

1 **PHTHALATES AND ASTHMA IN CHILDREN AND ADULTS: US NHANES 2007-2012**

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14

15 **ABSTRACT**

16 **Background:** Environmental exposure to phthalates may contribute to an increased risk of
17 asthma in children and adults. We aimed to assess the direction and strength of the
18 association between urinary phthalates metabolites and current asthma in children and
19 adults that participated in the National Health and Nutrition Examination Survey (NHANES)
20 2007-2012.

21 **Methods:** Data on ten urinary phthalate metabolites, self-reported questionnaires,
22 spirometry measures, and covariates were obtained from 7765 participants (28.1% were
23 children aged 6-17 years) taking part in the NHANES 2007-2012. Asthma was assessed
24 using self-reported questionnaires for children and adults; and via spirometry measures for

25 adults alone. We used crude and adjusted logistic regression models to estimate the odds
26 ratios (ORs) and 95% confidence interval (CI) per one \log_{10} unit change in the concentration
27 of phthalate metabolites. We further modeled the effect modification by sex.

28 **Results:** Out of 10 metabolites, only mono-benzyl phthalate (MBzP) was positively
29 associated with the prevalence of self-reported asthma in children, after adjusting for a range
30 of potential confounders (Odds Ratio: 1.54; 95% Confidence Interval: 1.05-2.27). No
31 significant relationship was observed for adults. The association of mono-ethyl phthalate
32 (MEP) was modified by sex, with significantly increased odds of asthma among males [boys
33 (2.00; 1.14-3.51); adult males (1.32; 1.04-1.69)]. While no other phthalates showed a
34 positive relationship with current asthma in males, mono (carboxynonyl) phthalate (MCNP)
35 and mono (3-carboxylpropyl) phthalate (MCP) were inversely associated with
36 spirometrically-defined asthma in adult females. A sex-specific relationship in adults was
37 evident when spirometry, but not self-reported measures were used to define asthma.

38 **Conclusion:** We found no clear association between exposure to phthalates and current
39 asthma, except for a significant relationship between MBzP metabolites and self-reported
40 asthma in children. As a result, exposure to phthalates and asthma development and/or
41 exacerbations remains controversial, suggesting a need for a well-designed longitudinal
42 study.

43 **Keywords:** phthalate metabolites, mono-benzyl phthalate, childhood asthma, adult asthma,
44 NHANES

45 **Introduction**

46 For the past two decades, the prevalence of asthma has substantially increased in
47 both the developed and developing countries (Osborne et al. 2017). The International Study
48 of Asthma and Allergies in Childhood (ISAAC) study demonstrated that across 37 countries
49 including the US and the UK, the average prevalence of asthma in 2006 amongst children

50 aged 6-7 years was 12.6% (Asher et al. 2006). The Global Burden of Disease attributed to
51 asthma is predicted to be about 11 million years of life lost (YLLs) and 25 million disability-
52 adjusted life years (DALYs) per year (Osborne et al. 2017).

53 Asthma is a common chronic disease in children and adults characterised by airway
54 inflammation and increased mucus production - leading to airway obstruction (Khalili et al.
55 2018). It is estimated by the US Centers for Disease Control and Prevention (CDC) that
56 6.8% of US working adults have current asthma – defined as having had at least one asthma
57 attack or visit the emergency department (ED) for asthma in the past 12 months (Mazurek et
58 al. 2018). The disease is a potential threat to children’s growth and development including
59 their educational achievement (Nurmagambetov et al. 2018). In 2017, the prevalence of
60 asthma was reported in approximately 6.2 million children in the US – about 8.4% of children
61 under the age of 18 (CDC 2017). The US annual economic costs associated with asthma
62 have been estimated at \$81 billion for 2013, including treatment costs and mortality costs
63 valued using the value of statistical life and lost work and school days (Nurmagambetov et
64 al. 2018). The root causes of asthma have not been fully elucidated, but genetic
65 predisposition, and environmental factors including allergens and chemicals (such as
66 phthalates) as well as gene-environment interactions, have been suggested as important
67 risk factors for asthma pathogenesis and exacerbations (Wang et al. 2015; Sordillo et al.
68 2015; Surdu et al. 2005)

69 Phthalates are synthetic chemicals produced by reacting phthalic anhydride with
70 different chain lengths of alcohol(s) which may vary from single chain alcohol (such as
71 methanol) to multiple chain alcohol (such as tridecyl alcohol) (Benjamin et al. 2017). They
72 are mainly classified into two types – high (HMW) and low molecular weight (LMW)
73 phthalates - and their uses may in part depend on their molecular weight (Table 1) (Braun et
74 al. 2013; Benjamin et al. 2017). Phthalates are omnipresent and are not covalently bound to
75 the consumer products; they easily leach out and make their way to the environment (Tsai et

76 al. 2012). Humans are exposed to these chemicals through several routes of exposure
77 including water, breathing air, dermal contact, during medical treatment and, importantly, via
78 food (Benjamin et al. 2017).

79 Although phthalates are easily bio-transformed and excreted (leading to lesser
80 bioaccumulation), regular exposure in humans may exacerbate the risk of developing
81 asthma or prolong its prevalence by binding with and activating peroxisome proliferator-
82 activated receptors (PPARs) which mediate anti-inflammatory effects in the lungs and
83 immune systems (Bolling et al. 2013); increasing the proliferation of the bronchial muscle
84 cells which may lead to airways remodelling (Kue et al. 2011); promoting the production of
85 pro-inflammatory cytokines IL-6 and IL-8 in the airway epithelial cells (Jepsen et al. 2004);
86 and/or; acting as an adjuvants by enhancing macrophage production of inflammatory
87 cytokines and chemokines (Nishioka et al. 2012)

88 Several epidemiological studies have demonstrated that regular exposure to
89 phthalates is associated with an increased risk of non-communicable chronic diseases
90 including cardiovascular diseases and diabetes (Dong et al. 2017; Bai et al. 2017). Limited
91 information is known about the association between phthalates exposure and the prevalence
92 of asthma (Benjamin et al. 2017), with available evidence producing inconsistent results. A
93 meta-analysis demonstrated that post-natal exposure to di-(2-ethylhexyl) phthalate (DEHP)
94 and butylbenzyl phthalate (BBzP) from dust and prenatal urinary mono-benzyl phthalate
95 (MBzP) were significantly associated with childhood asthma (Li et al. 2017).

96 In contrast, a recent study has shown that both LMW and HMW phthalates (including
97 DEHP) were not associated with the report of doctor-diagnosed asthma (Vernet et al. 2017).
98 Previous research found that the urinary concentration of MBzP metabolite was associated
99 with self-reported asthma in adults but not in children (Hoppin et al. 2013). These
100 inconsistencies need to be addressed with more research into the potential association
101 between phthalates and asthma in children and adults using a large cross-sectional

102 secondary data and better outcome measures (for example spirometry and questionnaire
103 data).

