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2 **Original Research Paper**

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4 **Migration patterns and winter population dynamics of rice planthoppers in Indochina:**
5 **new perspectives from field surveys and atmospheric trajectories**

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27 ABSTRACT:

28

29 Rice planthoppers (RPH) are the most serious insect pests of rice production in East Asia,
30 frequently out-breaking in China, Korea and Japan each summer. They are unable to overwinter
31 in temperate East Asia, and summer populations arise anew each year via northward spring
32 migration from south-east Asia. The annual migration cycle is generally believed to be a closed
33 loop with mass returns to south-east Asia in the autumn, but this leg of the journey and the
34 overwintering dynamics are much less studied than the spring immigrations. Previous studies
35 have indicated that the north-central Vietnam (NCV) region is a key location for both the spring
36 colonisation of China and for receiving return migrants from southern China each autumn.
37 However, NCV experiences a three-month rice-free fallow period during mid-winter, and so it
38 cannot be the principal over-wintering region for RPH populations. In this study, the
39 continental-scale migration patterns of RPH in East Asia were explored using data from light
40 trap catches, field surveys and atmospheric trajectory simulations. Our results confirmed that
41 large numbers of return migrants arrive in NCV from southern China each autumn, but that
42 they are unable to survive there over winter. The NCV region is recolonised in the early-spring
43 (mid-February to mid-March) of each year by migrants from winter rice-growing regions in
44 north-east Thailand, southern Laos and south-central coastal Vietnam, which are transported
45 on favourable high-altitude synoptic winds. The following generation initiates the colonisation
46 of East Asia from a large source population in NCV. Our results provide a new perspective on
47 RPH migration patterns and over-wintering dynamics in East Asia, which is governed by crop
48 production, environmental conditions and synoptic wind patterns at a continental scale.

49

50 *Keywords:*

51 Rice planthoppers; Brown planthopper *Nilparvata lugens*; white-backed planthopper *Sogatella*
52 *furcifera*; Spatio-temporal trajectory analysis; Weather factors; Indochina Peninsula

53

54 **1. Introduction**

55 The most important pests of rice in East Asia are the brown planthopper (*Nilaparvata lugens*
56 [Stål]) and white-backed planthopper (*Sogatella furcifera* [Horváth]) (Hemiptera, Delphacidae),
57 collectively known as rice planthoppers (RPH) (Cheng et al., 1979; Kisimoto, 1976; Kisimoto
58 and Rosenberg, 1994; Zhai, 2011). These highly migratory pests frequently outbreak in this
59 region, where they can cause significant losses to rice crops; in China alone, for example, up to
60 20 million hectares of rice crop can be lost in a single year during serious RPH outbreaks (Hu
61 et al., 2011, 2014, 2018; Lu et al., 2017), largely due to virus transmission (Cheng, 2009, 2015;
62 Zhou et al., 2010; Heong et al., 2015). RPH cannot overwinter in the temperate regions of East
63 Asia (Korean Peninsula, Japan and all but extreme southern China), and this region is annually
64 colonized by a series of northward migrations throughout the spring and summer (Cheng et al.,
65 1979; National Coordinated Research Group for white-backed planthoppers, 1981; Kisimoto
66 and Sogawa, 1995; Hu et al., 2011, 2017; Otuka et al., 2013; Wu et al., 2018b). The annual
67 migration is generally believed to take the form of a closed loop, but in contrast to the well-
68 studied spring migrations to the north, the autumn return movements and over-wintering
69 dynamics within Indochina are poorly known. There is some evidence of southward autumn
70 migrations within China (Riley et al., 1991; Hu et al., 2013), but while the ultimate destination
71 of these return migrants is often considered to be the Indochina Peninsula (Hu et al., 2013, 2018;
72 Wu et al., 2017), the precise destination of the autumn return migrants, and the regions where
73 they persist throughout the winter, remain poorly understood.

74 The timing and extent of crop damage in China and the rest of temperate East Asia will be
75 partly determined by conditions and source populations in the winter-breeding areas (Cheng et
76 al., 1979; Otuka et al., 2006, 2008; Shen et al., 2011; Zheng et al., 2014). Thus to understand
77 the migration circuit and annual population dynamics of these pests, and develop mitigation
78 strategies for rice crops in East Asia, a thorough understanding of RPH winter population
79 dynamics is required. Winter rice crops are present over much of Indochina, so there are many
80 potential areas for RPH to persist through the winter months. Recent work has identified the
81 north-central Vietnam region (NCV; Fig. 1) as potentially a key area, as it appears to be the

82 principal source of migrants colonizing southern China each spring (Hu et al., 2017; Wu et al.,
83 2018b), and it is an important destination for returning migrants from southern China during
84 autumn (Wu et al., 2017).

85 This poses the question of whether the NCV region forms part of the main overwintering
86 area, as its geographical location (Fig. 1) makes it ideally placed to receive large numbers of
87 return migrants from southern China, and it lies to the south of the northern winter-breeding
88 limit (around the Tropic of Cancer; Cheng, 1979; Luo et al., 2013). However, field surveys
89 conducted as part of this study (Plates S1–S5) in NCV confirmed that there is a 3-month fallow
90 period during November to January when no rice crops are grown, as previously reported (Wu
91 et al., 2017), and rice at a suitable developmental stage for RPH colonization is not present until
92 late-February at the earliest. As rice is the only food source of RPH, the absence of suitable
93 hosts will disrupt the population cycle leading to an apparent gap in the migration loop. Clearly,
94 RPH must recolonize the rice paddies in NCV during late-February to mid-March in order to
95 provide the source of the immigrants to southern China later in the spring, thus closing the loop.

96 There are clear gaps in our understanding of the RPH recolonization process in NCV. Firstly,
97 where do the re-colonizers come from? Secondly, as RPH are weak flyers and their migrations
98 are completely windborne (Deng, 1981; Rosenberg and Magor, 1983), what is the influence of
99 synoptic wind patterns and atmospheric temperatures on the colonization process? In this study
100 we used population data from large-scale light-trapping and field surveys from the regions of
101 north-central and south-central coastal Vietnam (NCV and SCV, respectively), in combination
102 with atmospheric trajectory simulations and meteorological analyses across Indochina and
103 southern China, to elucidate the winter population dynamics and identify the source regions for
104 the recolonization process.

105

106 **2. Materials and methods**

107

108 *2.1. Study region and period*

109

110 The study region encompassed the southern Chinese provinces of Guangdong, Guangxi and
111 Hainan, and the Indochina Peninsula (Fig. 1). The main rice-growing regions in Vietnam, Laos,
112 Cambodia and Thailand discussed in this study (Fig. 1) were identified following the scheme
113 of Hu et al. (2017). Rice planthopper light-trap catches were collected in southern China during
114 every October of 2004 to 2013, intensive field surveys and light-trapping were carried out in
115 the two main study regions of north-central and south-central coastal Vietnam (NCV and SCV,
116 respectively; Fig. 1, inset) during the early-spring of 2010 to 2013, while large-scale trajectory
117 analyses were conducted over the 10-year period from 2004 to 2014.

118

119 *2.2. Light trap and field survey data*

120

121 Daily light-trap RPH catch data from seven sites in southern China (two in Guangdong
122 Province, four in Guangxi Province, and one in Hainan Province) were collected every morning
123 during October of 2004-2013, and obtained from the China National Agro-Tec Extension and
124 Service Center (NATESC). At all stations, 20-W blacklight traps (Jiaduo Science, Industry and
125 Trade Co. Ltd., Henan Province, China) were used to catch planthoppers. The blacklight traps
126 were switched on daily at 19:00 h and switched off at 07:00 h Beijing Time (UTC+8) the
127 following morning. The specific locations of the trapping sites were as follows: Qujiang (QJ)
128 and Yangchun (YC) in Guangdong Province; Babu (BB), Hepu (HP), Longzhou (LZ) and
129 Zhaoping (ZP) in Guangxi Province; and Sanya (SY) in Hainan Province. However, there were
130 periods of missing data: October of 2004, 2010 and 2013 at QJ; of 2004-2008 at ZP; of 2004-
131 2009 at BB; of 2011-2013 at LZ; and of 2004-2006 at SY.

132 Daily light trap RPH catch data from three sites in Vietnam, one in NCV (Nghê An (NA))
133 and two in SCV (Quảng Nam (QNa) and Phú Yên (PY); Fig. 1, inset), were collected every

134 morning during 1 February to 20 March 2010, and obtained from the Department of Plant
135 Protection, Ministry of Agricultural and Rural Development, Vietnam. The backlight traps at
136 NA and QNa were the same design as those deployed in southern China, while a traditional
137 light trap with a 75-W electric lamp was deployed at PY. The Vietnamese light traps were
138 switched on daily at 18:00 h and off at 06:00 h Ho Chi Minh Time (UTC+7) the following
139 morning. The relationships among light-trapping population dynamics of the three sites (NA,
140 QNa and PY; see Fig. 1, inset) were tested by Pearson correlation analysis for each pair.
141 Calculations were performed using R software 3.4.1 (R Core Team, 2017; [https://www.R-](https://www.R-project.org/)
142 [project.org/](https://www.R-project.org/)).

143 Field surveys of RPH population and rice growth stage in NCV and SCV were conducted by
144 Nanjing Agricultural University staff in November 2011 and 2012, February and March 2012
145 and 2013. Paddy fields located every 30-50 km apart were chosen for surveys, and in each
146 survey site, 2-3 rice paddies were sampled. In each paddy, at least 5 plots were randomly
147 checked. RPH population size was estimated by the plant-shaking method (Hu et al., 2011) with
148 a white plate (39 cm×29.5 cm× 2 cm) inserted at the base of the rice plants or stubble. At each
149 plot, 10-20 rice plants were shaken and the individuals falling onto the white plate were counted;
150 the total was extrapolated to give a mean value from 100 plants, which was then used as a
151 relative estimate of population size. In NCV we found only rice stubble, ratoon rice and self-
152 seeding rice from fallen grain during the post-harvest period from November until January
153 (Plates S1-S2), and the population dynamics of RPH were studied by the same method of
154 shaking rice stubble or very young plants.

155

156 2.3. *Atmospheric trajectory models*

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158 2.3.1. *Atmospheric model*

159

160 In order to obtain detailed models of RPH migration pathways, we implemented the Weather
161 Research and Forecasting (WRF) Version 3.8 model (Skamarock et al., 2008; www.wrf-

162 model.org) in this study. This next-generation mesoscale numerical weather research system
163 consists of fully compressible non-hydrostatic equations and a range of meteorological
164 variables (including three-dimensional wind, air temperature, precipitation, and surface
165 pressure variables). The hourly initial and boundary conditions simulated by the WRF model
166 were obtained to run the RPH three-dimensional trajectory program, which had a spatial
167 resolution of 30 km. The region modelled is shown in Fig. 1 and the detailed model setup and
168 parameterizations are listed in Table S1. The terrestrial data used in the WRF processing system
169 included Moderate Resolution Imaging Spectroradiometer (MODIS) and Gravity Wave Drag
170 by Orography (GWDO) data with a resolution of 2', and these data cover the entire globe
171 (180°W – 180°E and 90°S – 90°N)
172 (http://www2.mmm.ucar.edu/wrf/users/download/get_sources_wps_geog.html). We used
173 National Centers for Environmental Prediction (NCEP) Final Analysis (FNL) Operational
174 Global Analysis data (prepared every 6 hours on a 1° by 1° grid;
175 <http://rda.ucar.edu/datasets/ds083.2/>) as the initial and boundary conditions to drive the WRF
176 model.

