

1 **Analysis of bone impairment by 3D DXA hip measures in patients with primary**
2 **hyperparathyroidism: a pilot study**

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27 **Abstract:**

28 **Context:** primary hyperparathyroidism (PHPT) has been related to bone loss. Dual-energy X-
29 ray absorptiometry (DXA) cannot distinguish between trabecular and cortical bone
30 compartments but the recently developed 3D-DXA software might overcome this issue.

31 **Objective:** to examine the differences in DXA-derived areal bone mineral density (aBMD) and
32 3D-DXA parameters at the hip site between patients with PHPT and a healthy control group.

33 **Design:** cross-sectional pilot study

34 **Setting:** hospital

35 **Patients:** 80 adults (59.5 ± 9.1 yrs), 40 with PHPT and 40 healthy age- and sex-matched healthy
36 controls.

37 **Measures:** aBMD (g/cm^2) of the femoral neck, trochanter, shaft and total hip was assessed
38 using DXA. Cortical surface (sBMD, mg/cm^2), cortical volumetric BMD (vBMD, mg/cm^3),
39 trabecular vBMD (mg/cm^3), integral vBMD (mg/cm^3) and cortical thickness (mm) was
40 assessed using 3D-DXA software.

41 **Results:** mean-adjusted values showed lower aBMD (7.5% to 12.2%, effect size: 0.51-1.01) in
42 the PHPT group compared to the control group (all $p < 0.05$). 3D-DXA revealed bone
43 impairment (3.7% to 8.5%, effect size: 0.47-0.65) in patients with PHPT, mainly in cortical
44 parameters (all $p < 0.05$). However, differences in trabecular vBMD were not statistically
45 significant ($p = 0.055$). The 3D mapping showed lower cortical sBMD, cortical vBMD and
46 cortical thickness at the trochanter and diaphysis in the PHPT group ($p < 0.05$) compared to the
47 control group. In both groups, the presence of osteopenia or osteoporosis is related to lower
48 cortical bone.

49 **Conclusions:** aBMD and cortical 3D parameters are impaired in patients with PHPT versus
50 healthy controls. The vBMD of the trabecular compartment seems to be affected though to a
51 lower extent.

52 **Keywords:** parathyroid-related disorders, DXA, Bone QCT, Osteoporosis, 3D-DXA

53 **Introduction**

54 Primary hyperparathyroidism (PHPT) is a common endocrine disorder characterized by
55 hypercalcemia and parathyroid hormone (PTH) levels that are elevated or inappropriately
56 normal (1, 2). In 80% of the cases, a single gland disorder causes the excessive secretion of
57 PTH while in the remaining; a multiple gland disorder is the cause (1). In the United States, the
58 prevalence of PHPT is higher in women (23 cases per 10,000 women) than in men (8.5 cases
59 per 10,000 men) (3) and the condition has frequently been related to bone fragility.

60 Patients with PHPT present high rates of bone remodelling and a slow decline in bone mass.
61 Findings from a 15-year observational study showed that the areal bone mineral density
62 (aBMD) of the femoral neck and radius decreased while that of the lumbar spine was preserved
63 (4). In a study with 52 patients with PHPT (5), the most important reductions in aBMD were
64 found at the radius, a site of predominantly cortical bone whereas normal aBMD was found at
65 the lumbar spine, a site of predominantly trabecular bone. With regards to femoral neck aBMD
66 (mix of cortical and trabecular bone), 23% of the patients with PHPT had values that were lower
67 than those of 80% of their age- and sex-matched healthy peers (5). Percutaneous bone biopsies
68 confirmed the impairment of cortical bone with apparent preservation of trabecular bone in
69 PHPT (5), which was backed up in other studies (6, 7). However, with the arrival of new and
70 more precise technologies the way these findings were looked at changed. Studies using
71 peripheral quantitative computed tomography (pQCT) showed a catabolic effect of PTH on
72 both trabecular and cortical bone compartments (8, 9). Interestingly, a study using high-
73 resolution pQCT (HRpQCT) suggested that mechanical loading helped to counteract the
74 detrimental effects of PTH on trabecular bone of the tibia (not in the radius), but it did not ward
75 off the loss of cortical bone in PHPT (10).