104 In addition, the development and/or exacerbation of asthma may be sex-specific.
105 Whilst the prevalence of asthma, in general, is greater in females than in males (CDC 2008),
106 investigation at a specific time point revealed otherwise. Before age 13-14 years, the
107 incidence and prevalence of asthma with increased wheeze, use of asthma medications and
108 serum IgE level are greater among boys than among girls (Wijga et al. 2011; Almqvist et al.
109 2008; Bjornson and Mitchell 2000). By contrast, studies through puberty and beyond have
110 found a greater increase in the incidence and prevalence of asthma among adolescent and
111 young adult females (CDC 2008; De Marco et al. 2000). Importantly, a prospective cohort
112 study demonstrated that the relationship between phthalates and asthma may be modified
113 by sex (Buckley et al. 2018), with 5-year old boys at increased odds of asthma occurrence
114 following exposures to mono (2-ethylhexyl) phthalate (MEHP) and mono-ethyl phthalate
115 (MEP) (Ku et al. 2015).

116 In the current study, we polled the National Health and Nutrition Examination Survey
117 (NHANES) 2007-2012 data to examine the direction and strength of the association between
118 urinary phthalate metabolites and current asthma in children and adults. As a secondary
119 aim, we stratified the data based on the participant sex, to investigate whether the effect
120 measure was modified by sex in both children and adults.

121 **INSERT TABLE 1 NEAR HERE**

122 **Methods**

123 **Study population**

124 NHANES is a nationally representative, multi-stage, population-based, cross-
125 sectional study carried out by the United State National Centre for Health Statistics (NCHS).
126 It was designed to assess the health and nutritional status of civilian, non-institutionalized

127 children and adults in the United States. Respondents for our study were children aged
128 between 6 and 17 years, and adults aged 18 and 79 years, who were randomly selected by
129 the NHANES for urinary phthalates measurement; and who had complete information on
130 self-reported questionnaires, spirometry, and confounding variables. Participants aged 80
131 years and over were excluded in order to reduce biases resulting from the non-
132 representation of the older adults who are institutionalised after 80 years.

133 Data were pooled from three independent cross-sectional waves (2007-2008, 2009-
134 2010 and 2011-2012), providing an initial total sample of 30,442 participants (11,823
135 children and 18,619 adults). Urinary phthalates concentrations were determined for 7765
136 subsets (2180 children and 5585 adults), therefore only these participants were used for
137 analysis. All selected participants provided informed consent in writing during the period of
138 recruitment (NHANES 2017)

139 Measurement of phthalate metabolites

140 Phthalate metabolites were measured in a spot urine sample of a randomly selected
141 one-third sub-sample of the study respondents. These collected samples were frozen at the
142 temperature of -20°C and shipped to the division of Environmental Health Laboratory
143 Sciences, National Centre for Environmental Health, CDC for the analysis of various
144 phthalate metabolites. In order to reduce the possibility of exposure misclassification
145 (James-Todd et al. 2016), phthalate metabolites were measured instead of their parent
146 compound. A full description of the analytical methods employed for the measurement of
147 phthalates metabolites have been described elsewhere (Laboratory Procedure Manual
148 2013).

149 A combination of phthalate metabolites that have been previously studied and those
150 that were measured in all the three cross-sectional waves, with more than 60% of the
151 sample concentrations at or above the limit of detection (LOD) (James-Todd et al 2016)

152 were selected for this project. These included ten (10) phthalate metabolites: mono
153 (carboxynonyl) phthalate (MCNP), mono-(2-ethyl-5-carboxypentyl) phthalate (MECPP),
154 MEHP, mono-(2-ethyl-5-hydroxyhexyl) phthalate (MEHHP), mono-(2-ethyl-5-oxohexyl)
155 phthalate (MEOHP), mono-n-butyl phthalate (MnBP), mono-iso-butyl phthalate (MiBP),
156 MBzP, MEP, and mono (3-carboxylpropyl) phthalate (MCP).

157 Given that the LOD for phthalate metabolites differed across each survey cycle, the
158 maximum limit of detection (LOD_{max}) was used to standardize each phthalate detection limits
159 in the three cross-sectional waves (Varshavsky et al. 2018). Thus, all concentrations below
160 the LOD_{max} were substituted with the value of LOD_{max} divided by the square root of two
161 (Varshavsky et al. 2018).

162 Asthma data

163 Self-reported questionnaire data

164 NHANES collected information on asthma and associated symptoms using a self-
165 administered questionnaire completed at the NHANES clinic visit. Following the
166 recommendation from the European birth cohort study (Carlsen et al. 2012), current asthma
167 was defined by respondents giving a positive response to both questions: “Has a doctor or
168 other health professional ever told you that you have asthma?” and “In the past 12 months
169 (have you/has SP) had wheezing or whistling in (your/his/her) chest?”

170 Spirometry data

171 Spirometry data were available in all cross-sectional waves and were also used for
172 asthma determination. Participants aged 6 to 79 years were considered eligible for
173 spirometry testing. Respondents were excluded if they: had current chest pain or physical
174 problems with forceful expiration; had recent chest, eye or abdominal surgery; had a heart
175 problem (such as heart attack), stroke or tuberculosis; were taking supplementary oxygen;

176 had a collapsed lung or detached retina; had painful ear problems or had coughed up blood
177 recently (NHANES 2014).

178 Spirometry testing for eligible participants was performed following the procedures
179 recommended by the American Thoracic Society (ATS). The protocol and procedures for
180 spirometry testing have been described elsewhere (NHANES 2008). The baseline
181 spirometry results of forced expiratory volume in one second (FEV₁), forced vital capacity
182 (FVC) and FEV₁/FVC% were determined by adopting a normal equation for spirometry
183 parameters of the US population which takes into account each respondent's age, sex,
184 weight, height, and race/ethnicity (NHANES 2014).

185 Based on the guidelines set from the International Consensus Statement between
186 the ATS and the European Respiratory Society (ERS) which suggest the presence of airflow
187 obstruction when the FEV₁/FVC ratio was less than 70% (Cerveri et al. 2008), current
188 untreated asthma was defined via spirometry results as respondents with an FEV₁/FVC of
189 <70% (Abo-Zaid et al. 2018). Analyses using self-reported but not with spirometry data were
190 performed for children. This is because accuracy and precision suffer in spirometry testing
191 involving children (Murray et al. 2016)

192 **Confounding variables**

193 Information on covariates was obtained from the NHANES. These covariates were
194 determined using the self-reported questionnaire, physical examination, and laboratory
195 measurements. Age, sex, race/ethnicity, and poverty status (which serves as a proxy for
196 socioeconomic status (SES)) were ascertained via questionnaire. Poverty status was
197 defined by the poverty income ratio (PIR) which was calculated by dividing the family income
198 by the poverty guidelines of a specific survey year. Race/ethnicity was classified as “non-
199 Hispanic white” (referent group), “non-Hispanic black”, “Mexican-American,” and “Other.”

200 Waist circumference in centimetres (cm) was used as a measure of overweight since
201 it gives a better measure of obesity-related health risks than body mass index (BMI)
202 (Janssen et al. 2004). Urinary creatinine concentrations were measured using Roche/Hitachi
203 Modular P chemistry analyser and Synchron CX3 clinical analyser (Beckman, California,
204 USA). Serum cotinine level (a biomarker for smoking status) was categorized as <LOD
205 (<0.015) nanogram/millilitre (ng/ml) (referent); low levels ($\geq 0.015 - 10$ ng/ml); and high levels
206 (≥ 10 ng/ml).

