

1 **Title**

2 Terrestrial plant microfossils in paleoenvironmental studies, pollen, microcharcoal and  
3 phytolith. Towards a comprehensive understanding of vegetation, fire and climate changes  
4 over the past one million years.

5

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50 **Type of article**

51 Invited review

52

53 **To be submitted to:**

54 Revue de Micropaléontologie - Special issue numéro 60 ème anniversaire

55

56 **Keywords :**

57 Pollen; microcharcoal; phytolith; terrestrial and marine sedimentary archives; vegetation;  
58 fire; Middle Pleistocene; last glacial period; Holocene

59

60 **Abstract**

61 Earth has experienced large changes in global and regional climates over the past one million  
62 years. Understanding processes and feedbacks that control those past environmental changes  
63 is of great interest for better understanding the nature, direction and magnitude of current  
64 climate change, its effect on life, and on the physical, biological and chemical processes and  
65 ecosystem services important for human well-being. Microfossils from terrestrial plants,  
66 pollen, microcharcoal and phytolith preserved in terrestrial and marine sedimentary archives  
67 are particularly useful tools to document changes in vegetation, fire and land climate. They  
68 are well preserved in a variety of depositional environments and provide quantitative  
69 reconstructions of past land cover and climate. Those microfossil data are widely available  
70 from public archives, and their spatial coverage includes almost all regions on Earth,  
71 including both high and low latitudes and altitudes. Here, i) we review the laboratory  
72 procedures used to extract those microfossils from the sediment for microscopic observations  
73 and the qualitative and quantitative information they provide, ii) we highlight the importance  
74 of regional and global databases for large-scale syntheses of environmental changes, and iii)

75 we review the application of terrestrial plant microfossil records in paleoclimatology and  
76 paleoecology using key examples from specific regions and past periods.

77

## 78 **1. Introduction**

79

80 The Intergovernmental Panel on Climate Change (IPCC) was established in 1988 by the  
81 World Meteorological Organisation (WMO) and the United Nations Environment  
82 Programme (UNEP) to provide an assessment of the understanding of all aspects of any  
83 climate change over time, whether driven by natural variability or by human activity (IPCC,  
84 2001). Thirty years later, the scientific consensus is that current climate change, an average  
85 global warming, is anthropogenically-driven, rapid and of large magnitude. Population's  
86 daily life is already or will be affected and the "climate action" is now targeted as one of the  
87 Sustainable Development Goals by the United Nations.

88 Over the last decades our perception of our environment radically changed. The curiosity of  
89 scientists observing and trying to understand past climate variability enabled contextualizing  
90 the current climate change within a long-term perspective. Over the geological times, the  
91 Earth experienced large changes in global and regional climates. Multi-millennial time scale  
92 changes in orbital and greenhouse gas forcings during the Quaternary, for example, have  
93 produced several glacial and interglacial periods of different length and magnitudes (Hays et  
94 al., 1976; Masson-Delmotte et al., 2010; Milankovitch, 1941; Past Interglacials Working  
95 Group of PAGES, 2016; Yin and Berger, 2012). The current interglacial period, the  
96 Holocene, is part of the 100-ky world established since the Middle Pleistocene transition  
97 (1.25-0.7 Ma) and characterized by large amplitude glacial-interglacial oscillations occurring  
98 with a periodicity of 100 kyr (Clark et al., 2006). The Earth climate also experienced decadal  
99 to millennial-scale variability (e.g. Fleitmann et al., 2009; Johnsen et al., 1992; Jouzel et al.,

100 2007; Loulergue et al., 2008; McManus et al., 1999; Sánchez Goñi et al., 1999). Observing,  
101 modeling, and understanding processes and feedbacks that control those past environmental  
102 changes are of critical importance for a better understanding of the nature, direction and  
103 magnitude of current climate change, its effect on life, and on the physical, biological, and  
104 chemical processes and ecosystem services essential for human well-being.

105 Climate on Earth is conceptualized as a system where different spheres, i.e. the atmosphere,  
106 cryosphere, hydrosphere, lithosphere, biosphere, respond to external forcings, such as  
107 astronomical and anthropogenic forcing (Ruddiman, 2001). The anthroposphere is sometimes  
108 considered as a sphere of the climate system, and not as an external forcing (Cornell et al.,  
109 2012). The different spheres interact and depend on one another as an interconnected Earth  
110 system. Paleoclimate studies not only aim at reconstructing the response of the atmosphere,  
111 but also of all different spheres as well as their interactions and related feedback mechanisms  
112 modulating climate changes. Climate models are necessarily now designed to include  
113 interactive coupled components that extend to all of these aspects of the Earth system.

114 Vegetation, which is a major element of the biosphere, develops in response to climate and  
115 soil characteristics and plays an important role in the climate system. It is involved in  
116 different vital ecosystem services like nutrient and food production, mitigation of climate  
117 change, and soil and fresh water production and conservation (Faucon et al., 2017).

118 Terrestrial plants act as a carbon sink and can limit the warming of atmospheric and ocean  
119 temperature by removing carbon from the atmosphere during the photosynthesis. Through the  
120 evapotranspiration process, plants also increase water vapor locally in the atmosphere,  
121 enhancing precipitation and cloud cover, which reinforces cooling. Changes in land cover  
122 further modify the albedo and act as a positive (warming) or negative (cooling) radiative  
123 forcing. Vegetation is therefore an integral part of the biogeochemical- and -physical  
124 processes between the land surface and the atmosphere.

125 All ecosystems experience disturbances at different scales, and fire is one of the most  
126 widespread and severe disturbances in ecosystems globally, although it may maintain certain  
127 vegetation type, like savanna (Bond et al., 2005). It is commonly found in intermediate  
128 environments in terms of climate, vegetation and demography, in all vegetation types  
129 (Harrison et al., 2010). Fire dynamics today result from the complex interplay between  
130 climate (precipitation and temperature controlling fuel flammability), vegetation (fuel type  
131 and load), ignition (lightning and human induced) and human fire suppression (Harrison et  
132 al., 2010). Fires have impacts on climate by modifying the carbon cycle and atmospheric  
133 chemistry, clouds, and albedo through the release of greenhouse gases and aerosols (Bowman  
134 et al., 2009; Lavorel et al., 2007).

135 Terrestrial plant-derived microfossils, preserved in terrestrial and marine sediments, such as  
136 pollen, microcharcoal and phytolith, greatly contributed to the present knowledge of the  
137 Quaternary vegetation and fire dynamics, and land-climate changes. (Fig. 1). Pollen grains  
138 are part of the reproduction cycle of seed plants (angiosperms and gymnosperms); they are  
139 the male gametophyte, allowing for dissemination of the genetic material. Fossil pollen  
140 consists only of the external envelope, the so-called exine, which is made of sporopollenin  
141 that is very resistant to decay. Microscopic charcoal (microcharcoal) is a carbonaceous  
142 material formed by pyrolysis, i.e. during the combustion process of vegetal elements (Jones et  
143 al., 1997). Phytoliths are opaline silica particles that precipitate in and/or between the cells of  
144 living plant tissues forming particular morphotypes. They are deposited in sediments when  
145 the plants die or burn.

146 Pollen, microcharcoal and phytoliths are studied from both terrestrial and marine archives.  
147 Terrestrial and marine sequences of plant-derived microfossils may give different but often  
148 complementary information due to the source vegetation area varying from local (peat, pond,  
149 small lakes) to regional (large lakes, ocean) and transport processes. Deglacial and Holocene

150 vegetation and fire changes were far more largely studied due to easier recovery of short  
151 cores and accessibility to recent sediments. Back in time, terrestrial sequences become rarer  
152 and rarer and often suffer from discontinuities, involving chronostratigraphic complications  
153 that often hamper reliable reconstruction of past vegetation and climate changes. For  
154 instance, fragmentary Pleistocene sedimentary sequences are common in regions that have  
155 experienced the repeated expansions and retreats of the large northern North hemisphere ice-  
156 sheets such as northern Europe and North America (de Beaulieu et al., 2013; Turner, 1998;  
157 Zagwijn, 1996), or glacier advances such as in New Guinea and New Zealand (Kershaw and  
158 van der Kaars, 2013), or in arid and semiarid environments of Africa or Australia (Kershaw  
159 and van der Kaars, 2013; Meadows and Chase, 2013). The Pleistocene marine sedimentary  
160 archives in which terrestrial microfossils are studied, benefit in contrast from a continuous  
161 sedimentation. They are mostly located on continental margin from the shelf to the deep-sea,  
162 usually on seamounts to be devoid of turbidites, recruiting terrestrial microfossils produced  
163 by the vegetation of the nearby continent (Heusser, 1998). Marine records provide  
164 information on vegetation and fire changes at regional-scale on a chronology, beyond  
165 radiocarbon dating, that derives from stable oxygen isotope measurements on foraminifera  
166 enabling a reliable comparison with oceanic records (Heusser, 1998; Sánchez Goñi et al.,  
167 2018).

168 Since the beginning of the 20<sup>th</sup> century, a large amount of palynological data was produced,  
169 revealing the major features of Pleistocene vegetation history and constituting the  
170 foundations of many basic concepts in Quaternary paleoecology. For instance, in Europe and  
171 North America, where there is a long tradition in palynological research, pollen studies have  
172 played an important role for the understanding of Holocene vegetation history (Birks and  
173 Berglund, 2018; Davis, 1984) and climate. They have yielded important contributions to  
174 diverse biogeographical and paleoecological topics such as continental-scale tree migrations

175 (Huntley and Birks, 1983; Huntley and Webb, 1989) and the biomes dynamics (e.g.  
176 Overpeck et al., 1992; Williams et al., 2004) after the end of the last Ice Age, the rates and  
177 magnitudes of species declines (e.g. Peglar, 1993) and vegetation response to interglacial  
178 climate changes (e.g. Turner and West, 1968; Zagwijn, 1994). Marine palynology greatly  
179 developed since Heusser's seminal works in the seventies (e.g. Heusser and Balsam, 1977;  
180 Heusser and Shackleton, 1979) bringing unique information on the phasing of the terrestrial  
181 and marine responses to orbital and millennial-scale climatic changes (Dupont, 2011;  
182 Sánchez Goñi et al., 2018).

183 Fossil microcharcoal preserved in terrestrial and lacustrine sediments has been counted  
184 classically during pollen analyses as a complementary proxy of vegetation since the eighties  
185 (Clark, 1982; Tolonen, 1986). They constitute a powerful approach for reconstructing  
186 paleofire histories over time older than few centuries provided by remote sensing and by  
187 dendrochronological and historical records (Whitlock and Larsen, 2001). During the last  
188 decade, a significant increase in the number of paleofire records and their regional or global  
189 syntheses has substantially improved our understanding of key drivers of fire under different  
190 climate conditions and of anthropogenic fire regime alteration (Daniau et al., 2012; Marlon et  
191 al., 2008; Vanni re et al., 2011). Marine microcharcoal studies also developed relatively  
192 recently to address regional fire responses to orbital and millennial-scale climatic changes  
193 (Beaufort et al., 2003a; Daniau et al., 2009b; Daniau et al., 2013; Daniau et al., 2007).

194 Paleofire science has also led to new perspectives on long-term fire ecology paradigms  
195 (Aleman et al., 2018a).

196 Phytoliths were firstly described at the beginning of the 19<sup>th</sup> century (Struve, 1835) and well-  
197 studied in plant tissues (e.g. Prat, 1932) before being used as paleoecological indicator in the  
198 sixties (Twiss et al., 1969). Interpretation of phytolith assemblages is far more complex than  
199 that of pollen assemblages due to imprecise correspondence between phytolith shapes and



200 taxonomy. However, phytoliths, unlike pollen, present a high resistance to oxidation and  
201 therefore are well-preserved in arid environments. The increasing amount of modern  
202 reference collection from fresh terrestrial plants and soil assemblages allowed the  
203 development of archeological and paleoenvironmental research after the eighties (see  
204 Piperno, 2006). Today, fossil phytolith assemblages are much well-understood. Combined  
205 with a multi-proxy approach, they were recently used to discuss the evolution of grassland  
206 over the last million years in North America (Strömberg et al., 2013), the origin of the  
207 domestication of maize in Mexico (Piperno et al., 2009), or to examine late Quaternary C3  
208 and C4 grasses vegetation history in East Africa (Montade et al., 2018). Phytolith have also  
209 been studied from deep-sea cores to document glacial-interglacial variations in aridity in the  
210 tropical Africa (Parmenter and Folger, 1974; Pokras and Mix, 1985).

211 Here we present a review of how terrestrial plant microfossils are extracted from different  
212 sedimentary archives during laboratory processing, how they are identified and quantified,  
213 and how they can inform us about past environmental changes at different spatial and  
214 temporal scales necessary for understanding the Earth system (Fig. 1) focusing on continents  
215 from northern and southern hemispheres: Europe, Africa, North and South America.

216

217 Figure 1

218

## 219 **2. Microfossil concentrates and slide preparation**

220

221 Sample processing consists of a series of physical and chemical laboratory treatments in  
222 order to obtain clean slides of microfossil concentrates, i.e. a sufficient amount of  
223 microfossils that are observable under the microscope. The different chemical treatments are  
224 determined according to the composition of the sediments, mostly of calcium carbonates,

225 organic matter and siliceous materials. Hydrochloric acid (HCl) is used to remove calcium  
226 carbonates. A variety of chemical reagents are suited for organic matter removal, such as the  
227 potassium hydroxide (KOH), the acetolysis mixture consisting of acetic anhydride  
228 ((CH<sub>3</sub>CO)<sub>2</sub>O) and concentrated sulphuric acid (H<sub>2</sub>SO<sub>4</sub>), the hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), or a  
229 mixture of nitric acid (HNO<sub>3</sub>) with potassium chlorate (KClO<sub>3</sub>). Hydrofluoric acid (HF) is  
230 used to eliminate siliceous material, although use of this highly dangerous chemical can be  
231 substituted by a density separation process using much more benign sodium polytungstate.

232

## 233 **2.1 Pollen and spores**

234

235 Standard procedure for pollen extraction may include short boiling with potassium hydroxide  
236 (10 % KOH) for deflocculation and humic acid removal, cold diluted hydrochloric acid  
237 treatment (10 % HCl), to remove calcium carbonates (CaCO<sub>3</sub>) and hydrofluoric acid (30 % to  
238 70 % HF) treatment to retrieve siliceous material (Faegri and Iversen, 1964; Moore et al.,  
239 1991). Acetolysis, with concentrated sulphuric acid and acetic anhydride, can also be  
240 performed after KOH digestion in particular in cellulose-rich material preparation such as  
241 peat deposits. Successive HCl digestions at higher concentrations (25 %, 50 %) may be  
242 processed depending on the sample richness in CaCO<sub>3</sub>. It is recommended to use cold HCl  
243 since hot reagent can cause corrosion of the pollen wall (Moore et al., 1991). Traditionally,  
244 cold HF treatment for a long time (at least 24 hours) or hot HF for a few minutes has then  
245 been performed, followed by another HCl treatment to remove colloidal SiO<sub>2</sub> and  
246 silicofluorides formed during the HF digestion. Alternatively, an inert heavy liquid such as  
247 sodium polytungstate solution can be used to remove siliceous material, rather than the highly  
248 dangerous (and expensive) HF. This process works by preparing a solution of a specific  
249 gravity that is sufficiently dense to support the pollen, but allows the denser siliceous material

250 to float to the bottom, allowing the pollen fraction to then be simply decanted off. Through a  
251 series of washes and filtering using a 5 µm nylon mesh, it is also possible to reclaim the  
252 sodium polytungstate so that it can be reused. In addition, ultrasonic vibration can be used to  
253 disperse clays. A final sieving step using a 10 µm nylon mesh screen that is particularly  
254 useful for removal of fine particles in clay-rich samples can end the extraction procedure. The  
255 use of 5 µm filter is recommended for tropical pollen flora which typically includes grains of  
256 size below 10 µm.

257 To determine the sample pollen concentration, a tablet containing a known amount of exotic  
258 marker grains (commonly of *Lycopodium* spores) is added to the sample at the beginning of  
259 the preparation. The volumetric and weighting methods are other classical techniques used to  
260 establish pollen concentrations (Moore et al., 1991).

261 Pollen grains may be stained by adding drops of safranin or fuchsin to the residue with KOH  
262 during the final wash or directly into the mounting medium. Staining can help observation  
263 and identification under the microscope, although it is optional.

264 Residues obtained after pollen extraction are preferentially mounted in a mobile mounting  
265 medium such as glycerol or silicone oil since identification requires turning over the pollen  
266 grain for observation of the polar and equatorial views. Both mount types have side effects:  
267 glycerol makes the pollen swollen and slides with this media are quite short-lived while  
268 silicon oil requires an extra-step for dehydrating the residue before mounting (Andersen,  
269 1960). If silicon oil does not influence pollen size, dehydrating agents such as ter-butanol  
270 (TBA) and the formerly used benzene do have an effect (Andersen, 1960; Meltsov et al.,  
271 2008). Glycerin jelly that does not allow pollen mobility is preferred for permanent slides  
272 such as modern pollen samples for reference collection, although like glycerol it has an  
273 influence on pollen size. Before mounting in glycerin jelly, the tube is placed upside down on  
274 a filter paper for a couple of hours or even a day to remove extra-water. In contrast to silicon

275 oil, glycerol and glycerin jelly require slide sealing usually done with histolaque LMR,  
276 paraffin or nail polish. An advantage of glycerin jelly on normal slides is that because pollen  
277 mobility is limited, unknown grains found during the identification process can be recorded  
278 by the x-y co-ordinates on the microscope and reviewed later. The disadvantage of jelly in  
279 not allowing grains to be rotated for identification can also be somewhat overcome by  
280 applying a heated needle to the slide above the grain which temporarily heats and liquefies  
281 the jelly.

