
171 of the image and signals that fell within the set sarcomere length range and these images were used for
172 further analysis. To ensure that single fibres were analysed separately, feasible images were then examined
173 by an operator who placed regions of interest along the length of any separate visible fibres within the image
174 (between 1 and 3 fibres can be distinguished at once). The same Fourier transform calculation of sarcomere
175 lengths was then performed on each region of interest (Figure 2) to get the sample sarcomere length, which
176 represents the average sarcomere length across the region of interest ($\sim 100 \mu\text{m}$ in length). Individual fibres
177 were only selected once within a sample, to the best of the ability of the image analyser (GL). Between 6-90
178 separate muscle fibre images (mean 28.4 ± 19.2) were collected and used in the analysis for every ankle
179 position (or location within the muscle), for each participant. The number of suitable images was assessed
180 offline, post collection, depending on quality of image sequences.

181 Ultrasound Imaging and Analysis

182 B-mode ultrasound images were acquired when the microscope was removed from the needle, but while the
183 needle was still embedded in the muscle for each insertion site and at each joint angle. The same ultrasound
184 system used to determine the insertion point for the microscope needle probe (see above) was used to
185 determine muscle fascicle length in the same region of muscle as the needle. Ultrasound images were
186 acquired with the ultrasound transducer as close to the needle insertion point as possible by aligning the flat
187 ultrasound transducer next to the needle connector (Figure 2) and in an orientation to obtain clear,
188 continuous images of fascicles and aponeurosis in the superficial compartment (see image examples in Figure
189 1) and ensuring that the fascicles were at the maximum length. Although it was not possible to image the
190 same fascicles that were imaged between the needles on the probe, fascicle images within approximately 5
191 mm from the imaging site and at the same proximo-distal location were imaged. Fascicle length is likely to
192 be homogenous within this close range. Muscle fascicle length was determined as the straight-line distance
193 from superficial aponeurosis to the central aponeurosis, along the line of action of the fascicles, within the
194 middle of the image (14, 47). All distances were converted from the pixel scale to millimetre scale using the
195 known depth and width calibration factors of the image.

196 Statistical Analysis

197 Sarcomere length data from each condition (proximal 5°DF, 5°PF, 15°PF and distal 5°PF) were averaged across
198 each individual and a one-way repeated measures ANOVA was used to assess the effect of ankle joint angles
199 on the sarcomere number, sarcomere length, and fascicle lengths at the proximal imaging location. A paired
200 Student's t-test was used to assess the effect of proximal vs. distal imaging location (in the 5°PF ankle position
201 only) on sarcomere number, sarcomere length and fascicle length. Multiple linear regression was used to
202 establish potential relationships between fascicle length changes and sarcomere length changes across all
203 measurements at the proximal location, using each participant as a categorical predictor (sarcomere length
204 * participant) to account for multiple measurements made across participants in the data used in the
205 regression (4). Fascicle and sarcomere length changes were expressed relative to the mean value across all
206 measurements for each individual, to account for individual variation. To understand the variability of
207 measurements, the coefficient of variation was determined for each individual and at each measurement
208 site. All statistical tests were conducted in Matlab using SPM1D.org software (version 0.4) with the alpha
209 level set at $P < 0.05$.

210 Results

211 Individual participant sarcomere data were averaged across measurements made at each joint angle or
212 location. This was based on the following average number of sarcomere measurements per participant at
213 each of the joint angles or imaging locations: 5°DF: 21 ± 12 measurements per participant; 5°PF: 36 ± 19
214 measurements per participant; 15°PF: 21 ± 13 measurements per participant; 5°PF (distal location): 35 ± 27
215 measurements per participant.

216 A box and whisker plot (mean, 25th and 75th percentile) and individual average data points for sarcomere
217 length, fascicle length and sarcomere number at different ankle flexion angles is shown in Figure 3. At the
218 proximal site of imaging, sarcomere length increased significantly ($P = 0.016$) with ankle angle change from
219 the dorsiflexed position (5°DF) to the plantar flexed position (15°PF). There was also a significant increase in
220 length of the fascicles with the same change in ankle position ($P < 0.001$). There was no significant difference
221 in sarcomere number when estimated from the sarcomere and fascicle lengths at each of the three ankle
222 joint angles in the proximal imaging position ($P = 0.502$).

