

# THE CUSPIDALISATION OF SECTIONS OF ARITHMETIC FUNDAMENTAL GROUPS II

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**ABSTRACT.** In this paper, which is a sequel to [Saïdi], we investigate the theory of cuspidalisation of sections of arithmetic fundamental groups of hyperbolic curves to cuspidally  $i$ -th and  $2/p$ -th step prosolvable arithmetic fundamental groups. As a consequence we exhibit two, necessary and sufficient, conditions for sections of arithmetic fundamental groups of hyperbolic curves over  $p$ -adic local fields to arise from rational points. We also exhibit a class of sections of arithmetic fundamental groups of  $p$ -adic curves which are orthogonal to  $\text{Pic}^\wedge$ , and which satisfy (unconditionally) one of the above conditions.

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**§0. Introduction.** Let  $k$  be a characteristic zero field,  $X$  a proper, smooth, and geometrically connected hyperbolic (i.e.,  $\text{genus}(X) \geq 2$ ) algebraic curve over  $k$ . Let  $K_X$  be the function field of  $X$ ,  $K_X^{\text{sep}}$  a separable closure of  $K_X$ , and  $\bar{k} \subset K_X^{\text{sep}}$  the algebraic closure of  $k$ . Let  $\pi_1(X)$  be the étale fundamental group of  $X$  which sits in the following exact sequence

$$1 \rightarrow \pi_1(\bar{X}) \rightarrow \pi_1(X) \xrightarrow{\text{pr}} G_k \rightarrow 1,$$

where  $G_k \stackrel{\text{def}}{=} \text{Gal}(\bar{k}/k)$ , and  $\pi_1(\bar{X})$  is the geometric étale fundamental group of  $X$ . Let  $G_X \stackrel{\text{def}}{=} \text{Gal}(K_X^{\text{sep}}/K_X)$ , and  $\bar{G}_X \stackrel{\text{def}}{=} \text{Gal}(K_X^{\text{sep}}/K_X \cdot \bar{k})$ . Thus, we have exact sequences

$$1 \rightarrow \bar{G}_X \rightarrow G_X \rightarrow G_k \rightarrow 1,$$

and

$$1 \rightarrow \mathcal{I}_X \rightarrow G_X \rightarrow \pi_1(X) \rightarrow 1,$$

where  $\mathcal{I}_X$  is the inertia subgroup. The theory of cuspidalisation of sections of arithmetic fundamental groups was initiated in [Saïdi], its ultimate aim is to reduce the Grothendieck anabelian section conjecture to its birational version. It can be formulated as follows (cf. loc. cit.).

**The Cuspidalisation Problem for Sections of  $\pi_1(X)$ .** Let  $G_X \twoheadrightarrow H \twoheadrightarrow \pi_1(X)$  be a quotient of  $G_X$ . Given a section  $s : G_k \rightarrow \pi_1(X)$  of the projection  $\pi_1(X) \twoheadrightarrow G_k$ , is it possible to **lift**  $s$  to a section  $\tilde{s} : G_k \rightarrow H$  of the projection  $H \twoheadrightarrow G_k$ ? i.e., is it possible to construct a section  $\tilde{s}$  such that the following diagram is commutative

$$\begin{array}{ccc} G_k & \xrightarrow{\tilde{s}} & H \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s} & \pi_1(X) \end{array}$$

where the right vertical map is the projection  $H \twoheadrightarrow \pi_1(X)$ ?

In [Saïdi] we investigated the cuspidalisation problem in the case  $H \stackrel{\text{def}}{=} G_X^{(\text{c-ab})}$  is the maximal (geometrically) cuspidally abelian quotient of  $G_X$ . In this paper we generalise this theory to the (geometrically) *cuspidally  $i$ -th* as well as  $i/p$ -th; where  $p$  is a prime, *step prosolvable* quotient of  $G_X$ .

For  $i \geq 0$ , let  $\mathcal{I}_X \twoheadrightarrow \mathcal{I}_{X,i}$  be the maximal  $i$ -th step prosolvable quotient of  $\mathcal{I}_X$ , and  $G_X^{(i\text{-sol})} \stackrel{\text{def}}{=} G_X / \text{Ker}(\mathcal{I}_X \twoheadrightarrow \mathcal{I}_{X,i})$  the maximal (geometrically) cuspidally  $i$ -th step prosolvable quotient of  $G_X$  ( $G_X^{(\text{c-ab})} \stackrel{\text{def}}{=} G_X^{(1\text{-sol})}$ ). For  $i \geq 1$ , let  $s_i : G_k \rightarrow G_X^{(i\text{-sol})}$  be a section of the projection  $G_X^{(i\text{-sol})} \twoheadrightarrow G_k$ . In §2 we investigate the problem of lifting  $s_i$  to a section  $s_{i+1} : G_k \rightarrow G_X^{(i+1\text{-sol})}$  of the projection  $G_X^{(i+1\text{-sol})} \twoheadrightarrow G_k$ . We say that the field  $k$  satisfies the condition **(H)** if the following holds. The Galois cohomology groups  $H^1(G_k, M)$  are *finite* for every finite  $G_k$ -module  $M$ . This condition is satisfied for instance if the Galois group  $G_k$  is (topologically) finitely generated (e.g.  $k$  is a  $p$ -adic local field). One of our main results in this paper is the following (cf. Theorem 2.3.8).

**Theorem 1.** *Assume  $i \geq 1$ , and  $k$  satisfies the condition **(H)**. The section  $s_i : G_k \rightarrow G_X^{(i\text{-sol})}$  **lifts** to a section  $s_{i+1} : G_k \rightarrow G_X^{(i+1\text{-sol})}$  of the projection  $G_X^{(i+1\text{-sol})} \twoheadrightarrow G_k$  if and only if for every  $X' \rightarrow X$  a neighbourhood of the section  $s_i$  (i.e., corresponding to an open subgroup of  $G_X^{(i\text{-sol})}$  containing  $s_i(G_k)$ ) the class of  $\text{Pic}_{X'}^1$  in  $H^1(G_k, \text{Pic}_{X'}^0)$  lies in the maximal divisible subgroup of  $H^1(G_k, \text{Pic}_{X'}^0)$ .*

Key to the proof of Theorem 1 is the description of the  $G_k$ -module structure, induced by  $s_i$ , of  $\mathcal{I}_X[i+1] \stackrel{\text{def}}{=} \text{Ker}(G_X^{(i+1\text{-sol})} \twoheadrightarrow G_X^{(i\text{-sol})})$  as the projective limit of the Tate modules of the jacobians of the neighbourhoods  $\{X'\}$  as in the statement of Theorem 1 (cf. Proposition 1.1.5, and Lemma 2.3.2).

In §3 we investigate the following mod- $p$  variant of Theorem 1, where  $p$  is a prime integer. Let  $t \geq 0$ ,  $i \geq 0$ , and  $\mathcal{I}_X \twoheadrightarrow \mathcal{I}_{X,i+1/p^t}$  the  $i+1$ -th quotient of the  $\mathbb{Z}/p^t\mathbb{Z}$ -derived series of  $\mathcal{I}_X$  (cf. 1.2). Thus,  $\mathcal{I}_{X,i+1/p^t}$  is  $i+1$ -step prosolvable with successive abelian quotients annihilated by  $p^t$ . Let  $G_X^{(i+1/p^t\text{-sol})} \stackrel{\text{def}}{=} G_X / \text{Ker}(\mathcal{I}_X \twoheadrightarrow \mathcal{I}_{X,i+1/p^t})$  be the maximal (geometrically) cuspidally  $i+1/p^t$ -th prosolvable quotient of  $G_X$ . Given a section  $s : G_k \rightarrow \pi_1(X)$  of the projection  $\pi_1(X) \twoheadrightarrow G_k$  we investigate

the problem of lifting  $s$  to a section  $s_{i+1} : G_k \rightarrow G_X^{(i+1/p^t-\text{sol})}$  of the projection  $G_X^{(i+1/p^t-\text{sol})} \twoheadrightarrow G_k$  in the **case i = 1** and **t = 1**; the only case needed for applications in §4, and §5. For this purpose we introduce a certain quotient  $G_X \twoheadrightarrow G_X^{(p,2)} \twoheadrightarrow G_X^{(2/p-\text{sol})}$ , and investigate the problem of lifting the section  $s$  to a section  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$  of the projection  $G_X^{(p,2)} \twoheadrightarrow G_k$  (this would give rise to a section of the projection  $G_X^{(2/p-\text{sol})} \twoheadrightarrow G_k$  which lifts  $s$ ). The quotient  $G_X^{(p,2)}$  sits in an exact sequence  $1 \rightarrow \mathcal{I}_X[p, 2] \rightarrow G_X^{(p,2)} \rightarrow G_X^{(1/p^2-\text{sol})} \rightarrow 1$ , where  $\mathcal{I}_X[p, 2]$  is abelian annihilated by  $p$  (cf. 3.3 for more details). In Theorem 3.4.11 we give necessary and sufficient conditions for the section  $s$  to lift to a section  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$  (cf. loc. cit. for a more precise statement).

In §4 we assume  $k$  is a  $p$ -adic local field (finite extension of  $\mathbb{Q}_p$ ). We observe in this case that if  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$  is a section of the projection  $G_X^{(p,2)} \twoheadrightarrow G_k$ , and  $s : G_k \rightarrow \pi_1(X)$  is the induced section of  $\pi_1(X) \twoheadrightarrow G_k$ , then  $s$  is *geometric* in the sense that it arises from a rational point  $x \in X(k)$  (cf. Proposition 4.6). Further, we provide the following characterisation of sections  $s : G_k \rightarrow \pi_1(X)$  which are geometric (cf. Theorem 4.5 where we prove a pro- $\Sigma$ ;  $p \in \Sigma$  is a set of primes, variant of Theorem 2).

**Theorem 2.** *Assume  $k$  is a  $p$ -adic local field. A section  $s : G_k \rightarrow \pi_1(X)$  of the projection  $\pi_1(X) \twoheadrightarrow G_k$  is **geometric** (cf. Definition 4.1) if and only if the following two conditions hold.*

(i) *The section  $s$  has a **cycle class uniformly orthogonal to Pic mod- $p^2$**  (cf. Definition 3.4.1).*

(ii) *There **exists** a section  $s' : G_k \rightarrow G_X^{(1/p^2-\text{sol})}$  of the projection  $G_X^{(1/p^2-\text{sol})} \twoheadrightarrow G_k$  which **lifts** the section  $s$  (this holds if condition (i) is satisfied by Theorem 3.4.4) such that the following holds. For every  $X' \rightarrow X$  a neighbourhood of the section  $s'$  (i.e., corresponding to an open subgroup of  $G_X^{(1/p^2-\text{sol})}$  containing  $s'(G_k)$ ) the class of  $\text{Pic}_{X'}^1$  in  $H^1(G_k, \text{Pic}_{X'}^0)$  is **divisible by  $p$** .*

Condition (ii) in Theorem 2 is a necessary and sufficient condition for the section  $s'$  therein to lift to a section  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$  (cf. Theorem 3.4.10).

As an application of Theorem 2 we prove the following  $p$ -adic absolute anabelian result (cf. Theorem 4.8).

**Theorem 3.** *Let  $p_X, p_Y$  be prime integers, and  $X$  (resp.  $Y$ ) a proper, smooth, geometrically connected hyperbolic curve over a  $p_X$ -adic local field  $k_X$  (respectively,  $p_Y$ -adic local field  $k_Y$ ). Let  $p_X \in \Sigma_X$  (resp.  $p_Y \in \Sigma_Y$ ) be a non-empty set of prime integers of cardinality  $\geq 2$ ,  $\Pi_X$  (resp.  $\Pi_Y$ ) the geometrically pro- $\Sigma_X$  (resp. pro- $\Sigma_Y$ ) arithmetic fundamental group of  $X$  (resp.  $Y$ ), and  $\varphi : \Pi_X \rightarrow \Pi_Y$  an isomorphism of profinite groups which fits in the following commutative diagram*

$$\begin{array}{ccc} G_X^{(p_X,2)} & \xrightarrow{\tilde{\varphi}} & G_Y^{(p_Y,2)} \\ \downarrow & & \downarrow \\ \Pi_X & \xrightarrow{\varphi} & \Pi_Y \end{array}$$

where  $\tilde{\varphi}$  is an isomorphism of profinite groups. Here  $G_X^{(p_X,2)}$  (resp.  $G_Y^{(p_Y,2)}$ ) is the pro- $\Sigma_X$  (resp.  $\Sigma_Y$ ) version of the profinite group  $G_X^{(p_X,2)}$  (resp.  $G_Y^{(p_Y,2)}$ ) (cf. 3.3),

and the vertical maps are the natural projections. Then  $\varphi$  is geometric, i.e., arises from a uniquely determined isomorphism of schemes  $X \xrightarrow{\sim} Y$ .

Finally, in §5 we investigate *local* sections of arithmetic fundamental groups of  $p$ -adic curves. These are sections which arise from sections of arithmetic fundamental groups of *formal fibres* (cf. Definition 5.2). A geometric section is necessarily local in this sense. Our main result is the following (cf. Theorem 5.3).

**Theorem 4.** *Assume  $k$  is a  $p$ -adic local field, and  $s : G_k \rightarrow \pi_1(X)$  is a local section of the projection  $\pi_1(X) \twoheadrightarrow G_k$ . Then  $s$  has a cycle class which is uniformly orthogonal to  $\text{Pic}^\wedge$  in the sense of [Saïdi], Definition 1.4.1(i).*

To the best of our knowledge, local sections of arithmetic fundamental groups of  $p$ -adic curves are the first non trivial (i.e., not known to be geometric a priori) examples of sections of arithmetic fundamental groups of  $p$ -adic curves which are orthogonal to  $\text{Pic}^\wedge$ . In particular, local sections satisfy condition (i) in Theorem 2.

**Notations.** Throughout this paper  $\mathfrak{Primes}$  denotes the set of all prime integers. For a profinite group  $H$ , we write  $H^{\text{ab}}$  for the maximal abelian quotient of  $H$ .

**§1. Cuspidally  $i$ -th and  $i/p$ -th step prosolvable geometric fundamental groups.** Let  $\ell$  be an algebraically closed field of characteristic  $l \geq 0$ ,  $X$  a proper smooth and connected hyperbolic curve (i.e.,  $\text{genus}(X) \geq 2$ ) over  $\ell$ , and  $K_X$  its function field. Let  $\eta$  be a geometric point of  $X$  above its generic point; which determines a separable closure  $K_X^{\text{sep}}$  of  $K_X$ , and  $\pi_1(X, \eta)$  the étale fundamental group of  $X$  with base point  $\eta$ .