177

178 2.3.2. *Three-dimensional trajectory analysis*

179

180 Landing areas of emigrating insects and likely source populations can be estimated by
181 constructing forward and backward trajectory analysis, respectively (Westbrook et al., 2016;
182 Wang et al., 2017; Wu et al., 2018a). This method has been successfully used to study the
183 migration of rice planthoppers in previous studies (Otuka et al., 2005; Furuno et al., 2005; Hu
184 et al., 2013, 2017; Wu et al., 2018b). The downwind trajectory analysis of RPH in the present
185 study is based on the following assumptions: (i) RPHs migrate downwind (Deng, 1981;
186 Rosenberg and Magor, 1983) at heights of 300–2500 m above ground level (Deng, 1981; Riley
187 et al., 1991, 1994); (ii) take-off is predominantly at dusk (and partly at dawn) (Chen and Cheng,
188 1980; Liu et al., 1982; Riley et al., 1991, 1994; Luo et al. 2011); (iii) migrants have a one-way
189 journey and can land at any time along the route (Rosenberg and Magor, 1983, 1987; Zhai and

190 Zhang, 1997; Feng et al., 2001; Wang and Zhai, 2004); and (iv) RPH cannot fly when the air
191 temperature at flight altitude is below 16.5°C (Ohkubo, 1973; Rosenberg and Magor, 1983;
192 Riley et al., 1991; Otuka et al., 2005). In this study, take-off at dawn was not considered in the
193 forward trajectory analyses, because the amount of RPH initiating flight at this time is rather
194 low (Chen and Cheng, 1980; Riley et al., 1991).

195 Specifically, the forward trajectories from each start point were calculated at the start time
196 of 19:00 h (after mean local sunset time in Indochina during the studied period; local time, same
197 thereafter), at seven initial heights of 500, 750, 1000, 1250, 1500, 1750 and 2000 m above mean
198 sea level (AMSL). The calculation framework for the backward trajectory analyses was the
199 same as the setup for the forward trajectory analyses, except that: (i) start times of backward
200 trajectories were every hour from 19:00 h the previous day to 07:00 h the following morning
201 (both local time, 13 start times in total), which were consistent with operating time of the light-
202 traps; (ii) the locations of calculated endpoints where RPH took-off at dusk (19:00 h local time)
203 were selected as source areas if the location was in a rice planting area (Lu et al., 2013), and
204 plotted with R software 3.4.1. The trajectories were run for up to a maximum of 36 h
205 (Rosenberg and Magor, 1983, 1987; Chen et al., 1984; Wang and Zhai, 2004). Both forward
206 and backward trajectories were terminated when the air temperature at flight altitude fell below
207 16.5°C, the hourly precipitation was ≥ 0.1 mm, or the trajectory extended beyond the grid-
208 spaced region of the WRF model. The program for calculating trajectories was designed in
209 FORTRAN (Hu et al., 2013, 2014) and run under UBUNTU 14.04. The migration trajectory
210 pathways were plotted with ArcGIS (ESRI® 2012). In this study, we present the results of the
211 forward trajectory analyses as the number of trajectory pathways which cross grid cells of 100
212 x 100 km, whereas backward trajectory analyses are presented as endpoints (which represent
213 the take-off locations).

214

215 2.3.3. *Departure points for forward and backward trajectories*

216

217 To model the autumn return migration to Indochina, forward trajectories were started at every

218 0.2° grid in the southern Chinese provinces of Hainan (71 departure locations), Guangdong
219 (386) and Guangxi (520) (Fig. 1) at 19:00 h on every date in October during 2004–2013,
220 comprising >2.1 million forward trajectories in total. To identify source areas of the
221 recolonization of the NCV region, backward trajectories were run from 114 grid points in NCV
222 for each hour of every night during 21 February to 20 March of 2005 to 2014, comprising >2.9
223 million backward trajectories in total. To confirm the identification of the source areas for the
224 spring recolonization, and investigate general spring migration patterns within Indochina,
225 forward trajectories were also run during the same time period from the 8 regions which have
226 year-round rice planting (Fig. 1). Trajectories were started from 1,013 departure points at every
227 0.2° grid in all 8 regions: i) southern Laos (S Laos); (ii) south-central coastal Vietnam (SCV);
228 (iii) Central Highlands of Vietnam (Central Highlands); (iv) south-eastern Vietnam (SE
229 Vietnam); (v) Mekong River Delta of Vietnam (Mekong); (vi) Tonlé Sap Lake Region of
230 Cambodia (Tonlé Sap); (vii) north-eastern Thailand (NE Thailand); and (viii) eastern Thailand
231 (E Thailand) (Fig. S1), comprising >2.0 million forward trajectories. Thus in total, >7 million
232 migration trajectories were calculated, making this the largest study of RPH migration
233 pathways conducted.

234

235 2.4. *Meteorological data*

236

237 To investigate the long-term atmospheric conditions (wind speeds and directions, and air
238 temperatures), within which the RPH migrate, we plotted meteorological conditions at 850 hPa
239 (approximately 1500 m above ground level, the height at which RPH typically fly; Deng, 1981).
240 Long-term data were extracted and calculated from the daily NCEP/National Centre for
241 Atmospheric Research (NCAR) Reanalysis data, with a spatial resolution of 2.5° by 2.5° global
242 grids (Kalnay et al., 1996), and displayed using the Grid Analysis Display System (GrADS)
243 2.0.1. Detailed daily wind field and temperature data for the spring recolonization period (21
244 February to 20 March) during 2010 to 2014 were plotted for the regions identified as the
245 principal sources, using twice-daily data from the 850 hPa level obtained from the FNL dataset.

246 Using the Rayleigh test of uniformity for circular data (Fisher, 1993), mean downwind
247 directions (plus associated circular statistics) at 850 hPa were calculated for: (i) the autumn
248 return migration period (October of 2004-2013) for winds from the 3 southern Chinese
249 provinces of Hainan, Guangdong and Guangxi; and (ii) the spring recolonization period (21
250 February – 20 March of 2005-2014) for winds from the 8 rice-growing regions (excluding NCV)
251 in Indochina. For each period/region, the Rayleigh test was used to calculate the following three
252 parameters for the distributions of downwind directions: (i) the mean direction; (ii) the mean
253 vector length ‘r’ (a measure of the clustering of the angular distribution of headings or tracks
254 ranging from 0 to 1, with higher values indicating tighter clustering around the mean) for each
255 distribution; and (iii) the probability that the distribution of downwind directions differed from
256 a uniform distribution (a P-value of < 0.05 indicates that the distribution is significantly
257 unimodal, and hence there is a significant bias in that region/period for downwind directions to
258 blow toward a particular compass sector).

259

260 **3. Results**

261

262 *3.1. Autumn mass return migration to Indochina*

263

264 Mean October light-trap catches of RPH from the three southern Chinese provinces of
265 Guangdong, Guangxi and Hainan, over the 10-year study period, demonstrated that large
266 populations of flight-capable RPH were present throughout this region. Mean catches ranged
267 from about 1500 – 80,000 RPH per month (Fig. 2), with a maximum nightly catch of 13,248
268 RPH per trap. These large catches indicated that there were substantial RPH populations in late-
269 autumn, and as rice crops are not grown throughout the winter in most of southern China, these
270 RPH populations must emigrate further south or crash.

271 Examination of the 10-year average wind fields in October at ~1500 m AMSL (typical RPH
272 flight height) across the whole region showed the presence of fast (5–10 m s⁻¹), suitably-directed
273 winds for south-westward/westward transport from southern China towards the east coast of

274 Indochina (Fig. 3a). Winds from the southern Chinese provinces studied in detail were
275 consistent with the general pattern (Rayleigh tests; Guangdong: N = 119,660, mean downwind
276 direction (DWD) = 236°, r = 0.726, P < 0.0001, mean wind speed (WS) = 6.3 m s⁻¹; Guangxi:
277 N = 161,200, DWD = 272°, r = 0.607, P < 0.0001, MS = 5.6 m s⁻¹; Hainan: N = 22,010, DWD
278 = 250°, r = 0.788, P < 0.0001, WS = 7.3 m s⁻¹; Fig. 3b). The suitability of the wind fields for
279 transport was confirmed by forward trajectory analyses over a 10-year period from southern
280 China, which showed a high proportion of trajectories reaching eastern Indochina (Fig. 4).
281 Trajectories from Guangdong (Fig. 4a) indicated that many emigrants will have reached
282 northern Vietnam/NCV after 36 hours, with smaller numbers travelling further west to rice-
283 growing regions in S Laos and NE Thailand (Fig. 1). Emigration patterns from Guangxi (Fig.
284 4b) were similar to that from Guangdong, but with slightly fewer trajectories reaching central
285 Indochina. In contrast, trajectories from Hainan (Fig. 4c) travelled further west into Indochina,
286 and numbers reaching NCV were substantially higher than from the two other provinces. These
287 results confirm that NCV is the key area for receiving return migrants as the southern China
288 population retreats each autumn.

289

290 3.2. *Winter population dynamics of RPH in NCV*

291

292 Field surveys of RPH densities were carried out through autumn to spring of 2011-2012 and
293 2012-2013 in six locations in NCV and six in SCV (Fig. 1, inset). In both autumn periods, there
294 were large populations of RPH in some sites in NCV (Fig. 5a), but there were consistently
295 lower densities in the SCV locations in the same period (Fig. 5b), indicating that substantial
296 emigration from southern China to NCV had occurred. The autumn surveys in 2011 were
297 carried out in late-November, by which time the NCV population consisted mostly of nymphs
298 (Fig. 5a), indicating that the original immigrants arriving earlier in the autumn had already
299 produced the next generation. By contrast, in 2012 the autumn surveys were carried out 3 weeks
300 earlier (in early-November), when populations in NCV were dominated by macropterous (long-
301 winged) adults (Fig. 5a) indicating the arrival of immigrants. By the time of the winter surveys

302 (in February), the rice crops in NCV had been harvested and the fields ploughed, and only a
303 very few plants of ratoon and self-seeding rice were present (Plates S2). Consequently, RPH
304 populations almost completely crashed in the NCV survey sites during February (Fig. 5a); by
305 contrast, there was some evidence that small RPH populations were able to persist through the
306 winter in the southern SCV sites (Fig. 5b). Despite the population crash during mid-winter,
307 RPH populations in NCV had rebounded by the surveys in March (Fig. 5a), by which time the
308 rice plants had grown to the tillering/jointing stage suitable for RPH development.

