1	Comparing the selective and co-selective effects of different antimicrobials in bacterial
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26 Abstract

Bacterial communities are exposed to a cocktail of antimicrobial agents, including antibiotics, 27 heavy metals and biocidal antimicrobials such as quaternary ammonium compounds (QACs). 28 29 The extent to which these compounds may select or co-select for antimicrobial resistance (AMR) is not fully understood. In this study, human associated, wastewater derived, bacterial 30 communities were exposed to either benzalkonium chloride (BAC), ciprofloxacin or 31 trimethoprim at sub-point of use concentrations for one week, in order to determine selective 32 and co-selective potential. Metagenome analyses were performed to determine effects on 33 34 bacterial community structure and prevalence of antibiotic resistance genes (ARGs) and metal or biocide resistance genes (MBRGS). Ciprofloxacin had the greatest co-selective 35 potential, significantly enriching for resistance mechanisms to multiple antibiotic classes. 36 37 Conversely, BAC exposure significantly reduced relative abundance of ARGs and MBRGS, 38 including the well characterised *qac* efflux genes. However, BAC exposure significantly impacted bacterial community structure. This suggests BAC and potentially other QACs did 39 40 not play as significant a role in co-selection for AMR relative to antibiotics such as ciprofloxacin at below point of use concentrations in this study. This approach can be used to 41 identify priority compounds for further study, to better understand evolution of AMR in 42 bacterial communities exposed to sub-point of use concentrations of antimicrobials. 43

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Keywords: antibiotic; antimicrobial; biocide; quaternary ammonium compound; resistance;
evolution; metagenomics

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1. Introduction

Antimicrobial resistance (AMR) occurs naturally in a variety of environments [1]; but
anthropogenic use, overuse and misuse of antibiotics and other antimicrobials has selected for

51 increased levels of resistance [2]. Direct selection for AMR can arise when bacteria are 52 exposed to a single compound; for example, exposure to ciprofloxacin can result in increased numbers of bacteria harbouring a gyrA mutation which confers resistance to ciprofloxacin 53 54 [3]. Conversely, co-selection is indirect selection that can occur via two mechanisms: crossresistance or co-resistance [4]. Cross-resistance occurs when one resistance gene can confer 55 resistance to many antimicrobials [4]. For example, the qac resistance genes encode 56 multidrug efflux pumps with the ability to efflux many different quaternary ammonium 57 compounds (QACs) [5]. Therefore, exposure to one of these compounds would result in 58 59 selection for the efflux gene. Co-resistance is when a resistance gene will be maintained / selected if it is genetically linked to another gene (though not necessarily a resistance gene) 60 which is under positive selection [4]. Qac genes may also be co-selected via co-resistance as 61 62 they are often located on integrons, which in turn can carry a vast diversity of antibiotic resistance genes [6, 7]. 63

There are two main types of antimicrobial agents. Antibiotics are used therapeutically 64 65 and prophylactically in humans and animals; and as growth promoters in animal husbandry in some parts of the world. Antibiotics are not fully metabolised by humans and animals, and in 66 67 some cases >90% of an antibiotic can be excreted in an active form [8]. Other compounds with antimicrobial effects include biocides such as QACs and heavy metals. QACs are used 68 69 widely for equipment sterilisation, product preservation and surface decontamination in a 70 variety of settings including in hospitals, farms and in the household [9]. Heavy metals, though required by most bacteria for growth, are toxic at high concentrations. Heavy metals 71 are used in animal feed [10], antibacterial products such as wound dressings [11] and they 72 73 can accumulate in the environment due to industrial contamination [12]. In theory, each antimicrobial has the potential to co-select for resistance to another. 74