223 A box and whisker plot and individual average data points for sarcomere length, fascicle length and
224 sarcomere number in different regions of the muscle is shown in Figure 4. Sarcomere length was greater in

225 the proximal than distal imaging locations in 5°PF ankle position ($P = 0.011$). There was a tendency for shorter
226 fascicles in the distal region, but this difference was not significant ($P = 0.084$). When sarcomere number was
227 estimated from these two measures, there was a significantly lower sarcomere number in the distal location
228 than the proximal location ($P = 0.013$).

229 Relationships between sarcomere length change and fascicle length change, for the proximal imaging
230 location, are shown in Figure 5. Length changes were calculated relative to the average lengths for each
231 individual across all joint angles measured. There was a significant positive correlation ($P < 0.006$) between
232 the length change of the sarcomeres and that of the fascicles. The variance in fascicle length change predicted
233 45% of the variance in the sarcomere length change when adjusted for individuals to account for multiple
234 measures for each individual.

235 The variance in measurements across individuals and measurement sites is shown in Figure 6. There was a
236 large variation of sarcomere lengths within individuals, with an average co-efficient of variation (CV) of 7.81%
237 ($\pm 2.48\%$). The variance was similar across all conditions ($P = 0.449$) (Figure 6B).

238 Discussion

239 In agreement with our first hypothesis, we found that estimates of sarcomere number are consistent across
240 different muscle-tendon unit lengths when average measures are made from the same region of muscle
241 using microendoscopy combined with ultrasound imaging. Measured sarcomere length and fascicle length
242 both increased significantly with muscle-tendon unit length, and there was a moderate positive correlation
243 between sarcomere length change and fascicle length change when using the microendoscopy technique to
244 determine mean sarcomere length from a relatively large sample of images from the muscle. This relationship
245 only explained 45% of the overall variance, which is best explained by variability in measurement within
246 participants and potential errors in measurement of length for both microendoscopy (sarcomere) and
247 ultrasound (fascicle) measurements. In support of our second hypothesis, sarcomere number per fibre was
248 greater in the proximal region of the muscle than the distal region, despite similar muscle fascicle lengths.
249 This result suggests heterogeneity of sarcomere number and length between regions of individual human
250 muscles and has implications for how fascicle level mechanics can be interpreted in terms of the stresses and
251 strains that muscle fibres might experience during contractions or movement.

252 Sarcomere number for a given fibre cannot change with changes in muscle length due to joint rotation. The
253 finding of constant sarcomere number for average measures made from a single region of the muscle adds
254 confidence in the use of the microendoscopy to quantify sarcomere lengths/numbers *in vivo*, without
255 necessarily validating the measurements. Using ultrasound imaging to assess fascicle lengths is known to be
256 susceptible to errors due to transducer alignment, although this is generally unbiased (7). While we used
257 procedures to try ensure optimum alignment (6), errors in fascicle length certainly confound the relationship
258 between fascicle length changes and sarcomere length changes. However, there are also additional
259 considerations when applying the microendoscopy technique to measure sarcomere length that should also
260 be considered. We first consider whether the sarcomere lengths measured agree with expected lengths.

261 The resting sarcomere lengths for all locations and ankle positions were considerably higher than the
262 predicted optimum ($2.64 \mu\text{m}$) (55), even at 15° PF, which is the known optimal angle for maximum
263 dorsiflexion force production (37). The likely explanation for this discrepancy is the large length changes that
264 occur during contraction as the muscle stretches the in-series elastic tissues. Maganaris and Paul (39)
265 measured TA muscle belly shortening during isometric contraction to be on the order of 18% (12 mm).
266 Attributing this 18% shortening entirely to the sarcomeres would estimate that the active sarcomere length
267 in the 15° plantar flexed position to be $2.56 \mu\text{m}$, which is in the region of optimum sarcomere lengths that
268 has been estimated for human muscle (32, 55). In another study, maximum isometric contractions were

269 performed at different ankle positions to estimate the optimal fascicle length (37). Assuming that optimal
270 fascicle length corresponded to when most sarcomeres were at optimal lengths (2.64 μm), Maganaris (37)
271 estimated the number of sarcomeres in the TA muscle fibres at 21 500 for a scanning location similar to the
272 more proximal measurements made here. This estimate of sarcomere number is remarkably close to our
273 estimates (mean 21 712 sarcomeres in proximal region) based on direct measures of passive sarcomere
274 length and fascicle length. Our estimate is also extremely close to the direct measures of sarcomere number
275 per fibre from the TA of human cadavers (21 751 sarcomeres per fibre) (56). Although the average sarcomere
276 length measurements are in accordance with expectations, the interpretation further demonstrates the
277 requirement to consider the influence of series compliance, as previously demonstrated (29, 33), when
278 inferring optimal muscle fibre lengths or muscle-tendon unit lengths from passive measurements of
279 sarcomere lengths.

