

# Impact of Anthropogenic Climate Change on the East Asian Summer Monsoon

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## ABSTRACT

The East Asian summer monsoon (EASM) is important for bringing rainfall to large areas of China. Historically, variations in the EASM have had major impacts including flooding and drought. The authors present an analysis of the impact of anthropogenic climate change on EASM rainfall in eastern China using a newly updated attribution system. The results suggest that anthropogenic climate change has led to an overall decrease in total monsoon rainfall over the past 65 years and an increased number of dry days. However, the model also predicts that anthropogenic forcings have caused the most extreme heavy rainfall events to become shorter in duration and more intense. With the potential for future changes in aerosol and greenhouse gas emissions, historical trends in monsoon rainfall may not be indicative of future changes, although extreme rainfall is projected to increase over East Asia with continued warming in the region.

## 1. Introduction

The East Asian summer monsoon (EASM) brings much-needed water for agriculture to most of eastern China. In recent decades southern provinces of China have experienced an increased frequency of severe flooding during the monsoon season. In contrast northern provinces of China have experienced an increase in severe summer droughts [for details of the northern drought–southern flood pattern see, e.g., [Qian and Zhou \(2014\)](#); also see the FloodList Copernicus project (<http://floodlist.com/tag/china>)]. Understanding changes in past and future monsoon rainfall patterns can have important implications for water management and urban planning.

The Clausius–Clapeyron relation states that the atmosphere can hold 7% more moisture per degree Celsius of warming. Basic physical expectations are that a warmer world should experience increased amounts of rainfall. A simple interpretation of the Clausius–Clapeyron relation is that the total quantity of rainfall should increase by 7% per degree Celsius of warming globally. However, in reality different surfaces heat at different rates, and in the case of anthropogenically induced global warming,

greenhouse gases do not cause the atmosphere to be heated equally at all levels. Additionally, the emission of aerosols can change cloud formation properties, alter the locations of cloud nucleation sites, and cause localized cooling. Changes in chemistry and thermodynamics mean that increases in temperature may not necessarily lead to a uniform increase in precipitation in all locations or at all intensities of rainfall.

Heating of the lower troposphere as a consequence of increased concentrations of well-mixed greenhouse gases (GHGs) leads to an increase in the height of the tropopause. GCM-based studies have argued that warming will cause increases in cloud height and stronger convection as a result (see [Fowler and Hennessy 1995](#); [Mitchell and Ingram 1992](#); [Trenberth et al. 2003](#)). Other studies have argued that surface warming leads to decreases in convective mass fluxes with the heating of the upper troposphere. It is instead argued that increases in horizontal transport due to an enhanced pattern of evaporation minus precipitation will cause increased convergence (e.g., [Held and Soden 2006](#)). With increased moisture content of the air, stronger convection or convergence will lead to more severe storms with higher hourly and total rainfall ([Fowler and Hennessy 1995](#); [Trenberth et al. 2003](#); [Held and Soden 2006](#)).

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Several studies of global rainfall trends have found that global annual mean and total precipitation has increased by 1%–3% per degree Celsius of warming (e.g., Allen and Ingram 2002; Wu et al. 2013; Donat et al. 2016). At the same time extreme rainfall, defined by upper-decile daily total or  $R \times 1$  day, has increased by 6%–7% per degree (Celsius) of warming (e.g., Trenberth et al. 2003; Westra et al. 2013). The increase in extreme heavy rain is often found to be at the expense of light rain, with studies finding a decrease in the number of light rain days, or total rain from light rain events, coinciding with increased totals or frequency of heavy rain (e.g., Trenberth et al. 2003; Ban et al. 2015; Allen and Ingram 2002).

Regional changes in rainfall totals and a changing distribution of rainfall between light and heavy events are also observed. In the current study we focus our attention on China. The annual rainfall climatology of China can be broadly split into two halves, a cold, dry winter monsoon from October to March and a warm, wet summer monsoon from April to September. During the winter monsoon continental cold, dry air flows southward from high latitudes, bringing a cold, dry winter. During the summer monsoon, warm moist air flows from the ocean to the south of China and converges with the cool, dry air to the north. The convergence causes the formation of a rainband over the Indochina Peninsula, and as the summer season progresses the rainband moves steadily northward over eastern China (and is known as the mei-yu), eventually as far north as Japan (where it is referred to as the baiu) and the Korean Peninsula (where it is referred to as the changma). Toward the end of the summer the rainband then retreats southward [for a summary of the characteristics of the East Asian summer monsoon, see Ding and Chan (2005), Hsu et al. (2014), and Xue et al. (2015)].

As mentioned above, in recent years southern China has seen more frequent incidents of flooding and northern China has seen more frequent severe droughts during the monsoon season when compared to historical monsoon seasons. Changes in monsoon total rain and changes in circulation patterns that dictate the most northern extent of the mei-yu front each year have been correlated with modes of natural variability, such as the Pacific decadal oscillation (PDO) (Zhu et al. 2011; Qian and Zhou 2014; Qian et al. 2014). Several studies have also noted changes in total summer rain that coincide with the increasing trend in global temperature (Liu et al. 2005; Zhai et al. 2005; Su et al. 2006; Fu et al. 2008), and some studies suggest links with local emissions of anthropogenic aerosols (e.g., Qian et al. 2009; Fu and Dan 2014; Deng and Xu 2015). Many studies also note a

change in character of summer rainfall in eastern China, with increases in numbers of heavy rain days and decreases in numbers of light rain days reported (Zhai et al. 2005; Liu et al. 2005; Fu and Dan 2014; Fu et al. 2008).

In this study we examine changes in the East Asian summer monsoon rainfall over China using an ensemble of simulations from an atmosphere-only climate model representing present-day conditions with anthropogenic influences and comparing these to an ensemble representing conditions without anthropogenic influences. We compare characteristics of light and heavy rain during the monsoon in model experiments with and without climate change and compare our results with those of previous observational studies.

## 2. Data

We use a model ensemble from HadGEM3-A at N216 resolution, run in the atmosphere-only mode with prescribed historical sea surface temperatures (SSTs) from HadISST, version 1 (Rayner et al. 2003). The resolution is approximately  $0.5^\circ \times 0.8^\circ$ , equivalent to  $\sim 50$  km at the latitude range covered by China. The ensemble contains 15 members, which include both anthropogenic and natural forcings (ALL) during 1960–2015. This is compared with an ensemble of 15 runs of the same model that contain only natural forcings (NAT), in which the SSTs have been adjusted to remove anthropogenic warming. This anthropogenic warming is calculated from the difference between the mean patterns derived from ALL and NAT simulations in 19 model ensembles from CMIP5 (Taylor et al. 2012). This pattern of SSTs is subtracted off the SSTs for the ALL experiment to provide the SSTs used in the NAT experiment. We also adjust the sea ice concentration for the NAT experiment using simple empirical relationships between SSTs and sea ice concentrations. These methods and full details on model experiment setups are described in Christidis et al. (2013) and A. Ciavarella et al. (2017, manuscript in preparation).

To verify the model output we use the APHRODITE observational gridded daily precipitation dataset for East Asia (Yatagai et al. 2012). This dataset runs during 1960–2007 and is gridded to approximately the same resolution as the model ( $0.5^\circ \times 0.5^\circ$ ). Han and Zhou (2012) compare the APHRODITE dataset to daily rainfall records from 559 rain gauges spread over China. They find that the APHRODITE data show very similar rainfall amounts for mean variables, such as seasonal total, and accurately characterize the progression of the seasonal rainband. However, they find that the gridding of spatially sparse station data in APHRODITE leads to

underestimates of precipitation intensity and overestimates of precipitation frequency compared to the station data. They show that annual mean heavy rainfall totals are underestimated and light to moderate rainfall totals are overestimated in the gridded data. A large difference is also found between the station and APHRODITE data for spatial patterns of trends in intense rainfall, and the APHRODITE data underestimate trends in the recent northern drought–southern flood pattern compared to station data. With these limitations in mind, we use the APHRODITE data for model verification of seasonal rainfall characteristics and focus on the model output for examining trends in rainfall and changes in extreme rainfall characteristics.

For consistent comparison, we regrid both the observations and model to an identical  $1^\circ \times 1^\circ$  grid, taking daily area means over the cells within the  $1^\circ \times 1^\circ$  grid. A map of the mean and maximum numbers of stations per grid cell for APHRODITE between 1960 and 2007 is shown in Fig. 1 (first row). As is clear in the figure, in western China station coverage is spatially very sparse. Additionally, being a desert, the monsoon does not reach this region, so we exclude western China from our analysis. The location of northeast (NE), northeast coast (NEC), southeast coast (SEC), southeast (SE), south-central China (SCC), north-central China (NCC), and central west (CW) regions is shown in Fig. 1.