207 Statistical analysis

208 The Spearman's rank correlation coefficient was used to examine phthalate metabolites
209 correlation. Phthalate metabolite was considered to have a strong correlation with a
210 Spearman's correlation coefficient (r_s) greater than or equal to 0.7 ($r_s \geq 0.7$). MEHP (a
211 primary metabolite) and MECPP, MEHHP and MEOHP (secondary metabolites) of DEHP
212 were strongly correlated with one another (with a value of r_s between 0.73-0.98) (Additional
213 file 1: Table S1). Therefore, these metabolites were not separately analysed given their
214 strong correlation and common source; the molar sum of DEHP denoted as "ΣDEHP," was
215 used instead (Hoppin et al. 2013).

216 Sampling weights, stratification, and clustering provided in the NHANES study were
217 applied to all statistical analysis in order to account for the complex, multistage sampling
218 design employed in the selection of the representative non-institutionalized US population as
219 well as obtaining accurate estimates that will not overstate the statistical significance.
220 Following the NHANES analytical guidelines (Johnson et al. 2013), a new sampling weight
221 for the combined survey cycle was constructed by dividing the 2-year weights for each cycle
222 by 3 which was applied to the data via the Stata command [svyset] prior to analysis.

223 Descriptive statistics (weighted means, standard deviation, weighted percentages
224 and 95% confidence interval (CI)) were used to describe the demographics of all children

225 and adults and their respective subsets with measured urinary phthalate metabolite
226 concentrations. The distribution of urinary phthalate metabolites were presented for both
227 children and adults using weighted geometric means, 95% CI, and percentiles.

228 Logistic regression models (model 1 and 2) were used to determine the cross-
229 sectional measure of the association between urinary phthalate metabolites (continuous)
230 and current asthma (dichotomous outcome) by estimating the odds ratio (ORs) and 95% CIs
231 per one \log_{10} unit change in the concentration of phthalate metabolites. Model 1 was
232 presented as unadjusted ORs and 95% CI. Model 2 was adjusted for urinary creatinine (\log_{10}
233 transformed, continuous) in addition to other potential confounding variables.

234 Potential confounders included in this analysis were those suggested as being linked
235 with phthalate metabolites and/or asthma (Hoppin et al. 2013; James-Todd et al. 2016;
236 Gascon et al. 2015; Buckley et al. 2018). These variables included age, sex, race/ethnicity,
237 waist circumference, PIR, cotinine, and urinary creatinine. The analysis was further stratified
238 by sex for both children and adults by applying similar statistical modeling.

239 In order to assess the robustness of our findings, a sensitivity analysis was
240 performed. Exposure-response relationships were examined by modeling the associations
241 between tertiles of phthalate creatinine-corrected concentrations and asthma, with the lowest
242 tertile considered as the reference category (Buckley et al. 2018). Tertiles were categorised
243 separately for children and adults such that each tertile contained an equal number of
244 participants. While results for each phthalate metabolite were presented as crude and
245 adjusted ORs and 95% CIs, only adjusted models were shown for effect modifications by
246 sex and sensitivity analyses. All statistical analyses were conducted using STATA version
247 15.0 (College Station, Texas, USA).

248

249

250 **Results**

251 The demographic characteristics of all children (n=11,823) and the subset with
252 measured urinary phthalate concentrations (n=2180), who participated in the NHANES
253 2007-2012 are shown in Table 2. Approximately 8% of children in both groups had self-
254 reported asthma. With spirometry measures, the proportion of respondents with current
255 asthma dropped to less than 2%. The weighted proportions of all children belonging to any
256 race or living below the poverty threshold [poverty-to-income ratio (PIR)] were somewhat
257 similar to those with measured phthalate metabolites.

258 **INSERT TABLE 2 NEAR HERE**

259 Of the 30,442 respondents in the NHANES 2007-2012 cross-sections, a total of
260 61.2% (n=18,619) were adults - with approximately a third (n=5585) of the participants sub-
261 sampled for phthalate metabolite levels (Table 3). Although adults with detectable phthalate
262 metabolite values were slightly younger when compared with all adults, there was no
263 difference in the weighted proportions of the subjects belonging to any race or living below
264 the poverty threshold [poverty-to-income ratio (PIR) <1).

265 **INSERT TABLE 3 NEAR HERE**

266 Self-reported asthma was seen in nearly 6% of both groups. With the spirometry
267 measure, the proportion of asthmatics was more than doubled. This is expected given the
268 nature of the US healthcare system with many adults in the US without health insurance and
269 thus with undiagnosed asthma (Baldacci et al. 2015).

270 All participants (children and adults) had detectable concentrations of both LMW and
271 HMW phthalate metabolites (detection frequency >60%) (Table 4 and 5). With regard to
272 LMW phthalates, MEP had the highest mean concentrations for both children and adults. For
273 HMW phthalates, MECPP showed the highest in both groups.

274 The crude and adjusted model of associations between the different phthalate
275 metabolites and self-reported asthma in children are shown in Figure 1a and 1b,
276 respectively. Self-reported childhood asthma was positively associated with MEP (1.45;
277 1.10-1.92), MiBP (1.62; 1.12-2.32), MnBP (1.46; 1.05-2.02) and MBzP (1.50; 1.09-2.08) in
278 the crude analysis; with only MBzP (1.54; 1.05-2.27) reaching statistical significance after
279 adjusting for confounding variables.

280 **INSERT FIGURE 1A**

281 **Figure 1a.** Model 1 (crude) - associations of urinary phthalate metabolites with self-reported
282 asthma in children, NHANES 2007-2012.

283 Logistic regression modeling was used to assess the effect of individual phthalate
284 metabolites on asthma prevalence, with an odds ratio (OR) presented for 1 log₁₀ unit change
285 in urinary phthalate concentration.

286 MEP: mono-ethyl phthalate; MiBP: mono-isobutyl phthalate; MnBP: mono-n-butyl phthalate;
287 MBzP: mono-benzyl phthalate; MCNP: mono (carboxynonyl) phthalate; MCPPE: mono (3-
288 carboxylpropyl) phthalate; ΣDEHP: molar sum of DEHP metabolites (MEHP, MEHHP,
289 MECPP, and MEOHP)

290 **FIGURE 1B**

291 **Figure 1b.** Model 2 (adjusted) - associations of urinary phthalate metabolites with self-
292 reported asthma in children, NHANES 2007-2012.

293 Logistic regression modeling was used to assess the effect of individual phthalate
294 metabolites on asthma prevalence, with odds ratio (OR) presented for 1 log₁₀ unit change in
295 urinary phthalate concentration.

296 MEP: mono-ethyl phthalate; MiBP: mono-isobutyl phthalate; MnBP: mono-n-butyl phthalate;
297 MBzP: mono-benzyl phthalate; MCNP: mono (carboxynonyl) phthalate; MCPPE: mono (3-
298 carboxylpropyl) phthalate; ΣDEHP: molar sum of DEHP metabolites (MEHP, MEHHP,
299 MECPP, and MEOHP)

300 All models were adjusted for age, sex, ethnicity/race, waist circumference, cotinine, poverty,
301 urinary creatinine

302 Stratification by child's sex revealed that only the association between MEP and
303 current asthma was modified, with a significant positive relationship among boys (2.00; 1.14-
304 3.51), but not among girls (Figure 2). Effect modification was not observed for MBzP, despite
305 the significant relationship found in the overall model.