280 There was considerable between- and within-subject variability in sarcomere length measurements within a
281 single region of muscle at the same ankle position (Figure 6). This likely contributed to the moderate
282 relationship between sarcomere length change and fascicle length change across all individuals. The between
283 participant variability is to be expected, and is likely due to differences in how the muscle is used during
284 everyday life. For instance, participants who regularly undertake exercise that involves eccentric contraction
285 (e.g. downhill walking), might have shorter sarcomeres across at comparable ankle positions (10). However,
286 we also found large within participant variability. The coefficients of variation averaged 7.81% across all
287 measurement sites within individuals. A major proportion of this variability is likely explained by natural
288 variation within the muscle and is within the range of reported variance measured from both dissected
289 animal muscle (44) and *in situ* muscle (42). For example, a recent study using SHG imaging to examine
290 sarcomere length within an *in situ* mouse muscle (42) found considerable variability both within and across
291 different muscle regions, with a coefficient of variation of approximately 5% across all sites of the same
292 muscles (and up to 8% at shortest lengths).

293 Several methodological details might also contribute to the variability of our measurements. To sample
294 sarcomeres from multiple fibres in each region, we withdrew the needle probe through the muscle from
295 deep to superficial regions. Due to the pennation angle of the fibres, we will have sampled from different
296 regions of individual fibres, primarily from the mid- to distal-regions of the fibres. There is evidence from
297 both isolated fibres (25, 26) and whole muscle (42, 52) suggesting that sarcomere lengths may differ between
298 sites along a muscle and hence this could contribute to some of the variability we measured. The lack of
299 systematic control of where we imaged in each fibre means that we have randomly sampled and makes it
300 difficult to reconcile the source of variability in our measures. Sampling at different locations in the same
301 fibre/s was beyond the scope of this study, but would certainly provide greater insight into the source of the
302 variability and particularly the potential for sarcomere length change heterogeneity across and between
303 individual fibres in different locations of the muscle. There is some early evidence that fibre strains in human
304 muscle may be highly heterogeneous during both passive length changes (45) and light contractions (28).
305 This could help explain the only moderate relationship between muscle length changes and measured
306 sarcomere length changes and questions the assumption that fibre length changes directly reflect sarcomere
307 length changes.

308 Some of the variance in our study is also likely attributable to the measurement technique. For instance, the
309 imaged fibre section may be slightly distorted by up to 9% due to the needle or image plane (12), however
310 this correction (which represents the maximum possible distortion) has recently been considered to be not
311 required (51), likely because most of the fibres that are imaged are farther from the needle where distortion
312 is minimal. Finally, it is also possible that some fibres may be damaged by the needles or some fibres might
313 not be completely passive during imaging (i.e. low levels of underlying activation).

314 Overall, it is clear that with a sufficient number of measures, reasonable estimates of mean sarcomere length
315 can be made, which result in consistent sarcomere number estimates at different muscle lengths. This
316 highlights one limitation of the imaging methods – a relatively large sample size is needed to ensure a
317 representative mean value is obtained and this is limited to relatively small areas of the muscle that is
318 sampled. Another promising sarcomere imaging technique recently proposed, termed resonant reflection
319 spectroscopy, samples much greater regions of muscle with good temporal resolution and minimal
320 invasiveness. Although that technique may yield lower variability in individual measurements (61), there are
321 presently no reports that have used this technique in human muscle.

322 In agreement with some previous literature (42, 44), we found different sarcomere lengths in different
323 regions of the muscle despite no change in muscle-tendon unit length. Our study is unique in that we were
324 also able to determine the fascicle length corresponding to the imaging region, and the fascicle length was
325 similar for both the proximal and distal regions imaged. Combined with the longer sarcomere lengths, this
326 resulted in significantly smaller sarcomere numbers in the muscle fibres in distal region of the human tibialis
327 anterior muscle. This difference in sarcomere length could result in up to a 20% difference in force potential
328 upon initial activation, based on a standard length-tension relationship of sarcomeres, scaled for human
329 muscle (Figure 7). We speculate that the difference in the sarcomere number may relate to the strains
330 experienced during active contractions or movement profiles. There is evidence that muscles fibres
331 experience variable strains within different regions of muscle during passive length changes (52) and dynamic
332 contractions (1). Simulation studies suggest that this is driven by differences in how muscles must distort
333 during contraction (23) and other factors like myofascial force transmission (24, 62). Such differences in strain
334 amplitudes could provide stimulus for having heterogeneity in sarcomere lengths across the muscle and may
335 influence force generating capacity under different conditions, and this heterogeneity has been suggested to
336 improve the force generating capacity of muscle through the range(23).

