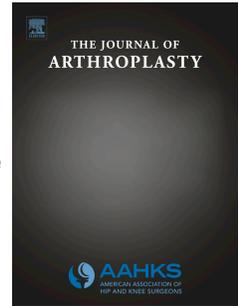


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Differences in risk of revision and mortality between total and unicompartmental knee arthroplasty. The influence of hospital volume.

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ETHICAL APPROVAL: The present project was developed in the framework of the Catalan Arthroplasty Register (RACat). Due to the nature of the data used to address the objectives and the belonging of the RACat to the Catalan Health System as a public health registry, it is not necessary an ethical approval. To guaranty the confidentiality of the patients according to the Spanish en European current regulation on data protection, the data are anonymised and continuously supervised by the steering committee of the RACat. This committee is composed by experts both from the orthopaedic surgery services of the different hospitals involved in data collection, as from the Catalan Health Service (CatSalut), the Catalan Society of Orthopaedic Surgery and Traumatology (SCCOT), and the Agency for Health Quality and Assessment of Catalonia (AQuAS).

24 **KEYWORDS:** Total Knee Arthroplasty; Unicompartmental Knee Arthroplasty; Risk of
25 revision; Mortality; Register studies.

26

27 **INTRODUCTION**

28 Decisions on type of implant choice for knee arthroplasty should consider the evidence for
29 all available implant-specific outcomes. Current literature suggests that Unicompartmental
30 Knee Arthroplasty (UKA) may be associated with a higher rate of revision than Total Knee
31 Arthroplasty (TKA), but with similar mortality rates [1–7]. In some studies, these results
32 have been attributed, among other factors, to an imbalance in the characteristics of the
33 population operated on, as well as to the hospital where the surgery was performed.

34 It is well-established that the decision regarding type of surgery should depend on an
35 extensive evaluation of a patient's characteristics, since populations undergoing TKA,
36 versus those having UKA, are generally not comparable. Patients undergoing UKA usually
37 have fewer comorbidities and are less demanding, in surgical terms, than those having TKA
38 [2,8,9]. These differences in patient characteristics make it challenging to compare surgical
39 outcomes like mortality and the risk of prosthesis revision between TKA and UKA and
40 could lead to biased results and incorrect conclusions since the baseline characteristics of
41 patients undergoing these procedures are dissimilar. To solve this problem, propensity-
42 score matching methods have moved a step forward in comparing populations in
43 observational studies [10,11], which could lead to obtaining comparable patient populations
44 of TKA and UKA, at least in terms of their baseline characteristics, thus allowing more
45 precise comparisons to be made.

46 Another group of variables with a possible relationship to the risk of revision is hospital
47 characteristics. Taking these characteristics into account, some register-based studies have

48 suggested that the volume of arthroplasties performed in a hospital by year is particularly
49 relevant due to its influence on surgical outcomes [12–16]. This volume could have an
50 influence on the outcomes, depending on the type of surgery the study focused on, and
51 might be especially pertinent in UKA [13,15]. As previously found, hospitals performing a
52 higher volume of UKA by year might have better results in terms of revision, and similar
53 results in terms of mortality compared with those shown in lower volume hospitals.
54 Slightly less of a difference in TKA over UKA has also been shown between higher and
55 lower volume hospitals in risk of revision, with higher rates of revision among lower
56 volume hospitals [12,16]. The volume-related differences in mortality in TKA are unclear,
57 and might be influenced by differences in the populations operated on in lower and higher
58 volume hospitals.

59 Therefore, in this framework and after obtaining comparable TKA and UKA populations,
60 the aims of the present study are: 1) to evaluate and compare the risk of revision and
61 mortality of matched TKA and UKA cohorts; and 2) to assess if the hospital volume is
62 related to differences in revision and mortality between TKA and UKA.

63 **MATERIAL AND METHODS**

64 **Data and study population**

65 For the present study, data from the Catalan Arthroplasty Register (RACat) and the
66 Minimum Basic Dataset at Hospital Discharge (MBDS-HD) were used. The RACat is a
67 population-based arthroplasty registry that has collected information about hip and knee
68 arthroplasty procedures performed in the Catalan region since 2005. The registry includes
69 51 out of 56 public hospitals performing knee arthroplasty surgery in Catalonia, with a
70 completeness of about 90% for primary arthroplasties and about 70% for revision
71 procedures. The MBDS-HD is a mandatory population-based registry that compiles

72 information about procedures and morbidities for the entire population attended to in
73 Catalan Hospitals. Its dataset includes information about different aspects of the
74 hospitalization process, such as diagnosis and other factors related to patients and surgery
75 like comorbidity, hospital discharge data and hospital admission data.

