

1 Quantifying water storage within the endorheic Lake Naivasha using
2 low-cost sonar remote sensing and Landsat satellite data

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15 **Highlights**

- 16
- Low cost remote sensing can be used to quantify lake level and volume.
 - Sedimentation within Lake Navaisha is annually displacing >40192 m³ of water.
 - Current water abstraction management ignores sedimentation and needs updating.
 - Lake health is likely decreasing due to water loss.
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21 **Abstract**

22 Study region: Endorheic terminal lakes can be vital water resources for sustaining large
23 populations. However, their land-locked nature can lead to over exploitation and long-term

24 sediment accumulation, reducing water quality and storage. Lake Naivasha supports a rapidly
25 expanding population and agriculture industry. Therefore maintaining good water quality and
26 storage within this endorheic lake is crucial for the Kenyan economy and population. The
27 lake has a long history of level fluctuations and the region is considered to be suffering from
28 chronic water shortage, even though lake levels are monitored and maintained.

29 Study focus: This study quantifies the sediment deposition rate and its impact on Lake
30 Naivasha's water levels and volume, using inexpensive remote sensing techniques that could
31 be easily replicated for future monitoring.

32 New insight: Evidence of sedimentation in the northern area averaging 23 mm yr^{-1} was
33 identified, which is likely annually displacing 40192 to 576086 m^3 of water (40192000 to
34 57608600 litres). The volume displaced each year is equivalent to the water required to
35 sustain 40 to 1152 people. These results imply that current abstraction management based
36 purely on lake level, are detrimental to the long-term health and survival of the lake. The
37 results suggest imply that lake health is likely decreasing. We recommend that future
38 monitoring of this water resource and other endorheic lakes should focus on volume using the
39 inexpensive remote sensing methods described in this paper.

40 1.0 Introduction

41

42 Some of the largest inland water systems are endorheic with no terminus into the oceans
43 (Rast and Calcagno, 2001). Found between the desert margins north and south of the equator,
44 endorheic basins are important sinks of carbon but can suffer from low water quality with
45 many being saline and few are truly freshwater (Li *et al.* 2017; Rast and Calcagno, 2001).
46 Despite this, endorheic basins are vital to those who live and work around their watershed
47 highlighting the need for robust monitoring of their volume and health. The Aral Sea
48 exemplifies what can happen when the health of an endorheic terminal lake declines
49 (AghaKouchak *et al.* 2015). Whilst the feeder rivers once kept the Aral Sea at a level and
50 quality suitable for supporting thriving and economically important industries, upstream land
51 use and water extraction has reduced the inflows and now the Aral Sea is in rapid decline
52 (Micklin, 2010; Rast and Calcagno, 2001). The surface area and volume of the Aral Sea have
53 decreased by 88% and 92% respectively since 1960 causing the lake to significantly increase
54 in salinity (Micklin, 2010) resulting in a displaced population as it can no longer provide safe
55 drinking water (Lioubimtseva, 2015).

56 Lake Naivasha, a freshwater lake in Kenya, sits at the bottom of a shallow endorheic basin
57 with no surface outflows and is fed by the Rivers Malewa and Gilgil from the Aberdare
58 mountain range to the North (Otiang'a-Owiti and Oswe, 2010). Of all the Rift Valley lakes,
59 Naivasha sits at the highest elevation at 1890 metres above sea level (masl) (Becht *et al.*,
60 2006b). All of the open bodies of water in the Rift Valley region are greatly affected by a
61 high evaporation rate and low rainfall (Nyingi *et al.*, 2013). The Naivasha catchment is
62 particularly prone to alternating periods of extended drought and above average rainfall
63 causing lake inter-annual levels to fluctuate by more than 10 m (Figure 4 in Kuhn *et al.*,
64 2016) over a lake that has an average depth of ~6 m. Becht *et al.* (2006a) have shown that

65 there are subterranean flows in the Kenyan Rift Valley, predominantly dispersing from Lake
66 Naivasha and acting as a freshening mechanism for the Rift Valley system at lower altitudes,
67 including the region's groundwater resource. Lake levels across the region are also
68 considered in part to fluctuate due to changes in these groundwater levels. Hence, the water
69 resource held within the lake is also important for groundwater levels in the wider region.

70 Like other large endorheic lakes Naivasha supports local industries and is a source of
71 drinking water for the local population (Rast and Calcagno, 2001). In the Kenyan Rift Valley
72 it is one of two freshwater lakes (the second being Baringo) and supports over 60 floriculture
73 and vegetable farms, artisanal fisheries, geothermal industries and an expanding local
74 population (Becht *et al.*, 2006b). Its existence forms a key component of the Kenyan
75 economy, with the flower farming industry alone estimated to be worth ~£472 million to the
76 Kenyan economy in 2015 (Kenya Flower Council, 2016). The town of Naivasha has, as a
77 consequence, has more than doubled from 160,000 people in 1999 to >355,000 by 2019
78 (Onywere *et al.*, 2012; KNBS, 2019), with workers bringing families from the rural
79 communities to work at the large farms, or the tertiary and quaternary industries that are a by-
80 product of a growing industrial town; all of which has increased the pressure on local water
81 resources. The 2013 water volume within the Lake Naivasha basin were considered to be able
82 to provide 647 m³ per capita per year (Kyambia and Mutua, 2015), which falls within the
83 definition of 'chronic water scarcity', defined as between 500 to 1000 m³ (500,000 to
84 1,000,000 litres) per capita per year, (Falkenmark and Widstrand, 1992). The Naivasha basin
85 is therefore both vital for, and increasingly at risk of over exploitation from, those that live
86 and work around the lake and within the upper catchment (Onywere *et al.*, 2012). The large
87 regulated commercial farms and industry are found in the lower catchment, whereas upstream
88 in the middle and upper catchments there is unregulated subsistence-based land use including
89 logging, agriculture and charcoal production (Nyingi *et al.*, 2013). While historically lake

90 levels have always fluctuated (Becht and Harper, 2002), the demands on the lake as a stable
91 source of fresh water continue to increase.