337 In human muscle, ultrasound imaging studies have reported conflicting reports regarding whether fascicles
338 experience uniform strains during active contractions. The gastrocnemius has been shown to undergo
339 relatively homogenous strain throughout (21, 30), however other human muscles such as the biceps femoris
340 (3) and biceps brachii (46) muscles have shown some regional differences in fascicle length and shortening
341 during contraction. It is possible that the sarcomere number may be regulated to ensure that sarcomeres
342 operate at more uniform or optimum lengths during contraction, based on the shortening or strain
343 experienced in the relevant portion of the muscle. Our hypothesis from the current data would be that if all
344 fibres shorten a similar amount during contraction, fibres in the distal portion of tibialis anterior would
345 undergo greater relative shortening during fixed-end muscle contraction than fibres in the proximal region
346 of this muscle. Under this paradigm, sarcomeres in the distal part of the TA would reach similar lengths to
347 sarcomeres in the proximal region once the muscle is in a contracted state, despite starting from a longer
348 initial sarcomere length. This requires further experimentation and/or simulations to confirm.

349 The results of this study have important implications for understanding muscle mechanics and adaptation.
350 First, it is clear from the present data that there is a moderate linear relationship between sarcomere length
351 changes and fascicle length changes when stable estimates of measures are obtained from averaging multiple
352 samples. Therefore, it is reasonable to assume that changes in fascicle length reflect changes in sarcomere
353 length across the muscle. However, there was variability in individual measurements from the same muscle
354 and hence a sufficient number of samples needs to be measured from an individual muscle region to accurately
355 determine average sarcomere lengths. Second, in lower limb muscles which have substantial in series
356 compliance, such as the tibialis anterior, the passive sarcomere length may be substantially longer than the
357 optimal length. It is presently technically difficult to sample sarcomere lengths from active muscle, however
358 the significant shortening that is known to occur during isometric contraction should be accounted for when

359 trying to predict optimum lengths. Third, sarcomere numbers varied between different muscle regions, even
360 when muscle fascicles were of similar length. This has implications for interpreting passive fascicle length
361 differences in both cross-sectional and prospective studies. For instance, various concentric or eccentric
362 strength training protocols (e.g. 5, 53) have been shown to induce changes in passive fascicle length at
363 specific joint configurations. However, it is difficult to determine whether this would directly relate to
364 changes in sarcomere number or overall lengthening of sarcomeres. It is also difficult to determine whether
365 adaptations might be consistent across different regions across the muscle. For instance, there is some recent
366 evidence that focal adhesion kinase (FAK), a mechanotransduction protein, is activated after eccentric and
367 concentric exercise in a region-dependent manner in human muscle (VL), with the largest effects in the distal
368 site of the muscle (19), which could regulate region-specific adaptations. However, the only way to assess
369 whether sarcomere level adaptations occurs in different regions of the muscle would be through direct
370 sarcomere length measurement, as has been achieved here. The method used here would therefore be
371 generally useful for investigation of adaptation in structure and function in response to training, disuse or
372 pathology.

373 Acknowledgements

374 We greatly appreciate the contribution of all participants who volunteered for the study. We would also like
375 to thank Gabriel Sanchez and Fred Landavaso from Zebra Medical Technologies for technical assistance.

376 Funding

377 The study received funding from the University of Queensland, Faculty of Health and Behavioural Sciences
378 (G.L, D.F, P.H). P.H. is funded by a Senior Principal Research Fellowship from the National Health and Medical
379 Research Council (NHMRC) of Australia (APP1102905).

380 Author Contributions

381 All authors were involved in the conception or design of the research and drafting the work or revising it
382 critically for important intellectual content. G.L., D.F., X.C., and S.D. were involved in acquisition, analysis and
383 interpretation of data for the work.

384 Disclosures

385 S.D. has co-founded and has a financial interest in the company that developed the microendoscopy
386 technology (Zebra Medical Technologies Inc.). All other authors have no other competing interests.