### 3. Model evaluation and climatology

For this study we define the monsoon season to be from the beginning of April to the end of August. Figure 2 shows the climatological rainfall, averaged over 1960–2000, for the monsoon season from 5-day total rainfall for four time slices throughout the monsoon season. Being a multidecadal average the detailed features of the monsoon do not appear very strongly owing to their spatial variation between years. However, some indication of the general location of the mei-yu front can be seen in both observations and model. The model reproduces fairly well the spatial location of the observed rainfall and the progression of the locations of high and low rainfall throughout the monsoon season. However, the model consistently overestimates the total rainfall. When normalized to the observations [dividing out by the eastern China area-mean ratio of observed total to model total; Fig. 2 (right)], the model appears qualitatively similar to the observed rainfall patterns.

Figure 2 also shows the climatological (1960–2000) mean total seasonal rainfall and climatological seasonal maximum daily rainfall. As is again clear in this figure, the model reproduces quite well the spatial patterns of

rainfall but tends to overpredict rainfall totals. When normalized the model mean appears qualitatively similar spatially to the observations. We use the raw (non-normalized) model output for the rest of our evaluation and for our analysis of the monsoon.

We group areas of China into climatologically similar regions, indicated in Fig. 1. We exclude regions with very low numbers of observation stations. These regions also tend to be in the desert parts of China and therefore receive very little rainfall annually and are not climatologically subject to rainfall as a result of the monsoon.

Figure 1 (third and fourth rows) shows the intensity distribution of daily precipitation total for all years between 1960 and 2000. This figure indicates how much daily total rainfall contributes to the total monsoon seasonal rain. For the central four regions the model reproduces the shape of the distribution well. However, for all the regions the model peak of the distribution of daily rainfall contribution is at a somewhat larger value than is observed and shows a fatter tail at the high daily total end of the distribution. However, as previously noted, the APHRODITE gridded data may underestimate the heavier end of the daily precipitation distribution. This could lead to a skewing to the lighter end of daily precipitation in the observations. Alternatively it could be that the model systematically overestimates daily rainfall in eastern China during the monsoon season.

Figure 3 shows the 1960–2000 climatology of 5-day consecutive (nonoverlapping) total rain throughout the monsoon season for the regions shown in Fig. 1. As in earlier figures, the model reproduces the spatial patterns and timing of the monsoon rainfall fairly well but overestimates the total rainfall. For three northern regions the model spread encompasses the observed totals. For SEC the model spread and mean are close to the observed values, but generally for the southern regions the mean 5-day totals are greater than observed.

The reported underestimation of extreme rainfall in APHRODITE (Han and Zhou 2012) may contribute to the discrepancy between observations and models. We also examine this claim using a small number of publicly available station data for China, which have undergone basic quality control. In Fig. 4 we show the same as Fig. 3 but for one station per region for six of seven regions, compared with grid cells containing the station location in the model, and APHRODITE data - station locations are indicated in the figure. While the station is a point source and the gridded data are a representation of a larger area, this comparison gives a reasonable idea of how well the model and gridded observations perform. In Fig. 4 it is generally clear that the station data 5-day rainfall totals are slightly higher than the APHRODITE

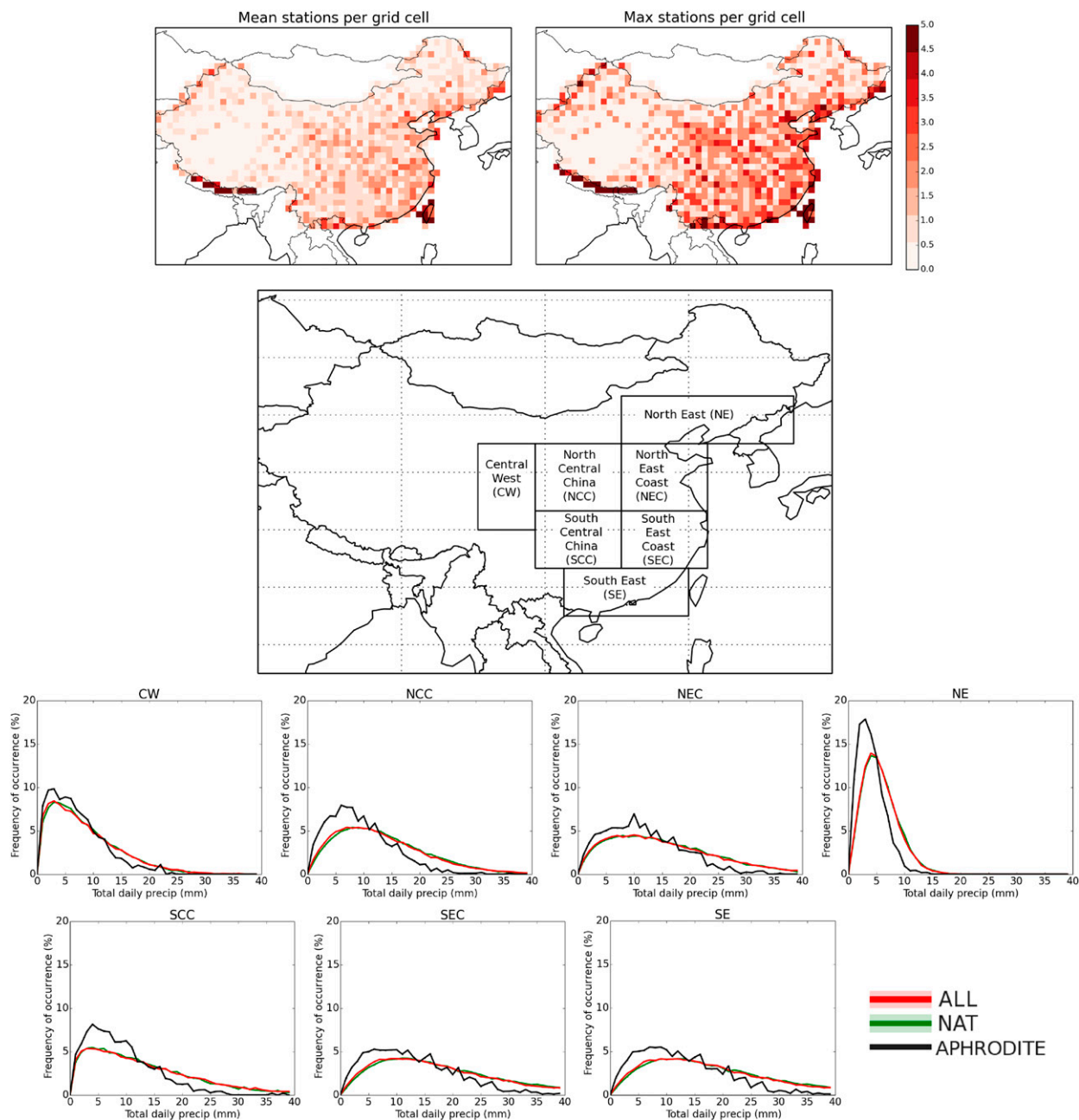


FIG. 1. (first row) The 1960–2007 (left) mean and (right) max number of stations per square-degree grid cell from which the APHRODITE observation data are constructed. (second row) China divided into climatologically similar regions. For verification we exclude areas of China with very low observation station density and very little total monsoon rainfall. (third and fourth rows) Precipitation intensity distribution (from area daily mean) for regions in China, climatology for 1960–2000. The contribution of daily rainfall total to the total monsoon rainfall is shown: observations (black) and ALL (red) and NAT (green) experiments (the green line is often hidden behind the red in these plots).

data. As noted in Han and Zhou (2012), in Fig. 4 the APHRODITE data show notably lower total rain for heavy rainfall days than is recorded in the station data. This figure shows the model data to be more similar to the station data than the APHRODITE data. This comparison provides some crude measure of observational

uncertainty. While the station data are a point source, estimates of 5-day total rainfall may be less biased than the larger gridbox average from APHRODITE.

When compared to APHRODITE our model reproduces the main features of the monsoon fairly accurately. Comparison with data from a few stations