76 Epidemiology studies suggest that PHPT is associated to an increased overall risk of fracture
77 (10, 11). Dual energy X-ray Absorptiometry (DXA) is considered the gold standard method for
78 assessing aBMD by non-invasive means in a clinical setting and has been used worldwide in
79 numerous population groups of different ages (12). It is also a valid method to diagnose
80 osteoporosis and to predict the risk of fracture in adults (13), with special relevance to those of
81 the femur due to the high prevalence of fractures at this site (14). As a limitation, DXA cannot
82 distinguish between trabecular and cortical bone compartments DXA due to its limited
83 resolving power (10). Advanced techniques, such as pQCT or HRpQCT enable *in vivo*
84 assessment of trabecular and cortical bone microarchitecture but they are very expensive and
85 only available in few laboratories worldwide. Therefore, combining DXA-derived aBMD data
86 with 3D QCT-like parameters of cortical and trabecular bone would be an alternative in clinical
87 settings. In this study, we not only used aBMD of hip sites but also the recently developed 3-
88 dimensional (3D)-DXA software algorithm to quantify the cortical thickness and the
89 volumetric bone mineral density (vBMD) of the cortical and trabecular bone compartments,
90 among other parameters. This method models the femoral neck and the bone density
91 distribution, and assesses the trabecular macrostructure and the cortex in 3D using an
92 anteroposterior DXA projection (15). The accuracy of the 3D-DXA models and measurements
93 has been evaluated using a database with 157 subjects and comparing the 3D-DXA analyses
94 with QCT measurements (15). The 3D-DXA parameters have shown very strong correlations
95 compared to QCT outcomes and are therefore, a feasible alternative when pQCT is not available
96 (16).

97 In this pilot study, we aimed to examine between-group differences in DXA-derived aBMD
98 and 3D-DXA parameters at the hip site between patients with PHPT and a healthy control
99 group. Additionally, we aimed to examine within-group differences in 3D-DXA parameters
100 according to the World Health Organization criteria for osteoporosis and osteopenia. We

101 hypothesized that patients with PHPT would present poorer bone health than controls and that
102 both cortical and to a lower extent trabecular vBMD, would be affected.

103 **Material and methods**

104 Study design and participants

105 The current cross-sectional pilot study includes data from 80 adults (59.5 ± 9.1 years old, 58
106 females) from Granada (Spain). Data were obtained between 2016 and 2017 at the
107 Endocrinology Unit of the University Hospital San Cecilio of Granada. Forty patients ($n=40$)
108 with PHPT, having hypercalcemia (mean and standard deviation: 10.7 ± 0.5 mg/dL) and elevated
109 PTH levels (mean and standard deviation: 114.9 ± 40.8 mg/dL), were included. None of them
110 had osteitis fibrosa cystica. Early menopause, familial hypocalciuric hypercalcemia and the use
111 of glucocorticoids, bisphosphonates or other antiresorptive treatment in the two years before
112 inclusion were considered exclusion criteria.

113 An age- and sex-matched healthy control group ($n=40$) was also recruited. None of the
114 participants presented, at the moment of recruitment, diseases known to affect bone
115 metabolism. Early menopause, a history of previous fragility fracture and the use of
116 glucocorticoids, bisphosphonates or other antiresorptive treatment in the two years before
117 inclusion were considered exclusion criteria.

118 The study was conducted according to the Declaration of Helsinki and the approval of the Ethics
119 Committee of the Provincial Biomedical Research of Granada. Informed consent was obtained
120 from all participants.

121

122 Outcome measures

123 Areal bone mineral density

124 A left hip scan using a Hologic QDR 4500 densitometer (Hologic Series Discovery QDR,
125 Bedford, MA, USA) was used to measure aBMD at the femoral neck, trochanter, shaft and total

126 hip. Of note, the shaft location was defined along a line 2cm distal to the user located midpoint
127 of the lesser trochanter, measured along the shaft axis (17). In addition, we used the World
128 Health Organization criteria for osteoporosis and osteopenia (18). All DXA scans and analyses
129 were performed by the same experienced operator following recommendations from the
130 International Society of Clinical Densitometry (19). The DXA equipment was calibrated on a
131 daily basis using a spine phantom. The coefficients of variation within our laboratory were 1.8%
132 and 1.5% for the femoral neck and total hip, respectively. Moreover, the coefficients of
133 variation in a previous study with men and women over the age of 60 years were 2.13% and
134 3.14% for the shaft and trochanter, respectively (20).