75 Antibiotics concentration gradients exist within human, animal and environmental 76 microbiomes from point of use until they are diluted to extinction. Several studies have indicated sub-inhibitory concentrations of antibiotics exhibit biological effects and can even 77 78 select for AMR [3, 13-15], but few have experimentally looked at co-selective effects. The selective and co-selective effects of different antimicrobials at below point of use 79 concentrations levels have not previously been compared within bacterial communities. In 80 81 this study, we exposed a wastewater derived bacterial community (includes gut microbiome bacteria and the WHO critically important *Enterobacteriaceae* [16], from a large number of 82 83 individuals) to either the QAC biocide benzalkonium chloride (BAC), ciprofloxacin or trimethoprim at below point of use concentrations in serial passage experiments for 7 days. 84 BAC was chosen on the basis it would likely co-select for resistance via cross-resistance and 85 86 co-resistance via the qac multidrug efflux genes. Ciprofloxacin was included in this study as 87 it has been previously shown to be selective at sub-inhibitory concentrations [3]. Finally, trimethoprim was chosen as *dhfr* genes are of the most common antibiotic resistance genes 88 89 associated with class 1 integrons [6], and so may co-select for integron-borne resistance via co-resistance. 90

91 Metagenome analyses of communities exposed to these antimicrobials were performed to determine effects on bacterial community structure and prevalence of antibiotic 92 93 resistance genes (ARGs) and metal or biocide resistance genes (MBRGs). Our findings 94 indicate BAC was not a potent co-selective compound in this experimental system, unlike ciprofloxacin. We identified potentially important gene targets for tracking QAC resistance, 95 in addition to the most well-studied qac efflux genes. Finally, results illustrated the potential 96 97 for metagenome analyses to identify priority antimicrobial compounds for further study, on the basis of their selective and co-selective potential and corresponding threat to human 98 99 health.

101 **2. Materials and Methods**

102 **2.1. Evolution experiment**

103 Untreated wastewater was collected from a sewage treatment plant (population equivalent of 104 43,000) in October 2015 and frozen at 50 % v/v in 40 % glycerol at 80°C until use. Frozen 105 samples underwent two steps of centrifugation (3,500 x g for 10 minutes) and resuspension in 106 equal volume 0.85 % sterile saline to minimise chemical and nutrient carry over.

There were 3 replicate microcosms for each antimicrobial. Compounds used were BAC 107 (8 mg/L), ciprofloxacin (0.5 mg/L) and trimethoprim (2 mg/L) at half the clinical breakpoint 108 concentrations [17] for Enterobacteriaceae (ciprofloxacin and trimethoprim) or half the MIC 109 of the susceptible K12 Escherichia coli strain (BAC), as determined by the standard MIC 110 111 plating method [18]. This was based on the assumption that a significant portion of the human derived waste water would include this family of bacteria, and based on a previous study in the 112 113 same experimental model system where E. coli was the prominent detected species [19]. 114 Antimicrobial-amended microcosms (n=3 per antimicrobial, with n=3 antimicrobial free control) comprising of 5ml Iso-sensitest broth (Oxoid) and 1 % v/v processed wastewater 115 sample were incubated overnight at 37 °C, shaking at 180 rpm. 116

117 Each day, 1 % v/v of culture was inoculated into fresh, antimicrobial-amended media. 118 This was repeated for a total of 6 days. On the 7th day, 1 ml culture was centrifuged (21,000 x119 g) for 2 minutes, resuspended in equal volume of 20 % glycerol, and stored at -80 °C.

121 **2.2. DNA extraction, clean up and sequencing**

Total bacterial DNA was extracted using the MoBio ultraclean kit, according to instructions 122 123 but with the initial spin extended to 3 minutes. All DNA was stored at -20 °C until use. DNA was cleaned and concentrated using AmpureTM beads, as previously described 124 125 [20]. Nextera XT libraries were prepared and sequenced on the Illumina HiSeq 2500 platform 126 by Exeter Sequencing Service (ESS), generating 300 bp paired end reads. 127 2.3. Metagenome analyses 128 Successful removal of adaptor sequences and low quality reads was performed with Skewer 129 [21] and confirmed with MultiQC [22] before and after trimming. Number of reads for each 130 sample after trimming are reported in the Supplementary Data (Table S1). 131 Extraction and analyses of 16S rRNA sequences were performed as described 132 previously [20]. Briefly, reads were paired with FLASH version 2 [23] and 16S rRNA reads 133 were extracted with MetaPhlan2 [24]. Community diversity visualisation was performed with 134 HClust2 [25] using Bray Curtis distance measurements between samples and features 135

(species). Biomarker species / genera were identified with LEfSe (linear discriminant analysis
effect size) [26].