Let  $\emptyset \neq \Sigma \subseteq \mathfrak{Primes}$  be a set of prime integers. In case  $\text{char}(\ell) = l > 0$  we assume that  $l \notin \Sigma$ . Write  $\Delta_X \stackrel{\text{def}}{=} \pi_1(X, \eta)^\Sigma$  for the maximal pro- $\Sigma$  quotient of  $\pi_1(X, \eta)$ . Let  $\{x_s\}_{s=1}^n \subset X(\ell)$ ,  $U \stackrel{\text{def}}{=} X \setminus \{x_1, \dots, x_n\}$  an open subscheme of  $X$ ,  $\Delta_U \stackrel{\text{def}}{=} \pi_1(U, \eta)^\Sigma$  the maximal pro- $\Sigma$  quotient of the étale fundamental group  $\pi_1(U, \eta)$  of  $U$  with base point  $\eta$ , and  $I_U \stackrel{\text{def}}{=} \text{Ker}(\Delta_U \twoheadrightarrow \Delta_X)$ . We shall refer to  $I_U$  as the *cuspidal subgroup* of  $\Delta_U$  with respect to the natural projection  $\Delta_U \twoheadrightarrow \Delta_X$  (cf. [Mochizuki], Definition 1.5); it is the subgroup of  $\Delta_U$  (normally) generated by the (pro- $\Sigma$ ) inertia subgroups at the points  $\{x_i\}_{i=1}^n$ . We have the following exact sequence

$$1 \rightarrow I_U \rightarrow \Delta_U \rightarrow \Delta_X \rightarrow 1.$$

**1.1 The quotient  $\Delta_{U,i}$ .** For a profinite group  $H$ , we denote by  $\overline{[H, H]}$  the closed subgroup of  $H$  topologically generated by the commutator subgroup. Consider the derived series of  $I_U$

$$(1.1) \quad \dots \subseteq I_U(i+1) \subseteq I_U(i) \subseteq \dots \subseteq I_U(1) \subseteq I_U(0) = I_U,$$

where, for  $i \geq 0$ ,  $I_U(i+1) \stackrel{\text{def}}{=} \overline{[I_U(i), I_U(i)]}$  is the  $(i+1)$ -th derived subgroup, which is a characteristic subgroup of  $I_U$ . Write

$$I_{U,i} \stackrel{\text{def}}{=} I_U / I_U(i).$$

Thus,  $I_{U,i}$  is the maximal  $i$ -th step prosolvable quotient of  $I_U$ , and  $I_{U,1}$  is the maximal abelian quotient of  $I_U$ . There exists a natural exact sequence

$$(1.2) \quad 1 \rightarrow I_U[i+1] \rightarrow I_{U,i+1} \rightarrow I_{U,i} \rightarrow 1$$

where  $I_U[i+1]$  is the subgroup  $I_U(i)/I_U(i+1)$  of  $I_{U,i+1}$  and  $I_U[i+1]$  is abelian. Write

$$\Delta_{U,i} \stackrel{\text{def}}{=} \Delta_U/I_U(i).$$

We shall refer to  $\Delta_{U,i}$  (resp.  $\Delta_{U,1}$ ) as the maximal **cuspidally i-th step pro-solvable** (resp. maximal **cuspidally abelian**) quotient of  $\Delta_U$  (with respect to the surjection  $\Delta_U \rightarrow \Delta_X$ ). We have the following commutative diagram of exact sequences.

$$(1.3) \quad \begin{array}{ccccccc} & & 1 & & 1 & & \\ & & \downarrow & & \downarrow & & \\ & & I_U[i+1] & \xlongequal{\quad} & I_U[i+1] & & \\ & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & I_{U,i+1} & \longrightarrow & \Delta_{U,i+1} & \longrightarrow & \Delta_X \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \text{id}_{\Delta_X} \downarrow \\ 1 & \longrightarrow & I_{U,i} & \longrightarrow & \Delta_{U,i} & \longrightarrow & \Delta_X \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \\ & & 1 & & 1 & & \end{array}$$

The profinite group  $\Delta_{U,i}$ ; being a quotient of  $\Delta_U$ , is topologically finitely generated (cf. [Grothendieck], Exposé X, Corollaire 3.10, recall  $\text{char}(\ell) \notin \Sigma$ ). Hence there exists a sequence of characteristic open subgroups

$$\dots \subseteq \Delta_{U,i}[j+1] \subseteq \Delta_{U,i}[j] \subseteq \dots \subseteq \Delta_{U,i}[1] \stackrel{\text{def}}{=} \Delta_{U,i}$$

of  $\Delta_{U,i}$  such that  $\bigcap_{j \geq 1} \Delta_{U,i}[j] = \{1\}$ . The open subgroup  $\Delta_{U,i}[j] \subseteq \Delta_{U,i}$  corresponds to a finite (Galois) cover  $X_{i,j}^U \rightarrow X$  between smooth connected and proper  $\ell$ -curves which is étale above  $U$ . The geometric point  $\eta$  determines naturally a geometric point  $\eta_{i,j}$  of  $X_{i,j}^U$ . Write  $\Delta_{i,j}^U \stackrel{\text{def}}{=} \Delta_{X_{i,j}^U} \stackrel{\text{def}}{=} \pi_1(X_{i,j}^U, \eta_{i,j})^\Sigma$  for the maximal pro- $\Sigma$  étale fundamental group of  $X_{i,j}^U$  with base point  $\eta_{i,j}$ , and  $(\Delta_{i,j}^U)^{\text{ab}}$  for the maximal abelian quotient of  $\Delta_{i,j}^U$ . The following Proposition provides a description of the structure of the profinite group  $I_U[i+1]$  (cf. sequence (1.2) and diagram (1.3)) in the case  $i \geq 1$ . A description of the structure of  $I_U[1]$  is given in [Mochizuki] Proposition 1.14 (see also [Saïdi], 2.1).

**Proposition 1.1.1.** *Let  $i \geq 1$ . There exists a natural isomorphism*

$$I_U[i+1] \xrightarrow{\sim} \varprojlim_{j \geq 1} (\Delta_{i,j}^U)^{\text{ab}}.$$

*Proof of Proposition 1.1.1.* Let  $G$  be a finite quotient of  $\Delta_{U,i+1}$ , which inserts in the following commutative diagram

$$\begin{array}{ccccccc} 1 & \longrightarrow & I_{U,i+1} & \longrightarrow & \Delta_{U,i+1} & \longrightarrow & \Delta_X \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & I & \longrightarrow & G & \longrightarrow & G^{\text{et}} \longrightarrow 1 \end{array}$$

where the vertical maps are surjective. We assume, without loss of generality, that  $G$  is *not* a quotient of  $\Delta_{U,i}$ . The quotient  $G$  corresponds to a finite Galois cover  $X_1 \rightarrow X$  with Galois group  $G$ , which factorizes as  $X_1 \rightarrow X_1^{\text{et}} \rightarrow X$ , where  $X_1^{\text{et}} \rightarrow X$  is the maximal étale sub-cover with Galois group  $G^{\text{et}}$ , and  $X_1 \rightarrow X_1^{\text{et}}$  is a (tamely) ramified Galois cover with group  $I$ . For  $s \in \{1, \dots, n\}$ , let  $I_{x_s} \subset G$  be an inertia subgroup associated to  $x_s$ . Thus,  $I_{x_s}$  is only defined up to conjugation, and  $I$  is an  $(i+1)$ -th step solvable group (normally) generated by the  $I_{x_s}$ 's. Moreover,  $I_{x_s}$  is cyclic of order  $e_s \geq 1$  (coprime to  $l = \text{char}(\ell)$ ) as the ramification is tame. The following claim follows immediately from the well-known structure of  $\Delta_U$  (cf. [Grothendieck], Exposé X, Corollaire 3.10).

**Claim 1.1.2.** *There exists a finite quotient  $G'$  of  $\Delta_{U,i}$ , which inserts in the following commutative diagram (where the vertical maps are surjective)*

$$\begin{array}{ccccccc} 1 & \longrightarrow & I_{U,i} & \longrightarrow & \Delta_{U,i} & \longrightarrow & \Delta_X & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & I' & \longrightarrow & G' & \longrightarrow & G'^{\text{et}} & \longrightarrow & 1 \end{array}$$

such that the following holds. The quotient  $\Delta_{U,i} \rightarrow G'$  corresponds to a finite Galois cover  $X_2 \rightarrow X$  with Galois group  $G'$ , which factorizes as  $X_2 \rightarrow X_2^{\text{et}} \rightarrow X$ , where  $X_2^{\text{et}} \rightarrow X$  is the maximal étale sub-cover with Galois group  $G'^{\text{et}}$ , and  $X_2 \rightarrow X_2^{\text{et}}$  is a (tamely) ramified Galois cover with Galois group  $I'$  (an  $i$ -th step solvable group). Further, for  $s \in \{1, \dots, n\}$ ,  $I'_{x_s} \subseteq I'$  an inertia subgroup associated to  $x_s$ , then  $I'_{x_s}$  is cyclic of order  $f_s = e_s h_s$  a multiple of  $e_s$ .

Next, let  $K_1 \stackrel{\text{def}}{=} K_{X_1}$  (resp.  $K_2 \stackrel{\text{def}}{=} K_{X_2}$ ) be the function field of  $X_1$  (resp.  $X_2$ ). Let  $L \stackrel{\text{def}}{=} K_1.K_2$  be the compositum of  $K_1$  and  $K_2$  (in  $K_X^{\text{sep}}$ ), and  $\tilde{X}$  the normalisation of  $X$  in  $L$ . Thus,  $\tilde{X} \rightarrow X$  is a Galois cover with Galois group  $H \subseteq G \times G'$  which is étale above  $U$  and factorizes as  $\tilde{X} \rightarrow \tilde{X}^{\text{et}} \rightarrow X$ , where  $\tilde{X}^{\text{et}} \rightarrow X$  is the maximal étale sub-cover with Galois group  $H^{\text{et}}$ , and  $\tilde{X} \rightarrow \tilde{X}^{\text{et}}$  is a (tamely) ramified Galois cover with group  $I_H$ : the subgroup of  $H$  (normally) generated by the inertia subgroups at the points of  $\tilde{X}$  above the  $\{x_s\}_{s=1}^n$ . (Thus, we have an exact sequence  $1 \rightarrow I_H \rightarrow H \rightarrow H^{\text{et}} \rightarrow 1$ .)

**Lemma 1.1.3.** *The quotient  $\Delta_U \twoheadrightarrow H$  factorizes as  $\Delta_U \twoheadrightarrow \Delta_{U,i+1} \twoheadrightarrow H$ .*

*Proof of Lemma 1.1.3.* Indeed, one verifies easily that  $I_H$  is a subgroup of  $I \times I'$  and  $I \times I'$  is  $(i+1)$ -th step solvable.  $\square$

Next, let  $\tilde{I}$  be the maximal  $i$ -th step solvable quotient of  $I$ , which inserts in the exact sequence  $1 \rightarrow I(i+1) \rightarrow I \rightarrow \tilde{I} \rightarrow 1$ , with  $I(i+1)$  abelian (note that  $I(i+1)$  is non trivial by our assumption that  $G$  is not a quotient of  $\Delta_{U,i}$ ). Write  $\tilde{G} \stackrel{\text{def}}{=} G/I(i+1)$ , which inserts in the exact sequence  $1 \rightarrow \tilde{I} \rightarrow \tilde{G} \rightarrow G^{\text{et}} \rightarrow 1$ . In particular,  $\tilde{G}$  is a quotient of  $\Delta_{U,i}$ . Let  $\tilde{H}$  be the image of  $H$  in  $\tilde{G} \times G'$ . We have a commutative diagram of exact sequences where the vertical maps are natural inclusions.

$$\begin{array}{ccccccc} 1 & \longrightarrow & I_H(i+1) \stackrel{\text{def}}{=} H \cap (I(i+1) \times \{1\}) & \longrightarrow & H & \longrightarrow & \tilde{H} & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & I(i+1) \times \{1\} & \longrightarrow & G \times G' & \longrightarrow & \tilde{G} \times G' & \longrightarrow & 1 \end{array}$$

**Lemma 1.1.4.** *The group  $\tilde{H}$  is a quotient of  $\Delta_{U,i}$ . Moreover, the cover  $\tilde{X} \rightarrow X$  factorizes as  $\tilde{X} \rightarrow \tilde{X}' \rightarrow X$ , where  $\tilde{X}' \rightarrow X$  is Galois (étale above  $U$ ) with Galois group  $\tilde{H}$ , and  $\tilde{X} \rightarrow \tilde{X}'$  is an **abelian étale** cover with Galois group  $I_H(i+1)$ .*

*Proof of Lemma 1.1.4.* The first assertion follows from the various definitions. Next, the Galois cover  $X_1 \rightarrow X$  factorizes as  $X_1 \rightarrow \tilde{X}_1 \rightarrow X$  where  $X_1 \rightarrow \tilde{X}_1$  is Galois with Galois group  $I(i+1)$ , and  $\tilde{X}_1 \rightarrow X$  is Galois with group  $\tilde{G}$ . Let  $\tilde{X}'$  be the normalisation of  $X$  in the compositum of the function fields of  $\tilde{X}_1$  and  $X_2$ . Thus,  $\tilde{X}' \rightarrow X$  is a Galois cover with Galois group  $\tilde{H}$ , and we have the following commutative diagram

$$\begin{array}{ccc} \tilde{X} & \longrightarrow & X_1 \\ \downarrow & & \downarrow \\ \tilde{X}' & \longrightarrow & \tilde{X}_1 \\ \downarrow & & \downarrow \\ X_2 & \longrightarrow & X \end{array}$$

of finite Galois covers. The ramification index in the Galois cover  $X_2 \rightarrow X$  above a branched (closed) point  $x_s \in X$  is divisible by the ramification index above  $x_s$  in the Galois cover  $X_1 \rightarrow X$  (cf. the above condition that  $f_s$  is divisible by  $e_s$ ). The fact that the morphism  $\tilde{X} \rightarrow X_2$ , and a fortiori  $\tilde{X} \rightarrow \tilde{X}'$ ; which is abelian with Galois group  $I_H(i+1)$ , is étale follows from Abhyankar's Lemma (cf. [Grothendieck], Exposé X, Lemma 3.6).  $\square$

Going back to the proof of Proposition 1.1.1, the above discussion shows that the finite quotients  $\Delta_{U,i+1} \rightarrow H$  as in Lemma 1.1.3 form a cofinal system of finite quotients of  $\Delta_{U,i+1}$ . Thus,  $\Delta_{U,i+1} \xrightarrow{\sim} \varprojlim_H H$ . Proposition 1.1.1 then follows from the facts that the various  $H$  above fit in an exact sequence  $1 \rightarrow I_H(i+1) \rightarrow H \rightarrow \tilde{H} \rightarrow 1$ ;  $\Delta_{U,i} \xrightarrow{\sim} \varprojlim_{\tilde{H}} \tilde{H}$ , and the above Galois covers  $\tilde{X} \rightarrow \tilde{X}'$  with group  $I_H(i+1)$  are étale abelian (cf. Lemma 1.1.4). This finishes the proof of Proposition 1.1.1.  $\square$

Similarly, let  $G_{K_X} \stackrel{\text{def}}{=} \text{Gal}(K_X^{\text{sep}}/K_X)$ , and  $G_X \stackrel{\text{def}}{=} G_{K_X}^{\Sigma}$  the maximal pro- $\Sigma$  quotient of  $G_{K_X}$ . We have a natural exact sequence

$$1 \rightarrow \mathcal{I}_X \rightarrow G_X \rightarrow \Delta_X \rightarrow 1,$$

where  $\mathcal{I}_X \stackrel{\text{def}}{=} \text{Ker}(G_X \rightarrow \Delta_X)$  is the *cuspidal subgroup* of  $G_X$  (with respect to the surjection  $G_X \rightarrow \Delta_X$ ). Let  $i \geq 0$  and write

$$\mathcal{I}_{X,i} \stackrel{\text{def}}{=} \mathcal{I}_X / \mathcal{I}_X(i).$$

Thus,  $\mathcal{I}_{X,i}$  is the maximal  $i$ -th step prosolvable quotient of  $\mathcal{I}_X$ , and  $\mathcal{I}_{X,1}$  is the maximal abelian quotient of  $\mathcal{I}_X$ . There exists a natural exact sequence

$$(1.4) \quad 1 \rightarrow \mathcal{I}_X[i+1] \rightarrow \mathcal{I}_{X,i+1} \rightarrow \mathcal{I}_{X,i} \rightarrow 1,$$

where  $\mathcal{I}_X[i+1]$  is the subgroup  $\mathcal{I}_X(i)/\mathcal{I}_X(i+1)$  of  $\mathcal{I}_{X,i+1}$ , and  $\mathcal{I}_X[i+1]$  is abelian. Write

$$G_{X,i} \stackrel{\text{def}}{=} G_X / \mathcal{I}_X(i).$$

We shall refer to  $G_{X,i}$  (resp.  $G_{X,1}$ ) as the maximal **cuspidally i-th step pro-solvable** (resp. maximal **cuspidally abelian**) quotient of  $G_X$  (with respect to the surjection  $G_X \twoheadrightarrow \Delta_X$ ). We have the following commutative diagram

$$\begin{array}{ccccccc}
 & & 1 & & 1 & & \\
 & & \downarrow & & \downarrow & & \\
 & & \mathcal{I}_X[i+1] & \xlongequal{\quad} & \mathcal{I}_X[i+1] & & \\
 & & \downarrow & & \downarrow & & \\
 (1.5) & 1 & \longrightarrow & \mathcal{I}_{X,i+1} & \longrightarrow & G_{X,i+1} & \longrightarrow & \Delta_X & \longrightarrow & 1 \\
 & & & \downarrow & & \downarrow & & \downarrow & & \\
 & 1 & \longrightarrow & \mathcal{I}_{X,i} & \longrightarrow & G_{X,i} & \longrightarrow & \Delta_X & \longrightarrow & 1 \\
 & & & \downarrow & & \downarrow & & & & \\
 & & & 1 & & 1 & & & & 
 \end{array}$$

of exact sequences.