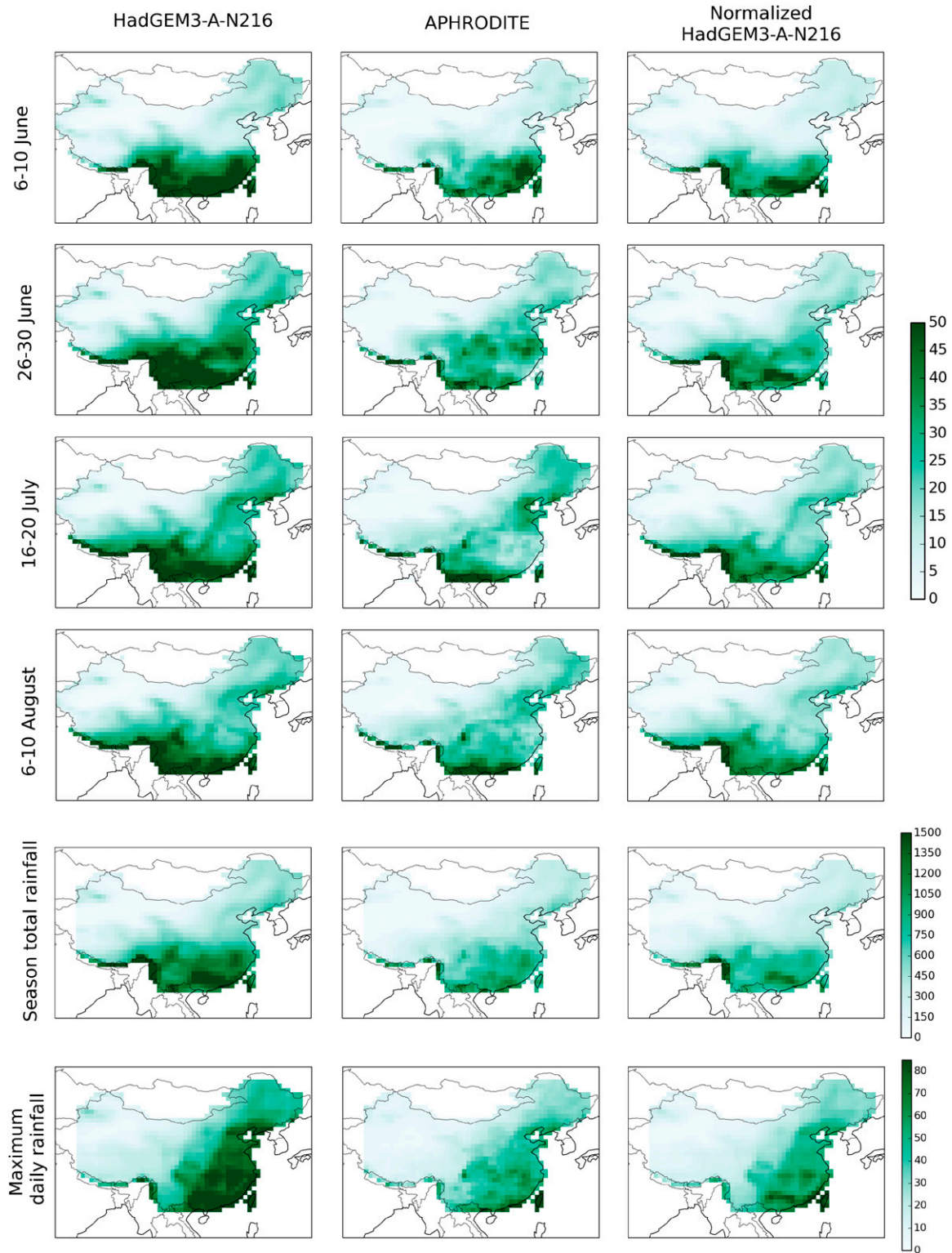


FIG. 2. (first, second, third, and fourth rows) The 1960–2000 mean pentad climatology [ $5\text{-day total rainfall; mm (5 days)}^{-1}$ ] for (left) ALL ensemble mean, (center) observations, and (right) model mean when normalized to the observed average. (fifth row) Seasonal mean total rain (mm) for monsoon season, mean of 1960–2000. (sixth row) Max daily total rain (mm) for monsoon season, mean of 1960–2000.

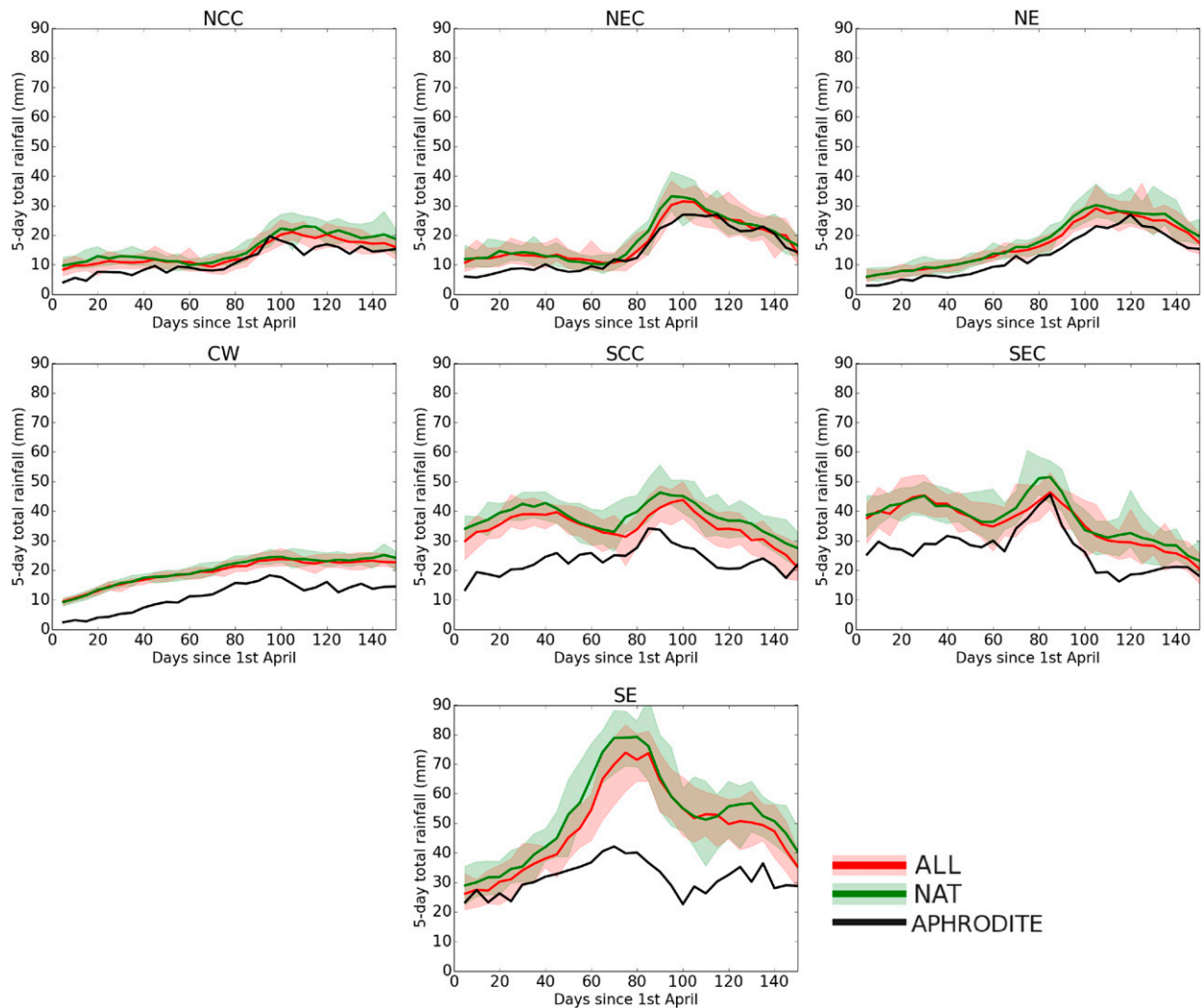


FIG. 3. The 5-day total rainfall time series throughout the monsoon season, averaged over 1960–2000. Regions corresponding to Fig. 1 are indicated above panels. Red and green lines are ALL and NAT ensemble means, and red and green shading are ensemble range (appears brown where the two overlap). Black line is the observations.

shows that the model also reproduces extreme rainfall. Although the model seems to generally overestimate rainfall totals compared to the observations, the offset between the two is fairly consistent, so for examining trends in monsoon rainfall the model should be adequate.

It is interesting to note that the model used here can reproduce the main features of the EASM, including the mei-yu front and its progression. This has been challenging for models in the past including many CMIP5-generation models. The improved resolution of models from N96 (as used by most CMIP5 models) to N216 (as used by our model) has been shown to produce more realistic precipitation globally (Demory et al. 2014) and regionally (Schiemann et al. 2014; Vellinga et al. 2016) and more realistic monsoon systems (Johnson et al. 2016).

Our model uses prescribed SSTs and sea ice coverage, one advantage of which being that it will capture many ongoing large-scale modes of natural variability, such as El Niño. This and the “correct” forcing from sea surface temperature will allow a more accurate monsoon to be produced for a specific year than a coupled model. The physical realism of our model makes it a suitable tool for studying changes in the characteristics of the EASM.