309 In contrast to the complete crash of RPH populations on rice plants in NCV during mid-
310 winter, light-traps catches during February 2010 from Nghệ An (NA) in the north of NCV (Fig.
311 1, inset) demonstrated that flying macropterous adults (i.e. potential immigrants) were present
312 in good numbers every night (Fig. 6), despite no hoppers being present on rice plants this far
313 north. The patterns of nightly light trap catches at three sites spanning 800 km distance, from
314 NA in northern NCV, via Quảng Nam (QNa) in northern SCV to Phú Yên (PY) in southern
315 SCV (Fig. 1, inset) were very similar (Fig. 6). Pairwise comparisons between the three sites
316 showed positive and significant correlations in all cases (Pearson correlations; NA and QNa: N
317 = 48, $r^2 = 0.104$, $P = 0.025$; NA and PY: $N = 47$, $r^2 = 0.502$, $P < 0.0001$; QNa and PY: $N = 48$,
318 $r^2 = 0.803$, $P < 0.0001$; Fig. S2). This indicates that migratory activity was highly correlated
319 over very large spatial scales. As there were no other suitable host plants in the NCV region
320 through the winter period, the rapid resurgence in the spring indicates that RPHs must have
321 immigrated into NCV from elsewhere in Indochina, such as SCV, where rice crops are grown
322 throughout the winter.

323

324 3.3. *Identification of the source areas for the recolonization of NCV*

325

326 To identify potential source areas of the RPH which recolonize NCV in the early-spring, we
327 examined the mean 10-year wind field patterns during the recolonization period (21 February
328 to 20 March) at RPH flight altitudes (Fig. 7a). At the synoptic scale, wind patterns were
329 complex and varied across the region; on average there was a tendency for winds to blow

330 towards the northeast over much of Indochina, but at relatively slow speeds (often $<5 \text{ m s}^{-1}$; Fig.
331 7a). This indicates that wind directions were generally suitable for transport of RPH to the NCV
332 region from the rest of Indochina, but the slow speeds will have restricted the distances over
333 which RPH could have travelled (Fig. 7a). Analysis of wind directions and speeds for the 8
334 rice-growing regions indicated that 5 of the regions (Central Highlands, SE Vietnam, Mekong,
335 Tonlé Sap and E Thailand) could not be the source area for recolonization of NCV (Fig. 8).
336 Wind conditions were however favourable in the 2 important rice-growing regions of central
337 Indochina, which both had a high proportion of south-westerly winds which would have
338 transported RPHs to NCV (Fig. 7b; Rayleigh tests; NE Thailand: $N = 100,110$, $DWD = 347^\circ$,
339 $r = 0.490$, $P < 0.0001$, $WS = 3.5 \text{ m s}^{-1}$; S Laos: $N = 39,198$, $DWD = 333^\circ$, $r = 0.259$, $P < 0.0001$,
340 $WS = 3.4 \text{ m s}^{-1}$). The situation was different in SCV, which had relatively few favourable winds
341 for transport to NCV (i.e. southerly winds were scarce; $N = 17,484$, $DWD = 255^\circ$, $r = 0.454$, P
342 < 0.0001 , $WS = 4.4 \text{ m s}^{-1}$; Fig. 7b), but given its close proximity to NCV and highly correlated
343 light-trap catches (Fig. S2), we assumed that it may still be an important source area for the
344 recolonization of NCV. While the general pattern was favourable for transport to NCV from
345 central Indochina (Fig. 7), examination of detailed daily wind conditions and air temperatures
346 shows a highly dynamic situation, with good transport opportunities interspersed with periods
347 when migration would not have been possible (Fig. 9). The position of the 16.5°C isotherm at
348 1500 m AMSL during the recolonization period will have encouraged fallout of emigrants in
349 the NCV region and prevented migration onwards into northern Vietnam and southern China
350 where the rice was also so young during the recolonization period that it would have been tough
351 for RPH to survive locally (Fig. 7a and S3).

352 The importance of NE Thailand, S Laos and SCV as source areas was further investigated
353 by running backward trajectories from every 0.2° grid in NCV during the recolonization period
354 (Fig. 10). The results demonstrated that each of these regions were frequent potential source
355 areas of RPHs arriving in NCV (proportion of backward trajectories originating from beyond
356 the NCV in each of the 3 regions after 12 h, 24 h and 36 h respectively were as follows: NE
357 Thailand: 18%, 46% and 41%; S Laos: 11%, 13% and 10%; SCV: 4%, 5% and 5%; the other

358 5 regions had values between 1% and 4% in total; Fig. 10). The importance of these 3 regions
359 as potential sources for recolonizing NCV was confirmed by calculating forward trajectories
360 from all 8 winter rice-growing regions throughout Indochina, which showed that relatively high
361 proportions of trajectories from NE Thailand (10%), S Laos (15%) and SCV (21%) pass
362 through NCV (Figs. 11 and S4-6), but only 7% in total reached NCV from the other 5 regions
363 (Fig. 11).

364

365 **4. Discussion**

366

367 The northern winter-breeding boundary of RPHs is limited by the requirement for winter
368 temperatures to remain $>12^{\circ}\text{C}$, which in East Asia tends to be south of the Tropic of Cancer
369 (Cheng et al., 1979; Luo et al., 2013), and the widespread availability of rice crops. This
370 effectively limits large-scale winter-breeding to no further north than the Indochina Peninsula.
371 Thus the large populations which outbreak in southern and eastern China each summer (Hu et
372 al., 2011, 2013, 2014, 2018; Lu et al., 2017) must return to Indochina during the autumn in
373 order for the migratory populations to persist. Direct evidence for these southward return
374 migrations was relatively scant until recently, and limited to a few observations of movements
375 within China (Riley et al., 1991; Hu et al., 2013), but the current paper and recent work of Wu
376 et al. (2017) indicate that wind conditions suitable for transport from southern China to
377 Indochina are frequent in the autumn. In particular, we identify the NCV region as a key area
378 for the return migrants, with a high proportion of trajectories from the southern Chinese
379 provinces of Guangdong, Guangxi, and especially Hainan, reaching NCV (Fig. 4). Field
380 surveys demonstrated that large populations of RPHs were present in rice crops in NCV during
381 November (Fig. 5), consistent with large-scale arrivals of emigrants from southern China in the
382 previous month.

383 Our work indicates that large-scale returns to Indochina occur each autumn, and that NCV is
384 both the start point for spring colonization of southern China and the destination for autumn
385 return migrants. In order for the migration loop to be closed however, it is necessary to explain

386 how RPH populations returning from China persist over the winter despite the fact that their
387 reproductive cycle is broken during the 3-month fallow period in NCV. The immigrants
388 arriving in NCV in the autumn produce a new generation, as evidenced by the large proportion
389 of nymphs we found in late-November 2011 on rice (Fig. 5). However, by February, RPH
390 populations in NCV have completely crashed, so what has happened to the immigrant
391 populations? There are two possible scenarios, which are not mutually exclusive. Firstly, the
392 November generation may have completed development and produced macropterous (long-
393 winged) adults, which departed on mass to colonize other areas to the south or west where rice
394 is grown through the winter. Secondly, the rice crop may have been harvested before
395 development was complete, resulting in local extirpation of RPHs in NCV. Further research is
396 required to test these assumptions. If the latter scenario is the sole explanation for the mid-
397 winter crash, then there would appear to be a paradox, as the migratory loop would apparently
398 experience a complete break in the NCV region during mid-winter.

399 This apparent paradox can be explained by the small proportion of autumn migration
400 trajectories which overfly NCV and reach continuous rice-growing areas in central Indochina,
401 specifically the NE Thailand and S Laos winter rice-growing regions (Figs. 1 and 4). Even
402 though the proportion of the emigrants from southern China which reach these distant regions
403 is small, they may be disproportionately important in maintaining the migratory loop, as r-
404 selected species such as RPHs have extremely rapid population growth (Cheng, 2009). The
405 progeny of these winter generations in central Indochina therefore constitute an important
406 source for the recolonization of NCV during early-spring, ensuring that the migration is a closed
407 loop. Field surveys should be carried out in these regions to demonstrate the existence of winter-
408 breeding populations there.

409 Our data provides further evidence to refute the so-called ‘pied piper’ syndrome: a long-
410 standing idea which posits that many insect migration systems are non-adaptive, because large-
411 scale returns to winter-breeding areas are apparently absent, leading to mass mortality in the
412 progeny of immigrants (Stinner et al, 1983). This idea made little evolutionary sense (McNeil,
413 1987; Cardé, 2008; Chapman et al., 2012), and has been refuted in recent years by the

414 widespread demonstration of autumn return migrations in multiple species (e.g. Showers, 1997;
415 Feng et al., 2005; Chapman et al., 2008, 2010, 2015; Hu et al., 2016). The data we provide in
416 the current paper provides evidence that the migration loop in RPHs is complete, with
417 successful return of the progeny of spring migrants to breed over the winter in Indochina, and
418 so provides another blow to the ‘pied piper’.

419 As RPHs are small, weak-flying insects, with a mean flight-speed of only 0.3 m s^{-1} (Chen et
420 al., 1984), their long-range migration patterns will be completely determined by wind fields
421 (Chapman et al., 2011b). Our results thus underline the importance of synoptic wind patterns
422 in the annual cycle of expansion and subsequent retreat of RPH populations in the Indo-
423 China/East Asia region. The advance and retreat of the monsoon over East Asia through spring
424 to autumn provides highly favourable winds (Hu et al., 2018) for carrying RPHs northwards
425 into southern China each spring, on to East China, Korea and Japan by late-summer, and back
426 to Indochina during the autumn. Our trajectories confirm that October winds facilitate return of
427 RPHs from southern China to eastern Indochina (particularly NCV), and also that rice-growing
428 regions in central Indochina (especially NE Thailand and S Laos) receive frequent favourable
429 winds for recolonization of NCV during February to March. However, it is also very clear from
430 our results that the important over-wintering region of the Mekong Delta, where very large RPH
431 populations exist during winter (Chen and Zhai, 2006; Sakamoto et al., 2006; Otuka et al.,
432 2014), is not the source for the spring recolonization. Forward trajectories show that migrant
433 RPHs from the Mekong only achieve short-range dispersal to the W/NW (to the Tonlé Sap
434 region of Cambodia; Fig. 11) due to the prevalence of easterly winds (Fig. 7), confirming
435 previous results (Chen and Zhai, 2006; Otuka et al., 2014). The short-range movements
436 observed in the Mekong and SE Vietnam (Fig. 11) are not maladaptive in this case, as these
437 regions have year-round rice-growing with 2-3 harvests per year (Sakamoto et al., 2006), so
438 long-range movements to track seasonally available resources are not necessary. The lack of
439 selection pressure has resulted in much shorter migration distances in tropical populations
440 (Riley et al., 1987) than typically seen in populations which expand into temperate areas. This
441 difference is partly caused by shorter ‘migratory windows’ (i.e. shorter pre-reproductive

442 periods) and lower migratory tendencies in response to starvation in tropical populations (Wada
443 et al., 2007, 2008), and partly due to less favourable winds.