282

## 283 **2.2 Microcharcoal**

284

285 Charcoal is mostly composed of pure carbon formed at temperature between 200 and 600°C  
286 (Conedera et al., 2009). It is divided into two categories based on the size of the particles, the  
287 microscopic (length between >10 and <100 µm) and macroscopic (length >100 µm) charcoal  
288 particles (Whitlock and Larsen, 2001). It is relatively resistant to chemical decomposition  
289 (classified as inertite) (Habib et al., 1994; Hart et al., 1994; Quénéa et al., 2006). Microbial  
290 decomposition is minimal (Hockaday et al., 2006; Verardo, 1997) especially if charcoal  
291 burial occurs in an environment with high sedimentation rate. Microscopic charcoal particle  
292 is commonly counted in the same slides used for pollen analyses in transmitted light. In this  
293 case, concentrates of microcharcoal are obtained following the standard procedure described  
294 in the pollen section (2.1.1) (Faegri and Iversen, 1964). No ultrasonic baths are used in order  
295 to avoid charcoal-particle breakage (Tinner and Hu, 2003). Rhodes (1998) proposed the  
296 extraction of microcharcoal of the sediment samples using a dilute solution of hydrogen  
297 peroxide (6%) for 48 hours at 50°C to bleach the dark organic component, followed by  
298 sieving at 11µm and another bleaching step. Reflected light (or incident light) has been used  
299 also during pollen slide analyses (Doyen et al., 2013) to secure the identification of

300 microcharcoal from uncharred organic matter, although polished thin sections are generally  
301 more suitable to the analyses using reflected light (Noël, 2001).

302 The protocol of Daniau et al. (2009b) combines chemical treatments to concentrate  
303 microcharcoal and polished slides technique allowing both the particle observations in  
304 transmitted and reflected light. It has been developed on marine samples (Daniau et al.,  
305 2009b) but has also been recently used for lake sediments (Inoue et al., 2018). It consists of  
306 concentrating microcharcoal particles by removing carbonates, silicates, pyrites, humic  
307 material, labil or less refractory organic matter (Clark, 1984; Winkler, 1985; Wolbach and  
308 Anders, 1989). This procedure bleaches organic matter and does not blacken unburned plant  
309 materials (Clark, 1984). The chemical treatment consists of successive chemical attacks by  
310 adding hydrochloric acid (HCl), then cold or hot nitric acid (HNO<sub>3</sub>) and hydrogen peroxide  
311 (H<sub>2</sub>O<sub>2</sub>) on approximately 0.2 g of dried bulk sediment. A hydrofluoric acid (HF) step can be  
312 used, followed by rinsing with HCl to remove colloidal SiO<sub>2</sub> and silicofluorides formed  
313 during the HF digestion, as in the pollen and spores protocol. A dilution of 0.1 is applied to  
314 the residue. The suspension is then filtered onto a membrane of 0.45 mm porosity. A portion  
315 of this membrane is mounted onto a slide before gentle polishing for observation under the  
316 microscope. The chemical treatment may be slightly modified, depending on the sample  
317 sediment composition.

318 Although this review focuses on microcharcoal, we briefly present laboratory analyses for  
319 macrocharcoal because many fire syntheses information were obtained from studies using  
320 both macro and microcharcoal records (see section 4.4 and fire discussion section). It is  
321 suggested however that the trends between macro and microcharcoal records display similar  
322 fire history patterns (Carcaillet et al., 2001). Macro-charcoal is extracted by using a KOH or  
323 sodium pyrophosphate solution to removes humic acid and disaggregates, followed by a

324 dilute hydrogen peroxide (4-6% only) step and a wet sieving through a 125 µm sieve  
325 (Stevenson and Haberle, 2005).

### 326 **2.3 Phytolith**

327

328 Phytolith extraction procedure from soil or lacustrine sediments consists of multiple steps  
329 following Aleman et al. (2013b). The sediments are deflocculated using a 5 % weight  
330 solution of NaPO<sub>3</sub> heated at 70 °C, and shaken for twelve hours. Decarbonation, using a  
331 1N-solution of HCl at 70 °C during one hour on a hot plate, is performed prior to the organic  
332 matter reduction as this step is more efficient in a slightly acid and non-calcareous  
333 environment (Pearsall, 2000). This step is also crucial to disperse the mineral fraction and  
334 prevent secondary reactions (Madella et al., 1998). Lake sediments are generally rich in  
335 organic matter which is removed by using 33 % H<sub>2</sub>O<sub>2</sub> (Kelly, 1990; Lentfer and Boyd, 1998)  
336 at 70°C to accelerate the reaction to properly obtain cleaned slides for easier identification  
337 and counting. Alternatively, a mixture of nitric acid (HNO<sub>3</sub>) with potassium chlorate (at a  
338 ratio of 1:3) heated for two hours at 90°C using glass material on a hot plate can be used to  
339 accelerate the reaction (Strömberg, 2002; Strömberg et al., 2018).

340 For lateritic sediments, removal of oxidized iron using tri-sodium citrate and sodium  
341 dithionite is recommended (Kelly, 1990). Another deflocculation, using NaPO<sub>3</sub> at 70 °C  
342 (Lentfer and Boyd, 1998) shaken for 12 hours, then is required to remove clay efficiently  
343 since high clay concentration may affect data quality (Madella et al., 1998). Clay is removed  
344 by gravity sedimentation using ‘low-speed’ centrifugation to speed up the processing.  
345 Distilled water is added to the residue to a height of 7 cm and centrifuged for 1 min 30 s at  
346 2000 rpm (Stokes' law for particles < 2 µm, calculated for a Sigma Aldrich 3–16 centrifuge  
347 with an RCF.g of 769 at 2000 rpm). The step is repeated until the float is clear. Before

348 performing densimetric separation of phytoliths, the residue is dried using ethanol to avert  
349 dilution of the dense liquor by the water contained in the residue.  
350 The density of the heavy liquid is crucial for the densimetric separation step to prevent bias  
351 regarding phytolith selection, densities of which range from 1.5 to 2.3. Different heavy  
352 liquids can be used:  $ZnBr_2/HCl$  solution adjusted to a relative density of 2.3–2.35 (Kelly,  
353 1990) or, better, sodium polytungstate ( $Na_6[H_{12}W_{12}O_{40}]$ ) that is a non-toxic of solution.  
354 The density 2.3 of 1 L of dense liquor is obtained by mixing 1662 g of sodium polytungstate  
355 powder with 637 ml of distilled water. The residue and the dense liquor are mixed and then  
356 centrifuged for two minutes at 3000 rpm. Disposable transfer pipets are used to suck the fine  
357 white layer floating on the dense liquor and transfer it to a 5  $\mu m$  PTFE filter (Kelly, 1990)  
358 mounted on a vacuum glass filtration holder. The dense liquor is recycled to reduce the costs  
359 of the extraction procedure and the environmental pollution. The floating residue on the filter  
360 is rinsed with  $HCl$  (1 N) if  $ZnBr_2$  is used, and distilled water; otherwise the supernatant is  
361 only washed with distilled water. The phytoliths are transferred to a vial and an exotic marker  
362 is added (a lycopodium tablet or silica microspheres (Aleman et al., 2013b)). The samples are  
363 decanted for twelve hours and then dried in a drying oven if silica microspheres are used;  
364 otherwise naturally dried by evaporation. The residue is preserved in ethanol or glycerin.

365

### 366 **3. Identification, counting and digital image processing of terrestrial plant microfossils**

367

#### 368 **3.1 Pollen and spores**

369

370 Microscopic observation of the pollen of flowering plants and gymnosperms and spores of  
371 pteridophytes allows identification with a taxonomical resolution rarely reaching the specific  
372 level but more often the family or genus levels and sometimes the group of species within a

373 genus (Jackson and Booth, 2007). The identified grains are allocated to a morphotype (or  
374 pollen taxa) based on various features related to the size and shape of the grain, to the shape,  
375 number and distribution of the apertures/scars and to the structure and ornamentation of the  
376 pollen/spore wall (Fig. 2). A large literature describes these features although the associated  
377 terminology varies depending on the authors (Erdtman, 1954; Faegri and Iversen, 1964;  
378 Hesse et al., 2009; Kapp's, 2000; Moore et al., 1991; Reistma, 1969). We only report  
379 hereafter the main characteristics used for identification (see above references for further  
380 details), mainly with the terminology used in Moore et al. (1991). The descriptive  
381 terminology can be bewildering for the novice but provides an essential basis for accurate  
382 description, comparison and identification of morphotypes; a valuable illustrated glossary is  
383 provided by Punt et al. (2007).

384 Pollen size varies mostly between 15 and 100  $\mu\text{m}$  although some grains can be as large as  
385 140  $\mu\text{m}$  such as Malvaceae pollen or slightly less than 10  $\mu\text{m}$  such as pollen from tropical and  
386 subtropical trees and shrubs, like *Elaeocarpus* and *Cecropia*. The shape of a pollen grain  
387 generally varies from *spherical* to *elliptical*, either *oblate* when the polar axis is shorter than  
388 the equatorial axis or *prolate* for the reverse. An *aperture* is a thin area or a missing part of  
389 the exine, either circular to elliptical (*pori* or *pores*) or elongated (*colpi* or *furrows*), that  
390 allow the germination of the pollen tubes for plant reproduction. The shape, number and  
391 arrangement of the apertures constitute a primary criterion for identification of pollen types.  
392 Types names include the terms *porate*, *colpate* or *colporate* describing the aperture shape  
393 with a prefix (*mono-*, *di-*, *tri-*, *tetra-*, *penta-*, *hexa-* and *poly-*) defining the aperture number. It  
394 is possible to find grains without aperture, corresponding to the *inaperturate* pollen type.  
395 Another prefix describing the aperture arrangement can also be added: *zono-* and *panto-*,  
396 following Erdtman (1954) and Moore et al. (1991) or *stephano-* and *peri-* following Faegri  
397 and Iversen (1964) for apertures distributed in the equatorial zone or all over the surface of



398 the grain, respectively. The structure and sculptures of the pollen wall present a large  
399 variability constituting precious criteria for the identification of the pollen grains. The fossil  
400 pollen wall of angiosperms, namely the *exine*, is composed of a homogenous inner layer, the  
401 *endexine*, and a complex outer layer, the *ectexine*, which may include a *foot layer*, with above  
402 radial rods, named *columellae*, supporting a *tectum* with various suprategatal *sculpturing*  
403 *elements* (*bacula*, *clavae*, *echinae*, *pila*, *gemmae*, *verrucae*, *scabrae* or *granules*). All layers  
404 may be continuous, discontinuous or absent, may present particular thickening features such  
405 as *arcus* or *annuli* (cf. *Alnus* and *Poaceae* pollen grains). When there is no tectum (*intectate*  
406 grain as opposed to *tectate* grain), sculpturing elements may be found on the top of the foot  
407 layer. Columellae can also be partially joined at their heads; the grain is described in this case  
408 as *semitectate*. The arrangement of the columellae or of the suprategatal sculpturing elements  
409 or their fusion in elongated elements can give rise to a network (*reticulum*) or *striations*.  
410 Gymnosperm pollen wall slightly differs: the endexine is lamellate and the ectexine never has  
411 columellae but alveoli or granulas (Hesse et al., 2009). Pinaceae and Podocarpaceae pollen  
412 grains display a special feature: the air sacs (*sacci*).  
413 Pteridophyte spores have the same size range but depart from pollen for the presence of  
414 *monolete* and *trilete* scars and a simpler wall structure, although it can be multilayered and  
415 ornamented (Kapp's, 2000).

416

417 Figure 2

418

419 An exhaustive list of pollen atlases are referenced in Hooghiemstra and van Geel (1998).  
420 Pollen atlases published since 1998 are reported in Table 1. In addition, an initiative has been  
421 developed to aid the identification of pollen grains, and provide virtual access to reference  
422 material at <https://globalpollenproject.org/> (Martin and Harvey, 2017).

Region	Reference
Europe	Beug (2004)
Africa	Schüler and Hemp (2016) Scott (1982) Gosling et al. (2013)
Asia	For Japan: Demske et al. (2013) For Indonesia: Jones and Pearce (2014) For India: Kailas et al. (2016); Mudavath et al. (2017) For China: Fujiki et al. (2005); (Yang et al., 2015)
North America	Kapp's (2000); (Willard et al., 2004)
Central and South America	For the whole Neotropics, freeware online database: Bush and Weng (2007) For Amazonian taxa: Colinvaux et al. (1999) For Paramo and high elevation Andean taxa: Velasquez (1999) For Brazil: Cassino and Meyer (2011) For Venezuela: Leal et al. (2011) For Atlantic forest: Lorente et al. (2017)

424

425 Table 1. List of pollen atlases for different regions of the world available for pollen grains  
426 identification (not referenced in Hooghiemstra and van Geel (1998)).

427

428 Counting is routinely done with a light microscope at 400x although oil immersion objective  
429 allowing a 1000x is required in some cases (Birks and Birks, 1980). The number of pollen  
430 grains and spores counted varies depending on the research objectives although it should be  
431 enough high to reach constant percentages of the different taxa and at least exceed a  
432 minimum count of 100 to calculate the relative proportions (expressed as percentages of the  
433 pollen sum). For terrestrial sediments, 300 to 500 grains are usually counted (Birks and Birks,  
434 1980). For marine sediments, counting usually aims at reaching a total of 300 pollen and  
435 spore grains with at least 100 pollen grains excluding *Pinus*, a well-known over-represented  
436 taxa (Desprat, 2005; Turon, 1984). At least 20 taxa are usually identified to provide a

437 representative image of the composition and diversity of the European or North American  
438 vegetation (McAndrew and King, 1976; Rull, 1987). In tropical regions where the taxa  
439 diversity is far more important and largely variable, saturation curves can be used to  
440 determine the number of grains that have to be counted to reach a plateau in the number of  
441 taxa found (Birks and Birks, 1980).

442

### 443 **3.2 Microcharcoal**

444

445 Microcharcoal is identified microscopically in transmitted light as black debris, opaque, with  
446 sharp edges according to criteria from Boulter (1994) (Fig. 3). Petrographic criteria in  
447 reflected light include visible plant structures characterised by thin cell walls and empty  
448 cellular cavities, or particles without plant structure but of similar reflectance than the  
449 previous ones (Noël, 2001).

450 Originally, both the number of microcharcoal and the area of microcharcoal were analysed in  
451 pollen slides. The area of microcharcoal was estimated using tedious methods, the square  
452 eye-piece grid method (Swain, 1973) or the point-count method (Clark, 1982). Both the  
453 concentration of pieces of microcharcoal and the concentration of microcharcoal areas are  
454 highly positively correlated (Tinner and Hu, 2003). It was therefore suggested avoiding the  
455 quantification of microcharcoal areas because it was time consuming for gaining little  
456 additional information compared to a simple counting of microcharcoal fragments. Counting  
457 microcharcoal on pollen slides is currently performed at 200x or 500x magnification (Doyen  
458 et al., 2013; Morales-Molino et al., 2011) by counting only the number of microcharcoal in  
459 pollen slide (Tinner and Hu, 2003) with a minimum of two hundred items (the sum of  
460 charcoal and exotic marker grains) (Finsinger and Tinner, 2005).

461 More recently, some studies indicated that fragmentation of charcoal particle may occur  
462 during taphonomical processes (Crawford and Belcher, 2014; Leys et al., 2013). This  
463 potential fragmentation may lead to an overrepresentation of microcharcoal, i.e. a virtual  
464 increase of the number of fragments per gram, while this increase would not have been seen  
465 in the total area concentration (see below for an explanation of the two concentrations). Using  
466 the total area helps therefore interpreting charcoal number concentration. Counting and area  
467 measurement of individual charcoal particles is recommended further because it provides an  
468 opportunity to link both particle counts and particle areas to different metrics of fires, such as  
469 burned area, fire number, fire intensity or fire emissions (Adolf et al., 2018b; Hawthorne et  
470 al., 2018).

471 Digital image processing can be used to generate microcharcoal data more efficiently and to  
472 conduct morphological particle analyses. Image analysis can be carried out in software such  
473 as ImageJ (open source) (Abramoff et al., 2004) which can be used to measure the individual  
474 area of each particle, total area of all particles and the number of particles that are observed in  
475 each microscopic field (Beaufort et al., 2003a; Daniau et al., 2009b; Doyen et al., 2013; Inoue  
476 et al., 2018; Thevenon et al., 2004). The shape is studied using the length, width and the  
477 elongation measurements.

478 Automated image analysis consists of scanning the slides in a controlled light adjustment  
479 (transmitted light) to detect and measure microcharcoal using a threshold value in red, green  
480 and blue (RGB), or in tint, saturation and lightness (TSL) color space (see for example  
481 Daniau et al., 2009a). Automated scanning of the slides requires the microscope to be  
482 equipped with a stage motorized in the X, Y and Z axes. Moving on the X and Y axes permits  
483 to scan different separate fields of the slide (150 or 200 images with a pixels digitising  
484 camera to provide reproducible results, (Beaufort et al., 2003b; Daniau et al., 2007; Doyen et  
485 al., 2013). The Z-axis permits to adapt the focus for each field. Observations and automated

486 image analysis is performed in general at 400x (Doyen et al., 2013) or 500x magnification  
487 (Daniau et al., 2009b; Inoue et al., 2018). Identification of uncharred organic matter (in  
488 reflected light, using oil immersion), characterized by the absence of plant structures and  
489 distinct level of reflectance, can be used to set the best-fit threshold level to secure  
490 identification of microcharcoal by image analysis.

491 From these measurements, two types of concentration per gram of dry bulk sediment are  
492 calculated, i.e. the number of fragments of microcharcoal (number of fragments #/g) and the  
493 total area of microcharcoal ( $\text{mm}^2$  or  $\mu\text{m}^2/\text{g}$ ). When the density or the volume of the treated  
494 sediment is known concentrations are expressed per volume ( $\text{cm}^3$ ). The total area corresponds  
495 to the sum of the individual areas of microcharcoal. The shape is studied using the elongation  
496 ratio (or aspect ratio) expressed as the ratio Length on Width (Umbanhowar and McGrath,  
497 1998) (Crawford and Belcher, 2014); or as the ratio Width on Length (Aleman et al., 2013a).

498

499 Figure 3

500

### 501 **3.3 Phytolith**

502

503 The recovered phytolith fraction from the extraction procedure is mounted on microscope  
504 slides using mobile mounting medium, glycerin or immersion oil, to allow the rotation of  
505 phytolith for observation. Phytoliths are counted at 400x or 600x magnification. Immersion  
506 oil may be preferred as mounting media to facilitate observation because phytolith show a  
507 better contrast under the microscope rather than by using glycerin. Phytoliths are amorphous  
508 silicate and are distinguishable from quartz grains using a polarizing filter on the microscope.  
509 Other siliceous components can be diatoms, freshwater sponge spicules or siliceous  
510 protozoans such as testate amoebae (Rhizopoda). Diatoms, or even parts of valves, are easily

511 distinguishable from phytoliths via finer ornamentations compared to phytoliths. Sponge  
512 spicules are generally needle-like in form and are either smooth or spined. They are visually  
513 distinguishable from phytoliths because their surfaces are generally smooth and the purity of  
514 the silicate makes it translucent. Finally, testate amoebas are recognizable when they are  
515 entire, but the tests are composed of siliceous plates that may be disarticulated during  
516 taphonomic processes or lab treatments. These plates have round to square shapes and  
517 measure usually between 5 to 15  $\mu\text{m}$ . Rounded curved plates can be confused with  
518 microspheres. In this case rotation of the particle is needed for identification.