76 To develop the proposed objectives, all individuals recorded in the Catalan Arthroplasty
77 Register (RACat) between 1/1/2005 and 31/12/2016, with a primary diagnosis of
78 osteoarthritis, undergoing cemented UKA (n=1,210) or cemented TKA (n=38,032
79 posterior-cruciate retaining, posterior-cruciate sacrificed and posterior stabilised) were
80 included in the study population. Patients with a diagnosis for the primary procedure other
81 than Osteoarthritis (OA), those with other types of knee arthroplasty, and those whose
82 prosthesis had a cementless, hybrid or inverse hybrid fixation were excluded. After
83 matching, a total of 2,374 patients, 1,187 patients for each type of intervention, remained in
84 the study to perform the comparative analyses between UKA and TKA outcomes in the
85 whole population.

86 **Study variables**

87 Two main outcomes were considered: mortality and risk of revision for any reason. A
88 revision arthroplasty was defined as any procedure involving removal, exchange or addition
89 of any implant part. Additionally, for competing risks models, the death of the patient was
90 considered as a competing risk, i.e. an event that changes the likelihood that the main event
91 occurs.

92 The main exposure variable considered was the type of arthroplasty (TKA and UKA).

93 The volume of procedures, defined as a dichotomous variable (higher and lower), was
94 considered as the absolute frequency of a specific type of arthroplasty performed in each
95 hospital for each year of the study period prior to matching. The cut-off point for lower

96 volume was fixed at 10 procedures for UKA and 100 procedures for TKA, based on the
97 lower volume groups for UKA and TKA observed in previous literature [13,15,16], and the
98 population distribution within the volume groups. The same hospital could contribute to a
99 different volume group depending on the year and number of procedures performed.

100 The following confounders were taken into account for propensity score matching: sex
101 (male and female), age (in years, defined as a continuous variable), number of
102 comorbidities from the Elixhauser index, year of intervention (categorized: 2005-2006,
103 2007-2008, 2009-2010, 2011-2012 and 2013-2016), type of hospital (high technology,
104 reference, regional and other type or not specified), type of admission (Emergency,
105 scheduled and other types) and healthcare region (Barcelona, Girona, Catalunya Central,
106 Camp de Tarragona, Lleida, Terres de l'Ebre, Alt Pirineu i Aran and other healthcare
107 region or not specified).

108 **Data analysis**

109 Descriptive analyses of the population's characteristics were done. A matching method
110 based on propensity score was used to obtain comparable populations from the main
111 exposure. The score was calculated considering arthroplasty type (UKA or TKA) as the
112 exposure variable, and including the following confounders: sex, age, number of
113 comorbidities (Elixhauser), year of the primary intervention (continuous), type of hospital,
114 type of admission and healthcare region. Matching between UKA and TKA was 1:1
115 without replacement, and included 2,374 matched prostheses overall (1,187 of each type).
116 Differences in UKA and TKA populations at the bivariable level were assessed before and
117 after matching using Chi-square tests and Mann-Whitney U tests for age, due to its non-
118 normal distribution. Mortality and risk of revision rates at 30 days, 90 days and 1, 3 and 5
119 years were obtained using the Kaplan-Meier method, and Cox regression models were

120 fitted to assess differences in mortality between TKA and UKA. Incidence of revision was
121 calculated taking the competing risk of death into account. The risk of revision was
122 estimated by summing up to t $S(t-1) * h'(t)$, where $S(t-1)$ is the Kaplan-Meier estimate of
123 the overall survival function and $h'(t)$ is the cause-specific hazard at the time t . In addition,
124 to evaluate differences in the risk of revision, Competing Risks models considering death as
125 the competing event were implemented. From these models, hazard ratios (HR) for
126 mortality, sub-hazard ratios (SHR) for revision and their 95% Confidence Interval (95%CI)
127 were obtained. To account for the possible effect of infection as the cause of revision, all
128 competing risks models were adjusted for the cause of revision. Additionally, to assess the
129 influence of hospital volume on the risk of revision, all analyses were stratified by volume
130 group. The stratified matched samples included 1,472 patients in the higher volume group
131 (736 UKA and TKA) and 632 in the lower volume group (316 UKA and TKA). Statistical
132 significance of the study variables was evaluated using a Likelihood Ratio test for Cox
133 models and a Wald test for Competing Risks models. The significance level was fixed at
134 $\alpha=0.05$ and all analyses were carried out using the statistical software Stata v.14 [17].