#### 4. Analysis of trends in monsoon rainfall

We calculate anomalies with respect to the 1960–79 mean value for the each of ALL and NAT and observations to illustrate trends in monsoon rainfall. Anomalies are only calculated for illustrative purposes and do

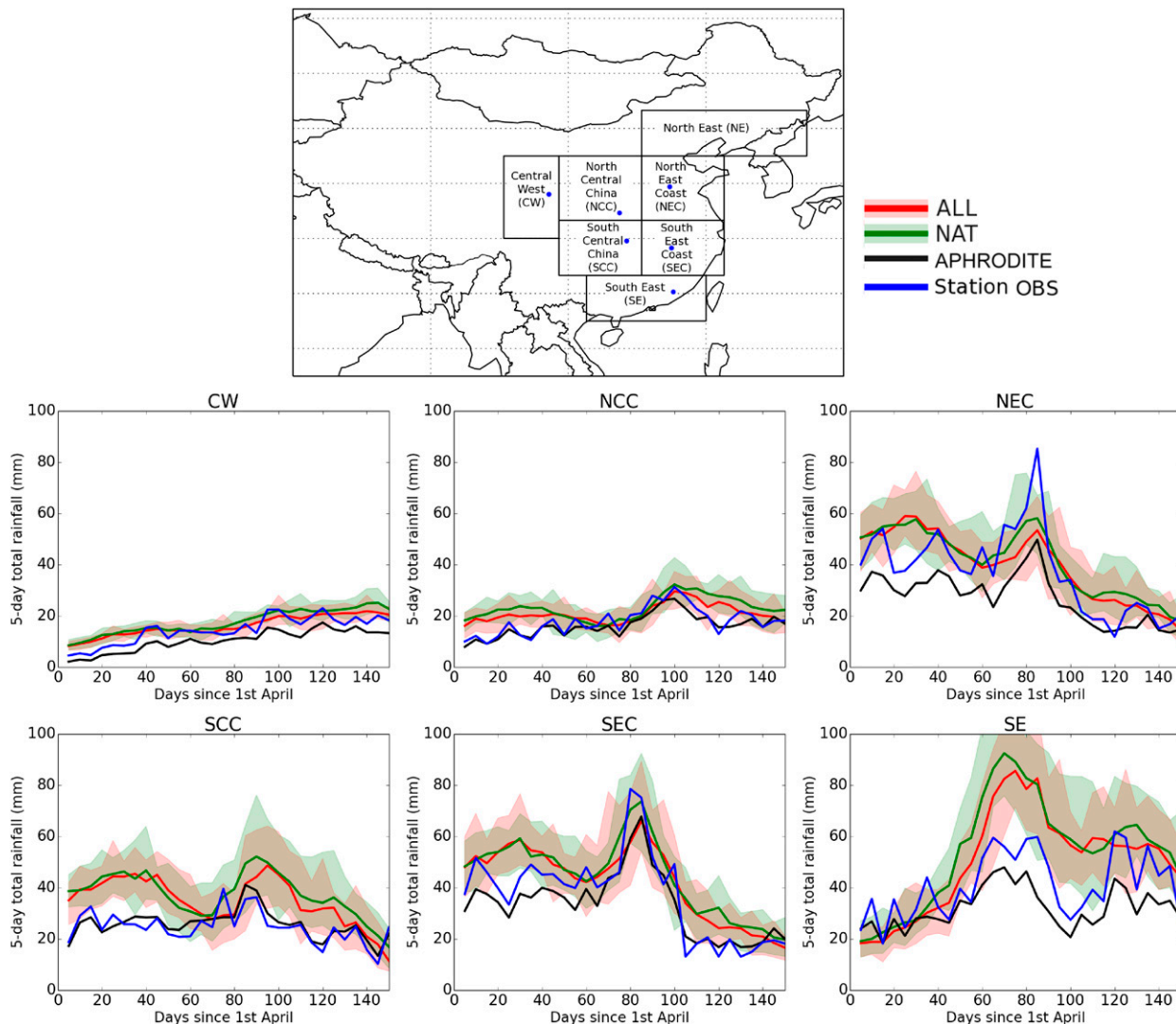


FIG. 4. The 5-day total rainfall time series throughout the monsoon season, averaged over 1960–2000. Blue line shows data for an individual station, indicated in the map with a blue dot. Black line is APHRODITE and red and green lines are ALL and NAT ensemble means, respectively. The APHRODITE, ALL, and NAT are for the individual grid cell in which the station lies.

not inform the results shown below. We choose this baseline, which is shorter than the more commonly used 1961–90 baseline, in order that the reader might see changes in the metrics examined by eye.

The time series in Fig. 5 shows the seasonal total monsoon rain anomaly for the SEC region, and we use SEC as an example for the rest of the results presented. No clear trend is seen for the time series of the seasonal total monsoon rain, and the interannual variability is large for all of the regions indicated in Fig. 1. There is no clear difference between the ensemble means of the ALL and NAT experiments for most of the time series; however, there is a difference between the two for the most recent 5 years (2010–15). The time series of mean daily total rainfall also shows no trend and large

variability (not shown), and similarly variable time series, with lack of clear trends, are found for mean 5-day total rain and maximum 5-day total rain. Since the time series data are very noisy and trends are likely to be well within the internal variability, we focus on the differences between the distributions of the ALL and NAT ensembles for the most recent 15 years when presenting quantitative results.

Figure 5 also shows the total number of dry days in the monsoon season (rainfall total less than  $1 \text{ mm day}^{-1}$ ). For all regions the ALL ensemble mean shows an increased number of dry days compared to the NAT ensemble mean, and the difference between the two ensembles appears greatest in more recent years suggesting an increasing trend in dry days in the ALL

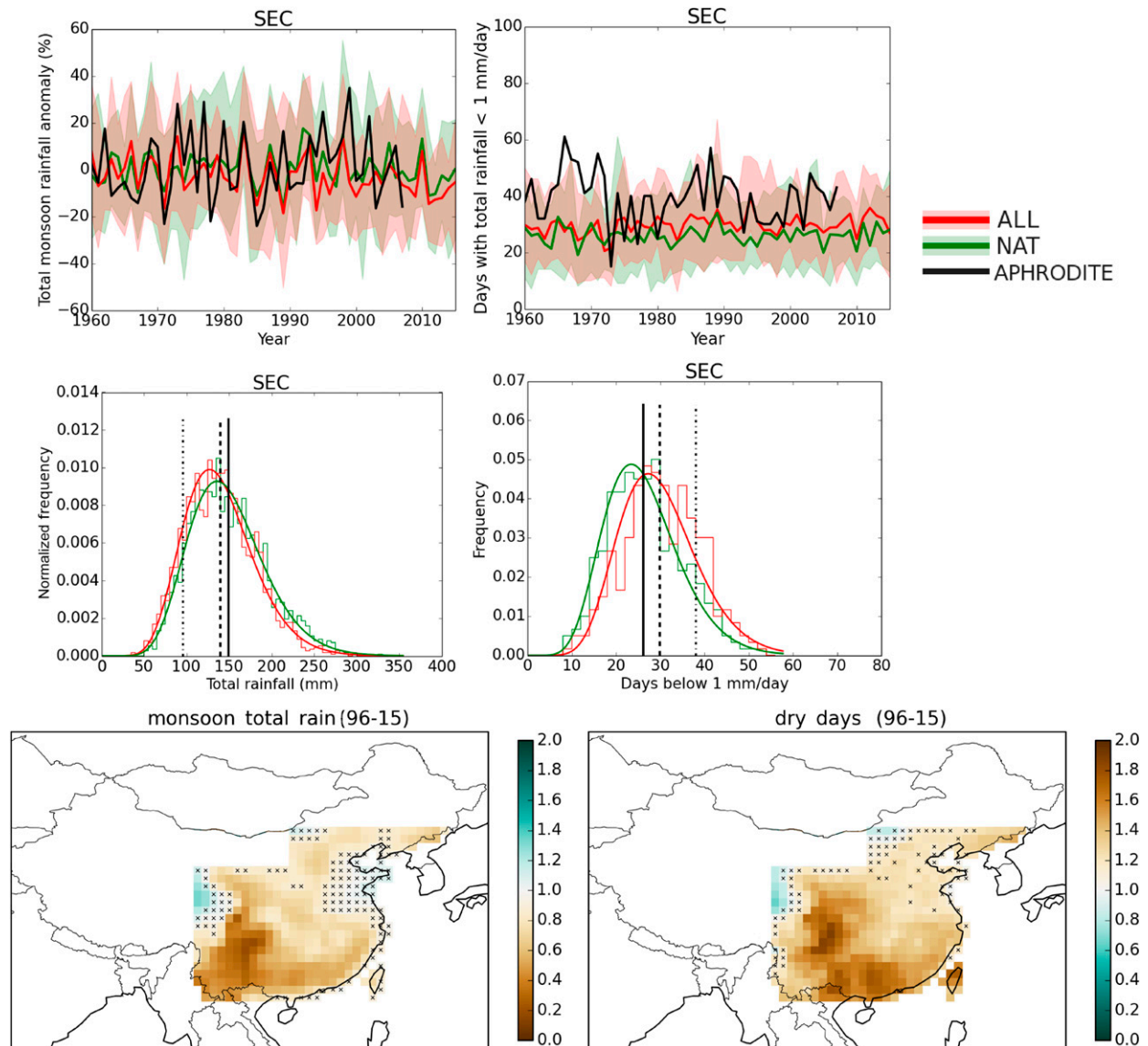


FIG. 5. (top) Time series for SEC (left) monsoon season total rainfall (anomaly with respect to 1960–79) and (right) total dry days during the monsoon. Colors as in Fig. 3. (middle) Histograms with fitted PDFs for the most recent 20 years of the time series (1996–2015) for ALL and NAT; black line indicates the mean of the NAT ensemble, dashed line indicates the mean of ALL ensemble, and dot-dashed line indicates 10th and 90th percentiles of NAT ensemble for total rainfall and days below 1 mm, respectively. (bottom) Probability ratio  $\Delta P$  maps between ALL and NAT ensembles, with respect to the mean of the NAT ensemble for all ensemble members during 1996–2015. Black crosses indicate grid cell where  $\Delta P$  is not significant at a  $2\sigma$  (95%) level.

ensemble. The variability of the model and the observations are again quite large and trends (if present) are not very clear. Given that the monsoon total rain shows no clear change, an increase in the number of dry days during the monsoon could imply an increase in rainfall total per day on wet days.