ARGs were identified with the ARGs-OAP pipeline, which identifies ARGs at the antibiotic class and within class level, and normalises these hits to both the length of the ARG itself and either parts per million, 16S rRNA copy number or cell number to derive a ARG relative abundance [27]. The default cut-off values for ARG assignment were used (25 amino acid, e-value of 1e-07 and 80% identity). MBRGs were identified through BacMet Scan against the experimentally confirmed BacMet database, using default search parameters and

144	cut off values [28]. All ARGs and MBRGs hits were normalised to hits per million reads.		
145	Heatmaps were generated using various python packages [29-31].		
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147	2.4. Statistical methods		
148	Normally distributed data were analysed with parametric one-way ANOVA and Tukey post		
149	hoc tests. Non-normally distributed data unable to be transformed with log or square root		
150	functions into a normal distribution underwent non-parametric Kruskal Wallis and Dunn's		
151	tests. P values for the post-hoc Tukey test or Dunn's test are reported. Spearman's rank		
152	correlation between hits of ARGs and MBRGs per million reads determined whether a		
153	positive or negative correlation existed for all three tested antimicrobials.		
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155	3. Results		
156	3.1. Effects on community structure		
157	A wastewater bacterial community was exposed to sub-point of use concentrations of either		
158	BAC (8 mg/L), ciprofloxacin (0.5 mg/L) or trimethoprim (2 mg/L) equating to half the BAC		
159	MIC for susceptible <i>E. coli</i> and half the clinical breakpoint for the two antibiotics [17].		
160	Metagenome analyses were performed on biological replicates for each of the antimicrobial		
161	treatments and from the cultured control. The top 25 detected species for each replicate are		
162	shown in Figure 1 (for all detected species, see Figure S1).		
163	There were $26 - 62$ bacterial species detected in total across treatments (Figure 1 and		
164	Figure S1). These included mostly facultative anaerobes as well as some microaerophilic		
165	bacteria. Linear Discriminant Analysis Effect Size ('LEfSe') identifies 'features' (such as		
166	bacterial species or genera) which can be used to highlight differences between, for example,		
167	experimental treatments, different body sites or environments by combining statistical		
168	significance testing with tests which consider biological consistency and effect relevance.		

[26] (Table S2). LEfSe was used to identify species significantly associated with different
treatments (species 'biomarkers'). LEfSe defined the control treatment as having the greatest
number of biomarker bacterial genera, with *Streptococcus, Staphylococcus, Acinetobacter, Eggerthella, Enterobacter* and *Cronobacter* species all significantly associated with the
control treatment, indicating equal representation of Gram negative and Gram positive
biomarker genera (Table S2).

175 BAC had the greatest effect on community structure, resulting in complete loss of 18 species relative to the control (Figure 1). Only 5 of the original 28 bacterial genera persisted 176 177 in BAC treatments; only Gram negative genera including Citrobacter, Escherichia, Klebsiella, Morganella and Pseudomonas. P. aeruginosa, K. pneumoniae and M. morganii 178 were determined as biomarkers in the BAC treatment (Figure S1). Interestingly, the 179 180 opportunistic pathogen *P. aeruginosa* was below the limit of detection in the control 181 treatment, but was enriched to a high abundance in the BAC treatment indicating strong selection for this often intrinsically resistant organism. Only two bacterial genera were 182 biomarkers for the ciprofloxacin treatment, namely *Escherichia* and *Lactobacillus* (Table S2). 183 Trimethoprim had three biomarker genera, including Veillonella, Bacteriodes and 184 Bifidobacterium (Table S2). Therefore unlike the BAC treatment, some Gram positive 185 bacteria persisted following ciprofloxacin and trimethoprim exposure. 186 187 Generally, E. coli were the most abundant species in control and antibiotic treatments, 188 though ciprofloxacin and trimethoprim exposure resulted in slight decreases in E. coli abundance compared to the control. In the BAC treatment, E. coli relative abundance was 189 much lower and only detected in a single treatment replicate (Figure 1). 190 191