306 **INSERT FIGURE 2**

307 **Figure 2.** Associations between urinary phthalate metabolites and asthma (self-reported) in
308 children stratified by sex.

309 Logistic regression modeling was used to assess the effect of individual phthalate
310 metabolites on asthma prevalence, with odds ratio (OR) presented for 1 log₁₀ unit change in
311 urinary phthalate concentration.

312 MEP: mono-ethyl phthalate; MiBP: mono-isobutyl phthalate; MnBP: mono-n-butyl phthalate;
313 MBzP: mono-benzyl phthalate; MCNP: mono (carboxynonyl) phthalate; MCPP: mono (3-
314 carboxylpropyl) phthalate; ΣDEHP: molar sum of DEHP metabolites (MEHP, MEHHP,
315 MECPP, and MEOHP)

316 All models were adjusted for age, race/ethnicity, waist circumference, poverty, urinary
317 creatinine, and cotinine.

318

319

320 The crude and adjusted analyses of associations between different phthalate
321 metabolites and self-reported asthma in adults are shown in Figure 3a and 3b, respectively.
322 No phthalate metabolite showed a clear significant association with self-reported asthma in
323 either the crude or the adjusted models. Effect modification by adult sex was not observed
324 between any phthalate metabolites and self-reported asthma (Figure 4).

325 **INSERT FIGURE 3A**

326 **Figure 3a.** Model 1 (crude) - associations of urinary phthalate metabolites with self-reported
327 asthma in adults, NHANES 2007-2012.

328 Logistic regression modeling was used to assess the effect of individual phthalate
329 metabolites on asthma prevalence, with odds ratio (OR) presented for 1 log₁₀ unit change in
330 urinary phthalate concentration.

331 MEP: mono-ethyl phthalate; MiBP: mono-isobutyl phthalate; MnBP: mono-n-butyl phthalate;
332 MBzP: mono-benzyl phthalate; MCNP: mono (carboxynonyl) phthalate; MCPP: mono (3-
333 carboxylpropyl) phthalate; ΣDEHP: molar sum of DEHP metabolites (MEHP, MEHHP,
334 MECPP, and MEOHP)

335 **INSERT FIGURE 3B**

336 **Figure 3b.** Model 2 (adjusted) - associations of urinary phthalate metabolites with self-
337 reported asthma in children, NHANES 2007-2012.

338 Logistic regression modeling was used to assess the effect of individual phthalate
339 metabolites on asthma prevalence, with odds ratio (OR) presented for 1 log₁₀ unit change in
340 urinary phthalate concentration.

341 MEP: mono-ethyl phthalate; MiBP: mono-isobutyl phthalate; MnBP: mono-n-butyl phthalate;
342 MBzP: mono-benzyl phthalate; MCNP: mono (carboxynonyl) phthalate; MCPP: mono (3-
343 carboxylpropyl) phthalate; ΣDEHP: molar sum of DEHP metabolites (MEHP, MEHHP,
344 MECPP, and MEOHP)

345 All models were adjusted for age, sex, ethnicity/race, waist circumference, cotinine, poverty,
346 urinary creatinine

347 **INSERT FIGURE 4**

348 **Figure 4.** Associations between urinary phthalate metabolites and asthma (self-reported) in
349 adults stratified by sex.

350 Logistic regression modeling was used to assess the effect of individual phthalate
351 metabolites on asthma prevalence, with odds ratio (OR) presented for 1 log₁₀ unit change in
352 urinary phthalate concentration.

353 MEP: mono-ethyl phthalate; MiBP: mono-isobutyl phthalate; MnBP: mono-n-butyl phthalate;
354 MBzP: mono-benzyl phthalate; MCNP: mono (carboxynonyl) phthalate; MCPP: mono (3-
355 carboxylpropyl) phthalate; ΣDEHP: molar sum of DEHP metabolites (MEHP, MEHHP,
356 MECPP, and MEOHP)

357 All models were adjusted for age, race/ethnicity, waist circumference, urinary creatinine, and
358 cotinine.

359

360 Association of urinary phthalate metabolites and current asthma in adults were re-
361 analysed using spirometry data, with the results presented in Figure 5a and 5b. MiBP was
362 inversely associated with asthma in adults in the unadjusted model (0.73; 0.59-0.89), but the
363 association did not reach statistical significance after adjusting for confounders. No other
364 phthalate metabolites showed a significant relationship in either the crude nor the adjusted
365 analyses.

366 **INSERT FIGURE 5A**

367 **Figure 5a.** Model 1 (crude) - associations of urinary phthalate metabolites with current
368 asthma (spirometry measure) in adults, NHANES 2007-2012.

369 Logistic regression modeling was used to assess the effect of individual phthalate
370 metabolites on asthma prevalence, with odds ratio (OR) presented for 1 log₁₀ unit change in
371 urinary phthalate concentration.

372 MEP: mono-ethyl phthalate; MiBP: mono-isobutyl phthalate; MnBP: mono-n-butyl phthalate;
373 MBzP: mono-benzyl phthalate; MCNP: mono (carboxynonyl) phthalate; MCPP: mono (3-
374 carboxylpropyl) phthalate; ΣDEHP: molar sum of DEHP metabolites (MEHP, MEHHP,
375 MECPP, and MEOHP)

376 **INSERT FIGURE 5b**

377 **Figure 5b.** Model 2 (adjusted) - associations of urinary phthalate metabolites with current
378 asthma (spirometry measure) in adults, NHANES 2007-2012.

379 Logistic regression modeling was used to assess the effect of individual phthalate
380 metabolites on asthma prevalence, with odds ratio (OR) presented for 1 log₁₀ unit change in
381 urinary phthalate concentration.

382 MEP: mono-ethyl phthalate; MiBP: mono-isobutyl phthalate; MnBP: mono-n-butyl phthalate;
383 MBzP: mono-benzyl phthalate; MCNP: mono (carboxynonyl) phthalate; MCPP: mono (3-

384 carboxylpropyl) phthalate; Σ DEHP: molar sum of DEHP metabolites (MEHP, MEHHP,
385 MECPP, and MEOHP)

386 All models were adjusted for age, sex, ethnicity/race, waist circumference, cotinine, poverty,
387 urinary creatinine.

388

389

390 The association of current asthma with MEP, MCPP and MCNP were not apparent in
391 the overall model until after stratification by sex (Figure 6). Similar to the result observed in
392 children, a positive significant relationship was found between MEP and current asthma
393 among adult males (1.32; 1.04-1.69) but not for females (1.03; 0.75-1.44). In contrast, MCPP
394 and MCNP were negatively associated with current asthma in adult females alone.

395 **INSERT FIGURE 6**

396 **Figure 6.** Associations between urinary phthalate metabolites and current asthma
397 (spirometry measure in adults stratified by sex.

398 Logistic regression modeling was used to assess the effect of individual phthalate
399 metabolites on asthma prevalence, with odds ratio (OR) presented for 1 \log_{10} unit change in
400 urinary phthalate concentration.