387 **References**

- 388 1. **Ahn AN, Konow N, Tijs C, and Biewener AA.** Different segments within vertebrate muscles can
389 operate on different regions of their force-length relationships. *Integr Comp Biol* 2018.
- 390 2. **Barclay CJ, Woledge RC, and Curtin NA.** Inferring crossbridge properties from skeletal muscle
391 energetics. *Prog Biophys Mol Biol* 102: 53-71, 2010.
- 392 3. **Bennett HJ, Rider PM, Domire ZJ, DeVita P, and Kulas AS.** Heterogeneous fascicle behavior within
393 the biceps femoris long head at different muscle activation levels. *J Biomech* 47: 3050-3055, 2014.
- 394 4. **Bland JM, and Altman DG.** Calculating correlation coefficients with repeated observations: Part 1--
395 Correlation within subjects. *BMJ* 310: 446, 1995.
- 396 5. **Blazevich AJ, Cannavan D, Coleman DR, and Horne S.** Influence of concentric and eccentric
397 resistance training on architectural adaptation in human quadriceps muscles. *J Appl Physiol (1985)* 103:
398 1565-1575, 2007.
- 399 6. **Bolsterlee B, Gandevia SC, and Herbert RD.** Ultrasound imaging of the human medial
400 gastrocnemius muscle: how to orient the transducer so that muscle fascicles lie in the image plane. *J*
401 *Biomech* 49: 1002-1008, 2016.
- 402 7. **Bolsterlee B, Veeger HE, van der Helm FC, Gandevia SC, and Herbert RD.** Comparison of
403 measurements of medial gastrocnemius architectural parameters from ultrasound and diffusion tensor
404 images. *J Biomech* 48: 1133-1140, 2015.
- 405 8. **Burkholder TJ, and Lieber RL.** Sarcomere length operating range of vertebrate muscles during
406 movement. *J Exp Biol* 204: 1529-1536, 2001.
- 407 9. **Butterfield TA.** Eccentric Exercise In Vivo: Strain-Induced Muscle Damage and Adaptation in a
408 Stable System. *Exerc Sport Sci Rev* 38: 51-60, 2010.
- 409 10. **Butterfield TA, Leonard TR, and Herzog W.** Differential serial sarcomere number adaptations in
410 knee extensor muscles of rats is contraction type dependent. *J Appl Physiol* 99: 1352-1358, 2005.
- 411 11. **Chen XF, and Delp SL.** Human soleus sarcomere lengths measured using in vivo microendoscopy at
412 two ankle flexion angles. *J Biomech* 49: 4164-4167, 2016.
- 413 12. **Chen XF, Sanchez GN, Schnitzer MJ, and Delp SL.** Changes in sarcomere lengths of the human
414 vastus lateralis muscle with knee flexion measured using in vivo microendoscopy. *J Biomech* 49: 2989-2994,
415 2016.
- 416 13. **Cromie MJ, Sanchez GN, Schnitzer MJ, and Delp SL.** Sarcomere lengths in human extensor carpi
417 radialis brevis measured by microendoscopy. *Muscle Nerve* 48: 286-292, 2013.
- 418 14. **Cronin NJ, and Lichtwark G.** The use of ultrasound to study muscle-tendon function in human
419 posture and locomotion. *Gait Posture* 37: 305-312, 2013.
- 420 15. **Cutts A.** The Range of Sarcomere Lengths in the Muscles of the Human Lower-Limb. *J Anat* 160: 79-
421 88, 1988.
- 422 16. **Dimery NJ.** Muscle and Sarcomere Lengths in the Hind-Limb of the Rabbit (*Oryctolagus-Cuniculus*)
423 during a Galloping Stride. *J Zool* 205: 373-383, 1985.
- 424 17. **Endo M.** Stretch-induced increase in activation of skinned muscle fibres by calcium. *Nat New Biol*
425 237: 211-213, 1972.
- 426 18. **Felder A, Ward SR, and Lieber RL.** Sarcomere length measurement permits high resolution
427 normalization of muscle fiber length in architectural studies. *J Exp Biol* 208: 3275-3279, 2005.
- 428 19. **Franchi MV, Ruoss S, Valdivieso P, Mitchell KW, Smith K, Atherton PJ, Narici MV, and Fluck M.**
429 Regional regulation of focal adhesion kinase after concentric and eccentric loading is related to remodelling
430 of human skeletal muscle. *Acta Physiol (Oxf)* 223: e13056, 2018.
- 431 20. **Gordon AM, Huxley AF, and Julian FJ.** Variation in Isometric Tension with Sarcomere Length in
432 Vertebrate Muscle Fibres. *J Physiol-London* 184: 170-+, 1966.
- 433 21. **Heroux ME, Stubbs PW, and Herbert RD.** Behavior of human gastrocnemius muscle fascicles during
434 ramped submaximal isometric contractions. *Physiol Rep* 4: 2016.
- 435 22. **Heslinga JW, te Kronnie G, and Huijing PA.** Growth and immobilization effects on sarcomeres: a
436 comparison between gastrocnemius and soleus muscles of the adult rat. *Eur J Appl Physiol Occup Physiol*
437 70: 49-57, 1995.
- 438 23. **Huijing PA.** Muscle, the motor of movement: properties in function, experiment and modelling. *J*
439 *Electromyogr Kinesiol* 8: 61-77, 1998.