92 Since drying out completely in 1946 (at a level of 1882.0 masl), lake levels have fluctuated
93 with notable high periods after heavy rains in 1997 (1888.9 masl) linked to a large El Niño
94 event (Becht and Harper, 2002). Natural variability of precipitation is a major driver of lake
95 level change in the short term with high rainfall closely followed by an increase in average
96 lake level. However, it is thought that anthropogenic activity and abstraction are likely
97 responsible for reducing lake levels (Becht and Harper, 2002; van Oel *et al.*, 2013; Kuhn *et*
98 *al.*, 2016). Current management guidelines and community plans aim to control water levels
99 through licensing extraction, setting water quotas and discouraging wastage. Signs on towers
100 surrounding the lake display the current water level and what the level means in terms of the
101 Water Allocation Plan (WAP) and accompanying extraction regulations as defined by the
102 Lake Naivasha Water Resource Users' Association (LaNaWRUA, 2016b). A traffic light
103 system displayed on these towers indicates whether or not users can continue extraction to the
104 level of their licence. However, it is only within the last 5-8 years that attempts have been
105 made to enforce these licences and quotas. Between 2007 and 2009 there was a ~3 metre
106 drop in lake level, which prompted international concern. Many Non-Governmental
107 Organisations (NGOs) have attempted to identify the reasons for this low level towards
108 proposing new regulations and controls on water extraction. For example, one report found
109 that 97% of water users were either unlicensed or their licenses had expired (Harper *et al.*,
110 2013). The low level in 2009 has created increased interest in lake research at Naivasha
111 exploring the practices of water extraction and problems of land use change within the
112 catchment, with the aim of identifying new management practices (van Oel *et al.*, 2013; Kuhn
113 *et al.*, 2016). The low level also highlights the fragility of this and other endorheic basins and
114 systems.

115 The two main rivers replenishing Lake Naivasha transport sediment down from the upper
116 catchment. The lack of a significant natural outflow infers that the sediment brought into the
117 lake must accumulate there and this sediment accumulation is a feature of endorheic systems.
118 Bathymetric surveys of the lake by Åse (1987) and Yihdego and Becht (2013) observed that
119 the pan-like basin with its wide expanses of low-gradient topography is in distinct contrast to
120 the highly fractured volcanic outcrops, mountains and escarpments that surround it. This
121 suggests that sediment does indeed flow into the lake, levelling the rough topography of the
122 lakebed.

123 Based on this understanding, there appears to be a significant oversight in the current lake
124 monitoring and management plans, as measurements of lake level as an indicator of total
125 water volume are unable to account for a changing, and potentially rising, lakebed. Nor do
126 the current management plans consider the health of the upper catchment that dictates the
127 replenishment rate and sediment flow into the lake. In addition, a shallower lake with an
128 expanding surface area is more susceptible to evaporative losses, and a decreasing volume is
129 likely prone to contain a higher concentration of pollutants.

130 It is clear that sedimentation is likely occurring in Lake Naivasha and this could be the reason
131 for the somewhat paradoxical increase in lake level whilst demand and abstraction have
132 increased despite no significant net increase in precipitation. The example of the Aral Sea
133 suggests that the health of any endorheic lake is highly sensitive to deposition. Here we
134 identify and quantify a decline of water volume in Lake Naivasha by identifying areas of
135 significant fluctuation in lake boundary and then measuring depth in these locations to
136 identify the effect of sedimentation. This allows the efficacy of the current lake management
137 plan to be assessed.

138 2.0 Methods

139

140 2.1 Lake Naivasha and the focus of the bathymetric survey

141 Within the Rift Valley, volcanic and tectonic activity has created large sedimentary basins
142 that have been subdivided into closed local basins by volcanic damming (Becht et al., 2006a).
143 Drainage from the rift flanks runs into these basins, creating a chain of lakes with no surface
144 outflows (Nyingi et al., 2013). Lake Naivasha sits at the highest elevation and has a
145 contemporary average area of 154 km². The basin receives an average annual rainfall of 610
146 mm yr⁻¹ (Kyambia and Mutua, 2015) and the lake supports an expanding population and
147 industry (figure 1).

148 The bathymetric study focussed on a 13.2 km² portion of the northern part of Lake Naivasha,
149 commonly known as North Lake (figure 1). This area is to the south of the former North Lake
150 swamp described by Gaudet (1977) and was chosen after carrying out a low-resolution
151 bathymetric survey of the lake to identify deposition features. The bathymetry in the North
152 Lake illustrated features normally associated with sediment deposition from river inputs. This
153 area is fed directly by the Malewa and Gilgil rivers that flow into the lake. Maximum river
154 discharge into the lake normally occurs between September-October (Becht *et al.*, 2006b).
155 The northern bounds of the survey area were defined by a combination of the shoreline and
156 the abundance of *Salvinia molesta*, *Eichhornia crassipes* and *Cyperus papyrus*. This floating
157 vegetation is a well known obstacle to studies on the lake (Rupasingha, 2002).

158

159 2.2 Lake level

160 Lake level was measured using an *in situ* Solinst calibrated digital level logger. These
161 measurements were then used to calibrate and characterise an extensive secondary dataset of

162 lake levels provided by LaNaWRUA (2016a). Verifying the quality of the secondary
163 LaNaWRUA dataset enabled a longer time series of lake levels to be studied and provided an
164 estimate of its accuracy for the uncertainty analysis. Hourly lake levels were measured
165 between January to June 2016 using the Solinst level logger Edge [Model 3001-M5] which
166 records absolute pressure (water pressure plus atmospheric pressure to an accuracy of ± 0.05
167 % across the full sensor range). This is used in conjunction with the Barologger Edge
168 (accuracy of ± 0.05 kPa) for measuring fluctuations in atmospheric pressure enabling
169 barometric compensation. All instruments were calibrated by the manufacturer and
170 collectively they enable water level measurements with an accuracy of ± 0.003 m. The
171 LaNaWRUA dataset provides monthly lake levels for the period 01 July 1880 to 30 June
172 2016. Daily LaNaWRUA level data were also available for 01 July 2003 to 30 June 2016
173 (LaNaWRUA, 2016a).