401 MEP: mono-ethyl phthalate; MiBP: mono-isobutyl phthalate; MnBP: mono-n-butyl phthalate;
402 MBzP: mono-benzyl phthalate; MCNP: mono (carboxynonyl) phthalate; MCPP: mono (3-
403 carboxylpropyl) phthalate; Σ DEHP: molar sum of DEHP metabolites (MEHP, MEHHP,
404 MECPP, and MEOHP)

405 All models were adjusted for age, race/ethnicity, waist circumference, urinary creatinine and
406 cotinine.

407

408 Sensitivity analyses examining the exposure-response associations demonstrated
409 increases or decreases in the odds of current asthma with increasing exposure category
410 (Additional file 1: Table S2, S3, and S4). Overall, the results were consistent with the primary

411 analysis in both children and adults. There was a significant positive association between
412 MBzP exposure and self-reported asthma in children for the highest tertile relative to the
413 lowest tertile (1.99; 1.08-3.68), but not with any other phthalate metabolites. For male
414 children, exposure to the highest tertile of MEP was significantly associated with over a 2-
415 fold increased odds of self-reported asthma compared to the lowest tertile of MEP (2.38;
416 1.107-5.29). Similarly, adult males in the middle (1.64; 1.01-2.68) and highest tertiles (1.66;
417 1.07-2.2.59) had an elevated odds of asthma compared to those in the lowest tertiles. With
418 the exception of MEP, no other phthalate metabolites showed a positive association with
419 current asthma in males.

420 Results were, however, less consistent for adult females, with no significant
421 relationship found using spirometry data as opposed to the inverse association found
422 between MCNP and MCPPE metabolites and current asthma in the primary analysis.

423

424 **Discussion**

425 In this study, we observed no clear relationship between phthalate exposure and
426 asthma, apart from one significant association between MBzP and self-reported asthma in
427 children. Stratification by sex revealed that both boys and adult males are not at increased
428 odds of current asthma following exposure to the majority of phthalate metabolites apart
429 from the MEP metabolite. We found associations of MCNP and MCPPE concentrations with
430 reduced odds of asthma (defined using FEV₁/FVC 70% cut off) among adult females. MiBP,
431 MnBP, and ΣDEHP were not significantly associated with either self-reported or objectively
432 defined asthma (spirometry measure) in both children and adults.

433 Whyatt et al. (2014) examined the relationship between the diagnosis of asthma in
434 children (aged 5-11 years, n= 300) and prenatal exposures BBzP, di-n-butyl phthalate
435 (DnBP), DEHP and di-ethyl phthalate (DEP) using a longitudinal birth cohort of 727 women

436 enrolled between 1998 and 2006. They found that maternal prenatal MBzP and MnBP
437 concentrations– metabolites of HMW BBzP and DnBP respectively– were significantly
438 associated with the diagnosis of current asthma and with a history of asthma-like symptoms
439 (Wyatt et al. 2014). The present study found a significant association between MBzP and
440 self-reported asthma in children, but no relationship was found for MnBP.

441 A positive correlation was found between an HMW metabolite, DEHP exposure and
442 asthma in settled dust (Gascon et al. 2015), but not with MBzP (Bornehag et al. 2004;
443 Kolarik et al. 2008). A cross-sectional study of 623 Norwegian children aged 10- years old
444 reported a significant relationship with the highest quartiles of MCNP and mono
445 (carboxyloctyl) phthalate (MCOP) (Bertelsen et al. 2013). However, we did not find a positive
446 association of childhood asthma with DEHP or MCNP in either the crude or the adjusted
447 analyses. This disparity may be attributed in part to the matrix examined, dust (Gascon et al.
448 2015; Bornehag et al. 2004; Kolarik et al. 2008) versus urine (Bertelsen et al. 2013). Another
449 possible explanation might be the differences in specimen collection (i.e. the use of first-
450 morning void (Bertelsen et al. 2013) as opposed to spot urine in the present study), as these
451 may affect the concentrations of phthalate metabolites measured.

452 MBzP is a primary metabolite of BBzP, an HMW phthalate used in the manufacturing
453 of toys, PVC materials, child care articles and personal care products, and for
454 pharmaceutical coatings (Benjamin et al. 2017; Braun et al. 2013). While exposure to some
455 phthalate compounds, particularly among asthmatics, may be via pharmaceuticals, Hoppin
456 et al. (2013) suggested that the presence of MBzP in urine is unlikely to be as a result of the
457 use of asthma medication since BBzP is not approved for pharmaceutical coatings.
458 Compared with non-asthmatics, Hsu et al. 2011 (Hsu et al. 2012) demonstrated that
459 asthmatic children had significantly higher levels of BBzP determined in settled dust, even
460 after controlling for other indoor air pollutants. They proposed that the inhalation of BBzP

461 may be an important pathway to the development or exacerbation of asthma in children in
462 Taiwan (Hsu et al. 2012).

463 In a study using the NHANES data, Hoppin et al. (2013) showed that HMW phthalate
464 metabolites, particularly MBzP, were positively associated with current asthma, current
465 wheeze, current hay fever, and current rhinitis in adults (aged 18 years and older, n=1596).
466 but not in children (aged 6-17 years, n=779). This disparity with our analysis may be
467 attributed to the asthma definition and/or the measurement method used. Hoppin et al.
468 (2013) separated the definition of current asthma and wheeze in their analysis, whereas the
469 present study combined these self-reported measures. It is also possible that our findings for
470 children may have occurred by chance alone since no other phthalate analysed showed a
471 significant relationship in the adjusted models.

472 We did observe that the weighted geometric mean concentration of MBzP in children
473 was two-fold the level seen in adults (Table 4 and 5). This suggests that children are more
474 exposed to MBzP than adults and may be at increased odds of asthma following this
475 exposure. It is important to note that our findings for children should, however, be interpreted
476 with caution. While one (MBzP metabolite) out of ten metabolites analysed was positively
477 associated with asthma, this does not suggest any strong relationship between phthalates
478 and asthma. For adults, using both self-reported and spirometry data of the present study,
479 there were no associations between any phthalate metabolite and current asthma.

480 **INSERT TABLE 4 NEAR HERE**

481 **INSERT TABLE 5 NEAR HERE**

482 With respect to effect modification by sex, Ku et al. (2015) reported that exposure to
483 MEP metabolite was significantly associated with an increased odds of asthma among boys,
484 but not among girls; and this was reflected in our study. Analysis of 240 adult participants
485 (140 females, 100 males; 20 to 60 years) of NHANES III revealed that MEP levels in urine

486 were associated with a reduction in pulmonary function measures (FEV₁, FVC) in adult
487 males alone (Hoppin et al. 2004). A similar study of 3147 participants (aged between 6 and
488 49 years) found significant associations between MEP, MnBP, MCPP and ΣDEHP exposure,
489 and a reduction in FEV₁ or FVC in men (Cakmak et al. 2014). Although an inverse
490 association was found between MCPP and MCNP metabolites and current asthma in adult
491 females, our result for MEP was consistent with previous studies for both boys and adult
492 males.

493 MEP is a primary metabolite of DEP, an LMW phthalate used in varieties of
494 consumer products including fragrances and personal care products. Sex differences in
495 MEP concentrations have been explained based on the use of these products, with evidence
496 of higher MEP levels in females than in males (Saravanabhavan et al. 2014). However, our
497 finding suggests that higher exposure to DEP may not explain the observed association
498 among males. The sex-specific relationship may be attributed to either the endocrine
499 disruptive ability of DEP in relation to sex differences in asthma prevalence (Buckley et al.
500 2018), or the hormonal influence of the chemical on the functioning of lungs and the immune
501 systems. It is also plausible that the interactions between gene and environmental exposure
502 to DEP may have resulted in sex-specific differences and the observed male susceptibility to
503 asthma prevalence.