519 During the counting procedure, sufficient items (exotic marker and the most frequent  
520 phytolith morphotype with taxonomic significance) should be counted to reach an estimate of  
521 the total phytolith concentration with a precision of at least  $\pm 15\%$ , as described in (Aleman  
522 et al., 2013b). In general, this consists in counting at least 300 phytolith of morphotypes with  
523 taxonomic significance per sample and with size greater than 5  $\mu\text{m}$ .

524 Description of phytolith morphotypes should be done according to their three-dimensional  
525 shape and classification should follow the International Code for Phytolith Nomenclature  
526 (ICPN; Madella et al. (2005)). The ICPN was developed in order to use a standard protocol to  
527 name and describe new phytoliths, and to use a glossary of descriptors for describing  
528 phytoliths. As such, when describing a phytolith type the following information are  
529 necessary: 1) description of the shape (3D and 2D), 2) description of the texture and/or  
530 ornamentation, and 3) symmetrical features. Other information can also be provided when  
531 possible (e.g. morphometric data, illustrations and anatomical origin, Madella et al. (2005)).

532 Because of redundancy and multiplicity in phytolith shape (Fredlund and Tieszen, 1994;  
533 Mulholland, 1989; Rovner, 1971), one phytolith type can rarely be related to one plant taxon  
534 and therefore in order to use this vegetation proxy, the whole phytolith assemblage must be  
535 considered. Past tree cover, aridity/humidity changes and plant water stress can be assessed

536 by grouping morphotypes into specific indices. In addition, phytoliths from the Poaceae  
537 family produces peculiar morphotypes that provide information about past grass dynamics  
538 and evolution (Strömberg, 2002).

539 In general, phytolith morphotypes are grouped into five large categories (Fig. 4):

540

541 Figure 4

542

543 1. Grass silica short cells (GSSC) are produced by Poaceae (Mulholland and Rapp, 1992).

544 Among the GSSCs, the bilobates (a), polylobates and crosses (b) are mainly produced by the  
545 Panicoideae subfamily (Fredlund and Tieszen, 1994; Kondo et al., 1994; Mulholland, 1989;  
546 Twiss et al., 1969), which are C4 grasses adapted to warm and humid climate. The saddle (c)

547 type occurs dominantly in the Chloridoideae subfamily (Mulholland, 1989; Twiss et al.,

548 1969) (Fredlund and Tieszen, 1994; Kondo et al., 1994), C4 grasses adapted to a warm and  
549 dry climate. The rondel type (d), corresponding to the pooid type defined by Twiss et al.

550 (1969) and the conical, keeled and pyramidal types (e) from Fredlund and Tieszen (1994),

551 include conical, conical bilobate (f), conical trilobate and conical quadrilobate morphotypes.

552 The trapeziform short cell type (Fredlund and Tieszen, 1994; Kondo et al., 1994; Mulholland,

553 1989; Twiss et al., 1969) comprises trapeziform, trapeziform bilobate (g), trapeziform

554 trilobate (h) and trapeziform quadrilobate morphotypes. The rondel and trapeziform short cell

555 types are preferentially produced by the Pooideae subfamily (C3, high elevation grasses), but

556 also by the other subfamilies (Barboni and Bremond, 2009). *Zea mays* produces a particular

557 cross type, and using morphometric analysis it is possible to precisely identify its presence in

558 archeological records (Piperno, 2006). Bambusoideae grasses produce Bilobate and Saddle

559 short-cells and some genus produce distinct phytolith types such as Chusquoid body or

560 collapsed saddles in *Chusquea* (Piperno and Pearsall, 1998).

561 2. The bulliform cells category relates to cell morphology trackers that can be identified. For  
562 example, epidermal cells have been calibrated to reconstruct leaf area index (LAI) (Dunn et  
563 al., 2015). Bulliform cells (i) from the leaves of Poaceae are used as a proxy of aridity  
564 (Bremond et al., 2008).

565 3. The woody dicotyledon category is composed of globular granulate (j) (Alexandre et al.,  
566 1997; Bremond et al., 2005a; Kondo et al., 1994; Scurfield et al., 1974), globular decorated  
567 (k) (Neumann et al., 2009; Novello et al., 2012; Piperno, 2006; Runge, 1999), sclereid  
568 (Mercader et al., 2000; Neumann et al., 2009; Runge, 1999), blocky faceted (l) (Mercader et  
569 al., 2009; Neumann et al., 2009; Runge, 1999) and blocky granulate morphotypes (Mercader  
570 et al., 2009).

571 4. The other family-specific morphotypes are composed of morphotypes that can be  
572 attributed to specific families. Papillae types (m) (Albert et al., 2006; Gu et al., 2008; Novello  
573 et al., 2012; Runge, 1999) are produced by Cyperaceae (Kondo et al., 1994) that mainly grow  
574 in wetlands. The globular echinate morphotype (n) is produced by palms (Arecaceae) (Kondo  
575 et al., 1994; Runge, 1999). Phytoliths of *Musa* are volcaniform (o) (Ball et al., 2016) when  
576 the ones from *Cucurbita* are spheroidal or hemispheroidal with deeply scalloped surfaces of  
577 contiguous concavities (Piperno et al., 2000). Other specific phytoliths can be attributed to  
578 rice, Maize or Marantaceae (see the exhaustive discussion in Piperno (2006)).

579 5. Non-diagnostic morphotypes (p) such as globular smooth, elongated or tabular and blocky  
580 types are sometimes attributed to specific vegetation types, such as closed environments.

581 However, the diversity of shapes behind the generic terms makes it difficult to be exhaustive  
582 for this category (see Garnier et al., 2012; Novello et al., 2012; Runge, 1999).

583

584 Comprehensive databases and atlases for phytolith identification do not exist yet. The web  
585 and scientific papers provide some atlases but the data are diverse, dispersed and not easily



586 comparable. The data are presented generally by taxon (Family, Genus or Species) or by  
 587 phytolith morphotypes. Modern phytolith assemblages have been extensively studied in  
 588 Africa (Barboni et al., 2007). The PhytCore DB (<http://www.phytcore.org>) provides modern  
 589 phytolith assemblages but it is very oriented for archeological studies. It is therefore  
 590 important analysing modern soil or recent sediment samples in the surrounding vegetation  
 591 types of the “fossil” studied area. Here, we provide a non-exhaustive list of different phytolith  
 592 atlases available on the web (Table 2).

593

Name	Website link
PhytCore (Archeological sites from Spain, East and South Africa)	<a href="http://www.archeoscience.com/">http://www.archeoscience.com/</a>
The University of Missouri Online Phytolith Database (essentially the flora of Ecuador)	<a href="http://phytolith.missouri.edu/">http://phytolith.missouri.edu/</a>
Paleobot (collaborative, open-access web resource for scientists and scholars engaged in paleobotanical research)	<a href="http://www.paleobot.org/">http://www.paleobot.org/</a>
Old World reference phytoliths	<a href="http://www.homepages.ucl.ac.uk/~tcrndf/phytoliths.html">http://www.homepages.ucl.ac.uk/~tcrndf/phytoliths.html</a>
The Blinnikov's Phytolith Gallery	<a href="http://web.stcloudstate.edu/msblinnikov/phd/phyt.html">http://web.stcloudstate.edu/msblinnikov/phd/phyt.html</a>

594

595 Table 2: List of phytolith atlases available online.

596

597 **4. Terrestrial plant microfossils for qualitative and quantitative environmental**

598 **reconstructions**

599

600 **4.1 Information from pollen**

601

602 **4.1.1 Environmental information**

603

604 Fossil pollen assemblages are widely used for reconstructing past vegetation composition and  
605 distribution, and thereby climate and land-use changes. Pollen analysis is based on a set of  
606 principles that allow relating the pollen assemblage found in sedimentary archives with the  
607 surrounding vegetation (e.g. Birks and Birks, 1980; Prentice, 1988). Information on the  
608 pollen-vegetation relationship in particular is issued from the extensive study of surface  
609 (modern) pollen samples, taken in defined vegetation units characterizing an ecosystem or a  
610 bioclimate, as well as in various sedimentary contexts. Modern pollen rain-vegetation  
611 relationship was therefore investigated in a wide variety of landscape worldwide, although  
612 some regions are still under-studied, such as arid and semiarid environments. From these  
613 studies arose several regional modern pollen databases for Europe (Davis et al., 2013; Fyfe et  
614 al., 2009), North America (Whitmore et al., 2005), East Asia (Zheng et al., 2014), Africa  
615 (Gajewski et al., 2002) and South America (Flantua et al., 2015).

616 Surface sample studies have shown there is no linear relationship between pollen proportions  
617 and plants abundances. Pollen proportions from a sedimentary archive give qualitative  
618 information on changes in vegetation composition through time and over a spatial area. Many  
619 studies demonstrated that pollen assemblages clearly discriminate between vegetation  
620 formations or forest-types and that pollen proportions of the major taxa reflect their relative  
621 importance in the vegetation (Prentice, 1988). Individual calibration studies prior to the  
622 analysis of a sedimentary archive are recommended to provide the characterization of the  
623 relationship between the pollen rain and local and regional vegetation essential to interpret  
624 the fossil pollen records in terms of vegetation changes. For example, in Southern Africa,  
625 Poaceae percentages were demonstrated to be critical to distinguish the pollen signal of the  
626 major biomes and associated climatic zones (Urrego et al., 2015). In the Mediterranean

627 region, pollen assemblages within degraded maquis, for instance, appear largely influenced  
628 by adjacent land-covers such as conifer woodland and open vegetation (Gaceur et al., 2017).

629 A large literature aims at understanding and estimating the factors that determine the source  
630 vegetation and modifies the pollen representativeness in terms of vegetation composition and  
631 abundance (e.g. Broström et al., 2008; Bunting et al., 2013; Gaillard et al., 2008; Havinga,  
632 1984; Prentice, 1985; Sugita, 1994; Traverse, 2007). The differential pollen production,  
633 dispersal and preservation between pollen taxa lead to the over- or under-representation of  
634 some morphotypes. The long-transport of anemophilous taxa is a common factor biasing the  
635 representation of the local vegetation by pollen assemblages (e.g. Traverse, 2007). This is  
636 particularly true in mountain regions where wind drives uphill transport of tree pollen (Ortu  
637 et al., 2006). The most widely known example is the pollen over-representation of *Pinus* that  
638 produces a large quantity of highly buoyant saccate pollen.

639 The structure and composition of the surrounding vegetation affect the source area of pollen.  
640 For instance, pollen rain in open landscape is prone to increased contribution of pollen  
641 originating from far-distant vegetation (Bunting et al., 2004). The size (i.e. few meters to  
642 kilometers) and type (e.g. bogs, mires, lakes and ocean) of the sampling site also influence  
643 the pollen source area from local to regional inputs (e.g. Prentice, 1985; Sugita, 1994;  
644 Traverse, 2007). Ponds and small lakes mostly receive pollen from the vegetation  
645 surrounding the sampling site and therefore represent more local estimates of vegetation than  
646 large lakes (in their centers) that collect predominantly wind-transported pollen from the  
647 regional vegetation background (e.g. Sugita, 1994, 2007a, b). Note that without using specific  
648 pollen-based modelling approaches (see section 4.1.2) the dissociation between local and  
649 regional pollen signals cannot be assessed. Pollen studies on modern marine surface  
650 sediments showed that pollen assemblages reflect an integrated image of the regional  
651 vegetation of the adjacent continent (e.g. Heusser, 1983; Naughton et al., 2007). Such studies

652 revealed that pollen grains are mainly transported to the ocean realm by wind and rivers but  
653 the role of these transport agents depends essentially on the environmental conditions of each  
654 area (e.g. Dupont et al., 2000; Groot and Groot, 1966). Pollen is predominantly supplied to  
655 the ocean by fluvial transport, in regions where hydrographic systems are well-developed  
656 such as in the western Iberian margin, northern Angola basin, western North Atlantic margin  
657 and in the Adriatic Sea (e.g. Bottema and van Straaten, 1966; Dupont and Wyputta, 2003;  
658 Heusser, 1983; Naughton et al., 2007). In arid zones, like in northwest (NW) of Africa, with  
659 weak hydrological systems and strong winds, pollen are mainly wind-blown (e.g.  
660 Hooghiemstra et al., 2006; Rossignol-Strick and Duzer, 1979). A mixture of fluvial and wind  
661 pollen transport may also occur as shown in the Gulf of Guinea (Lézine and Vergnaud-  
662 Grazzini, 1993) and the Alboran Sea (Moreno et al., 2002). Once in the ocean, pollen grains  
663 sink rapidly through the water column thanks to processes decreasing its floatability such as  
664 agglomeration (taking part to the marine snow), flocculation and incorporation in fecal pellets  
665 (Mudie and McCarthy, 2006) and thereby preventing from long-distance marine current  
666 transport (Hooghiemstra et al., 1992).

667

#### 668 **4.1.2 Pollen-based land cover reconstruction**

669

670 Pollen assemblages extracted from terrestrial sedimentary cores reflect a mix of both local  
671 and regional vegetation, and this makes difficult the assessment of quantitative vegetation  
672 reconstruction based on pollen proportions. Correction factors were proposed as early as the  
673 fifties to minimize biases in the representativeness of pollen assemblages (see Birks and  
674 Berglund (2018) and references therein). From the eighties, important methodological  
675 improvements took place with the development of models taking into account the differential  
676 production and dispersal of pollen, and the size and type of the sedimentary basin (e.g.

677 Prentice and Parsons, 1983; Sugita, 1993, 1994, 2007a, b). These models have resulted in the  
678 development of the Landscape Reconstruction Algorithm (LRA; Sugita (2007b)) for  
679 quantitative reconstruction of past vegetation composition.

680 The LRA approach corresponds to two sub-models, REVEALS (Regional Estimates of  
681 Vegetation Abundance for Large Sites; (Sugita, 2007a) and LOVE (Local Vegetation  
682 Estimate; Sugita (2007b)). REVEALS reconstructs the regional vegetation composition in a  
683 radius of ca. 50 km using pollen counts from large lakes (>50 ha). REVEALS can also be  
684 used for a combination of small and large lakes and bogs, although the standard errors would  
685 be greater than when using for a large lake only (Marquer et al., 2017; Trondman et al.,  
686 2016). LOVE reconstructs the local vegetation composition in a radius of few meters to  
687 kilometers that corresponds to the relevant source area of pollen (RSAP). LOVE uses pollen  
688 counts from small sites (lakes and bogs <50 ha) and REVEALS estimates as the regional  
689 background of pollen (i.e. pollen coming from beyond the RSAP) to subtract the regional  
690 background of pollen and calculate quantitative estimates of local vegetation composition.  
691 LOVE estimates represent the local vegetation composition within the RSAP. The LRA  
692 models incorporate critical parameters to correct the non-linear relationships between pollen  
693 percentages and plant abundances, e.g. pollen productivity estimates of specific plant taxa,  
694 fall speed of pollen and basin size, and several assumptions, e.g. specific wind speed and  
695 characteristics of atmospheric conditions. Current model improvements correspond to the  
696 implementation of an alternative pollen dispersal model in the LRA approach (e.g.  
697 Theuerkauf et al., 2016; Sugita, unpublished).

698 The REVEALS and LOVE models are now increasingly applied to provide quantitative  
699 reconstructions of the Holocene vegetation composition from local, regional to sub-  
700 continental spatial scales (e.g. Cui et al., 2014; Fyfe et al., 2013; Hellman et al., 2008a;  
701 Hellman et al., 2008b; Marquer et al., 2017; Marquer et al., 2014; Mazier et al., 2015; Nielsen

702 et al., 2012; Nielsen and Odgaard, 2010; Overballe-Petersen et al., 2013; Soepboer et al.,  
703 2010; Sugita et al., 2010; Trondman et al., 2015; Trondman et al., 2016). The REVEALS  
704 model has largely been used for pollen-based land cover reconstruction in Europe and it is  
705 now applied to other regions (essentially in the Northern Hemisphere) via the support of the  
706 PAGES LandCover6k initiative (Gaillard et al., 2018). Evaluation of the LRA models  
707 reliability in the Southern Hemisphere and tropics (Southern Asia, Central Africa and South  
708 America) is in progress.

709 The REVEALS approach requires some a priori information on pollen productivity estimates,  
710 which are difficult to collect and highly variable, lake size and assumptions on wind speed.  
711 This constitutes one disadvantage of the approach since all these parameters may vary  
712 through time, increasing the uncertainties of the REVEALS land-cover reconstructions. In  
713 addition, the physical size of taxa in the landscape which can be important in reconstructing  
714 past forest cover is not reconstructed (Zanon et al., 2018).

715 An alternative and less resource intense approach has been developed by Williams (2003),  
716 based on the popular modern analogue technique (MAT) applied in pollen-climate  
717 reconstructions, whereby analogues of fossil pollen samples are found in a modern pollen  
718 database. In the land-cover reconstruction method, the fossil sample is assigned the remote-  
719 sensing derived forest cover of the closest matching modern pollen sample site.

720 This method is particularly useful to reconstruct past forest cover at continental scales. It was  
721 used to reconstruct Holocene forest cover in North America (Williams, 2003), Europe (Zanon  
722 et al., 2018), Northern Eurasia (Tarasov et al., 2007), and time slices for the whole of the  
723 Northern Hemisphere mid and high latitudes (Williams et al., 2011). Zanon et al. (2018)  
724 showed that both methods generally provide comparable results. However, the MAT  
725 systematically estimates lower forest cover than REVEALS although this offset reduces in  
726 some pioneer vegetation landscapes. This discrepancy may be due to different definitions of

727 'forest cover' between the two methods, with trees greater than 5 m in the case of MAT,  
728 whereas forest is simply defined as the proportion of forest forming taxa irrespective of their  
729 size in REVEALS.

730 Other semi-quantitative methods for reconstructing land-cover are based on the 'biomisation'  
731 method (Prentice et al., 1996). This essentially compensates for differing pollen productivity  
732 and dispersion by transforming pollen percentages data using the square-root method.