444 In summary, our analyses confirm the importance of NCV as both the source of migrants
445 colonizing southern China each spring and the destination of return migrants leaving southern
446 China each autumn. The 3-month winter break in rice cropping in this region leads to a mid-
447 winter population crash here, but this important region is recolonized during February-March
448 by immigrants from central Indochina, particularly from rice-growing regions of NE Thailand
449 and S Laos. The winter fallow period in NCV does not break the RPH annual migratory loop,
450 due to the high mobility and rapid development of RPHs. However, the absence of suitable
451 habitat and hosts probably does lead to crashes in the local populations of natural enemies over
452 the winter (Schonely et al., 2010; Wada, 2015), which will lead to higher population growth
453 among RPHs when they recolonize NCV in the early-spring, with knock-on effects on the size
454 of immigrant populations reaching southern China. Thus winter rice fallows in NCV, which are
455 designed to reduce local RPH population densities (Dyck et al., 1979; Nozaki et al. 1984), may
456 have the opposite effect. Prediction of outbreaks of migratory pests such as RPHs, which are
457 too small to be directly tracked and are strongly influenced by weather conditions, remains
458 challenging. Further work is required to fully understand the biometeorological aspects of small
459 insect pest movements; long-term radar monitoring to quantify the numbers of migrants, using
460 both special-purpose entomological radars (Chapman et al., 2011a) and continental-scale
461 networks of weather radars (Bauer et al., 2017), in combination with atmospheric trajectory
462 analyses and traditional field monitoring of populations, would increase our predictive abilities
463 in relation to migratory pests.

464

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466

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480

481 **Appendix A. Supplementary Data**

482 Supplementary data associated with this article can be found, in the online version, at <http://.....>

483

484 **References**

485

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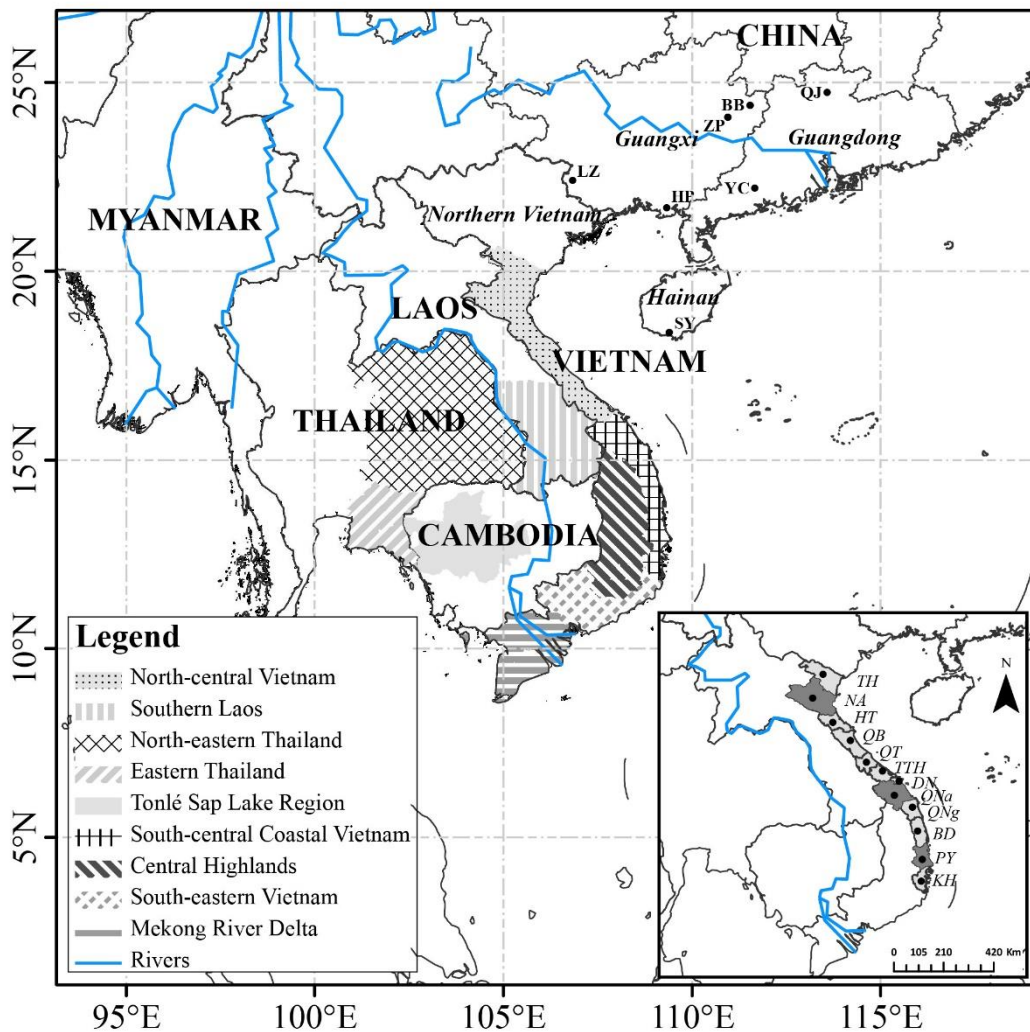
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692 **Figure legends**

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696 **Fig. 1.** Locations of winter rice-growing regions in Indochina and provinces of southern China

697 discussed in the text, and, in the inset, the field survey sites in north-central Vietnam (NCV)

698 and south-central coastal Vietnam (SCV). In October of 2004-2013, light traps in southern

699 China were routinely operated at seven sites: Qujiang (QJ) and Yangchun (YC) in Guangdong

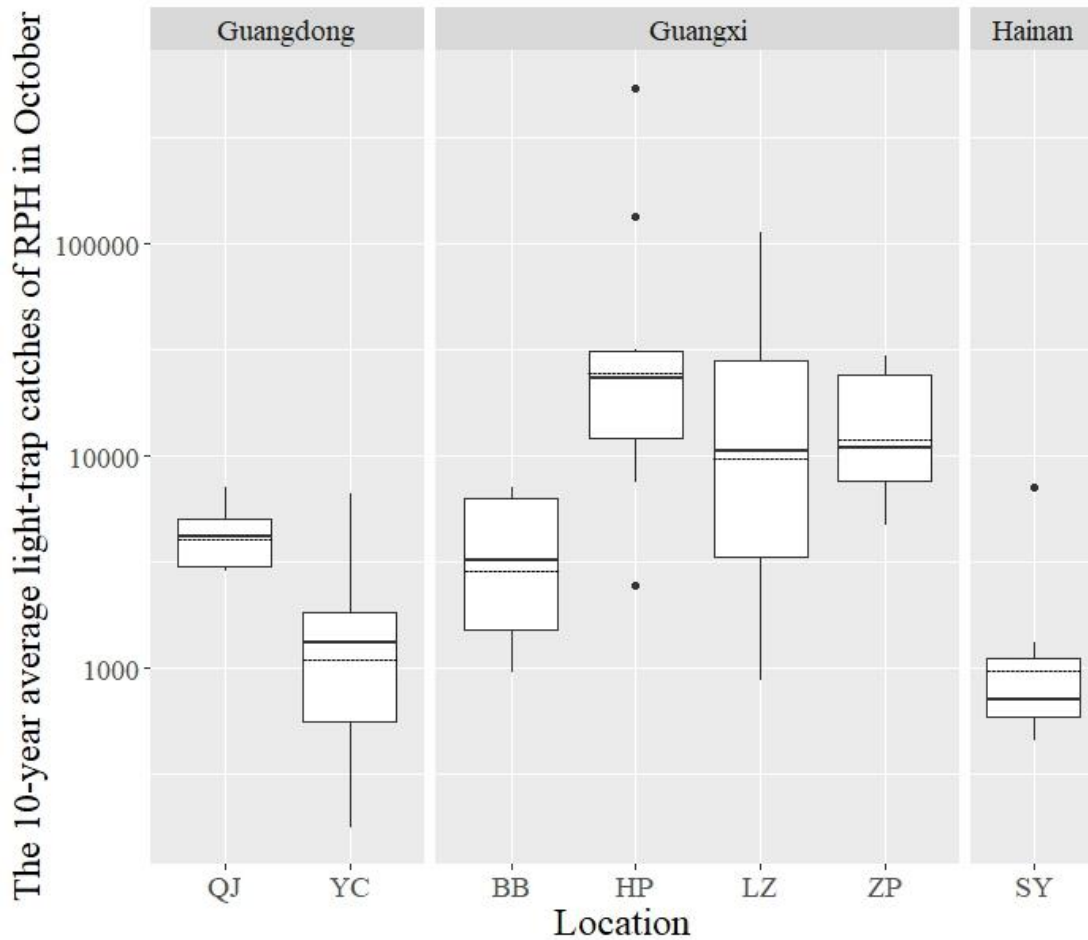
700 Province; Babu (BB), Hepu (HP), Longzhou (LZ) and Zhaoping (ZP) in Guangxi Province;

701 and Sanya (SY) in Hainan Province. During 2011-2013, field surveys of RPH infestation levels

702 were conducted at 6 sites in NCV: Thanh Hóa (TH), Nghệ An (NA), Hà Tĩnh (HT), Quảng

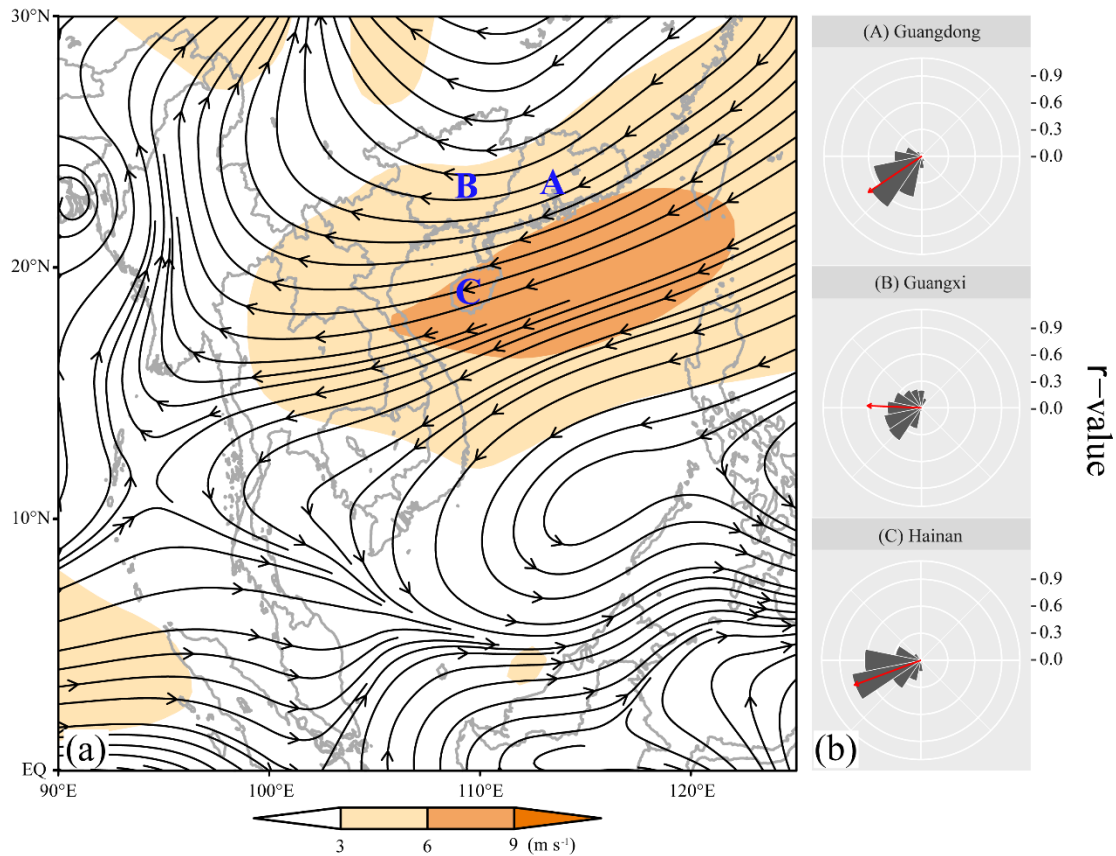
703 Bình (QB), Quảng Trị (QT) and Thừa Thiên-Huế (THH); and 6 sites in SCV: Đà Nẵng (DN),

704 Quảng Nam (QNa), Quảng Ngãi (QNg), Bình Định (BD), Phú Yên (PY) and Khánh Hòa (KH).
 705 Three of these sites (NA, QNa and PY, highlighted in darker grey) were locations where light
 706 traps were also run in 2010.
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 710 **Fig. 2.** Mean monthly light-trap catches of RPH during October of 2004-2013 at seven locations
 711 in three southern Chinese provinces. The bottom and top of the box indicate the lower and upper
 712 quartile values, respectively. The horizontal solid black line shows the median for each location,
 713 and the black dashed line represents the mean. Whiskers indicate the 5th and 95th percentiles,
 714 while the black circle represents the outlier. (See Fig. 1 for station names and locations.)