135 RESULTS

136 Comparison of patient characteristics

137 Table 1 shows the characteristics of the study population before and after matching.
138 Statistically significant ($p<0.001$) differences between the UKA and the TKA populations
139 in all study variables were found, except in circumstances of hospital admission. After
140 matching, none of these differences remained statistically significant. When taking patient
141 characteristics into account by volume groups (Table 2), prior to matching, statistically
142 significant differences in all study variables were found, except in the type of hospital
143 admission and, in the lower volume group, in the year of the primary intervention and in

144 median follow-up. None of these differences remained significant in any group after
145 matching. After matching, 18 hospitals' patients contributed to the higher volume group for
146 TKA and 4 for UKA; and in the lower volume group the contribution was 42 for TKA and
147 29 for UKA.

148 **Risk of revision, mortality and the influence of hospital volume**

149 Table 3 shows that the risk of revision for TKA was 0.1% at 30 days, 0.3% at 90 days,
150 0.4% at 1 year, 2.9% at 3 years and 4.2% at 5 years, while for UKA, no revision was
151 performed at 30 days follow-up, and it was 0.1% at 90 days, 1.3% at 1 year, 5.5% at 3
152 years, and 6.6% at 5 years. The mortality for TKA was <0.1% at 30 days, 0.1% at 90 days,
153 0.5% at 1 year, 3.0% at 3 years and 4.1% at 5 years, while for UKA it was <0.1% at 30
154 days, 0.2% at 90 days, 0.3% at 1 year, 2.3% at 3 years and 3.0% at 5 years, as shown in
155 Figure 1. Additionally, Table 3 shows a significant adjusted revision risk of SHR of 1.98
156 (95% CI: 1.25-3.17) for UKA compared to TKA (the reference category) in a Competing
157 Risks model, and a non-significant adjusted HR of 0.74 (95% CI: 0.46-1.21) for mortality
158 in a Cox model.

159 Table 4 shows the risk of revision (Figure 2) and mortality rates (Figure 3) for higher
160 volume and lower volume hospitals. Higher volume hospitals performing TKA had a
161 higher, but not statistically significant, risk of revision than UKA (SHR: 1.36; 95% CI:
162 0.57-3.21), while in lower volume hospitals, UKA had a higher, statistically significant,
163 risk of revision than TKA (SHR: 1.95; 95% CI: 1.11-3.44). Non-statistically significant
164 differences were found in mortality between UKA and TKA, independent of hospital
165 volume (HR higher volume: 0.83, 95% CI: 0.43-1.60; HR lower volume: 0.49, 95% CI:
166 0.21-1.15), with lower rates among UKA than among TKA, particularly in the lower
167 volume group.

168 **DISCUSSION**

169 Our study shows that UKA has a higher risk of revision when compared to TKA. However,
170 when the annual volume of UKA and TKA procedures performed in a specific hospital is
171 taken into account, this difference is not seen. While hospitals conducting a higher volume
172 of UKA have reduced their revision rate to that commensurate with or even lower than
173 TKA levels, hospitals conducting a lower volume of UKA have a clearly increased revision
174 rate over hospitals conducting a low volume of TKA. Additionally, our study shows that
175 these volume-related differences do not exist in terms of mortality since the rates in UKA
176 are lower but not significant like in TKA.