174 2.3 Lake Area

175 Freely available Landsat 8 satellite imagery were acquired from Astro Digital for the period
176 10 May 2013 to 19 March 2016 (Astro Digital, 2016). These 30 m spatial resolution data
177 were used to create false colour images using the three spectral bands, 5, 7, and 2 which have
178 the following spectral bandwidths 5, 0.85 - 0.88 μm ; 7, 2.11 - 2.29 μm and 2, 0.45 - 0.51 μm .
179 This enabled the water, land and vegetation to be spectrally and visually identified. From
180 these false colour images it is possible to identify the lake boundary and therefore manually
181 create polygons of the lake extent and area. Polygons were created using QGIS version 2.14
182 (Codename Essen) The spatial resolution of these data is 30 m at nadir, therefore an epsilon
183 (ϵ) band or strip (of width $\epsilon = 60$ m centred on the identified boundary) was identified along
184 the lake periphery (Blakemore, 1984). This strip width represents the positional uncertainty
185 (due to the image resolution) between the labelled and the true boundary between the lake
186 and land.

187

188 2.4 Bathymetric Surveys

189 The bathymetric survey was carried out during January and February 2016 to create a
190 topographical map of the current lakebed. This was achieved using a consumer grade sonar
191 system, the Deeper Fishfinder sonar (DP0H10S10) attached to a small boat that was piloted
192 at a low speed between 3 and 5 ms⁻¹ around the survey area (range in speed was depended
193 upon wind chop and conditions). The Deeper Fishfinder sonar is a portable device with an
194 internal battery that connects via Bluetooth to a smartphone running the Deeper Fishfinder
195 application (an Apple iPhone 6S, running iOS 9.2.1 was used). The survey route (sampling
196 grid) was determined using the open source QGIS software package with the aim of creating
197 an approximate grid of the study area. These routes were then exported to a handheld global
198 positioning system (GPS, Garmin eTrex10) to provide a navigation route whilst on the water.
199 The Deeper Fishfinder device was clamped to the side of the boat. The sonar system was
200 continually monitored alongside the depth readout as the device ceases to collect data when it
201 recognises that it is not sitting at the surface of the water. If this occurred the boat was slowed
202 and if required the section was repeated. Expanses of hydrophytic species; *S. molesta*, *E.*
203 *crassipes* and *C. papyrus* (Onywere *et al.*, 2012) proved to be problematic and dictated
204 regions where the boat was unable to progress, and this resulted in a modified sampling grid
205 to the regular grid programmed into the handheld GPS (figure 2). The manufacturer stated
206 accuracy of the Deeper Fishfinder sonar depth measurements is ± 0.5 m. The accuracy of the
207 sonar was tested in a fresh water estuary in the UK (Fal, Cornwall) to measure water depths
208 of between 1.3 to 1.4 m. The Mean Absolute Difference (MAD) between a manually
209 measured depth and that measured by the sonar was ± 0.018 m (n=300, bias 0.0 m), so the
210 sonar accuracy, when used at these depths, is better than the stated manufacturer accuracy.

211

212 2.5 Deposition rates

213 The sonar data collected for this study in 2016 were compared with equivalent data from the
214 survey conducted in the 2001 (Rupasingah, 2002). The 2001 survey employed a similar
215 method and sensor as it used a Garmin Fishfinder 100 sonar to map the lakebed. Both devices
216 operate using a wide beam (Deeper – 90kHz, Garmin – 50kHz) and narrow beam frequencies
217 (Deeper – 290kHz, Garmin – 200kHz). The data collected by Rupasingha (2002) were
218 obtained from *Naivasha Research* (2010). Both sets of sonar bathymetric data were
219 interpolated using the Triangular Irregular Network (TIN) method to create comparative $5 \times$
220 5 m grid-based depth charts for the North Lake study site, with each 5×5 m grid square (A)
221 being assigned a depth point value, (total number of 2647690 data points) using QGIS
222 version 2.14 (Codename Essen). Depth values (d) were subtracted from the average lake level
223 (l) at the time of data collection to create comparable topographical maps of lakebed level (b)
224 (in masl) i.e. $l - d = b$. Combined measurement uncertainties for each single lakebed level
225 value were estimated based on the calculated accuracy of the sonar instrument and the
226 calculated accuracy of the LaNaWRUA lake level data and standard error propagation
227 methods (Taylor, 1997). The uncertainties in both datasets (the data from this study and the
228 data from Rupasingha, 2002) and both topographical maps were assumed to be the same as
229 2001 survey were collected using similar instrumentation and methods (but lacked some
230 uncertainty information). The topographical maps of lakebed levels were subtracted from
231 each other (2001 - 2016) so that each point gives an indication of vertical change. A positive
232 difference indicates sediment accumulation and a negative difference indicates erosion. An
233 estimate of the volume of water (v) displaced across the North Lake study site due to a
234 change in the lakebed topography can then be calculated using:

$$v = \sum_{i=0}^{N=2647690} (b_{2001} - b_{2016})A_i \quad (1)$$

235 where subscript 2001 and 2016 refer to the year of bed level data, b , and A is the grid square
236 of surface area equal to 5×5 m.

237 3.0 Results

238

239 3.1 Verification of long-term lake level data

240 The accuracy of secondary LaNaWRUA water level data was evaluated using the *in situ* level
241 logger data. During the period January to June 2016, the level logger recorded a variation in
242 water of up to 0.46 m (figure 3). The pattern of variation shown in figure 3 coincides with
243 decreasing rainfall in the catchment from February to May. Both datasets recorded
244 comparable changes in levels during this period with the level logger giving 0.46 m and the
245 LaNaWRUA dataset giving 0.52 m. The LaNaWRUA data were calibrated to give lake level
246 change in metres by subtracting the bias between the LaNaWRUA dataset and the level
247 logger data. Following this calibration a linear regression shows that the two datasets were in
248 good agreement ($p < 0.001$, $r^2 = 0.900$, $n = 160$). Using the level logger data as the reference,
249 the LaNaWRUA data have a MAD of 0.018 m, standard error of 0.014 m, and bias of 0.0 m
250 ($n=160$).

251

252 3.2 Lake area

253 The epsilon band of 60 m in lake boundary results in an area uncertainty of $\pm 1.5\%$. The
254 variations in lake surface area appear driven by the lake water level data for the period of
255 May 2013 to March 2016 (linear regression result, $p < 0.001$, $r^2 = 0.95$, $n = 33$). Linear
256 regression of the data shown in figure 4 identifies an average decline in lake area of 3.3 km^2
257 per year ($y = -3.255x + 158.8$, $R^2 = 0.4$, $p < 0.001$, $n = 33$) with upper and lower lake areas of
258 160.10 km^2 and 146.28 km^2 . The notable increase in lake area in 2015 coincides with a large
259 global El Niño event (Jet Propulsion Laboratory, 2015). When the calculated polygon lake
260 areas for 2013 – 2016 were visually compared, the north area of the lake was seen to exhibit

261 the largest areal variation (figure 5). This higher variation in area suggests a shallower and
262 more gradually sloping lakebed. This area of shallow lakebed is within the region studied in
263 the bathymetric survey.