733 This has the effect of de-emphasising the taxa which represent the larger proportions (often  
734 the trees) and emphasizing more the taxa with the smaller proportions (often the herbaceous  
735 taxa). Taxa are then grouped into common plant functional groups (pft's) grouped in turn into  
736 biomes. The sum of the square rooted percentages of each group of taxa represents its 'score'.  
737 The highest 'score' represents the vegetation biome of the pollen sample assemblage.

738 Biomisation classification schemes have been developed for almost all regions of the world  
739 (Prentice and Jolly, 2000). The original motivation for this work was the evaluation of  
740 climate model simulations through forward modelling. This side-steps the problems  
741 associated with pollen-climate based data-model comparisons (see section 4.1.3) because the  
742 vegetation represented by the pollen record is directly compared with the vegetation  
743 generated by a process based vegetation model fed with output from the climate model  
744 simulation (Prentice et al., 1998). This approach has many advantages, not least the ability to  
745 take into account the complex response of vegetation to many different aspects of climate,  
746 such as temperature, precipitation, seasonality, cloudiness and frost frequency. Unfortunately,  
747 one of the main disadvantages is the difficulty in aligning the vegetation generated by the  
748 vegetation model with that represented by the pollen record. For instance, the link between  
749 the original biome vegetation model and pollen biomisation classification schemes (Prentice  
750 et al., 1996) is based on the unproven assumption that modelled Net Primary Productivity  
751 (NPP) is directly linked to pollen percentages. Similarly, because the model generates

752 potential natural vegetation, and the pollen data reflects actual vegetation, it becomes difficult  
753 to judge the accuracy of a pollen biomisation scheme with for instance over 8 different  
754 schemes available in Europe alone (Allen et al., 2010; Allen et al., 2000; Bigelow et al.,  
755 2003; Binney et al., 2017; Marinova et al., 2018; Peyron et al., 1998; Prentice et al., 1996;  
756 Tarasov et al., 1998)).

757 However, considering the simplicity of the approach, the biomisation procedure nevertheless  
758 proved to work remarkably well in many regions at continental scales. While the original  
759 procedure was developed specifically to reconstruct the natural potential vegetation, the  
760 procedure has also been adapted to reconstruct human impacted landscapes, the pseudo-  
761 biomisation approach (Fyfe et al., 2010). It was used to reconstruct the land-use and forest  
762 cover of Europe throughout the Holocene (Fyfe et al., 2015). Roberts et al. (2018) showed  
763 that the three methods, pseudo-biomisation, REVEALS and biomisation approaches, captured  
764 the basic trend in forest cover change over Europe during the Holocene.

765 Biomisation, pseudo-biomisation and modern analog technique can be used at continental and  
766 global scales and provide semi-quantitative estimates for biomes, plant functional types, land  
767 cover classes and tree covers, when LRA provides quantitative estimates of the cover of plant  
768 taxa at specific spatial scales, i.e. from local, regional to continental scales. Those  
769 quantitative estimates of vegetation are critical to i) evaluate climate and human-induced  
770 changes in vegetation composition and diversity, ii) answer archaeological questions about  
771 land use, iii) inform strategies related to conservation of natural resources and iv) be used as  
772 inputs for climate and dynamic vegetation modelling (e.g. Cui et al., 2014; Gaillard et al.,  
773 2010; Marquer et al., 2018; Marquer et al., 2017; Mazier et al., 2015).

774

### 775 **4.1.3 Pollen-based climate reconstruction**

776



777 Fossil pollen data have been used for quantitative reconstructions of past climate for over 70  
778 years (Iversen, 1944). Pollen remains the main terrestrial proxy used for continental-scale  
779 evaluation of climate model simulations as part of the Paleo-climate Model Intercomparison  
780 Project (PMIP) for key time periods of the last climatic cycle (126, 21 and 6 ka) (Otto-  
781 Bliesner et al., 2017), and as far back as the mid-Pliocene (3.0-3.3 Ma) under the Pliocene  
782 Model Intercomparison Project (PlioMIP) (Haywood et al., 2013). Those models are used to  
783 simulate future climate and their paleo-climate evaluations provide the only real test of  
784 reliability outside of our modern climatic experience. Paleo-climate reconstructions have  
785 been based on widely spaced time-slices. It becomes possible now to produce spatially  
786 explicit continuous reconstructions through time in data rich regions such as Europe (Davis et  
787 al., 2003a; Mauri et al., 2015) and North America (Viau and Gajewski, 2009). Spatially  
788 explicit reconstructions allow us to view the spatial structure of climate change, much of  
789 which is driven by change in atmospheric circulation which appears to be under-estimated in  
790 climate models (Mauri et al., 2014). The high spatial variability indicated by pollen synthesis  
791 studies (and others; (see de Vernal and Hillaire-Marcel, 2006; Kaufman et al., 2004))  
792 suggests strong sampling bias in regional or even global interpretations from one or very few  
793 sites (Hansen et al., 2006; Marcott et al., 2013). Large networks of pollen sites allow area-  
794 average estimates that reflect more accurately climate system energy-balances. They are also  
795 more comparable with climate models with their large grid box resolutions (Bartlein et al.,  
796 2011).

797 The main advantage of pollen data is its almost unrivalled spatial coverage from almost all  
798 terrestrial regions of the Earth, together with the wide range of seasonal and annual climate  
799 parameters that can be commonly reconstructed. Disadvantages include relatively low  
800 centennial-scale temporal resolution (especially when multiple records are combined at large  
801 spatial scales), and the possibility that non-climatic environmental factors may also influence

802 the vegetation record through disease, succession, migration lag, soils and human action  
803 (Mauri et al., 2015). Another issue is the no-analogue-vegetation problem (Jackson and  
804 Overpeck, 2000; Williams et al., 2001), i.e. unique associations of taxa in the past that do not  
805 occur today, such as during the rapid post-glacial re-colonisation of higher mid-latitudes  
806 following the retreat of LGM ice sheets. This problem is also related to the no-analogue-  
807 climate problem, when there is no modern analogue for a climate in the past, such as the  
808 particular combination of seasonal insolation during the last Interglacial, or the low CO<sub>2</sub>  
809 concentration during the LGM. It should be noted that the problem of human action on  
810 vegetation is often the inverse of how it is popularly conceived, since most transfer functions  
811 are assessed and calibrated for the present day when human action has probably been at its  
812 highest. It is in fact a lack of human action in the past that can create a no-modern-analogue  
813 problem for the transfer function.

814 Since the first pollen-climate transfer function over 70 years ago, there have been a large  
815 number of different methods developed, largely motivated by the problems that we have  
816 already outlined. These methods can be grouped into 4 main groups.

817 1) The first and generally the most popular group of methods is based on matching an  
818 assemblage of taxa present in a fossil pollen sample with unknown climate, with the same  
819 assemblage in a modern pollen sample whose climate is known. This includes the classic  
820 modern analogue technique (MAT), but also variants such as response surfaces (Brewer et  
821 al., 2007). Advantages include simplicity and an ability to incorporate non-linear responses to  
822 climate, while disadvantages include the need for a large calibration dataset of modern pollen  
823 samples and poor statistical treatment of uncertainties.

824 2) The second group of methods builds a regression model for each taxa based on the  
825 relationship between modern pollen samples and known modern climate, which is then used  
826 to deduct the past climate from the taxa in a fossil pollen sample assemblage. This includes

827 the popular Weighted Averaging – Partial Least Squares (WA-PLS) method (Birks et al.  
828 2010). The advantage of this method includes better statistical treatment of uncertainties and  
829 elimination of problems such as spatial auto-correlation that are common to MAT. However  
830 disadvantages include heavy reliance on capturing the correct climate response within the  
831 calibration dataset and poor performance at the edges of the response envelope.

832 3) Both the previous two groups of methods require an extensive and representative modern  
833 pollen surface sample dataset for calibration of the transfer function, and also rely on the  
834 relative proportions of the taxa in the pollen assemblage. The third group of methods instead  
835 uses modern vegetation distribution rather than modern pollen samples as the basis for  
836 calibrating the transfer function, and generally uses presence and absence of taxa rather than  
837 its proportional occurrence in the assemblage. This includes classic methods such as mutual  
838 climatic range, as well as the more recent probability density function approach (Chevalier et  
839 al., 2014). These methods work by establishing the climate envelope for each taxa based on  
840 its modern vegetation distribution, and then combining the envelopes of the taxa found in the  
841 fossil pollen assemblage to deduce the most likely climate where all the taxa are able to exist  
842 together. This group of methods does not require a calibration dataset of modern pollen  
843 samples. They are especially good in areas where these datasets are limited such as in Africa  
844 (Chevalier et al., 2014), as well as being able to perform in no-analogue situations where taxa  
845 are found combined in assemblages that are not found today. The disadvantages of this type  
846 of model is that pollen may be found in areas beyond the geographical range of its source  
847 vegetation, while the use of geographical range alone to define the optimum climate for a  
848 taxa (rather than abundance) leads to large envelopes and consequently large uncertainties in  
849 reconstructions.

850 4) The final fourth group of methods uses a process based vegetation model to determine the  
851 climate of a fossil pollen assemblage. Normally vegetation models use climate data as input

852 to arrive at a vegetation, but in this ‘inverse’ method, the vegetation model is used in inverse  
853 mode where the vegetation is already known (the fossil pollen assemblage) and the most  
854 likely climate to result in that vegetation is the output. This method does not require any  
855 modern calibration data (although in reality vegetation models are largely parameterized  
856 based on what we know of modern vegetation), and since it is process based, it can provide  
857 reconstructions in no-analogue situations such as low CO<sub>2</sub> climates (Wu et al., 2007).  
858 The importance of pollen-based climate reconstructions are likely to increase in future as  
859 more climate models simulations are made in transient mode and at increasing spatial  
860 resolutions. At the same time, more fossil and modern calibration pollen data becomes  
861 available in public relational databases. Improvements in transfer function performance can  
862 also be expected, particularly through the application of Bayesian approaches that include  
863 multi-sample and multi-site analysis.

864

#### 865 **4.2 Information from microcharcoal**

866

867 Vegetation fires produce different sizes of particles of which the smallest, classified as fine  
868 particles, are deposited far from the source (Patterson et al., 1987). Aeolian and fluvial  
869 processes are the main agent responsible for the transport of microcharcoal from the  
870 combustion site to the sedimentation basin where they are preserved. These microcharcoal  
871 particles remain in the atmosphere and are transported over long distances (Clark, 1988) by  
872 low atmospheric winds (<10 km) and deposited a few days or weeks after their formation  
873 (Clark and Hussey, 1996; Palmer and Northcutt, 1975). In water, after a short period of  
874 bedload transport, charred fragments break down into relatively resistant, somewhat rounded  
875 pieces, and thereafter remain stable. They exhibit the same behaviour as fragments of highly  
876 vesiculated pumice, which initially floats and sinks as it becomes waterlogged (Nichols et al.,

877 2000). (Whitlock and Millspaugh, 1996) have reported charcoal introduced into deep lake  
878 sedimentary record within a few years after a fire event. Suspended fine material (including  
879 microcharcoal) fluvially supplied to the ocean can be transported to the deep ocean through  
880 canyons (Jouanneau et al., 1998). Microcharcoal sedimentation, which may be comparable to  
881 pollen sedimentation behaviour, can be deposited in several weeks on the ocean floor as a  
882 part of the marine snow (Chmura et al., 1999; Hooghiemstra et al., 1992). It is assumed that  
883 microcharcoal mostly reflect regional fire history.

884 Microcharcoal accumulations (concentrations and influx) are used therefore to reconstruct  
885 changes in biomass burning at regional scale. The chronology of the record, through the  
886 development of age models, is used to calculate the sediment accumulation rate (sediment  
887 accumulation thickness per unit time, cm/yr). Microcharcoal influx (also called  
888 microcharcoal accumulation rate) is expressed as number of fragments per unit area per unit  
889 time (#/cm<sup>2</sup>/yr) or total areas of microcharcoal per unit area per unit time ( $\mu\text{m}^2/\text{cm}^2/\text{yr}$ ). It is  
890 calculated by multiplying the concentration of microcharcoal per volume by the sediment  
891 accumulation rates, or by multiplying the concentration of microcharcoal per weight by the  
892 density of the sediment samples and by the sediment accumulation rates.

893 Influx accounts for variations in the sedimentation rate over time which can vary widely  
894 (Adolf et al., 2018b; Marlon et al., 2016). When the sediments are varved, it is possible to  
895 obtain an accurate estimation of the sediment accumulation rate and to calculate  
896 microcharcoal influx for each sample (Maher, 1981). In this case, assuming that the full  
897 production of microcharcoal is transported to the sediment deposition site, microcharcoal  
898 influx may be interpreted directly in terms of charcoal production.

899 However, varved-sediments are rare and the age-depth model of sedimentary sequence  
900 commonly derives from discrete dated levels (radiometric dating, use of “tie-points” based on  
901 events stratigraphy). Several studies then present calculated values of microcharcoal influx

902 for each sample of the dated sequence. However, an average sedimentation rate over several  
903 meters of core lacks information about the rate in a sample taken from a centimeter interval  
904 within the dated sequence (Maher, 1981). Because of this uncertainty in the sedimentation  
905 rate, a rigorous solution is not possible for a single sample: interpreting long term trend in  
906 microcharcoal influx is preferred rather than interpreting single microcharcoal influx  
907 variation. Maher (1981) suggested using in this case an averaged influx between two dates,  
908 calculated by the average concentration multiplied by the average sedimentation rate. More  
909 recently, the  $^{230}\text{Th}$  normalization method provides a means of achieving more accurate  
910 interpretations of sedimentary fluxes (Francois et al., 2004).

911 In addition, calculation of microcharcoal influxes for some marine sediment sequences  
912 obtained by piston coring may be prevented. The piston coring process sometimes elongate  
913 parts of the core, and this elongation is not constant over the sequence. It can increase  
914 virtually the sedimentation rate and so the derived influx. The coring artefacts should be  
915 corrected before influx calculation, if physical parameters of the coring are recorded during  
916 the core collection (Toucanne et al., 2009). For some cores collected in the past decades,  
917 some missing parameters avoid this correction. The equipment of the upgraded R/V Marion  
918 Dufresne for example now prevents or minimizes elastic stretching of the sediment and allow  
919 physical parameters to be recorded (<http://www.insu.cnrs.fr/en/node/5762>).

920 In a few cases, some “apparent” sediment hiatuses of tens of centimeters in the core are  
921 observed. In the absence of changes in lithology, those apparent sediment hiatuses may  
922 happen because of the split of the sediment due to stretching strength during core collection.  
923 The original depths therefore need to be corrected before the calculation of the depth-age  
924 model and of the influx (see for example core MD04-2845 in Sánchez Goñi et al. (2017)). In  
925 addition, the lack of information about the density of the sediment may also prevent influx

926 calculation from microcharcoal concentration per weight. However, it is possible to use an  
927 estimated marine sediment density (Tenzer and Gladkikh, 2014).

928 The deep ocean (in the absence of turbidite deposits) receives plant microfossils and  
929 terrigenous sediments coming from the adjacent continent and material derived from in situ  
930 biological surface productivity. Those materials are part of the hemipelagic sedimentation.  
931 Quick events of high sediment accumulation of material produced outside of the adjacent  
932 continent or outside of the depositional basin may happen, such as the Ice Rafted Debris  
933 deposits (due to the melting of icebergs during ice sheet abrupt calving) in the marine realm,  
934 or such as volcanic ash deposits. The sedimentation rate increases sharply and so the  
935 calculated microfossil influx. However, this influx increase informs that the sediment source  
936 is modified rather than that more microfossils attain the depositional basin. For example, core  
937 MD04-2845, located on a seamount, receives hemipelagic sediment including allochthonous  
938 terrigenous material, i.e. eolian and fluvial particles coming from the adjacent continent,  
939 including plant microfossils (Daniau et al., 2009b). During the last glacial period, several  
940 events of high sedimentation rate are associated with the Heinrich layers, i.e. a huge amount  
941 of IRD released during the melting of icebergs in the North Atlantic. To estimate plant  
942 microfossils influx, biogenic and glacial terrigenous influx (IRD) should be removed from  
943 the total sediment influx. Caution is therefore needed in interpreting influx when the source  
944 area of the sediments is modified.

945 Rare calibration studies, performed in some varved sediment lakes and one in an oceanic  
946 basin, suggest that microcharcoal accumulation is strongly linked to “burnt area” (Mensing et  
947 al., 1999; Tinner et al., 1998) but further investigations are required (Adolf et al., 2018b).

948 In addition to microcharcoal concentration or influx, the morphology of charcoal including  
949 the elongation ratio may provide information about the type of burnt vegetation in  
950 paleoecological and paleoenvironmental studies (Aleman et al., 2013a; Courtney Mustaphi

951 and Pisaric, 2014; Daniau et al., 2013; Daniau et al., 2007)). Charcoal fragmentation occurs  
952 along axes derived from the anatomical structure of plant species and the elongation degree is  
953 preserved even when the particle is broken (Clark, 1984; Umbanhowar and McGrath, 1998).  
954 Experimental studies show that the dominance of elongated particles (high elongation ratio)  
955 in a sample characterizes the burning of herbaceous vegetation while a near-squared  
956 morphology (low ratio) indicates the burning of forest (Crawford and Belcher, 2014;  
957 Umbanhowar and McGrath, 1998).

958

### 959 **4.3 Information from phytolith**

960

961 Phytolith deposition and accumulation are associated with the decomposition of local  
962 vegetation (Piperno, 2006), thus phytolith studies can provide valuable complementary  
963 information to pollen analysis that have a larger source area. However, since grasses produce  
964 much more phytoliths than any other plant types, the direct environment of the sedimentary  
965 archive needs to be carefully described and studied. For example, it has been shown in some  
966 African studies that a lake surrounded by a grass-marsh will record a ‘super’ local signal, i.e.  
967 the signal from the marsh overrides the signal from the surrounding landscape (Aleman et al.,  
968 2014). In this case, the source area is very local and the main transportation mode is by run-  
969 off. Conversely, a lake surrounded by a riparian forest perfectly records the landscape  
970 surrounding the lake (Aleman et al., 2014). The source area is wider and the main  
971 transportation mode is wind-blown (Aleman et al., 2014; Alexandre et al., 1997; Bremond et  
972 al., 2005b). Knowing the taphonomic processes therefore is of particular importance when  
973 interpreting a micro-proxy assemblage and the derived indices (see Strömberg et al., 2018).