504 Although the pathways through which phthalates induce asthma in humans remain
505 unclear (Wyatt et al. 2014), animal studies have provided stronger evidence of their
506 deleterious effects. For example, the metabolites of HMW phthalates, especially MBzP and
507 MEHP, were shown to bind with and activate the nuclear peroxisome proliferator-activated
508 receptors (PPAR –alpha and PPAR-gamma), which play a significant role in certain
509 physiological processes including airway remodeling and inflammation in rodents (Hurst and
510 Waxman 2003). More recently, *in vivo* studies have demonstrated that DEHP induces Th2
511 and Th17 immune responses and airway inflammation in mice (Alfardan et al. 2018), and

512 thymic stromal lymphopoietin (TSLP), Th2 immune response and interleukin-7 receptor in
513 rats (Wand et al. 2018); all of which exacerbates asthma.

514 Some limitations of the study include the cross-sectional design of NHANES making
515 it difficult to establish causality in the associations between phthalate exposures and asthma
516 in children and adults. Phthalate measurements are prone to exposure misclassification via
517 the use of a single spot urine sample per subject, which may not take into account the
518 variation of within-person over time. Nevertheless, although phthalate metabolites have
519 biological half-lives of less than a day (Jepsen et al. 2004; Ferguson et al. 2011), research
520 has shown that, despite this temporal variability, the measurement of phthalate
521 concentrations via a single spot urine sample may be a representative of long-term
522 exposures (Teitelbaum et al. 2008). We were unable to repeat the analysis for children using
523 spirometry data. This is because FEV₁/FVC was considered a poor diagnostic test for
524 childhood asthma due to a lack of accuracy and precision (Murray et al. 2016) and the
525 limited number of children classified as asthmatics (< 2%) compared to non-asthmatics
526 (>98%) (Table 2). This prevented us from investigating if the observed association between
527 MBzP metabolites and self-reported asthma in children were overestimated or due to
528 chance. Finally, some children and adults with asthma, particularly of lower socio-economic
529 status (SES), may not have received a diagnosis of asthma due to lack of healthcare; and
530 thus, are unaware of their current asthma status.

531 However, the strengths of the study include using three NHANES waves based on a
532 representative sample of the US population that is diverse in terms of geographical
533 distribution, ethnic groups, age, and income. Current asthma was defined using both
534 subjective (self-reported) and objective (spirometry) measures in adults. Both our primary
535 and sensitivity analyses were robust in statistical modeling approaches and may be
536 generalized to the US population.

537 **Conclusions**

538 Urinary concentrations of phthalate metabolites were not significantly associated with
539 current asthma in children and adults, apart from a single metabolite. Stratification by sex
540 revealed that boys and adult males were at increased odds of asthma following exposure to
541 only MEP; adult females were at decreased odds of asthma following exposure to MCNP
542 and MCPP. Based on our findings, the potential adverse effect of phthalate exposure on
543 asthma pathogenesis and/or exacerbations remains controversial, highlighting the need for a
544 more comprehensive study on phthalate exposure and the occurrence of asthma; ideally,
545 integrating a well-designed longitudinal follow-up analysis would be more informative.

546

547 **List of Abbreviations**

548	ATS	American Thoracic Society
549	BBzP	benzylbutyl phthalate
550	BMI	body mass index
551	CDC	Centers for Disease Control and Prevention
552	CI	confidence interval
553	cm	centimetre
554	DALYs	disability-adjusted life years
555	DEHP	di-(2-ethylhexyl) phthalate
556	DEP	di-ethyl phthalate
557	ED	emergency department
558	ERS	European Respiratory Society
559	FEV ₁	forced expiratory volume in one second
560	FVC	forced vital capacity

561	HMW	high molecular weight
562	ISAAC	The International Study of Asthma and Allergies in Childhood
563	LMW	low molecular weight
564	LOD	limit of detection
565	LOD _{max}	maximum limit of detection
566	MBzP	mono-benzyl phthalate
567	MCNP	mono-(carboxynonyl) phthalate
568	MCOP	mono-(carboxyloctyl) phthalate
569	MCPP	mono-(3-carboxylpropyl) phthalate
570	MECPP	mono-(2-ethyl-5-oxohexyl) phthalate
571	MEHHP	mono-(2-ethyl-5-hydroxyhexyl) phthalate
572	MEHP	mono-(2-ethyl-5-hexyl) phthalate
573	MEOHP	mono-(2-ethyl-5-oxohexyl) phthalate
574	MEP	mono-ethyl phthalate
575	MiBP	mono-iso-butyl phthalate
576	MnBP	mono-n-butyl phthalate
577	NCHS	National Centre for Health Statistics
578	NHANES	National Health and Nutrition Examination Survey
579	NHS	National Health Service
580	OR	odds ratio

581	PIR	income to poverty ratio
582	PPARs	peroxisome proliferator-activated receptors
583	PVC	polyvinyl chloride
584	SES	socioeconomic status
585	TSLP	thymic stromal lymphopoietin
586	UK	United Kingdom
587	US	United States
588	YLLs	years of life lost

589 **Additional file 1**

590 **Table S1.** Spearman’s rank correlation coefficients for all phthalate metabolite
591 concentrations (n=7523). **Table S2.** Sensitivity analysis estimating associations of tertiles
592 between urinary phthalate metabolite and asthma (self-reported) in children. **Table S3.**
593 Sensitivity analysis estimating associations of tertiles between urinary phthalate metabolite
594 and asthma (self-reported) in adults. **Table S4.** Sensitivity analysis estimating associations
595 of tertiles between urinary phthalate metabolite and asthma (spirometry measure) in adults.
596 (DOC 19kb)

597 **Declarations**

598 **Ethics approval and consent to participate**

599 Ethics for the collection of the original NHANES data and consent for participation were
600 addressed by the NHANES Ethics Review Board (ERB):_see
601 <https://www.cdc.gov/nchs/nhanes/irba98.htm> Ethical approval for the secondary
602 analysis was obtained from the University of Exeter Medical School’s Ethics
603 Committee.

604 **Consent for publication**

605 Not applicable

606 **Availability of data and materials**

607 The datasets used for this analysis are publicly available on the NHANES website:

608 <https://www.cdc.gov/nchs/nhanes/search/datapage.aspx?Component=Demographics>

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612 **Competing interests**

613 The authors declare no competing interest

614

615

616 **Authors' contributions**

617 C.C.O. and N.J.O. conceived the original study, C.C.O obtained the data, cleaned it, and

618 conducted the main analysis under the direction and supervision of N.J.O., C.C.O. wrote the

619 paper and N.J.O., T.J.T. and L.E.F. contributed to the writing, advice and amendments of the

620 paper.