264

265 3.3 Bathymetric surveys and deposition rates

266 Subtracting the results from the two lake bed surveys (Rupasingha survey in 2001 and our
267 2016 survey) identified potential regions of sediment deposition in the North Lake (figure 6).
268 Figure 6 shows sediment deposition in the north east of the study site where the Malewa river
269 flows into the lake. The bathymetric survey data (figure 6) collected in the North Lake area
270 show alluvial fan features at the mouth of the Malewa River. Comparison of the average
271 lakebed level data derived from Rupasingha (2002) and the data collected for this study
272 showed a net positive change (increase) of lakebed height in the north lake over the past 15
273 years of 0.35 m. This equates to an average sediment deposition rate of 23 mm yr^{-1} across the
274 study site and gives a volume change, v , (water displacement) of between $40192 - 576086 \text{ m}^3$
275 yr^{-1} . Assuming that the uncertainties in the lakebed level (l) and sonar depth (d) are
276 uncorrelated, that the uncertainties in both sonar surveys are comparable and the MAD
277 provides the accuracy of each dataset (as the bathymetric and level variations are gradual and
278 linear) gives a combined uncertainty for each data point in our lake bed level data of 0.025 m,
279 or 25 mm (resulting from the uncertainties summed in quadrature, $\sqrt{0.018^2 + 0.018^2}$). The
280 uncorrelated nature of these single point measurement uncertainties, and the lack of any
281 detectable measurement bias, suggests that the measurement uncertainty will have a
282 negligible impact on the reconstructed lakebed data (ie based on the central limit theorem the
283 combined result of these uncertainties will approach zero).

284 4.0 Discussion

285

286 4.1 Implications of sedimentation on loss of water resource

287 By comparing topographic maps of the lakebed we find there is a clear positive change in
288 lakebed level between 2001 and 2016, which we suggest is caused by sediment deposition
289 from the inflow rivers. The degradation of the papyrus swamps that once covered part of this
290 area (Gaudet, 1977; Morrison and Harper, 2009) may be an additional cause and could be
291 verified by analysing sediment cores. Sediment cores were collected during this study to
292 determine the structure of the lakebed, but the samples were unable to leave the country for
293 analysis due to export conditions. However, the 2001 sonar study (Rupasingha, 2002) was
294 conducted after the deterioration of the swamp, supporting the conclusion that riverine
295 sediment deposition is the main driver of bathymetric change in the North Lake. Our estimate
296 of sedimentation occurring at 23 mm yr^{-1} is consistent with previous studies that used coring
297 as their primary methodology recording rates between 10 and 30 mm yr^{-1} within different
298 areas of the lake (Verschuren, 1996; Tarras-Wahlberg *et al.*, 2002; Maina *et al* 2018). This
299 shows that in the future using a low-cost fish sonar which can be mounted on many different
300 types and sizes of water craft may be a cost effective and efficient method for monitoring
301 lakebed change across endorheic lakes. Our north lake displacement estimates of 40192 –
302 $576086 \text{ m}^3 \text{ yr}^{-1}$ of water are equivalent to the loss of water required to sustain 40 to 1152
303 people per year. These upper and lower ranges are determined based on lower and upper
304 limits of chronic water scarcity of 500 m^3 per person per year and 1000 m^3 per person per
305 year respectively (Falkenmark and Widstrand, 1992; UN-Water, 2006).

306

307 4.2 Drivers of catchment degradation within endorheic systems

308 In 2009 lake Naivasha shrunk significantly, dry beds encroached deep into the North Lake
309 study site and from our results we can infer an average depth across this site would have been
310 less than 0.5 m. All industry that relied on the lake was affected, including the artisanal
311 fisheries who struggled to access the lake and its remaining fish stocks (Harper *et al.*, 2011).
312 This is reminiscent of the problems faced by the Aral Sea: as the lake began to shrink, the
313 water quality dropped and the fishing industries and other industry that relied on the lake
314 collapsed (Micklin, 2010). Replenishment from a healthy upper catchment should be slow
315 and gradual. The sudden change in lake levels over a relatively short period suggests that the
316 Aberdares ‘water tower’ feeding lake Naivasha is less than healthy. If lakebed deposition
317 continues and the volume held remains constant then the surface lake level will increase,
318 despite no actual increase in water volume. Under current management approaches water
319 extraction would likely continue, or could even be allowed to increase. A stable volume of
320 water spread over a shallower wider area will increase evaporation and thus water loss,
321 causing an increase in the concentration of pollutants and reducing the health of the lake.
322 These characteristics are consistent with the significant increases in the levels of nitrogen and
323 phosphorous that have already been recorded in the lake (Otiang’a-Owiti and Oswe, 2010),
324 which is thought to have helped the further expansion of the invasive *E. crassipes* (Onywere
325 *et al.*, 2012).

326 As with all endorheic basins, any change in land use in the catchment will have an effect
327 downstream in the terminal lake. In Central Asia the anthropogenic redirection of the Syr
328 Darya and Amu Darya rivers for irrigation has caused the Aral Sea level to fall far quicker
329 than any previous natural fluctuations (Micklin, 2010; Rast and Calcagno 2001). In the upper
330 and middle catchment of the Naivasha basin land use ranges from protected forest, moor and
331 bamboo zones to subsistence farming, illegal deforestation practices and legal quarrying
332 (Nyingi *et al.*, 2013). The latter anthropogenic uses reduce water retention in the catchment,

333 increase erosion and therefore increase the amount of sediment carried in suspension down to
334 Lake Naivasha. Throughout Kenya, subsistence communities find it increasingly necessary to
335 encroach onto protected land for herding and arable farming (Campbell *et al.*, 2000) and this
336 conversion from forest and grassland to arable land results in decreased infiltration, decreased
337 evapotranspiration, and increased surface runoff. Reversing land use change through
338 restorative measures can provide a simple and positive response to create healthier streams
339 and catchments (Lake *et al.*, 2017). Illegal logging occurs extensively on the western and
340 particularly southern slopes of the Aberdares and Eburru forests for timber and charcoal. For
341 example, the conservation trust Rhino Ark counted over 10,000 charcoal kilns in the same
342 region as the source of the Malewa River (Nyingi *et al.*, 2013).