**Proposition 1.1.5.** *There are natural isomorphisms  $G_{X,i} \xrightarrow{\sim} \varprojlim_U \Delta_{U,i}$ ,  $\mathcal{I}_{X,i} \xrightarrow{\sim} \varprojlim_U \mathcal{I}_{U,i}$ , and  $\mathcal{I}_X[i+1] \xrightarrow{\sim} \varprojlim_U \mathcal{I}_U[i+1]$ , where the projective limit is taken over all non-empty open subschemes  $U \subseteq X$ . Moreover, for  $i \geq 1$ , we have a natural isomorphism*

$$\mathcal{I}_X[i+1] \xrightarrow{\sim} \varprojlim_U \left( \varliminf_{j \geq 1} (\Delta_{i,j}^U)^{\text{ab}} \right),$$

where  $(\Delta_{i,j}^U)^{\text{ab}}$  is as in the discussion preceding Proposition 1.1.1.

*Proof.* Follows from the various definitions and Proposition 1.1.1.  $\square$

**1.2. The quotient  $\Delta_U \twoheadrightarrow \Delta_U^{p,i+1}$ .** In this subsection we discuss a certain variant of the theory in 1.1, we use the same notations as in loc. cit.. For a profinite group  $H$ , a prime integer  $p$ , and an integer  $t \geq 1$ , write

$$\dots \subseteq H(i+1/p^t) \subseteq H(i/p^t) \subseteq \dots \subseteq H(1/p^t) \subseteq H(0/p^t) = H$$

for the  $\mathbb{Z}/p^t\mathbb{Z}$ -derived series of  $H$ , where  $H(i+1/p^t) \stackrel{\text{def}}{=} \overline{\langle [H(i/p^t), H(i/p^t)], H(i/p^t)^{p^t} \rangle}$  is the  $i+1/p^t$ -th derived subgroup, which is a characteristic subgroup of  $H$ . Write

$$H_{i/p^t} \stackrel{\text{def}}{=} H/H(i/p^t).$$

We will refer to  $H_{i/p^t}$  as the maximal  $i/p^t$ -th step *prosolvable* quotient of  $H$ , and  $H_{1/p^t}$  as the maximal *abelian* annihilated by  $p^t$  quotient of  $H$ . There exists a natural exact sequence

$$1 \rightarrow H[i+1/p^t] \rightarrow H_{i+1/p^t} \rightarrow H_{i/p^t} \rightarrow 1$$



where  $H[i + 1/p^t]$  is the subgroup  $H(i/p^t)/H(i + 1/p^t)$  of  $H_{i+1/p^t}$ , and  $H[i + 1/p^t]$  is abelian annihilated by  $p^t$ .

Next, let  $p \in \Sigma$ , and consider the  $\mathbb{Z}/p\mathbb{Z}$ -derived series of  $I_U$

$$(1.6) \quad \dots \subseteq I_U(i + 1/p) \subseteq I_U(i/p) \subseteq \dots \subseteq I_U(1/p) \subseteq I_U(0/p) = I_U$$

(cf. the above discussion in the case  $t = 1$ ). Then  $I_{U,i/p} \stackrel{\text{def}}{=} I_U/I_U(i/p)$  is the maximal  $i/p$ -th step prosolvable quotient of  $I_U$ , and  $I_{U,1/p}$  is the maximal abelian annihilated by  $p$  quotient of  $I_U$ . Write  $\Delta_{U,i/p} \stackrel{\text{def}}{=} \Delta_U/I_U(i/p)$ , which inserts in the exact sequence

$$1 \rightarrow I_{U,i/p} \rightarrow \Delta_{U,i/p} \rightarrow \Delta_X \rightarrow 1.$$

We shall refer to  $\Delta_{U,i/p}$  (resp.  $\Delta_{U,1/p}$ ) as the maximal **cuspidally  $i/p$ -th step prosolvable** (resp. maximal **cuspidally abelian annihilated by  $p$** ) quotient of  $\Delta_U$  (with respect to the surjection  $\Delta_U \twoheadrightarrow \Delta_X$ ).

Next, we define a certain quotient  $\Delta_U \twoheadrightarrow \Delta_U^{p,i+1}$  of  $\Delta_U$ , which dominates  $\Delta_{U,i+1/p}$ . Let  $i \geq 0$ , and  $G$  a finite quotient of  $\Delta_{U,i+1/p}$  which inserts in the following commutative diagram.

$$\begin{array}{ccccccccc} 1 & \longrightarrow & I_{U,i+1/p} & \longrightarrow & \Delta_{U,i+1/p} & \longrightarrow & \Delta_X & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & I & \longrightarrow & G & \longrightarrow & G^{\text{et}} & \longrightarrow & 1 \end{array}$$

Thus, the quotient  $G$  corresponds to a finite Galois cover  $X'_1 \rightarrow X$  with Galois group  $G$ , which factorizes as  $X'_1 \rightarrow X'_1{}^{\text{et}} \rightarrow X$ , where  $X'_1{}^{\text{et}} \rightarrow X$  is the maximal étale sub-cover with Galois group  $G^{\text{et}}$ , and  $X'_1 \rightarrow X'_1{}^{\text{et}}$  is a tamely ramified Galois cover with Galois group  $I$ . Moreover,  $I$  is an  $(i + 1)$ -th step solvable group whose successive abelian quotients are annihilated by  $p$ . We will assume for the remaining discussion, without loss of generality, that  $G$  is *not* a quotient of  $\Delta_{U,i/p}$ .

Let  $s \in \{1, \dots, n\}$ , and  $I_{x_s} \subset G$  an inertia subgroup associated to  $x_s$ . Then  $I_{x_s}$  is cyclic of order  $p^t$ , with  $t \leq i + 1$ , as follows from the structure of  $I$ . Write  $\Delta_{U,1/p^{i+1}} \stackrel{\text{def}}{=} \Delta_U/I_U(1/p^{i+1})$  for the maximal cuspidally abelian annihilated by  $p^{i+1}$  quotient of  $\Delta_U$  (with respect to the surjection  $\Delta_U \twoheadrightarrow \Delta_X$ ). The following claim follows immediately from the well-known structure of  $\Delta_U$  (cf. [Grothendieck], Exposé X, Corollaire 3.10).

**Claim 1.2.1.** *There exists a finite quotient  $G'$  of  $\Delta_{U,1/p^{i+1}}$  which inserts in the following commutative diagram (where the vertical maps are surjective)*

$$\begin{array}{ccccccccc} 1 & \longrightarrow & I_{U,1/p^{i+1}} & \longrightarrow & \Delta_{U,1/p^{i+1}} & \longrightarrow & \Delta_X & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & I' & \longrightarrow & G' & \longrightarrow & G'^{\text{et}} & \longrightarrow & 1 \end{array}$$

such that the following holds. The quotient  $\Delta_{U,1/p^{i+1}} \twoheadrightarrow G'$  corresponds to a Galois cover  $X'_2 \rightarrow X$  with Galois group  $G'$  which factorizes as  $X'_2 \rightarrow X'_2{}^{\text{et}} \rightarrow X$ , where  $X'_2{}^{\text{et}} \rightarrow X$  is the maximal étale sub-cover with Galois group  $G'^{\text{et}}$ , and  $X'_2 \rightarrow X'_2{}^{\text{et}}$  is a tamely ramified cover with Galois group  $I'$  (an abelian group annihilated by

$p^{i+1}$ ). Further, for  $s \in \{1, \dots, n\}$ , and  $I'_{x_s} \subseteq I'$  an inertia subgroup associated to  $x_s$ , then  $I'_{x_s}$  is cyclic of order  $p^{i+1}$ .

Let  $K'_1 \stackrel{\text{def}}{=} K_{X'_1}$  (resp.  $K'_2 \stackrel{\text{def}}{=} K_{X'_2}$ ) be the function field of  $X'_1$  (resp.  $X'_2$ ),  $L' \stackrel{\text{def}}{=} K'_1.K'_2$  the compositum of  $K'_1$  and  $K'_2$ , and  $Y$  the normalisation of  $X$  in  $L'$ . Thus,  $Y \rightarrow X$  is a Galois cover with Galois group  $H \subseteq G \times G'$  which is étale above  $U$ . Note that  $H$  maps onto  $G$ ,  $G'$ , and the quotient  $\Delta_U \twoheadrightarrow H$  doesn't factorize through  $\Delta_U \twoheadrightarrow \Delta_{U, i+1/p}$  if  $i \geq 1$ .

Let  $I'' \stackrel{\text{def}}{=} \text{Ker}(I \twoheadrightarrow I^{\text{ab}})$ . Thus,  $I''$  is an  $i$ -th step solvable group whose successive quotients are annihilated by  $p$ , and is a characteristic subgroup of  $I$ . Write  $\tilde{G} \stackrel{\text{def}}{=} G/I''$ , and let  $\tilde{H}$  be the image of  $H$  in the quotient  $\tilde{G} \times G'$  of  $G \times G'$ . We have a commutative diagram of exact sequences

$$\begin{array}{ccccccccc} 1 & \longrightarrow & I_H \stackrel{\text{def}}{=} H \cap (I'' \times \{1\}) & \longrightarrow & H & \longrightarrow & \tilde{H} & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & I'' \times \{1\} & \longrightarrow & G \times G' & \longrightarrow & \tilde{G} \times G' & \longrightarrow & 1 \end{array}$$

where the vertical maps are natural inclusions.

**Lemma 1.2.2.** *The group  $\tilde{H}$  is a quotient of  $\Delta_{U, 1/p^{i+1}}$ . Moreover, the Galois cover  $Y \rightarrow X$  factorizes as  $Y \rightarrow Y' \rightarrow X$ , where  $Y' \rightarrow X$  is a tamely ramified Galois cover with Galois group  $\tilde{H}$ , and  $Y \rightarrow Y'$  is an étale Galois cover with Galois group  $I_H \subseteq I''$ : an  $i$ -th step solvable group whose successive abelian quotients are annihilated by  $p$ .*

*Proof.* The first assertion follows from the fact that the inertia subgroup of  $\tilde{H}$  is a subgroup of  $I^{\text{ab}} \times I'$ . The proof of the second assertion is similar to the proof of Lemma 1.1.4 using Abhyankar's Lemma (cf. loc. cit.).  $\square$

The profinite group  $\Delta_{U, 1/p^{i+1}}$ ; being a quotient of  $\Delta_U$ , is topologically finitely generated. Hence there exists a sequence of characteristic open subgroups

$$\dots \subseteq \Delta_{U, 1/p^{i+1}}[j+1] \subseteq \Delta_{U, 1/p^{i+1}}[j] \subseteq \dots \subseteq \Delta_{U, 1/p^{i+1}}[1] \stackrel{\text{def}}{=} \Delta_{U, 1/p^{i+1}}$$

of  $\Delta_{U, 1/p^{i+1}}$  such that  $\bigcap_{j \geq 1} \Delta_{U, 1/p^{i+1}}[j] = \{1\}$ . The open subgroup  $\Delta_{U, 1/p^{i+1}}[j] \subseteq \Delta_{U, 1/p^{i+1}}$  corresponds to a finite Galois cover  $(X'_{i+1, j})^U \rightarrow X$  between smooth connected and proper  $\ell$ -curves, with Galois group  $G_{i+1, j}^U \stackrel{\text{def}}{=} \Delta_{U, 1/p^{i+1}} / \Delta_{U, 1/p^{i+1}}[j]$ , and which restricts to an étale cover  $(V_{i+1, j})^U \rightarrow U$ . The geometric point  $\eta$  determines a geometric point  $\eta'_{i+1, j}$  of  $(X'_{i+1, j})^U$  and  $(V_{i+1, j})^U$ . Write  $(\Delta'_{i+1, j})^U = \Delta_{(X'_{i+1, j})^U} \stackrel{\text{def}}{=} \pi_1((X'_{i+1, j})^U, \eta'_{i+1, j})^\Sigma$  for the maximal pro- $\Sigma$  étale fundamental group of  $(X'_{i+1, j})^U$  with base point  $\eta'_{i+1, j}$ , and  $((\Delta'_{i+1, j})^U)_{i/p}$  for the maximal  $i/p$ -th step prosolvable quotient of  $(\Delta'_{i+1, j})^U$ . Consider the following push-out diagram

$$\begin{array}{ccccccccc} 1 & \longrightarrow & \pi_1((V_{i+1, j})^U, \eta'_{i+1, j})^\Sigma & \longrightarrow & \Delta_U & \longrightarrow & G_{i+1, j}^U & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & ((\Delta'_{i+1, j})^U)_{i/p} & \longrightarrow & \tilde{G}_{i+1, j}^U & \longrightarrow & G_{i+1, j}^U & \longrightarrow & 1 \end{array}$$

$(\text{ker}(\pi_1((V'_{i+1, j})^U, \eta'_{i+1, j})^\Sigma \twoheadrightarrow ((\Delta'_{i+1, j})^U)_{i/p}))$  is a normal subgroup of  $\Delta_U$ .

**Lemma 1.2.3.** *With the above notations,  $G$  is a quotient of  $\tilde{G}_{i+1,j}^U$  for some  $j \geq 1$ .*

*Proof.* Follows from Lemma 1.2.2 and the various definitions.  $\square$

Let

$$\Delta_U^{p,i+1} \stackrel{\text{def}}{=} \varprojlim_{j \geq 1} \tilde{G}_{i+1,j}^U,$$

where  $\tilde{G}_{i+1,j}^U$  is as in Lemma 1.2.3 (the  $\{\tilde{G}_{i+1,j}^U\}_{j \geq 1}$  form a projective system). Thus, it follows from the various definitions that we have a natural exact sequence

$$(1.7) \quad 1 \rightarrow \varprojlim_{j \geq 1} ((\Delta'_{i+1,j})^U)_{i/p} \rightarrow \Delta_U^{p,i+1} \rightarrow \Delta_{U,1/p^{i+1}} \rightarrow 1,$$

where the  $\{((\Delta'_{i+1,j})^U)_{i/p}\}_{j \geq 1}$  are defined as above.

**Proposition 1.2.4.** *The profinite group  $\Delta_{U,i+1/p}$  is a quotient of  $\Delta_U^{p,i+1}$ .*

*Proof.* Follows from the above discussion (cf. Lemma 1.2.3).  $\square$

Similarly, write  $\mathcal{I}_{X,i+1/p} \stackrel{\text{def}}{=} \mathcal{I}_X / \mathcal{I}_X(i+1/p)$ . Thus,  $\mathcal{I}_{X,i+1/p}$  is the maximal  $i+1/p$ -th step prosolvable quotient of  $\mathcal{I}_X$ , and  $\mathcal{I}_{X,1/p}$  is the maximal abelian annihilated by  $p$  quotient of  $\mathcal{I}_X$ . Write

$$G_{X,i+1/p} \stackrel{\text{def}}{=} G_X / \mathcal{I}_X(i+1/p).$$

We shall refer to  $G_{X,i+1/p}$  (resp.  $G_{X,1/p}$ ) as the maximal **cuspidally  $i+1/p$ -th step prosolvable** (resp. maximal **cuspidally abelian annihilated by  $p$** ) quotient of  $G_X$  (with respect to the surjection  $G_X \twoheadrightarrow \Delta_X$ ). Also, write  $G_{X,1/p^{i+1}} \stackrel{\text{def}}{=} G_X / \mathcal{I}_X(1/p^{i+1})$ , and  $G_X^{p,i+1} \stackrel{\text{def}}{=} \varprojlim_U (\Delta_U^{p,i+1})$  where the limit is taken over all open subschemes  $U \subseteq X$ . We have the following exact sequence

$$(1.8) \quad 1 \rightarrow \varprojlim_U (\varprojlim_{j \geq 1} ((\Delta'_{i+1,j})^U)_{i/p}) \rightarrow G_X^{p,i+1} \rightarrow G_{X,1/p^{i+1}} \rightarrow 1.$$

**Lemma 1.2.5.** *The profinite group  $G_{X,i+1/p}$  is a quotient of  $G_X^{p,i+1}$ .*

*Proof.* Follows from the various definitions, and Proposition 1.2.4.  $\square$

**§2. Cuspidalisation of sections of cuspidally  $i$ -th step prosolvable arithmetic fundamental groups.** In this section  $k$  is a field with  $\text{char}(k) = l \geq 0$ ,  $X$  is a proper smooth and geometrically connected hyperbolic (i.e.,  $\text{genus}(X) \geq 2$ ) curve over  $k$ , and  $K_X$  its function field. Let  $\eta$  be a geometric point of  $X$  above its generic point; it determines an algebraic closure  $\bar{k}$  of  $k$ , and a geometric point  $\bar{\eta}$  of  $\bar{X} \stackrel{\text{def}}{=} X \times_k \bar{k}$ .