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719 **Fig. 3.** (Left) The 10-year mean synoptic wind conditions at 850 hPa for October of 2004-2013

720 over southern China and Indochina. The colour scale shows the mean wind speed in m s^{-1} .

721 (Right) Circular histograms of downwind directions at 850 hPa during October 2004 to 2013

722 for the southern Chinese provinces of (A) Guangdong, (B) Guangxi and (C) Hainan. (For easy

723 comparison with insect displacements, the *downwind* direction is shown rather than the

724 (conventional) direction from which the wind is blowing). The area of the dark grey segments

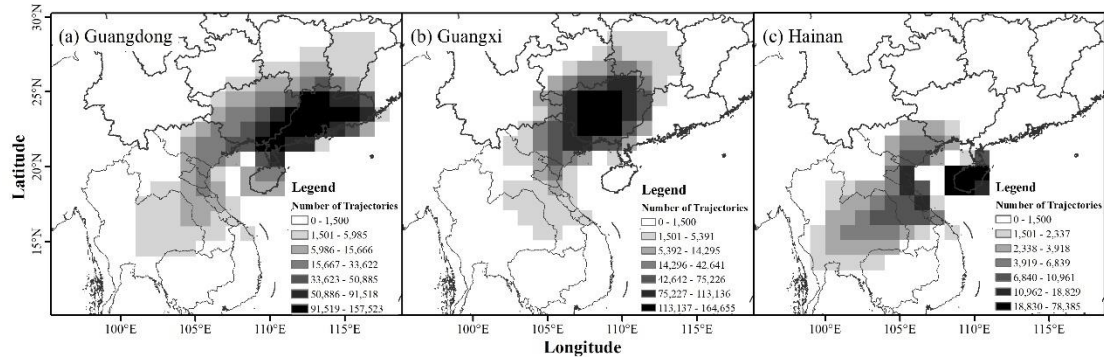
725 is proportional to the number of occasions when downwind directions fell within each 22.5°

726 sector. The bearing of the red arrow indicates the mean downwind direction, while its length is

727 proportional to the clustering of the dataset around the mean direction (the ‘r-value’ shown on

728 the y-axis).

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732 **Fig. 4.** The number of forward trajectory pathways starting from the southern Chinese

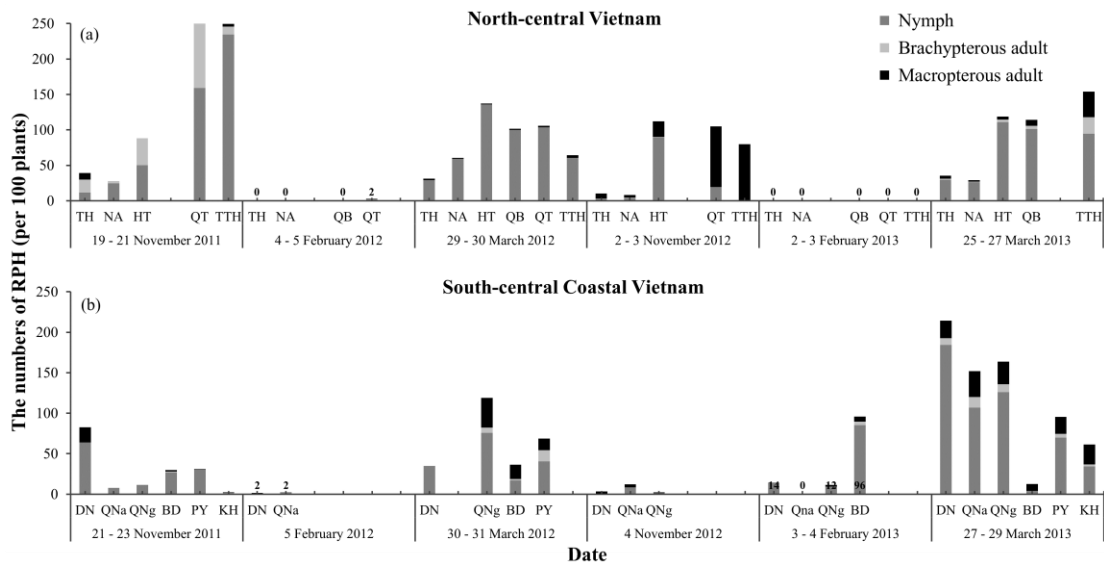
733 provinces of (a) Guangdong, (b) Guangxi and (c) Hainan which crossed every 100 x 100 km

734 grid cell in the region. Trajectories were run for every night in October of the 10 years 2004-

735 2013.

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741 **Fig. 5.** Population densities of RPH estimated during field surveys in (a) north-central

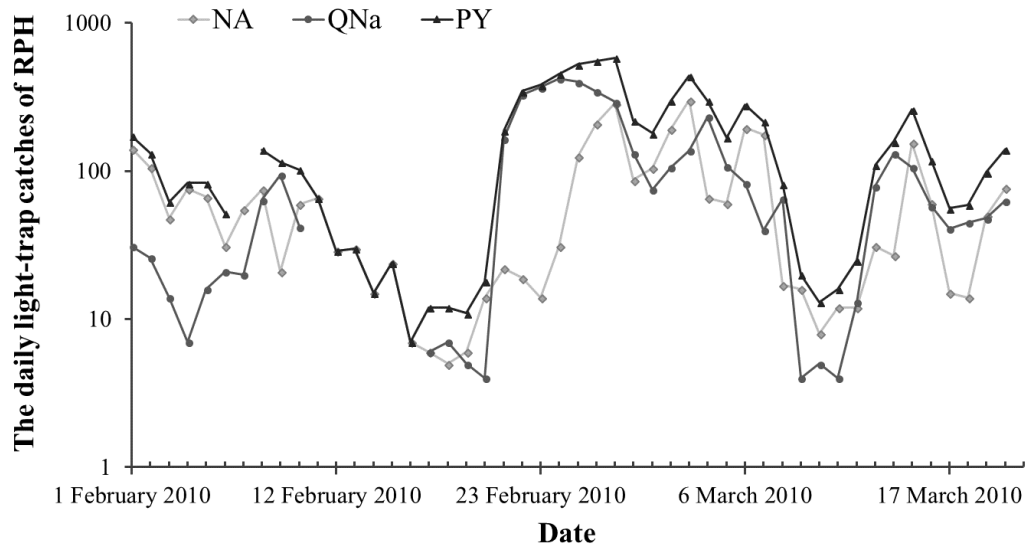
742 Vietnam (NCV) and (b) south-central coastal Vietnam (SCV). Site codes are the same as in

743 Fig. 1.

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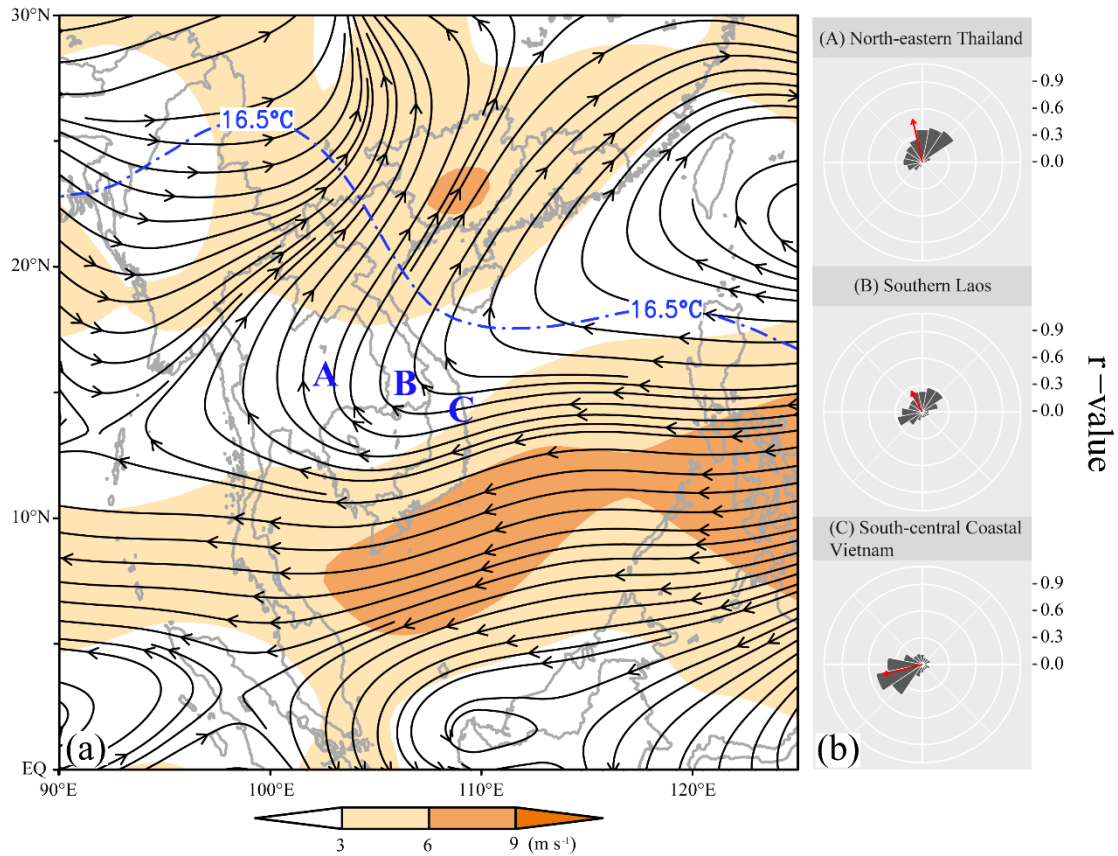
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749 **Fig. 6.** The daily light trap catches of RPH at Nghệ An (NA) in the NCV region, and at
 750 Quảng Nam (QNa) and PhúYên (PY) from the SCV region, during February to March 2010.