621

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Table 1. Commonly used phthalates, their molecular weights and primary metabolites. Adapted from (Braun et al. 2013; Benjamin et al. 2017)

Commonly used phthalates (parent compound)	Abbreviation	Metabolites measured in epidemiological studies	Molecular weight (MW)	Uses/Applications
<i>High Molecular Weight (HMW) Phthalates</i>				
Di- (2-ethylhexyl) phthalate	DEPH	a) Mono-(2-ethylhexyl) phthalate (MEHP) b) Mono-(2-ethyl-5-oxohexyl) phthalate (MEOHP) c) Mono-(2-ethyl-5-hydroxyhexyl) phthalate (MEHHP) c) Mono-(2-ethyl-5-carboxypentyl) phthalate (MECPP)	390.56	Plasticizer for PVC including medical tubing (blood bags, syringes and dialysis equipment), construction and automotive, some food packaging, flooring and floor tiles, toys, solvents in lip sticks, plastic films, gloves, shower curtains, wall covering, ethyl cellulose resin (such as electric wire, imitation leather, mould plastic products, and rain wears).
Di-isononyl phthalate	DiNP	a) Mono-(carboxyloctyl) phthalate (MCOP)	418.61	PVC sheeting, building and construction materials, foot wears, sealing, several categories of toys (plastic

		b) Mono-isononyl phthalate (MiNP)		books, ball, doll and cartoon characters), paints, automotive parts adhesives, printing ink for t-shirts, soap packaging, resins, and electrical wires and cables, etc.
Di-isodecyl phthalate	DiDP	Mono-(carboxylnonyl) phthalate (MCNP)	446.66	Plasticizer in PVC, pharmaceutical pills, food wrappers, plastic paste for coating, textile inks, PVC
				Flooring materials, hollow plastic products such as toys, exercise balls, and hoppers, and adhesives.
Di-n-octyl phthalate	DnOP	Mono (3-carboxylpropyl) phthalate (MCP)	390.56	Plasticizer in PVC, paints, lacquers, adhesives, flooring tiles.
Benzylbutyl phthalate	BBzP	a) Mono-benzyl phthalate (MBzP) b) Mono (3-carboxylpropyl) phthalate (MCP)	278.34	Cellulose, varnishes, toys, childcare articles, school supplies, children clothes, acetate plastics, personal care products (including nail polish and cosmetics) and fragrance ingredients.
<i>Low Molecular Weight (LMW) Phthalates</i>				
Di-butyl phthalate	DBP	a) Mono-n-butyl phthalate (MnBP) b) Mono (3-carboxylpropyl) phthalate (MCP)	278.34	Cellulose acetate plastics, personal care products, varnishes, pharmaceutical coatings, and fragrance ingredients.

D-imethyl phthalate	DMP	Mono-methyl phthalate (MMP)	194.18	Fragrance ingredients for cosmetics, domestic and personal care product, adhesives, children's toys, lacquers, paints, plastics and rubbers.
Di-ethyl phthalate	DEP	Mono-ethyl phthalate (MEP)	222.24	Personal care items (fragrances), pharmaceutical coatings and packaging, dyes, nail polish, perfumes as a solvent, ingredient in aspirin coating, surface lubricants in food, automotive parts, adhesives and plasticizers.

Table 2. Demographic and asthma status for all children and subsets sampled for phthalate concentrations, NHANES 2007-2012.

Children aged 6- <18 years		
Characteristics	All participants (n=11823)	Participants sampled for phthalate concentrations (n=2180)
Age at screening (years), weighted mean (SD, 95% CI)	8.6 (5.2, 8.44-8.74)	11.5 (3.4, 11.27-11.71)
Sex		
Male, weighted % (n, 95% CI)	50.8 (6037, 49.26-52.25)	50.1 (1095, 47.09-53.00)
Female, weighted % (n, 95% CI)	49.2 (5786, 47.75-50.74)	49.9 (1085, 46.99-52.91)
Race/ethnicity, weighted %, (n, 95% CI)		
Non-Hispanic whites	55.5 (3646, 50.57-60.39)	56.1 (619, 50.38-61.62)
Non-Hispanic Blacks	14.3 (2801, 11.99-17.04)	14.7 (551, 12.13-17.73)
Mexican American Hispanic	15.0 (2983, 12.04-18.63)	14.8 (537, 11.48-18.77)
Others	15.1 (2575, 12.90-17.58)	14.4 (473, 11.74-17.66)
Waist circumference (cm), weighted mean (SD, 95% CI)	67.8 (16.2, 67.25-68.34)	73.1 (15.4, 72.10-73.99)
Family income-to-poverty ratio (PIR), weighted % (n, 95% CI)		
Below poverty (PIR<1), weighted % (n, 95% CI)	24.4 (3823, 21.97-27.03)	23.7 (668, 20.85-26.82)
At or above poverty (PIR≥1),	75.6 (7052, 72.97-78.03)	76.3 (1333, 73.18-79.15)
Urinary creatinine (mg/dL), weighted mean (SD, 95% CI)	119.2 (74.2, 114.76- 123.69)	118.6 (75.3, 113.76-123.36)
Cotinine levels (ng/mL), weighted % (n, 95% CI)		

<LOD (<0.015)	25.9 (1554, 23.03-29.13)	26.8 (457, 22.94-30.99)
Low (≥ 0.015 to <10)	70.4 (4855, 67.15-73.42)	68.7 (1322, 64.72-72.47)
High (≥ 10)	3.7 (184, 2.96-4.50)	4.5 (63, 3.18-6.33)
Current asthma based on self-reported questionnaire, weighted % (n, 95% CI)		
Yes	7.6 (800, 7.13-8.14)	8.1 (177, 6.80-9.71)
No	92.4 (9700, 91.86-92.87)	91.9 (2003, 90.29-93.20)
Current asthma based on spirometry FEV ₁ /FVC cut-off, weighted % (n, 95% CI)		
Yes	1.7 (110, 1.28-2.12)	1.5 (34, 1.02-2.07)
No	98.3 (5737, 97.88-98.72)	98.6 (1877, 97.93-98.98)

SD: standard deviation; CI: confidence interval; LOD: limit of detection; FEV₁: forced expiratory volume in one second; FVC: forced vital capacity

Table 3. Demographic and asthma status for all adults and subsets sampled for phthalate concentrations, NHANES 2007-2012

Adults aged 18- <80 years		
Characteristics	All participants (n=18619)	Participants sampled for phthalate concentrations (n=5585)
Age at screening (years), weighted mean (SD, 95% CI)	46.1 (17.3, 45.33-46.82)	44.2 (16.1, 43.36-45.00)
Sex		
Male, weighted % (n, 95% CI)	48.3 (9140, 47.59-49.06)	49.4 (2804, 47.95-50.94)
Female, weighted % (n, 95% CI)	51.7 (9479, 50.94-52.41)	50.6 (2781, 43.37-52.05)
Race/ethnicity, weighted % (n, 95% CI)		
Non-Hispanic whites	67.6 (8044, 63.20-71.62)	67.1 (2323, 62.74-71.25)
Non-Hispanic Blacks	11.6 (4050, 9.60-13.84)	11.9 (1271, 9.80-14.33)
Mexican American Hispanic	8.4 (2913, 6.48-10.75)	8.3 (893, 6.36-10.78)
Others	12.5 (3612, 10.70-14.61)	12.7 (1098, 10.81-14.81)
Waist circumference (cm), weighted mean (SD, 95% CI)	97.9 (16.2, 97.27-98.43)	97.8 (16.7, 97.05-98.60)
Family income-to-poverty ratio (PIR), weighted % (n, 95% CI)		
Below poverty (PIR<1),	16.1 (4003, 14.56-17.78)	16.2 (1233, 14.20-18.50)
At or above poverty (PIR≥1),	83.9 (12834, 82.15-85.44)	83.8 (3837, 81.50-85.80)
Urinary creatinine (mg/dL), weighted mean (SD, 95% CI)	120.8 (77.9, 117.84-123.81)	121.4 (78.7, 118.28-124.49)
Cotinine levels (ng/mL), weighted % (n, 95% CI)		
<LOD (<0.015)	24.9 (3724, 23.28-26.69)	25.1 (1130, 22.90-27.35)