343 In an attempt to reduce the sediment and agrochemical loads entering the lake, the Lake
344 Naivasha Riparian Association (LNRA) has in the past attempted to work with inhabitants of
345 the upper catchment to promote good management practices. However, whilst beneficial, a
346 lack of funding and support from the relevant government authorities limits the ability to
347 make a significant impact (Becht *et al.*, 2006b). The curbing of the encroachment of
348 legitimate quarrying activities on the north and eastern slopes of the Aberdares is an example
349 of successful management at a time when these quarries are under pressure to produce
350 material for expanding towns (Nyingi *et al.*, 2013).

351 4.3 Future monitoring of endorheic lakes by satellite and sonar remote sensing

352 The relatively simple approach used within this study of utilizing open source data and
353 software has clear potential for the future monitoring of Lake Naivasha. The deployment of
354 multiple Sentinel 2 satellites (that collect data of comparable spectral and spatial resolution to
355 the Landsat series) by the European Space Agency (ESA) and European Union within the
356 Copernicus programme (ESA, 2016), provides the potential to observe the area of lake

357 Naivasha every 3-9 days. The expected lifetime of the Copernicus programme (15+ years)
358 will enable long-term monitoring. Therefore, we suggest that future lake Naivasha
359 management and water extraction plans and monitoring, and those of other endorheic lakes,
360 should consider the use of such satellite data.

361 The low-cost and simplicity of the Fishfinder sonar system would allow annual surveys of the
362 lakebed to be undertaken so that sediment deposition can be monitored. This combined with
363 lake level monitoring would allow the volume of the lake to be easily monitored and identify
364 the impact of changes in management of the upper catchment (i.e. identify any evidence of
365 reduced sedimentation). Similarly, the same methods could be used to monitor other
366 endorheic lakes, as these lakes typically support artisanal fishing boats and such boats
367 provide ideal platforms for mounting the small sonar.

368

369 4.4 Implications for catchment and endorheic lake management

370 Our findings imply that lake management plans should not focus purely on maintaining lake
371 levels and on controlling industry water extraction. Instead, improved regulation and
372 upstream land management practices are likely to be needed alongside improved monitoring
373 of the water volume and regulation of its extraction. For Naivasha we have shown that
374 sedimentation is likely reducing lake volume and hence could also be concentrating
375 pollutants within the water, despite the water level being maintained.

376 5.0 Conclusion

377

378 This study aimed to explore the sediment, water level and volume dynamics of the endorheic
379 Lake Naivasha basin. Using a combination of *in situ* and historical hydrological
380 measurements and remote sensing approaches, we have quantified the change in the
381 topography of the North Lake (of Lake Naivasha) that has occurred over the last 15 years,
382 and what this means for the water volume that the lake holds. We identified that the
383 bathymetric features and the north location that shows an increase in lakebed height is
384 consistent with the accumulation of sediment from the Aberdares catchment that feeds the
385 lake. Current management plans should be improved to account for sediment deposition and
386 some estimation of the variation in the lake volume. This would enable water extraction
387 limits to be set based on the volume of water held in the lake. The issue of sedimentation is
388 not unique to lake Naivasha and will be occurring in other endorheic lakes. The combination
389 of satellite and sonar remote sensing can be used to enable the lake level and volume to be
390 monitored and the same low-cost approaches could be used to monitor other endorheic
391 basins. Such monitoring is likely to be vital to ensure the long-term existence of endorheic
392 lakes as freshwater resources and the populations and industries that they support.