3.2. Co-selective potential of different antimicrobials for ARGs

The ARGs-OAP pipeline [27] was used to identify ARGs within all treatment replicates. ARG hits were normalised to number of hits per million reads and summed per antimicrobial treatment. The total number of ARG hits was highest following ciprofloxacin exposure, with replicate number 3 having >18,000 ARG hits per million reads (Table S3). Overall, total number of ARG hits per million reads was significantly different to the control (p = 0.02, Figure 2). However, the sum of ARGs in both BAC and trimethoprim treatments were not significantly different to the control.

200 Multi-drug resistance mechanisms were the most abundant type of resistance 201 mechanism in all treatments (Figure S2), but there was little variability between treatments. Ciprofloxacin was the most co-selective antimicrobial of the three tested, as significant 202 enrichment for aminoglycoside (p = 0.011), beta-lactam (p = 0.016), chloramphenicol (p = 0.016) 203 0.019), macrolide-lincosamide-streptogramin ('MLS', p = 0.035), sulphonamide (p = 0.033), 204 trimethoprim (p = 0.035) and vancomycin (p = 0.023) compared to the control (Figure 3). 205 206 Conversely, no significant increases in any ARGs were observed following BAC treatment. Rather, BAC treatment resulted in significant decreases in multidrug resistance genes (p =207 (0.029) and genes conferring resistance to other antibiotics (p = 0.008). Trimethoprim had 208 209 little effect on relative abundance of ARGs, with the only significance increase observed for chloramphenicol resistance genes (p = 0.046) (Figure 3). 210

Surprisingly, ARGs conferring resistance to ciprofloxacin or trimethoprim were not
significantly enriched following exposure to either compound (e.g. significant enrichment of
quinolone ARGs was not observed following ciprofloxacin exposure).

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3.3. Co-selective potential of different antimicrobials for MBRGs

Finally, all metagenomes were screened against the experimentally confirmed BacMet

per million reads. There was a statistically significant positive relationship between total numbers of ARG and MBRG hits (r = 0.91, df = 9, p < 0.0001). The only significant difference for the sum of MBRGs after antimicrobial treatment compared to the control was for BAC, where total numbers of MBRGs actually decreased significantly compared to the control (p = 0.007, Figure 2).

This was an interesting finding, combined with the lack of selection for ARGs. There 223 are only three *qac* genes in the ARGs-OAP database [27], and so it was expected that there 224 would be a greater number of hits for *qac* genes and other QAC resistance mechanisms when 225 226 searched against the BacMet database [28]. Currently in the BacMet database, there are 64 227 experimentally confirmed BAC resistance mechanisms, 13 of which have been found on plasmids. Plasmid-encoded genes include oqxA, oqxB, all qac genes, and sugE (BacMet 228 search, 7th March 2018). We compared the total number of *qac* genes, *oqxA/B* or *sugE* genes 229 230 between treatments and found that ciprofloxacin significantly enriched for *qac* genes (p =0.034). Total hits for *oqxA/B* genes were significantly lower following ciprofloxacin exposure 231 232 compared to the control (p = 0.016). The only plasmid-borne BAC resistance genes which increased in relative abundance following BAC exposure were the oqxA/B genes, though this 233 was not significant. Surprisingly, *qac* genes and *sugE* genes decreased in relative abundance 234 following treatment with BAC; though again these differences were not significant. There 235 236 were no significant differences in total number of hits for *qac*, *sugE* or *oqx* genes between the 237 control and trimethoprim treatment.