177 Regarding the risk of revision, after discarding differences in baseline characteristics of the
178 UKA and TKA populations and the stratification done, it is reasonable to attribute the
179 differences found to the volume of the hospital where the arthroplasty was performed. In
180 this sense, it should be emphasized that, after obtaining comparable populations, a higher
181 risk of revision was found in UKA over TKA for the entire population and especially in the
182 lower volume group, since the risk of revision in UKA was more than 2.5 times higher than
183 in TKA. Moreover, in the higher volume group, a lower but statistically non-significant risk
184 of revision was found for UKA compared to TKA. These results support, for the entire
185 population, those obtained from one previous study in which the risk of revision in UKA
186 was higher than in TKA [7], and are comparable to the evidence obtained by other studies
187 that focused on UKA only [13,15,18]. These studies show that lower volume hospitals had
188 worse results when compared to higher volume hospitals. Taking into account the
189 mortality, no statistically significant differences between TKA and UKA were found in the
190 population as a whole or when the analyses were stratified by volume group. Nevertheless,
191 despite that the differences found were non-significant, we should highlight that the

192 mortality rates were lower for UKA than for TKA in all groups studied. These results show
193 new evidence supporting the hypothesis pointed out by previous research about the
194 similarity in results in terms of mortality between UKA and TKA [1,8,10]. Thus, to carry
195 out studies with larger sample sizes, longer follow-up times and taking hospital volume into
196 account, might be adequate to confirm the abovementioned hypothesis. Finally, though
197 there are outcomes that were not considered in our research, previous studies have shown
198 lower rates of complications and readmission and better results in terms of Patient Related
199 Outcome Measures (PROMs) in UKA than TKA [1,8,9,19]. These studies have also shown
200 that UKA could be a cost-effective option, additionally suggesting that these outcomes
201 might be better in higher volume hospitals than in lower volume hospitals. Therefore, all
202 proposed evidence suggests TKA might be a suitable decision for patients undergoing knee
203 arthroplasty, both in higher and lower volume hospitals, while UKA is appropriate only in
204 higher volume hospitals. Furthermore, due to the evidence-based consequences that
205 implanting UKA in lower volume performing hospitals might have, from the results in our
206 context, it might be pertinent to limit UKA procedures to hospitals that can guarantee that a
207 higher volume of this type of procedure is performed.

208 There are some limitations in this study that need to be discussed. First, limitations related
209 to the number of volume groups and the differences in the number of contributing hospitals.
210 In our context, only four hospitals were included in the UKA higher volume hospital group
211 when the cut-off point was fixed at 10 procedures per year. However, despite this
212 limitation, we can assume that higher volume hospitals performing TKA and UKA are
213 highly specialized in these types of procedures and therefore can be considered as
214 suitable candidates for comparison. Besides, crossover between hospital volume groups
215 was not taken into account. Nevertheless, as observed in hospitals participating in the

216 RACat [5], this change of volume group was, in most cases, an increasing or decreasing
217 trend of hospital activity. In terms of the limitations related to the study variables, the
218 indication for surgery was unknown and could be different between TKA and UKA.
219 Furthermore, surgeon related variables, like differences in surgeons' expertise by volume
220 group, were not taken into account. Despite this, given that reporting this data to the RACat
221 has been mandatory since 2017, we expect to be able to take these aspects into account in
222 the upcoming years. In addition, we should emphasize the limitation related to including all
223 implant sub-types. Though some registers and studies show differences between sub-types,
224 these differences are unclear [3–5,20,21]. Besides, including all models together could be
225 advantageous when establishing conclusions at the population level. Finally, we want to
226 stress that the completeness of the information, particularly in revision arthroplasties, is not
227 perfect, but we expect an improvement in these rates in the upcoming years since hospital
228 participation in the registry is now mandatory. Moreover, it might be advantageous to
229 explore the influence of the volume of procedures by hospital with longer follow-up times,
230 as well as with other outcomes like complications, readmissions or PROMs [1,19]. Future
231 research considering long-term results, other outcomes and volume-dependent
232 specialization might be useful in reducing the burden of morbidity and revision of knee
233 arthroplasties and improving patient-specific decision-making.

234 **CONCLUSIONS**

235 After overcoming the possible bias related to differences in patients' characteristics, our
236 results show that in Catalonia there are currently differences in the risk of revision between
237 UKA and TKA, but not in mortality. The evidence presented in this article shows that the
238 seemingly poorer results in terms of risk of revision of UKA when compared to TKA, are
239 closely related to the volume of UKA procedures performed in a specific hospital.