974

#### 975 **4.3.1 Land cover reconstructions from phytoliths**



976

977 The phytolith tree cover index or D/P, is the ratio of woody dicotyledons to Poaceae  
978 phytoliths and is commonly used to characterize the openness of an environment (Alexandre  
979 et al., 1997). It has been quantitatively calibrated against the Leaf Area Index (LAI) in two  
980 studies from Africa (Aleman et al., 2012; Bremond et al., 2005a). Recently, the D/P index  
981 was slightly changed from the original calibration publication (Bremond et al., 2017) to avoid  
982 infinite values of ratio. The ratio used is  $D/(D+P)$ , with D corresponding only to the globular  
983 granulate and P only to the GSSC (Aleman et al., 2014; Bremond et al., 2017). This new  
984 index is thus bounded between 0 and 1 making it easier to interpret (Bremond et al., 2017).  
985 This proxy, however, can only be used in tropical environment where D phytoliths are  
986 produced. As such, other studies have calibrated LAI against phytoliths derived from leaf  
987 epidermal cells for which morphology is light-dependent (Dunn et al., 2015). Indeed, there  
988 are large differences between sun leaves and shade leaves: shade leaves have larger and more  
989 undulated epidermal cells than sun leaves. Using this proxy, the authors were able to  
990 reconstruct LAI for the Cenozoic (49 to 11 Ma) in middle-latitudes of Patagonia (Dunn et al.,  
991 2015).

992

### 993 **4.3.2 Characteristics and phytolith indices**

994

995 Several GSSC indices exist and enable to characterize the grassland or grass-dominated type  
996 of ecosystem. First, the Iph or humidity-aridity index compares the number of saddle short  
997 cell phytoliths against the number of lobate short cell phytoliths observed in a soil/sediment  
998 assemblage [ $I_{ph} (\%) = \text{saddle vs. cross} + \text{dumbbell} + \text{saddle}$ ]. Calibrated for western Africa,  
999 the Iph index accurately characterizes the grass cover, allowing the discrimination of  
1000 Sahelian grass communities from Sudanian ones (Bremond et al., 2005b) and the dominance

1001 of short-grass or tall-grass savannas (using a boundary of ~20%). The Fs or water stress index  
1002 is computed as the ratio of bulliform phytoliths over the sum of characteristic phytoliths [fan-  
1003 shaped index (Fs) (%) = fan-shaped vs. sum of characteristic phytoliths]. It was calibrated  
1004 over the same area as the Iph index by using modern soil assemblages and climate data  
1005 (Bremond et al., 2005a) and was proven successful to record the water stress and  
1006 transpiration experienced by the grass cover. While the calibration is relevant for this part of  
1007 Africa, care must be used when applied in other bio-climatic zones.

1008 The Iaq index refers to the percentage of grass short cell morphotypes present in a  
1009 soil/sediment assemblage that are mostly produced by hydro-/helophytic (=aquatic) grass  
1010 species (Novello et al., 2012). It was calibrated for Chad (central Africa), where the Iaq index  
1011 was shown to display high values for samples from the vegetated marshes of the current Lake  
1012 Chad where aquatic grass communities are largely represented (Novello et al., 2012; Novello  
1013 et al., 2015; Novello et al., 2016). Additionally, recent studies (Novello et al., 2015; Novello  
1014 et al., 2016) have demonstrated that an Iaq value of 34.5% or more is estimated to represent  
1015 the signal of aquatic grass communities in a fossil assemblage.

1016 Combining indices can improve the interpretation of past grass-dominated communities  
1017 (Strömberg, 2004). For example, the combination of the Iaq and Iph indices, calculated for  
1018 each of the fossil assemblages, may allow distinguishing the signal of the dry-loving grass  
1019 communities (mostly observed in arid domain), from the signal of the humidity-loving grass  
1020 communities associated with regional high precipitation (mostly observed in wetter domain)  
1021 or else with local aquatic conditions (Novello et al., 2012).

1022

#### 1023 **4.4. Online data sharing for regional and global environmental data syntheses using** 1024 **plant microfossil data**

1025

1026 Plant microfossil data from a sedimentary archive are long to generate but rich in information  
1027 on past local to sub-regional paleoenvironments. It is important therefore to study, and to  
1028 continue the training of the next generation of scientists on plant microfossils that inform us  
1029 about past changes in vegetation and fire dynamics, paleoclimates and paleoecology. Data  
1030 needs careful archiving and long-term storage. Data of a specific site or a dataset can be  
1031 archived easily (for instance, the NOAA, <http://www.noaa.gov/> and Pangaea,  
1032 <https://www.pangaea.de/>, offer this service to the paleo scientific community).

1033 Over the past 15 years, the increasing amount of plant microfossils paleodata and the  
1034 establishment of data sharing in the scientific culture (although some barriers still exist in  
1035 hampering data sharing and good management practice, Neylon, 2017), and the development  
1036 of large dataset analysis skills opened the possibility to tackle new questions at regional and  
1037 global scale. Databases including plant microfossils are useful tools for comparing different  
1038 records, for reconstructing past regional dynamics of vegetation, fire and climate, and for  
1039 examining their feedbacks to climate. Those databases are also key for evaluating modeling  
1040 results of past climate, vegetation and fire. For example, recently the analysis of the European  
1041 Pollen Database allowed to re-examine the mean annual temperature trend over the Holocene  
1042 (Marsicek et al., 2018). They would make possible also to tackle questions arising from  
1043 global environmental change such as acclimation, adaptation, migration, risk and safe-  
1044 operating space ecosystems.

1045 Some databases can be focused on one proxy, on one continent or on a specific time period of  
1046 interest. The structure of the existing paleo databases is generally similar and includes key  
1047 metadata as geospatial and dating (chronological) information as well as data in the form of  
1048 pollen and charcoal counts. The main structure includes sites, samples, pollen or charcoal  
1049 data, and dating tables.

1050 The Global Pollen Database (GPD) has now been replaced by the new Neotoma multi-proxy  
1051 paleoecological database. The North American Pollen Database is already included in  
1052 Neotoma and the other regional constituent databases of the GPD are in the process of being  
1053 absorbed into the new structure as quickly as resources permit it (largely through voluntary  
1054 efforts). This includes the European Pollen Database, the Latin American Pollen Database  
1055 and the African Pollen Database. It is hoped that other regional pollen databases that  
1056 presently remain outside the public domain such as the Chinese and Indo-Pacific Pollen  
1057 Databases will ultimately be made available through Neotoma over the next few years. It  
1058 should be noted that all of these databases are relational databases composed of interlinked  
1059 tables, together with a harmonized and standardized taxonomy, and including additional  
1060 information on related aspects such as chronologies. In this way, they differ markedly from  
1061 simple data archives such as NOAA paleoclimate and Pangaea, which only provide file  
1062 storage. By creating a global interlinked database, Neotoma is providing the scientific  
1063 community with a powerful new analysis tool, and one that will allow analysis of not just  
1064 pollen data but all kinds of paleoecological information.

1065 The Global Charcoal Database holds hundreds of fire history records from six continents  
1066 mostly based on macro and micro-charcoal particles and on few black carbon accumulations  
1067 in diverse terrestrial and marine sediments. Successive global syntheses based on increasing  
1068 number of quantitative data demonstrated a strong relationship between fire and climate over  
1069 the past 21,000 years (Daniau et al., 2012; Marlon et al., 2016; Power et al., 2008). As  
1070 charcoal values vary by orders of magnitude between and within sites the data have to be  
1071 standardised to facilitate comparisons between sites and through time. A full description of  
1072 the procedure and details about the contents of the database can be found in Marlon et al.  
1073 (2008) and Power et al. (2010b). The current version of the database is now stored under the  
1074 MySQL environment at [gpwg.paleofire.org](http://gpwg.paleofire.org). The paleofire R package (Blarquez et al., 2014)

1075 allows easy access to the GCD data and manipulation of its contents to produce biomass  
1076 burning reconstructions for subsets of records.

1077 The ACER (Abrupt Climate Changes and Environmental Responses) project is an  
1078 international Focus Group of INQUA. ACER aims to understand the timing, frequency and  
1079 amplitude of the rapid climate variability, the so-called Dansgaard–Oeschger (D–O) cycles,  
1080 which occurred during the last glacial period (73–15 ka) and the feedback mechanisms  
1081 involved. Those rapid changes are similar in velocity and magnitude to those expected in the  
1082 21st-century. The global pollen and charcoal database released in 2017 (Sánchez Goñi et al.,  
1083 2017) aims specifically at examining the nature of the vegetation and fire responses. The  
1084 database includes 93 pollen records with a temporal resolution better than 1000 years, 32 of  
1085 these sites also provide charcoal records. In order to compare patterns of change from  
1086 different regions, harmonized and consistent chronology based mostly on radiometric dating  
1087 and additional tie points based on event stratigraphy below  $^{14}\text{C}$  dating limit or below  $^{14}\text{C}$   
1088 levels has been constructed for ninety six of these records.

1089 The ACER synthesis emphasized the scarcity of paleoclimatic records from the tropical  
1090 regions with enough resolution to investigate millennial-scale climate events (Harrison and  
1091 Goñi, 2010; Sánchez Goñi et al., 2017). The Latin American ACER (LaACER) project was  
1092 conceived to fill this gap by compiling and synthesizing data from the American tropics and  
1093 subtropics. Paleorecords of these regions may help understanding globally-important oceanic  
1094 and atmospheric systems in the climate variability since these regions are influenced by the  
1095 Pacific and Atlantic oceans, and by large-scale atmospheric features including the  
1096 Intertropical convergence Zone (ITCZ), El Niño-Southern Oscillation (ENSO), the North  
1097 American Monsoon (NAM) and the South American Summer Monsoon (SASM) (Garreaud  
1098 et al., 2009). LaACER sits within the Paleoclimate commission of INQUA, and was co-  
1099 sponsored by PAGES (Urrego et al., 2014).

<b>Acronym</b>	<b>Full name</b>	<b>Status</b>	<b>Website link</b>	<b>proxy</b>	<b>Key references</b>
APD	African Pollen Database	archive		pollen	Vincens et al. (2007)
EPD	European Pollen Database	Active	<a href="http://www.europeanpollen-database.net/data/">http://www.europeanpollen-database.net/data/</a>	pollen	Giesecke et al. (2014)
GCD	Global Charcoal Database	Active, MySQL	<a href="https://paleofire.org/">https://paleofire.org/</a>	charcoal	V1: Power et al. (2008) V2: Daniau et al. (2012) V3: Marlon et al. (2016) V4: in progress
ACER and LaACER		archived in Microsoft AccessTM at <a href="https://doi.org/10.1594/PANGAEA.8">https://doi.org/10.1594/PANGAEA.8</a>		Pollen and charcoal - focus on the last glacial period	Sánchez Goñi et al. (2017)

		<a href="#">70867.</a>			
Neotoma	Neotoma Paleoecology Database	Active	<a href="http://www.neotomadb.org">http://www.neotomadb.org</a>	Various paleoecological data including pollen	(Williams et al., 2018)

1101

1102 Table 3: List of databases including pollen and microcharcoal sedimentary records

1103

1104 **5. Glacial-interglacials cycles of the 100-ky world and the climatic shift of the Mid-**

1105 **Bruhnes event during the Middle Pleistocene**

1106

1107 **5.1 Vegetation**

1108

1109 For the last climatic cycle, vegetation reconstructions and simulations suggest that temperate

1110 forests dominated the mid-latitude landscape during the last interglacial while steppe and

1111 tundra largely expanded, south of a large area covered by ice-sheets, during the last glacial

1112 period (e.g. Harrison et al., 1995; Harrison and Prentice, 2003; Hoogakker et al., 2016). The

1113 interglacial-glacial cooling generated a fragmentation of the boreal and temperate forests.

1114 Their area of extent during the LGM was greatly reduced in Eurasia while they migrated

1115 southward in eastern North America. Temperature variations as well as the low CO<sub>2</sub>

1116 concentrations, which have a direct physiological effect on plants, strongly influenced the

1117 vegetation worldwide during the last glacial period (e.g. Bennett and Willis, 2000; Harrison

1118 and Prentice, 2003). For further details, the reader is directed to a set of review papers  
1119 making a state of the art knowledge on vegetation changes on each continent based on Late  
1120 Pleistocene pollen records (Bigelow, 2013; de Beaulieu et al., 2013; Hooghiemstra and  
1121 Berrio, 2013; Kershaw and van der Kaars, 2013; Lozhkin and Anderson, 2013; Meadows and  
1122 Chase, 2013; Thompson, 2013; Urrego et al., 2016). Hereafter, we will focus on vegetation  
1123 changes during the Middle Pleistocene, from 781 to 126 kyr ago.

1124 Several terrestrial and marine continuous pollen records covering only part of the Middle  
1125 Pleistocene exist. Here we review the long pollen sequences covering continuously the full  
1126 Middle Pleistocene. The most well-known terrestrial long pollen sequences were recovered in  
1127 the sixties and seventies in southern Europe and South America: Tenaghi Philippon and  
1128 Funza (Fig. 5 and 6). They yields a 1.35 Ma continuous history of the vegetation and climate  
1129 in the Philippi plain from Greece (Tzedakis et al., 2006; Van Der Wiel and Wijmstra, 1987a,  
1130 b; Wijmstra, 1969; Wijmstra and Smit, 1976) and a 2.25 Ma history of the tropical Andean  
1131 vegetation in the Bogotá high plain from Colombia (Hooghiemstra, 1989; Torres et al.,  
1132 2013), respectively. Over the past two decades, a huge effort was done in the frame of the  
1133 ICDP (International Continental scientific Drilling Program) to drill ancient lakes and recover  
1134 long terrestrial sedimentary archives. In particular, the Heqing lake drilling allowed  
1135 producing an outstanding pollen sequence recording the vegetation and Asian monsoon  
1136 variability in southwestern China over the last 2.6 Ma (Xiao et al., 2007; Xiao et al., 2010;  
1137 Zhisheng et al., 2011). Sedimentary archives going back to 1.8 and 1.2 Ma were also  
1138 collected from the tropical African lakes Bosumtwi and Malawi and pollen records were  
1139 generated for the last 540 and 600 kyr, respectively (Ivory et al., 2016; Ivory et al., 2018;  
1140 Miller and Gosling, 2014). In Brazil, a 50 m deep borehole drilled in 2017 at Lake Colônia  
1141 will allow studying the response of the Atlantic rainforest and fire to the South American  
1142 subtropical monsoon variability during the last 1.6 Myr (Ledru et al., 2015; Ledru,



1143 pers.comm.). At higher latitudes, pollen data from the famous Siberian drill sites Lake  
1144 El'gygytyn, Lake Baikal and Lake Hovsgol are also available. However, pollen data from  
1145 Lake El' El'gygytyn are so far available for selected periods only, mostly interglacial  
1146 periods (Melles et al., 2012; Wenwei et al., 2018)). In the Baikal region, although both  
1147 sedimentary archives are continuous, pollen records are not because of low pollen  
1148 concentrations in glacial sediments (Prokopenko et al., 2010; Prokopenko et al., 2009). A  
1149 global synthesis of the available marine pollen records (Sánchez Goñi et al., 2018) reveals  
1150 that among the 129 sites listed, 19 cover several climatic cycles, 8 go beyond the Mid-  
1151 Brunhes event with only 3 sites covering entirely the Middle Pleistocene. These sites are the  
1152 ODP site 1144 from the South China Sea (Sun et al., 2003), the ODP Site 646 off Greenland  
1153 (de Vernal and Hillaire-Marcel, 2008) and the IODP site U1385 from the SW Iberian margin  
1154 although pollen data are mostly available for interglacial periods, so far (Sánchez Goñi et al.,  
1155 2018). One additional site, the ODP site 1075 from the Congo fan, contains pollen data for  
1156 the interval 600-1050 kyr (Dupont et al., 2001). The Middle Pleistocene sequences reveal that  
1157 like the last climatic cycle, the past glacial-interglacial cycles forced repeated large biome  
1158 shifts.

1159 In southern Europe, the 100-kyr cycles are marked by the alternation of interglacial temperate  
1160 forest and glacial open vegetation as shown by the Tenaghi Philippon and the IODP site  
1161 U1385 pollen sequences but also in other southern European pollen sequences covering  
1162 several climatic cycles such as Ioannina and Kopais in Greece (Okuda et al., 2001; Tzedakis,  
1163 1993; Tzedakis et al., 1997; Tzedakis et al., 2006), Praclaux in France (de Beaulieu et al.,  
1164 2001; Reille et al., 2000), Lake Ohrid in Albania (Sadori et al., 2016), Valle di Castiglione in  
1165 Italy (Follieri et al., 1988) and cores MD99-2331/MD03-2697/MD01-2447 from the NW  
1166 Iberian margin and MD95-2042/MD01-2443 from the SW Iberian margin (e.g. Desprat et al.,  
1167 2017; Sánchez Goñi et al., 2018). During all interglacial Marine Isotopic Stage (MIS), two or

1168 even three major phases of forest expansion occurred, related with low ice volume (warm  
1169 MIS substages) and boreal summer insolation peaks. Despite small ice volume fluctuations  
1170 during the interglacial MIS, the temperate tree variations in the Mediterranean region are of  
1171 high amplitude due to the strong influence of precession on the Mediterranean vegetation  
1172 (Sánchez Goñi et al., 2018; Tzedakis, 2007). However, the most important forest phase often  
1173 occurs at the beginning of the MIS during the substage with the largest ice volume minimum,  
1174 corresponding to the interglacial *sensu stricto* (e.g. Desprat et al., 2017; Tzedakis, 2005). In  
1175 addition, emblematic constituents of ancient European forests, the so-called “Tertiary relicts”,  
1176 such as *Eucomia*, *Carya*, *Pterocarya*, *Cedrus* and *Tsuga*, became extirpated over Europe  
1177 during the Middle Pleistocene. Most of them disappeared from the Philippi plain during the  
1178 MIS 16 glacial period, setting the point from which less diverse interglacial forests, similar to  
1179 the modern one, established in southern Europe (Tzedakis et al., 2006).