Previous studies have noted changes in observed rainfall when the season is divided up into deciles of daily total rain (e.g., Liu et al. 2005; Fu and Dan 2014; Fu et al. 2008). For our model ensemble we divide all the

wet days (rainfall total greater than or equal to  $1 \text{ mm day}^{-1}$ ) in the monsoon season into deciles of daily total rain—where each decile contains 10% of the total seasonal rainfall. We define the decile bin edges using all the members of the NAT ensemble during 1960–2015. The upper and lower limits for each bin are then applied to the ALL ensemble. Figure 6 shows the change in total rain in each decile for the last 20 years of data with respect to the 1960–79 baseline climatology. Some regions show changes in the distribution of rainfall totals



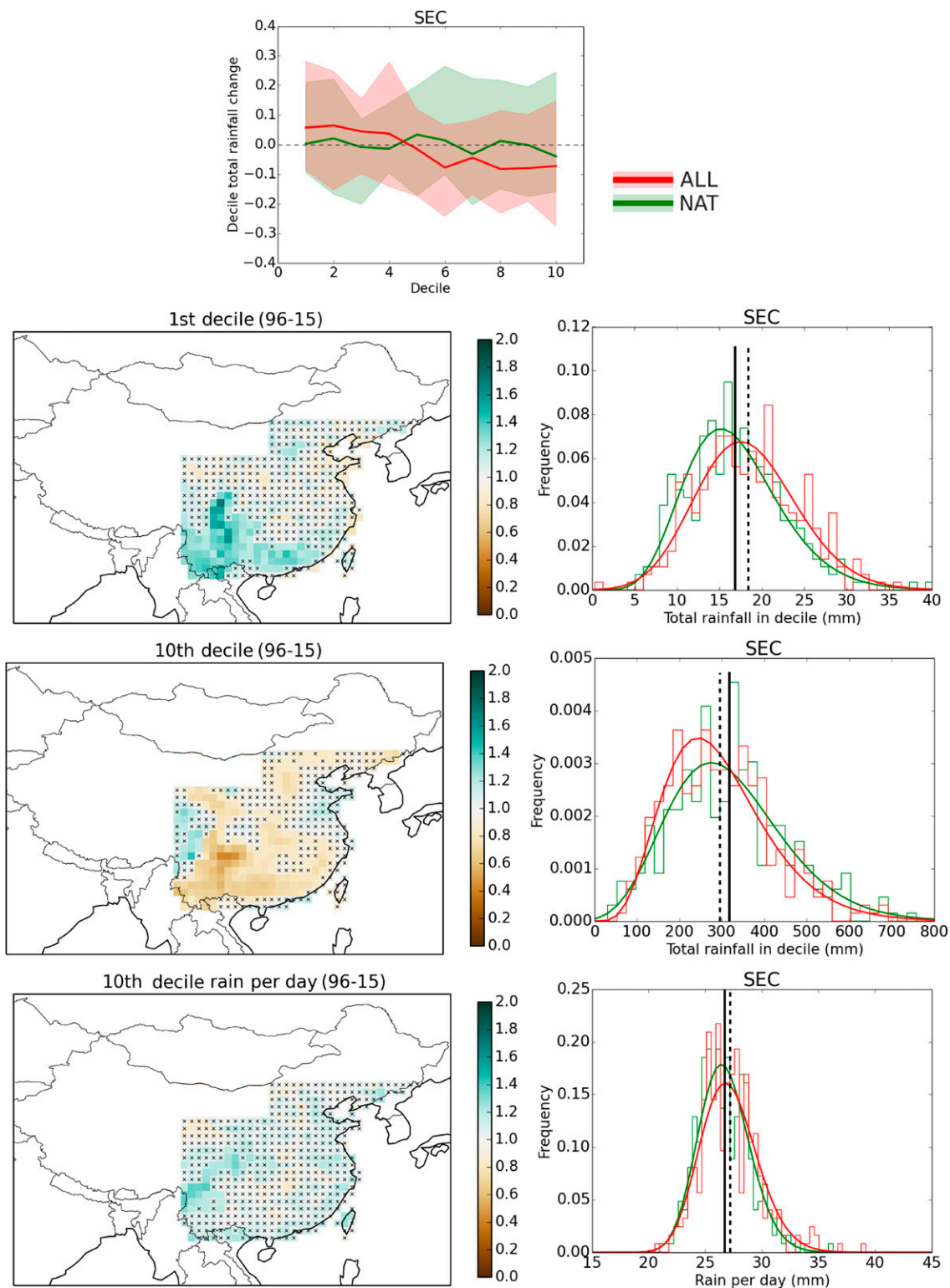


FIG. 6. (first row) Fractional total rainfall change for 1996–2015 compared to 1960–79 for each decile of daily rainfall for SEC. (left) The  $\Delta P$  map for (second row) first-decile total rain, (third row) tenth-decile total rain, and (fourth row) tenth-decile rain per day, with respect to the mean of the NAT ensemble for all ensemble members between 1996 and 2015. Black crosses indicate grid cell where  $\Delta P$  is not significant at a  $2\sigma$  (95%) level. (right) Histograms for the variables in the maps shown for SEC. Solid line indicates the mean of NAT, and dashed line indicates the mean of ALL.

between deciles for the ALL ensemble mean. For the southern regions a clear increase can be seen in the lowest decile (bottom 10% daily total rain), and at the same time a decrease in the total rain in the upper deciles for the ALL ensemble with respect to the NAT ensemble (see Fig. 6). A decrease in rainfall from upper-decade days and an increase in rainfall from lower-decade days is the opposite of what is generally reported in the literature (e.g., Liu et al. 2005; Ma et al. 2017); however, the literature reports results for observations, which end in 2000–06.

We also analyze the distribution (PDF) of daily totals (and numbers of days) within the first and tenth deciles (i.e., the top and bottom 10% daily total rainfall). For the tenth decile, comparing the ALL and NAT experiments, the ALL ensemble has lower total rainfall and a lower number of days of rain; however, the PDF (Fig. 6) also shows a fatter tail at high values of mean rainfall per day. So even though the total rainfall in the tenth decile is less in the ALL ensemble than the NAT ensemble, the total rain in individual days is shifted to higher values (see Fig. 6). We discuss this further below.

In reality rain falls during storms, which may last several days. We divide the monsoon season up into storms, or events, of  $n$  days in duration. An event is defined as a number of consecutive days where each day has total rainfall greater than 1 mm. We also consider the duration of an event ( $n\_days$ ), the total rain that falls during an event ( $n\_day\_tot$ ), and the mean rainfall per day during an event ( $intens$ ; see Burke et al. 2016). We divide up the monsoon into events for each grid cell.

In a time series of mean and maximum annual  $n\_days$ ,  $n\_day\_tot$ , and  $intens$  (not shown) there is no clear trend, no clear separation between ALL and NAT ensemble means, and large variability. As illustrated above, changes in monsoon rainfall are more pronounced at the extreme light and heavy ends. In our previous paper (Burke et al. 2016) we found that for rainfall events in May 2015 with high  $n\_day\_tot$ ,  $intens$  increases and  $n\_days$  decreases in the ALL ensemble compared to NAT. We examine the changes in  $n\_days$  and  $intens$  for the 95th-percentile  $n\_day\_tot$ , where the 95th percentile is defined from the NAT ensemble for events between 1960 and 1979. Figure 7 shows the time series (percent anomaly) of  $n\_days$  and  $intens$  for events in the 95th percentile of  $n\_day\_tot$ —both figures show 5-yr means in order to show the signal more clearly without so much natural variability. In this figure a trend can be seen for increased  $intens$  and decreased  $n\_days$  with time and a shift in the spread of the ALL ensemble in the same direction.

We remind the reader that our chosen threshold for a wet or rainy day is  $1 \text{ mm day}^{-1}$ . Given that this threshold for a rainy day is set relatively low, this will inevitably

lead to us recording long-duration events using our  $n\_days$  method. The most extreme consequence of this is that our rainfall events can last weeks; a continuous rainfall event of this magnitude would probably be unphysical in reality. Given the temporal resolution of data available to us we are not able to examine the “real” duration of individual rain storms. However, the number of consecutive days of rain is an interesting metric with regard to flooding. The change in number of consecutive days of rain and the total rainfall in those days is also informative as to how the nature of rainfall during the monsoon season is changing as a result of anthropogenic forcings. As the EASM season progresses, the rainband (mei-yu front) moves northward across eastern China and later retreats southward again (as described in the introduction). As such most regions of eastern China will experience multiple wet and dry spells throughout the season. Our  $n\_days$  method allows us to see how anthropogenic forcings change in the progression and duration of the wet and dry spells.