135

136 3D-DXA modeling

137 The 3D-Shaper software (version 2.2, Galgo Medical, Barcelona, Spain) was used to assess the
138 trabecular macrostructure, the cortex and femoral shape from DXA scans of the PHPT and
139 control groups. Detailed information of the modelling method used in the software has been
140 published elsewhere (15). Briefly, this method is based on a statistical shape and density model
141 of the proximal femur generated from a database of QCT scans of Caucasian women and men
142 (15). The 3D model is registered onto the DXA scan in order to obtain a 3D QCT-like
143 participant-specific model of the proximal femur shape and BMD distribution. The cortical
144 thickness and density is computed by fitting a mathematical function to the density profile
145 computed along the normal vector at each node of the proximal femur surface mesh (21). The
146 cortical thickness (mm) and the vBMD (mg/cm^3) of the trabecular, cortical, and integral bone
147 compartments of the total femur was computed (22). In addition, the cortical surface BMD
148 (sBMD, mg/cm^2) at each vertex of the femoral surface mesh is computed as the product
149 between cortical thickness (cm) and the cortical vBMD (mg/cm^3) along its thickness (16).
150 Cortical sBMD, cortical vBMD and cortical thickness measure the cortical compartment, while

151 trabecular vBMD measures the density of the trabecular compartment. Integral vBMD
152 measures the integral compartment, being the union of the trabecular and cortical
153 compartments. All measurements are performed at the total femur region of interest. The
154 correlations between QCT and 3D-DXA for integral vBMD, trabecular vBMD, cortical vBMD
155 and cortical thickness were 0.95, 0.86, 0.93 and 0.91, respectively (15). The coefficients of
156 variation for cortical thickness, trabecular vBMD, cortical vBMD and cortical sBMD were
157 1.5%, 4.5%, 1.7% and 1.5% (16).

158

159 Anthropometric measures

160 Weight (kg) and stature (cm) were measured with an electronic scale (SECA 861 and 760,
161 Hamburg, Germany) and a stadiometer (SECA 225 and 220, Hamburg, Germany), respectively.
162 The body mass index (BMI) was calculated as: weight (kg)/stature (m²).

163

164 Serum measurements

165 Venous blood samples were obtained by venipuncture after an overnight fast. Serum
166 parathormone (PTH, pg/mL) was measured using two-site immunoassay for PTH (Roche
167 Diagnostics SL, Barcelona, Spain). Calcium (mg/dL, adjusted for serum albumin) was
168 measured using standard automated laboratory techniques. Normal levels for calcium range
169 between 8.8 and 10.2 mg/dL and for PTH between 12-88 pg/mL.

170

171 Statistical analysis

172 Data were analyzed using SPSS IBM statistics (version 20 for Windows, Chicago, IL) and the
173 significance level was set at $p < 0.05$. The distribution of variables was checked and verified
174 using Kolmogorov-Smirnov test, skewness and kurtosis values, visual check of histograms, Q-
175 Q and box plots.

176 Descriptive analyses comparing the PHPT and control groups were performed by independent
177 samples *T*-test (for continuous variables) or chi-square test (for categorical variables).

178 Analysis of covariance (ANCOVA) was used to examine differences in the outcome variables
179 (aBMD and 3D-DXA parameters) between the PHPT and control groups. Sex, age and BMI
180 were used as covariates. The effect size (ES, Cohen's *d*) is also provided and the interpretation
181 is: 0.2 small, 0.5 medium and 0.8 large (23). Percentages of difference between groups for the
182 significant variables in the ANCOVA analysis were used to quantify the magnitude of the
183 differences. 3D spatial distribution of differences between PHPT and control groups in the
184 cortical bone (cortical sBMD, cortical vBMD and cortical thickness) were computed.