Detected chromosomally-encoded BAC resistance genes and their total number of hits were also investigated (Table 1). Only 6 of a possible 51 chromosomally-encoded BAC resistance genes were detected in any treatment in this study. The *acrE/envC* and *acrF/envD* efflux systems were common across all treatments and formed the largest portion of chromosomal BAC hits. Also detected were the *abeS* and *adeT1* genes which encode efflux

pumps; these were found in the control treatment only and a single replicate of the BAC treatment, respectively. The *cpx* genes *cpxA* and *cpxR* were found in 2 BAC replicates and 1 trimethoprim replicate. When examining total hits for detected chromosomally-encoded BAC resistance genes, there was a significant decrease in hits in the BAC treatment compared to the control (p = 0.033).

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4. Discussion

Bacterial communities are exposed to a variety of antimicrobial compounds. Previous 250 251 observational studies have found correlative evidence which suggest QACs co-select for antimicrobial resistance in QAC polluted environments [32, 33]. More recent experimental 252 studies have observed direct selection for QAC resistance in bioreactors of bacterial 253 254 communities exposed to BAC [34], but did not investigate AMR co-selection. Currently, there are no studies which have examined the potential for biocides, such as QACs, to co-255 select for antibiotic resistance in bacterial communities and compared this to direct selection 256 by antibiotic exposure. 257

Our findings agree with previous results, in that BAC exposure has significant effects 258 on bacterial community structure, resulting in competitive exclusion of susceptible bacteria 259 and clonal expansion of a few resistant bacterial species [34]. At the end of this study, all 260 261 bacteria in BAC treatment replicates were Gram negative and comprised of only 8 detected 262 species. E. coli were almost fully outcompeted and were detected in only a single replicate, even though the exposure concentration was half of the MIC for a susceptible E. coli lab 263 strain. Though the starting inoculum metagenome was not sequenced in this study, the no 264 265 antimicrobial control treatments control for potential effects on the community. Further studies should increase the sequencing frequency, so such dynamics can be better understood. 266

Previous work suggests QACs have a high predicted co-selective potential compared 267 to other biocides and heavy metals, due to close genetic proximity of additional resistance 268 mechanisms which could be co-selected by co-resistance [35]. We show for the first time 269 270 through an experimental approach that the majority of ARGs and MBRGs are lost following BAC exposure at half the MIC for susceptible E. coli (relative to the control). There are two 271 likely explanations for loss of ARGs / MBRGs, which are not mutually exclusive. The first is 272 273 that the resistance gene sequences enriched by the BAC treatment are not currently deposited in the ARGs-OAP and BacMet databases. The second possibility is that BAC at 8 mg/L 274 275 enriches for intrinsically resistant organisms, which outcompete susceptible organisms including those harbouring mobile resistance mechanisms (which may have increased fitness 276 costs). The latter of these scenarios is supported not only by the enrichment of solely Gram 277 278 negative bacteria, which generally have elevated levels of resistance to QACs compared to 279 Gram positive bacteria; but by the analysis of mobile QAC resistance mechanisms (i.e. plasmid borne genes). There were no significant differences in the relative abundance of 280 281 mobile QAC resistance genes between BAC and control treatments, including the wellcharacterised *qac* resistance genes. This finding indicates the need for continued efforts to 282 identify potentially novel resistance genes which confer QAC resistance, as *qac* genes may 283 not be as significant in QAC resistance as the literature suggests. In addition, it indicates the 284 285 potential utility that bacterial community analyses combined with ARGs/MBRG mining can 286 provide in determining the selective and co-selective potential of different antimicrobials. Intrinsically resistant organisms pose a considerably reduced risk to human health compared 287 to bacteria which can readily transfer resistance, as their resistance mechanisms are not 288 289 readily mobilisable. Therefore, a metagenome approach can be used to prioritise antimicrobials in terms of their potential exposure and therefore human health risk through a 290 291 combination of: identifying compounds with strong selective potential, compounds which

readily co-select for many types of different resistance mechanisms, and whether these
resistance mechanisms are likely to be harboured by intrinsically resistant organisms
(indicated by lack of community diversity).