- 440 24. **Huijing PA, and Baan GC.** Myofascial force transmission via extramuscular pathways occurs
441 between antagonistic muscles. *Cells Tissues Organs* 188: 400-414, 2008.
- 442 25. **Huxley AF, and Peachey LD.** The maximum length for contraction in vertebrate striated muscle. *J*
443 *Physiol* 156: 150-165, 1961.
- 444 26. **Infantolino BW, Ellis MJ, and Challis JH.** Individual sarcomere lengths in whole muscle fibers and
445 optimal fiber length computation. *Anat Rec (Hoboken)* 293: 1913-1919, 2010.
- 446 27. **Ito M, Kawakami Y, Ichinose Y, Fukashiro S, and Fukunaga T.** Nonisometric behavior of fascicles
447 during isometric contractions of a human muscle. *J Appl Physiol (1985)* 85: 1230-1235, 1998.
- 448 28. **Karakuzu A, Pamuk U, Ozturk C, Acar B, and Yucesoy CA.** Magnetic resonance and diffusion tensor
449 imaging analyses indicate heterogeneous strains along human medial gastrocnemius fascicles caused by
450 submaximal plantar-flexion activity. *J Biomech* 57: 69-78, 2017.
- 451 29. **Kawakami Y, and Lieber RL.** Interaction between series compliance and sarcomere kinetics
452 determines internal sarcomere shortening during fixed-end contraction. *J Biomech* 33: 1249-1255, 2000.
- 453 30. **Lichtwark GA, Bougoulas K, and Wilson AM.** Muscle fascicle and series elastic element length
454 changes along the length of the human gastrocnemius during walking and running. *J Biomech* 40: 157-164,
455 2007.
- 456 31. **Lieber RL, and Friden J.** Intraoperative Measurement of Sarcomere-Length in Humans during
457 Extensor Tendon Release. *Faseb J* 7: A479-A479, 1993.
- 458 32. **Lieber RL, Ljung BO, and Friden J.** Intraoperative sarcomere length measurements reveal
459 differential design of human wrist extensor muscles. *J Exp Biol* 200: 19-25, 1997.
- 460 33. **Lieber RL, Loren GJ, and Friden J.** In-Vivo Measurement of Human Wrist Extensor Muscle
461 Sarcomere-Length Changes. *J Neurophysiol* 71: 874-881, 1994.
- 462 34. **Lieber RL, and Ward SR.** Skeletal muscle design to meet functional demands. *Philos Trans R Soc*
463 *Lond B Biol Sci* 366: 1466-1476, 2011.
- 464 35. **Llewellyn ME, Barretto RPJ, Delp SL, and Schnitzer MJ.** Minimally invasive high-speed imaging of
465 sarcomere contractile dynamics in mice and humans. *Nature* 454: 784-788, 2008.
- 466 36. **Lutz GJ, and Rome LC.** Built for Jumping - the Design of the Frog Muscular System. *Science* 263:
467 370-372, 1994.
- 468 37. **Maganaris CN.** Force-length characteristics of in vivo human skeletal muscle. *Acta Physiol Scand*
469 172: 279-285, 2001.
- 470 38. **Maganaris CN, Baltzopoulos V, and Sargeant AJ.** In vivo measurements of the triceps surae
471 complex architecture in man: implications for muscle function. *J Physiol* 512 (Pt 2): 603-614, 1998.
- 472 39. **Maganaris CN, and Paul JP.** Load-elongation characteristics of in vivo human tendon and
473 aponeurosis. *J Exp Biol* 203: 751-756, 2000.
- 474 40. **Marsh E, Sale D, McComas AJ, and Quinlan J.** Influence of joint position on ankle dorsiflexion in
475 humans. *J Appl Physiol Respir Environ Exerc Physiol* 51: 160-167, 1981.
- 476 41. **Martyn DA, and Gordon AM.** Length and myofilament spacing-dependent changes in calcium
477 sensitivity of skeletal fibres: effects of pH and ionic strength. *J Muscle Res Cell Motil* 9: 428-445, 1988.
- 478 42. **Moo EK, Fortuna R, Sibole SC, Abusara Z, and Herzog W.** In vivo Sarcomere Lengths and Sarcomere
479 Elongations Are Not Uniform across an Intact Muscle. *Front Physiol* 7: 2016.
- 480 43. **Narici MV, Binzoni T, Hiltbrand E, Fasel J, Terrier F, and Cerretelli P.** In vivo human gastrocnemius
481 architecture with changing joint angle at rest and during graded isometric contraction. *J Physiol* 496 (Pt 1):
482 287-297, 1996.
- 483 44. **O'Connor SM, Cheng EJ, Young KW, Ward SR, and Lieber RL.** Quantification of sarcomere length
484 distribution in whole muscle frozen sections. *J Exp Biol* 219: 1432-1436, 2016.
- 485 45. **Pamuk U, Karakuzu A, Ozturk C, Acar B, and Yucesoy CA.** Combined magnetic resonance and
486 diffusion tensor imaging analyses provide a powerful tool for in vivo assessment of deformation along
487 human muscle fibers. *J Mech Behav Biomed Mater* 63: 207-219, 2016.
- 488 46. **Pappas GP, Asakawa DS, Delp SL, Zajac FE, and Drace JE.** Nonuniform shortening in the biceps
489 brachii during elbow flexion. *J Appl Physiol (1985)* 92: 2381-2389, 2002.
- 490 47. **Raiteri BJ, Cresswell AG, and Lichtwark GA.** Three-dimensional geometrical changes of the human
491 tibialis anterior muscle and its central aponeurosis measured with three-dimensional ultrasound during
492 isometric contractions. *PeerJ* 4: 2016.