**2.1.** Let  $\Sigma \subseteq \mathfrak{Primes}$  be a non-empty set of prime integers. In case  $\text{char}(k) = l > 0$  we assume that  $l \notin \Sigma$ . Write  $\Delta_X \stackrel{\text{def}}{=} \pi_1(\bar{X}, \bar{\eta})^\Sigma$  for the maximal pro- $\Sigma$  quotient of  $\pi_1(\bar{X}, \bar{\eta})$ , and  $\Pi_X \stackrel{\text{def}}{=} \pi_1(X, \eta) / \text{Ker}(\pi_1(\bar{X}, \bar{\eta}) \twoheadrightarrow \pi_1(\bar{X}, \bar{\eta})^\Sigma)$ . Thus, we have an exact sequence

$$(2.1) \quad 1 \rightarrow \Delta_X \rightarrow \Pi_X \xrightarrow{\text{pr}_{X,\Sigma}} G_k \stackrel{\text{def}}{=} \text{Gal}(\bar{k}/k) \rightarrow 1.$$

We shall refer to  $\pi_1(X, \eta)^{(\Sigma)} \stackrel{\text{def}}{=} \Pi_X$  as the **geometrically pro- $\Sigma$  arithmetic fundamental group** of  $X$ .

**2.1.1.** Let  $U \subseteq X$  be a nonempty open subscheme. Write  $\Delta_U \stackrel{\text{def}}{=} \pi_1(\overline{U}, \overline{\eta})^\Sigma$  for the maximal pro- $\Sigma$  quotient of the fundamental group  $\pi_1(\overline{U}, \overline{\eta})$  of  $\overline{U}$  with base point  $\overline{\eta}$ , and  $\Pi_U \stackrel{\text{def}}{=} \pi_1(U, \eta) / \text{Ker}(\pi_1(\overline{U}, \overline{\eta}) \twoheadrightarrow \pi_1(\overline{U}, \overline{\eta})^\Sigma)$ . Thus, we have an exact sequence  $1 \rightarrow \Delta_U \rightarrow \Pi_U \rightarrow G_k \rightarrow 1$ . Let  $I_U \stackrel{\text{def}}{=} \text{Ker}(\Pi_U \twoheadrightarrow \Pi_X) = \text{Ker}(\Delta_U \twoheadrightarrow \Delta_X)$  be the cuspidal subgroup of  $\Pi_U$  (with respect to the surjection  $\Pi_U \twoheadrightarrow \Pi_X$ ). We have the following exact sequence

$$(2.2) \quad 1 \rightarrow I_U \rightarrow \Pi_U \rightarrow \Pi_X \rightarrow 1.$$

Let  $i \geq 0$  be an integer,  $I_{U,i}$  the maximal  $i$ -th step prosolvable quotient of  $I_U$  (cf. 1.1), and  $\Pi_U^{(i\text{-sol})} \stackrel{\text{def}}{=} \Pi_U / \text{Ker}(I_U \twoheadrightarrow I_{U,i})$ . We shall refer to  $\Pi_U^{(i\text{-sol})}$  as the maximal (geometrically) **cuspidally  $i$ -th step prosolvable quotient** of  $\Pi_U$  (with respect to the surjection  $\Pi_U \twoheadrightarrow \Pi_X$ ).

**2.1.2.** Similarly, we have an exact sequence of absolute Galois groups  $1 \rightarrow G_{\overline{k}.K_X} \rightarrow G_{K_X} \rightarrow G_k \rightarrow 1$ , where  $G_{\overline{k}.K_X} \stackrel{\text{def}}{=} \pi_1(\text{Spec}(\overline{k}.K_X), \eta)$ , and  $G_{K_X} \stackrel{\text{def}}{=} \pi_1(\text{Spec}(K_X), \eta)$ . Let  $G_{\overline{X}} \stackrel{\text{def}}{=} G_{\overline{k}.K_X}^\Sigma$  be the maximal pro- $\Sigma$  quotient of  $G_{\overline{k}.K_X}$ ,  $G_X \stackrel{\text{def}}{=} G_{K_X} / \text{Ker}(G_{\overline{k}.K_X} \twoheadrightarrow G_{\overline{k}.K_X}^\Sigma)$ , and  $\mathcal{I}_X \stackrel{\text{def}}{=} \text{Ker}(G_X \twoheadrightarrow \Pi_X) = \text{Ker}(G_{\overline{X}} \twoheadrightarrow \Delta_X)$  the cuspidal subgroup of  $G_X$  (with respect to the surjection  $G_X \twoheadrightarrow \Pi_X$ ). Let  $\mathcal{I}_{X,i}$  be the maximal  $i$ -th step prosolvable quotient of  $\mathcal{I}_X$ . By pushing the exact sequence  $1 \rightarrow \mathcal{I}_X \rightarrow G_X \rightarrow \Pi_X \rightarrow 1$  by the characteristic quotient  $\mathcal{I}_X \twoheadrightarrow \mathcal{I}_{X,i}$  we obtain an exact sequence

$$(2.3) \quad 1 \rightarrow \mathcal{I}_{X,i} \rightarrow G_X^{(i\text{-sol})} \rightarrow \Pi_X \rightarrow 1.$$

We will refer to the quotient  $G_X^{(i\text{-sol})}$  as the maximal (geometrically) **cuspidally  $i$ -th step prosolvable quotient** of  $G_X$  (with respect to the surjective homomorphism  $G_X \twoheadrightarrow \Pi_X$ ). There exist natural isomorphisms

$$G_X^{(i\text{-sol})} \xrightarrow{\sim} \varprojlim_U \Pi_U^{(i\text{-sol})}, \quad \mathcal{I}_{X,i} \xrightarrow{\sim} \varprojlim_U I_{U,i},$$

where the limit is taken over all open subschemes  $U \subseteq X$ .

**2.2.** Let  $i \geq 0$ . We have a commutative diagram of exact sequences

$$(2.4) \quad \begin{array}{ccccccc} & & 1 & & 1 & & \\ & & \downarrow & & \downarrow & & \\ & & \mathcal{I}_X[i+1] & \xlongequal{\quad} & \mathcal{I}_X[i+1] & & \\ & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & G_{\overline{X},i+1} & \longrightarrow & G_X^{(i+1\text{-sol})} & \longrightarrow & G_k \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & G_{\overline{X},i} & \longrightarrow & G_X^{(i\text{-sol})} & \longrightarrow & G_k \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \\ & & 1 & & 1 & & \end{array}$$

and similarly, for  $U \subseteq X$  nonempty open, we have the following commutative diagram

$$(2.5) \quad \begin{array}{ccccccccc} & & 1 & & 1 & & & & \\ & & \downarrow & & \downarrow & & & & \\ & & I_U[i+1] & \xlongequal{\quad} & I_U[i+1] & & & & \\ & & \downarrow & & \downarrow & & & & \\ 1 & \longrightarrow & \Delta_{U,i+1} & \longrightarrow & \Pi_U^{(i+1-\text{sol})} & \longrightarrow & G_k & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & \Delta_{U,i} & \longrightarrow & \Pi_U^{(i-\text{sol})} & \longrightarrow & G_k & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & & & \\ & & 1 & & 1 & & & & \end{array}$$

(recall the definition of  $\mathcal{I}_X[i+1]$  and  $I_U[i+1]$  from §1).

Assume that the lower horizontal sequence in diagram (2.4) splits. Let  $s : G_k \rightarrow G_X^{(i-\text{sol})}$  be a section of the projection  $G_X^{(i-\text{sol})} \twoheadrightarrow G_k$ , which induces a section  $s_U : G_k \rightarrow \Pi_U^{(i-\text{sol})}$  of the projection  $\Pi_U^{(i-\text{sol})} \twoheadrightarrow G_k$ ,  $\forall U \subseteq X$  open.

**The cuspidalisation problem for sections of cuspidally  $i$ -th step prosolvable arithmetic fundamental groups.** *Let  $i \geq 0$ . Given a section  $s_U : G_k \rightarrow \Pi_U^{(i-\text{sol})}$  as above, is it possible to construct a section  $\tilde{s}_U : G_k \rightarrow \Pi_U^{(i+1-\text{sol})}$  of the projection  $\Pi_U^{(i+1-\text{sol})} \twoheadrightarrow G_k$  which **lifts** the section  $s_U$ , i.e., which fits in a commutative diagram*

$$\begin{array}{ccc} G_k & \xrightarrow{\tilde{s}_U} & \Pi_U^{(i+1-\text{sol})} \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s_U} & \Pi_U^{(i-\text{sol})} \end{array}$$

where the right vertical map is the natural surjection?

Similarly, is it possible to construct a section  $\tilde{s} : G_k \rightarrow G_X^{(i+1-\text{sol})}$  of the projection  $G_X^{(i+1-\text{sol})} \twoheadrightarrow G_k$  which **lifts** the section  $s$ , i.e., which fits in a commutative diagram

$$\begin{array}{ccc} G_k & \xrightarrow{\tilde{s}} & G_X^{(i+1-\text{sol})} \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s} & G_X^{(i-\text{sol})} \end{array}$$

where the right vertical map is the natural surjection?

**2.3.** The above cuspidalisation problem in the case  $i = 0$  has been investigated in [Saïdi]. Next, we will investigate this problem in the case  $i \geq 1$ .

We use the notations in 2.2. Recall the definition of the characteristic open subgroups  $\{\Delta_{U,i}[j]\}_{j \geq 1}$  such that  $\bigcap_{j \geq 1} \Delta_{U,i}[j] = \{1\}$  (cf. discussion before Proposition 1.1.1). Write  $\widehat{\Pi}_U[i,j] \stackrel{\text{def}}{=} \widehat{\Pi}_U[i,j][s_U] \stackrel{\text{def}}{=} \Delta_{U,i}[j].s_U(G_k)$ . Thus,  $\widehat{\Pi}_U[i,j] \subseteq \Pi_U^{(i-\text{sol})}$

is an open subgroup which contains the image  $s_U(G_k)$  of the section  $s_U$ . Write  $\Pi_U[i, j] \stackrel{\text{def}}{=} \Pi_U[i, j][s_U]$  for the inverse image of  $\widehat{\Pi}_U[i, j]$  in  $\Pi_U$ . Thus,  $\Pi_U[i, j] \subseteq \Pi_U$  is an open subgroup which corresponds to an étale cover  $V_{i,j} \rightarrow U$ , where  $V_{i,j}$  is a geometrically irreducible  $k$ -curve (since  $\Pi_U[i, j]$  maps onto  $G_k$  via the natural projection  $\Pi_U \rightarrow G_k$  by the very definition of  $\Pi_U[i, j]$ ).

Write  $X_{i,j}^U$  (resp.  $\overline{X}_{i,j}^U$ ) for the smooth compactification of  $V_{i,j}$  (resp.  $\overline{V}_{i,j} \stackrel{\text{def}}{=} V_{i,j} \times_k \overline{k}$ ). We have an exact sequence  $1 \rightarrow \pi_1(\overline{X}_{i,j}^U, \overline{\eta}_{i,j}) \rightarrow \pi_1(X_{i,j}^U, \eta_{i,j}) \rightarrow G_k \rightarrow 1$ , where  $\eta_{i,j}$  (resp.  $\overline{\eta}_{i,j}$ ) is a geometric point naturally induced by  $\eta$ . Write  $\pi_1(\overline{X}_{i,j}^U, \overline{\eta}_{i,j})^{\Sigma, \text{ab}}$  for the maximal pro- $\Sigma$  abelian quotient of  $\pi_1(\overline{X}_{i,j}^U, \overline{\eta}_{i,j})$ , and  $\pi_1(X_{i,j}^U, \eta_{i,j})^{(\Sigma, \text{ab})} \stackrel{\text{def}}{=} \pi_1(X_{i,j}^U, \eta_{i,j}) / \text{Ker}(\pi_1(\overline{X}_{i,j}^U, \overline{\eta}_{i,j}) \rightarrow \pi_1(\overline{X}_{i,j}^U, \overline{\eta}_{i,j})^{\Sigma, \text{ab}})$  for the geometrically pro- $\Sigma$  abelian fundamental group of  $X_{i,j}^U$ . Consider the following pull-back diagram.

$$(2.6) \quad \begin{array}{ccccccc} 1 & \longrightarrow & I_U[i+1] & \longrightarrow & \mathcal{H}_{U,i} \stackrel{\text{def}}{=} \mathcal{H}_{U,i}[s_U] & \longrightarrow & G_k & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & s_U \downarrow & & \\ 1 & \longrightarrow & I_U[i+1] & \longrightarrow & \Pi_U^{(i+1-\text{sol})} & \longrightarrow & \Pi_U^{(i-\text{sol})} & \longrightarrow & 1 \end{array}$$

**Lemma 2.3.1.** *The section  $s_U : G_k \rightarrow \Pi_U^{(i-\text{sol})}$  lifts to a section  $\tilde{s}_U : G_k \rightarrow \Pi_U^{(i+1-\text{sol})}$  of the projection  $\Pi_U^{(i+1-\text{sol})} \rightarrow G_k$  if and only if the group extension  $1 \rightarrow I_U[i+1] \rightarrow \mathcal{H}_{U,i} \rightarrow G_k \rightarrow 1$  splits.*

*Proof.* Follows immediately from the diagram (2.6).  $\square$

**Lemma 2.3.2.** *Assume  $i \geq 1$ . Then we have natural identifications  $I_U[i+1] \xrightarrow{\sim} \varprojlim_{j \geq 1} \pi_1(\overline{X}_{i,j}^U, \overline{\eta}_{i,j})^{\Sigma, \text{ab}}$ , and  $\mathcal{H}_{U,i} \xrightarrow{\sim} \varprojlim_{j \geq 1} \pi_1(X_{i,j}^U, \eta_{i,j})^{(\Sigma, \text{ab})}$ .*

*Proof.* Follows from the various definitions and Proposition 1.1.1.  $\square$

**Definition 2.3.3.** We say that the field  $k$  satisfies the condition  $(\mathbf{H}_\Sigma)$  if the following holds. The Galois cohomology groups  $H^1(G_k, M)$  are finite for every finite  $G_k$ -module  $M$  whose cardinality is divisible only by primes in  $\Sigma$ .