240 Therefore, to improve results in terms of revisions, we suggest performing TKA in both
241 higher and lower volume hospitals, while UKA should be done only in hospitals
242 performing a higher annual volume of this type of procedure.

243

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Table 1. Characteristics of the whole study sample before and after matching

	Crude sample			Matched sample		
	TKA	UKA	p value	TKA	UKA	p value
Number of patients	38,032	1,210		1,187	1,187	
Number of hospitals	49	31		45	31	
Median follow-up (IQR)	4.6 (4.9)	3.1 (4.0)	<0.001	3.3 (4.1)	3.1 (4.0)	0.326
Median age in years (IQR)	73.4 (10.1)	67.7 (12.4)	<0.001	68.5 (10.9)	67.9 (12.2)	0.515
Median number of comorbidities (min-max)*	1 (0-7)	1 (0-5)	<0.001	1 (0-5)	1 (0-5)	0.555
Sex (male)	10,526 (27.7%)	478 (39.5%)	<0.001	454 (38.3)	460 (38.8%)	0.800
Year of the primary surgery			<0.001			0.922
2005-2007	5,114 (13.5%)	77 (6.4%)		84 (7.1%)	77 (6.5%)	
2008-2009	6,030 (15.9%)	143 (11.8%)		131 (11.0%)	141 (11.9%)	
2010-2011	8,175 (21.5%)	169 (14.0%)		175 (14.7%)	169 (14.2%)	
2012-2013	7,723 (20.3%)	283 (23.4%)		285 (24.0%)	279 (23.5%)	
2005-2010	10,990 (28.9%)	538 (44.5%)		512 (43.1%)	521 (43.9%)	
Circumstances of hospital admission			0.488			-
Emergency	44 (0.1%)	-		-	-	
Scheduled	37,987 (99.9%)	1,210 (100%)		1,187 (100%)	1,187 (100%)	
Other types	1 (<0.1%)	-		-	-	
Type of hospital			<0.001			0.968
High technology	15,551 (40.9%)	834 (68.9%)		816 (68.7%)	811 (68.3%)	
Reference hospital	14,578 (38.3%)	207 (17.1%)		206 (17.4%)	207 (17.4%)	
Regional hospital	7,794 (20.5%)	169 (14.0%)		165 (13.9%)	169 (14.2%)	
Other type or not specified	109 (0.29%)	-		-	-	
Healthcare region			<0.001			0.522
Barcelona	23,657 (62.2%)	1,022 (84.5%)		1,021 (86.0%)	1,001 (84.3%)	
Girona	5,728 (15.1%)	51 (4.2%)		45 (3.8%)	51 (4.3%)	
Catalunya Central	3,287 (8.6%)	68 (5.6%)		70 (5.9%)	68 (5.7%)	
Camp de Tarragona	2,881 (7.6%)	48 (4.0%)		35 (3.0%)	47 (4.0%)	
Lleida	1,098 (2.9%)	-		-	-	
Terres de l'Ebre	744 (2.0%)	5 (0.4%)		7 (0.6%)	5 (0.4%)	
Alt Pirineu i Aran	521 (1.4%)	15 (1.2%)		9 (0.8%)	15 (1.3%)	
Other region or not specified	116 (0.3%)	1 (0.1%)		-	-	

TKA: Total Knee Arthroplasty; UKA: Unicompartmental Knee Arthroplasty; p value: from Chi-square test and Mann-Whitney U test; IQR: Interquartile Range; Min-max: minimum-maximum; * Comorbidities from those included in the Elixhauser Index.

Table 2. Characteristics of the study sample stratified by type of surgery and volume groups after matching