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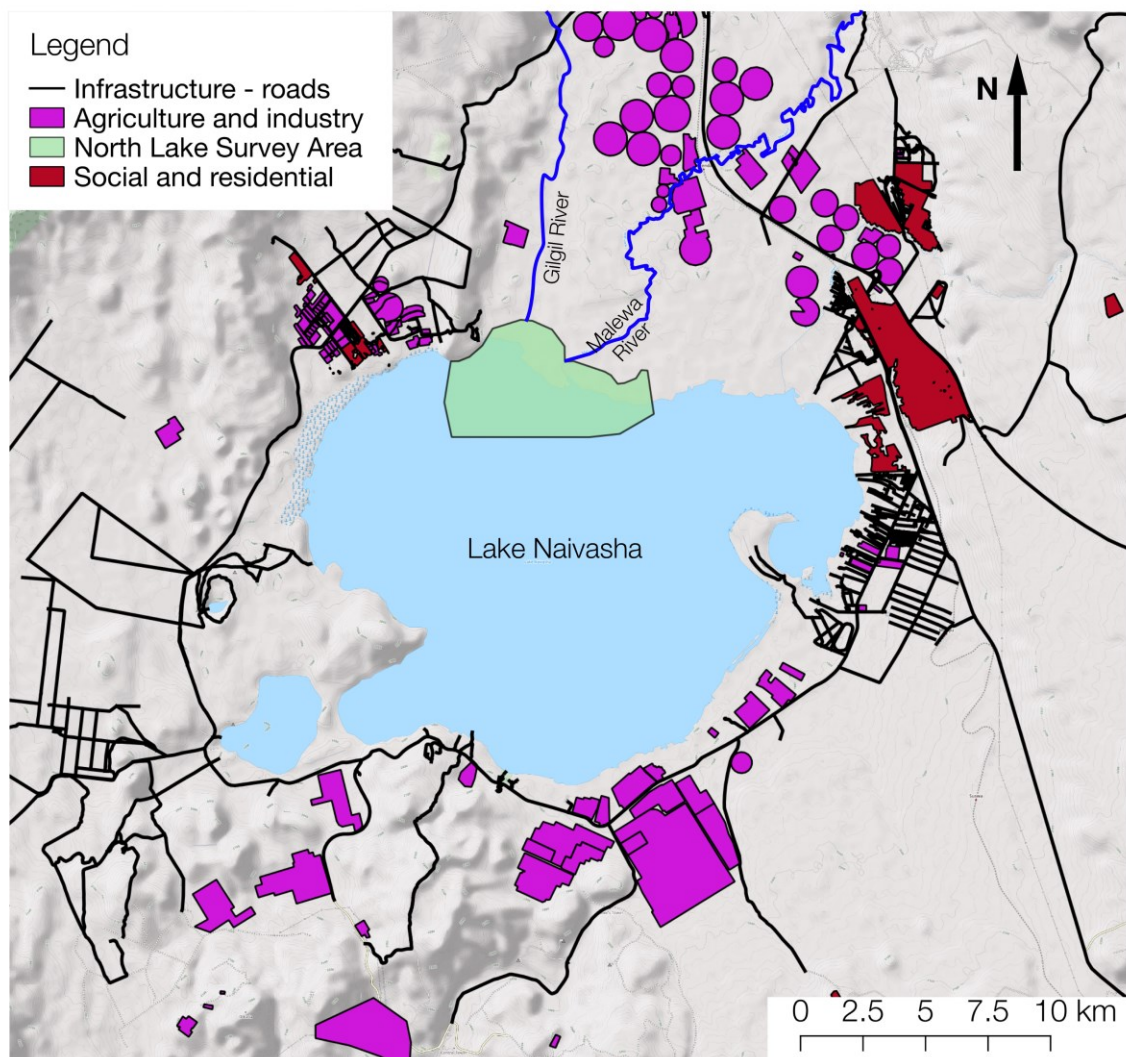
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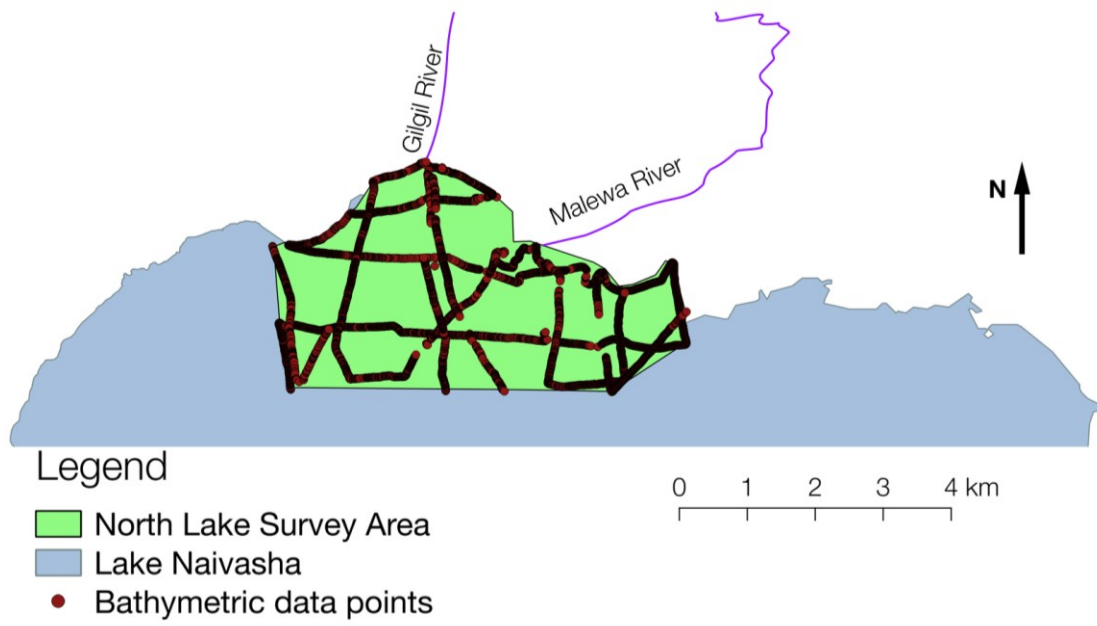
505 **Figure 1**



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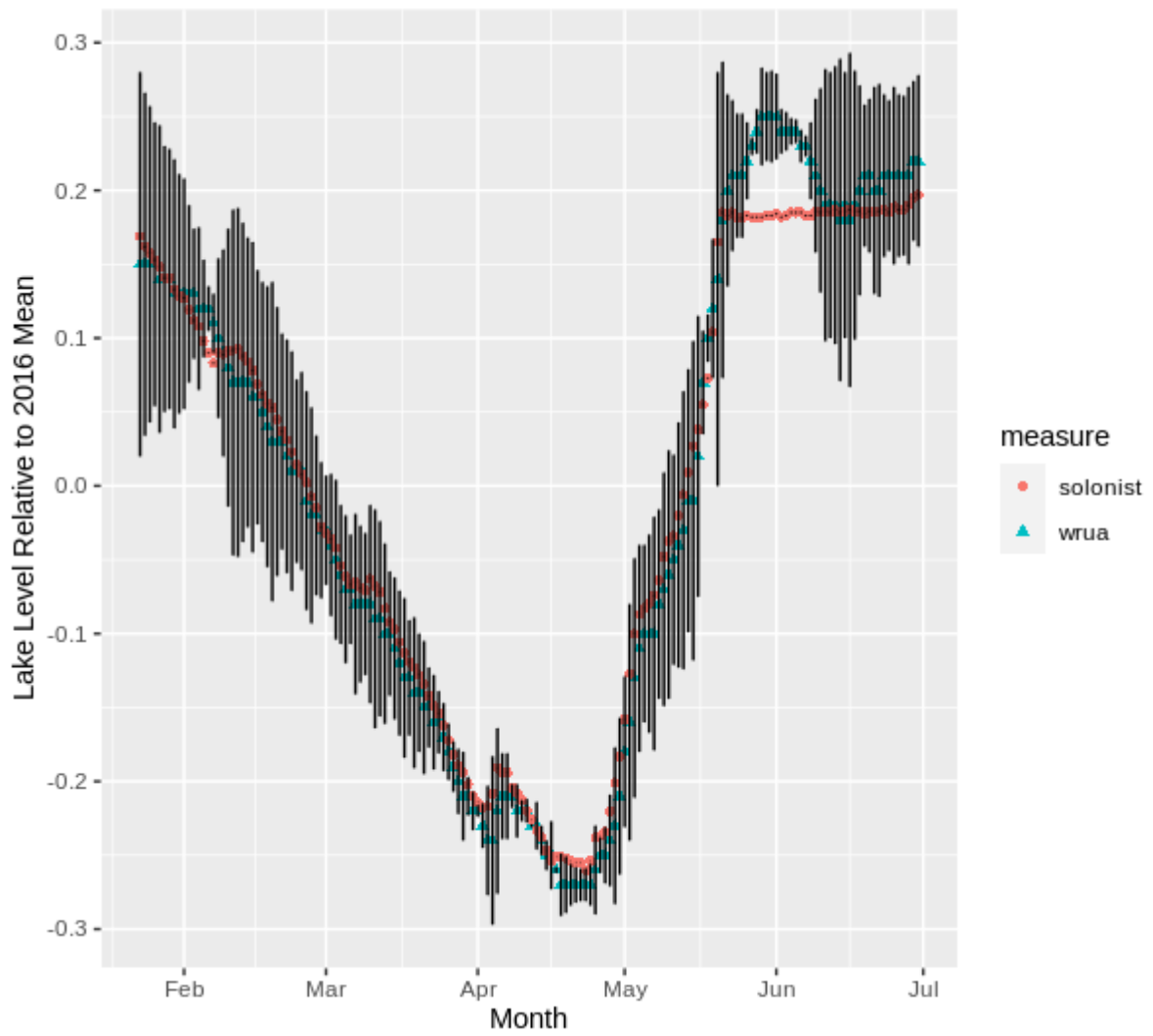
508 **Figure 2**