**Lemma 2.3.4.** *Assume that  $i \geq 1$ , and  $k$  satisfies the condition  $(\mathbf{H}_\Sigma)$ . Then the group extension  $1 \rightarrow I_U[i+1] \rightarrow \mathcal{H}_{U,i} \rightarrow G_k \rightarrow 1$  splits if and only if the group extensions  $1 \rightarrow \pi_1(\overline{X}_{i,j}^U, \overline{\eta}_{i,j})^{\Sigma, \text{ab}} \rightarrow \pi_1(X_{i,j}^U, \eta_{i,j})^{(\Sigma, \text{ab})} \rightarrow G_k \rightarrow 1$  split,  $\forall j \geq 1$ .*

*Proof.* The only if part follows immediately from Lemma 2.3.2. Conversely, assume that the group extension  $\pi_1(X_{i,j}^U, \eta_{i,j})^{(\Sigma, \text{ab})}$  splits,  $\forall j \geq 1$ . Write  $\mathcal{H}_{U,i} = \varprojlim_G G$  as the projective limit of finite quotients  $G$  which insert into a commutative diagram

$$\begin{array}{ccccccc} 1 & \longrightarrow & I_U[i+1] & \longrightarrow & \mathcal{H}_{U,i} \stackrel{\text{def}}{=} \mathcal{H}_{U,i}[s_U] & \longrightarrow & G_k & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & \overline{G} & \longrightarrow & G & \longrightarrow & H & \longrightarrow & 1 \end{array}$$

where the vertical maps are surjective. Let  $\tilde{G}$  be the pull-back of the group extension  $G$  by the surjective homomorphism  $G_k \rightarrow H$ . Thus, we have an exact sequence

$1 \rightarrow \overline{G} \rightarrow \widetilde{G} \rightarrow G_k \rightarrow 1$ , and  $\mathcal{H}_{U,i} = \varprojlim_{\widetilde{G}} \widetilde{G}$ . Given a (geometrically finite) quotient  $\mathcal{H}_{U,i} \twoheadrightarrow \widetilde{G}$  as above, it factorizes as  $\mathcal{H}_{U,i} \twoheadrightarrow \pi_1(X_{i,j}^U, \eta_{i,j})^{(\Sigma, \text{ab})} \twoheadrightarrow \widetilde{G}$  for some  $j \geq 1$  (cf. Lemma 2.3.2). In particular, the group extension  $\widetilde{G}$  splits by our assumption that the group extensions  $\pi_1(X_{i,j}^U, \eta_{i,j})^{(\Sigma, \text{ab})}$  split,  $\forall j \geq 1$ . The set  $\text{Sect}(G_k, \mathcal{H}_{U,i})$  of continuous splittings of the group extension  $\mathcal{H}_{U,i}$  is naturally identified with the inverse limit  $\varprojlim_{\widetilde{G}} \text{Sect}(G_k, \widetilde{G})$  of the sets of continuous splittings of the group extensions  $\widetilde{G}$  as above. For a (geometrically finite) quotient  $\widetilde{G}$  of  $\mathcal{H}_{U,i}$  as above the set  $\text{Sect}(G_k, \widetilde{G})$  is non-empty (cf. above discussion) and is, up to conjugation by elements of  $\overline{G}$ , a torsor under the Galois cohomology group  $H^1(G_k, \overline{G})$ , which is finite by our assumption that  $k$  satisfies the condition  $(\mathbf{H}_\Sigma)$ . Thus, the set  $\text{Sect}(G_k, \widetilde{G})$  is a non-empty finite set. Hence the set  $\text{Sect}(G_k, \mathcal{H}_{U,i})$  is non-empty being the projective limit of non-empty finite sets.  $\square$

For  $j \geq 1$ , let  $J_{i,j}^U \stackrel{\text{def}}{=} \text{Pic}_k^0(X_{i,j}^U)$  be the jacobian of  $X_{i,j}^U$ , and  $(J_{i,j}^1)^U \stackrel{\text{def}}{=} \text{Pic}_k^1(X_{i,j}^U)$ . Thus,  $(J_{i,j}^1)^U$  is a torsor under  $J_{i,j}^U$ .

**Lemma 2.3.5.** *The group extension  $1 \rightarrow \pi_1(\overline{X}_{i,j}^U, \overline{\eta}_{i,j})^{\Sigma, \text{ab}} \rightarrow \pi_1(X_{i,j}^U, \eta_{i,j})^{(\Sigma, \text{ab})} \rightarrow G_k \rightarrow 1$  splits if and only if the class of  $(J_{i,j}^1)^U$  in  $H^1(G_k, J_{i,j}^U)$  lies in the maximal  $\Sigma$ -divisible subgroup of  $H^1(G_k, J_{i,j}^U)$ , i.e., the maximal subgroup of  $H^1(G_k, J_{i,j}^U)$  which is divisible by integers whose prime factors are in  $\Sigma$ .*

*Proof.* This is a well known fact (cf. [Harari-Szamuely], Theorem 1.2, and Proposition 2.1).  $\square$

**Proposition 2.3.6.** *We use the same notations as above. Assume that  $i \geq 1$ , and  $k$  satisfies the condition  $(\mathbf{H}_\Sigma)$ . Then the section  $s_U : G_k \rightarrow \Pi_U^{(i-\text{sol})}$  lifts to a section  $\tilde{s}_U : G_k \rightarrow \Pi_U^{(i+1-\text{sol})}$  of the projection  $\Pi_U^{(i+1-\text{sol})} \twoheadrightarrow G_k$  if and only if the class of  $(J_{i,j}^1)^U$  in  $H^1(G_k, J_{i,j}^U)$  lies in the maximal  $\Sigma$ -divisible subgroup of  $H^1(G_k, J_{i,j}^U)$ ,  $\forall j \geq 1$ .*

*Proof.* Follows from Lemmas 2.3.4 and 2.3.5.  $\square$

Recall the section  $s : G_k \rightarrow G_X^{(i-\text{sol})}$  of the projection  $G_X^{(i-\text{sol})} \twoheadrightarrow G_k$  which induces the section  $s_U : G_k \rightarrow \Pi_U^{(i-\text{sol})}$  of  $\Pi_U^{(i-\text{sol})} \twoheadrightarrow G_k$ ,  $\forall U \subseteq X$  open (cf. 2.2).

**Lemma 2.3.7.** *Assume that  $k$  satisfies the condition  $(\mathbf{H}_\Sigma)$ . Then the section  $s : G_k \rightarrow G_X^{(i-\text{sol})}$  lifts to a section  $\tilde{s} : G_k \rightarrow G_X^{(i+1-\text{sol})}$  of the projection  $G_X^{(i+1-\text{sol})} \twoheadrightarrow G_k$  if and only if for each nonempty open subscheme  $U \subseteq X$  the section  $s_U : G_k \rightarrow \Pi_U^{(i-\text{sol})}$  lifts to a section  $\tilde{s}_U : G_k \rightarrow \Pi_U^{(i+1-\text{sol})}$  of the projection  $\Pi_U^{(i+1-\text{sol})} \twoheadrightarrow G_k$ .*

*Proof.* Similar to the proof of Lemma 2.3.4, using the facts that  $k$  satisfies the condition  $(\mathbf{H}_\Sigma)$ , and  $G_X^{(i-\text{sol})} \simeq \varprojlim_U \Pi_U^{(i-\text{sol})}$ .  $\square$

The following is our main result in this section.

**Theorem 2.3.8.** *We use the same notations as above. Assume that  $i \geq 1$ , and  $k$  satisfies the condition  $(\mathbf{H}_\Sigma)$ . Then the section  $s : G_k \rightarrow G_X^{(i-\text{sol})}$  lifts to a section  $\tilde{s} : G_k \rightarrow G_X^{(i+1-\text{sol})}$  of the projection  $G_X^{(i+1-\text{sol})} \twoheadrightarrow G_k$  if and only if  $\forall U \subseteq X$*

nonempty open subscheme the class of  $(J_{i,j}^1)^U$  in  $H^1(G_k, J_{i,j}^U)$  lies in the maximal  $\Sigma$ -divisible subgroup of  $H^1(G_k, J_{i,j}^U)$ ,  $\forall j \geq 1$ .

*Proof.* Follows from Lemmas 2.3.4, 2.3.5, and 2.3.7.  $\square$

**§3. Lifting of sections to cuspidally  $2/p$ -th step prosolvable arithmetic fundamental groups.** In this section we investigate a certain mod- $p$  variant of the cuspidalisation problem investigated in §2 (as well as in [Saïdi]). Throughout §3 we use the same notations as in §2. Let  $p \in \Sigma$  be a prime integer.

**3.1.** Let  $U \subseteq X$  be a nonempty open subscheme. Let  $i \geq 0$ ,  $t \geq 1$ , be integers, and  $I_{U,i/p^t}$  the maximal  $i/p^t$ -th step prosolvable quotient of  $I_U$  (cf. 1.2). By pushing the exact sequence (2.2) by the surjective homomorphism  $I_U \twoheadrightarrow I_{U,i/p^t}$  we obtain an exact sequence  $1 \rightarrow I_{U,i/p^t} \rightarrow \Pi_U^{(i/p^t\text{-sol})} \rightarrow \Pi_X \rightarrow 1$ . We shall refer to  $\Pi_U^{(i/p^t\text{-sol})}$  as the maximal (geometrically) **cuspidally  $i/p^t$ -th step prosolvable quotient** of  $\Pi_U$  (with respect to the surjection  $\Pi_U \twoheadrightarrow \Pi_X$ ). We have a commutative diagram of exact sequence.

$$(3.1) \quad \begin{array}{ccccccc} & & 1 & & 1 & & \\ & & \downarrow & & \downarrow & & \\ & & I_{U,i/p^t} & \xlongequal{\quad} & I_{U,i/p^t} & & \\ & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & \Delta_{U,i/p^t} & \longrightarrow & \Pi_U^{(i/p^t\text{-sol})} & \longrightarrow & G_k \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \Delta_X & \longrightarrow & \Pi_X & \longrightarrow & G_k \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \\ & & 1 & & 1 & & \end{array}$$

Similarly, by pushing the exact sequence  $1 \rightarrow \mathcal{I}_X \rightarrow G_X \rightarrow \Pi_X \rightarrow 1$  by the surjective homomorphism  $\mathcal{I}_X \twoheadrightarrow \mathcal{I}_{X,i/p^t}$  we obtain an exact sequence  $1 \rightarrow \mathcal{I}_{X,i/p^t} \rightarrow G_X^{(i/p^t\text{-sol})} \rightarrow \Pi_X \rightarrow 1$ . We will refer to  $G_X^{(i/p^t\text{-sol})}$  as the maximal (geometrically) **cuspidally  $i/p^t$ -th step prosolvable quotient** of  $G_X$  (with respect to the surjective homomorphism  $G_X \twoheadrightarrow \Pi_X$ ). There exist natural isomorphisms

$$G_X^{(i/p^t\text{-sol})} \xrightarrow{\sim} \varprojlim_U \Pi_U^{(i/p^t\text{-sol})}, \quad \mathcal{I}_{X,i/p^t} \xrightarrow{\sim} \varprojlim_U I_{U,i/p^t},$$



where the limits are over all open subschemes  $U \subseteq X$ , and a commutative diagram.

$$(3.2) \quad \begin{array}{ccccccc} & & 1 & & 1 & & \\ & & \downarrow & & \downarrow & & \\ & & \mathcal{I}_{X,i/p^t} & \xlongequal{\quad} & \mathcal{I}_{X,i/p^t} & & \\ & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & G_{\overline{X},i/p^t} & \longrightarrow & G_X^{(i/p^t\text{-sol})} & \longrightarrow & G_k \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \Delta_X & \longrightarrow & \Pi_X & \longrightarrow & G_k \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \\ & & 1 & & 1 & & \end{array}$$

**3.2.** Assume that the lower horizontal exact sequence in diagram (3.2) splits. Let  $s : G_k \rightarrow \Pi_X$  be a section of the projection  $\Pi_X \rightarrow G_k$ .

**The lifting problem to sections of cuspidally  $i + 1/p^t$ -th step prosolvable arithmetic fundamental groups.** Let  $i \geq 0$ ,  $t \geq 1$ , be integers. Given a section  $s : G_k \rightarrow \Pi_X$  as above is it possible to construct a section  $s_{U,i+1} : G_k \rightarrow \Pi_U^{(i+1/p^t\text{-sol})}$  of the projection  $\Pi_U^{(i+1/p^t\text{-sol})} \rightarrow G_k$  which **lifts** the section  $s$ , i.e., which fits in a commutative diagram

$$\begin{array}{ccc} G_k & \xrightarrow{s_{U,i+1}} & \Pi_U^{(i+1/p^t\text{-sol})} \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s} & \Pi_X \end{array}$$

where the right vertical map is the natural surjection?

Similarly, is it possible to construct a section  $s_{i+1} : G_k \rightarrow G_X^{(i+1/p^t\text{-sol})}$  of the projection  $G_X^{(i+1/p^t\text{-sol})} \rightarrow G_k$  which **lifts** the section  $s$ , i.e., which fits in a commutative diagram

$$\begin{array}{ccc} G_k & \xrightarrow{s_{i+1}} & G_X^{(i+1/p^t\text{-sol})} \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s} & \Pi_X \end{array}$$

where the right vertical map is the natural surjection?

**3.3. The quotients  $G_X \rightarrow G_X^{(p,i+1)}$ ,  $\Pi_U \rightarrow \Pi_U^{(p,i+1)}$ , and lifting of sections.** Next, recall the notations in 1.2 and the discussion therein, especially the definition of the quotient  $\Delta_U \rightarrow \Delta_U^{p,i+1}$  (cf. the discussion after Lemma 1.2.3). The kernel of the surjective homomorphism  $\Delta_U \rightarrow \Delta_U^{p,i+1}$  is a normal subgroup of  $\Pi_U$  (as one easily verifies). Write  $\Pi_U^{(p,i+1)} \stackrel{\text{def}}{=} \Pi_U / \text{Ker}(\Delta_U \rightarrow \Delta_U^{p,i+1})$ . Thus, we have an exact sequence

$$(3.3) \quad 1 \rightarrow \Delta_U^{p,i+1} \rightarrow \Pi_U^{(p,i+1)} \rightarrow G_k \rightarrow 1.$$

Recall the exact sequence  $1 \rightarrow \varprojlim_{j \geq 1} ((\Delta'_{i+1,j})^U)_{i/p} \rightarrow \Delta_U^{p,i+1} \rightarrow \Delta_{U,1/p^{i+1}} \rightarrow 1$

(cf. loc. cit.). The quotient  $\Pi_U \twoheadrightarrow \Pi_U^{(1/p^{i+1}\text{-sol})}$  (cf. 3.1) factorizes through  $\Pi_U \twoheadrightarrow \Pi_U^{(p,i+1)}$  (cf. exact sequence (1.7)), and we have a commutative diagram of exact sequences.

(3.4)

$$\begin{array}{ccccccc}
& & 1 & & 1 & & \\
& & \downarrow & & \downarrow & & \\
& & \varprojlim_{j \geq 1} ((\Delta'_{i+1,j})^U)_{i/p} & \xlongequal{\quad} & \varprojlim_{j \geq 1} ((\Delta'_{i+1,j})^U)_{i/p} & & \\
& & \downarrow & & \downarrow & & \\
1 & \longrightarrow & \Delta_U^{p,i+1} & \longrightarrow & \Pi_U^{(p,i+1)} & \longrightarrow & G_k \longrightarrow 1 \\
& & \downarrow & & \downarrow & & \downarrow \\
1 & \longrightarrow & \Delta_{U,1/p^{i+1}} & \longrightarrow & \Pi_U^{(1/p^{i+1}\text{-sol})} & \longrightarrow & G_k \longrightarrow 1 \\
& & \downarrow & & \downarrow & & \\
& & 1 & & 1 & & 
\end{array}$$

Similarly,  $\text{Ker}(G_{\overline{X}} \twoheadrightarrow G_{\overline{X}}^{p,i+1})$  is a normal subgroup of  $G_X$ , and we have an exact sequence

$$(3.5) \quad 1 \rightarrow G_{\overline{X}}^{p,i+1} \rightarrow G_X^{(p,i+1)} \rightarrow G_k \rightarrow 1,$$

where  $G_X^{(p,i+1)} \stackrel{\text{def}}{=} G_X / \text{Ker}(G_{\overline{X}} \twoheadrightarrow G_{\overline{X}}^{p,i+1})$ . The exact sequence (1.8) induces a commutative diagram of exact sequences.

(3.6)

$$\begin{array}{ccccccc}
& & 1 & & 1 & & \\
& & \downarrow & & \downarrow & & \\
& & \varprojlim_U (\varprojlim_{j \geq 1} ((\Delta'_{i+1,j})^U)_{i/p}) & \xlongequal{\quad} & \varprojlim_U (\varprojlim_{j \geq 1} ((\Delta'_{i+1,j})^U)_{i/p}) & & \\
& & \downarrow & & \downarrow & & \\
1 & \longrightarrow & G_{\overline{X}}^{p,i+1} & \longrightarrow & G_X^{(p,i+1)} & \longrightarrow & G_k \longrightarrow 1 \\
& & \downarrow & & \downarrow & & \downarrow \\
1 & \longrightarrow & G_{\overline{X},1/p^{i+1}} & \longrightarrow & G_X^{(1/p^{i+1}\text{-sol})} & \longrightarrow & G_k \longrightarrow 1 \\
& & \downarrow & & \downarrow & & \\
& & 1 & & 1 & & 
\end{array}$$

Furthermore,  $\Pi_U^{(i+1/p\text{-sol})}$  (resp.  $G_X^{(i+1/p\text{-sol})}$ ) is a quotient of  $\Pi_U^{(p,i+1)}$  (resp. of

$G_X^{(p,i+1)}$ ) (cf. Lemmas 1.2.4 and 1.2.5) and we have commutative diagrams

$$(3.7) \quad \begin{array}{ccccccc} 1 & \longrightarrow & \Delta_U^{p,i+1} & \longrightarrow & \Pi_U^{(p,i+1)} & \longrightarrow & G_k \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \Delta_{U,i+1/p} & \longrightarrow & \Pi_U^{(i+1/p-\text{sol})} & \longrightarrow & G_k \longrightarrow 1 \end{array}$$

resp.

$$(3.8) \quad \begin{array}{ccccccc} 1 & \longrightarrow & G_{\overline{X}}^{p,i+1} & \longrightarrow & G_X^{(p,i+1)} & \longrightarrow & G_k \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & G_{\overline{X},i+1/p} & \longrightarrow & G_X^{(i+1/p-\text{sol})} & \longrightarrow & G_k \longrightarrow 1 \end{array}$$

where the left and middle vertical maps are surjective.