751 Correlations between these datasets are shown in Fig. S2.

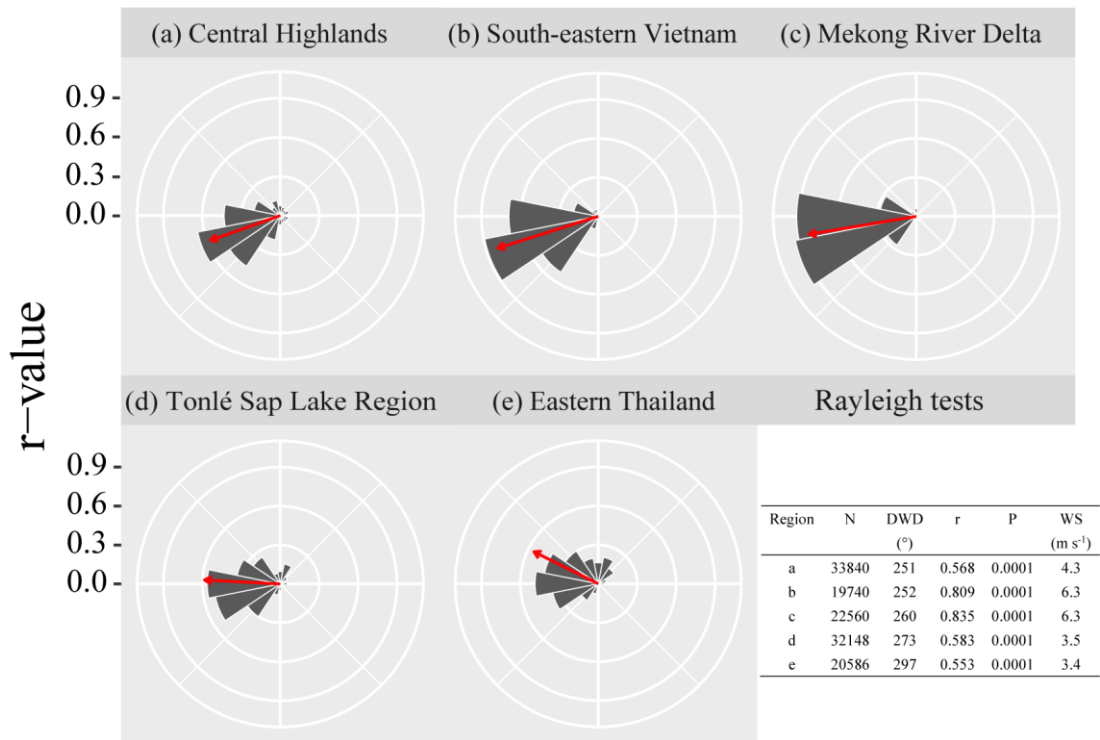
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756 **Fig. 7.** (Left) The 10-year mean synoptic wind conditions at 850 hPa for 21 February to 20
 757 March of 2005–2014 over southern China and Indochina. The colour scale shows the mean
 758 wind speed in m s^{-1} . The 16.5°C isotherm for the period 21 February to 20 March of 2005–2014
 759 is also plotted. (Right) Circular histograms of downwind directions at 850 hPa during 21
 760 February to 20 March of 2005–2014 for the 3 central Indochinese rice-growing regions of (A)
 761 NE Thailand, (B) S Laos and (C) SCV. (For easy comparison with insect displacements, the
 762 *downwind* direction is shown rather than the (conventional) direction from which the wind is
 763 blowing). See Fig. 3 for a description of the circular plots.

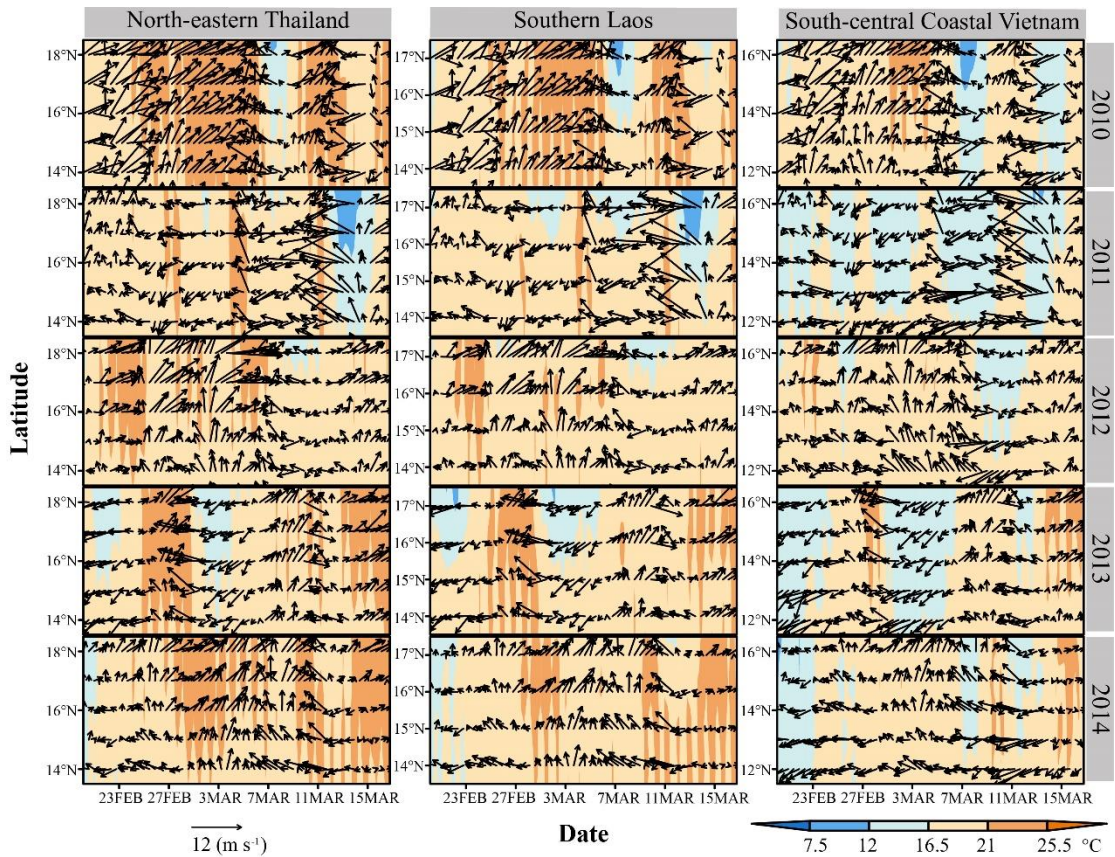


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766 **Fig. 8.** Circular histograms of downwind directions at 850 hPa during 21 February to 20 March
 767 of 2005–2014 for the 5 Indochinese winter rice-growing regions which were not potential
 768 source areas for the recolonization of NVC. (For easy comparison with insect displacements,
 769 the *downwind* direction is shown rather than the (conventional) direction from which the wind
 770 is blowing). See Fig. 3 for a description of the circular plots.

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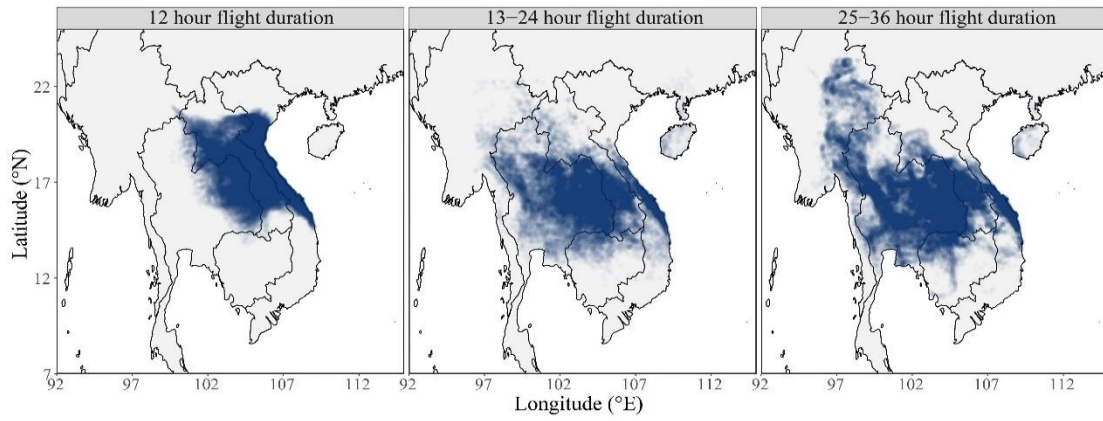
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774 **Fig. 9.** Twice daily wind vectors and air temperatures for 5 example years at 850 hPa above
 775 the three main source regions for the recolonization of NCV during 21 February to 20 March.
 776 The arrows show wind directions, with the length of the arrow proportional to the wind
 777 strength; while the background is colour coded for the air temperature.

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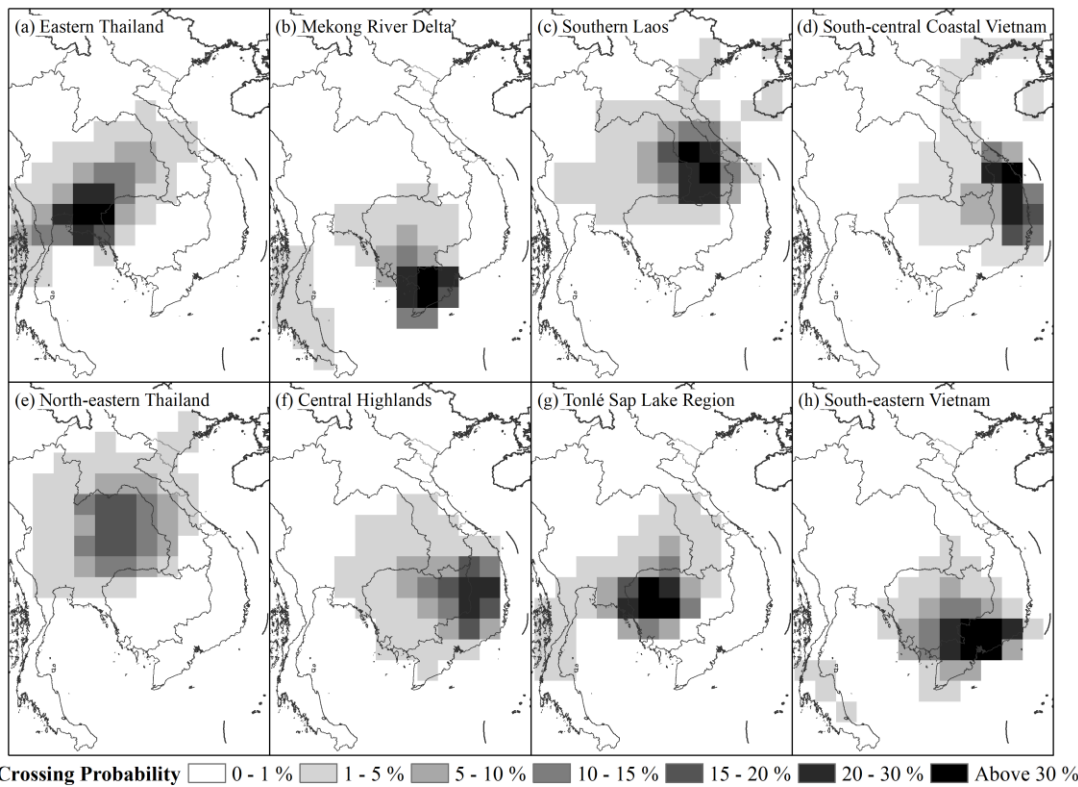


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781 **Fig. 10.** Endpoints of backward trajectories (i.e. the potential start points of migrations) from
 782 NCV during every night of 21 February to 20 March of 2005–2014 for maximum flight
 783 durations of 12 hours, 24 hours and 36 hours. The more intense the blue colour, the greater the
 784 density of endpoints at any location.