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511 **Figure 3**

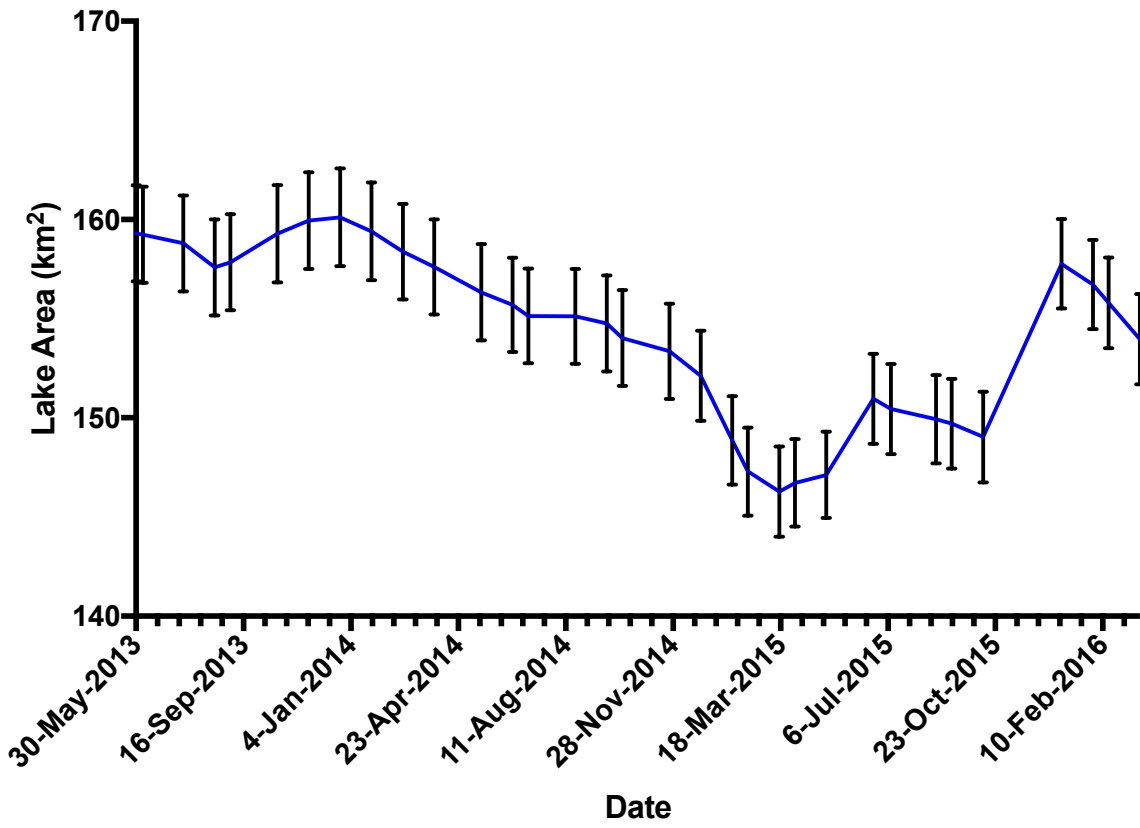


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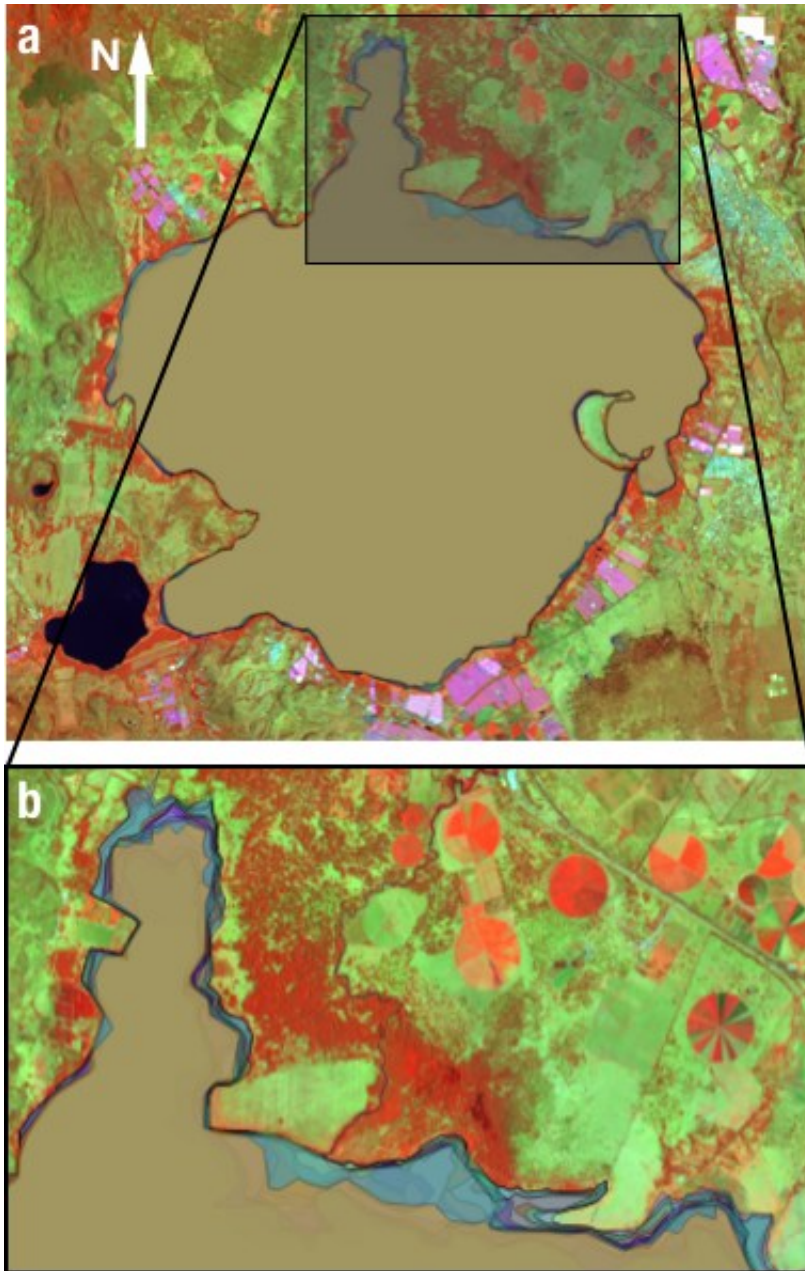
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515 **Figure 4**