Low (≥ 0.015 to < 10)	50.0 (8844, 48.47-51.50)	49.2 (2705, 46.83-51.49)
High (≥ 10)	25.1 (4216, 23.58-26.60)	25.8 (1379, 23.60-28.10)
Current asthma based on self-reported questionnaire, weighted % (n, 95% CI)		
Yes	5.9 (1123, 5.29-6.75)	5.7 (349, 4.93-6.47)
No	94.0 (17463, 93.24-94.71)	94.3 (5227, 93.53-95.07)
Current asthma based on spirometry FEV ₁ /FVC cut-off, weighted % (n, 95% CI)		
Yes	13.4 (1818, 12.42-14.52)	13.6 (610, 12.19-15.05)
No	86.6 (12353, 85.48-87.58)	86.4 (4966, 84.95-87.81)

SD: standard deviation; CI: confidence interval; LOD: limit of detection; n: number of observation; FEV₁: forced expiratory volume in one second; FVC: forced vital capacity

Table 4. Distribution of urinary phthalate concentrations for children (aged 6-<18 years), NHANES 2007-2012

Metabolite (ng/mL)	Sample size, N	LOD _{max} (ng/mL)	≥ LOD _{max} (%) ^a	Weighted geometric mean (95% CI)	Percentile						
					Min	5th	25th	50th	75th	95th	max
<i>LMW phthalate</i>											
MEP	2106	0.6	99.9	45.2 (39.85-50.45)	<LOD _{max}	6.9	22.8	49.5	129.1	588.9	7633.2
MiBP	2106	0.3	99.6	9.7 (9.05-10.37)	<LOD _{max}	1.5	5.4	11.5	22.2	56.2	1163.3
MnBP	2106	0.6	98.4	18.2 (16.56-19.89)	<LOD _{max}	2.3	10.4	22.2	44.4	118.3	101013
<i>HMW phthalate</i>											
MBzP	2106	0.3	99.4	10.6 (9.55-11.67)	<LOD _{max}	1.2	5.0	12.3	27.3	86.1	617.18
MCNP	2106	0.5	96.3	3.0 (2.72-3.19)	<LOD _{max}	0.5	1.7	3.1	5.5	14.6	334
M CPP	2106	0.2	98.5	4.0 (3.56-4.39)	<LOD _{max}	0.6	1.9	3.8	8.0	24.9	1425.8
ΣDEHP ^b	2106	-	-	0.2 (0.17-0.21)	0.004	0.03	0.10	0.20	0.40	1.43	15.57
MEHP	2106	1.1	66.7	2.1 (1.96-2.23)	<LOD _{max}	<LOD _{max}	<LOD _{max}	1.9	4.2	15.3	204.7
MEHHP	2106	0.7	99.4	16.1 (14.58-17.65)	<LOD _{max}	2.2	7.8	17.2	36.5	133.3	1672
M CPP	2106	0.5	100	27.1 (24.67-29.56)	<LOD _{max}	5.0	14.6	28.4	56.4	194.1	1871
MEOHP	2106	0.6	99.1	10.2 (9.30-11.17)	<LOD _{max}	1.5	5.1	11.2	22.8	76.8	1175.1

Table 5. Distribution of urinary phthalate concentrations for adults (aged 18-<80 years), NHANES 2007-2012

Metabolite (ng/mL)	Sample size, N	LOD _{max} (ng/mL)	≥ LOD _{max} (%) ^a	Weighted geometric mean (95% CI)	Percentile							
					Min	5th	25th	50th	75th	95th	max	
<i>LMW phthalate</i>												
MEP	5417	0.6	99.9	63.6 (58.95-68.35)	<LOD _{max}	6.8	26.1	72.1	224.3	1285	31660	
MiBP	5417	0.3	98.7	6.5 (6.12-6.90)	<LOD _{max}	0.9	3.8	8.1	15.5	40.0	627	
MnBP	5417	0.6	97.2	11.9 (10.96-12.79)	<LOD _{max}	1.3	6.8	15.0	30.8	84.8	25863	
<i>HMW phthalate</i>												
MBzP	5417	0.3	97.8	5.3 (4.93-5.63)	<LOD _{max}	0.6	2.5	5.9	13.4	42.3	450.2	
MCNP	5417	0.5	92.7	2.6 (2.42-2.72)	<LOD _{max}	<LOD	1.2	2.4	4.8	16.2	730.25	
M CPP	5417	0.2	97.4	2.8 (2.54-2.99)	<LOD _{max}	0.3	1.2	2.6	5.6	22.4	2597.3	
ΣDEHP ^b	5417	-	-	0.1 (0.13-0.15)	0.003	0.02	0.07	0.14	0.30	1.1	106.72	
MEHP	5417	1.1	62.8	2.1 (1.92-2.20)	<LOD _{max}	<LOD _{max}	<LOD _{max}	1.7	4.0	16.9	1252.7	
MEHHP	5417	0.7	98.5	12.5 (11.52-13.52)	<LOD _{max}	1.6	5.9	12.8	27.3	116.3	9326.1	
M CPP	5417	0.5	99.8	19.5 (17.99-20.92)	<LOD _{max}	3.1	9.5	19.8	40.7	148.1	15828	
MEOHP	5417	0.6	97.8	7.5 (6.90-8.07)	<LOD _{max}	1.0	3.6	7.7	16.1	62.1	6079.9	

1 ng/mL: nanogram per millilitre; N : number of participants/urinary samples; LOD: limit of detection; Min: minimum; 5th: 5th percentile; 25th: 25th
2 percentile; 50th: 50th percentile; 75th: 75th percentile; 95th: 95th percentile; max: maximum; LMW: low molecular weight; MMP :mono-n-methyl
3 phthalate; MEP: mono-ethyl phthalate; MiBP: mono-isobutyl phthalate; MnBP: mono-n-butyl phthalate; HWM: high molecular weight; MiNP:
4 mono-isononyl phthalate; MBzP: mono-benzyl phthalate; MCNP: mono (carboxynonyl) phthalate; MCPP : mono (3-carboxylpropyl) phthalate;
5 DEHP: di(2-ethylhexyl) phthalate; MEHP: mono (2-ethylhexyl) phthalate; MEHHP: mono (2-ethyl-5-hydroxyhexyl) phthalate; MECPP: mono (2-
6 ethyl-5-carboxypentyl) phthalate; MEOHP: mono (2-ethyl-5-oxohexyl) phthalate.

7 ^aPercentage of phthalate metabolite concentrations at or above the maximum limit of detection ($<LOD_{max}$). All concentrations below the LOD_{max}
8 ($<LOD_{max}$) were substituted with a value of LOD_{max} divided by square root of two ($\sqrt{2}$).

9 ^b Σ DEHP: Molar sum of DEHP metabolites (MEHP, MEHHP, MECPP, and MEOHP) expressed in μ mol/L.

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