- 493 48. **Rome LC.** The Mechanical Design of the Fish Muscular System. *Mechanics and Physiology of Animal*
494 *Swimming* 75-97, 1994.
- 495 49. **Sanchez GN, Sinha S, Liske H, Chen XF, Nguyen V, Delp SL, and Schnitzer MJ.** In Vivo Imaging of
496 Human Sarcomere Twitch Dynamics in Individual Motor Units. *Neuron* 88: 1109-1120, 2015.
- 497 50. **Smith LR, Lee KS, Ward SR, Chambers HG, and Lieber RL.** Hamstring contractures in children with
498 spastic cerebral palsy result from a stiffer extracellular matrix and increased in vivo sarcomere length. *J*
499 *Physiol* 589: 2625-2639, 2011.
- 500 51. **Son J, Indresano A, Sheppard K, Ward SR, and Lieber RL.** Intraoperative and biomechanical studies
501 of human vastus lateralis and vastus medialis sarcomere length operating range. *J Biomech* 67: 91-97, 2018.
- 502 52. **Tijs C, van Dieen JH, and Maas H.** Effects of epimuscular myofascial force transmission on
503 sarcomere length of passive muscles in the rat hindlimb. *Physiol Rep* 3: 2015.
- 504 53. **Timmins RG, Ruddy JD, Presland J, Maniar N, Shield AJ, Williams MD, and Opar DA.** Architectural
505 Changes of the Biceps Femoris Long Head after Concentric or Eccentric Training. *Med Sci Sports Exerc* 48:
506 499-508, 2016.
- 507 54. **van Eijden TM, and Raadsheer MC.** Heterogeneity of fiber and sarcomere length in the human
508 masseter muscle. *Anat Rec* 232: 78-84, 1992.
- 509 55. **Walker SM, and Schrodt GR.** I segment lengths and thin filament periods in skeletal muscle fibers
510 of the Rhesus monkey and the human. *Anat Rec* 178: 63-81, 1974.
- 511 56. **Ward SR, Eng CM, Smallwood LH, and Lieber RL.** Are current measurements of lower extremity
512 muscle architecture accurate? *Clin Orthop Relat Res* 467: 1074-1082, 2009.
- 513 57. **Willems ME, and Huijing PA.** Heterogeneity of mean sarcomere length in different fibres: effects
514 on length range of active force production in rat muscle. *Eur J Appl Physiol Occup Physiol* 68: 489-496, 1994.
- 515 58. **Williams P, Watt P, Bicik V, and Goldspink G.** Effect of Stretch Combined with Electrical-
516 Stimulation on the Type of Sarcomeres Produced at the Ends of Muscle-Fibers. *Exp Neurol* 93: 500-509,
517 1986.
- 518 59. **Williams PE, and Goldspink G.** Changes in Sarcomere Length and Physiological Properties in
519 Immobilized Muscle. *J Anat* 127: 459-468, 1978.
- 520 60. **Winters TM, Takahashi M, Lieber RL, and Ward SR.** Whole muscle length-tension relationships are
521 accurately modeled as scaled sarcomeres in rabbit hindlimb muscles. *J Biomech* 44: 109-115, 2011.
- 522 61. **Young KW, Kuo BP, O'Connor SM, Radic S, and Lieber RL.** In Vivo Sarcomere Length Measurement
523 in Whole Muscles during Passive Stretch and Twitch Contractions. *Biophys J* 112: 805-812, 2017.
- 524 62. **Yucesoy CA.** Epimuscular myofascial force transmission implies novel principles for muscular
525 mechanics. *Exerc Sport Sci Rev* 38: 128-134, 2010.