185 Finally, the discrimination of bone status (normal, osteopenia, osteoporosis) by 3D-DXA
186 measurements in patients with PHPT and controls was performed using ANCOVA and
187 including sex, age and BMI as covariates.

188

189 **Results**

190 Table 1 shows descriptive characteristics of the participants in the PHPT and control groups.
191 The proportion of males (27.5%) and females (72.5%) was the same in both groups and the
192 higher number of females reflects the higher prevalence of PHPT. The recruited groups were
193 very homogenous and there were no differences between them in age, height, weight and BMI
194 (all $p > 0.05$). Crude aBMD and 3D-DXA parameters of the cortical sBMD, integral vBMD and
195 cortical thickness were significantly lower in the PHPT group compared to the control group
196 (all $p < 0.05$).

197 Table 2 presents mean-adjusted (by age, sex and BMI) differences in aBMD and 3D-DXA
198 parameters between groups. aBMD was significantly lower in the PHPT group compared to the

199 control group at all sites: femoral neck ($p=0.023$, $ES=0.51$), trochanter ($p=0.001$, $ES=0.75$),
200 shaft ($p<0.001$, $ES=0.91$) and total hip ($p<0.001$, $ES=1.01$). More specifically, the aBMD was
201 7.5%, 10.2%, 11.7% and 12.2% lower in the PHPT group compared to the control group at the
202 femoral neck, trochanter, shaft and total hip, respectively (Figure 1). 3D-DXA parameters
203 showed poorer cortical sBMD ($p=0.005$, $ES=0.65$), cortical vBMD ($p=0.037$, $ES=0.47$),
204 integral vBMD ($p=0.022$, $ES=0.52$) and cortical thickness ($p=0.011$, $ES=0.63$) in the PHPT
205 group compared to the control group. However, the difference between groups in trabecular
206 vBMD did not reach statistical significance ($p=0.055$). More specifically, the cortical sBMD,
207 cortical vBMD, integral vBMD and cortical thickness of the PHPT was 4.3%, 8.4%, 3.7%, and
208 8.5% lower than the control group (Figure 1).

209 3D mapping showing the anatomical distribution of differences between groups in the cortical
210 compartment indicates that the PHPT group had significantly lower cortical sBMD, cortical
211 vBMD and cortical thickness at the trochanter and diaphysis ($p<0.05$), while differences at the
212 femoral neck were not statistically significant (Figure 2).

213 Finally, patients with PHPT and diagnosed with osteoporosis or osteopenia had significantly
214 lower cortical vBMD compared to those with normal bone values (Figure 3). For the rest of the
215 3D-DXA outcomes, lower values were also observed with increasing bone deterioration
216 however; a statistical significance was not reached ($p>0.05$). With regards to the control group,
217 those diagnosed with osteopenia had significantly lower cortical sBMD, cortical vBMD,
218 integral vBMD and cortical thickness compared to those with normal bone density values
219 (Figure 3). No controls were classified into the osteoporosis category.

220

221 **Discussion**

222 Our pilot study is the first combining hip DXA-derived and 3D-DXA outcomes of cortical and
223 trabecular bone to examine differences between PHPT patients and healthy controls. The main
224 findings of the present study show that: 1) the aBMD of the femoral neck, trochanter, shaft, and
225 total hip was significantly lower in PHPT patients compared to the control group; 2) the 3D-
226 DXA parameters involving cortical bone compartments (cortical sBMD, cortical vBMD,
227 integral vBMD and cortical thickness) were significantly lower in PHPT patients than the
228 control group while a trend for a lower trabecular vBMD was also observed in this group, which
229 is consistent with our hypothesis. The ES of the differences observed between PHPT and
230 healthy controls ranged from 0.51 to 1.01 (for aBMD parameters) and from and 0.47 to 0.65
231 (for 3D-DXA parameters), suggesting a medium to large effect sizes.