**The lifting problem to sections of  $\Pi_U^{(p,i+1)}$ , and  $G_X^{(p,i+1)}$ .** Given a section  $s : G_k \rightarrow \Pi_X$  of the projection  $\Pi_X \twoheadrightarrow G_k$  as in 3.2, is it possible to construct a section  $\tilde{s}_{U,i+1} : G_k \rightarrow \Pi_U^{(p,i+1)}$  of the projection  $\Pi_U^{(p,i+1)} \twoheadrightarrow G_k$  which **lifts** the section  $s$ , i.e., which fits in a commutative diagram

$$\begin{array}{ccc} G_k & \xrightarrow{\tilde{s}_{U,i+1}} & \Pi_U^{(p,i+1)} \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s} & \Pi_X \end{array}$$

where the right vertical map is the natural surjection?

Similarly, is it possible to construct a section  $\tilde{s}_{i+1} : G_k \rightarrow G_X^{(p,i+1)}$  of the projection  $G_X^{(p,i+1)} \twoheadrightarrow G_k$  which **lifts** the section  $s$ , i.e., which fits in a commutative diagram

$$\begin{array}{ccc} G_k & \xrightarrow{\tilde{s}_{i+1}} & G_X^{(p,i+1)} \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s} & \Pi_X \end{array}$$

where the right vertical map is the natural surjection?

**Lemma 3.3.1.** A positive answer to the lifting problem posed in 3.3 implies a positive answer to the lifting problem posed in 3.2 in the case  $t = 1$ .

*Proof.* Follows immediately from the above commutative diagrams (3.7) and (3.8).  $\square$

**3.4.** In this section we investigate the lifting problem posed in 3.3 in the case  $i = 1$ , and draw consequences for the lifting problem posed in 3.2 in the case  $t = i = 1$  (the only case we need for applications in §4). Let  $s : G_k \rightarrow \Pi_X$  be a section of the projection  $\Pi_X \twoheadrightarrow G_k$ .

**3.4.I.** First, we investigate the problem of lifting the section  $s$  to a section  $s'_{U,i+1} : G_k \rightarrow \Pi_U^{(1/p^{i+1}-\text{sol})}$  (resp.  $s'_{i+1} : G_k \rightarrow G_X^{(1/p^{i+1}-\text{sol})}$ ) of the projection  $\Pi_U^{(1/p^{i+1}-\text{sol})} \twoheadrightarrow$

$G_k$  (resp.  $G_X^{(1/p^{i+1}\text{-sol})} \twoheadrightarrow G_k$ ). Recall the notations in 2.3, and ( $\forall U \subseteq X$  open) the sequence of characteristic open subgroups

$\dots \subseteq \Delta_X[j+1] \stackrel{\text{def}}{=} \Delta_{U,0}[j+1] \subseteq \Delta_X[j] \stackrel{\text{def}}{=} \Delta_{U,0}[j] \subseteq \dots \subseteq \Delta_X[1] \stackrel{\text{def}}{=} \Delta_{U,0}[1] = \Delta_X$   
of  $\Delta_{U,0} = \Delta_X$  with  $\bigcap_{j \geq 1} \Delta_X[j] = \{1\}$ . The sequence of (geometrically characteristic) open subgroups

$\dots \subseteq \Pi_X[j+1] \stackrel{\text{def}}{=} \Pi_{U,0}[j+1] \subseteq \Pi_X[j] \stackrel{\text{def}}{=} \Pi_{U,0}[j] \subseteq \dots \subseteq \Pi_X[1] \stackrel{\text{def}}{=} \Pi_{U,0}[1] = \Pi_X,$

where  $\Pi_X[j] \stackrel{\text{def}}{=} \Delta_X[j].s(G_k)$ , corresponds to a tower of finite (not necessarily Galois) étale covers

$$\dots \rightarrow X_{j+1} \stackrel{\text{def}}{=} X_{0,j+1}^U \rightarrow X_j \stackrel{\text{def}}{=} X_{0,j}^U \rightarrow \dots \rightarrow X \stackrel{\text{def}}{=} X_{0,1}^U.$$

Note that  $\Pi_X[j]$  identifies naturally with  $\Pi_{X_j} \stackrel{\text{def}}{=} \pi_1(X_j, \eta_j)^{(\Sigma)}$ , where  $\eta_j$  is the base point induced by  $\eta$ . Moreover, the section  $s$  restricts to a section  $s : G_k \rightarrow \Pi_{X_j}$  of the projection  $\Pi_{X_j} \twoheadrightarrow G_k, \forall j \geq 1$ .

Let  $i \geq 0, j \geq 1$ , be integers. Recall the Kummer sequence

$$1 \rightarrow \mu_{p^{i+1}} \rightarrow \mathbb{G}_m \xrightarrow{p^{i+1}} \mathbb{G}_m \rightarrow 1$$

in étale topology, which induces an exact sequence

$$0 \rightarrow \text{Pic}(X_j)/p^{i+1} \text{Pic}(X_j) \rightarrow H^2(X_j, \mu_{p^{i+1}}) \rightarrow_{p^{i+1}} \text{Br}(X_j) \rightarrow 0.$$

Here  $\text{Pic} \stackrel{\text{def}}{=} H_{\text{et}}^1(\cdot, \mathbb{G}_m)$  is the Picard group,  $\text{Br} \stackrel{\text{def}}{=} H_{\text{et}}^2(\cdot, \mathbb{G}_m)$  the Brauer-Grothendieck cohomological group, and  ${}_{p^{i+1}}\text{Br} \subseteq \text{Br}$  the subgroup of  $\text{Br}$  which is annihilated by  $p^{i+1}$ . We identify  $\text{Pic}(X_j)/p^{i+1} \text{Pic}(X_j)$  with its image in  $H^2(X_j, \mu_{p^{i+1}})$  and refer to it as the Picard part of  $H^2(X_j, \mu_{p^{i+1}})$ . By pulling back cohomology classes via the section  $s : G_k \rightarrow \Pi_{X_j}$ , and bearing in mind the natural identification  $H^2(\Pi_{X_j}, \mu_{p^{i+1}}) \xrightarrow{\sim} H^2(X_j, \mu_{p^{i+1}})$  (cf. [Mochizuki], Proposition 1.1), we obtain a restriction homomorphism  $s_j^* : H^2(X_j, \mu_{p^{i+1}}) \rightarrow H^2(G_k, \mu_{p^{i+1}})$ .

Observe that if  $k'/k$  is a finite extension, and  $X_{k'} \stackrel{\text{def}}{=} X \times_k k'$ , then we have a cartesian diagram:

$$\begin{array}{ccccccc} 1 & \longrightarrow & \Delta_{X_{k'}} & \longrightarrow & \Pi_{X_{k'}} & \longrightarrow & G_{k'} \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \Delta_X & \longrightarrow & \Pi_X & \longrightarrow & G_k \longrightarrow 1 \end{array}$$

and the section  $s$  induces a section  $s_{k'} : G_{k'} \rightarrow \Pi_{X_{k'}}$  of the projection  $\Pi_{X_{k'}} \twoheadrightarrow G_{k'}$ .

**Definition 3.4.1 (Sections with Cycle Classes Orthogonal to Pic mod- $p^{i+1}$ ).** (Compare with [Saïdi], 1.4.)

(i) We say that the section  $s$  has a **cycle class orthogonal to Pic mod- $p^{i+1}$**  if the homomorphism  $s_j^* : H^2(X_j, \mu_{p^{i+1}}) \rightarrow H^2(G_k, \mu_{p^{i+1}})$  annihilates the Picard part  $\text{Pic}(X_j)/p^{i+1} \text{Pic}(X_j)$  of  $H^2(X_j, \mu_{p^{i+1}}), \forall j \geq 1$ .

(ii) We say that the section  $s$  has a **cycle class uniformly orthogonal to Pic mod- $p^{i+1}$**  (relative to the system of neighbourhoods  $\{X_j\}_{j \geq 1}$  of  $s$ ) if, for every finite extension  $k'/k$ , the induced section  $s_{k'} : G_{k'} \rightarrow \Pi_{X_{k'}}$  has a cycle class orthogonal to Pic mod- $p^{i+1}$  (relative to the system of neighbourhoods of  $s_{k'}$  which is induced by the  $\{X_j\}_{j \geq 1}$ ).

**Definition 3.4.2.** We say that the field  $k$  satisfies the condition  $(\mathbf{H}_{\mathbf{p}^{i+1}})$  if the following holds. The Galois cohomology groups  $H^1(G_k, M)$  are *finite* for every finite  $G_k$ -module  $M$  annihilated by  $p^{i+1}$ .

**Theorem 3.4.3 (Lifting of Sections to Cuspidally mod- $p^{i+1}$  abelian Arithmetic Fundamental Groups).** *Assume that  $k$  satisfies the condition  $(\mathbf{H}_{\mathbf{p}^{i+1}})$  (cf. Definition 3.4.2). Let  $s : G_k \rightarrow \Pi_X$  be a section of the projection  $\Pi_X \twoheadrightarrow G_k$ . Assume that  $s$  has a **cycle class uniformly orthogonal to Pic mod- $p^{i+1}$**  (cf. Definition 3.4.1(ii)). Let  $U \subseteq X$  be a nonempty open subscheme. Then there exists a section  $s'_{U,i+1} : G_k \rightarrow \Pi_U^{(1/p^{i+1}-\text{sol})}$  of the projection  $\Pi_U^{(1/p^{i+1}-\text{sol})} \twoheadrightarrow G_k$  which **lifts** the section  $s$ , i.e., which inserts into the following commutative diagram.*

$$\begin{array}{ccc} G_k & \xrightarrow{s'_{U,i+1}} & \Pi_U^{(1/p^{i+1}-\text{sol})} \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s} & \Pi_X \end{array}$$

*Proof.* Similar to the proof of Theorem 2.3.3 in [Saïdi].  $\square$

**Theorem 3.4.4 (Lifting of Sections to Cuspidally mod- $p^{i+1}$  abelian Galois Groups).** *Assume that the field  $k$  satisfies the condition  $(\mathbf{H}_{\mathbf{p}^{i+1}})$  (cf. Definition 3.4.2). Let  $s : G_k \rightarrow \Pi_X$  be a section of the projection  $\Pi_X \twoheadrightarrow G_k$ . Then  $s$  has a **cycle class uniformly orthogonal to Pic mod- $p^{i+1}$**  (cf. Definition 3.4.1(ii)) **if and only if** there exists a section  $s'_{i+1} : G_k \rightarrow G_X^{(1/p^{i+1}-\text{sol})}$  of the projection  $G_X^{(1/p^{i+1}-\text{sol})} \twoheadrightarrow G_k$  which **lifts** the section  $s$ , i.e., which inserts in the following commutative diagram.*

$$\begin{array}{ccc} G_k & \xrightarrow{s'_{i+1}} & G_X^{(1/p^{i+1}-\text{sol})} \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s} & \Pi_X \end{array}$$

*Proof.* Similar to the proof of Theorem 2.3.5 in [Saïdi].  $\square$

**3.4.II.** Next, let  $s' : G_k \rightarrow G_X^{(1/p^2-\text{sol})}$  be a section of the projection  $G_X^{(1/p^2-\text{sol})} \twoheadrightarrow G_k$ , which induces for every open subscheme  $U \subseteq X$  a section  $s'_U : G_k \rightarrow \Pi_U^{(1/p^2-\text{sol})}$  of the projection  $\Pi_U^{(1/p^2-\text{sol})} \twoheadrightarrow G_k$ . We investigate the problem of lifting the section  $s'_U$  (resp.  $s'$ ) to a section  $\tilde{s}_U : G_k \rightarrow \Pi_U^{(p,2)}$  (resp.  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$ ) of the projection  $\Pi_U^{(p,2)} \twoheadrightarrow G_k$  (resp.  $G_X^{(p,2)} \twoheadrightarrow G_k$ ) (cf. diagrams (3.4) and (3.6)). Let  $U \subseteq X$  be an open subscheme. Consider the following pull-back diagram.

$$(3.9) \quad \begin{array}{ccccccc} 1 & \longrightarrow & \varinjlim_{j \geq 1} ((\Delta'_{2,j})^U)_{1/p} & \longrightarrow & \mathcal{H}_U^{(p,2)} & \longrightarrow & G_k & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & s'_U \downarrow & & \\ 1 & \longrightarrow & \varinjlim_{j \geq 1} ((\Delta'_{2,j})^U)_{1/p} & \longrightarrow & \Pi_U^{(p,2)} & \longrightarrow & \Pi_U^{(1/p^2-\text{sol})} & \longrightarrow & 1 \end{array}$$

**Lemma 3.4.5.** *The section  $s'_U$  lifts to a section  $\tilde{s}_U : G_k \rightarrow \Pi_U^{(p,2)}$  of the projection  $\Pi_U^{(p,2)} \rightarrow G_k$  if and only if the group extension  $\mathcal{H}_U^{(p,2)}$  splits.*

*Proof.* Follows immediately from diagram (3.9).  $\square$

Recall the discussion and notations after Lemma 1.2.2, especially the definition of the  $\{\Delta_{U,1/p^{i+1}}[j]\}_{j \geq 1}$ . For  $j \geq 1$ , write  $\Pi_{U,1/p^2}[j] \stackrel{\text{def}}{=} \Delta_{U,1/p^2}[j] \cdot s'_U(G_k)$ . Thus,  $\Pi_{U,1/p^2}[j] \subseteq \Pi_U^{(1/p^2\text{-sol})}$  is an open subgroup corresponding to a (possibly tamely ramified) cover  $\tilde{X}_j^U \rightarrow X$  between smooth, proper, and geometrically connected  $k$ -curves. The geometric point  $\eta$  determines a geometric point  $\eta_j$  of  $\tilde{X}_j^U$ . Write  $\Pi_j^U = \Pi_j^U[s'_U] \stackrel{\text{def}}{=} \Pi_{\tilde{X}_j^U} \stackrel{\text{def}}{=} \pi_1(\tilde{X}_j^U, \eta_j)^{(\Sigma)}$ , which inserts in the exact sequence  $1 \rightarrow \Delta_j^U \rightarrow \Pi_j^U \rightarrow G_k \rightarrow 1$ , where  $\Delta_j^U \stackrel{\text{def}}{=} \Delta_{\tilde{X}_j^U \times_k \bar{k}}$ . Further, consider the push-out diagram

$$\begin{array}{ccccccc} 1 & \longrightarrow & \Delta_j^U & \longrightarrow & \Pi_j^U & \longrightarrow & G_k \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & (\Delta_j^U)_{1/p} & \longrightarrow & (\Pi_j^U)^{(1/p\text{-sol})} & \longrightarrow & G_k \longrightarrow 1 \end{array}$$

which defines the geometrically  $1/p$ -th step solvable quotient  $\Pi_j^U \twoheadrightarrow (\Pi_j^U)^{(1/p\text{-sol})}$  of  $\Pi_j^U$ .

**Lemma 3.4.6.** *There are natural isomorphisms  $\varinjlim_{j \geq 1} ((\Delta'_{2,j})^U)_{1/p} \xrightarrow{\sim} \varinjlim_{j \geq 1} (\Delta_j^U)_{1/p}$ , and  $\mathcal{H}_U^{(p,2)} \xrightarrow{\sim} \varinjlim_{j \geq 1} (\Pi_j^U)^{(1/p\text{-sol})}$ .*

*Proof.* Follows from the various definitions.  $\square$

Note that  $(\Delta'_{2,j})^U = \Delta_j^U$ , we will in the sequel write  $\Delta_j^U$  instead of  $(\Delta'_{2,j})^U$ .