	Higher volume crude sample			Higher volume matched sample			Lower volume crude sample			Lower volume matched sample		
	TKA	UKA	p value	TKA	UKA	p value	TKA	UKA	p value	TKA	UKA	p value
Number of patients	23,790	846		736	736		14,242	364		316	316	
Number of hospitals	30	4		18	4		47	31		42	29	
Median follow-up (IQR)	4.3 (4.8)	2.7 (2.8)	<0.001	3.1 (2.7)	3.2 (2.7)	0.428	5.3 (5.0)	5.1 (5.3)	0.336	5.2 (4.8)	5.2 (4.3)	0.976
Median age in years (IQR)	73.4 (10.2)	69.6 (11.5)	<0.001	70.8 (10.0)	70.3 (10.6)	0.327	73.4 (10.0)	63.0 (10.6)	<0.001	65.0 (12.2)	64.5 (10.3)	0.515
Median number of comorbidities (min-max)*	1 (0-7)	1 (0-5)	<0.001	1 (0-4)	1 (0-5)	0.450	1 (0-6)	1 (0-4)	<0.001	1 (0-4)	1 (0-4)	0.548
Sex (male)	6,552 (27.5%)	339 (40.1%)	<0.001	259 (35.2%)	279 (37.9%)	0.279	3,974 (27.9%)	139 (38.2%)	<0.001	127 (40.2%)	110 (34.8%)	0.162
Year of the primary surgery			<0.001			0.520			0.066			0.535
2005-2007	2,750 (11.6%)	15 (1.8%)		14 (1.9%)	15 (2.0%)		2,364 (16.6%)	62 (17.0%)		41 (13.0%)	54 (17.1%)	
2008-2009	3,476 (14.6%)	66 (7.8%)		56 (7.6%)	63 (8.6%)		2,554 (17.9%)	77 (21.2%)		69 (21.8%)	71 (22.5%)	
2010-2011	5,040 (21.2%)	98 (11.6%)		92 (12.5%)	73 (9.9%)		3,135 (22.0%)	71 (19.5%)		61 (16.3%)	63 (19.9%)	
2012-2013	4,910 (20.6%)	198 (23.4%)		160 (21.7%)	174 (23.6%)		2,813 (19.8%)	85 (23.4%)		75 (23.7%)	70 (22.2%)	
2014-2016	7,614 (32.0%)	469 (55.4%)		414 (56.3%)	411 (55.8%)		3,376 (23.7%)	69 (19.0%)		70 (22.2%)	58 (18.4%)	
Circumstances of hospital admission			0.411			-			0.717			-
Emergency	19 (0.1%)	-		-	-		25 (0.2%)	-		-	-	
Scheduled	23,771 (99.9%)	846 (100%)		736 (100%)	736 (100%)		14,216 (99.8%)	364 (100%)		316 (100%)	316 (100%)	
Other types	-	-		-	-		1 (<0.1%)	-		-	-	
Type of hospital			<0.001			0.940			<0.001			0.820
High technology	6,432 (27.9%)	731 (86.4)		618 (84.0%)	621 (84.4%)		9,119 (64.0%)	103 (28.3%)		94 (29.8%)	101 (32.0%)	
Reference hospital	10,026 (42.1%)	13 (1.5%)		12 (1.6%)	13 (1.8%)		4,552 (32.0%)	194 (53.3%)		171 (54.1%)	164 (51.9%)	
Regional hospital	7,226 (30.4%)	102 (12.1%)		106 (14.4%)	102 (13.9%)		568 (4.0%)	67 (18.4%)		51 (16.1%)	51 (16.1%)	
Other type or not specified	106 (0.5%)	-		-	-		3 (<0.1%)	-		-	-	
Healthcare region			<0.001			0.519			<0.001			0.329
Barcelona	17,424 (73.2%)	815 (96.3%)		715 (97.2%)	706 (95.9%)		6,233 (43.8%)	207 (56.9%)		146 (46.2%)	169 (53.5%)	
Girona	281 (1.2%)	1 (0.1%)		1 (0.1%)	1 (0.1%)		463 (3.3%)	4 (1.1%)		9 (2.9%)	4 (1.3%)	
Catalunya Central	701 (3.0%)	1 (0.1%)		-	1 (0.1%)		2,180 (15.3)	47 (12.9)		46 (14.6%)	42 (13.3%)	
Camp de Tarragona	1,512 (6.4%)	19 (2.3%)		16 (2.2%)	19 (2.3%)		1,775 (12.5%)	49 (13.5%)		48 (15.2%)	45 (14.2)	
Lleida	700 (2.9%)	-		-	-		398 (2.8%)	-		12 (3.8%)	14 (4.4%)	
Terres de l'Ebre	3,067 (12.9%)	9 (1.1%)		4 (0.5%)	9 (1.2%)		2,661 (18.7%)	42 (11.5%)		55 (17.4%)	42 (13.3%)	
Alt Pirineu i Aran	30 (0.1%)	-		-	-		491 (3.5%)	15 (4.1%)		12 (3.8%)	14 (4.4%)	
Other region or not specified	75 (0.3%)	1 (0.1%)		-	-		41 (0.3%)	-		-	-	

TKA: Total Knee Arthroplasty; UKA: Unicompartmental Knee Arthroplasty

p value: from Chi-square test and Mann-Whitney U test; IQR: Interquartile Range; Min-max: minimum-maximum; * Comorbidities from those included in the Elixhauser Index.