232 From a DXA point of view, in patients with PHPT trabecular bone is relatively preserved while
233 cortical bone is largely affected. Therefore, lower aBMD is usually observed in PHPT patients
234 compared to controls at the hip or radius (4-7), sites with a high proportion of cortical bone.
235 Our findings on the catabolic effects of excess PTH on aBMD agree with those from the study
236 of Rubin et al (4), in which declines in femoral neck aBMD were evident in patients with PHPT.
237 Similarly, a study conducted in elderly men with PHPT observed lower aBMD at the total hip
238 (~7%), femoral neck (7.7%) and trochanter (11.6%) compared to a control group without the
239 disease (24). This approach is based on the catabolic action of PTH on cortical bone, acting on
240 osteocytes, osteoblasts and osteoclasts and increasing bone remodelling in favour of bone
241 resorption (25) to ultimately, result in bone loss (26).

242 Recent scientific evidence using more advanced techniques and devices have shown trabecular
243 bone deterioration assessed by TBS (27-29), pQCT (8, 9) and HRpQCT (10, 30-32) in patients
244 with PHPT. In this regard, we recently showed TBS to reflect degraded bone structure and
245 diagnose bone fragility in patients with PHPT better than aBMD (33), a finding that is consistent
246 with a study using HRpQCT (10). Therefore, the catabolic effects of PTH in both cortical and

247 trabecular bone seem now to be evident as shown in a HRpQCT study (10). In the present study,
248 using 3D-DXA parameters (more affordable than QCT devices) we have observed deterioration
249 of cortical bone and a non-significant deterioration of trabecular bone. Our data suggest reduced
250 vBMD of mainly cortical and to a lower extent trabecular (though non-significant)
251 compartments, thinner cortices and also reduced sBMD, a highly accurate indicator of cortical
252 bone strength (34). Our 3D-DXA findings are consistent with those reported in studies using
253 HRpQCT (10, 35) in PHPT patients. In addition, the non-significant (but borderline) deleterious
254 effect of PHPT on trabecular vBMD in the present study can be partially explained by the
255 compensatory effect of mechanical loading which is very likely to have a protective effect in
256 the hip (36), and is known to preserve the loss of trabecular bone (10). In addition, the relatively
257 small sample size might have contributed to this non-statistically significant finding.

258 Our 3D mapping shows that the greatest differences in cortical vBMD, cortical sBMD and
259 cortical thickness between groups are in the trochanteric area rather than the femoral neck,
260 which might suggest a greater risk of fractures in this site. Previous studies have found a higher
261 but statistically non-significant risk of fracture at the proximal femur and femoral neck in
262 patients with PHPT compared to those without the condition (37, 38), backing up our findings.
263 In addition, Fischer et al. observed the presence of (secondary) hyperparathyroidism in 30.2%
264 of the patients with femoral neck fracture and in 41.3% of those with trochanteric fracture.
265 Interestingly, PTH was significantly higher in patients with trochanteric fracture than in those
266 with the femoral neck fracture (39), supporting the role of PTH in site-specific fractures.

267 Finally, our data also suggest that patients with PHPT with osteopenia or osteoporosis had their
268 femoral neck cortical bone more impaired than those with normal bone density. However,
269 trabecular bone and cortical thickness did not differ according to the bone diagnosis. These
270 findings support the fact that the presence of osteopenia or osteoporosis is due to the greater
271 bone deterioration of the cortical bone compartment of the femoral neck. This agrees with the

272 studies of Bandeira et al. (40) in which cortical osteoporosis was found at the femoral neck in
273 patients with PHPT and the recent study of Osima et al. (41) in which PTH was associated with
274 increased femoral cortical subtrochanteric cortical porosity in postmenopausal women.
275 However, we only had 5 patients (12.5%) with PHPT with normal bone density which may
276 have (negatively) affected comparisons. With regards to the healthy control group, our data
277 suggest that those with osteopenia had their cortical and trabecular bone more impaired than
278 those with normal bone density. It is important to highlight that since we recruited a healthy
279 control group, none control participants had osteoporosis. Therefore, sample sizes are more
280 even for normal bone density (n=23) and osteopenia (n=17) enabling more solid comparisons.