**Lemma 3.4.7.** *Assume that  $k$  satisfies the condition  $(\mathbf{H}_p)$  (cf. Definition 3.4.2). Then the group extension  $\mathcal{H}_U^{(p,2)}$  splits if and only if the group extension  $(\Pi_j^U)^{(1/p\text{-sol})}$  splits,  $\forall j \geq 1$ .*

*Proof.* Similar to the proof of Lemma 2.3.4, using the fact that  $H^1(G_k, (\Delta_j^U)_{1/p})$  is finite if  $k$  satisfies  $(\mathbf{H}_p)$ .  $\square$

For  $j \geq 1$ , let  $J_j[U] \stackrel{\text{def}}{=} \text{Pic}_k^0(\tilde{X}_j^U)$  be the jacobian of  $\tilde{X}_j^U$ , and  $J_j^1[U] \stackrel{\text{def}}{=} \text{Pic}_k^1(\tilde{X}_j^U)$ .

**Lemma 3.4.8.** *The group extension  $(\Pi_j^U)^{(1/p\text{-sol})}$  splits if and only if the class of  $J_j^1[U]$  in  $H^1(G_k, J_j[U])$  is divisible by  $p$ .*

*Proof.* This fact is well-known, see [Harari-Szamuely] for instance. Strictly speaking loc. cit. treats the splittings of the group extension  $(\Pi_j^U)^{(\text{ab})}$  = the geometrically abelian quotient of  $\Pi_j^U$ , but a similar argument leads to a mod- $p$  variant as above for any prime  $p \in \Sigma$ .  $\square$

**Theorem 3.4.9.** *With the above notations, assume that  $k$  satisfies the condition  $(\mathbf{H}_p)$  (cf. Definition 3.4.2). Then the section  $s'_U$  lifts to a section  $\tilde{s}_U : G_k \rightarrow \Pi_U^{(p,2)}$  of the projection  $\Pi_U^{(p,2)} \twoheadrightarrow G_k$  **if and only if** the class of  $J_j^1[U]$  in  $H^1(G_k, J_j[U])$  is divisible by  $p$ ,  $\forall j \geq 1$ .*

*Proof.* Follows from Lemmas 3.4.5, 3.4.7, and 3.4.8.  $\square$

**Theorem 3.4.10.** *With the above notations, assume that  $k$  satisfies the condition  $(\mathbf{H}_p)$  (cf. Definition 3.4.2). Then the section  $s'$  lifts to a section  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$  of the projection  $G_X^{(p,2)} \twoheadrightarrow G_k$  **if and only if** the class of  $J_j^1[U]$  in  $H^1(G_k, J_j[U])$  is divisible by  $p$ ,  $\forall j \geq 1$ , and  $\forall U \subseteq X$  nonempty open subscheme as in the above discussion.*

*Proof.* Similar to the proof of Theorem 3.4.9.  $\square$

The following is our main result in this section.

**Theorem 3.4.11.** *With the above notations, assume that the field  $k$  satisfies the condition  $(\mathbf{H}_{p^2})$  (cf. Definition 3.4.2). Let  $s : G_k \rightarrow \Pi_X$  be a section of the projection  $\Pi_X \twoheadrightarrow G_k$ . Then  $s$  lifts to a section  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$  (resp.  $s' : G_k \rightarrow G_X^{(2/p)}$ ) of the projection  $G_X^{(p,2)} \twoheadrightarrow G_k$  (resp.  $G_X^{(2/p)} \twoheadrightarrow G_k$ ) **if and only if** (resp. **if**) the following two conditions occur.*

(i) *The section  $s$  has a cycle class uniformly orthogonal to  $\text{Pic mod-}p^2$  (cf. Definition 3.4.1 (ii))*

(ii) *There exists a section  $s' : G_k \rightarrow G_X^{(1/p^2-\text{sol})}$  of the projection  $G_X^{(1/p^2-\text{sol})} \twoheadrightarrow G_k$  which **lifts** the section  $s$  (this holds if (i) holds by Theorem 3.4.4) such that the class of  $J_j^1[U]$  in  $H^1(G_k, J_j[U])$  is **divisible** by  $p$ ,  $\forall j \geq 1$ , and  $\forall U \subseteq X$  nonempty open subscheme.*

*Proof.* Follows from Theorems 3.4.4 and 3.4.10. The resp. assertion follows from diagram (3.8) (cf. Lemma 3.3.1).  $\square$

#### §4. Geometric sections of arithmetic fundamental groups of $p$ -adic curves.

In this section, applying the results in §3, we provide a characterisation of sections of (geometrically pro- $\Sigma$ ,  $p \in \Sigma$ ) arithmetic fundamental groups of  $p$ -adic curves which arise from rational points. We use the notations in §2 and §3.

Let  $p$  be a prime integer. In this section  $k$  is a  $p$ -adic local field, i.e.,  $k/\mathbb{Q}_p$  is a finite extension, and we assume  $p \in \Sigma$ . Let  $s : G_k \rightarrow \Pi_X$  be a section of the projection  $\Pi_X \twoheadrightarrow G_k$ .

**Definition 4.1.** We say that the section  $s$  is *geometric* if the image  $s(G_k)$  of  $s$  is contained (hence equal to) in the decomposition group  $D_x \subset \Pi_X$  associated to a rational point  $x \in X(k)$ .

Recall the tower of finite étale covers  $\dots \rightarrow X_{t+1} \rightarrow X_t \rightarrow \dots \rightarrow X_1 = X$  in 3.4.I, and the section  $s : G_k \rightarrow \Pi_{X_t}$  of the projection  $\Pi_{X_t} \twoheadrightarrow G_k$  induced by  $s$ ,  $\forall t \geq 1$ . Assume that the section  $s : G_k \rightarrow \Pi_X$  has a cycle class uniformly orthogonal to  $\text{Pic mod-}p^2$  (cf. Definition 3.4.1). In particular, the induced section  $s : G_k \rightarrow \Pi_{X_t}$  also has a cycle class uniformly orthogonal to  $\text{Pic mod-}p^2$  (cf. loc. cit.). There exists,  $\forall t \geq 1$ , a section

$$s'_t : G_k \rightarrow G_{X_t}^{(1/p^2-\text{sol})}$$

of the projection  $G_{X_t}^{(1/p^2-\text{sol})} \rightarrow G_k$  which lifts the section  $s$  (cf. Theorem 3.4.4). (Note that  $k$  satisfies the condition  $(\mathbf{H}_{p^2})$ .) Given integers  $t_1 \geq t_2 \geq 1$ , we have a commutative diagram

$$\begin{array}{ccc} G_{X_{t_1}}^{(1/p^2-\text{sol})} & \longrightarrow & G_k \\ \downarrow & & \text{id} \downarrow \\ G_{X_{t_2}}^{(1/p^2-\text{sol})} & \longrightarrow & G_k \end{array}$$

where the left vertical map is induced by the scheme morphism  $X_{t_1} \rightarrow X_{t_2}$ . We say that the above sections  $\{s'_t\}_{t \geq 1}$  are **compatible** if  $\forall t_1 \geq t_2 \geq 1$  we have a commutative diagram.

$$\begin{array}{ccc} G_k & \xrightarrow{s'_{t_1}} & G_{X_{t_1}}^{(1/p^2-\text{sol})} \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s'_{t_2}} & G_{X_{t_2}}^{(1/p^2-\text{sol})} \end{array}$$

**Lemma 4.2.** *With the above notations, let  $s' = s'_1 : G_k \rightarrow G_X^{(1/p^2-\text{sol})}$  be a section of the projection  $G_X^{(1/p^2-\text{sol})} \rightarrow G_k$  which lifts the section  $s$ . Then  $s'$  induces naturally compatible sections  $s'_t : G_k \rightarrow G_{X_t}^{(1/p^2-\text{sol})}$  of the projections  $G_{X_t}^{(1/p^2-\text{sol})} \rightarrow G_k$  which lift the section  $s$ ,  $\forall t \geq 1$ .*

*Proof.* Follows from the fact that we have a commutative diagram

$$\begin{array}{ccccccc} 1 & \longrightarrow & \mathcal{I}_{X_t} & \longrightarrow & G_{X_t} & \longrightarrow & \Pi_{X_t} \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \mathcal{I}_X & \longrightarrow & G_X & \longrightarrow & \Pi_X \longrightarrow 1 \end{array}$$

where the right square is cartesian, and  $\mathcal{I}_{X_t} = \mathcal{I}_X$ . In particular,  $\mathcal{I}_{X_t, 1/p^2} = \mathcal{I}_{X, 1/p^2}$  and  $G_{X_t}^{(1/p^2-\text{sol})}$  is the pull back of the group extension  $1 \rightarrow \mathcal{I}_{X, 1/p^2} \rightarrow G_X^{(1/p^2-\text{sol})} \rightarrow \Pi_X \rightarrow 1$  via the natural inclusion  $\Pi_{X_t} \hookrightarrow \Pi_X$ ,  $\forall t \geq 1$ .  $\square$

Next, recall the exact sequence (cf. diagram (3.6), the case  $i = 1$ )

$$1 \rightarrow \mathcal{I}_X[p, 2] \stackrel{\text{def}}{=} \varprojlim_U \left( \varinjlim_{j \geq 1} ((\Delta'_{2,j})^U)_{1/p} \right) \rightarrow G_X^{(p,2)} \rightarrow G_X^{(1/p^2-\text{sol})} \rightarrow 1.$$

We have a commutative diagram

$$\begin{array}{ccccccc} 1 & \longrightarrow & \mathcal{I}_{X_t}[p, 2] & \longrightarrow & G_{X_t}^{(p,2)} & \longrightarrow & G_{X_t}^{(1/p^2-\text{sol})} \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \mathcal{I}_X[p, 2] & \longrightarrow & G_X^{(p,2)} & \longrightarrow & G_X^{(1/p^2-\text{sol})} \longrightarrow 1 \end{array}$$

where the right square is cartesian (as one easily verifies). In particular,  $\mathcal{I}_{X_t}[p, 2] = \mathcal{I}_X[p, 2]$ . Let  $s' : G_k \rightarrow G_X^{(1/p^2-\text{sol})}$  be a section of the projection  $G_X^{(1/p^2-\text{sol})} \rightarrow G_k$  which lifts the section  $s$ , and  $\{s'_t : G_k \rightarrow G_{X_t}^{(1/p^2-\text{sol})}\}_{t \geq 1}$  the induced compatible



sections as in Lemma 4.2 which lift the section  $s$ . Let  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$  be a section of the projection  $G_X^{(p,2)} \twoheadrightarrow G_k$  which lifts the section  $s'$ . Then  $\tilde{s}$  induces sections  $\tilde{s}_t : G_k \rightarrow G_{X_t}^{(p,2)}$  of the projections  $G_{X_t}^{(p,2)} \twoheadrightarrow G_k$  which lift the section  $s'_t, \forall t \geq 1$ , and which are compatible in the sense that  $\forall t_1 \geq t_2 \geq 1$  integers we have a commutative diagram (cf. above diagram whose right square is cartesian).

$$\begin{array}{ccc} G_k & \xrightarrow{s'_{t_1}} & G_{X_{t_1}}^{(p,2)} \\ \text{id} \downarrow & & \downarrow \\ G_k & \xrightarrow{s'_{t_2}} & G_{X_{t_2}}^{(p,2)} \end{array}$$

Also, recall the notations and definitions in 3.4.II, the case  $i = 1$ , relative to the sections  $s'_U : G_k \rightarrow \Pi_U^{(1/p^2-\text{sol})}$  induced by  $s', \forall$  nonempty open subscheme  $U \subseteq X$ . Thus, the  $\{\tilde{X}_j^U\}_{j \geq 1}$  are defined in this case  $\forall U \subseteq X$  open (cf. loc. cit.); they form a system of neighbourhoods of the section  $s'_U$ . Suppose that the group extension  $1 \rightarrow \pi_1(\tilde{X}_j^U \times_k \bar{k}, \bar{\eta}_j)^{1/p} \rightarrow \pi_1(\tilde{X}_j^U, \eta_j)^{(1/p)} \rightarrow G_k \rightarrow 1$  splits, or equivalently that the class of  $J_j^1[U]$  in  $H^1(G_k, J_j[U])$  is divisible by  $p, \forall j \geq 1$ , and  $\forall U \subseteq X$  as above (cf. Lemma 3.4.8). Then the section  $s' : G_k \rightarrow G_X^{(1/p^2-\text{sol})}$  lifts to a section  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$  of the projection  $G_X^{(p,2)} \twoheadrightarrow G_k$  (cf. Theorem 3.4.10). Moreover,  $\tilde{s}$  induces compatible sections  $\tilde{s}_t : G_k \rightarrow G_{X_t}^{(p,2)}$  of the projections  $G_{X_t}^{(p,2)} \twoheadrightarrow G_k$  which lift the section  $s'_t, \forall t \geq 1$  (cf. above discussion). In particular, the above sections  $\tilde{s}_t$  induce naturally sections

$$\rho_t : G_k \rightarrow G_{X_t}^{(2/p-\text{sol})}$$

of the projections  $G_{X_t}^{(2/p-\text{sol})} \twoheadrightarrow G_k$  which lift the sections  $s, \forall t \geq 1$  (cf. Lemma 3.3.1, as well as the diagrams (3.7) and (3.8)).

**Definition 4.3.** With the above notations, we say that the section  $s$  is **admissible** if the following two conditions hold.

A1) The section  $s$  has a **cycle class uniformly orthogonal to Pic mod- $p^2$** .

A2) There **exists** a section  $s' : G_k \rightarrow G_X^{(1/p^2-\text{sol})}$  of the projection  $G_X^{(1/p^2-\text{sol})} \twoheadrightarrow G_k$  which **lifts** the section  $s$  (this holds if condition A1 is satisfied by Theorem 3.4.4) such that the following holds. The class of  $J_j^1[U]$  in  $H^1(G_k, J_j[U])$  is **divisible** by  $p, \forall U \subseteq X$  nonempty open subscheme, and  $\forall j \geq 1$ . Or, equivalently, the section  $s'$  **lifts** to a section  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$  of the projection  $G_X^{(p,2)} \twoheadrightarrow G_k$  (cf. Theorem 3.4.10).

**Lemma 4.4.** *Let  $k'/k$  be a finite extension,  $X_{k'} \stackrel{\text{def}}{=} X \times_k k'$ , and  $s_{k'} : G_{k'} \rightarrow \Pi_{X_{k'}}$  the section of the projection  $\Pi_{X_{k'}} \twoheadrightarrow G_{k'}$  which is induced by  $s$ . Assume  $s$  is admissible then  $s_{k'}$  is admissible.*

*Proof.* First, if  $s$  has a cycle class uniformly orthogonal to Pic mod- $p^2$  then so does  $s_{k'}$  (cf. Definition 3.4.1(ii)). The second assertion follows from the various definitions.  $\square$

The following is our main result in this section; it provides a characterisation of sections of (geometrically pro- $\Sigma, p \in \Sigma$ ) arithmetic fundamental groups of  $p$ -adic curves which are geometric.

**Theorem 4.5.** *We use the above notations. The section  $s$  is **admissible** (cf. Definition 4.3) **if and only if**  $s$  is **geometric** (cf. Definition 4.1).*

*Proof.* The if part follows easily from the various Definitions. We prove the only if part. Assume that  $s$  is admissible, and  $k$  contains a primitive  $p$ -th root  $\zeta_p$  of 1. The section  $s : G_k \rightarrow \Pi_{X_t}$  lifts to a section  $\rho_t : G_k \rightarrow G_{X_t}^{(2/p-\text{sol})}$  of the projection  $G_{X_t}^{(2/p-\text{sol})} \twoheadrightarrow G_k$  (cf. discussion before Definition 4.3),  $\forall t \geq 1$ . The section  $\rho_t$  induces a section  $\tilde{\rho}_t : (G_k)_{2/p} \rightarrow (G_{X_t})_{2/p}$  of the projection  $(G_{X_t})_{2/p} \twoheadrightarrow (G_k)_{2/p}$ , where the  $(\ )_{2/p}$  of the various profinite groups are the second quotients of the  $\mathbb{Z}/p\mathbb{Z}$ -derived series (cf. 1.2). The section  $\tilde{\rho}_t$  is geometric and arises from a rational point  $x_t \in X_t(k)$  by a result of Pop (cf. [Pop]). (Here one uses the fact that  $\zeta_p \in k$ .) In particular,  $X_t(k) \neq \emptyset$ ,  $\forall t \geq 1$ . A well-known limit argument shows that  $s$  is geometric (cf. [Tamagawa], Proposition 2.1, (iv), see also the details of the proof of Theorem A in [Saïdi1]). In case  $\zeta_p \notin k$ , let  $k' \stackrel{\text{def}}{=} k(\zeta_p)$ . The section  $s_{k'}$  is admissible (cf. Lemma 4.4), hence is geometric by the above discussion. One then verifies easily that  $s$  is geometric (cf. [Saïdi2], proof of Theorem B).  $\square$

In the course of proving Theorem 4.5 we proved the following (cf. discussion before Definition 4.3, and the proof of Theorem 4.5).