Table 3: Risk of revision and mortality from all causes, using Kaplan-Meier (entire population after matching)

	TKA				UKA				SHR/HR (95% CI)
	n	Fail	%	95% CI	n	Fail	%	95% CI	
Risk of revision									1.98 (1.25-3.17)
30 days	1182	1	0.08	0.01-0.47	1185	0	NC	NC	
90 days	1151	2	0.25	0.07-0.71	1158	1	0.09	0.01-0.47	
1 year	1024	1	0.35	0.12-0.85	1010	13	1.28	0.74-2.09	
3 years	636	22	2.86	1.91-4.11	604	37	5.48	4.13-7.09	
5 years	354	7	4.08	2.83-5.57	337	6	6.54	4.99-8.36	
Mortality									0.74 (0.46-1.21)
30 days	1182	0	NC	NC	1185	1	0.08	0.01-0.60	
90 days	1151	1	0.09	0.01-0.60	1158	1	0.17	0.04-0.68	
1 year	1024	4	0.45	0.19-1.09	1010	1	0.26	0.08-0.79	
3 years	636	21	3.00	2.04-4.41	604	16	2.27	1.44-3.57	
5 years	354	6	4.14	2.90-5.89	337	4	2.98	1.97-4.50	

TKA: Total Knee Arthroplasty; UKA: Unicompartmental Knee Arthroplasty

n: number of primary procedures at risk remaining at the cut-off point; Fail: number of events (revision/dead); % cumulative risk of revision/mortality; 95% CI: 95% Confidence Interval; SHR/HR: Sub Hazard ratio for risk of revision from competing risks models/ Hazard ratio for mortality from Cox models.

NC: Not Calculable.

Table 4: Risk of revision and mortality TKA vs UKA stratified by volume groups (after matching)

	TKA				UKA				SHR/HR (95% CI)
	n	Fail	%	95% CI	n	Fail	%	95% CI	
Risk of revision									
Higher Volume									
30 days	733	1	0.13	0.01-0.74	736	0	NC	NC	1.36 (0.57-3.21)
90 days	707	2	0.41	0.12-1.14	716	1	0.14	0.01-0.75	
1 year	605	7	1.47	0.76-2.62	606	5	0.88	0.37-1.83	
3 years	304	9	3.42	2.10-5.22	306	8	2.50	1.41-4.09	
5 years	139	8	6.35	4.15-9.17	136	1	2.96	1.65-4.88	
Lower volume									
30 days	314	0	NC	NC	314	0	NC	NC	1.95 (1.11-3.44)
90 days	305	0	NC	NC	309	0	NC	NC	
1 year	286	0	NC	NC	282	7	2.39	1.06-4.65	
3 years	235	8	3.07	1.44-5.70	225	22	10.54	7.26-14.51	
5 years	153	4	4.86	2.64-8.07	159	4	12.19	8.62-16.42	
Mortality									
Higher Volume									
30 days	733	0	NC	NC	736	0	NC	NC	0.83 (0.43-1.60)
90 days	707	0	NC	NC	716	1	0.14	0.02-0.97	
1 year	605	4	0.62	0.23-1.64	606	1	0.28	0.07-1.11	
3 years	304	9	2.56	1.47-4.43	306	9	2.09	1.15-3.78	
5 years	139	4	4.09	2.47-6.73	136	3	3.21	1.85-5.53	
Lower volume									
30 days	314	0	NC	NC	314	1	0.32	0.04-2.23	0.49 (0.21-1.15)
90 days	305	0	NC	NC	309	0	0.32	0.04-2.23	
1 year	286	2	0.67	0.17-2.67	282	0	0.32	0.04-2.23	
3 years	235	2	1.41	0.53-2.71	225	4	2.04	0.85-4.86	
5 years	153	5	3.97	2.05-7.59	159	1	2.48	1.12-5.46	

TKA: Total Knee Arthroplasty; UKA: Unicompartmental Knee Arthroplasty

n: number of primary procedures at risk remaining at the cut-off point; Fail: number of events (revision/dead); % cumulative risk of revision/mortality; 95% CI: 95% Confidence Interval; SHR/HR: Sub Hazard ratio for risk of revision from competing risks models/ Hazard ratio for mortality from Cox models

NC: Not Calculable.