1180 In south America, glacial-interglacial temperature changes forced cyclical altitudinal  
1181 migration of the montane forest and páramo, the open equatorial alpine vegetation, as shown  
1182 by the Funza sequence and the 280 kyr-long pollen record Fuquene (Groot et al., 2011;  
1183 Hooghiemstra and Sarmiento, 2001). However, the composition of interglacial Andean forest  
1184 changed over the last one million years. *Quercus* immigrated in the Bogota area at ~430 kyr  
1185 ago, during MIS 12, becoming a major constituent of the Andean forest since MIS 7,  
1186 competing at high altitudes with *Weinmannia* and *Podocarpus* and replacing *Polylepis* near  
1187 the upper forest limit (Torres et al., 2013).

1188 In Africa, marine pollen records such as the IODP site 1075 and the 700 kyr-long records  
1189 M16415-2 and GIK16867-3 located off tropical Africa, indicate that the extent of the major  
1190 vegetation formations also varies with the 100 kyr glacial-interglacial cycles although  
1191 migrations of the southern Saharan desert limit appear paced by obliquity. In addition, in the  
1192 tropics the rain forest fluctuated with summer insolation and precession, likely related with

1193 the orbital forcing of the monsoon variability (Dupont, 2011; Dupont and Agwu, 1992;  
1194 Dupont et al., 2001). At the orbital-scale, tropical lowland ecosystems drastically shifted from  
1195 woodland to savannah in the western Africa and from tropical forest to desert, steppe and  
1196 grassland vegetation in the eastern Africa in response to strong regional hydroclimatic  
1197 changes (Ivory et al., 2018; Miller et al., 2016b).

1198 While the MBE is clearly recorded by marine and ice archives, this event is not a clear  
1199 feature of pollen records. The amplitude of forest expansion does not appear higher during  
1200 the post-MBE interglacials in southern Europe pollen sequences (i.e. Tenaghi Philippon,  
1201 Tzedakis et al. (2006); IODP site U1385, Sánchez Goñi et al. (2018); Lake Ohrid, Sadori et  
1202 al. (2016)) nor in northern high latitudes (ODP 646, de Vernal and Hillaire-Marcel (2008))  
1203 and tropical Africa and South America (Dupont (2011); Funza, Torres et al. (2013)) records  
1204 (Fig. 6). In the Heqing basin, *Tsuga* percentages, an indicator of winter temperature and  
1205 annual temperature range, also do not display the MBE, although the XRF data show reduced  
1206 strength of the summer monsoon rainfall during the interglacial peaks of the last 400 kyr  
1207 likely related to strong inter-hemispheric interaction (Zhisheng et al., 2011). Simulations with  
1208 the LOVECLIM model showed that in comparison with pre-MBE interglacials, the post-  
1209 MBE interglacials are globally warmer mainly during boreal winter in response to both  
1210 higher atmospheric greenhouse-gas concentrations and increased insolation during this  
1211 season, in particular in the Southern Hemisphere (Yin and Berger, 2010). However, this  
1212 simulated warming differences exhibit a strong regional and seasonal pattern supporting that  
1213 some regions such as western Europe or tropical South America, were likely not affected by  
1214 the MBE or as strongly as the southern high latitudes where the largest difference is  
1215 displayed.

1216 Long pollen sequences also contribute to show that past interglacial periods of the past one  
1217 million years are diverse in terms of intensity, temporal trend, duration as well as spatial

1218 variability (Past Interglacials Working Group of PAGES, 2016; Tzedakis et al., 2017). For  
1219 instance, records from northern high latitudes clearly display this diversity in warming  
1220 strength, suggesting that some interglacial periods were particularly warm, such as the  
1221 “super-interglacial” MIS 11 (Melles et al., 2012). During this stage, the southern Greenland  
1222 ice-sheet collapsed (Reyes et al., 2014) allowing the expansion of the boreal conifer *Picea* in  
1223 that region usually devoid of trees (de Vernal and Hillaire-Marcel, 2008). In contrast, in the  
1224 mid-latitudes, the difference in warming intensity between interglacials appears tenuous as  
1225 shown by weak differences in temperate tree percentages in the European pollen records from  
1226 the NW Iberian margin and the Massif Central (de Beaulieu et al., 2001; Desprat et al., 2017)  
1227 Pollen records from the southern Iberian margin show that the magnitude of Mediterranean  
1228 forest development in the Iberian Peninsula substantially differed from one interglacial to  
1229 another suggesting that the amount of winter precipitation was quite variable (Desprat et al.,  
1230 2017; Sánchez Goñi et al., 2018). The interglacial hydroclimate variations observed in SW  
1231 Iberia do not, however, parallel with the high latitude warming strength. The regional  
1232 variability of the magnitude of temperature and hydroclimate change on land is a strong  
1233 feature given by the few available Pleistocene sequences. However, an accurate view and  
1234 understanding of the regional impact of climate changes during warm periods require suitable  
1235 sedimentary archives to be recovered and analysed in the future.

1236

1237 Figure 5

1238 Figure 6

1239

1240 **5.2 Fire**

1241

1242 The different syntheses of the Global Charcoal Database (Daniau et al., 2012; Marlon et al.,  
1243 2016; Power et al., 2008) showed that biomass burning varies closely with climate changes.  
1244 For example, biomass burning increased globally from the Last Glacial Maximum to the  
1245 Holocene (Fig 6d). Daniau et al. (2012) demonstrated this global increase in biomass burning  
1246 is controlled by rising mean annual temperature and moisture, temperature being the primary  
1247 control.

1248 Few biomass burning records document older glacial/interglacial transition. The synthesis of  
1249 twenty long records of charcoal, registering not only the Holocene but also the Eemian and  
1250 part of the last glacial period, suggested that biomass burning is generally high during  
1251 interglacials and low during glacials (Daniau et al., 2010). Similar results based on  
1252 microcharcoal analyses were observed more recently in Anatolia (Pickarski et al., 2015) and  
1253 Greece (Lawson et al., 2013), and in the Andes from a macroscopic charcoal record covering  
1254 the last ca. 370 kyr (Gosling William et al., 2009; Hanselman et al., 2011). Increases of  
1255 biomass burning during interglacials in the Andes appeared to be associated with increased  
1256 fuel load from the *Polylepis* woodlands expansion.

1257 However, other regions in the tropics and the subtropics illustrate the opposite trend in  
1258 biomass burning, i.e. high level of biomass burning during glacials or during the LGM  
1259 (Daniau et al., 2013; Inoue et al., 2018; Nelson et al., 2012). A clear cyclic pattern is  
1260 observed in southern Africa by a marine long microcharcoal record covering several  
1261 glacial/interglacial cycles over the last 180,000 - 30,000 years (Fig. 7c). Peaks in biomass  
1262 burning occur during each precession and local summer insolation maxima (Fig. 7b) (Daniau  
1263 et al., 2013). Under reduced monsoonal activity, dry and fuel-limited interglacials would have  
1264 reduced fire activity compared to glacials characterized by enhanced precipitation supporting  
1265 grass-fueled fires. This hypothesis was confirmed by modeling (Wuillez et al., 2014) and by  
1266 vegetation observation (Urrego et al., 2015). Grass-fueled fires were also suggested to be

1267 higher under colder climates in China based on the analysis of the black carbon content,  
1268 another fire proxy, from loess sequences (Wang et al., 2005).

1269 Two long terrestrial charcoal records older than the MBE exist yet. One is from tropical  
1270 woodland (lake Malawi, eastern Africa) and covers the last 1.2 million years (Ivory et al.,  
1271 2016). Charcoal record was only interpreted for the last 600,000 years and the study  
1272 suggested that fire activity was a component of the ecosystem since the beginning of MIS7  
1273 and a driver of the decline in species richness at 80,000 years (Ivory et al., 2018). Presence of  
1274 charcoal is also reported in the lake Bosumtwi sequence covering the last 540,000 years,  
1275 located in the tropical rainforest region of West Africa (Miller et al., 2016a) but no charcoal  
1276 time series were presented in this paper.

1277 The longest published record of fire covering the last one million years is from Bird and Cali  
1278 (1998) but is a measure of organic resistant elemental carbon (OREC). Originally, they  
1279 reported peaks in fire activity at the transitions from interglacial to glacial modes since MIS  
1280 13. The only peak during a full interglacial occurred at MIS 1 and was interpreted as of  
1281 anthropogenic origin because no peak in fire was observed during other past interglacials.  
1282 They later published a revised chronology of the record (Bird and Cali, 2002) showing that  
1283 fire also peaked during the interglacial MIS7; their anthropogenic influence hypothesis from  
1284 their original paper being then challenged. The microcharcoal analyses (Daniau A-L, work in  
1285 progress) on the IODP site U1385 from the SW Iberian margin (Sánchez Goñi et al., 2018)  
1286 covering the last one million years will allow exploration of patterns of fire at orbital-scale in  
1287 relation to changes in vegetation and hydrology in a region considered highly vulnerable to  
1288 future fires (Settele et al., 2014).

1289

## 1290 **6. Millennial-scale changes during the last glacial period**

1291

## 1292 **6.1 Vegetation**

1293

1294 About 200 pollen records cover the last glacial period worldwide, but only half have  
1295 sufficient resolution and dating control to show millennial-scale variability (Harrison and  
1296 Goñi, 2010). Here we illustrate patterns of vegetation in response to Dansgaard-Oeschger  
1297 events (D-O) focusing on Europe, North America, Africa and South America obtained from  
1298 the synthesis of the ACER International Focus group in 2010 (Fletcher et al., 2010; Hessler et  
1299 al., 2010b; Jimenez-Moreno et al., 2010; Sanchez Goñi and Harrison, 2010). Those patterns  
1300 are based on changes in biomes, defined by original authors as pollen percentages of certain  
1301 taxa. The recent published harmonised chronology (Sánchez Goñi et al., 2017) and the  
1302 application of biomisation on those records (Harrison S, pers. com) will allow a deeper  
1303 analysis of the impact of rapid climate change on the land biosphere.

1304 In Europe, high temporal resolution terrestrial, such as Lago Grande di Monticchio in Italy  
1305 (Allen et al., 1999) or Tenaghi Philippon in Greece (Müller et al., 2011), and marine pollen  
1306 records (for instance MD95-2042, Fig 6h, (Sánchez Goñi et al., 2000b)) reveal changes in  
1307 vegetation cover and composition on millennial timescales during the last glacial period.  
1308 Continuous records spanning the entire last glacial are concentrated in the Mediterranean  
1309 region and southern Europe, while records from central and northern Europe tend to be  
1310 fragmentary (Feurdean et al., 2014; Fletcher et al., 2010). Greenland Interstadials (GI) were  
1311 associated with relatively warm and humid conditions over Europe, promoting the  
1312 establishment of grassland and shrub tundra in northwestern Europe, shrub-and forest-tundra  
1313 in northeastern Europe, open boreal forest in central western Europe and the Alpine region,  
1314 and open temperate forest in southern Europe (Fletcher et al., 2010). Greenland Stadials (GS)  
1315 or Heinrich Stadials (HS) were marked by cool and dry stadials over Europe and by the  
1316 expansion of xerophytic and steppe taxa (Fletcher et al., 2010). Marine pollen records from

1317 the Atlantic and Mediterranean furthermore play a vital role in demonstrating the effective  
1318 synchrony of atmospheric and oceanic signals of D-O variability (Naughton et al., 2009;  
1319 Roucoux et al., 2005; Sánchez Goñi et al., 2002; Sánchez Goñi et al., 2000b), since  
1320 vegetation changes typically display a one-to-one match with millennial-scale changes in  
1321 marine paleoclimate tracers such as foraminiferal assemblages, organic biomarkers or ice-  
1322 rafted detritus (in the Atlantic) (Sánchez Goñi et al., 2018). In addition, high temporal  
1323 resolution records from the Iberian margin show that vegetation/climate response to Heinrich  
1324 Stadials (HS) is even more complex, marked by two or three phases (Fletcher and Sanchez  
1325 Goñi, 2008; Naughton et al., 2007; Naughton et al., 2009; Naughton et al., 2016). In  
1326 particular the last HS (HS1) (Oldest Dryas on the continent; Naughton et al. (2007)) is  
1327 marked by three synchronous main phases in regions directly influenced by the North  
1328 Atlantic: a first phase with extremely cold/relatively wet conditions, a second phase  
1329 characterized by cool/dry conditions, and a last phase with relatively warmer/increasing  
1330 moisture availability. Both the Atlantic Meridional Oceanic Circulation slowdown and  
1331 changes in the strength and position of North Atlantic westerlies could explain the  
1332 temperature and moisture variability within HS 1 in western Iberia (Naughton et al., 2009;  
1333 Naughton et al., 2016).

1334 In North America, vegetation responded rapidly also to millennial-scale variability but the  
1335 absolute phasing of this response to Greenland atmospheric surface temperatures is uncertain.  
1336 Altitudinal movements of climate-sensitive plant species occurred in the western part  
1337 characterised by more mountainous regions while the southeast showed latitudinal shifts in  
1338 vegetation (Jimenez-Moreno et al., 2010). The YD signature is spatially variable. It is clearly  
1339 marked by a cold reversal in pollen records from the northwest and northeastern North  
1340 America (Petee, 1995; Shuman et al., 2002b; Whitlock and Brunelle, 2007). Toward the  
1341 continental interior, in the Midwest, pollen records do not display vegetation reversal during



1342 the YD but distinct plant associations from earlier and later time periods suggesting with  
1343 warmer than before summers and colder than before winters. This vegetation patterns is  
1344 likely related to the unique combination of forcings (Shuman et al., 2002a). In contrast,  
1345 warmer and wetter conditions during the YD and the HS are recorded in Florida at Lake  
1346 Tulane (Grimm, 2006). The YD atypical warming is even detected up to the Virginia  
1347 Appalachians, at Browns Pond, although at the same latitude, the Chesapeake Bay pollen  
1348 record indicates cooler and drier conditions close to the coast (Kneller and Peteet, 1999;  
1349 Willard, 2013).

1350 Tropical Africa and South America present few high resolution records covering the full  
1351 glacial period. The compilation of Hessler et al. (2010a) based on about 16 pollen records  
1352 showed that the vegetation signature of HS and GI can be opposite between the northern and  
1353 southern parts of the region influenced by the ITCZ. The influence of the ITCZ is particularly  
1354 well evidenced in northeastern Brazil where a rain forest development during the HS 1 is  
1355 related to an ITCZ southward shift (Ledru et al., 2006). Furthermore, concomitant lowland  
1356 vegetation changes between this region and western Patagonia reveal that the ITCZ and the  
1357 southern westerlies shift together through an atmospheric teleconnection regulated by the  
1358 Atlantic Meridional Oceanic Circulation variations (Montade et al., 2015). The recent study  
1359 of Urrego et al. (2016) identified rapid responses of the tropical vegetation to millennial-scale  
1360 climate variability in the Andean tropics. They found that Andean forest migrations as far as  
1361 16.5° south of the Equator displayed millennial-scale climate oscillations closely linked to the  
1362 Greenland ice core (Wolff et al., 2010) and North-Atlantic records (Martrat et al., 2007). The  
1363 signature of HS and the Younger Dryas were generally recorded as downslope migrations of  
1364 Andean forest and likely linked to air temperature cooling. The GI 1 signal is overall  
1365 comparable between northern and southern records and indicates upslope Andean forest  
1366 migrations and warming in the tropical Andes. Cooling during Northern-hemisphere stadials

1367 and warming during interstadials recorded by Andean vegetation was consistent with  
1368 millennial-scale fluctuations of  $\delta^{18}\text{O}$  in the Sajama ice cap and UK'37-derived sea surface  
1369 temperature (SST) changes in the western tropical Atlantic. However, differences in  
1370 amplitude between the SST and forest migrations suggested a potential difference between  
1371 the magnitude of temperature change in the ocean and the atmosphere that could be related to  
1372 the thermal inertia of the oceans. Together these findings suggest that the precipitation  
1373 signature of millennial-scale events follows the predicted migration of major atmospheric  
1374 systems and circulation cells, but the temperature signature is driven by Northern-  
1375 Hemisphere fluctuations.

1376

## 1377 **6.2 Fire**

1378

1379 Sixty seven sedimentary charcoal records (30 sites with better than millennial resolution)  
1380 which have records for some part of the last glacial period were compiled to examine changes  
1381 in global biomass burning to rapid climate changes associated with Dansgaard–Oeschger (D-  
1382 O) cycles (Daniau et al., 2010). This synthesis indicated that biomass burning increased  
1383 during D-O warming events and decreased during intervals of cooling, including the Heinrich  
1384 stadials. In addition, this analysis showed that biomass burning responded extremely quickly  
1385 to rapid climate changes, within a few hundred years. At global scale, a vegetation  
1386 productivity forcing was speculated to explain increases (decreases) in fire during D-O  
1387 warming (cooling) because vegetation itself responds to rapid millennial-scale changes  
1388 (Daniau et al., 2010). At regional scales, this vegetation productivity hypothesis is clearly  
1389 illustrated by a marine record documenting south-western Iberian Peninsula vegetation (Fig.  
1390 7h, Sánchez Goñi et al. (2000b)) and fire (Fig. 7g, Daniau et al. (2007)). Variations in fire  
1391 dynamics are related to changes in fuel type and quantity due to shifts between semi-desert

1392 vegetation during D-O stadials and Heinrich stadials and Mediterranean forest during D-O  
1393 interstadial (Daniau et al., 2007).

1394 Other proxies like ammonium from Greenland ice cores also exhibit D-O cycles, with  
1395 increases in fire in North America during GI (Fig 7e, Fischer et al. (2015)). A pattern of D-O  
1396 cycles is also observed in the Australasian region (Mooney et al., 2011).

1397 The Younger Dryas (~12.9 – 11.6 thousand years ago) was the last of a series of abrupt  
1398 deglacial climate events and its end marks the beginning of the Holocene. It is sometimes  
1399 considered the most recent D-O event, but it is not thought to be caused by ice-rafted debris  
1400 and so is different. Charcoal records across North America were synthesized for the YD  
1401 interval in part to address a hypothesis that a large comet impact caused the climate change  
1402 and set of continent-wide wildfires. The fire synthesis (Marlon et al., 2009) provided no  
1403 evidence for this, but did indicate widespread fire activity at both the beginning and end of  
1404 the YD interval, suggesting that fire increases when large and rapid climate changes and  
1405 associated ecosystem reorganizations occur.

1406 In the central American lowlands, fire activity increased during Greenland stadials and  
1407 decreased during interstadials (Correa-Metrio et al., 2012) although the direction of this  
1408 relationship is heavily dependent on the chronology.