## 5. Change in likelihoods of extreme rainfall due to anthropogenic climate change

We examine change in likelihood of the metrics for which we can see differences between the ALL and NAT experiment output described above using the most recent 20 years of model data (1996–2015). The change in probability  $\Delta P$  (sometimes referred to as “risk ratio” in the literature) is given by  $\Delta P = P(\text{ALL})/P(\text{NAT})$ , where  $P(\text{ALL})$  and  $P(\text{NAT})$  are the probability of a metric exceeding a given threshold in the ALL and NAT ensembles, respectively. For each metric presented we define a threshold based on the NAT ensemble—these thresholds are the mean, 10th percentile, or 90th percentile of the NAT ensemble depending on the metric examined. As such  $P(\text{NAT})$  will be equal to 0.5 where we define our threshold to be the mean of NAT (etc.).

The  $P(\text{ALL})$  is calculated by fitting a probability distribution function to the histogram of the variable considered and taking the area under the curve above (or below) the threshold defined by NAT. This is illustrated in the PDF plots in Figs. 5–7. We fit a gamma distribution to the normalized histogram for the variable considered (as illustrated in the figures), using a maximum-likelihood estimation fitting routine (`gamma.fit`, freely available in `scipy.stats`). There are a minimum of 300 data points in each fitted histogram ( $15 \text{ members} \times 20 \text{ years} \times \text{points per year for metric in question}$ ), so there is sufficient data for a reliable fit—by eye the curves appear to fit well. We test the goodness of fit by calculating  $\Delta P$  from the area under each histogram before fitting and compare with the value of  $\Delta P$  from the fits to the histograms. We find the

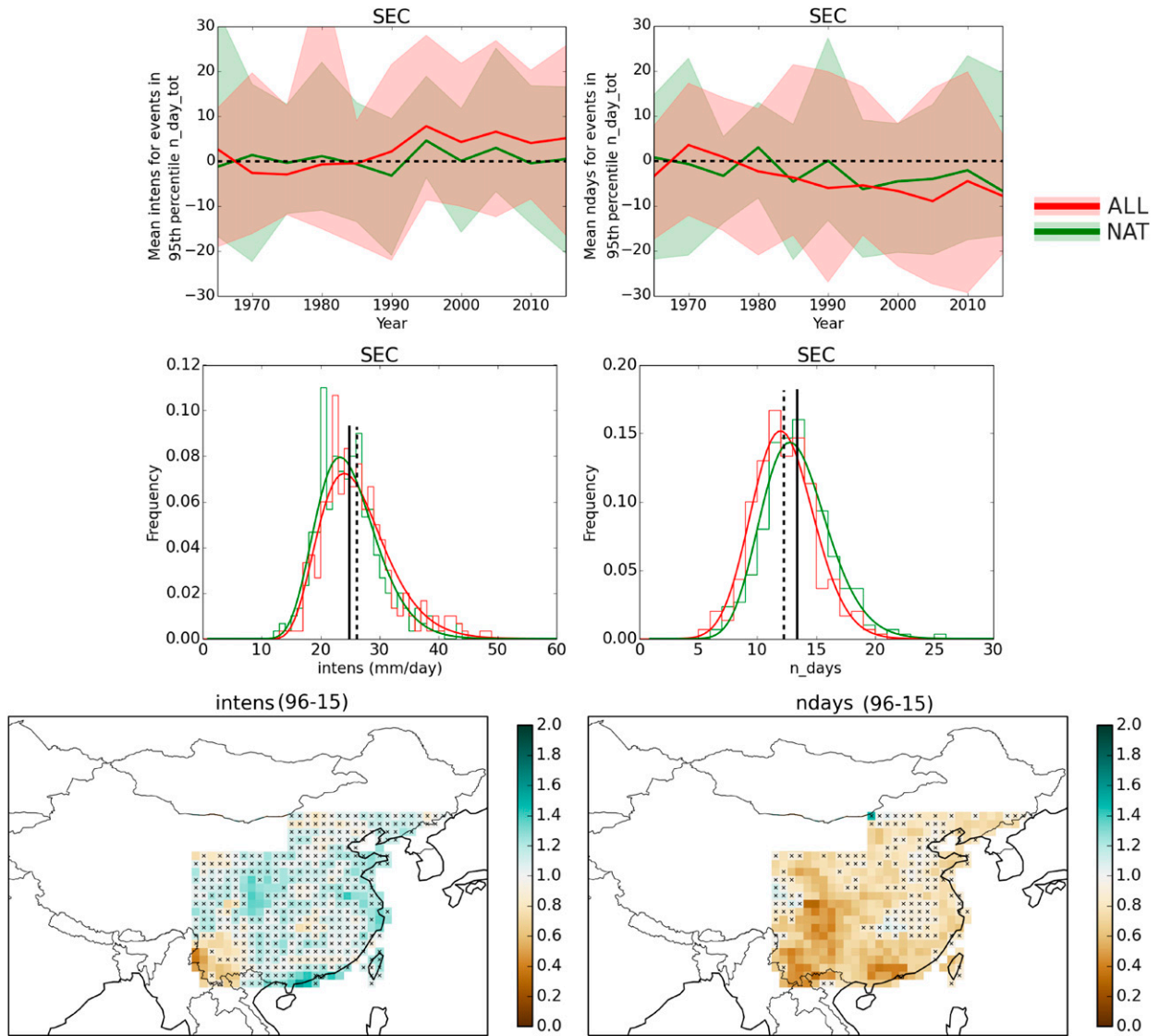


FIG. 7. (top) Time series of (left) intens ( $\text{mm day}^{-1}$ ) and (right)  $n_{\text{days}}$  for 95th-percentile  $n_{\text{day\_tot}}$  events for SEC. (middle) Histograms with fitted PDFs for variables examined for all events between 1996 and 2015 in ALL and NAT. (bottom) The  $\Delta P$  maps, with respect to the mean of the NAT ensemble, for all ensemble members between 1996 and 2015, for events in the 95th percentile (w.r.t. 1960–79) of  $n_{\text{day\_tot}}$ . Black crosses indicate grid cells where  $\Delta P$  is not significant at a  $2\sigma$  (95%) level.

values of  $\Delta P$  from the histogram to be the same as those from the gamma fit to within 2% [i.e.,  $\Delta P(\text{gamma fit})/\Delta P(\text{histogram}) = 1.00 \pm 0.02$ ; standard deviation = 0.05]. The results from calculating  $\Delta P$  with and without fitting are close enough that we are confident of the appropriateness of the gamma fit to represent the distribution of the data. These values derived with and without fitting are similar enough, and enough data are available to sample the distribution of values well that fitting may not actually be necessary for examining extremes in this case.

The maps in Figs. 5–7 also indicate which grid cells have  $\Delta P$  that is significant at the  $2\sigma$  level (95% confidence level). The statistical significance of  $\Delta P$  is

determined by bootstrapping the data and fitting the resulting histogram with a PDF from which  $\Delta P$  is calculated. The bootstrap is performed 1000 times for each grid cell (with replacement). For some of the figures there are a large number of grid cells that are not significant at  $2\sigma$ , and at a  $1\sigma$  level the picture is generally the same but with the addition of the grid cells along the coastlines also being significant. However, given the contiguous large areas showing similar changes in distribution, a lack of statistical significance in individual grid cells may be indicative of the presence of weak trends. We report area-mean values for  $\Delta P$  and the change in the mean absolute value (also 10th and 90th

TABLE 1. Results by regions as indicated in Fig. 1. Probability ratio  $\Delta P$  values give the change in likelihood of the mean seasonal value of the variable considered for the ALL ensemble with respect to the NAT ensemble. The absolute change is the change in the value of the variable considered for the ALL ensemble with respect to the NAT ensemble (e.g., the mean seasonal rainfall total is  $X$  mm less). Results not statistically significant at  $2\sigma$  are highlighted in italics.