Figure 1: Risk of revision and mortality of TKA and UKA (whole population)

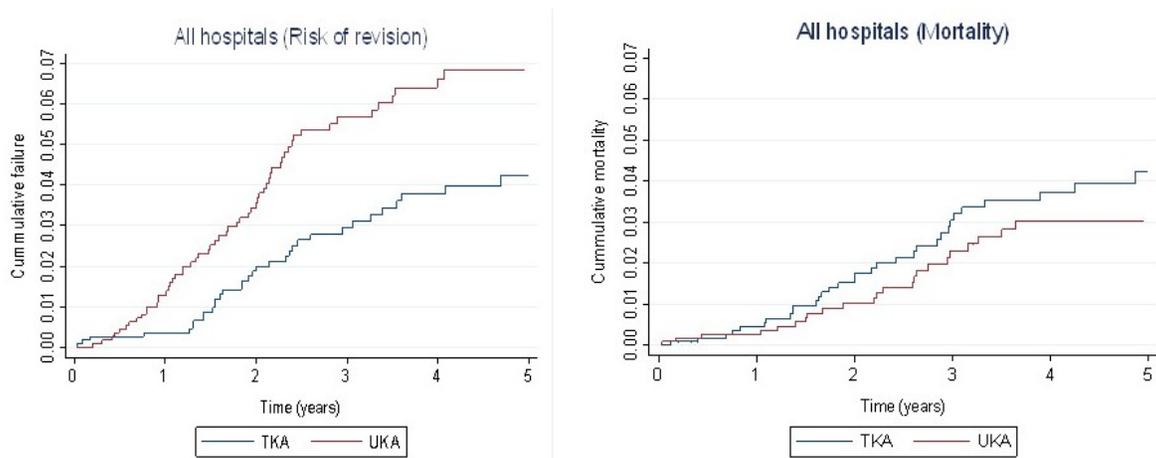


Figure 2: Risk of revision of TKA and UKA stratified by volume group

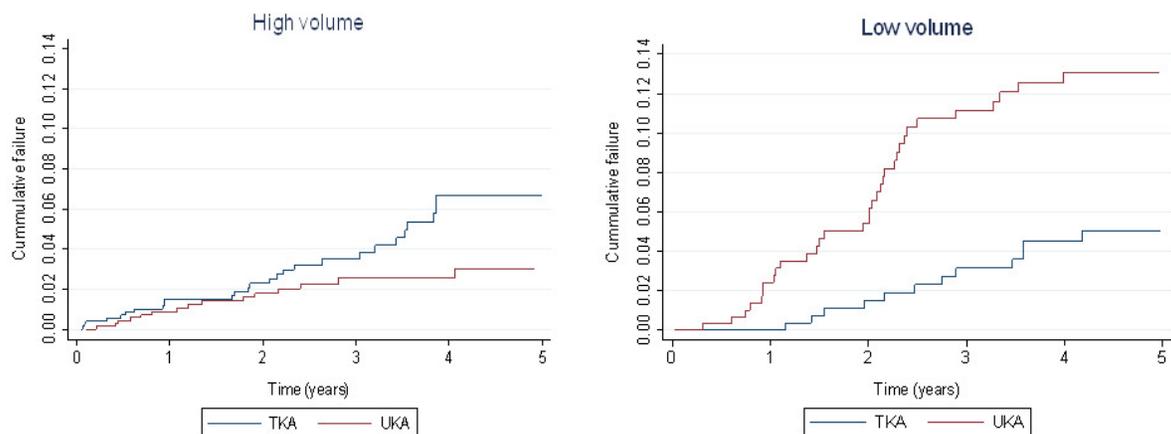


Figure 3: Mortality of TKA and UKA stratified by volume group