**Proposition 4.6.** *Let  $\tilde{s} : G_k \rightarrow G_X^{(p,2)}$  be a section of the projection  $G_X^{(p,2)} \twoheadrightarrow G_k$ , and  $s : G_k \rightarrow \Pi_X$  the section of the projection  $\Pi_X \twoheadrightarrow G_k$  which is induced by  $\tilde{s}$ . Then  $s$  is geometric.*

**Remarks 4.7.** 1) Theorem 4.5 above is stronger and more precise than Theorem A in [Saïdi1].

2) There are examples of sections  $s : G_k \rightarrow \Pi_X$  as above, where  $\Sigma = \{p\}$ , which are *not* geometric (cf. [Hoshi]). These provide examples of sections  $s$  as above which are *not* admissible by Theorem 4.5 (where  $\Sigma = \{p\}$ ). It would be interesting to know which of the conditions A1 and A2 in the definition of admissible sections fail to hold in Hoshi's example. In [Saïdi3] we observe that the section in Hoshi's example is orthogonal to  $\text{Pic}^0$  in the sense that the map  $s^* : H^2(\Pi_X, \mathbb{Z}_p) \rightarrow H^2(G_k, \mathbb{Z}_p)$  annihilates the image of  $\text{Pic}^0(X)$ .

The following is an application of our results to the absolute anabelian geometry of  $p$ -adic curves.

**Theorem 4.8.** *Let  $p_X, p_Y \in \mathfrak{P}\text{rimes}$ , and  $X$  (resp.  $Y$ ) a proper smooth and geometrically connected hyperbolic curve over a  $p_X$ -adic local field  $k_X$  (respectively,  $p_Y$ -adic local field  $k_Y$ ). Let  $p_X \in \Sigma_X$  (resp.  $p_Y \in \Sigma_Y$ ) be a non-empty set of prime integers of cardinality  $\geq 2$ ,  $\Pi_X$  (resp.  $\Pi_Y$ ) the geometrically pro- $\Sigma_X$  (resp. pro- $\Sigma_Y$ ) arithmetic fundamental group of  $X$  (resp.  $Y$ ), and  $\varphi : \Pi_X \rightarrow \Pi_Y$  an isomorphism of profinite groups which fits in the following commutative diagram*

$$\begin{array}{ccc} G_X^{(p_X,2)} & \xrightarrow{\tilde{\varphi}} & G_Y^{(p_Y,2)} \\ \downarrow & & \downarrow \\ \Pi_X & \xrightarrow{\varphi} & \Pi_Y \end{array}$$

where  $\tilde{\varphi}$  is an isomorphism of profinite groups, and the vertical maps are the natural projections. Then  $\varphi$  is geometric, i.e., arises from a uniquely determined isomorphism of schemes  $X \xrightarrow{\sim} Y$ .

*Proof.* The existence of the lifting  $\tilde{\varphi}$  of  $\varphi$  implies, by Proposition 4.6, that  $\varphi$  preserves the decomposition groups at closed points. The statement follows then from [Mochizuki1], Corollary 2.9.  $\square$

**§5. Local sections of arithmetic fundamental groups of  $p$ -adic curves.** We prove that a certain class of sections of arithmetic fundamental groups of  $p$ -adic curves are (uniformly) orthogonal to  $\text{Pic}^\wedge$ . We use the notations in §4.

**5.1. Arithmetic fundamental groups of formal fibres of  $p$ -adic curves.** Let  $\mathcal{O}_k$  be the valuation ring of  $k$ , and  $\tilde{X} \rightarrow \text{Spec } \mathcal{O}_k$  a flat and proper model of  $X$  over  $\mathcal{O}_k$  with  $\tilde{X}$  normal. Let  $x \in \tilde{X}^{\text{cl}}$  be a closed point, and  $\hat{\mathcal{O}}_{\tilde{X},x}$  the completion of the local ring of  $\tilde{X}$  at  $x$ . We will refer to  $\mathcal{X} \stackrel{\text{def}}{=} \text{Spec}(\hat{\mathcal{O}}_{\tilde{X},x} \otimes_{\mathcal{O}_k} k)$  as the *formal fibre* of  $\tilde{X}$  at  $x$  (or simply a formal fibre). Assume  $\mathcal{X}$  is geometrically connected, and write  $\bar{\mathcal{X}} \stackrel{\text{def}}{=} \mathcal{X} \times_k \bar{k}$ . Let  $\bar{\beta}$  be a geometric point of  $\bar{\mathcal{X}}$ , which determines a geometric point  $\beta$  of  $\mathcal{X}$ . Write  $\Delta_{\mathcal{X}} \stackrel{\text{def}}{=} \pi_1(\bar{\mathcal{X}}, \bar{\beta})^\Sigma$  for the maximal pro- $\Sigma$  quotient of  $\pi_1(\bar{\mathcal{X}}, \bar{\beta})$ , and  $\Pi_{\mathcal{X}} \stackrel{\text{def}}{=} \pi_1(\mathcal{X}, \beta) / \text{Ker}(\pi_1(\bar{\mathcal{X}}, \bar{\beta}) \twoheadrightarrow \pi_1(\bar{\mathcal{X}}, \bar{\beta})^\Sigma)$ . We have a commutative diagram of exact sequences

$$(5.1) \quad \begin{array}{ccccccc} 1 & \longrightarrow & \Delta_{\mathcal{X}} & \longrightarrow & \Pi_{\mathcal{X}} & \longrightarrow & G_k \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \text{id} \downarrow \\ 1 & \longrightarrow & \Delta_X & \longrightarrow & \Pi_X & \longrightarrow & G_k \longrightarrow 1 \end{array}$$

where the middle vertical map (defined up to inner conjugation) is induced by the scheme morphism  $\mathcal{X} \rightarrow X$ .

For the rest of this section we assume that  $\mathcal{X}$  is a formal fibre as in 5.1, which is geometrically connected.

**Definition 5.2.** A section  $\tilde{s} : G_k \rightarrow \Pi_{\mathcal{X}}$  of the projection  $\Pi_{\mathcal{X}} \twoheadrightarrow G_k$  induces a section  $s : G_k \rightarrow \Pi_X$  of the projection  $\Pi_X \twoheadrightarrow G_k$  (cf. diagram (5.1)). We will refer to such a section  $s$  as a **local section** of the projection  $\Pi_X \twoheadrightarrow G_k$ .

Note that a geometric section (cf. Definition 4.1) is a local section in the above sense, as one easily verifies. Our main result is the following.

**Theorem 5.3.** *Let  $s : G_k \rightarrow \Pi_X$  be a local section of the projection  $\Pi_X \twoheadrightarrow G_k$ . Then  $s$  has a cycle class uniformly orthogonal to  $\text{Pic}^\wedge$  in the sense of [Saïdi], Definition 1.4.1(i).*

*Proof.* Let  $\mathcal{X} = \text{Spec}(\hat{\mathcal{O}}_{\tilde{X},x} \otimes_{\mathcal{O}_k} k)$  be as in 5.1, and  $\tilde{s} : G_k \rightarrow \Pi_{\mathcal{X}}$  a section of the projection  $\Pi_{\mathcal{X}} \twoheadrightarrow G_k$  which induces the section  $s : G_k \rightarrow \Pi_X$ . Let  $\{X_t\}_{t \geq 1}$  be as in §4,  $s_t : G_k \rightarrow \Pi_{X_t}$  the section of the projection  $\Pi_{X_t} \twoheadrightarrow G_k$  which is induced by  $s$ , and  $s_t^* : H^2(X_t, \hat{\mathbb{Z}}(1)^\Sigma) \rightarrow H^2(G_k, \hat{\mathbb{Z}}(1)^\Sigma)$  the retraction map induced by  $s_t$ ,  $\forall t \geq 1$ . We show  $s_t^*(\text{Pic}(X_t)^\wedge) = 0$  (cf. loc. cit. for the definition of  $\text{Pic}(X_t)^\wedge$ ). Recall the continuous homomorphism  $\phi : \Pi_{\mathcal{X}} \rightarrow \Pi_X$  (cf. the middle vertical map in diagram (5.1)). Then  $\Pi_{\mathcal{X}_t} \stackrel{\text{def}}{=} \phi^{-1}(\Pi_{X_t})$  is an open subgroup containing  $\tilde{s}(G_k)$ , and corresponds to an étale cover  $\mathcal{X}_t \rightarrow \mathcal{X}$  with  $\mathcal{X}_t$  geometrically connected (as  $\Pi_{\mathcal{X}_t}$  projects onto  $G_k$  via the projection  $\Pi_{\mathcal{X}} \twoheadrightarrow G_k$ ). Moreover, the section  $\tilde{s}$  induces a retraction  $\tilde{s}_t^* : H^2(\Pi_{\mathcal{X}_t}, \hat{\mathbb{Z}}(1)^\Sigma) \rightarrow H^2(G_k, \hat{\mathbb{Z}}(1)^\Sigma)$  of the natural map  $H^2(G_k, \hat{\mathbb{Z}}(1)^\Sigma) \rightarrow H^2(\Pi_{\mathcal{X}_t}, \hat{\mathbb{Z}}(1)^\Sigma)$  induced by the projection  $\Pi_{\mathcal{X}_t} \twoheadrightarrow G_k$ . Let

$A \stackrel{\text{def}}{=} \hat{\mathcal{O}}_{\tilde{X},x}$ , and  $A_k \stackrel{\text{def}}{=} \hat{\mathcal{O}}_{\tilde{X},x} \otimes_{\mathcal{O}_k} k$ . The open subgroup  $\Pi_{\mathcal{X}_t}$  corresponds to an étale cover  $\mathcal{X}_t = \text{Spec } B_k \rightarrow \mathcal{X} = \text{Spec } A_k$ , where  $B_k/A_k$  is an étale extension. Let  $B$  be the integral closure of  $A$  in  $B_k$ . Thus,  $B$  is a complete local ring of dimension 2, which dominates  $\mathcal{O}_k$ , and the residue field of  $B$  is finite. We have a scheme theoretic morphism  $\mathcal{X}_t \rightarrow X_t$ . Further, we have an injective homomorphism  $H^2(\Pi_{\mathcal{X}_t}, \hat{\mathbb{Z}}(1)^\Sigma) \hookrightarrow H_{\text{ét}}^2(\mathcal{X}_t, \hat{\mathbb{Z}}(1)^\Sigma)$  arising from the Cartan-Leray spectral sequence (cf. [Serre], proof of Proposition 1), as well as an injective Kummer homomorphism  $\text{Pic}(\mathcal{X}_t)^\wedge \hookrightarrow H_{\text{ét}}^2(\mathcal{X}_t, \hat{\mathbb{Z}}(1)^\Sigma)$ , where  $\text{Pic}^\wedge \stackrel{\text{def}}{=} \text{Pic} \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}^\Sigma$ . On the other hand we have a commutative diagram of homomorphisms

$$\begin{array}{ccc} H^2(\Pi_{\mathcal{X}_t}, \hat{\mathbb{Z}}(1)^\Sigma) & \xrightarrow{\tilde{s}_t^*} & H^2(G_k, \hat{\mathbb{Z}}(1)^\Sigma) \\ \psi_t \uparrow & & \text{id} \uparrow \\ H^2(\Pi_{X_t}, \hat{\mathbb{Z}}(1)^\Sigma) & \xrightarrow{s_t^*} & H^2(G_k, \hat{\mathbb{Z}}(1)^\Sigma) \end{array}$$

where  $\psi_t$  is induced by the map  $\phi : \Pi_{\mathcal{X}_t} \rightarrow \Pi_{X_t}$ .

We claim that  $\psi_t(\text{Pic}(X_t)^\wedge)$  is *torsion*, from this it follows that  $s_t^*(\text{Pic}(X_t)^\wedge) = \tilde{s}_t^*(\psi_t(\text{Pic}(X_t)^\wedge)) = 0$ , since  $H^2(G_k, \hat{\mathbb{Z}}(1)^\Sigma) \xrightarrow{\sim} \hat{\mathbb{Z}}^\Sigma$  is torsion free. Indeed, we have a pull-back morphism  $\text{Pic}(X_t)^\wedge \rightarrow \text{Pic}(\mathcal{X}_t)^\wedge$ , which fits in the commutative diagram

$$\begin{array}{ccc} \text{Pic}(\mathcal{X}_t)^\wedge & \longrightarrow & H_{\text{ét}}^2(\mathcal{X}_t, \hat{\mathbb{Z}}(1)^\Sigma) \\ \text{id} \uparrow & & \uparrow \\ \text{Pic}(\mathcal{X}_t)^\wedge & & H^2(\Pi_{\mathcal{X}_t}, \hat{\mathbb{Z}}(1)^\Sigma) \\ \uparrow & & \psi_t \uparrow \\ \text{Pic}(X_t)^\wedge & \longrightarrow & H^2(\Pi_{X_t}, \hat{\mathbb{Z}}(1)^\Sigma) \end{array}$$

where the horizontal maps are injective Kummer maps (recall the identification  $H^2(\Pi_{X_t}, \hat{\mathbb{Z}}(1)^\Sigma) \xrightarrow{\sim} H_{\text{ét}}^2(X_t, \hat{\mathbb{Z}}(1)^\Sigma)$ ), and the upper right vertical map is the injective map discussed above. Our claim follows then from the following.

**Proposition 5.4.** *With the notations above  $\text{Pic}(\mathcal{X}_t)$ , and a fortiori  $\text{Pic}(\mathcal{X}_t)^\wedge$ , is finite.*

*Proof of Proposition 5.4.* This follows from the fact, proven by Shuji Saito, that  $\text{Pic}(\text{Spec } B \setminus \{m_B\})$  is finite, where  $B$  is as in the above discussion and  $m_B$  is its maximal ideal (cf. [Saito], Theorem 0.11).  $\square$

This finishes the proof of Theorem 5.3.  $\square$

Finally, we provide the following characterisation of local sections which are geometric. We use the above notations.

**Theorem 5.5.** *Let  $s : G_k \rightarrow \Pi_X$  be a **local** section of the projection  $\Pi_X \rightarrow G_k$  (cf. Definition 5.2). Then  $s$  **lifts** to a section  $\rho : G_k \rightarrow G_X^{(1-\text{sol})}$  (resp.  $\rho_n : G_k \rightarrow G_X^{(1/p^n-\text{sol})}$ ) of the projection  $G_X^{(1-\text{sol})} \rightarrow G_k$  (resp.  $G_X^{(1/p^n-\text{sol})} \rightarrow G_k, \forall n \geq 1$ ). Moreover, the section  $s$  is **geometric if and only if** there exists a lifting of  $s$  to a section  $\rho_2 : G_k \rightarrow G_X^{(1/p^2-\text{sol})}$  as above such that one of the following equivalent conditions hold.*

(i) With the notations in §4 (cf. the discussion before Definition 4.3), the class of  $J_j^1[U]$  in  $H^1(G_k, J_j[U])$  is **divisible** by  $p$ ,  $\forall U \subseteq X$  nonempty open subscheme, and  $\forall j \geq 1$ .

(ii) The section  $\rho_2$  **lifts** to a section  $\tilde{\rho}_2 : G_k \rightarrow G_X^{(p,2)}$  of the projection  $G_X^{(p,2)} \twoheadrightarrow G_k$ .

*Proof.* The first assertion follows from Theorem 5.3, Theorem 3.4.4, and Theorem 2.3.5 in [Saïdi]. The second assertion follows from Theorem 3.4.10, and Theorem 4.5.  $\square$

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