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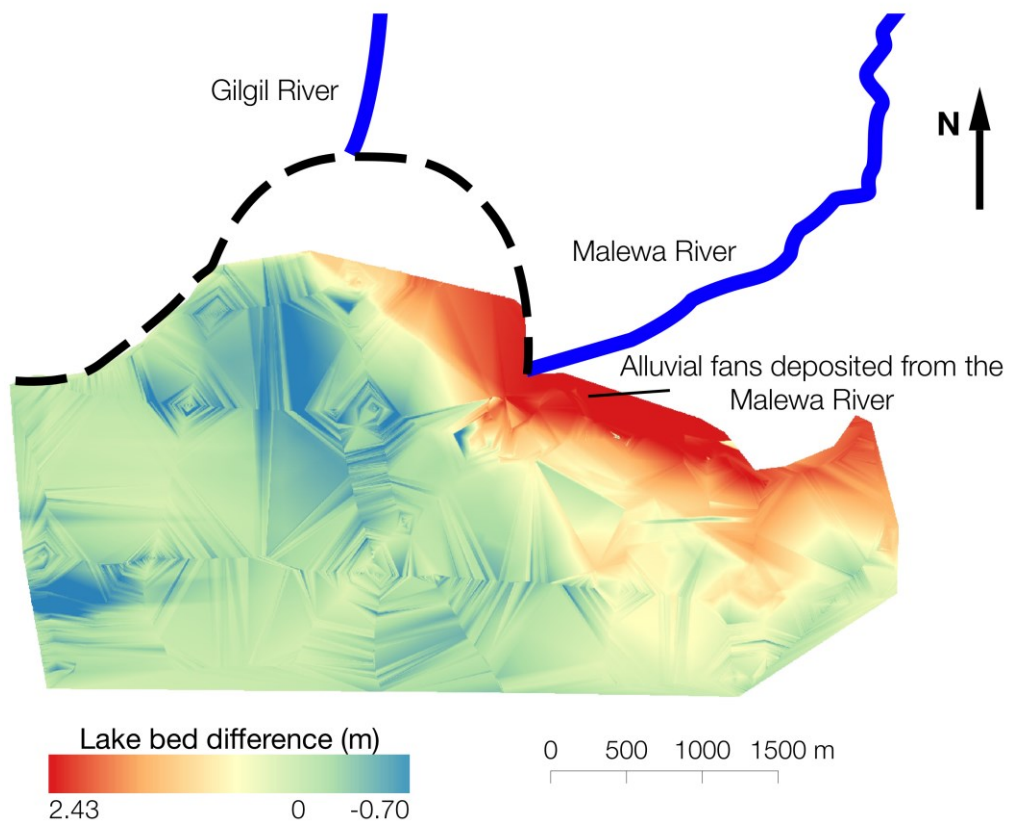
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521 **Figure 6**



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524 Figure captions

525 Figure 1. A map of Lake Naivasha showing the principle road network and major areas of
526 anthropogenic land-use. Most industrial and agricultural complexes are located to the north
527 and south with Naivasha town and other residential areas concentrated to the east where the
528 main arterial roads link Naivasha to the rest of Kenya. Information gathered and mapped by
529 the authors during fieldwork in 2016.

530

531 Figure 2. The northern third of Lake Naivasha with the north lake study site highlighted in
532 green. The sonar survey route are shown in black illustrating the variations in transects due,
533 due to the boat having to navigate around floating vegetation.

534

535 Figure 3. Lake level as recorded by the Solinst level logger compared to the secondary data
536 acquired from the LaNaWRUA over the period 23 January 2016 to 30 June 2016.

537

538 Figure 4. Monthly lake area derived from the Landsat data for the period May 2013 to March
539 2016. The linear regression result suggests that the lake area steadily reduced from May 2013
540 until March 2016. The vertical error bars show the estimated uncertainty in lake extent of
541 $\pm 1.5\%$.

542 Figure 5. False colour satellite images from 19 March 2016 overlaid with 33 polygons (from
543 the monthly Landsat satellite passes) illustrating the variation in lake area from May 2013 to
544 March 2016. Dark blue/black indicates water and healthy green vegetation is clearly visible
545 as red with shades of purple indicating buildings. **a** The complete lake. **b** A magnified region
546 of the North Lake region highlights the variations in the lake perimeter.

547

548 Figure 6. Topographical map of the North Lake Study Site showing evidence of positive (up
549 to 2.43 m) and pockets of negative (less than 0.70 m) change in the height of the lakebed in
550 metres over the 15 year period, 2001-2016, indicating deposition is occurring at a rate of 23
551 mm yr⁻¹ which has likely displaced more than 40192 m³ of water. Also shown are the two
552 rivers that flow into Lake Naivasha. The gap between the Gilgil and the study area represents
553 a region of the study site for which there was no historical data for comparison, likely due to
554 obstructive vegetation.

555