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789 **Fig. 11.** The proportion of forward trajectory pathways starting from each of the 8 winter rice-
 790 growing regions of Indochina which crossed every 100 x 100 km grid cell in the region.
 791 Trajectories were run for every night during 21 February to 20 March of the 10 years 2005–
 792 2014. The start points of the trajectories are shown in Fig. S1.

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797 **APPENDIX A.**

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799 **SUPPLEMENTAL MATERIAL**

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803 **Table S1.** Parameters of the WRF (Weather Research and Forecasting) model used for

804 calculating RPH migration trajectories.

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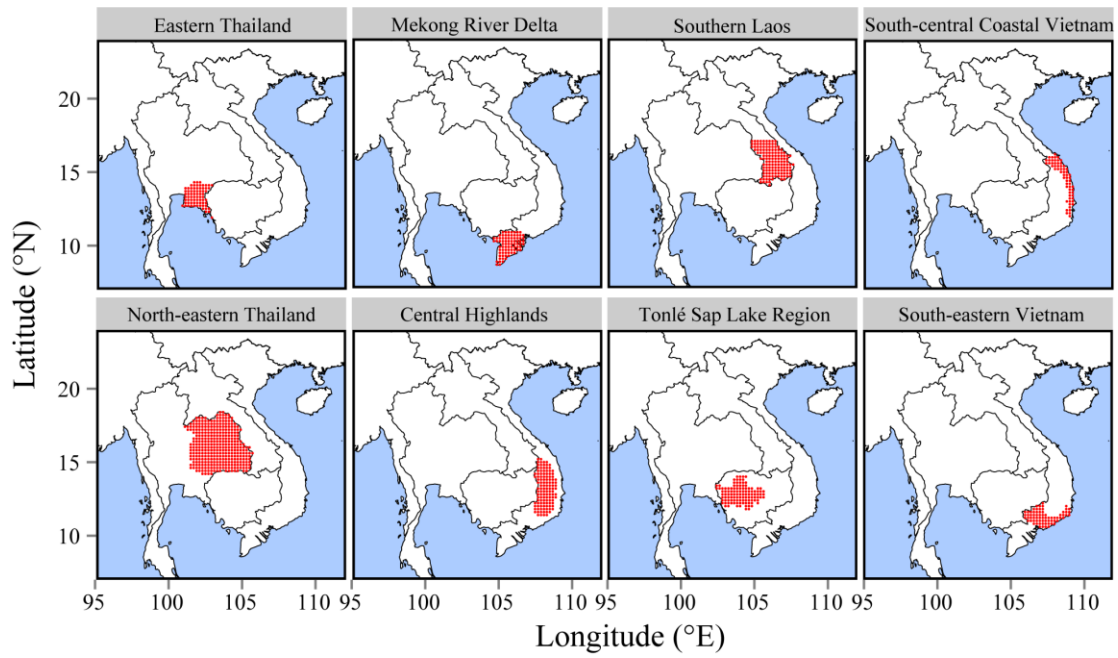
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Item	Simulated domain
Location	10°N / 20°N, 105°E
The number of grid points	100*98
Distance (km) between grid points	30
Layers	27
Map projection	Mercator / Lambert
Microphysics scheme	WSM3
Longwave radiation scheme	RRTM
Shortwave radiation scheme	Dudhia
Surface layer scheme	Revised MM5 Monin-Obukhov
Land/water surface scheme	Noah
Planetary boundary layer scheme	YSU
Cumulus parameterization	Kain-Fritsch (new Eta)
Turbulence and mixing option	Simple diffusion
Eddy coefficient option	2d Deformation
Simulated time	Every 72h

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808 MM5 is short for the Fifth-Generation Penn State/NCAR Mesoscale Model.

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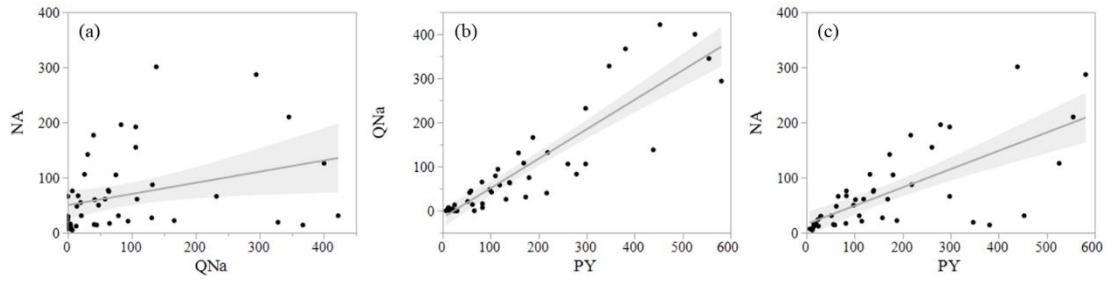


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Fig. S1. Start points of forward trajectories from the 8 winter rice-growing regions of Indochina. The red points represent the 0.2° by 0.2° grid point location of each start point: 139 sites in southern Laos, 62 in south-central coastal Vietnam, 120 in Central Highlands, 70 in south-eastern Vietnam, 80 in Mekong River Delta, 73 in eastern Thailand, 355 in north-eastern Thailand, and 114 in Tonlé Sap Lake Region of Cambodia.

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825 **Fig. S2.** The relationships between daily light-trap catches of RPH among three locations.

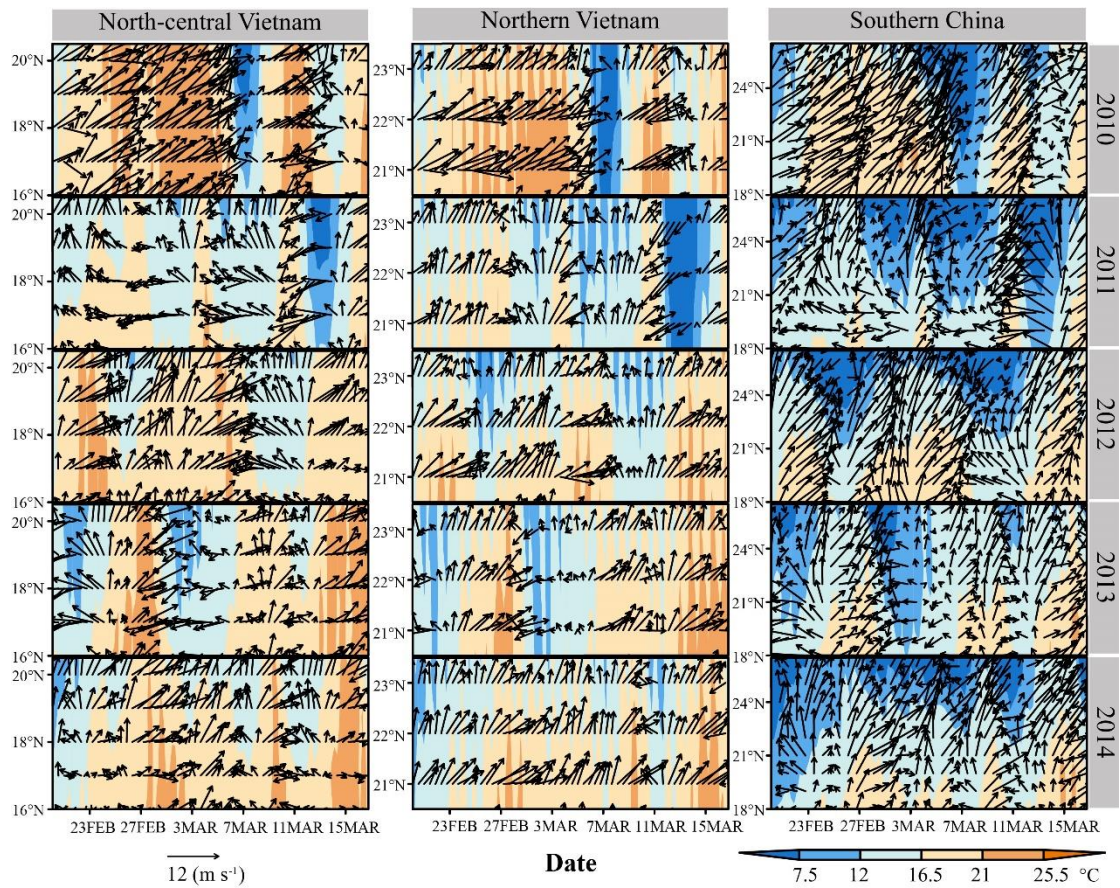
826 Pearson correlation analysis indicated a significant positive correlation among the light-trap

827 catches in these regions (NA and QN: $N = 47$, $r^2 = 0.104$, $P = 0.025$; NA and PY $N = 46$, $r^2 =$

828 0.502 , $P < 0.0001$; QN and PY: $N = 47$, $r^2 = 0.803$, $P < 0.0001$.)