281 Our findings suggest that the combination of aBMD with 3D-DXA parameters may be useful
282 for clinicians wishing to evaluate bone health in everyday practice at the hip site in patients
283 with PHPT. Despite the guidelines for the management of asymptomatic primary
284 hyperparathyroidism recommended lumbar spine, hip and forearm scans in patients with PHPT
285 (42), there is evidence showing that the forearm is not routinely assessed and therefore, a
286 substantial but unrecognized bone loss may be present (43). The 3D-DXA derived parameters
287 may provide useful information on cortical bone and complement the forearm scan. This is
288 important since other technique, such as HRpQCT is only available in a few places worldwide
289 and unlikely to become widely used (44).

290 Strengths and limitations

291 Our study is the first to report 3D-DXA outcomes and compare cortical and trabecular bone
292 and quantify the differences between PHPT patients and healthy controls using an approach
293 based on 3D QCT-like participant-specific models of the proximal femur shape and BMD
294 distribution. Despite the sample size is relatively small the recruitment of an age- and sex-
295 matched control group ensured having two very homogenous groups. In our study the

296 proportion of males and females with PHPT in both groups was 27.5% and 72.5%, respectively.
297 This was expected since the prevalence of the disease is almost triple in females (3).

298 As limitations, the cross-sectional design precludes any determination of causality in our
299 findings. However, whilst the catabolic effect of PTH on bone mass has been described, the
300 mechanisms by which a greater or lower bone mass could affect PTH concentrations are
301 unknown. In addition, we only had 5 patients with PHPT with a normal densitometric diagnosis
302 and this may have affected our results on the differences in 3D-DXA parameters according to
303 DXA bone diagnosis. This might explain why significant differences were only found for the
304 cortical vBMD but not for the rest of the parameters in the PHPT group while significant
305 differences were observed in all parameters of the control group (n with normal bone = 23).
306 Moreover, we recruited a healthy control group and none of them had osteoporosis. This has to
307 be kept in mind when interpreting the between-group and within-group differences from this
308 study. Finally, since information on lifestyle behaviours such as exercise, nutrition or tobacco
309 smoking among others was not collected, we cannot rule out the possibility that these factors
310 contributed to the existing differences described in this study. Future longitudinal studies,
311 including osteoporotic controls and assessing fracture risk are warranted to test the potential of
312 3D-DXA in clinical settings.

313

314 **Conclusions**

315 The findings indicate that patients with PHPT have lower aBMD at the hip sites and reduced
316 cortical 3D parameters compared to an age- and sex-matched healthy control group. In addition,
317 the vBMD of the trabecular compartment seems to be affected though to a lower extent.
318 Longitudinal studies including fracture assessment are needed to confirm the usefulness of 3D-
319 DXA software for routine clinical practice with PHPT patients.

320

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327 Data interpretation: LG-M, BG-F, EU-G, DV and MM-T. Drafting manuscript: LG-M.
328 Revising and approving manuscript content: LG-M, BG-F, EU-G, DV, AG-M and MM-T.

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466 **Figure 1.** Significant differences (%) in adjusted (by age, sex and body mass index) areal bone
467 mineral density (aBMD, g/cm²) and 3D-DXA parameters between patients with primary
468 hyperparathyroidism (PHTP, n=40) and the healthy control group (n=40). * denotes a
469 significant difference relative to the control group (p<0.05)

470

471 **Figure 2.** Distribution of the average differences (p < 0.05) in (A) cortical surface bone mineral
472 density (cortical sBMD), (B) cortical volumetric bone mineral density (cortical vBMD) and (C)
473 cortical thickness of the total femur region in the primary hyperparathyroidism (PHTP, n=40)
474 group relative to the healthy control group (n=40). Regions with no significant differences are
475 coloured in grey (p>0.05).

476

477 **Figure 3.** 3D-DXA parameters of patients with primary hyperparathyroidism (PHTP, n=40)
478 and the healthy control group (n=40) according to the World Health Organization criteria for
479 osteoporosis and osteopenia. White bars = normal bone density; grey bars = osteopenia and
480 black bars = osteoporosis. Results (mean and standard error) are adjusted by age, sex and body
481 mass index.

482 PHPT group: Normal bone density (n=5), osteopenia (n=15) and osteoporosis (n=20).

483 Healthy control group: Normal bone density (n=23), osteopenia (n=17) and osteoporosis (n=0).

484 * denotes within-group significant differences (p<0.05) versus normal bone density.