Variable		NE	NCC	NEC	CW	SCC	SEC	SE
Total	$\Delta P$ mean	$0.8 \pm 0.01$	$0.8 \pm 0.01$	$0.9 \pm 0.01$	$0.9 \pm 0.03$	$0.6 \pm 0.03$	$0.8 \pm 0.01$	$0.6 \pm 0.02$
rainfall	Mean change (mm)	$-28.3 \pm 1.72$	$-34.9 \pm 2.26$	$-13.5 \pm 2.38$	$-13.3 \pm 4.62$	$-110.0 \pm 6.56$	$-72.9 \pm 4.12$	$-146.2 \pm 9.15$
	$\Delta P$ 10th percentile	$0.9 \pm 0.01$	$0.9 \pm 0.01$	$1.0 \pm 0.01$	$1.0 \pm 0.01$	$0.8 \pm 0.01$	$0.9 \pm 0.01$	$0.9 \pm 0.01$
	Mean change (mm)	$-23.4 \pm 1.82$	$-33.9 \pm 2.93$	$-14.5 \pm 2.49$	$-13.3 \pm 4.98$	$-98.3 \pm 6.44$	$-48.65 \pm 3.27$	$-108.1 \pm 7.87$
Dry days	$\Delta P$ mean	$1.2 \pm 0.01$	$1.3 \pm 0.02$	$1.2 \pm 0.01$	$1.1 \pm 0.04$	$1.5 \pm 0.02$	$1.4 \pm 0.01$	$1.6 \pm 0.01$
	Mean change (days)	$2.0 \pm 0.13$	$3.6 \pm 0.25$	$2.1 \pm 0.08$	$1.0 \pm 0.31$	$5.9 \pm 0.28$	$4.1 \pm 0.13$	$6.5 \pm 0.11$
	$\Delta P$ 90th percentile	$1.4 \pm 0.03$	$1.9 \pm 0.07$	$1.5 \pm 0.04$	$1.4 \pm 0.10$	$2.6 \pm 0.11$	$1.8 \pm 0.03$	$2.5 \pm 0.06$
	Mean change (days)	$2.0 \pm 0.20$	$3.6 \pm 0.28$	$2.0 \pm 0.16$	$0.9 \pm 0.36$	$5.7 \pm 0.35$	$3.8 \pm 0.16$	$6.0 \pm 0.18$
First decile	$\Delta P$ mean	$1.0 \pm 0.01$	$1.0 \pm 0.01$	$1.0 \pm 0.01$	$1.1 \pm 0.02$	$1.1 \pm 0.02$	$1.0 \pm 0.01$	$1.2 \pm 0.02$
Total rain	Mean change (mm)	$0.1 \pm 0.03$	<i><math>0.1 \pm 0.06</math></i>	$-0.1 \pm 0.03$	$0.5 \pm 0.18$	$0.7 \pm 0.13$	$0.2 \pm 0.06$	$1.0 \pm 0.12$
Tenth decile	$\Delta P$ mean	$0.9 \pm 0.01$	$0.9 \pm 0.01$	$1.0 \pm 0.01$	$1.0 \pm 0.02$	$0.8 \pm 0.02$	$0.9 \pm 0.01$	$0.8 \pm 0.02$
Total rain	Mean change (mm)	$-12.1 \pm 0.97$	$-10.9 \pm 1.33$	<i><math>1.2 \pm 2.00</math></i>	$-1.8 \pm 2.17$	$-44.4 \pm 3.72$	$-25.7 \pm 2.44$	$-52.5 \pm 5.75$
Tenth decile	$\Delta P$ mean	$1.0 \pm 0.01$	$1.1 \pm 0.01$	$1.1 \pm 0.01$	$1.0 \pm 0.01$	$1.0 \pm 0.01$	$1.0 \pm 0.01$	$1.1 \pm 0.02$
Rain per day	Mean change (mm day <sup>-1</sup> )	$0.4 \pm 0.04$	$0.9 \pm 0.03$	$0.9 \pm 0.13$	$0.1 \pm 0.03$	$0.3 \pm 0.07$	$0.5 \pm 0.09$	$0.6 \pm 0.09$
$n\_days$	$\Delta P$ mean	$0.8 \pm 0.01$	$0.8 \pm 0.01$	$0.8 \pm 0.01$	$0.8 \pm 0.02$	$0.7 \pm 0.02$	$0.8 \pm 0.01$	$0.6 \pm 0.01$
	Mean change (days)	$-0.6 \pm 0.04$	$-0.9 \pm 0.09$	$-0.6 \pm 0.04$	$-1.9 \pm 0.46$	$-2.6 \pm 0.21$	$-1.1 \pm 0.13$	$-4.9 \pm 0.29$
intens	$\Delta P$ mean	$1.0 \pm 0.01$	$1.1 \pm 0.01$	$1.1 \pm 0.01$	$1.0 \pm 0.02$	$1.1 \pm 0.02$	$1.1 \pm 0.01$	$1.1 \pm 0.02$
	Mean change (mm day <sup>-1</sup> )	$0.4 \pm 0.12$	$0.9 \pm 0.10$	$2.2 \pm 0.20$	$0.1 \pm 0.04$	$0.7 \pm 0.14$	$1.3 \pm 0.21$	$1.4 \pm 0.2$

percentiles for monsoon total rain and number of dry days, respectively) for each variable and each region in Table 1. The change in mean absolute value is defined as the difference between the mean of the NAT and the mean of the ALL ensembles (similarly for the value of 10th and 90th percentiles). As is clear in the table, when averaged over larger areas the values of  $\Delta P$  and the changes in absolute values of variables measured are indeed statistically significant in most cases.

Figure 5 shows  $\Delta P$  maps for the monsoon total rain and the number of dry days during the monsoon. Despite no clear difference between ensemble means and no clear trends being seen in the time series, the change in the probability distribution function of monsoon total rain between the ALL and NAT forcings ensembles is statistically significant (see also Table 1). Over all of eastern China the seasonal total rain is likely to be less, and the number of dry days during the monsoon is likely to be greater in the ALL ensemble compared to the NAT ensemble. The total rainfall during the monsoon season is 10%–40% ( $\Delta P = 1.1$ – $1.67$ ), more likely to be below the NAT ensemble average in the ALL ensemble than the NAT ensemble. This is more severe in the south of the region of China examined than the north (see Fig. 5). The area-mean value of total monsoon rainfall is found to

be 45 mm less in the ALL ensemble compared to NAT. The decrease in mean annual rainfall ranges from tens of millimeters in northeastern China to  $\sim 100$  mm or more in southern areas (the maximum decrease for an individual grid cell examined is 291 mm). The area-average  $\Delta P$  for total monsoon rainfall to be below the 10th percentile defined by NAT is 1.1, and the value of the 10th-percentile seasonal total is decreased by 49 mm in the ALL world compared to the NAT world (eastern China area average).

Similarly the likelihood of the number of dry days in the season being above the NAT average is  $\Delta P = 1.4$ – $2$  in most of southern and eastern China, with an increase in the mean number of dry days of 3.6 days in the ALL ensemble. The area-mean likelihood of the number of dry days exceeding the 90th percentile of the NAT ensemble is  $\Delta P = 1.9$  in a world with climate change, with the 90th percentile number of dry days increased by 3.4 days in the ALL ensemble.

Figure 6 shows  $\Delta P$  maps for bottom 10% and top 10% daily rainfall totals (first and tenth deciles) and the mean rainfall per day in the top 10%. On average, there is likely to be more rainfall in the first decile and less rainfall in the tenth decile in the ALL ensemble compared to NAT. However, the rainfall total on individual days in the tenth decile is likely to be greater in the ALL world—while this change is not statistically significant

for the majority of individual grid cells, it is statistically significant when we average over larger areas (see Table 1). The strongest results for this are in south-eastern China—for the total rain in the first decile being above the NAT average  $\Delta P = 1.2$  and for mean total rain in the tenth decile being below the NAT average  $\Delta P = 1.25$ . However, the likelihood of rainfall per day in the tenth decile being above the NAT mean in this area is  $\Delta P = 1.1$  in the ALL ensemble. So in this region, anthropogenic forcings may be causing a shift to more light rain and less heavy rain in the season, but even though heavy rain days are more infrequent, the total rainfall per day on heavy rain days is increased. The likelihood changes we find for the number of days in each decile are similar in value to those reported above for total rain per decile. However, the absolute changes in number of days in each decile are on the order of 0.1–0.5 days increase or 0.5–1.0 days decrease for the first and tenth deciles, respectively. It could be argued that over the period of time examined, 1960–2015, this change is small enough to not be observable.

Figure 7 shows  $\Delta P$  maps for the duration (i.e.,  $n_{\text{days}}$ ) and intensity (i.e.,  $\text{intens}$ ) of rainfall events in the 95th percentile of  $n_{\text{day\_tot}}$ . For an NAT world average 95th-percentile  $n_{\text{day\_tot}}$  event in an ALL world the event is 1.3 times (area average) more likely to be shorter in duration, and the daily total rain within each day of the event is 1.1 times more likely to be greater. On area average, these events will be 1.8 days shorter, with the decrease in duration being more pronounced in the south than the north (see figure). The mean rain per day in these extreme events is increased by  $1 \text{ mm day}^{-1}$  in the ALL ensemble compared to NAT. Thus, we have found evidence that the intensity of the most extreme rainfall events is expected to increase because of anthropogenic forcings.

## 6. Discussion

Under anthropogenic forcings the model predicts that there is, on average, a decrease in the total monsoon rainfall, an increase in the number of dry days, an increase in the total rain that falls in the first decile of daily totals, and a decrease in the total rainfall in the tenth decile of daily total rain. This gives a picture of a generally drier monsoon. However, for extreme heavy rainfall events a different picture is given. The results show an increase in total rain per day in the tenth decile of daily total rain, and for the 95th percentile of  $n_{\text{day\_tot}}$  rainfall in events as defined above, the mean rainfall per day is increased and the number of days over which the rainfall is decreased. So while the total seasonal rain is generally reduced, and the distribution of daily total rain

is shifted toward the lighter end, for heavy rain events the rainfall per day is increased and the duration of heavy rain events is decreased.