1409

1410 Figure 7

1411

## 1412 **7. Post-glacial vegetation and fire changes**

1413

### 1414 **7.1 Europe**

1415

1416 At the beginning of the Holocene, the higher summer insolation, rising greenhouse gas levels  
1417 and retreating residual LGM ice-sheets caused a general warming of the climate that  
1418 encouraged the spread of early-successional trees such as birch and pine across Europe and  
1419 the arrival of other arboreal taxa from their glacial refugia. This post glacial development led  
1420 to a rapid change in the abundance and composition of plant taxa (Birks and Birks, 2008;  
1421 Marquer et al., 2014). The mid-Holocene represented the warmest period of the Holocene  
1422 over higher latitudes of the Northern Hemisphere, and in Europe was characterized by the  
1423 spread northward of temperate deciduous trees (e.g. hazel, elm, lime, oak and Alder; Birks,  
1424 1986). Over southern Europe, temperate deciduous vegetation also expanded during the mid-  
1425 Holocene (Brewer et al., 2017; Collins et al., 2012; Davis et al., 2015; Prentice et al., 1996),  
1426 indicative of cooler and wetter summers at this time over most (Davis and Brewer, 2009;  
1427 Davis et al., 2003b; Hessler et al., 2014; Huntley and Prentice, 1988; Mauri et al., 2015; Wu  
1428 et al., 2007), but not all of the region (Samartin et al., 2017). From the mid-Holocene, the  
1429 climate cooled over northern Europe and generally warmed over southern Europe, following  
1430 a decline in summer insolation (Imbrie et al., 1992) and weakening of the Earth's latitudinal  
1431 temperature and insolation gradient (Davis and Brewer, 2009). In the circum-Mediterranean  
1432 lands, forest expansion was not limited by distance to glacial refugia and where moisture  
1433 levels permitted, forests developed rapidly in the early Holocene, or indeed had already  
1434 developed during the Late Glacial (e.g. Allen et al., 2002; Fletcher and Sanchez Goñi, 2008).  
1435 In drier settings, including Mediterranean North Africa, steppic, scrub and open woodland  
1436 landscapes often persisted into the Early Holocene (Campbell et al., 2017), delaying the  
1437 maximum development of mixed Mediterranean and temperate forest cover until the later  
1438 Early Holocene and Mid-Holocene. From the Mid-Holocene (ca. 5 ka), forests declined in the  
1439 Western Mediterranean associated with a gradual aridification trend (Carrión et al., 2010;  
1440 Chabaud et al., 2014; Fletcher and Sanchez Goñi, 2008), while in the central Mediterranean

1441 compositional changes including increase in Mediterranean elements is recorded (Allen et al.,  
1442 2002; Desprat et al., 2013).

1443 The development of pollen records at high sampling resolution, with strong dating control  
1444 and often in a multiproxy investigative framework has also yielded a major contribution to  
1445 the understanding of Holocene vegetation responses to climate on short timescales. Pollen  
1446 records have played an important role in evaluating the speed of ecosystem response to global  
1447 climate change at the onset of the Holocene. They reveal that vegetation responses to major  
1448 climatic perturbation initiated within a few decades, on timescales similar to those observed  
1449 in fast-reacting tracers such as the Greenland ice cores (Birks and Ammann, 2000). High-  
1450 resolution pollen records also reveal vegetation responses to rapid climate changes during the  
1451 Holocene. The 8.2 ka abrupt cooling event has been widely detected, for example (Ghilardi  
1452 and O'Connell, 2013; Pross et al., 2009; Tinner and Lotter, 2001). Numerous pollen records  
1453 also reveal that the 8.2 ka event is not unique, but rather one of several recurrent Holocene  
1454 cooling events which impacted on vegetation development. For example, in the Western  
1455 Mediterranean pollen records reveal multiple early Holocene climatic perturbations, as well  
1456 as pervasive variability associated with the North Atlantic Bond Events (Burjachs et al.,  
1457 2016; Pèlach et al., 2011; Pérez-Sanz et al., 2013; Ramos-Román et al., 2018). More widely,  
1458 especially sensitive dynamics can be observed at ecological margins, and pollen records are  
1459 valuable for reconstructing climate impacts at mountain timberlines (Feurdean et al., 2016;  
1460 Haas et al., 1998). At the sub-continental spatial scale, pollen records furthermore support the  
1461 identification of oscillating behaviour in atmospheric circulation systems, thus contributing to  
1462 the understanding of past dynamics of important modes of variability such as the North  
1463 Atlantic Oscillation (Di Rita et al., 2018; Fletcher et al., 2013). Against the wealth of pollen  
1464 evidence for sensitive and rapid vegetation response to Holocene climate variability can also  
1465 be set important examples of resilience and inertia (Aranbarri et al., 2014) which can

1466 ultimately help to refine the understanding of the vegetation-climate interactions at the  
1467 regional to global scale.

1468 Pollen-based land cover reconstructions (REVEALS approach) show a decline in forest cover  
1469 from ca. 6 cal kyr BP in temperate and northern Europe, while arable land indicators (such as  
1470 cereals) become recurrent through time (e.g. Fyfe et al., 2015; Marquer et al., 2017; Marquer  
1471 et al., 2014; Roberts et al., 2018) (Fig. 8). This landscape openness at a sub-continental scale  
1472 is caused by intense Neolithic land clearance for agriculture practices. The impact of land-use  
1473 is gradually increasing from the early farming (ca. 7.4 cal ka BP in Central Germany;  
1474 (Shennan et al., 2013) to the last century. During the second half of the Holocene, human  
1475 pressure increases and results in a spread of arable land, pastures and intensification of  
1476 deforestation in most of Europe (e.g. Fyfe et al., 2015; Marquer et al., 2017; Ruddiman et al.,  
1477 2016; Zanon et al., 2018). Land use is then regarded as the primary driver of this decline in  
1478 forest cover although the role of climate should not be dismissed (Marquer et al., 2017).

1479 Identifying the relative role of climatic and anthropogenic forcing of vegetation changes on  
1480 different temporal and spatial scales is actually a great challenge based on pollen data  
1481 (Kuosmanen et al., 2018; Marquer et al., 2018; Marquer et al., 2017; Reitalu et al., 2013).

1482 The major anthropogenic impacts occur during the last century, although vegetation still  
1483 remains climatically sensitive (Marquer et al., 2017). In addition, pollen-based REVEALS  
1484 modelling (Marquer et al., 2014) indicates an underestimation of grassland cover and later  
1485 timing of landscape openness during the Bronze Age in temperate and northern Europe  
1486 compared to the use of untransformed pollen data (pollen proportion). Deforestation was  
1487 therefore more important and started earlier than previously thought. The use of the  
1488 REVEALS model also provides a deeper understanding of the vegetation rate of changes and  
1489 the changes in vegetation composition and diversity over time and space.

1490

1491 Figure 8

1492

1493 Over the past 30 years, charcoal-based paleofire studies have largely developed in Europe  
1494 because of raising awareness about the importance of fire as both a tool for human-driven  
1495 landscape transformation and a major ecological factor closely linked to climate and  
1496 vegetation. This research provided crucial methodological advances like the calibration of the  
1497 sedimentary charcoal records (Adolf et al., 2018a; Tinner et al., 1998; Tinner et al., 2006),  
1498 but above all a more comprehensive understanding of human-driven fires imprints on  
1499 forested ecosystems (Tinner et al., 2005), of the mechanisms causing the decline of certain  
1500 key species (Morales-Molino et al., 2017b; Tinner et al., 1999), and of the connections  
1501 between climate variability and fire regimes in previously assumed low flammability  
1502 temperate areas (Clark et al., 1989).

1503 Charcoal-based studies made a major contribution to the assessment of the chronology and  
1504 relevance of fire use as a landscape management tool. The first evidence of forest clearance  
1505 by fire is usually related to the timing of agriculture arrival to the different European regions:  
1506 e.g. ca. 8 cal kyr BP at the Mesolithic-Neolithic transition in Italy (Vanni ere et al., 2008), 6.5  
1507 cal kyr BP in south-western France (Rius et al., 2009), 5.7 cal kyr BP in southern Germany  
1508 (Clark et al., 1989) and ca 4 cal kyr BP in southern Sweden (Olsson et al., 2010). Significant  
1509 vegetation changes such as the decline of several keystone tree species like holm oak  
1510 (*Quercus ilex*) (Colombaroli et al 2009) and silver fir (*Abies alba*) in the southern Alps and  
1511 Tuscany were attributed to the early human use of fire (Colombaroli et al., 2007; Henne et al.,  
1512 2013; Tinner et al., 1999). Climate remains however the main driver of fire regimes at  
1513 regional to continental scales until the last millennia (Marlon et al., 2013). For instance,  
1514 increased fire activity between 11.7 and 6 cal kyr BP was related to stronger boreal summer  
1515 insolation and/or summer drought (Power et al., 2008) while the decreasing fire

1516 activity/frequency recorded since the mid-Holocene is attributed to decreasing seasonality  
1517 and/or wetter summers (Vanni re et al., 2011) (Rius et al., 2011). The role of fire, of either  
1518 anthropogenic or natural origin, in the expansion of some emblematic tree species such as  
1519 *Fagus sylvatica* (e.g. Giesecke et al., 2007; Tinner and Lotter, 2006; Valsecchi et al., 2008),  
1520 on forest composition (Carri n, 2002; Carri n et al., 2003; Gil-Romera et al., 2010), and on  
1521 the historical vegetation dynamics of currently protected areas (Morales-Molino et al., 2017a)  
1522 (Morales-Molino et al., 2017b) remains matter of debate.

1523 The paleofire research has eventually made available a large dataset of individual charcoal  
1524 and fire frequency records that has enabled a more comprehensive assessment of fire-  
1525 vegetation-climate-human activities linkages at the continental scale. Recently, a synthesis of  
1526 around 20 high-resolution charcoal records from Portugal to Romania (Vanni re et al., 2016)  
1527 highlighted that European fire regimes strongly depend on elevation, which underlines the  
1528 crucial role of vegetation productivity (biomass availability) of temperate and Mediterranean  
1529 biomes on fire activity at millennial timescales. This work also showed that fire frequency  
1530 peaked at *ca* 7-6 cal kyr BP at the European scale, and remained stable at high level from 4  
1531 kyr BP onwards, while burned biomass followed an inverse pattern. The decrease in southern  
1532 European biomass burning since 7 ka is in line with both orbitally-induced climate cooling  
1533 and reduction in biomass availability because of land use.

1534

## 1535 **7.2 North America**

1536

1537 Due to a long history in palynological research in North America, more than 1 300 pollen  
1538 sequences are included in the Neotoma paleoecology database (Williams et al., 2018)  
1539 covering entirely or part of the last 20 kyr, although most of them only contain the Holocene  
1540 period. Several state of the art papers aim at retracing the North American postglacial



1541 vegetation history, such as Gavin and Hu (2013), Wigand (2013), Whitlock and Brunelle  
1542 (2007) and Whitlock (1992) for the western part of the sub-continent, Williams and Shuman  
1543 (2013), Willard (2013), Grimm and Jacobson Jr (2004), Webb et al. (2003), Davis (2015),  
1544 Davis (1984), Naughton et al. (2015) and Blarquez and Aleman (2016) for the eastern part  
1545 and Bigelow (2013) for regions above 60°N. In North America, the deglacial warming (19-11  
1546 ka) along with the retreat North American ice-sheets resulted in large range shifts of  
1547 terrestrial ecosystems. Pollen records reveal a northward and east-west expansion of arboreal  
1548 taxa in NE North America. For instance, northern pines and spruce began their northward  
1549 migration while mesic and cool-temperate deciduous tree taxa expanded in the southeastern  
1550 United States after 17 cal ka BP (Willard, 2013). These cold-tolerant conifers expanded with  
1551 *Betula* and *Alnus* in regions formerly occupied by the Laurentide ice-sheet in the NE North  
1552 America while *Pinus*, *Artemisia* and *Ambrosia* migrated eastward. In the northwestern, high-  
1553 elevation mesophytic forest taxa expanded to the west of the Cascade Range and Sub-Alpine  
1554 parkland to the east when warmth and humidity increased and the Cordilleran ice-sheet  
1555 retreated (at ~16 cal ka BP), while temperate arboreal taxa only appeared at ~14.5 cal ka BP  
1556 associated with Sub-Alpine species (Whitlock and Brunelle, 2007). This plant association no  
1557 longer exists today in North America. No-analog pollen assemblages characterized by high  
1558 abundances of the conifers *Picea* and *Larix*, the hardwoods *Ulmus*, *Ostrya/ Carpinus*,  
1559 *Fraxinus* and *Quercus*, and sedges are widely recorded in the Midwest during the late glacial  
1560 (17-12 ka BP). This unusual taxa combination is known as mixed parkland or spruce  
1561 parkland resulting from the individualistic plant response to the no-analog climate conditions  
1562 characterizing the last deglaciation and helped, at least in some areas, by megafaunal  
1563 population decline (Jackson and Overpeck, 2000; Williams and Shuman, 2013; Williams et  
1564 al., 2001).

1565 In the eastern North America, the pollen records reveal vegetation shifts in response to the  
1566 Younger Dryas-Holocene transition warming. *Quercus* became the dominant forest element  
1567 in the oak-pine association developing in the southeast due to warmer but persistent dry  
1568 conditions while in the northeast, white pine, oak and hemlock expanded in areas formerly  
1569 occupied by cold-tolerant taxa in response to increased warmth and moisture availability (e.g.  
1570 Naughton et al., 2015; Willard, 2013). In the northeast, temperature and humidity continues  
1571 to increase in the northern areas to reach a maximum during the Mid-Holocene as suggested  
1572 by the expansion of pine in New England and oak with some mesic tree taxa toward the south  
1573 during the Early Holocene followed by hemlock and beech during the Mid-Holocene  
1574 (Naughton et al., 2015; Williams and Shuman, 2013). In the southeast, the increase in  
1575 humidity only occurred in the Mid-Holocene as indicated by the widespread oak-dominated  
1576 forest decline and pine expansion. Pollen based reconstructions support stronger than present  
1577 annual precipitation at 6 ka for most of the eastern North America (Bartlein et al., 2011). The  
1578 Mid-Holocene wet conditions were interrupted a few millennia later, which resulted in the  
1579 replacement of pine by oak in the south and likely the well-known hemlock sudden decline  
1580 widely recorded at 5.5 ka in the northeastern US, although a pest outbreak cannot be  
1581 discarded (Williams and Shuman, 2013 and references therein). Eastern North American  
1582 pollen records indicate that dry conditions persisted into the Late Holocene. The Late  
1583 Holocene increased humidity suggested by vegetation changes in Northeast (NE) US (e.g.  
1584 Naughton et al., 2015) is supported by tree ring, varve thickness and lake level data (Marlon  
1585 et al., 2017). A cooling trend in this region over the last 2.5 millennia is also shown by  
1586 pollen-based summer and annual temperature reconstructions (Marlon et al., 2017 and  
1587 reference therein). Noticeable human impact on vegetation began with the European  
1588 colonization 500 years ago, through land clearance practices as shown the large reduction in  
1589 tree cover and expansion of *Ambrosia* recorded in eastern North America.

1590 In the western North America, the Holocene vegetation changes present a complex pattern  
1591 due to the heterogeneity of landscape and climatic influences. A main feature revealed by  
1592 pollen records is that Early Holocene contrasting hydrological changes between the northern  
1593 and southern regions. Drier (and warmer) than present summers are recorded in the Pacific  
1594 Northwest to southeastern Alaska and over much of the Northern Rocky Mountains and  
1595 wetter than present conditions in the American Southwest and the summer-wet regions of the  
1596 Rocky Mountains in response to stronger summer monsoons (Whitlock and Brunelle, 2007).  
1597 In the Great Basin, drought-tolerant shrub communities established in the Early Holocene  
1598 associated to drier conditions than today (Jimenez-Moreno et al., 2010; Wigand, 2013).  
1599 Pollen-based quantitative reconstructions show lower temperatures at 6 ka than at present and  
1600 the anomaly also appears stronger in the southwest likely related to the cloud cover generated  
1601 by the monsoonal circulation (Bartlein et al., 2011). From the middle to late Holocene,  
1602 western North American pollen records indicate cooler conditions along with increased  
1603 humidity in the north and dryness in the south likely related to a weakening of the summer  
1604 monsoon (Whitlock and Brunelle, 2007).  
1605 The North American vegetation also responded to higher frequency climatic changes during  
1606 the Holocene. For instance, the 8.2 ka abrupt cooling event is clearly detected in NE pollen  
1607 North America (Shuman et al., 2002a). Pollen based temperatures reconstructions show  
1608 warmer conditions during the Medieval Climate Anomaly than during the Little Ice Age  
1609 across North America (Viau et al., 2012). Even though differences are subtle, both events are  
1610 also detected in other proxy-derived reconstructions (Marlon et al., 2017).  
1611 Reconstructions of biomass burning from charcoal-based syntheses in four broad regions of  
1612 North America are generally marked by a gradual, persistent increase in biomass burning  
1613 during the Holocene except for the north eastern boreal forest (Blarquez et al., 2015). There  
1614 were large deviations from this general trend in the early Holocene, however, with

1615 millennial-scale intervals of high fire activity that often started and ended very abruptly  
1616 (Marlon et al., 2013). For example, in the northwestern boreal forests, there was relatively  
1617 high fire activity from 12-10 ka, and in the northeast, there was widespread, intensive fire  
1618 activity from about 10-8 ka as compared with later intervals. In contrast, fire activity was  
1619 very low in the west at that same time as compared with late-Holocene fire activity. All  
1620 regions showed gradual increases during the middle Holocene, and only the continent's  
1621 interior grass and woodlands show maximum Holocene burning prior to 2 ka – here fire was  
1622 highest at 4 ka and has subsequently declined. Anthropogenic effects on fire are obvious in  
1623 the biomass burning records during the past century, but the 4-ka peak in burning in the  
1624 central region likely reflects anthropogenic activity as well.