295 While BAC exposure resulted in loss of ARGs and MBRGs, ciprofloxacin treatment enriched relative abundance of ARGs to 7 different antibiotic classes. Resistance genes 296 detected in this study are not necessarily all being expressed, however this is not relevant for 297 298 co-resistance (i.e. co-location) of genes on the chromosome or on mobile genetic elements. Our results combined with findings from other studies (that have shown selection can occur 299 300 at very low concentrations of ciprofloxacin [3]) together demonstrate the high selective and co-selective potential of ciprofloxacin and suggest further research on this antibiotic is 301 302 required.

303 Trimethoprim exposure resulted in significant enrichment of only chloramphenicol 304 resistance genes. Interestingly, trimethoprim resistant species such as *Pseudomonas* aeruginosa were not selected for, indicating their relative fitness in these community was low 305 306 compared to other resistant bacteria. Neither of the antibiotics directly selected for their own previously described resistance mechanisms (as in, ciprofloxacin exposure did not result in 307 significant increases in relative abundance of quinolone ARGs, nor trimethoprim in relative 308 abundance of trimethoprim ARGs). This indicates a high abundance of genes conferring 309 310 cross-resistance to more than one compound; presence of intrinsically resistant organisms; 311 and/or an incomplete database. Functional studies aiming to identify novel resistance genes and their complete antimicrobial susceptibility profiles are still critical for improving our 312 understanding of selection and co-selection. New techniques such as emulsion, paired 313 314 isolation and concatenation (EPIC) PCR [36] could be used to discern if resistance genes which are being selected for are present in only a few species (indicating selection of that 315

species), or if they are widespread throughout the bacterial population indicating potential forhorizontal gene transfer.

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320 **5.** Conclusions

In summary, this study compared selective and co-selective effects of different antimicrobials 321 at below point of use concentrations for the first time. Results indicate that QACs such as 322 BAC may exert relatively low selective pressure for AMR development in bacterial 323 324 communities, relative to antibiotics although this may be in part due to a high diversity of uncharacterised resistance genes. Ciprofloxacin was shown to be the most selective 325 compound tested, and should be prioritised for further study to investigate the risk for 326 327 selection and co-selection occurring in a variety of settings. A metagenome approach to quantify the risk of selection for AMR can be useful to identify additional priority 328 compounds based on their selective and co-selective potential, and whether this resistance is 329 330 likely to be readily mobilisable.

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341 7	7.	References
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343 **Figure legends**

- Figure 1. Heatmap showing the 25 species with highest relative abundance for each 344
- biological replicate within each antimicrobial treatment, as determined with MetaPhlan2, 345
- using Bray-Curtis distance measurements for samples and features (species). 346

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- Figure 2. Total number of ARG/MBRG hits normalised per million reads (detected with 348
- 349 ARGs-OAP and BacMetScan, respectively), averaged within treatment (n=3 for
- antimicrobial treatments, n=2) for the control. Significant differences relative to the control: 350
- + = significant increase in numbers of hits (p < 0.05), * = significant decrease in numbers of 351 hits (*p* < 0.05).

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- Figure 3. Heatmap showing average relative abundance of ARG hits (antimicrobial 354
- treatments n=3, control n=2) detected for different antibiotic classes with the ARGs-OAP 355
- pipeline. Numbers of hits are normalised per million reads. 'MLS' = Macrolide-Lincosamide-356

Streptogramin resistance. Multi-drug resistance hits are excluded due to extremely high 357

abundance (Figure S2). 358

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Table legends 360

Table 1. Total number of experimentally confirmed, chromosomally encoded BAC resistance 361 gene hits detected in this study with BacMetScan, normalised against per million reads. Hits 362 are average within antimicrobial treatments (n = 3 for antimicrobials, n = 2 for control). * = 363 significantly greater number of hits; ** = significantly reduced number of hits, relative to the 364 control. ND = Not detected. 1 = detected in biological replicate 1 only. 365

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