The statistical significance of the changes reported per grid cell is strong for the general drying changes—monsoon total rainfall, number of dry days, increase in first-decile days, and shortening in duration of extreme events. The statistical significance per grid cell is weaker for increased tenth-decile rain per day and increased intensity of heavy rain events. Figures 6 and 7 show comparatively few grid cells are significant at  $2\sigma$  for these metrics compared to the drying metrics (at  $1\sigma$  the coastal grid boxes also appear significant, but otherwise the figures are very similar; not shown). However, for regional averages on most metrics the results are statistically significant (see Table 1). The heavy rainfall changes are smaller in magnitude compared to the changes for drying metrics for both grid cells and regional means. This suggests that the increase in extremes is a smaller effect than the overall drying.

We have examined changes in the monsoon season, considering all the days from the beginning of April to the end of August as being in the season. As illustrated in Fig. 3, the rainfall within the season is very variable between dates and locations. It may be that our examination misses detail on shorter time scales and that changes in extremes are more or less pronounced on the monthly time scale than that reported for the whole season. We also do not examine changes in the timing or spatial extent of the monsoon season.

We point out that our results are for model data and represent changes in likelihoods between model ensembles with and without anthropogenic climate change. As such the results presented here are predictions of the changes in monsoon rainfall as a result of anthropogenic forcing, which we might expect to see in observations.

While we have carried out some verification with the observations available to us, we suspect that the observations we have for this region are imperfect (as illustrated in Fig. 4). To verify the model and results presented here more detailed and up-to-date observational studies will be required. Unlike many CMIP5-generation models that struggle to reproduce extreme rainfall observed in reality, the model setup used is able to produce the extremes of rainfall that are observed and tends to over-rather than underpredict the extremity and frequency of heavy rainfall (however, the observed gridded data we compare to may underestimate extreme rainfall).

### *a. Physical basis and comparison with previous studies*

In recent years there have been reports of a southern flood–northern drought pattern during the summer

monsoon (see introduction). A drier monsoon season could easily lead to drought, and short intense rainfall bursts can lead to flooding. Long-duration rainfall is generally needed to alleviate droughts, so short but heavy rainfall events, once over, may allow a drought to persist. Examination of the mechanism, which would cause extended drought over northern China but recurring flooding over southern China, is outside of the scope of this study.

Several previous model-based studies discuss intensifying convection as a result of global warming leading to increased heavy rainfall and depletion of light rain at the expense of this heavy rain (e.g., [Trenberth et al. 2003](#)). The proposed mechanisms for this change are that global warming can lead to enhanced convection processes, an enhanced water cycle, and increased convergence (super Clausius–Clapeyron). The heavy rainfall as the result of these processes is more extreme than in a world without anthropogenic climate change, and the result of intense downpours is that the precipitable water column is emptied, inhibiting subsequent light rainfall ([Fowler and Hennessy 1995](#); [Fisher and Knutti 2016](#); [O’Gorman and Schneider 2009](#)). The recent observational work of [Fisher and Knutti \(2016\)](#) shows that globally very heavy daily total rainfall events in the 95th percentile or greater are notably increasing in frequency, and this is reflected in current climate models. Generally, recent observational studies of global rainfall trends report a slight increase in total rainfall (e.g., [Wu et al. 2013](#)); however, for heavy rainfall a significant increasing trend is consistently found ([Donat et al. 2016](#); [Westra et al. 2013](#); [Ban et al. 2015](#); [O’Gorman and Schneider 2009](#)).

Over the area of eastern China, in the upper decile of daily rainfall total we see some weak shift to larger rainfall per day values, but we do not see a reduction in light rain (first–second-decile daily total rain). Perhaps by selecting the 90th percentile, rather than the 95th or 99th, we are only seeing hints of this trend in our only moderate results for heavy rain increase. Similarly for our 95th percentile  $n\_day\_tot$  rainfall, we see some weak indication of increased daily total, but it is not as impressive as that reported for global daily totals.

On more local spatial scales, some previous observational studies also report an increase in heavy rain and a decrease in light rain over China. For example, [Ma et al. \(2017\)](#) observe a decrease in total rain from light rain days and an increase in total rain from heavy rain days. Their reported change in light rain is weak statistically, and their reported change in heavy rain is larger and statistically stronger.

Numerous observational studies have reported an increase in seasonal total rainfall over the period 1960–2000

for eastern China ([Liu et al. 2005](#); [Zhai et al. 2005](#); [Wang and Zhou 2005](#); [Su et al. 2006](#); [Fu and Dan 2014](#); [Fu et al. 2008](#); [Qian and Qin 2008](#); [Gemmer et al. 2004](#)). However, these changes are not uniformly spatially coherent, nor are the observed regions all defined to cover the same areas as each other or as that examined here. Subsets of these works ([Zhai et al. 2005](#); [Liu et al. 2005](#); [Fu and Dan 2014](#); [Fu et al. 2008](#); [Su et al. 2006](#); [Qian et al. 2009](#)) also report increases in the number of heavy rain days and decreases in light rain days and also with shifts in rainfall totals across daily deciles in a similar direction. The method by which deciles or thresholds for extreme rainfall totals are defined differs between most of these studies, being defined for individual seasons in some and annually in others. The regions studied also vary between publications, and deciles and extremes may be defined as an area average or within subregions. Additionally, these studies tend to end in 2000, near the start of our current climatology and given that they end 15 years ago it would be interesting to see if the results that they present continue in more recent years. Similarly to the result presented here, the trends reported by most literature studies tend to be statistically weak and the data noisy—this is a frequent issue for studies of precipitation.

There are observational literature studies that are complementary to our findings. For example, [Xiao et al. \(2016\)](#) examine the observed hourly peak total rainfall during the monsoon season. They find peak hourly rainfall is correlated with daily mean temperature and that the number of rain hours per day decreases with increasing temperature, with hourly precipitation extremes increased by 10% per degree (Celsius) increase of daily mean temperature. However, they find daily extremes decrease by approximately the same amount—so extreme total rainfall is increasing but duration is decreased on the hourly time scale.

[Liu et al. \(2005\)](#) find a 10% decrease in frequency of precipitation events between 1960 and 2000. [Zhai et al. \(2005\)](#) also report a decrease in number of rain days over eastern China between 1950 and 2000. They also find the daily rainfall total in the 95th percentile has increased with time and an increased frequency of 95th-percentile rainfall days in southern and eastern China during the warm half of year. However, they find no statistically significant change in annual rainfall total.

Precipitation is a notoriously difficult variable to measure accurately, perform trend analysis of, and detect changes in with any meaningful confidence. In the studies discussed above, several subtly different methods are used to detect changes in rainfall in subtly, but non-trivially, different ways. In an ideal world it would be beneficial to have a unified metric or a set of metrics by which changes in rainfall could be judged. This would

help promote a clearer path to detecting and attributing changes and understanding what drives them.

### b. Future changes

With future reductions in aerosol emissions and a continued increase in greenhouse gas emissions, historical trends in monsoon rainfall may not be indicative of future changes (Christensen et al. 2013). CMIP5 (Taylor et al. 2012) RCP8.5 model projections predict that eastern China summer season (JJA) will become wetter in the future [see Fig. 12.22 in IPCC AR5, chapter 12 (Collins et al. 2013)], with a projected increase of approximately 20% in seasonal rainfall total by the end of the century with respect to the mean of 1986–2005. The projected changes are likely due to increases in GHGs and reduction in aerosols. Additionally, in line with our historical results, the maximum 5-day precipitation and the number of consecutive dry days are projected to continue to increase for eastern China [see Fig. 12.26 of Collins et al. (2013); also see Christensen et al. (2013, p. 1271)].

In line with our results for historical changes in rainfall, in the future, in a world with increased global warming, we might expect to see more short intense rainstorms, increasing the possibility of flash flooding. However, there may be fewer days of rain between extreme rainstorms, which can lead to drought. Alleviation of drought requires rain over an extended period; the shortening of rainstorms means that drought may be exacerbated.

## 7. Conclusions

We have presented the results of a historical model ensemble with and without anthropogenic influence on the climate system. We verify our model against observed climatology and find that it can reproduce the main features of the EASM. The model shows that, in the anthropogenic influence scenario, the EASM is generally drier overall, with a decrease in total rain and an increase in dry days. However, the anthropogenic influence model also shows an increase in the intensity of heavy rain events. These changes could lead to increased likelihood of flash flooding during rainstorms but also an increased likelihood or severity of drought in some locations.

Historically a range of different results are found when examining observed rainfall in eastern China during the summer and EASM season. These changes are not always consistent with those observed globally, which suggests localized forcings may be at play. However, given the range of methodologies and observed and modeled data available for investigating rainfall, this is an area that still warrants further study.

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