526
527

528 Figure Legends

529

530 **Figure 1:** Imaging sites on the tibialis anterior muscle for both ultrasound and microendoscopy. Note that the
531 microendoscopy needle was inserted in the mid-region of the ultrasound image, but the ultrasound
532 transducer was placed adjacent to the needle, such that the fascicle image was made ~0.5 cm lateral to the
533 sarcomere measures.

534 **Figure 2:** Experimental equipment and imaging setup. (Left) The needles (inset image) were inserted in the
535 muscle (red square) and ultrasound imaging was conducted parallel to this site. (Left inset) Image showing
536 needle probe used in study, which includes two needles (emitter and collector). (Right) Images collected
537 using the SHG imaging technique. White box indicates the region where the sarcomere length was calculated
538 using Fourier analysis. Ripples at left and right of image indicate borders of muscle fibre with adjacent fibres.

539 **Figure 3:** Sarcomere length and fascicle length increased significantly as the ankle was moved from a dorsi-
540 flexed to a plantar flexed position, whereas calculated sarcomere number remained constant. Change in
541 sarcomere length (A), fascicle length (B) and calculated sarcomere number (C – fascicle length divided by
542 sarcomere length) are shown for each ankle position: 5° dorsi-flexion (5°DF '+' symbols), 5° plantar flexion
543 5°PF – 'o' symbols) and 15° plantar flexion (15°PF – 'x' symbols) when measured in the proximal location
544 only. Average measurements for each individual (N = 8) are shown using points. Box indicates spread
545 between the 25th and 75th percentile of the variance and whiskers indicate extreme data points, neglecting
546 any outliers (red symbols).

547 **Figure 4:** Sarcomere lengths were significantly longer in the distal location compared to proximal, while
548 fascicle length remained unchanged in the 5°PF position, resulting in reduced sarcomere numbers per fibre
549 in the distal region. Sarcomere length (A), fascicle length (B) and calculated sarcomere number (C – fascicle
550 length divided by sarcomere length) as a function of muscle imaging location: Proximal (5°PF 'o' symbols),
551 Distal (5°PF '∅' symbols). Averages measurements for each individual (N = 8) are shown using points. Box
552 indicates spread between the 25th and 75th percentile of the variance and whiskers indicate extreme data
553 points, neglecting any outliers ('+' symbols outside whiskers).

554 **Figure 5:** A significant, but modest, correlation between fascicle length change and sarcomere length change
555 across all individuals. Relationship between fascicle length changes (relative to average across all ankle joint
556 positions) and sarcomere length changes (relative to average across all ankle joint positions) in the proximal
557 insertion site. Data points are averages for each individual with different symbols representing points
558 measured at different joint ankle angles (5°DF '+' symbols, 5°PF 'o' symbols, 15°PF 'x' symbols).

559 **Figure 6:** Variability in sarcomere length measurements across different joint positions and locations. A)
560 Individual data points for each individual participant (indicated by individual column of data points, each with
561 a different colour, N = 8) at each joint position for proximal measurement and the distal measurement site.
562 B) Coefficient of variation across all measurements of sarcomere length at each joint position for proximal
563 measurement and the distal measurement site (Data are group mean ± s.d.).

564 **Figure 7:** Theoretical relationship between sarcomere length and force generating potential and mean ±
565 standard deviation of sarcomere lengths for each measurement angle in the proximal imaging location (5°DF
566 '+', 5°PF Proximal 'o', 15°PF 'x') and for the distal imaging location (5°DF Distal '∅'). The theoretical curve is
567 based off the curve for vertebrate muscle reported by Burkholder & Lieber (8) and scaled based on an
568 estimated optimal sarcomere length of 2.64 (55).