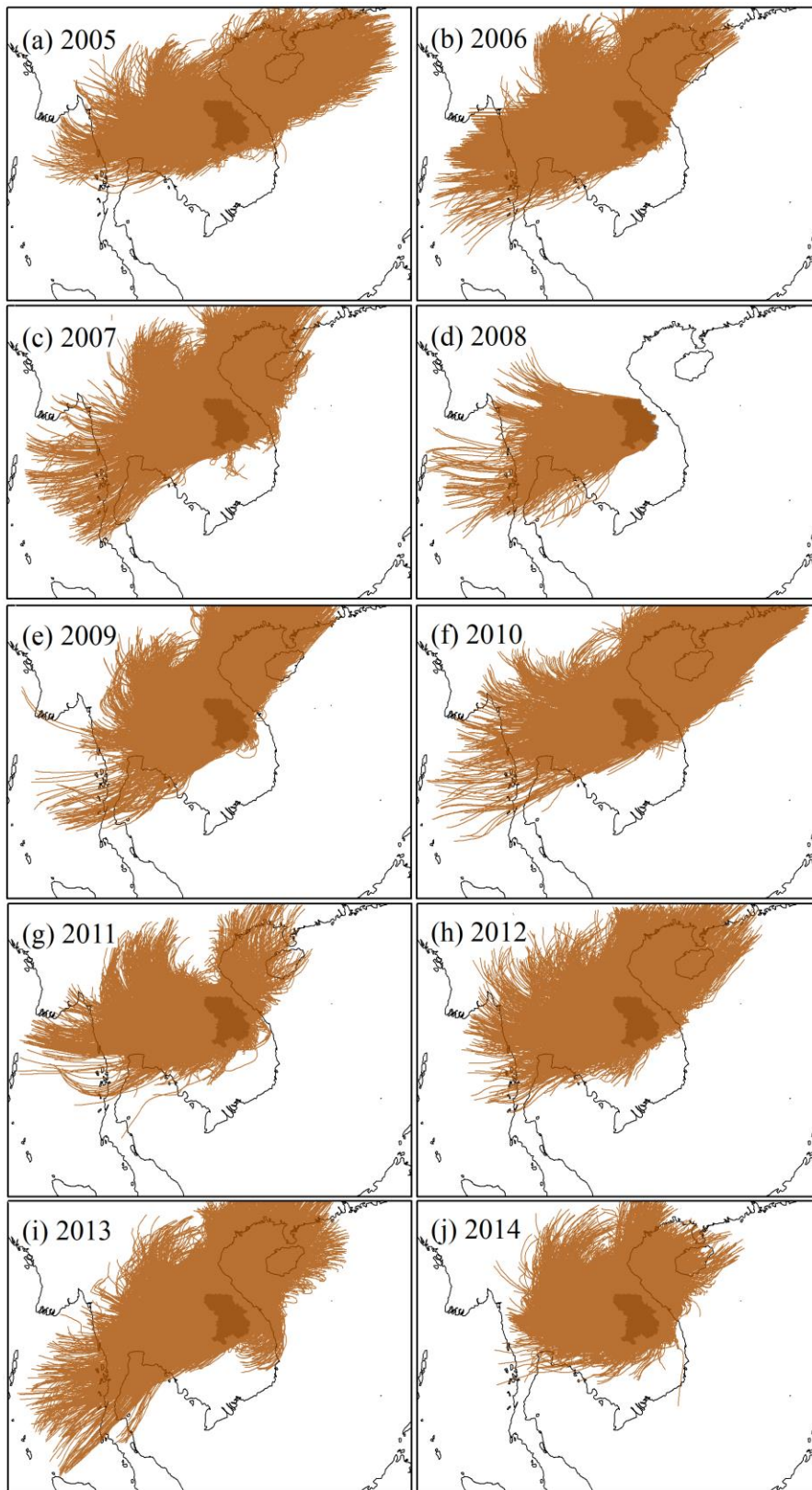
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Fig. S3. Twice daily wind vectors and air temperatures for 5 example years at 850 hPa above NCV, northern Vietnam and southern China during 21 February to 20 March. The arrows show wind directions, with the length of the arrow proportional to the wind strength; while the background is colour coded for the air temperature.



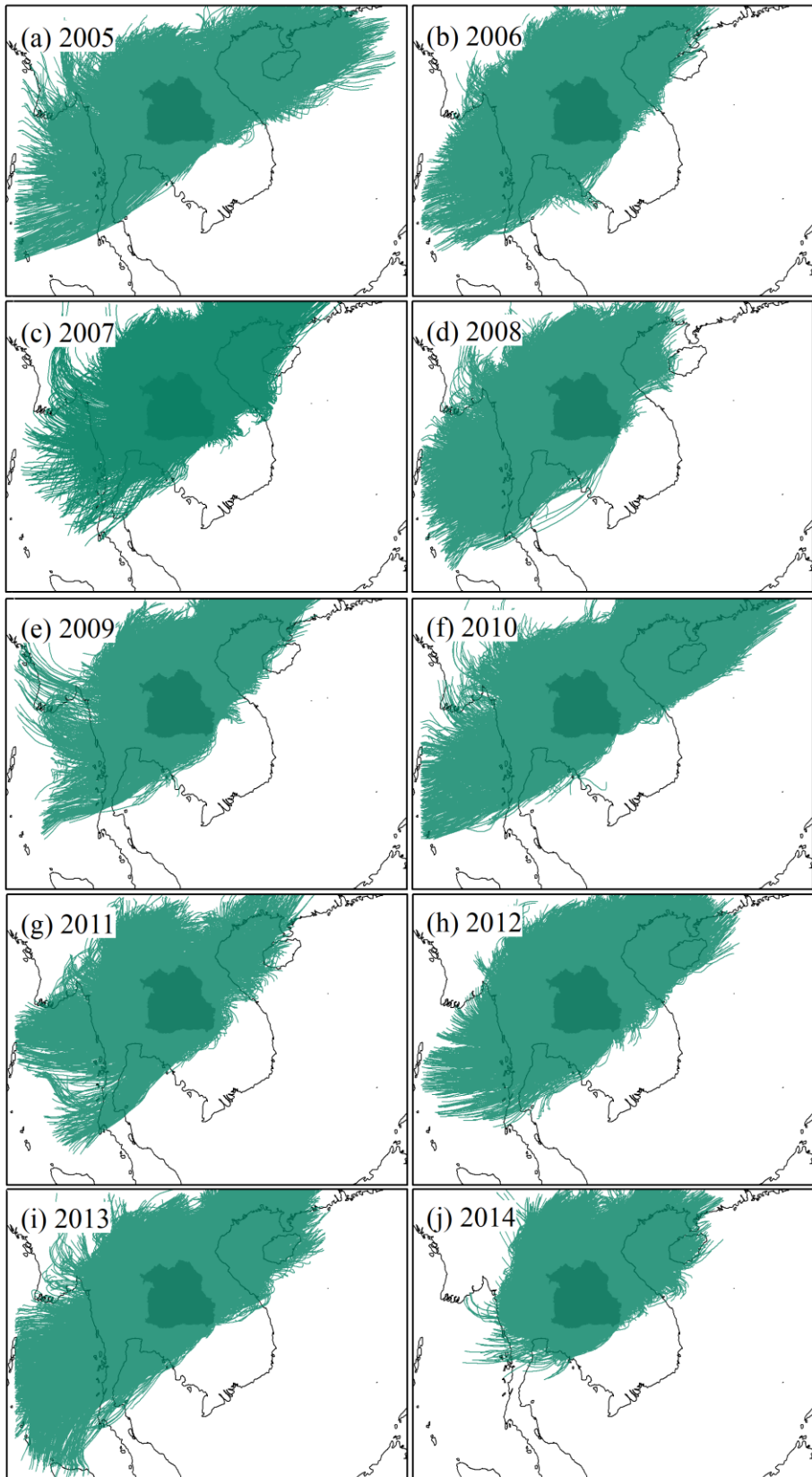
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841 **Fig. S4.** All forward trajectories from 20 February to 21 March of the 10-year period 2005–

842 2014 that departed from S Laos.

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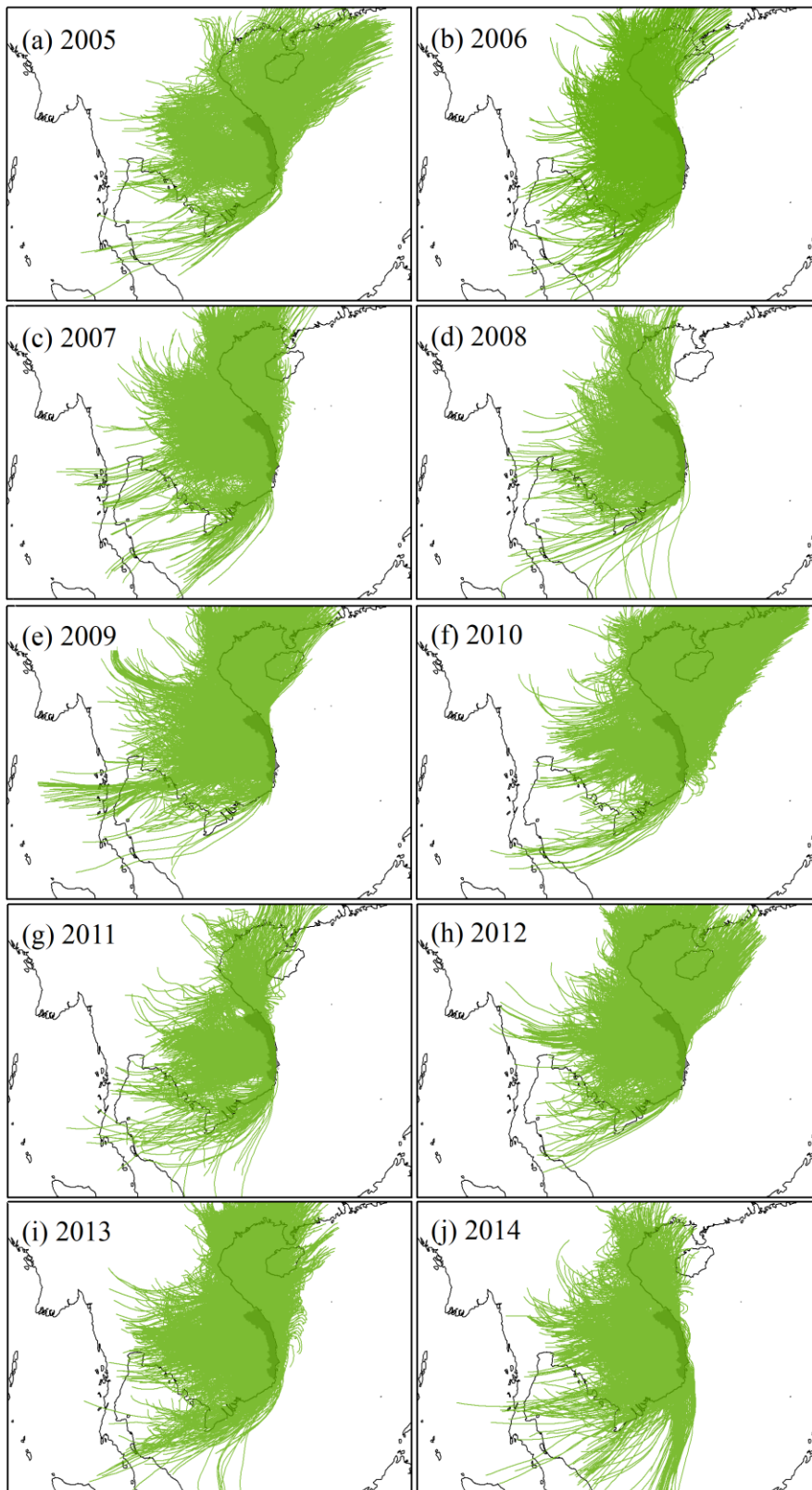


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846 **Fig. S5.** All forward trajectories from 20 February to 21 March of the 10-year period 2005–

847 2014 that departed from NE Thailand.



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Fig. S6. All forward trajectories from 20 February to 21 March of the 10-year period 2005–2014 that departed from SCV.

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855 Plate S1. The harvested rice paddy fields at northern Thanh Hóa (TH) in NCV on 19 November
856 2011.

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859 Plate S2. The rice stubble, ratoon rice and self-seeding rice from fallen grain at northern Nghệ
860 An (NA) in NCV on 20 November 2011.
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863 Plate S3. The ploughed rice paddy fields at southern Hà Tĩnh (HT) in NCV on 20 November
864 2011.
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867 Plate S4. The transplanting of rice at Thanh Hóa (TH) in NCV on 4 February 2012.

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870 Plate S5. The rice plants at yellow ripe stage at Thanh Hóa (TH) in NCV on 3 November 2012.