1625

### 1626 **7.3 South America**

1627

1628 Evidence of Holocene environmental change from tropical South America showed that the  
1629 continent was impacted by significant changes in precipitation and intensified human  
1630 occupation (Prado et al., 2013; Smith and Mayle, 2018; Urrego et al., 2009). A mid-Holocene  
1631 drought (MHD) is recorded in the Andes, the savannas and Amazonia (Baker et al., 2001;  
1632 Berrío et al., 2002; Bush et al., 2007; Mayle et al., 2000; Paduano et al., 2003; Rowe et al.,  
1633 2002; Urrego et al., 2013b) and had a significant impact in the climate and fire regimes of the  
1634 region. Multiple records indicate lowering of lake levels and reductions in sediment  
1635 accumulation or sedimentary hiatuses (Bush et al., 2007). However, mesic forest prevailed  
1636 around sites located in the core of Amazonia and the eastern flank of the Andes (e.g. Bush et  
1637 al., 2007; Urrego et al., 2010; Urrego et al., 2013a) while savanna vegetation and dry forest  
1638 expanded in seasonal parts of South America or nearby ecotones, (e.g. Berrío et al., 2002;  
1639 Berrío et al., 2002; Mayle et al., 2000). The MHD is also reported in subtropical South

1640 America by paleo-data syntheses predominantly based on pollen records (Prado et al., 2013;  
1641 Smith and Mayle, 2018). In particular, the replacement of wet forests by shrubs and  
1642 grasslands are frequently observed in southeastern Brazil during the mid-Holocene (e.g.  
1643 Behling and Safford, 2010). Only in Northeast Brazil is evidenced an opposite trend, with  
1644 more humid conditions during the mid-Holocene than during the late Holocene (Smith and  
1645 Mayle, 2018). Precipitation generally increased during the late Holocene and multiple studies  
1646 have revealed the interplay between changing climate conditions and human occupation.  
1647 Southward, in temperate regions, records of past vegetation mainly from western Patagonia  
1648 generally start after the retreat of the Patagonian Ice Sheet fully extended over the lands  
1649 during the Last Glacial Maximum (Bennett et al., 2000; Heusser, 1995; Moreno et al., 1999)  
1650 (Abarzúa et al., 2004; Fontana and Bennett, 2012; Haberle and Bennett, 2004; Markgraf and  
1651 Huber, 2010; Villa-Martínez and Moreno, 2007). At these southern latitudes, vegetation  
1652 changes followed the same climate trends evidenced by Antarctic ice core records. In  
1653 particular, the development of *Nothofagus* forest during the warming of the last deglaciation  
1654 is interrupted by a cold and wet event contemporaneous with the Atlantic Cold Reversal  
1655 before to reach Holocene climate conditions (Montade, 2011; Moreno and Videla, 2016).  
1656 Starting from ca. 11.5 ka, the early Holocene is marked by a development of thermophilous  
1657 tree taxa characterizing a warm and dry phase in northwest Patagonia (Montade et al., 2012;  
1658 Moreno, 2004). A subsequent expansion of the cold-resistant conifers from 7.5 ka indicate  
1659 more variable climate conditions superimposed on a cooling trend associated with an increase  
1660 in precipitation during the mid-Holocene that has persisted until the present (Henríquez et al.,  
1661 2015). Further south, in southwest Patagonia (>50°S), the forest-steppe ecotone shifts in  
1662 lowlands show also a precipitation decrease during the early Holocene followed by a  
1663 precipitation increase (Fletcher and Moreno, 2011; Moreno et al., 2010). This pattern  
1664 suggests a co-variability of moisture changes through western Patagonia related to reduced

1665 intensity of the southern westerlies during the early Holocene and a sustained increase  
1666 afterward. However several paleoenvironmental records from southwestern Patagonia  
1667 indicate a different pattern characterized by wetter/windier conditions at the beginning of the  
1668 Holocene followed by intermediate conditions to reduced precipitation from the mid- to late  
1669 Holocene (Kilian and Lamy, 2012; Lamy et al., 2010). Resolving these inconsistencies in  
1670 southwest Patagonia still needs more high-resolution records of past vegetation and climate  
1671 dynamics.

1672 Synthesis of fire records in South America since the LGM (Power et al., 2010a) show that  
1673 fire regimes increased during the late glacial and towards the Holocene. Such an increase in  
1674 continental-scale fire activity was linked to precipitation seasonality and suggests that fires  
1675 were mostly likely natural (Power et al., 2010a). However, precipitation seasonality explains  
1676 only part of the observed variability highlighting the importance of taking fuel availability  
1677 into account.

1678 The mid-Holocene dry event also had a significant impact in fire regimes in tropical South  
1679 America. Urrego et al. (2013a) compiled fire records from forest, savanna and ecotone sites  
1680 in western Amazonia. This regional synthesis showed increased fire activity in forest sites at  
1681 around 9, 6 and 3 ka (Urrego et al., 2013a). The 6-ka fire peak seems the most consistent  
1682 amongst sites and largely coincides with the MHD. Regional drought during mid-Holocene  
1683 resulted in increased fire activity in sites where fuel was available. Increased fire activity was  
1684 also observed in savanna sites during the late Holocene and was probably associated with  
1685 human occupation (Maezumi et al., 2018; Urrego et al., 2013a; Watling et al., 2017). Finally,  
1686 multiple fire records in lowland Amazonia consistently show a signal of fire suppression  
1687 around 1500 AD when pre-columbian populations decreased due to the arrival of European  
1688 colonizers (Burbridge et al., 2004; Bush et al., 2000; Bush et al., 2007; Mourguiart and  
1689 Ledru, 2003; Urrego et al., 2013a).

1690

1691 **7.3 Central and West Africa**

1692

1693 The distribution of current forests and savannas in West and Central Africa is thought to be  
1694 the legacy of the long-term history of climate and human impacts. Indeed, paleo-  
1695 environmental reconstructions suggest that West and Central African forests have  
1696 experienced a succession of contraction and extension (Maley, 1991; Vincens et al., 1999) in  
1697 response to dry and humid periods since the Last Glacial Maximum (LGM, ~21 cal ka BP).  
1698 The LGM was a period of very low precipitation; temperatures and paleodata (Maley, 1991;  
1699 Shanahan et al., 2016) suggest that during this period tropical forests were even reduced to  
1700 only few refuges in Central Africa (Maley, 1996). After the Younger Dryas (from ~12.9 to  
1701 ~11.7 ka BP), which was a short but intensely dry period (Shanahan et al., 2016), rainfall  
1702 started to increase at the beginning of the Holocene. During this period, also known as the  
1703 African Humid Period (deMenocal et al., 2000; Shanahan et al., 2015), rainfall was  
1704 apparently higher than present-day. The tropical forest was more widespread across West and  
1705 Central Africa than it currently is. Pollen data suggest that tropical forest was present in the  
1706 Adamawa Plateau of Cameroon (Lézine et al., 2013; Vincens et al., 2010) and the Niari  
1707 Valley of the Republic of Congo (Vincens et al., 1994; Vincens et al., 1998). The African  
1708 Humid Period ended abruptly ~4 ka BP, but sequentially in latitude (Shanahan et al., 2015),  
1709 with a period of reduced precipitation and major droughts that lasted until 1.2 ka BP (Vincens  
1710 et al., 1999). This period, called the ‘third millennium rainforest crisis’, is divided into two  
1711 major phases (Maley, 2002; Maley et al., 2018; Vincens et al., 1999). The first phase (~4 ka  
1712 BP), is associated with an abrupt decrease in rainfall (Maley et al., 2018), that impacted areas  
1713 in periphery of the Congo Basin and was responsible for the opening of coastal savannas in  
1714 Central Africa (Elenga et al., 1994; Ngomanda et al., 2005) and of the Dahomey Gap in West

1715 Africa (Salzmann and Hoelzmann, 2005). During the same period, savanna vegetation was  
1716 also heavily modified, with a gradual (during AHP termination) and abrupt (3 ka BP crisis)  
1717 floristic shifts from Guinean to Sudan-Guinean savanna (Salzmann et al., 2002).  
1718 The second phase was short and abrupt, between 2.5 and 2 ka BP, and showed strong climate  
1719 seasonality as suggested by sea surface temperature reconstructions and geological limestone  
1720 zones (Maley et al., 2018). Vegetation reconstructions from pollen data showed increasing  
1721 abundance of pioneer and secondary forest trees, and grasses (Vincens et al., 1999)  
1722 suggesting that during this period, forests were highly disturbed. Some authors suggested the  
1723 opening of a north-south savanna corridor in the Sangha River Interval (Maley and Willis,  
1724 2010) that would have permitted the migration of Bantu-speaking people, but the existence of  
1725 this corridor is not supported by recent phytolith records in the region (Bremond et al., 2017).  
1726 Interestingly, the relative role of climate and people in the third millennium crisis has been a  
1727 matter of some debate in the literature. Some authors tend to affirm that the Bantu migrations  
1728 actively participated to the large-scale forest disturbance (Bayon et al., 2012; Garcin et al.,  
1729 2018) while others favor the climate hypothesis (Giresse et al., 2018; Lézine et al., 2013;  
1730 Maley et al., 2012; Neumann et al., 2012b). This debate is not yet resolved, but it seems that  
1731 the migration of Bantu people from the border of Cameroon and Nigeria, where they  
1732 originated, was concomitant to this abrupt climate change (Maley et al., 2018). Moreover,  
1733 Bantu people were agriculturalists and metallurgists (Bostoen et al., 2015), able to use slash-  
1734 and-burn and needing large quantities of wood for metallurgy. They were farming pearl  
1735 millet (Neumann et al., 2012a) and cattle (Grollemund et al., 2015) within the present-day  
1736 tropical rainforest of western and central Africa. Even if they were not responsible for this  
1737 large scale event, they may have caused more localized perturbations, through canopy  
1738 opening and wood collection, in the forest (Neumann et al., 2012a; van Gemerden et al.,  
1739 2003). Additionally, with or without the presence of people, even in the deepest part of the



1740 forest, charcoal were found in lakes, wetlands and soils, suggesting extended forest burning  
1741 (Biwolé et al., 2015; Hubau et al., 2015; Morin-Rivat et al., 2016; Tovar et al., 2014). An  
1742 increase in the occurrence of charcoal is registered when seasonality increased drastically  
1743 ~2.5 ka BP (Hubau et al., 2015), with a possible role of people in maintaining newly formed  
1744 savannas in peripheral areas of the Congo forest (Neumann et al., 2012a). After 1.2 ka BP,  
1745 rainfall started to increase again, and forest expanded. This trend of forest transgression is  
1746 still observed today in some areas (Aleman et al., 2018b; Guillet et al., 2001; Youta Happi,  
1747 1998).

1748

1749

## 1750 **6. Conclusion and perspectives**

1751

1752 Terrestrial plant-derived microfossils -- pollen, microcharcoal and phytoliths -- have been  
1753 analysed in both terrestrial and marine sedimentary archives for several decades and  
1754 contributed to the current knowledge of past changes in vegetation and fire dynamics,  
1755 Quaternary paleoclimates and paleoecology. While the study of pollen is considered to be a  
1756 “classic” tool in this respect, interest in microcharcoal and phytolith represents a more recent  
1757 area of growth.

1758 Several different techniques are employed to extract microfossils because of the diversity of  
1759 Quaternary sedimentary contexts, but the main objective is to concentrate microfossils for  
1760 microscopic observations. Identification and counting of pollen, microcharcoal and phytolith  
1761 require specialist expertise. Terrestrial plant microfossil data from sedimentary archives are  
1762 time-consuming to generate but are rich in information on past local to sub-regional  
1763 paleoenvironments. It is important therefore to continue improving the identification and  
1764 interpretation of these microfossils and to keep training the next generation of scientists.

1765 The datasets generated also need to be carefully archived and should benefit from long term  
1766 storage. Over the past 15 years, the growing wealth of plant microfossil paleodata, the  
1767 establishment of data sharing protocols in the scientific community and the development of  
1768 large (continental to global) datasets, and the development of new analytical approaches have  
1769 provided the opportunity to tackle new scientific questions. Key topics at regional and global  
1770 scales include the response of vegetation and fire to climate change, as well as feedback  
1771 mechanisms related to both vegetation, such as the vegetation-(snow)-albedo feedback, and  
1772 fire, such as fire feedback on the carbon cycle and on albedo. Those databases are also key  
1773 for developing data-model comparison exercises and evaluating modeling results of past  
1774 climate, vegetation and fire. Finally, they are also essential for applying lessons from the past  
1775 to respond to current biodiversity, conservation and management issues.

1776 This review highlights strong regional variability in the response of past vegetation and fire to  
1777 Pleistocene climate changes. The different studies synthesized here nevertheless suggest  
1778 similar patterns: vegetation and fire respond to orbital, millennial and sub-millennial climate  
1779 changes; and their response may be rapid, within a few hundred years. This review also  
1780 highlights that climate is the major driver of vegetation and fire regimes since at least the  
1781 Middle Pleistocene. Human activities impacted on vegetation and fire at local scales mainly  
1782 since the mid-Holocene and most significantly during recent millennia.

1783 Although terrestrial plant microfossils are well-established tools in Quaternary science, the  
1784 full extent of the information they contain remains to be exploited. Further calibration studies  
1785 are needed, i.e. coupling microfossil accumulation in sediments with remotely sensed  
1786 vegetation and fire. In addition, it is important to keep improving the taxonomic resolution of  
1787 these plant microfossils. For instance, morphometric analyses of pollen grains coupled with  
1788 statistical classification methods can in some cases provide greater taxonomic detail within  
1789 visually similar groups, e.g. *Pinus* (Desprat et al., 2015; Lindbladh et al., 2002).

1790 Morphological analyses of microcharcoal may bring also important new information for the  
1791 determination of burnt vegetation type although is not yet a widely used technique  
1792 (Hawthorne et al., 2018). Recent studies employing techniques from organic geochemistry  
1793 also highlight how the chemical composition of the preserved pollen wall may yield valuable  
1794 insights into taxonomy and/or environmental conditions during pollen formation such as past  
1795 solar radiation (e.g. Bell et al., 2017; Julier et al., 2016; Willis et al., 2011). In sum, the  
1796 prospects are great for exciting new insights from the study of terrestrial plant microfossils to  
1797 the understanding of Quaternary environmental and climatic change.

1798

### 1799 **Acknowledgements**

1800

1801 We thank PAGES and INQUA for supporting the Global Paleofire Working Group (GPWG),  
1802 the projects on “Abrupt Climate Changes and Environmental Responses” (International  
1803 Focus Group ACER) and “Latin American Abrupt Climate Changes and Environmental  
1804 Responses” (LaACER). Financial support was provided by the INSU-IMAGO projects  
1805 WarmClim, PuLSE and MICMAC. Basil Davis was supported by "SNF HORNET Project  
1806 Grant No. 200021\_169598" and Filipa Naughton by ClimHol (PTDC/AAC-  
1807 CLI/100157/2008) and Ultimatum (IF/01489/2015) research grants.

1808

### 1809 **Figure captions**

1810

1811 Figure 1: Reconstructing vegetation and fire using plant microfossils (pollen, phytolith and  
1812 microcharcoal) (modified from Patterson et al. (1987)).

1813

1814 Figure 2 : Examples of classical fossil pollen grains and spores presented by grain  
1815 arrangement and pollen apertural type: a. Pinus, b. Ericaceae, c. Cereal type, d. Poaceae, e.  
1816 *Carpinus betulus*, f. *Betula*, g. Amaranthaceae, h. Cyperaceae, i. *Aspodelus*, j. *Scabiosa*, k.  
1817 *Acer*, l. *Quercus* deciduous type, m. *Erodium*, n. *Olea*, o. Brassicaceae, p. *Aster* type, q.  
1818 *Centaurea scabiosa* type, r. *Fagus*, s. *Cichorioideae*, t. *Ephedra distachya* type, u. *Ephedra*  
1819 *fragilis* type, Spores: v. *Isoetes*, w. *Polypodium vulgare* type.

1820

1821 Figure 3: Examples of microcharcoal preserved in marine deep-sea core sediments. a) core  
1822 MD95-2042 (Daniau et al., 2007); b) core IOPD Site U1385 (Daniau, work in progress); c)  
1823 core MD04-2845 (Daniau et al., 2009b); d) core MD96-2098 (Daniau et al., 2013).

1824

1825 Figure 4: Examples of classical phytoliths grouped into five large categories. Grass silica  
1826 short cells (a-i); Bulliform cells (i); woody dicotyledon (j-l); Family-specific families  
1827 morphotypes (m-o); Non-diagnostic morphotypes (p).

1828

1829 Figure 5: Location of the terrestrial and marine pollen and microcharcoal records presented in  
1830 Fig. 6 and 7. 1- Funza, 2-Heqing lake, 3-MD96-2098 , 4- Tenaghi Philippon, 5-MD95-2042.  
1831 The background map represents the present-day potential vegetation after (Levassasseur et al.,  
1832 2012).

1833

1834 Figure 6: Response of vegetation to orbital variability. (a) summer insolation at 65°N from  
1835 (Laskar et al., 2004), (b) atmospheric CO<sub>2</sub> EPICA Dome C (Bereiter et al., 2015; Monnin et  
1836 al., 2001; Petit et al., 1999; Siegenthaler et al., 2005), (c) Antarctic air temperature from  
1837 EPICA-Dome C (Jouzel et al., 2007), (d) LR04 benthic  $\delta^{18}\text{O}$  (Lisiecki and Raymo, 2005), (e)  
1838 arboreal pollen percentages data from Tenaghi Philippon record (Tzedakis et al., 2006), (f)  
1839 arboreal pollen from Funza sequence (Torres et al., 2013), (g) *Tsuga* pollen percentages from

1840 Heqing lake record (Zhisheng et al., 2011). The grey dashed bar indicates the position of the  
1841 Mid-Brunhes Event (MBE).

1842

1843 Figure 7: Response of fire and vegetation to orbital and millennial variability. (a) Antarctic  
1844 air temperature (Jouzel et al., 2007), (b) summer insolation at 25°S (Berger, 1978), (c)  
1845 biomass burning from southern Africa (microcharcoal record from core MD96-2098) (Daniau  
1846 et al., 2013), (d) biomass burning (z-score of transformed charcoal, about 700 sites) (Daniau  
1847 et al., 2012), (e) fire peak frequency from Greenland ice core (Fischer et al., 2015), (f)  
1848 Greenland air temperature from NGRIP ice record (Landais et al., 2004); data compiled in  
1849 Sánchez Goñi et al. (2008), (g) biomass burning from southwestern Iberia (microcharcoal  
1850 MD95-2042 record) (Daniau et al., 2007), (h) Mediterranean forest (pollen percentages from  
1851 core MD95-2042) from southwestern Iberia (Chabaud et al., 2014; Sánchez Goñi et al.,  
1852 2000a; Sánchez Goñi et al., 2008).

1853

1854 Figure 8: Example of pollen-based modelling land cover reconstruction for Central Europe  
1855 (Marquer et al., 2014).

1856

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